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## The Pathophysiology of Tau and Stress Granules in Disease

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### Introduction

Stress and the stress response are fundamentally central features of any disease. Stress and the stress response are also clearly central features in the pathophysiology of tauopathy and the biology of AD. Chapters 16, 23, 28 and 29 in this book address how stress modifies the phosphorylation of tau, the role of stress in the biology of the endoplasmic reticulum and the translational stress response, and the effects of behavioral stress and glucocorticoids on tau. The stress response is undoubtedly necessary to cope with the harm arising from chronic exposure to  $\beta$ -amyloid ( $A\beta$ ), oligomeric tau and age or disease related reductions in cerebral blood flow. However, this chapter will address the paradoxical concept that particular elements of the stress response mediated by RNA binding proteins actually accelerate tau oligomerization and thereby accelerate disease progression in tauopathy. Conversely, we will also show how RNA binding proteins are therefore key targets for therapeutic intervention in tauopathies, including Alzheimer's disease.

### Dysfunction of RNA Binding Proteins in Neurodegenerative Disorders

Reviewing the basic biology of RBPs, stress granules and the translational stress response is necessary in order to understand how and why tau would interact with RBPs. An increasing number of genetic studies show that RNA binding proteins (RBPs) are central to the pathophysiology of multiple neurological disorders. Mutations in genes that encode RBPs cause motor neuron diseases such as amyotrophic lateral sclerosis (ALS), spinal muscular atrophy (SMA), multisystem proteinopathy (MSP), and frontotemporal lobar degeneration (FTLD) [40]. ALS is the most common motor neuron disorder that leads to progressive loss of upper and lower motor neurons, muscle weakness, atrophy and ultimately death [78].

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TAR DNA-binding protein 43 (TDP-43) is the major constituent of pathological ubiquitinated inclusions present in ALS and frontotemporal dementias defined by progranulin haploinsufficiency (FTD-TDP-43 or FTD-U) [69]. Mutations in TDP-43 also cause rare forms of familial ALS, which demonstrates that dysfunction of TDP-43 is sufficient to cause disease [83]. TDP-43 is not the only RBP to exhibit mutations linked to ALS, though. Multiple RBPs exhibit mutations that are genetically linked to ALS: heterogeneous nuclear ribonucleoprotein A1 (hnRNP A1), heterogeneous nuclear ribonucleoprotein A2/B1 (hnRNP A2/B1), fused in sarcoma/translocates in liposarcoma (FUS/TLS), Ewing's sarcoma breakpoint region 1 (EWSR1), TATA-box binding protein associated factor 15 (TAF15), Matrin3 (MATR3), and TIA1 cytotoxic associated granule binding protein (TIA1) [40, 53] (Table 26.1). In addition, polyglutamine (polyQ) expansions (27–33 Qs) in Ataxin-2 (ATXN-2) are an important genetic risk for ALS [19]. RBPs are generally defined by the presence of a homologous RNA binding domain, the RNA recognition motif (RRM); many RBPs also share homologous low complexity Gly-rich domains (LCDs) [31, 53]. FUS, EWSR1, and TAF15, which comprises the FET family, also share common zinc finger domains [31]. The RRM allows the binding of these proteins to DNA and RNA in a sequence-specific manner, while the LCD is a prion-like domain that plays a critical role in the formation and the dynamic biophysical state of stress granules (SGs) [30]. These RBPs are multifunctional RNA processing proteins that predominantly reside in the nucleus and are generally expressed in many different types of cells and tissues [40].

## RNA Binding Proteins Mediate Disease Through Stress Granules

The identification of disease-linked mutations in the genes that encode these RBPs, particularly TDP-43 and FUS, introduced a paradigm shift in the study of ribostasis and proteostasis in disease. Under physiological conditions, TDP-43 and FUS localize in the nucleus. But, in the presence of a cellular stress, they redistribute from the nucleus to the cytoplasm where they associate with SGs (Fig. 26.1). These granules serve as a cytoprotective mechanism against stress as it temporarily inhibits the translation of non-essential mRNA and promote the translation of transcripts necessary for cell survival [41, 49]. However, mutations in TDP-43 and FUS increase the propensity to aggregate, leading to the accumulation of persistent cytoplasmic SGs, and the formation of pathological inclusions of these proteins in the human brain [9, 16, 48]. Support for the hypothesis that the pathological inclusions in brain derive from SGs comes from observed colocalization with SG markers such as eIF3, eIF4G, TIA1 and PABP [48, 16].

This chapter will focus on SGs because the relationship to disease is clear, SGs provide a linear pathway between chronic stress and disease, and the disease-associated RBPs examined to date have been shown to co-localize with SGs. However, RBPs form many types of RNA granules to mediate many different functions, including splicing, transcription, ribosome genesis, RNA transport, RNA degradation, RNA translation, viral defense and many other functions. The pathophysiological principles linking SGs to disease presented below might also apply to these other types of RBPs, particularly for familial RBPs that exhibit mutations rendering the RBPs more aggregation prone. However, differing types of RNA granules likely differ in their propensity to precipitate the irreversible protein

aggregation that causes disease because of differences in the types of proteins associated with each RNA granule type and/or differences in post-translational modifications, as discussed below. SGs currently appear to represent the RNA granule exhibiting the greatest propensity to elicit the irreversible protein aggregation associated with neurodegenerative diseases.

