

Impact of transplantation on neutrophil extracellular trap formation in patients with end-stage renal disease

A single-center, prospective cohort study

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Abstract

Increased neutrophil extracellular trap (NET) formation associates with high cardiovascular risk and mortality in patients with end-stage renal disease (ESRD). However, the effect of transplantation on NETs and its associated markers remains unclear. This study aimed to characterize circulating citrullinated Histone H3 (H3cit) and Peptidyl Arginase Deiminase 4 (PAD4) in ESRD patients undergoing transplantation and evaluate the ability of their neutrophils to release NETs.

This prospective cohort study included 80 healthy donors and 105 ESRD patients, out of which 95 received a transplant. H3cit and PAD4 circulating concentration was determined by enzyme-linked immunosorbent assay in healthy donors and ESRD patients at the time of enrollment. An additional measurement was carried out within the first 6 months after transplant surgery. In vitro NET formation assays were performed in neutrophils isolated from healthy donors, ESRD patients, and transplant recipients.

H3cit and PAD4 levels were significantly higher in ESRD patients (H3cit, 14.38 ng/mL [5.78–27.13]; PAD4, 3.22 ng/mL [1.21–6.82]) than healthy donors (H3cit, 6.45 ng/mL [3.30–11.65], $P < .0001$; PAD4, 2.0 ng/mL [0.90–3.18], $P = .0076$). H3cit, but not PAD4, increased after transplantation, with 44.2% of post-transplant patients exhibiting high levels (≥ 27.1 ng/mL). In contrast, NET release triggered by phorbol 12-myristate 13-acetate was higher in neutrophils from ESRD patients (70.0% [52.7–94.6]) than healthy donors (32.2% [24.9–54.9], $P < .001$) and transplant recipients (19.5% [3.5–65.7], $P < .05$).

The restoration of renal function due to transplantation could not reduce circulating levels of H3cit and PAD4 in ESRD patients. Furthermore, circulating H3cit levels were significantly increased after transplantation. Neutrophils from transplant recipients exhibit a reduced ability to form NETs.

Abbreviations: CYA = cyclosporine A, eGFR = estimated glomerular filtration rate, ELISA = enzyme-linked immunosorbent assay, ESRD = end-stage renal disease, H3cit = citrullinated histone H3, HD = hemodialysis, IRI = Ischemia-Reperfusion Injury, NET

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= neutrophil extracellular trap, PAD4 = peptidyl arginase deiminase 4, PD = peritoneal dialysis, PDN = prednisone, PMA= phorbol 12-myristate 13-acetate, RRT = renal replacement therapy, sCr = serum creatinine, TAC = tacrolimus, THY = thymoglobulin.

Keywords: citrullinated histone H3, end-stage renal disease, NETosis, neutrophils, peptidyl arginase deiminase 4, transplantation

1. Introduction

Renal replacement therapy (RRT) is lifesaving in patients with End-Stage Renal Disease (ESRD). Nonetheless, patients on peritoneal dialysis (PD), hemodialysis (HD), and transplantation have a lower life expectancy and quality of life than the general population and are at increased risk of cardiovascular events and infections associated with immune system dysfunction.^[1–3] RRT restores kidney function but cannot wholly reverse the alterations in innate and adaptive immunity present in ESRD patients. Moreover, RRT's choice can induce additional immune changes and influence the patient's inflammatory state.^[4,5] Hence, advancing our knowledge in the mechanisms responsible for the immune abnormalities observed in ESRD patients, with and without RRT, could help us develop new therapeutic strategies to reduce their susceptibility to cardiovascular disease and bacterial and viral infections.

Neutrophils, innate immune cells that act as the first line of defense at sites of infection and tissue damage, execute their functions through phagocytosis, degranulation, cytokine production, and Neutrophil Extracellular Trap (NET) formation.^[6,7] NETs are extracellular, mesh-like structures formed by decondensed chromatin and various proteins that can neutralize and eliminate pathogens to prevent them from spreading. The hypercitrullination of histones catalyzed by Peptidyl Arginine Deiminase Type 4 (PAD4) is crucial for chromatin release and NET formation, making citrullinated histone 3 (H3cit) and PAD4 useful markers of NETosis.^[8,9] Since NETs' first official characterization by Brinkmann V. et al in 2004, several studies revealed the unexpected role of NETs as drivers of autoimmunity and tissue damage in sterile inflammation.^[6,7,10,11] NETs also provide a platform for complement activation and thrombus formation during coagulation and have been associated with glomerular injury, vascular dysfunction, and fibrosis in several renal diseases.^[12–14]

As patients with chronic kidney disease progress to a terminal stage, their neutrophils become more abundant and activated.^[15] Neutrophils from ESRD patients exhibit enhanced phagocytosis, degranulation, autophagy, and oxidative stress, though their transmigration ability and bactericidal efficiency appear to be diminished.^[16–20] Moreover, patients on maintenance HD have increased NET formation and circulating nucleosomes than healthy individuals, events associated with chronic inflammation, endothelial dysfunction, coronary artery disease, and increased mortality.^[17,21–23]

The study of neutrophils and NETosis in transplanted organs has centered on the ischemia-reperfusion injury, a consequence of transplant surgery.^[24–26] Nonetheless, neutrophils are well-established regulators of inflammation, tolerance, and allograft damage in transplant recipients.^[27] NETs are pathogenic and accumulate in bronchoalveolar lavage fluid from human lung transplant recipients with primary graft dysfunction and occur perioperatively during liver transplantation.^[28,29] Interestingly, a cross-sectional study by Torres-Ruiz J. et al found enhanced spontaneous NETosis in neutrophils from kidney transplant recipients even in the absence of rejection and NETs in biopsies of patients diagnosed with acute antibody-mediated rejection.^[30]

Despite this evidence, the role of NETosis in renal transplantation has not been thoroughly investigated.

This study's primary objectives were to characterize circulating NET-associated markers, H3cit and PAD4, in ESRD patients undergoing transplantation and determine whether transplantation influences neutrophils' ability to form NETs in vitro.

2. Materials and methods

2.1. Study design

In this prospective cohort study, we enrolled ESRD patients that fulfilled the inclusion criteria (any gender, aged ≥ 18 years, candidates for transplantation independent of their dialysis status or the cause of chronic kidney disease) and their living kidney donors. Patients were recruited between May 2018 and April 2019 in the Transplantation Unit at the UMAE- Hospital de Especialidades, Instituto Mexicano del Seguro Social in Guadalajara, Jalisco, Mexico. In addition, a 6 month follow-up was performed in the subset of ESRD patients who received a renal transplant after enrollment. Patients that experienced allograft loss or death within the follow-up period were excluded from the study. All transplant recipients received a triple immunosuppressive scheme based on prednisone (PDN), mycophenolate mofetil, and a calcineurin inhibitor, either tacrolimus (TAC) or Cyclosporine A (CYA). Calcineurin inhibitors were individually adjusted according to serum levels by their clinicians.

