Original Article

Incidence and Mortality of Proximal and Distal Colorectal Cancer in Germany

Trends in the Era of Screening Colonoscopy

Rafael Cardoso, Anna Zhu, Feng Guo, Thomas Heisser, Michael Hoffmeister, Hermann Brenner

Summary

<u>Background:</u> The use of colonoscopy has increased and colorectal cancer (CRC) incidence has decreased after the introduction of screening colonoscopy in Germany. However, it remains unknown to what extent progress has been achieved in the prevention of cancer in the proximal colon, distal colon, and rectum.

<u>Methods:</u> We analyzed trends in CRC incidence (2000–2016) and mortality (2000–2018) in Germany by sex, age, and tumor location.

<u>Results:</u> The age-standardized incidence of CRC declined by 22.4% (from 65.3 to 50.7 per 100 000) in men and by 25.5% (from 42.7 to 31.8 per 100 000) in women. CRC mortality declined by 35.8% (from 29.6 to 19.0 per 100 000) in men and by 40.5% (19.0 to 11.3 per 100 000) in women. Despite demographic changes, the annual numbers of CRC cases and deaths still decreased from about 60 400 to 58 300 and from around 28 700 to 24 200, respectively. The decline in incidence was greatest in groups aged \geq 55 years. While the incidence of cancer in the distal colon and rectum decreased by 34.5% and 26.2%, respectively, in men and by 41.0% and 27.9% in women, the incidence of proximal colon cancer remained stable in men and decreased by only 7.0% in women. However, a major shift towards earlier stages was observed for the proximal cancers.

<u>Conclusion:</u> The results support the assumption that the increased use of colonoscopy has contributed to substantial reductions in the incidence of distal CRC incidence and the mortality from cancers in the entire colon and rectum.

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olorectal cancer (CRC) is among the most commonly occurring cancers, with approximately 1.9 million new cases and 0.9 million deaths globally in 2018 (1). Despite a recent decline in both incidence and absolute case numbers, CRC still accounts for close to 60 000 cases and 25 000 deaths per year in Germany (2). In contrast to most other cancers, a number of screening examinations are available (including fecal occult blood tests, flexible sigmoidoscopy, and colonoscopy). Both randomized controlled trials (RCTs) and observational studies have shown that these procedures have the potential to substantially reduce CRC incidence and mortality (3–6).

Table 1 shows the history of CRC screening options in Germany. Starting in October 2002, colonoscopy was offered as a primary screening examination covered by statutory health insurance. Up to 2019 screening was opportunistic, i.e., there was no organized invitation system. In the period from 2010 to 2016, more than 50% of the population in Germany aged 50 years or over reported having had a colonoscopy in the previous 10 years. Approximately half were primary screening examinations and the other half were carried out for diagnostic purposes (7).

We have already shown that CRC incidence declined substantially between 2003 and 2012, the first decade during which screening colonoscopy was offered (8). In this article, we have updated our previous analysis of trends in CRC incidence (up to 2016) and mortality (up to 2018). We paid particular attention to trends in the incidence of cancer in different subsites of the colon and rectum, as there is uncertainty and ongoing debate whether colonoscopy is equally effective in preventing proximal and distal CRC. We additionally analyzed trends in stage distribution at the time of diagnosis since the introduction of screening colonoscopy.

Methods

Data sources

Estimates of the national incidence of CRC (ICD-10 codes C18, C19, and C20) in the period 2000 to 2016 were made on the basis of figures from the German Center for Cancer Registry Data (ZfKD) (2). The mortality rates for the years 2000 to 2018 were derived

Period	Age	Test	Interval	
1977 to September 2002	≥ 45	gFOBT	Annually	
October 2002 to March 2017* ¹	50–54	gFOBT	Annually	
	≥ 55	Colonoscopy	Up to two colonoscopies \geq 10 years apart	
		Alternatively: gFOBT	Biennially	

gFOBT, Guaiac-based fecal occult blood test *¹ Changes since March 2017:

In April 2017 gFOBT was replaced by the immunological fecal immunochemical test (iFOBT).