Many of the mutations in FUS are thought to increase cytoplasmic localization by disrupting the nuclear localization signal, which prevents the transportation of these proteins to the nucleus [16, 71, 94]. The disease associated mutations in TDP-43 occur predominantly in the LCD domain, increase the tendency to aggregate, and formation of cytoplasmic SGs [48, 38]. In most cases, the increased tendency to aggregate shifts the biophysical state of these granules, that is thought to extend their persistence, resulting in the formation of persistent pathological SGs which can then consolidate to form the classic pathologic structures that are associated with disease [55]. Finally, it is important to note that cleavage of TDP-43 can produce fragments with a strong tendency to aggregate through a mechanism that is not strongly linked to the translational stress response [71, 94].

## The Biology of Stress Granules and the Translational Stress Response

SGs are cytoplasmic complexes that form in concert with inhibition of RNA translation; stimulate of SG include a wide variety of stresses that include nutritional stress, heat or osmotic shock, DNA damage or proteostatic dysfunction [14, 25, 41, 42, 56, 57, 64]. SGs are classically made up of mRNAs, RNA-binding proteins, small 40S ribosome subunits, translation initiation factors, and broadly non-RNA binding proteins [12, 64, 76]. SG formation occurs in stages, with core nucleating RBPs initiating SG formation, followed by secondary association of a wide variety of proteins. The complexity of SGs varies with the type of stress, the type of cell and the duration of the stress [54].

The initial changes in RNA metabolism induced by stress result in polysome stalling and nucleation of SGs by a set of core nucleating RBPs, which include TIA1, G3BP1 and 2, FMRP, TTP and TIAR; proteomic experiments point to a comprehensive list of core RBPs (Fig. 26.1) [5, 54]. Nucleation of these RBPs is controlled by PTMs, which are described below, and by location. TIA1 for instance, translocates from the nucleus to the cytoplasm during stress [41]. These core nucleating components associate with the mRNA transcripts and protein components of the stalled initiation complexes including eIF3, eIF4F (consist of eIF4E, eIF4A and eIF4G), eIF4B, small ribosomal subunits and Poly A Binding protein 1 (Table 26.1) [41, 42]. A wide variety of secondary proteins associate with SGs. These proteins include many different RBPs, including those associated with ALS, such as TDP-43, FUS and hnRNPA0 [41, 42]. However, secondary proteins that associate with SGs also include scaffolding proteins, such as caprin, signaling proteins such as HDAC6 and SirT6, nuclear pore proteins such as nup98 [17], disaggregases such as karyopherin-b2 (Transportin-1, Kapnb2) (Table 26.1) [16, 27, 28, 32], and proteins linked to cell death pathways, such as TRAF and FAST (Table 26.1) [11, 23, 44].

## Phase Transition and the Role of Protein Aggregation in the Biology of SGs

The formation and consolidation of SGs (and other RNA granules) appears to depend on the biophysical processes of liquid-liquid phase separation (LLPS) and protein aggregation. The process of LLPS is described in detail in Chaps. 24 and 25 (Kosik & Han, Wegmann), but a brief overview will be given in this chapter because of its fundamental importance for understanding the biology of SGs. The roles of LLPS and protein aggregation are the essential features that make SGs so important for the pathophysiology of neurodegenerative diseases generally, and tauopathies specifically. Under transient stress conditions SG components assemble and disassemble quickly, forming the highly dynamic structures that are governed by the biophysics of phase separation [5, 46]. The dynamic nature of these phase separated proteins enables transitions between multiple protein conformations. A fundamental weakness of this biology gives rise to neurodegenerative diseases. With extended time, such as might occur with chronic stress, some SG proteins transit into highly stable amyloid like states, similar to that observed in the protein aggregates that form in neurodegenerative diseases [65, 74]; mutations that are associated with familial disease frequently increase the rate of amyloidogenic transition [65, 74]. Secondary nucleation also allows the association of SGs with other proteins, such as tau, that exhibit a high propensity to aggregate into stable amyloids [88].

Three different biophysical considerations explain the biology of LLPS. (1) The physical chemistry of liquids creates the conditions for LLPS. Chemicals that are in liquid form and exhibit strong physical differences, such as oil and water, will phase separate to minimize the free energy of the mixture, reduce unfavorable interactions and promote weak bonding. The aqueous nature of proteins thus provides the conditions that allow for phase separation. The phase separation is promoted by weak bonding of low complexity protein sequences that consist primarily of alanine, glycine, glutamine and proline, with some extra complexity arising from interspersed arginine and asparagine [34, 75]. (Note that the phase separation of proteins does not produce the extreme concentrations (e.g., 55 M) occurring when oil and water phase separate because the weak interactions present in proteins are only moderately favored over interactions between proteins and water in the aqueous solution) (Fig. 26.1a). The low affinity binding of multiple short regions of low complexity domains produces the dynamic phase separation that characterizes RNA granules [91, 92]. (2) The low complexity regions that promote LLPS occur in intrinsically disordered regions (referred to as IDRs in the literature). The lack of order enables the “sticky” sequences in these regions to move in a dynamic manner forming the multiple weak associations that drive the LLPS. (3) The final consideration is polymer chemistry. RNA greatly facilitates protein based LLPS by forming a scaffold that helps to stabilize the phase separating proteins, keeping them generally in the same region [20]. Thus, RBPs bound to RNA phase separate at a lower concentration than is required in absence of RNA [20]. The tendency of RBPs to cluster around RNA combines with the presence of intrinsically disordered regions that can self-associate in a low affinity manner to render LLPS a prominent feature of RBP biology, leading to formation of many types of RNA granules, including SGs.