This study evaluated the biochemical parameters and NET-associated events at 2 different time points: pre-transplantation and the first 6 months after the transplant surgery. Evidence suggests that many allografts exhibit detrimental molecular changes and histological damage noticeable as soon as the first year post-surgery.^[31,32] Thus, we hypothesized that NET-associated changes that arise early, within the first 6 months after transplantation, could reflect immunological processes that precede pathological evidence in the allograft.

The guidelines for conducting observational studies Strengthening the Reporting of Observational studies in Epidemiology were followed. The final sample size was determined by the number of renal transplant candidates and their living donors that accepted to participate in the present study enrolled in our hospital between May 2018 and April 2019. Bias from loss to follow-up was prevented by selecting a study population, transplant recipients, that is closely monitored in our hospital during the first year post-transplantation. The Research and Ethics Committee at Instituto Mexicano del Seguro Social approved the study (CNIC protocol number: R-2018-785-003). The procedures comply with the 2013 Fortaleza amendment of the Declaration of Helsinki, local regulations regarding Health Research, and institutional regulations. All subjects provided written informed consent before enrollment.

2.2. Data collection

Baseline information of ESRD patients was collected at enrollment. Laboratory measurements, corresponding to each sample collection time, were assayed by routine laboratory

techniques and retrieved from the patient's electronic medical records with the transplant procedure's information. Data collected for ESRD patients included age, gender, weight, height, systolic and diastolic blood pressure, and RRT type. Additional data was collected for transplant recipients including donor type (living/deceased), donor age, donor sex, number of transplants, induction therapy, and immunosuppression. Laboratory measurements included hemoglobin (Hb), white blood cell (WBC) count, neutrophil count, lymphocyte count, platelet count, urea, serum creatinine (sCr), and glucose. The estimated glomerular filtration rate (eGFR) was calculated using the Chronic Kidney Disease Epidemiology Collaboration equation.^[33]

2.3. Quantification of NETosis markers

Blood samples collected into dry tubes were centrifuged at 3500 × g, and serum was retrieved, aliquoted, and stored at -80°C until processing. NETosis markers, H3cit and PAD4, were quantified by enzyme-linked immunosorbent assay using commercially available kits according to the manufacturer's instructions (Cayman Chemical, Ann Arbor, MI). An Epoch microplate spectrophotometer system (Biotek, Winooski, VT) was used to measure absorbance at 450 nm. Their upper quartile defined the high levels of H3Cit and PAD4 in ESRD patients before and after transplantation.

2.3.1. Neutrophil isolation. Human neutrophils were isolated from peripheral blood collected into tubes containing ethylenediaminetetraacetic acid. The anticoagulated blood was layered over an equal volume of Polymorphoprep (Axis-Shield, Oslo, Norway) in sterile polypropylene 15 ml tubes. Tubes were centrifuged for 30 minutes at 450 × g with no brake, and neutrophils were recovered from the lower band. The purity of neutrophil preparations (> 85%) was confirmed by nuclear morphology.

2.4. In vitro NETosis assays

Sytox Green (Thermo Fisher Scientific, Inc, Waltham, MA), a nucleic acid stain, was used to observe NETosis. Briefly, purified neutrophils were resuspended in RPMI 1640 medium (Thermo Fisher Scientific, Inc, Waltham, MA). 2×10^5 cells were seeded onto glass coverslips placed into 24-well plates and treated with dimethyl sulfoxide or phorbol 12-myristate 13-acetate (PMA) (Sigma-Aldrich; Merck Millipore) at a concentration of 50 nM. Sytox Green was added to a final concentration of 500 nM. Cells underwent NETosis for 2 hours at 37°C and 5% CO₂. Then, the cells were fixed in 4% paraformaldehyde for 10 minutes at room temperature. Fluoroshield Mounting Medium (Abcam, Cambridge, UK) was added before placing the coverslips on top of glass slides. Images were collected with a Cytation 5 Cell Imaging Multi-Mode Reader (BioTek, Winooski, VT) using a 10X objective. Total cells and NET-forming cells were manually quantified using the ImageJ 1.51 Software (National Institutes of Health, Bethesda, MD) in 5 fields per individual. NETosis was calculated as follows: % NETs = (Number of NET-forming cells / Number of total cells) × 100.

2.5. Statistical analysis

Continuous variables are expressed as mean ± standard error of the mean or median (interquartile range). Categorical variables are presented as numbers (percentages) and compared by Pearson chi-squared test or Fisher exact test when appropriate. Normality

was assessed with the Shapiro–Wilk test. Levene test was used to determine the equality of variances. Differences between groups for normally distributed variables were compared using the Student or the Welch *t*-test depending on the equality of variances. The Mann–Whitney *U* test was used to compare 2 non–normally distributed continuous variables, while more than 2 groups were compared using the Kruskal–Wallis test, followed by Dunn test for each pairwise comparison. Correlations were tested by Spearman correlation coefficient, as indicated. Data pre- and post-transplantation were assessed with the Wilcoxon rank test. NETosis markers were divided into 2 groups based on their upper quartile to define high H3cit and PAD4 in ESRD patients. All the transplant recipients included in the study were followed-up, there was no missing data. *P* < .05 is considered statistically significant. Data were analyzed using the IBM SPSS Statistics for Windows, version 25 (IBM Corp., Armonk, NY) and GraphPad Prism software version 6 (GraphPad Software Inc, CA).

3. Results

This study included one hundred five ESRD patients and eighty healthy donors. ESRD patients had a mean age of 30.8 ± 8.6 years, seventy-three (69.5%) were male, and thirty-two (30.5%) were female. The eGFR of only 3 (2.9%) ESRD patients was 15 ml/min/1.73 m² or higher; the remaining one-hundred two patients (97.1%) had Chronic Kidney Disease stage 5. The mean time for sampling before transplantation was 19 ± 36 days. A subset of ninety-five (90.5%) ESRD patients that received a transplant after enrollment were further studied. Eighty living donors with a mean age of 39.7 ± 10.9 years, forty (50%) males and forty (50%) females, comprised the healthy donor group.

3.1. Detection of NETosis markers in ESRD patients

We quantified the NETosis markers H3cit and PAD4 in serum of ESRD patients and healthy donors. Both markers were significantly higher in ESRD patients (H3cit, 14.4 ng/mL [5.8–27.1]; PAD4, 3.2 ng/mL [1.2–6.8]) when compared to controls (H3cit, 6.5 ng/mL [3.3–11.7], *P* < .0001; PAD4, 2.0 ng/mL [0.9–3.2], *P* = .0076) (Fig. 1A, B). There was a positive correlation between serum levels of H3Cit and PAD4 (*r* = 0.5928, *P* < .0001) (Fig. 1C).

Our ESRD cohort consisted of eleven (10.5%) pre-dialysis patients and ninety-four (89.5%) patients on different forms of RRT. Thirty-eight (36.2%) were on PD, thirty-five (33.3%) had received PD and HD, and twenty-one (20.0%) were on HD. Serum levels of H3cit and PAD4 were not significantly different between pre-dialysis patients and ESRD patients grouped by RRT (Fig. 1D, E). However, we did observe that a larger percentage of patients on PD, over 30%, had a high concentration of NETosis markers defined by the upper quartile, H3cit (≥ 27.1 ng/mL) and PAD4 (≥ 6.8 ng/mL), as shown in Figure 1F.