- Since April 2019 colonoscopy is offered from age 50 years for men (unchanged for women).

Since July 2019 personal invitation letters are sent to persons aged 50, 55, 60, and 65 years.

*2 Second screening colonoscopy offered only if first screening colonoscopy was done before age 65.

from data from the Federal Health Monitoring System based on cause-of-death statistics (9). Furthermore, we used anonymized data from the individual cancer registries (eTable 1) to analyze trends in stage distribution between 2000 and 2016.

Statistical analysis

We calculated age-standardized incidence and mortality rates among men and women on the basis of the European Standard Population for 1976 and estimated average annual percent changes (AAPCs) using Joinpoint regression.

We analyzed incidence rates and stage distribution for any site in the colon and rectum (C18-C20) and for the subsites proximal colon (proximal to the left flexure, C18.0-C18.4), distal colon (C18.5-C18.7), and rectum (C19-C20).

Analyses of CRC mortality were also conducted for all sites combined (C18-C20) and for colon cancer (C18) and rectal cancer (C19-C20) separately.

Furthermore, we calculated the cumulative CRC incidence and mortality (expressed in %) for specific age groups (<55, 55-64, 65-74, 75-84, and 0-84 years) in the years 2000 to 2002 and 2014 to 2016, together with the percentage changes between these two periods. The cumulative CRC incidence and mortality reflect the probability of developing or dying from CRC in the absence of another (competing) cause of death (10).

All statistical analyses were conducted using R 3.6.1, SAS 9.4 (SAS Institute Inc., Cary, NC, USA), and the Joinpoint regression software 4.7.0.0 provided by the US National Cancer Institute (11).

Further details of the evaluation techniques can be found in the eMethods.

Results

Trends in CRC incidence and stage distribution

Between 2000 and 2016, the age-standardized incidence rates decreased from 65.3 to 50.7 per 100 000 among men [corresponding to -22.4%; AAPC -1.8; 95% confidence interval [-2.3; -1.4]) and from 42.7 to 31.8 per 100 000 among women [corresponding to -25.5%; AAPC -2.2 [-2.6; -1.8]) (Figure 1, eTable 2). Despite the demographic changes, the annual number of CRC cases decreased from about 60 400 to 58 300. Nevertheless, substantial differences were observed among the specific tumor sites (eTable 2, eFigure 1). The incidence rates of distal colon cancer and rectal cancer, respectively, declined by 34.5% (AAPC -3.0 [-3.4; -2.5]) and 26.2% (AAPC -2.1 [-2.5; -1.6]) among men and by 41.0% (AAPC -3.7 [-4.1; -3.3]) and 27.9% (AAPC -2.7 [-3.3; -2.1]) among women. By contrast, the incidence rates of proximal colon cancer remained stable (AAPC -0.2 [-0.7; 0.3]) among men and decreased by only 7.0% (AAPC -0.5 [-0.9; -0.2]) among women.

The proportion of tumors detected at stage I increased after the introduction of screening colonoscopy in 2002 and the proportion detected at stage IV decreased in later years (Figure 2, eFigure 2). These trends were found predominantly for proximal colon cancer (12% stage I and 31% stage IV cancers in 2000, 19% stage I and 26% stage IV cancers in 2016), but to a certain extent also for distal colon cancer (17% stage I and 31% stage IV cancers in 2000, 19% stage I and 28% stage IV cancers in 2016). For rectal cancer, we observed an increase in the proportion of stage I cancer at diagnosis in 2002–2004, but this did not persist in later years. There was also a substantial increase in the proportion of stage III rectal cancers over time.