## Tau and Stress Granules

### Tau Is Sorted to the Somatodendritic Domain in Stress

The information presented above provide a clear mechanistic pathway through which RBPs, SGs and RNA metabolism contribute to pathological aggregation and the pathophysiology of motoneuron diseases. The section below will explain how this mechanism involves tau, and the profound manner in which RBPs, SGs and the translational stress response contribute to the pathophysiology of tauopathy.

Tau is normally most abundant in the axons of neurons, where its primary function is to stabilize microtubules [4]. In AD, as well as in stress, tau becomes phosphorylated by proline directed kinases, such as glycogen synthase kinase  $\beta$  (GSK3 $\beta$ ), cyclin dependent kinase 5 (CDK5) and microtubules affinity-regulating kinases (MARKs); this type of phosphorylation will be referred to as “hyperphosphorylation” [89]; this hyperphosphorylated tau accumulates in the somatodendritic arbor where it eventually forms neurofibrillary tangles. Originally hyperphosphorylated tau was thought to translocate from the axon to the cytoplasm, but the translocation model didn’t fit the pathology, which does not show extensive axonal tau phosphorylation. More recent data indicate that accumulation occurs as newly synthesized tau becomes phosphorylated and is prevented from entering the axon [33, 84, 96]. In the presence stress, tau is phosphorylated and localizes in the soma and dendrite where it can interact with RBPs associated with SGs [33, 84]. The accumulating phospho-tau arises from phosphorylation of *de novo* synthesized tau rather than translocation of phospho-tau from the axon [96]. The physiological logic for which the neuron would change the distribution of tau represents a fundamental question for tau research, and is one that has never been explained. We propose that hyperphosphorylated tau accumulates in the somatodendritic arbor during stress to adapt protein synthesis to address the stress [88].

### Tau Regulates Stress Granules

The role of tau in the translational stress response is evident from studies of RBPs in neurons during stress. The relationship of tau to SGs is readily apparent when examining TIA1, an RBP that is one of the core nucleating SG proteins. In cell lines TIA1 is completely nuclear under basal conditions, and translocates into the cytoplasm under stress. Translocation of TIA1 has been demonstrated in response to many different stresses as well as viral infections (for a general review of TIA1, see Anderson et al. [1]), but also includes stresses that are very relevant to disease, such as arsenite, glucocorticoids, A $\beta$  and tau oligomers [37, 82, 87, 88]. In each case, the resulting TIA1 SGs co-localize with hyperphosphorylated tau. However, comparison of SGs associated with TIA1 and G3BP1 demonstrate that SGs are not uniform species [54]. The neuronal SY5Y cell line exhibits a strong stress response after glucocorticoid treatment, exhibiting both TIA1 and G3BP1 positive SGs [82]. Interestingly, hyperphosphorylated tau inclusions strongly colocalize with TIA1-positive SGs, but show little colocalization with G3BP1-positive SGs [82]. The relevance of this point becomes clearer when considering tau pathology *in vivo* (discussed below), where one sees induction of both TIA1 and G3BP1 pathology with disease, but only TIA1 colocalizes with hyperphosphorylated tau [87]. In neurons under basal conditions TIA1 is also abundant in

the nucleus, but also has some presence in the cytoplasm [88]. However, in tau knockout neurons, TIA1 is completely nuclear [88]. In addition, under conditions of stress (e.g., A $\beta$  toxicity), TIA1 exhibits reduced translocation to the cytoplasm in tau KO neurons (Wolozin, personal communication). Conversely, over-expressing tau increases SG formation and the associated repression of protein synthesis [60, 87, 88].

Independent approaches support the intimate link between tau, SGs and translational control. Tau is known to exist in dendrites near dendritic boutons [36]. These small tau granules appear to be linked to translational control/protein synthesis because chemicals that modulate protein synthesis affect the tau granule distribution. Cycloheximide, which inhibits protein synthesis and inhibits SG formation, also prevents clustering of tau into granules in the dendritic arbor. Conversely, puromycin, which also inhibits protein synthesis but stimulates SG formation, enhances clustering of tau into granules in the dendritic arbor [88]. Other SG inhibitors, such as the protein kinase R inhibitor C16 or the PERK inhibitor GSK2606414 also prevent coalescence of tau with SGs [88]. Immunoprecipitating TIA1 identifies tau in the protein interactome network, as well as many other RBPs for which binding to TIA1 requires tau, and immunoprecipitating tau identifies multiple co-associating RBPs [26, 35, 55, 88, 90]. These data demonstrate that the biology of tau is tightly connected with that of SGs and translational control.