3.2. Characteristics of ESRD patients in relation to circulating levels of H3cit and PAD4

ESRD patients were grouped according to their high versus low levels of H3cit and PAD4 (Table 1). Age, gender, body mass index, hypertension, and being on pre-dialysis did not associate with NETosis markers (Table 1). The laboratory measurements of the twenty-six patients with high H3Cit (≥ 27.1 ng/mL) did not differ from those of the seventy-nine patients with low H3Cit (Table 1).

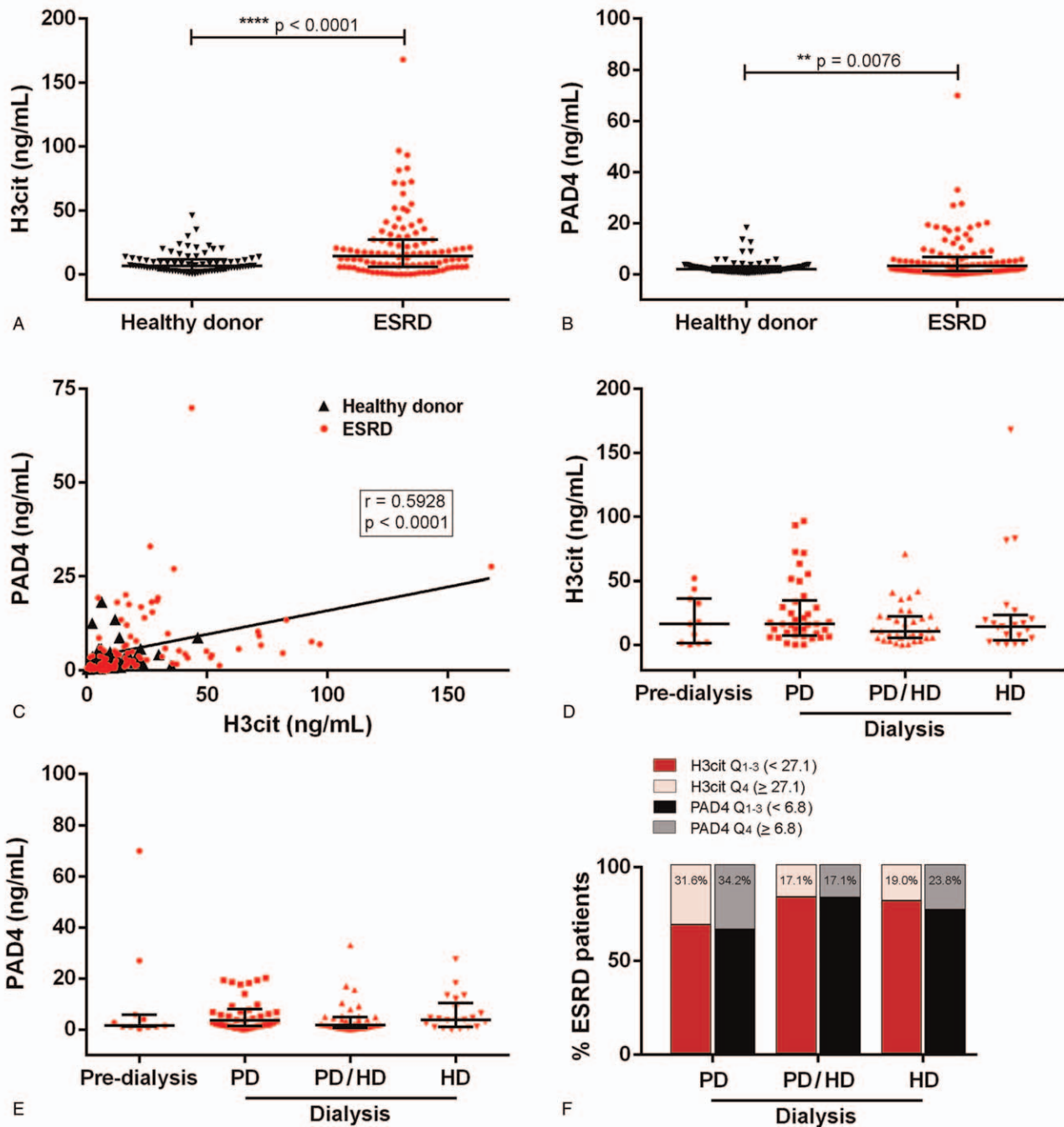


Figure 1. Detection of NETosis markers in ESRD patients and healthy donors. (A) H3cit and (B) PAD4 were quantified in serum from ESRD patients (n = 105) and healthy donors (n = 80) by ELISA. Results are shown as median and IQR, each data point represents a unique patient/healthy donor; ***P* < .01, *****P* < .0001 by Mann-Whitney *U* test. (C) For all samples, correlation of H3cit with PAD4 was assessed. Spearman's correlation coefficient was calculated and is shown in the panel. (D) H3cit and (E) PAD4 serum levels were compared according to dialysis status (pre-dialysis, PD, PD/HD, and HD). Results are shown as median and IQR, each data point represents a unique ESRD patient. (F) Percentage of ESRD patients with high H3cit and PAD4 (Q4) according to dialysis modality. ELISA = enzyme-linked immunosorbent assay, ESRD = end-stage renal disease, IQR = interquartile range.

3.3. Changes in biochemical parameters and NET markers after transplantation

Transplantation data, including the combination of donor/recipient sex, the type of induction therapy, and the maintenance immunosuppression received, are shown in Table 2. Ninety (94.7%) of the ESRD patients enrolled in the study received a kidney transplant for the first time; for the remaining 5 (5.3%), this was their second transplant. Most allografts, eighty-eight

(92.6%), were provided by living donors, with only 7 (7.4%) ESRD patients receiving a deceased donor graft. The most commonly used induction therapies in our cohort were basiliximab (44.2%), thymoglobulin (40.0%), and thymoglobulin plus intravenous immunoglobulin (11.6%). The maintenance immunosuppression consisted of prednisone (starting with a dose of 1 mg/kg/day with reduction doses until reaching 5 mg/day a month after transplantation) and mycophenolate

Table 1**Demographic and biochemical characteristics of ESRD patients in relation to circulating levels of H3cit and PAD4.**