Trends in CRC mortality

In line with the incidence trends, mortality rates were much higher for men than for women and decreased substantially between 2000 and 2018: for men from 29.6 to 19.0 per 100 000 (-35.8%; AAPC -2.5 [-2.7; -2.3]; for women from 19.0 to 11.3 per 100 000 (-40.5%; AAPC -3.0 [-3.2; -2.8]) (Figure 3, eTable 2). The annual number of deaths from CRC also decreased, from approximately 28 700 to 24 200. Colon cancer mortality was higher than rectal cancer mortality throughout the study period. Over the same time span,

the decrease in mortality was greater for colon cancer (men: -41.4%, AAPC -3.1 [-3.3; -2.9]; women: -44.2%, AAPC -3.3 [-3.6; -3.1]) than for rectal cancer (men: -24.5%, AAPC -1.5 [-1.7; -1.2]; women: -30.8%, AAPC -2.1 [-2.3; -2.0]).

Age-specific trends in CRC incidence and mortality

Overall, the cumulative risk of developing CRC substantially declined from 2000-2002 to 2014-2016 in both men and women (Table 2). The decreases were largest for the age groups 65-74 years (men -24.5%, women -25.9%) and 75-84 years (men -23.5%, women -30.2%) and smallest for the age group 0-54 years (men -15.3%, women -16.7%). For distal colon cancer the decline was most pronounced in persons over 55 years of age. In contrast, a much less pronounced decrease, or even an increase, was observed across the various age groups for proximal colon cancer.

In line with the incidence trends, we observed the greatest reductions in cumulative risk of CRC mortality for the age groups 55-64 years (men -32.7%, women -34.5%), 65-74 years (men -33.1%, women -37.0%), and, for women, 75-84 years (-40.4%).

Discussion

Principal findings

We found large declines in CRC incidence and mortality for the periods concerned, most pronounced in the age groups for which screening colonoscopy was offered. While the incidence of rectal cancer and in particular distal colon cancer decreased strongly, for cancers in the proximal colon no reduction in incidence was observed over time for men and only a moderate reduction for women. Nevertheless, a major shift toward detection at earlier stages was observed for proximal cancers.

Comparison with data from RCTs and observational studies

Several randomized intervention studies of screening colonoscopy are ongoing; however, final results are not expected before the late 2020s (12-14). In randomized trials of flexible sigmoidoscopy from the UK (15) and the USA (16) with long-term (16-17 years) follow-up of the study participants, intention-to-screen analyses have shown reductions of about 30-40% in distal CRC incidence and 45-50% in distal CRC mortality. A Norwegian trial found no significant declines in women, but in men there were decreases of 41% in distal CRC incidence and 35% in distal CRC mortality after 15 years of follow-up (17). Colonoscopy ought to be at least as effective in reducing distal CRC incidence and mortality; owing to its visualization of the entire colon and rectum, it can be expected also to reduce the incidence and mortality of proximal cancers (18). However, the evidence on reduction of proximal colon cancer incidence by colonoscopy remains limited and inconsistent (6, 19, 20). A meta-analysis of observational studies estimated that screening colonoscopy decreases CRC incidence and mortality by about



pronounced, in cumulative CRC risk in persons < 55 years. This may be explained by increasing use

of colonoscopy in the age group 40-54 years, particu-

70-80% (6). With sparse data from only three studies

(21-23), however, no statistically significant reduction

larly among those with a family history of CRC (26). Furthermore, the substantial differences in incidence trends between cancers of the distal colon and proximal colon support suggestions of higher effectiveness of screening colonoscopy in preventing distal than proximal cancers. Several factors may contribute to the lower effectiveness of colonoscopy in the proximal colon. These include, for example, lower detection rates of proximal neoplasms, and a higher proportion of serrated lesions in the proximal colon; the latter are more difficult to detect and tend to develop more rapidly into CRC than adenomas, the most frequently occurring precursors of CRC (27, 28).



Trends in age-standardized incidence rates (per 100 000 inhabitants) of colorectal cancer (C18-C20) and proximal colon cancer (C18.0-C18.4) in Germany over the period 2000 to 2016

Trends in stage distribution of colorectal cancer (all sites, C18–C20) in Germany over the period 2000 to 2016. The proportion of cases in which the stage was known is given in parentheses for each calendar year.