Tau exhibits a tendency to phase separate in the presence of RNA *in vitro* much like RBPs, as discussed in the Chaps. 24 and 25 by Kosik and by Wegmann [18, 97]. The propensity of tau to phase separate might facilitate its interaction with RBPs and the formation of SGs, although this point has yet to be empirically demonstrated. Hyperphosphorylation of tau increases its propensity to form droplets *in vitro*, which suggests that tau hyperphosphorylation might function to promote the formation of phase separated complexes of tau, RBPs and RNA [18]. However, tau also has a strong tendency to fibrillize, and studies of human tau in neurons show that hyperphosphorylation renders tau prone to irreversible aggregation [18]. Thus, the consolidation of tau into droplets and SGs might increase the tendency of tau to aggregate, thereby enhancing a pathway that leads to neurodegeneration.

### **Tau Colocalizes with RNA Binding Proteins in Disease**

These cell culture studies complement cogent pathological data. The connection between tau and RBPs is evident in pathological tissues from human cases of AD, FTD-tau as well as animal models of tauopathy. Molecular pathology studies show colocalization of pathological tau (hyperphosphorylated or misfolded) with multiple RBPs [2, 3, 55, 82, 87, 88]. The degree of co-localization detected in human tissues, though, likely under-represents reality because detection of RBPs *in situ* decreases dramatically with fixation time [55]. RBPs are abundantly detectable at <2 h of fixation and remain readily detectable at <24 h of fixation, but become difficult to detect at >48 h of fixation [55]; this sensitivity to fixation duration impacts greatly on staining of human tissues because most human cases have been fixed for much more than 48 h.

The pattern of SG pathology and co-localization with tau differs dramatically based on the RNA binding protein examined. TIA1, colocalizes strongly with pathological tau in human



tissues [87]. In contrast, rasGAP-binding protein (G3BP) only shows weak colocalization with phosphorylated tau, despite exhibiting increased accumulation in neurons with increasing disease severity [87]. In animal tissues, where shorter fixation times are possible, tau is observed to co-localize with multiple other RBPs, including DDX6, eIF2 $\alpha$ , hnRNPA0, and PABP [55, 82]. Interestingly, the pattern of reactivity appears to differ with the type of pathology. Co-localization of tau with RBPs is strongest with smaller inclusions; mature neurofibrillary tangles exhibit accumulation of RBPs adjacent to the pathological tau tangles, suggesting the hypothesis that the RBPs become excluded as tau consolidates to form the mature tangle [55].

The putative dysfunction of RBPs and RNA metabolism in tauopathy can be tested by examining RNA splicing. If RBPs become sequestered as protein aggregates in persistent pathological SGs, then one might expect to observe effects on RNA metabolism when examined through the lens of RNAseq. Indeed, multiple transcriptome studies show dramatic changes in RNA transcriptomes in tauopathies. Studies from several laboratories, including our own, show that splicing is dramatically altered in animal models of tauopathy as well as in cases of AD [2, 3, 6, 27, 28, 63, 81]. The changes in splicing are far greater than the comparatively modest changes in transcript levels. Since the spliceosome is made up of RBPs, the large changes in splicing that occur with disease are consistent with a model in which RBPs become sequestered away from spliceosomes in the nucleus leading to dysfunctional splicing.

### **Tau Oligomers Mediate Interactions with RNA Binding Proteins**

Animal models provide insight into the mechanisms underlying the interaction of tau with RBPs, SGs and the translational stress response. Our laboratory recently crossed PS19 P301S tau mice with TIA1 $^{-/-}$  mice, and demonstrated that reducing TIA1 *in vivo* provides striking rescue of the degenerative phenotype associated with the PS19 P301S tau mice [2, 3]. Reducing TIA1 expression by 50% greatly decreased the number and size of cytoplasmic pathological TIA1 granules (which colocalize with SG markers). The TIA1 reduction also yielded striking rescue of behavior, neuronal and synaptic degeneration, cortical thickness, as well as a 26% increase in survival despite the continued five-fold over-expression of tau [2, 3]. TIA1 reduction also decreased the amount of hyperphosphorylated tau evident at 3 months of age [2, 3]. This acute reduction in tau pathology is consistent with cell culture studies showing that TIA1 knockdown also provides neuroprotection and reduces tau pathology [88].

Insights into the mechanism of tau/TIA1 interactions arise from our studies examining the mice at later ages, as well as from a subsequent study comparing the propagation of oligomeric and fibrillar tau. Aging of the P301S tau::TIA1 $^{+/+}$  and  $^{+/-}$  mice showed dramatic changes in the aggregation of tau. The P301S tau::TIA1 $^{+/-}$  exhibited striking (90%) reduction in the accumulation of oligomeric tau at 9 months, and an equally striking increase (>10-fold) in fibrillar tau at 9 months of age. Analysis by immune-electron microscopy demonstrated that TIA1 binds to phosphorylated tau oligomers but not tau fibrils; the ability of TIA1 to increase tau oligomerization (assessed by ELISA) confirmed

this observation [88]. These data suggest the hypothesis that TIA1 interacts selectively with phosphorylated tau oligomers.

The selective interaction between tau oligomers and TIA1 is supported by independent studies of tau propagation. Tau oligomers and fibrils were isolated from 9-month P301S tau mice, and propagated in both WT and P301S tau mice; the results were similar for both but more striking in the mice over-expressing human tau [37]. Both oligomeric and fibrillar tau exhibited robust propagation, which is consistent with Chaps. 30 and 31 and multiple reports in the literature [15, 47, 79]. The experimental design also allowed side by side comparison of toxicity, with the results showing that oligomeric tau produced abundant tau pathology and abundant neurodegeneration while the fibrillar tau produced abundant tau pathology but no degeneration evident after 3 months of propagation [37]. This confirms prior studies suggesting that oligomeric tau is much more toxic than fibrillar tau. [80, 86]. These studies suggest that oligomeric or misfolded forms of tau are toxic, drive cognitive decline, and act through a mechanism that occurs before or independently of the development of NFTs [73, 80, 95].