Variable	Low vs High H3cit			Low vs High PAD4		
	Q1–3 n=79	Q4 n=26	P-value	Q1–3 n=79	Q4 n=26	P-value
NETosis markers						
H3cit, ng/mL	< 27.1	≥ 27.1	–	9.7 [5.4–19.7]	26.7 [16.6–50.5]	< .0001
PAD4, ng/mL	1.9 [0.9–4.9]	6.4 [4.0–14.1]	< .0001	< 6.8	≥ 6.8	–
Demographic data						
Age, years	30.7 ± 8.8	31.2 ± 7.9	.546	31.1 ± 8.8	30.0 ± 8.1	.615
Male, n (%)	56 (70.9)	17 (65.4)	.597	54 (68.4)	19 (73.1)	.650
BMI, kg/m ²	23.6 ± 3.6	23.6 ± 3.6	.093	23.8 ± 3.5	22.9 ± 3.7	.879
Hypertension, n (%)	50 (63.3)	15 (57.7)	.610	50 (63.3)	15 (57.7)	.610
Pre-dialysis, n (%)	7 (8.9)	4 (15.4)	.459	9 (11.4)	2 (7.7)	.728
Laboratory measurements						
Hb, g/dL	10.2 ± 1.9	10.4 ± 2.9	.429	10.3 ± 2.2	10.3 ± 2.0	.944
WBC count, x 10 ³ /μL	6.3 [5.4–8.4]	6.7 [5.4–9.6]	.399	6.4 [5.4–9.0]	6.3 [5.3–9.5]	.841
Neutrophils x 10 ³ /μL	4.0 [3.2–5.1]	4.6 [3.5–7.4]	.429	4.0 [3.2–5.8]	4.1 [3.3–6.6]	.471
Lymphocytes, x 10 ³ /μL	1.3 [0.9–1.8]	1.5 [1.0–2.0]	.187	1.3 [0.9–1.7]	1.4 [1.0–1.9]	.450
Platelets, x 10 ³ /μL	225 [194–264]	247 [190–298]	.588	228 [194–274]	221 [183–261]	.568
N/L ratio	24.0 ± 56.3	10.1 ± 31.2	.806	20.8 ± 51.5	19.9 ± 52.4	.789
P/L ratio	0.6 ± 1.1	0.3 ± 0.9	.195	0.5 ± 1.1	0.5 ± 1.0	.463
Urea, mmol/L	21 [16–25]	19 [16–21]	.160	21 [16–24]	19 [16–25]	.464
sCr, μmol/L	1085 [737–1353]	1052 [556–1236]	.411	1085 [718–1353]	1045 [690–1323]	.752
eGFR, mL/min/1.73 m ²	4.3 [3.3–6.8]	4.7 [3.8–6.2]	.528	4.6 [3.3–6.3]	4.7 [3.6–7.8]	.608
Glucose, mg/dL	95 [83–109]	91 [80–105]	.487	96 [82–110]	85 [81–100]	.113

Data are expressed as mean ± standard error of the mean or median [IQR]. The *P*-values for continuous variables were calculated with unpaired *t*-test (parametric comparisons) or the Mann-Whitney *U*-test (non-parametric comparisons). The *p*-values for categorical variables were calculated with Pearson's chi-squared test. *P* < .05 is considered statistically significant. eGFR = estimated glomerular filtration rate, H3cit = citrullinated histone H3, L = lymphocyte, N = neutrophil, P = platelet, PAD4 = Peptidyl arginine deiminase 4, sCr = serum creatinine, WBC = white blood cells.

Table 2**Baseline characteristics of ESRD patients undergoing transplantation.**

Variables	Transplanted ESRD patients n=95
Donor age, yr	40.6 ± 10.9
Donor sex male, n (%)	45 (47.4)
Donor vital status, n (%)	
Living	88 (92.6)
Deceased	7 (7.4)
Recipient age, years	31.0 ± 8.6
Recipient sex male, n (%)	67 (70.5)
Combination of donor/recipient sex, n (%)	
Male/Male	30 (31.6)
Male/Female	15 (15.8)
Female/Male	36 (37.9)
Female/Female	13 (13.7)
Unknown/Male	1 (1.0)
Induction therapy, n (%)	
BSX	42 (44.2)
THY	38 (40.0)
THY, IVIG	11 (11.6)
THY, IVIG, RIX, PPH	1 (1.1)
THY, IVIG, BSX, PPH	1 (1.1)
THY, IVIG, PPH	2 (2.0)
Maintenance immunosuppression, n (%)	
TAC, MMF, PDN	84 (88.4)
CYA, MMF, PDN	11 (11.6)
Follow-up after transplantation, months	4.0 ± 1.6
eGFR at follow-up, mL/min/1.73 m ²	77.5 ± 25.0
H3cit at follow-up, ng/mL	31.5 ± 29.3
PAD4 at follow-up, ng/mL	7.0 ± 11.4

Data are expressed as mean ± standard error of the mean. BSX = basiliximab, CYA = cyclosporine A, eGFR = estimated glomerular filtration rate, H3cit = citrullinated histone H3, IVIG = intravenous immunoglobulin, MMF = mycophenolate mofetil, PAD4 = Peptidyl arginine deiminase 4, PDN = prednisone, PPH = plasmapheresis, RIX = rituximab, TAC = tacrolimus, THY = thymoglobulin.

mofetil (1–2 g/24 h), plus a calcineurin inhibitor. Most transplant recipients, 88.4%, received TAC (3–11 mg/d) adjusted by clinicians based on the patient's serum levels (9–15 ng/ml in the first 30 days and 8–10 ng/ml during follow-up).^[34]

We then assessed changes in biochemical parameters and NETosis markers 4.0 ± 1.6 months after the transplant surgery in this subset of patients. As shown in Table 3, the Hb concentration increased after transplantation (10.2 ± 2.2 g/dL to 13.0 ± 1.7 g/dL, *P* < .0001) as expected due to improved renal function in our cohort. The number and function of immune cells can be affected after transplantation by multiple causes including ischemia-reperfusion injury (IRI), immunosuppressive agents, rejection, and infections.^[35] Here, we found a significant reduction in WBCs (6.4 × 10³/μL [5.4–9.1] to 6.2 × 10³/μL [4.6–7.8], *P* = .03) and neutrophils (4.0 × 10³/μL [3.2–6.2] to 3.8 × 10³/μL [3.0–4.8], *P* = .026) post-transplantation; accompanied by an increase in lymphocytes (1.3 × 10³/μL [0.9–1.9] to 1.4 × 10³/μL [0.9–2.1], *P* = .022) and platelets (227 × 10³/μL [193–274] to 267 × 10³/μL [230–314], *P* < .0001). The N/L ratio improved after transplantation (20.3 ± 52.3 to 3.7 ± 3.3, *P* = .033), though the values remained higher than those previously reported in healthy subjects,^[36] suggesting a subclinical inflammatory state in transplant recipients.

As a natural result of transplantation, the values of urea (20 mmol/L [16–25] to 7 mmol/L [6–9], *P* < .0001) and sCr (1085 μmol/L [714–1353] to 106 μmol/L [84–137], *P* < .0001) decreased drastically and were accompanied by a significantly improved eGFR (4.6 mL/min/1.73 m² [3.4–6.3] to 75.8 mL/min/1.73 m² [56.9–93.6], *P* < .0001). Glucose was also lower in these patients after transplantation (95 mg/dL [83–107] to 87 mg/dL [81–96], *P* = .002) (Table 3).

When we looked at circulating NET markers, we found that the post-transplant levels of H3cit (22.7 ng/mL [8.5–49.4]), but nor PAD4, were significantly increased when compared to pre-

Table 3
Changes in NETosis markers and laboratory measurements after transplantation.