As for stage distribution, there was a trend towards an increase in the proportion of stage I cancers. At the same time, the proportion of stage IV cancers decreased. This stage migration was most pronounced for proximal cancers. Earlier detection may therefore have contributed to the reduction of mortality from proximal colon cancer, despite the absent or only modest reduction in the incidence of proximal colon cancer. Unfortunately, the available mortality data do not allow reliable distinction between proximal and distal CRC. However, the marked reduction in overall colon cancer mortality (ranging from 35% to 45% in all age groups between 55 and 84 years) is unlikely to have been achieved if mortality from proximal colon cancers (which accounted for the majority of colon cancers in 2016) had not declined as well. Nevertheless, given the high proportion of cases with missing or unknown stage (38-56%), the findings on trends in stage distribution need to be interpreted with caution. Furthermore, stage migration (particularly from stage II to stage III) may have played a part, due to a more extensive scrutiny of lymph nodes in recent years (29). In our study, a considerable increase in stage III rectal cancers was particularly striking.

The role of other factors

Along with screening colonoscopy, the increased use of diagnostic colonoscopies may have played a role in the observed trends. Equally, the guaiac-based fecal occult blood test (gFOBT) offered since 1977, may have contributed to the reduction in mortality. Furthermore, the trends in incidence may have been influenced by changes in the prevalence of protective factors such as regular intake of aspirin (30, 31) and physical activity (32) and risk factors such as smoking, alcohol consumption, and overweight (32). It is, however, highly unlikely that these factors have played a major

role, as such a pronounced decrease in incidence would have required drastic positive shifts in these factors, for which there is no evidence (33, 34). On the other hand, advances in CRC treatment (35) may have contributed to the decline in CRC mortality, particularly in view of the fact that mortality decreased more sharply than incidence.

Strengths and limitations

To our knowledge, this study represents the first comprehensive nationwide analysis of trends in CRC incidence and mortality in Germany, as well as stage distribution by sex, age, and tumor site, since Germany became one of the first countries to introduce colonoscopy as a primary screening test in 2002.

In evaluating the study, however, some limitations need to be borne in mind. First, due to legal considerations the cancer registry data could not be linked with the data from the screening colonoscopy program. This precluded comparative analysis of CRC incidence, mortality, and stage distribution between those who underwent screening colonoscopy and those who did not. Second, since over 60% of deaths from colon cancer were registered as overlapping or unspecific neoplasms, we were unable to differentiate proximal from distal cancers when analyzing mortality. Finally, in a high proportion of cases-which steadily decreased over time-the stage was missing or unknown. Analyses of stage-specific incidence were therefore not conducted as they would have been highly biased.

Conclusion

Our study shows substantial decreases in CRC incidence (between 2000 and 2016) and CRC mortality (between 2000 and 2018) in Germany. Both detection and removal of precancerous lesions and early detection



Trends in age-standardized mortality rates (per 100 000) for colorectal cancer in Germany over the period 2000 to 2018

of cancers on either screening or diagnostic colonoscopy, which are now performed in a majority of older adults (36), are likely to have made major contributions to the observed trends. The different patterns in incidence between the different tumor sites suggest that colonoscopies have been more effective in detecting and removing precancerous lesions in the distal colon and rectum than in the proximal colon. Enhanced awareness on the part of endoscopists and further improvement of their training in the detection of precancerous lesions (36), including serrated lesions in the proximal colon, allied with advances in technology, may help to strengthen prevention of proximal cancers in the future. Even stronger reductions of CRC incidence and mortality could be achieved, however, if the existing screening options, i.e., fecal immunochemical tests or colonoscopy, were taken up by a larger proportion of the target population (25, 37, 38). This could be most effectively achieved by an organized screening program combining readily understandable information with low-threshold access to effective screening options (39, 40).

Conflict of interest statement

The authors declare that no conflict of interest exists.