The propagation studies also demonstrated the strong link between oligomeric tau and TIA1-positive SGs. The oligomeric tau propagated tau pathology that co-localized with cytoplasmic TIA1-positive SGs, as shown by co-localization with TIA1, PABP and eIF3 $\eta$ ; this was true in both the ipsilateral and contralateral cortex providing clear demonstration of tau propagation [37]. In contrast, the fibrillar tau propagated nicely, but showed no colocalization with TIA1-positive granules. An additional mechanistic link between TIA1 and tau was evident from similar studies performed in P301S tau::TIA1+/- . These mice showed abundant propagation of fibrillar tau, but very little (if any) propagation of oligomeric tau, which provides support for the hypothesis that TIA1 selectively interacts with oligomeric tau.

## **A Model for the Interactions of Tau in Stress and with RNA Binding Proteins**

The accumulating data presented above suggest a model in which phosphorylated tau accumulates in the somatodendritic arbor where it oligomerizes and then interacts with TIA1 and possibly other RNA binding proteins and/or ribosomal proteins. Binding of tau to these proteins appears to promote the translational stress response, which reduces synthesis of specialized proteins (such as those related to synaptic function) and increases synthesis of proteins needed for the stress response. The proline directed phosphorylation that is characteristic of the stress response also inhibits binding of tau to microtubules, perhaps allowing for more tau oligomerization and interaction with the translational machinery. Chronic stress, though, leads to the accumulation of oligomeric tau which is toxic, although the mechanism of toxicity is not currently known.

## **Therapeutic Approaches Based on Modulating SGs and the Translational Stress Response**

One of the most important aspects of studying the relationship between tau, RBPs, SGs and the translational stress response is the possibility of innovative disease therapies. The



biology of SGs involves multiple biochemical pathways, some of which not been considered previously in the context of neurodegenerative diseases.

The classic SG/translational stress response is regulated by phosphorylation of eukaryotic initiation factor 2 (eIF2 $\alpha$ -P); this pathway has been studied by a number of different laboratories. In presence of stress, stress-related kinases, including PERK, PKR, HRI and GCN2, phosphorylate eIF2 $\alpha$  trigger the assembly of SGs that inhibit global cellular protein synthesis [93]. Chronic diseases produces a sustained stress response, persistent SGs and continued global translational repression [8, 45, 77, 85]. These observations suggest the hypothesis that the translational stress response is too active, and inhibiting the stress response might be beneficial in neurodegenerative disease. One of the first studies demonstrating the value of inhibiting the translational stress response was performed in a mouse prion model, where Mallucci and colleagues demonstrated that reducing eIF2 $\alpha$  phosphorylation (by expressing the phosphatase adapter protein, GADD34) relieves the translational repression caused by eIF2 $\alpha$ -P and delays neurodegeneration [66]. Studies in *drosophila* and in rat primary cortical neurons expressing TDP-43 show that inhibiting the phosphorylation of eIF2 $\alpha$  alleviates the toxicity induced by TDP-43 [43]. Similar approaches have now also been shown to apply to inhibition of toxicity associated with tau, as well as with A $\beta$  [51, 52, 59, 88]. These studies point to inhibition of eIF2 $\alpha$  phosphorylation as a potential therapeutic intervention to neurodegenerative diseases. However, the clinical value of each of these approaches is limited by toxic liabilities. For example, PERK inhibition leads to pancreatic toxicity, although partial inhibition might be clinically tolerated [29], and inhibition of PKR enables reemergence of retroviruses [68].

Other teams are developing innovative small molecule therapeutics to inhibit the accumulation of persistent pathological SGs. Our group used neuronal PC12 cells inducibly over-expressing TDP-43 to screen a library of brain penetrant small molecules [10]. This screen lead to the identification of 16 hits that reduced the accumulation of TDP-43 inclusions [10]. Some of these compounds were able to improve survival of neurons in a *C. elegans* model of over-expressing TDP-43, suggesting the potential for *in vivo* efficacy [10]. Cell lines expressing TDP-43 have also been used to screen a variety of other putative therapeutics, with promising results although these approaches have yet to be tested *in vivo* [13, 67].

An alternative approach for disease modifying therapy has been direct therapeutic targeting of RBPs for therapy. Studies in this area have focused on delaying neurodegeneration in models of tauopathy, based on tau over-expression, and models of ALS based on TDP-43 over-expression [2, 3, 7]. The discussion of tau, described above, demonstrates how reducing TIA1 in the P301S tau mouse model rescues memory loss, reduces neurodegeneration and improves survival [2, 3]. Protection is also be achieved by TIA1 knockdown with shRNA directed against TIA1 [88]. TIA1 reduction also protects against tauopathy in a model of tau propagation, which indicates that reducing TIA1 provides generalized protection against tau pathology produced within neurons as well as propagated among neurons [37]. These results suggest that reducing TIA1 might provide broad-based neuroprotection in AD and other tauopathies.