Variables	Transplantation		P-value
	Pre n=95	Post n=95	
NETosis markers			
H3cit, ng/mL	13.4 [5.8–27.3]	22.7 [8.5–49.4]	.0008
PAD4, ng/mL	2.8 [1.2–6.8]	4.5 [1.6–8.6]	.1544
Laboratory measurements			
Hb, g/dL	10.2±2.2	13.0±1.7	<.0001
WBC count, x 10 ³ /μL	6.4 [5.4–9.1]	6.2 [4.6–7.8]	.030
Neutrophils x 10 ³ /μL	4.0 [3.2–6.2]	3.8 [3.0–4.8]	.026
Lymphocytes, x 10 ³ /μL	1.3 [0.9–1.9]	1.4 [0.9–2.1]	.022
Platelets, x 10 ³ /μL	227 [193–274]	267 [230–314]	<.0001
N/L ratio	20.3±52.3	3.7±3.3	.033
P/L ratio	0.5±1.1	0.3±0.3	.806
Urea, mmol/L	20 [16–25]	7 [6–9]	<.0001
sCr, μmol/L	1085 [714–1353]	106 [84–137]	<.0001
eGFR, mL/min/1.73 m ²	4.6 [3.4–6.3]	75.8 [56.9–93.6]	<.0001
Glucose, mg/dL	95 [83–107]	87 [81–96]	.002

Data are expressed as mean ± standard error of the mean or median [IQR]. The *P*-values for continuous variables were calculated with paired-samples *t*-test (parametric comparisons) or the Wilcoxon Rank Test (non-parametric comparisons). *P* values <.05 are considered statistically significant. eGFR = estimated glomerular filtration rate, H3cit = citrullinated histone H3, L = lymphocyte, N = neutrophil, P = platelet, PAD4 = Peptidyl arginine deiminase 4, sCr = serum creatinine, WBC = white blood cells.

transplant levels (13.4 ng/mL [5.8–27.3], *P* = .0008) (Fig. 2A, B). In addition, circulating H3cit had a strong positive correlation with platelet count (*r* = 0.2132, *P* = .0381) (Fig. 2D). In contrast, no significant correlation was observed between H3cit levels and neutrophils (Fig. 2C) or uremic burden (Fig. 2E, F).

3.4. Association of NETosis markers with transplantation baseline characteristics and biochemical parameters post-transplantation

Next, we decided to compare transplant recipients' biochemical parameters according to their circulating NET markers' levels. As shown in Table 4, 44.2% of transplant recipients exhibit high levels of H3cit (≥ 27.1 ng/mL). Patients with high H3cit also had higher PAD4 levels post-transplantation (7.3 ng/mL [4.5–11.9]) vs 2.0 ng/mL [1.0–4.9], *P* < .0001). High levels of H3cit (≥ 27.1 ng/mL) or PAD4 (≥ 6.8 ng/mL) lacked association with the combination of donor/recipient sex and the induction therapy, though maintenance immunosuppression significantly associated with PAD4 (*P* = .021) (Table 4).

As shown in Table 4, transplant recipients with high H3cit had significantly higher platelet counts (291 x 10³/μL [245–330]) than those with low H3cit (256 x 10³/μL [215–298], *p* = 0.026). Meanwhile, transplant recipients with high levels of PAD4 had increased H3cit (47.6 ng/mL [27.9–60.7] vs. 16.5 ng/mL [5.8–31.4], *P* < .0001), and Hb (13.8 ± 1.6 g/dL vs 12.6 ± 1.7 g/dL, *P* = .002). Despite evidence from previous studies suggesting spontaneous neutrophil activation and increased NET formation in uremia,^[17,21] the dramatic changes in urea, sCr, and eGFR after transplantation did not associate with lower levels of NETosis markers in our cohort (Table 4).

3.5. In vitro NETosis in healthy donors, ESRD patients, and transplant recipients

In several pathological states, the inflammatory environment modulates NET release.^[10] Hence, we investigated whether neutrophils isolated from healthy donors, ESRD patients, and transplant recipients exhibit a distinct ability to form NETs in

vitro after being stimulated with PMA. Representative fluorescent images of neutrophils treated with dimethyl sulfoxide, as control, or PMA are shown in Figure 3A. We observed that neutrophils from several ESRD patients appeared to be highly activated and formed NETs even in the absence of a stimulus, as evidenced by changes in DNA morphology observed with Sytox green (Fig. 3A). Moreover, neutrophils from ESRD patients released more NETs in response to PMA (70.0% [52.7–94.6]) when compared to neutrophils isolated from healthy donors (32.2% [24.9–54.9], *P* < .001) (Fig. 3A, B). Importantly, neutrophils from transplant recipients exhibited a diminished ability to form NETs in response to PMA (19.5% [3.5–65.7], *P* < .05) than ESRD patients (Fig. 3A, B). These results suggest that transplantation impacts neutrophils' ability to form NETs, though the mechanisms behind this effect remain to be explored.

4. Discussion

Extracellular trap formation, occurring under sterile inflammation conditions, has been implicated in the establishment and progression of various renal and autoimmune diseases.^[13,14] In this study, we investigated NET formation in ESRD patients undergoing transplantation. The nuclear translocation of PAD4 in activated neutrophils induces chromatin decondensation and promotes NET formation through histone citrullination.^[8] Thus, to determine the presence of NET-associated markers, we quantified H3cit and PAD4 in patients' serum and found higher circulating levels in ESRD patients than in healthy donors. Interestingly, the levels of H3cit further increased in these patients within the first 6 months after transplant surgery. We also investigated whether ESRD and transplantation influence neutrophils' ability to form NETs in vitro and observed that neutrophils isolated from transplant recipients have a reduced ability to release NETs than neutrophils from ESRD patients. Although previous reports have correlated NETs with chronic inflammation, endothelial dysfunction, and cardiovascular events in patients with chronic kidney disease,^[17,21,23] this is, to our knowledge, the first cohort study that characterizes