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TABLE 2

Changes in the cumulative risk of disease and mortality from colorectal cancer between 2000-2002 and 2014-2016 by tumor site, sex, and age

Tumor site	Age group	Men			Women			
	(years)	2000–02	2014–16	Change (%)	2000–02	2014–16	Change (%)	
Cumulative incidence [%]								
Any	< 55	0.59	0.50	-15.3	0.48	0.40	-16.7	
	55–64	1.48	1.17	-20.9	0.84	0.68	-19.0	
	65–74	3.18	2.40	-24.5	1.85	1.37	-25.9	
	75–84	4.89	3.74	-23.5	3.44	2.40	-30.2	
	0–84	9.82	7.62	-22.4	6.48	4.77	-26.4	
	< 55	0.14	0.15	+7.1	0.14	0.13	-7.1	
	55–64	0.30	0.30	0.0	0.22	0.24	+9.1	
Proximal colon	65–74	0.77	0.75	-2.6	0.60	0.61	+1.7	
	75–84	1.60	1.44	-10.0	1.40	1.16	-17.1	
	0–84	2.79	2.61	-6.5	2.34	2.13	-9.0	
	< 55	0.17	0.14	-17.6	0.16	0.13	-18.8	
	55–64	0.49	0.35	-28.6	0.30	0.20	-33.3	
Distal colon	65–74	1.19	0.73	-38.7	0.65	0.35	-46.2	
	75–84	1.82	1.18	-35.2	1.09	0.61	-44.0	
	0–84	3.62	2.37	-34.5	2.18	1.28	-41.3	
	< 55	0.28	0.22	-20.6	0.19	0.15	-21.1	
Rectum	55–64	0.70	0.53	-24.1	0.32	0.24	-25.0	
	65–74	1.25	0.94	-24.8	0.62	0.42	-32.3	
	75–84	1.55	1.18	-23.9	0.99	0.64	-35.4	
	0–84	3.74	2.84	-24.1	2.11	1.45	-31.3	
Cumulative mortality	[%]							
Any	< 55	0.17	0.12	-29.4	0.12	0.09	-25.0	
	55–64	0.52	0.35	-32.7	0.29	0.19	-34.5	
	65–74	1.33	0.89	-33.1	0.73	0.46	-37.0	
	75–84	2.63	1.86	-29.3	1.83	1.09	-40.4	
	0–84	4.59	3.19	-30.5	2.95	1.83	-38.0	
	< 55	0.11	0.07	-36.4	0.08	0.06	-25.0	
	55–64	0.32	0.2	-37.5	0.2	0.13	-35.0	
Colon	65–74	0.9	0.54	-40.0	0.53	0.31	-41.5	
	75–84	1.92	1.24	-35.4	1.37	0.78	-43.1	
	0–84	3.22	2.04	-36.6	2.17	1.28	-41.0	
	< 55	0.06	0.05	-16.7	0.04	0.03	-25.0	
	55–64	0.2	0.15	-25.0	0.09	0.07	-22.2	
Rectum	65–74	0.43	0.35	-18.6	0.2	0.15	-25.0	
	75–84	0.72	0.63	-12.5	0.46	0.31	-32.6	
	0–84	1.41	1.18	-16.3	0.79	0.56	-29.1	

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Supplementary material

eMethods, eTables, eFigures: www.aerzteblatt-international.de/m2021.0111 Supplementary material to:

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eMETHODS

Estimation of colorectal cancer incidence in Germany

In Germany, cancer registration is carried out at federal state level, and completeness varies across registries. Therefore, the incidence of colorectal cancer (CRC) cannot rely solely on registered cases. The CRC incidence rates provided by the German Center for Cancer Registry Data (ZfKD) are estimates derived using a mixed Poisson regression model accounting for cancer-specific mortality, population size, and year of diagnosis, stratified by sex and age group. For adjustment of the model, data from registries with < 15% of cases registered solely on the basis of the death certificate in each year of the study period were used (2).

Analyses of CRC incidence by tumor site

Separate estimates for proximal colon cancer (C18.0–C18.4), distal colon cancer (C18.5–C18.7), and unspecified or overlapping sites (C18.8–C18.9) were calculated by multiplying the official estimates for colon cancer (C18) with the proportion for each subsite (by age, sex, and year of diagnosis), using all regional registries that could contribute data for the respective year. Cancers of overlapping or unspecific sites of the colon (C18.8–C18.9), which comprised 15–24% of all CRC, were allocated proportionally (by year, sex, and 5-year age groups) to the groups of cases with proximal or distal colon cancer according to the size of those groups.