The therapeutic potential of reducing RNA binding proteins has also been examined in a model of ALS based on over-expressing TDP-43 [7]. These studies focused on ATXN2, which participates in RNA metabolism, contributing to RNA splicing, and degradation [39, 72]. ATXN2 contains a domain with a small number of CAG trinucleotide repeats (producing glutamines) whose expansion is associated with disease. Disease linked mutations in ATXN2 that expand the CAG domain to 34 or more repeats cause the neurodegenerative disorder spinocerebellar ataxia type 2 (SCA2) [24]. However, disease linked mutations that expand the CAG domain to 27–33 repeats increase the risk of ALS, with associated TDP-43 pathology [19]. The link between ATXN2 and TDP-43 was strengthened with the observation that reducing ATXN2 significantly extends survival in an animal model of ALS based on TDP-43 overexpression [7]. Knockout and antisense-mediated knockdown of ATXN2 in TDP-43 transgenic mice decreased SGs containing TDP-43, reduced the accumulation of phosphorylated TDP-43 spinal cord inclusions, improved the motor performance and increased the median lifespan by 35% [7]. These findings indicate that ATXN2 plays a crucial role in the development of pathological SGs and augmentation of TDP-43 toxicity.

Targeting other regulators of RNA metabolism has also been shown to ameliorate disease. McGurk et al. [58] found that downregulating Tankyrase 1 and 2, a poly (ADP-ribose) polymerase, reduced the formation of cytoplasmic TDP-43 foci without affecting the SG assembly. PAR binds to the PAR-binding motif in the N-terminal region of TDP-43 and is necessary for its sequestration in SGs in mammalian cells and neurons upon exposure to stress [58]. Inhibiting Tankyrase significantly increases nuclear TDP-43 and decreases cytoplasmic TDP-43 without affecting the total TDP-43 expression levels and increases survival percentage of flies expressing TDP-43 in the brain. Inhibiting Tankyrase prevents the stress-induced formation of cytoplasmic TDP-43 foci without altering the dynamics and assembly of SGs. TDP-43 also becomes phosphorylated upon prolonged exposure to stress, but the phosphorylation appears to stimulate aggregation of TDP-43 through a pathway that does not co-localize with SG markers [58]. Since phospho-TDP-43 is associated with disease pathology, this TDP-43 phosphorylation pathway might identify a disease-relevant pathway [70]. Since tankyrase downregulation increases nuclear TDP-43 and decreases cytoplasmic TDP-43, inhibiting tankyrase might reduce the amount of cytoplasmic TDP-43 that is available to be phosphorylated and provide a potential pharmacological intervention for diseases associated with pathological TDP-43 [58].

The most striking success in delay of neurodegenerative disease based on targeting RNA metabolism comes from the field of spinal muscular atrophy (SMA). This disease is caused by a missense mutation that causes exon skipping that produces an inactive form of the gene survival of motor neuron 1 (SMN1) [50]. Teams of investigators from Ionis Pharmaceuticals and Biogen developed antisense oligomers capable of correcting the exon skipping, which increases levels of SMN1. Multiple clinical trials now demonstrate that application of these antisense oligomers to children with SMA produces striking rescue from disease, and prolonged delay of disease progression [21, 22, 61, 62]. The striking ability of these antisense oligomers to delay disease progression and actually improve clinical conditions in patients with SMA now serves as a bench post for future therapies.

## Conclusion

The work covered in this chapter presents a cogent paradigm for understanding the pathophysiology of tauopathy. Accumulating data suggest that tau becomes hyperphosphorylated and oligomerize as part of an endogenous mechanism to promote the translational stress response. Tauopathies, though, are chronic diseases. The prolonged stress leads to a persistent stress response, which provides time for oligomeric tau to accumulate, cause toxicity and neurodegeneration. We show that tau-mediated neurodegeneration occurs through a mechanism that is mediated by RNA binding proteins and the translational stress response. Discovery of the role of RNA metabolism in tauopathy opens a wide variety of novel therapeutic approaches. The abundant studies targeting TDP-43-mediated disease demonstrate the large variety of approaches designed to therapeutically modulate RNA metabolism, and indicate strong potential for success in disease modification in models of ALS based on abrogating TDP-43 mediated disease. These studies complement the documented success of targeting RNA metabolism modify disease progression in animal models of tauopathy as well as  $\beta$ -amyloidosis. The success in treating SMA point to a new era for therapy of neurodegenerative diseases, and suggest that the right type of therapy can actually go beyond delay of disease progression to actually halt disease progression and improve clinical outcomes for some neurodegenerative diseases. Taken together, these approaches point to a future in which novel therapeutic approaches might be able to significantly delay disease progression in tauopathy.