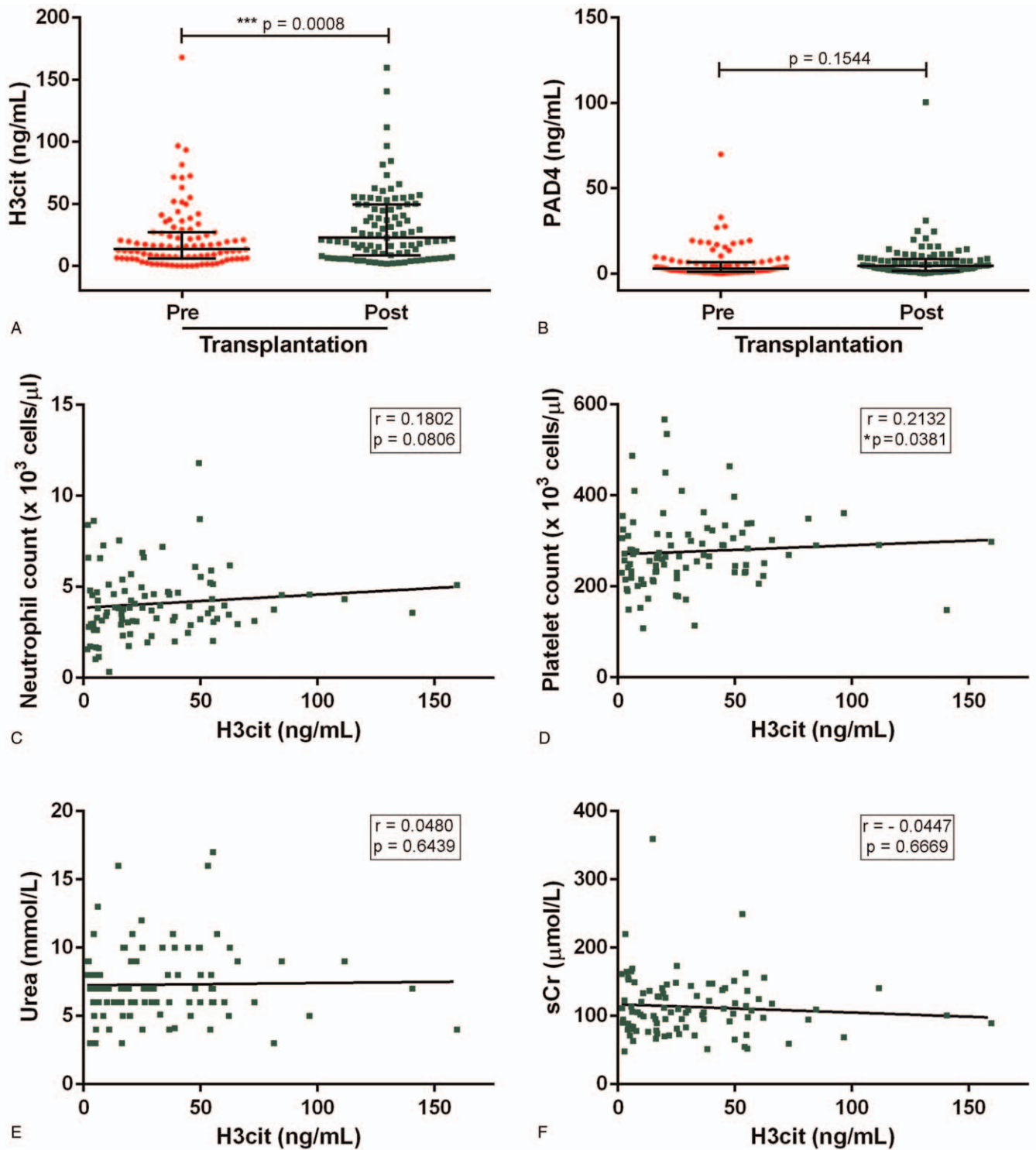


Figure 2. Changes in NETosis markers after renal transplantation. (A) H3cit and (B) PAD4 were quantified in serum from ESRD patients pre- and post-transplantation (n=95) by ELISA. Results are shown as median and IQR, each data point represents a unique patient/healthy donor; $*** P < .001$ by Wilcoxon rank test. For post-transplant samples, correlation of H3cit with (C) neutrophil count, (D) platelet count, (E) urea, and (F) sCr was assessed. Spearman's correlation coefficients were calculated and are shown in each panel. ELISA = enzyme-linked immunosorbent assay, ESRD = end-stage renal disease, IQR = interquartile range.

Table 4
Characteristics of transplant recipients in relation to circulating levels of H3cit and PAD4.

Variable	Low vs High H3cit			Low vs High PAD4		
	Q1–3 n=53	Q4 n=42	P-value	Q1–3 n=65	Q4 n=30	P-value
NETosis markers						
H3cit, ng/mL	< 27.1	≥ 27.1	–	16.5 [5.8–31.4]	47.6 [27.9–60.7]	< .0001
PAD4, ng/mL	2.0 [1.0–4.9]	7.3 [4.5–11.9]	< .0001	< 6.8	≥ 6.8	–
Transplant characteristics						
Donor age, years	39.8±11.7	41.6±9.9	.438	41.0±11.1	39.6±10.6	.569
Recipient age, years	31.6±9.2	30.2±7.8	.421	31.6±9.6	29.6±5.8	.838
Combination of donor/recipient sex, n (%)			.814			.650
Male/Male	17 (32.1)	13 (31.0)		19 (29.2)	11 (36.7)	
Male/Female	9 (17.0)	6 (14.3)		10 (15.4)	5 (16.7)	
Female/Male	18 (34.0)	18 (42.8)		24 (36.9)	12 (40.0)	
Female/Female	8 (15.1)	5 (11.9)		11 (16.9)	2 (6.6)	
Unknown/Male	1 (1.8)	0 (0)		1 (1.5)	0 (0)	
Induction therapy, n (%)						
BSX	22 (41.5)	20 (47.6)	.837	28 (43.1)	14 (46.7)	.909
THY	21 (39.6)	17 (40.5)		27 (41.5)	11 (36.7)	
THY, IVIG	7 (13.2)	4 (9.5)		7 (10.8)	4 (13.3)	
THY, IVIG, RIX, PPH	1 (1.9)	0 (0)		1 (1.5)	0 (0)	
THY, IVIG, BSX, PPH	1 (1.9)	0 (0)		1 (1.5)	0 (0)	
THY, IVIG, PPH	1 (1.9)	1 (2.4)		1 (1.5)	1 (3.3)	
Immunosuppression, n (%)						
TAC/MMF/PDN	49 (92.5)	35 (83.3)	.206	61 (93.8)	23 (76.7)	.021
CYA/MMF/PDN	4 (7.5)	7 (16.7)		4 (6.2)	7 (23.3)	
Laboratory measurements						
Hb, g/dL	12.7±1.7	13.4±1.8	.060	12.6±1.7	13.8±1.6	.002
WBC count, x 10 ³ /μL	5.8 [4.1–7.8]	6.3 [5.4–7.6]	.215	6.1 [4.1–7.8]	6.2 [5.4–7.6]	.459
Neutrophils x 10 ³ /μL	3.8 [2.8–4.8]	4.0 [3.2–4.8]	.259	3.8 [2.7–5.0]	3.8 [3.2–4.7]	.628
Lymphocytes, x 10 ³ /μL	1.1 [0.7–2.1]	1.6 [1.1–2.1]	.152	1.4 [0.8–2.2]	1.6 [1.0–2.1]	.398
Platelets, x 10 ³ /μL	256 [215–298]	291 [245–330]	.026	267 [230–314]	268 [229–319]	.933
N/L ratio	3.8±3.2	3.6±3.4	.664	4.0±3.7	3.2±2.1	.651
P/L ratio	0.3±0.3	0.2±0.2	.374	0.3±0.3	0.2±0.2	.208
Urea, mmol/L	7 [6–8]	7 [6–9]	.557	7 [6–9]	6 [6–9]	.808
sCr, μmol/L	105 [81–139]	107 [91–137]	.851	105 [81–139]	111 [95–130]	.611
eGFR, mL/min/1.73m ²	72.7 [54.1–92.9]	78.7 [59.4–97.1]	.378	75.2 [56–100.7]	76.8 [57.7–91.0]	.858
Glucose, mg/dL	88 [82–94]	86 [81–96]	.713	88 [81–97]	85 [81–91]	.210

Data are expressed as mean ± standard error of the mean or median [IQR]. The P-values for continuous variables were calculated with unpaired t-test (parametric comparisons) or the Mann-Whitney U test (non-parametric comparisons). The P-values for categorical variables were calculated with Pearson Chi-square test or Fisher exact test. P < .05 is considered statistically significant. BSX=basiliximab, CYA=cyclosporine A, eGFR=estimated glomerular filtration rate, H3cit=citrullinated histone H3, IVIG=intravenous immunoglobulin, L=lymphocyte, MMF=mycophenolate mofetil, N=neutrophil, P=platelet, PAD4=Peptidyl arginine deiminase 4, PDN=prednisone, PPH=plasmapheresis, RIX=rituximab, sCr=serum creatinine, TAC=tacrolimus, THY=thymoglobulin, WBC=white blood cells.

circulating NET-associated markers in ESRD patients before and after transplantation.