Analyses of stage distribution

Stages I, II, III, and IV were derived according to the Union for International Cancer Control (UICC) TNM classification valid at the time of diagnosis. Analogous to the analyses of incidence, analyses of stage distribution were also conducted for all CRC sites (C18-C20) as well as separately for cancers in the proximal colon, distal colon, and rectum. We used all registries that could contribute data for each year, with the exception of the Rhineland–Palatinate cancer registry, for which data on stage were absent or the stage was not known in more than 83% of cases each year.

Analyses of CRC mortality

For mortality, colon cancer (C18) and rectal cancer (C19-C20) were analyzed separately; no further stratification by proximal or distal colon was applied, however, as for over 60% of deaths from colon cancer no more specific differentiation of site was possible on the basis of the death certificate.

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Trends in age-standardized incidence rates (per 100 000 inhabitants) of colorectal cancer (C18–C20), proximal colon cancer (C18.0–C18.4), distal colon cancer (C18.5–C18.7), and rectal cancer (C19–C20) in Germany from 2000 to 2016



which the stage was known is given in parentheses for each calendar year.

eTABLE 1

Characteristics of patients with colorectal cancer in Germany, 2000–2016 $^{\ast 1}$

Characteristic	n (%)				
Total	872 318				
Sex					
Men	468 451 (53.7)				
Women	403 867 (46.3)				
Age at diagnosis					
< 55 years	82 463 (9.5)				
55–64 years	149 869 (17.2)				
65–74 years	266 060 (30.5)				
75–84 years	263 482 (30.2)				
≥ 85 years	110 444 (12.7)				
Tumor site (ICD-10 codes)					
Proximal colon (C18.0–C18.4)	241 635 (27.7)				
Distal colon (C18.5–C18.7)	218 837 (25.1)				
Rectum (C19–C20)	301 052 (34.5)				
Overlapping and unspecified sites in the colon (C18.8–C18.9)* ²	110 794 (12.7)				
Stage					
	82 536 (9.5)				
	112 567 (12.9)				
III	119 235 (13.7)				
IV	128 926 (14.8)				
No data ^{*3}	429 054 (49.2)				

*¹Divergent availability of data:
Baden–Württemberg 2009–2016, Bavaria 2002–2016, Berlin 2000–2015, Brandenburg 2000–2015, Mecklenburg–Western Pomerania 2000–2015, Saxony 2000–2015, Saxony–Anhalt 2000–2015, Thuringia 2000–2015. For Saarland, data on stage distribution were not available for 2015 and 2016.
*² The proportion of these cases was lowest in 2014 (9.4%) and highest in 2000 (18.1%).
*³ The proportion of these cases was lowest in 2014 (40.8%) and highest in 2000 (59.5%).

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eTABLE 2

Average annual percent change in incidence of and mortality from colorectal cancer in Germany, stratified by sex

	AAPC [95% CI]					
	Men	Women				
Incidence (2000–2016)						
Colorectal cancer	-1.8 [-2.3; -1.4]	-2.2 [-2.6; -1.8]				
Proximal colon cancer	-0.2 [-0.7; 0.3]	-0.5 [-0.9; -0.2]				
Distal colon cancer	-3.0 [-3.4; -2.5]	-3.7 [-4.1; -3.3]				
Rectal cancer	-2.1 [-2.5; -1.6]	-2.7 [-3.3; -2.1]				
Mortality (2000–2018)						
Colorectal cancer	-2.5 [-2.7; -2.3]	-3.0 [-3.2; -2.8]				
Colon cancer	-3.1 [-3.3; -2.9]	-3.3 [-3.6; -3.1]				
Rectal cancer	-1.5 [-1.7; -1.2]	-2.1 [-2.3; -2.0]				

AAPC, Average annual percent change; CI, confidence interval