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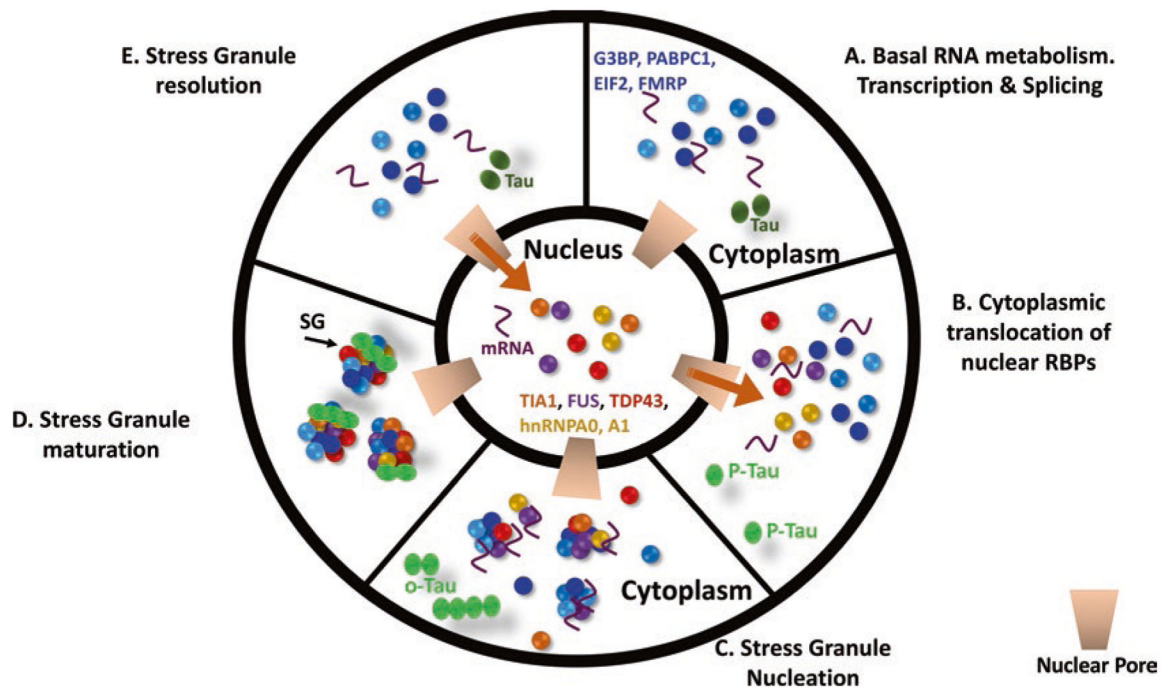
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**Fig. 26.1.**

The stress granule cycle. **(a)** Under basal conditions most RNA binding proteins are nuclear, while some are predominantly cytoplasmic. **(b)** With stress, nuclear RNA binding proteins exit the nucleus through the nuclear pore (arrow) and enter the cytoplasm. **(c)** The SGs begin to nucleate. Proteins such as TIA1, TIAR, TTP, G3BP and FMRP are primary nucleators of SGs. **(d)** The SGs mature over time, consolidating and beginning to incorporate multiple secondary proteins that include RNA binding proteins as well as proteins with functions independent of RNA (e.g., autophagy, apoptosis). **(e)** Upon resolution of the stress, the SGs dissolve and nuclear RNA binding proteins return to the nucleus

Table 26.1

Physiological and pathophysiological functions of RBPs and non-RBPs implicated in neurodegenerative diseases