Neutrophils are activated in ESRD patients, but it is difficult to distinguish if their alterations result from enhanced inflammatory processes, RRT-related procedures such as chronic dialysis, or a combination of both.^[20,37] Recurrent exposure of blood to dialysis membranes can result in transient neutropenia, increased adhesion of neutrophils to peripheral vascular walls, complement activation, and release of neutrophilic lysosomal enzymes, cytokines, and reactive oxygen species.^[37–39] NETosis has been implicated in the elevated levels of cell-free DNA found in plasma after the hemodialytic procedure,^[40] and high interstitial hemodynamic forces stimulate neutrophils to release NETs rapidly.^[41] Recent studies have found neutrophil dysfunction and increased NETosis in patients on maintenance HD.^[17,21,23] Our results extend these findings to pre-dialysis patients, ESRD patients on PD, and patients that had received a combination of HD and PD. Though we initially hypothesized that the dialysis status would affect NETosis markers, we found that pre-dialysis was not significantly associated with lower levels of H3cit or

PAD4. Furthermore, whether patients were on HD, PD, or had received both did not significantly influence NETosis markers' levels, despite evidence that HD associates with more neutrophil activation than PD.^[42] However, our study had several limitations when assessing the influence of RRT on NETosis. First, the number of pre-dialysis patients included in our cohort was small. Additionally, we were unable to control for confounding factors such as the time ESRD patients had been on dialysis before transplantation and the specific details regarding each dialysis treatment. Thus, the impact of dialysis vintage on NETosis remains to be determined. More studies are needed to dissect the influence of dialysis on neutrophil activation, NETosis, and ESRD patients' outcomes.

Here, we did not find hyperglycemia to be associated with high levels of H3cit in ESRD patients despite previous reports in which elevated blood glucose levels induce NETosis and NET-related biomarkers (neutrophil elastase, nucleosomes, and double-stranded DNA) increase in patients with type 2 diabetes compared to non-diabetic subjects.^[43] In our cohort of ESRD patients undergoing transplantation, blood glucose levels

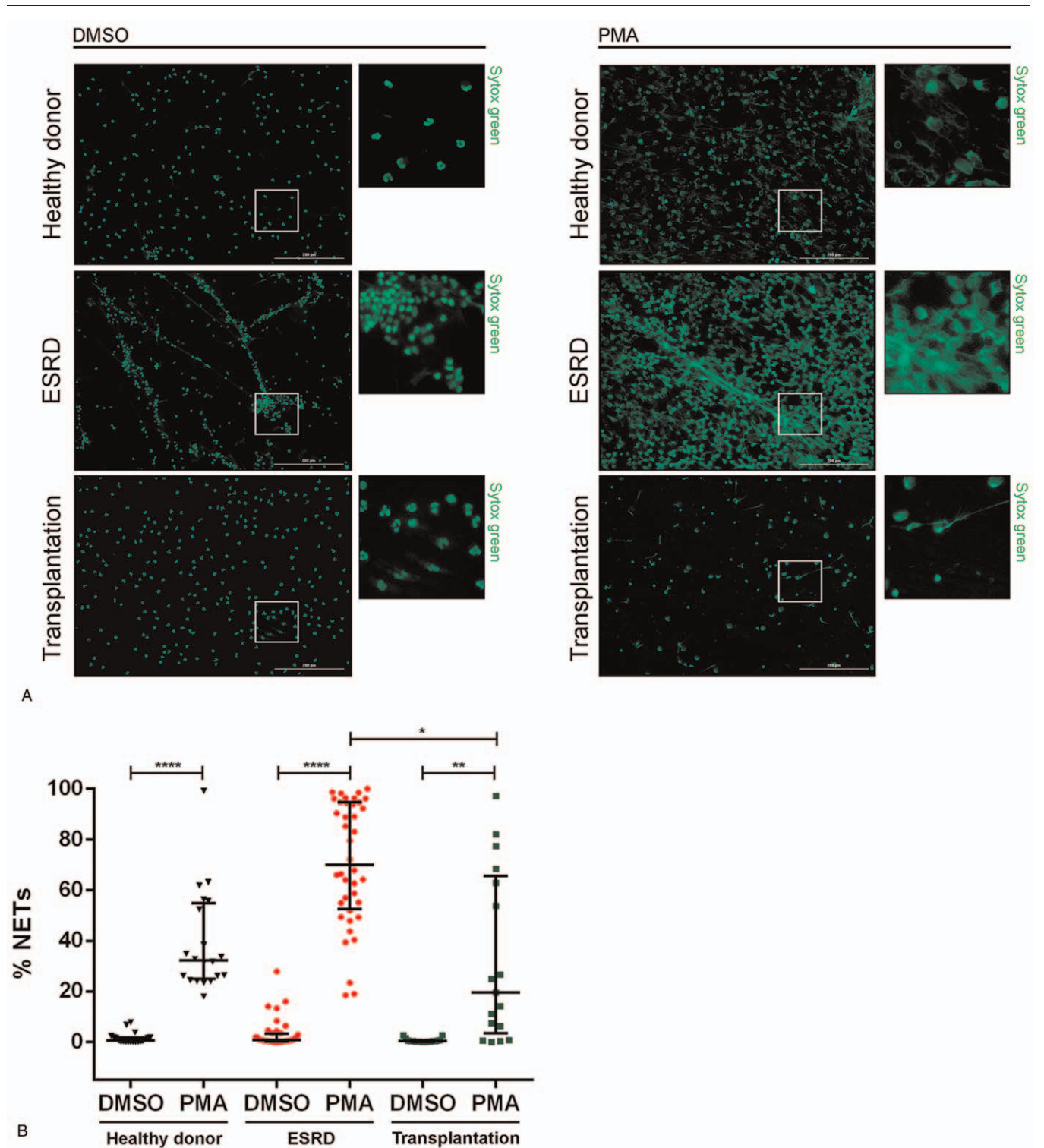


Figure 3. In vitro NETosis is reduced in transplant recipients. (A) Representative fluorescent images of NETosis induced in neutrophils of healthy donors (n=20), ESRD patients (n=40), and transplant recipients (n=17) by DMSO (control) or PMA. DNA appears as a green stain and was detected with SYTOX Green. Scale bar: 200 μm. (B) Quantification of NETosis in 5 fields per individual. Results are shown as median and IQR, each data point represents a unique patient/healthy donor; *P < 0.05, **P < 0.01, ****P < .001 by Kruskal–Wallis test, followed by Dunn test for each pairwise comparison. DMSO = dimethyl sulfoxide, IQR = interquartile range.

decreased within the first 6 months after the surgery, suggesting that the high levels of circulating H3cit post-transplantation found in these patients are not linked to elevated blood sugar.

Transplantation often leads to new-onset diabetes and worsens preexisting hyperglycemia partly due to the metabolic and cytotoxic effects of immunosuppressant drugs.^[44,45] Despite our

findings, interventions to monitor and manage transplant recipients' hyperglycemia may have clinically significant implications for the long-term patient and graft survival by diminishing the risk of endothelial dysfunction, infections, and cardiovascular disease exacerbated by dysregulated NETosis.

Neutrophils are considered a marker of transplant injury since they infiltrate into transplanted organs early and have a deleterious role in IRI, sterile tissue damage that results from transplant surgery and is exacerbated by the release of neutrophil's oxidative and proteolytic effectors.^[46] There is compelling evidence that in renal IRI, infiltrating neutrophils undergo NETosis and release cytotoxic damage-associated molecular patterns, like histones, that worsen inflammation, tubular epithelial cell injury, and remote organ injury.^[24] Although IRI is an important trigger of NETosis, we believe that the contribution of these acute inflammatory events occurring shortly after transplant surgery is negligible as the earliest measurement of NET formation and NET-associated markers in our cohort occurred > 30 days after the surgery.

There is evidence that the uremic platelet dysfunction present in ESRD patients is partially reversed by renal transplantation.^[47] In our cohort, transplantation increased platelet count, and serum levels of H3cit post-transplantation positively correlated with platelets, but not neutrophils. Activated complement proteins can stimulate NET formation, and NETs decorated with platelets, in turn, act as a platform for complement activation and thrombus formation during coagulation.^[12] Platelets play an essential but often overlooked role in cardiovascular disease,^[48] making NET-associated events relevant for transplant recipient outcomes.

The transition of neutrophils from a basal state into a primed one is disrupted in ESRD patients.^[49] Multiple studies have suggested that uremic conditions prime neutrophils and enhance their functional responses linked to inflammation, oxidative stress, and NET formation.^[50–52] In our cohort, higher levels of circulating H3cit were detected in ESRD patients within 6 months after transplant surgery despite the drastic decrease in uremic burden. Uremic toxins associate with immune dysfunction and are known to activate neutrophils spontaneously.^[53] Moreover, uremia is a factor that has been directly associated with the increased NET formation and high atherosclerosis burden.^[21,54] Our results challenge this notion and suggest that stimuli other than uremia are increasing circulating H3cit in transplant recipients. It is important to note that circulating H3cit levels had a broad distribution pattern in ESRD patients pre- and post-transplantation. The high H3cit levels could then be an indicator of subclinical conditions specific to each patient at sample collection time. In the 6 months of follow-up of our cohort, 1 transplant recipient suspected of acute rejection (not confirmed by biopsy) received methylprednisolone 250 mg-1g/24 h/3 days and 2 other patients experienced cytomegalovirus infections. However, neither of these events occurred at the time of NETosis marker assessment.

In line with our findings, Torres-Ruiz J. et al also found increased circulating levels of H3cit-DNA complexes in kidney transplant recipients.^[30] Furthermore, their analysis of NETosis in plasma and tissue samples revealed that kidney transplant recipients with acute antibody-mediated rejection had a higher amount of peripheral circulating NETs, and NET-associated neutrophils were observed in the kidney biopsies from these patients. More investigation regarding the prognostic significance of circulating H3cit for post-transplantation events such as

calcineurin inhibitors toxicity, allograft rejection, and bacterial or viral infections is needed.

In the present study, we did not determine the origin of H3Cit found in serum. Initially, we considered NETs the most probable source since multiple studies have demonstrated that ESRD patients have increased neutrophil activation and NET formation.^[17,21] Our in vitro results show that neutrophils of ESRD patients release NETs more readily when stimulated with PMA than neutrophils isolated from healthy donors. We even observed that a proportion of ESRD neutrophils undergo spontaneous NETosis in the absence of PMA. However, when we evaluated in vitro NETosis in neutrophils from transplant recipients, we found that their ability to release NETs in response to PMA was significantly reduced compared to neutrophils from ESRD patients. This finding was unexpected, based on the high H3cit levels found in the serum of transplant recipients. Thus, we do not rule out that the origin of H3cit could be independent of NETosis. Interestingly, Torres-Ruiz J. et al found enhanced spontaneous NETosis in kidney transplant recipients compared to healthy donors, but their ability to release NETs was not potentiated by the presence of lipopolysaccharide.^[30] In contrast with their study, we did not observe spontaneous NETosis in unstimulated neutrophils post-transplantation. The discrepancies between their findings and ours could be due to differences in patients' characteristics, such as the fact that they included transplant recipients up to 52.4 months after transplant surgery. Additionally, NETs were induced by PMA instead of lipopolysaccharide in our study. The use of a different NET inducer could also be partly responsible for the differences among studies since evidence suggests that NETs induced by different stimuli are heterogeneous in protein content and post-translational modifications, potentially exhibiting different biological effects.^[55] Additionally, our NETosis in vitro assays post-transplantation had the limitation that neutrophils could only be isolated from a small percentage of the patients included in the study.

A critical element that could be affecting neutrophil function post-transplantation is immunosuppression.^[56,57] In our cohort, patients received calcineurin inhibitors (TAC or CYA). Thus, we do not exclude the idea that immunosuppressant-associated effects could be responsible for the decreased NETosis post-transplantation observed in this study. Moreover, fluctuations in the blood levels of immunosuppressants are robustly related to poor kidney graft function, whereas high drug level variability promotes toxicity, donor-specific antibody development, and allograft rejection.^[58–61] Although all transplant recipients included in this study received a standard triple immunosuppressive scheme in which clinicians individually adjusted TAC or CYA levels during follow-up, we did not directly measure immunosuppressant drugs blood levels at the time of NETosis marker assessment or NET formation assays. Additionally, corticosteroids have been shown to impair the production of reactive oxygen species in granulocytes and inhibit NET formation in vitro.^[62] Furthermore, a double-blind, randomized, placebo-controlled multicentre trial of community-acquired pneumonia demonstrated that prednisone treatment modulates NETosis in the circulation, highlighting a bidirectional interaction of corticosteroids and NETs.^[63] Thus, we cannot rule out that fluctuations in immunosuppressant drug level and prednisone-associated effects could be partly responsible for the diminished ability of neutrophils to release NETs in vitro post-transplantation.

5. Conclusion

In conclusion, our study demonstrates that the NET-associated markers H3cit and PAD4 are increased in ESRD patients independently of their dialysis status. Transplantation further increased circulating H3cit levels, suggesting that other factors different from uremia promote its release into the circulation. Neutrophils isolated from transplant recipients have a reduced ability to form NETs in vitro in response to PMA. Further studies are needed to understand the implications of NETosis and NET-associated markers for transplantation outcomes.

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