|                                    | Proteins  | Functions   | Contributions to pathophysiology of neurodegenerative diseases   |
|------------------------------------|---|---|--|
| Nucleating RBPs in stress granules | <b>T-cell intracellular antigen 1 (TIA1)</b>                  | Primarily a nuclear protein that is involved in RNA metabolism and in the assembly of cytoplasmic SGs in response to cellular stress.   | LCD mutations in TIA1 are associated with ALS and myopathies. The mutations accelerate TIA1 aggregation, and promote formation of TDP-43 pathology.  |
|                                    | <b>Fragile X mental retardation protein (FMRP)</b>            | An mRNA-binding protein present in the neuronal cell body, proximal dendrites, and axons. It mediates translation and mRNA trafficking, including dendritic localization.   | Moderate expansion of CGG nucleotide repeats in FMR1, the gene coding for FMRP, are linked to FXTAS. Major expansions silence cause FXS and silence expression.  |
|                                    | <b>Tristetrapolin (TTP)</b>                                   | Member of a family of proteins containing tandem CCCH zinc fingers. It is responsible for regulating mRNA dynamics such as stabilizing mRNA, regulating mRNA decay, mediating posttranscription, and regulating TNF- $\alpha$ transcript. | TTP positive inclusions in the cytoplasm increase with disease state, but observed to co-localize with phospho-tau only in late stage diseased brains of transgenic mice expressing human P301L mutant 4R tau isoform. |
|                                    | <b>Ras GTPase activating binding protein (G3BP1 &amp; 2)</b>  | A cytoplasmic RNA binding protein that functions in cell proliferation and RAS signaling pathway, and regulates protein nuclear localization through its NTF2-like domain.  | G3BP cytoplasmic granules increase in number and size with disease severity in the brain of transgenic mice expressing human P301L mutant 4R tau isoform.  |
| Secondary RBPs in stress granules  | <b>TAR DNA-binding protein (TDP-43)</b>                       | Primarily a nuclear protein that binds to DNA and RNA and is involved in RNA metabolism, microRNA biogenesis, apoptosis, and cell division.   | TDP-43 is a major constituent of pathological, ubiquitinated inclusions present in ALS, AD and some FTDS.  |
|                                    | <b>Fused in Sarcoma (FUS)</b>                                 | A member of FET family and primarily binds to DNA, RNA, and splicing factors, and regulates DNA damage-repair, transcription, and splicing.   | FUS mutations are linked to ALS and result in the cytoplasmic aggregates of FUS in ALS, FTD, and polyglutamine diseases.   |
|                                    | <b>Ataxin-2 (ATXN2)</b>                                       | A cytoplasmic protein that is involved in multiple RNA processes including RNA metabolism, splicing, and degradation. It also plays a role in endocytosis, mTOR signaling, and in mitochondrial functioning.                              | ATXN2 with more than 34 contiguous CAG repeats are associated with SCA2, while repeat lengths between 27-33 increase the risk of ALS.  |
|                                    | <b>Survival of motor neuron (SMN)</b>                         | Functions in the assembly of snRNPs and in the formation of 3'-end of histone mRNAs. It mediates the localization of mRNA and RBPs, and serves as a molecular chaperone for RNA complexes.  | Disease-associated mutations in SMN1 gene locus result in the reduction of SMN1 protein levels causing the molecular defects associated with SMA.  |
|                                    | <b>Heterogenous nuclear ribonucleo-protein (hnRNP A2/B1)</b>  | An RBP associated with pre-mRNA in the nucleus and is responsible for pre-mRNA metabolism and transport. It primarily binds to UAG[G/A] motifs in 3' UTR.   | Mutations in the LCD of hnRNP A2/B1 accelerate aggregation, promote hnRNP A2/B1 sequestration into cytoplasmic SGs, and lead to aggregates in multisystem proteinopathy and ALS.                                       |
|                                    | <b>Ewing Sarcoma RBP1 (EWSR1)</b>                             | A member of the FET family that is primarily localized in the nucleus for most cell types. It is involved in RNA transcription, processing, and DNA repair.   | Mutations in EWSR1 are associated with ALS. Motor neurons from sporadic ALS patient samples exhibit a cytoplasmic distribution of EWSR1 that can be diffuse or localized to punctate granular structures.              |
| Non-RBPs                           | <b>TATA-box binding protein associated factor 15 (TAF15)</b>  | A member of the FET family and also involved in RNA processing. Interacts with RNA polymerase II and associated with TFIID complexes to take part in gene transcription.  | In FTL-D-FUS or ALS, TAF15 co-localizes with FUS, where it occurs in cytoplasmic inclusions in neurons and glia, as well as in dystrophic neurites of neurons.   |
|                                    | <b>Caprin-1</b>   | A cytoplasmic phosphoprotein that is ubiquitously expressed and is necessary for the progression of cells in the G1-S phase of the cell cycle. It is also present in mRNPs of postsynaptic granules in neuronal dendrites.                | Caprin-1 overexpression induces eIF2 $\alpha$ phosphorylation which stalls protein translation and drives the formation of cytoplasmic SGs.  |
|                                    | <b>Histone deacetylases 6 (HDAC6)</b>                         | A histone deacetylase that primarily resides in the cytoplasm where it binds with non-histone proteins. HDAC6 is important for cytoskeletal regulation and the stress response.   | In AD, HDAC6 co-localizes with tau, which augments tau toxicity. While, in PD, HDAC6 promotes sequestration of $\alpha$ -syn toxic oligomers, which is cytoprotective to dopaminergic neurons.                         |
|                                    | <b>Sirtuin 6 (SirT6)</b>                                      | A nuclear sirtuin that plays essential roles in cellular stress response, energy homeostasis, inflammation, DNA repair, genomic stability, and aging.   | SirT6 depletion in cells leads to increases in tau stability, tau phosphorylation by GSK3 $\beta$ and apoptosis. Brains from AD cases exhibit reduced SirT6 protein and mRNA.  |
|                                    | <b>Nucleoporin 98 (Nup98)</b>                                 | Functions in the assembly of NPC. Nup98 contains the Phe-Gly repeat domain and is responsible for nuclear import and export, mitotic progression, and regulation of gene expression.  | Nup98 triggers tau aggregation <i>in vitro</i> , interacts with phospho-tau in neurons, and co-localizes with NFTs <i>in vivo</i> .  |
|                                    | <b>Transportin 1 (Karyopherin-<math>\beta</math>2; KPNB2)</b> | A nuclear transport receptor that interacts with nuclear localization signals to transport proteins through the nuclear pore into the nucleus. For FUS, it has been shown to mediate nuclear transport through binding to the PY motif.   | NLS-associated mutations in FUS reduces binding to KPNB2 and impairs nuclear import; this deficit results in the redistribution of FUS in the cytosol, recruitment SGs and formation of cytoplasmic aggregates of FUS. |

ALS: Amyotrophic Lateral Sclerosis; fALS: familial ALS; AD: Alzheimer's disease; FXTAS: Fragile X-associated Tremor/Ataxia syndrome; FXS: fragile X syndrome; TNF- $\alpha$ : Tumor Necrosis Factor Alpha; NTF2-like domain: N-terminal Nuclear Transport Factor 2-like domain; FTD:

Frontotemporal Dementia; SCA2: Spinocerebellar Ataxia Type 2; NPCs: Nuclear Pore Complex; NLS: Nuclear Localization Signal; mRNP: Ribonucleoprotein; RBPs: RNA binding proteins; snRNPs: Small Nuclear Ribonucleoproteins; SMA: Spinal Muscular Atrophy.

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