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## Immunomodulatory effects of pevonedistat, a NEDD8-activating enzyme inhibitor, in chronic lymphocytic leukemia-derived T cells

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### Abstract

Novel targeted agents used in therapy of lymphoid malignancies, such as inhibitors of B-cell receptor-associated kinases, are recognized to have complex immune-mediated effects. NEDD8-activating enzyme (NAE) has been identified as a tractable target in chronic lymphocytic leukemia (CLL) and non-Hodgkin lymphoma. We and others have shown that pevonedistat (TAK-924), a small-molecule inhibitor of NAE, abrogates NF- $\kappa$ B signaling in malignant B cells. However, NF- $\kappa$ B pathway activity is indispensable in immune response, and T-cell function is altered in patients with CLL. Using T cells derived from patients with CLL, we demonstrate that although targeting NAE results in markedly differential expression of NF- $\kappa$ B-regulated genes and downregulation of interleukin (IL)-2 signaling during T-cell activation, T cells evade apoptosis. Meanwhile, NAE inhibition favorably modulates polarization of T cells in vitro, with decreased Treg differentiation and a shift toward TH1 phenotype, accompanied by increased interferon- $\gamma$  production. These findings were recapitulated in vivo in immunocompetent mouse models. T cells exposed to pevonedistat in washout experiments, informed by its human pharmacokinetic profile, recover NAE activity, and maintain their response to T-cell receptor stimulation and cytotoxic potential. Our data shed light on the potential immune implications of targeting neddylation in CLL and lymphoid malignancies.

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## Introduction

Introduction of targeted therapies into daily clinical practice, including inhibitors of B-cell receptor (BCR)-associated kinases and BH3-mimetics, led to a significant improvement in outcomes of patients with chronic lymphocytic leukemia (CLL), regardless of their genetic features [1, 2]. However, resistance to novel agents is inevitable due to target mutations and activation of compensatory pro-survival signaling pathways. Furthermore, such therapies demonstrate limited efficacy in other lymphoid malignancies, providing further justification for drug development. In contrast to the unequivocally immunosuppressive effect of chemotherapy, novel targeted agents influence the immune system in a manner that is often unpredictable, due to both on- and off-target effects. Immunomodulatory activities of Bruton tyrosine kinase (BTK) inhibitors (ibrutinib, acalabrutinib) and phosphoinositide-3 kinase inhibitors (idelalisib, duvelisib) are now better characterized. While some are favorable in that they may enhance both antitumor and anti-infectious immune responses [3, 4], others underlie therapy complications [5, 6]. In this context, understanding the immune effects of targeted therapies is critical to predict and mitigate adverse events. Just as importantly, such knowledge will inform rational drug combinations, either with other targeted agents or immune checkpoint inhibitors.

The ubiquitin-proteasome system has emerged as a vulnerable target with demonstrated clinical efficacy of proteasome inhibitors (e.g., bortezomib) and E3 ligase (cereblon) modulators (e.g., lenalidomide) in lymphoid neoplasia [7]. Our group and others have identified NEDD8-activating enzyme (NAE) as a tractable target in CLL and non-Hodgkin lymphoma [8–10]. NAE is indispensable to ensure that neddylated cullin-RING E3 ligases (CRLs) facilitate the ubiquitination and subsequent degradation of their substrates. Pevonedistat (TAK-924, MLN4924), an investigational NAE inhibitor, prevents neddylation of CRLs, leading to the stabilization of substrates including phosphorylated inhibitor of NF- $\kappa$ B (pI $\kappa$ B $\alpha$ ) [8, 11]. We have demonstrated that pevonedistat abrogates stromal-mediated NF- $\kappa$ B signaling and thereby induces apoptosis of CLL cells [10]. However, NF- $\kappa$ B pathway activity is instrumental in T-cell activation and CD4<sup>+</sup> T-cell differentiation, including polarization toward T<sub>H2</sub> and T<sub>reg</sub> subsets [12, 13]. Despite this, the data regarding the role of neddylation in regulation of immune cell differentiation and function are sparse. Here we evaluated the effects of pharmacologic inhibition of NAE on T-cell function in context of CLL in vitro and in vivo.

## Materials and methods

### Patient samples and cell culture

Following approval by the Institutional Review Board and provision of written consent, peripheral blood was obtained from CLL patients treated at Oregon Health and Science University. Peripheral blood mononuclear cells were isolated using standard Ficoll-Hypaque technique (Amersham). Red blood cells were lysed using ACK buffer (Thermo Fisher Scientific). Where indicated, T cells were enriched using Dynabeads FlowComp Human CD3 Kit (Thermo Fisher Scientific). Primary cells were cultured in RPMI-1640 medium supplemented with 15% fetal bovine serum, 100 U/mL penicillin, 100  $\mu$ g/mL streptomycin, 2mM L-glutamine, 25 mM HEPES, 100  $\mu$ M nonessential amino acids, and 1 mM sodium

pyruvate (Lonza). T cells were activated using 0.5 µg/mL plate-bound anti-CD3 (clone UCHT1) and 0.5 µg/mL soluble anti-CD28 (clone CD28.2) (BD Biosciences). Mouse fibroblast cell line engineered to express CD40L (L4.5), OCI-LY3, and OCI-LY19 cells were obtained from DSMZ. Pevonedistat (TAK-924) was provided by Millennium Pharmaceuticals, Inc., a wholly owned subsidiary of Takeda Pharmaceutical Company Limited. BMS-345541 was purchased from Sigma-Aldrich.

### Statistical analysis

At least three biological replicates were used in all experiments shown throughout the manuscript, unless noted otherwise. Statistical analysis was performed with Student's *t* test or two-way ANOVA with Tukey's multiple comparisons test, when indicated, in GraphPad Prism software. \**p* < 0.05 and \*\**p* < 0.01 throughout the manuscript.

Please see Supplementary information for additional details (Supplemental methods; Supplementary Tables 1 and 2).

## Results

### Pharmacologic targeting of NAE attenuates T-cell receptor (TCR) signaling

We first determined whether neddylation was involved in TCR signal transduction and T-cell activation. FACS-sorted naive CD4<sup>+</sup> T cells from patients with CLL were preincubated with pevonedistat or vehicle control for 1 h and then stimulated with αCD3/αCD28 in the presence of the compound. TCR crosslinking for up to 2 h did not impact CRL neddylation (Fig. 1a). As expected, treatment with the NAE inhibitor pevonedistat led to dose- and time-dependent decrease in neddylated CRLs in the stimulated CD4<sup>+</sup> T cells. NAE inhibition had no effect on activation of ZAP-70, a proximal TCR kinase (Fig. 1a and Supplementary Fig. 1). However, treatment of T cells with pevonedistat induced accumulation of pIκBα and thereby prevented nuclear translocation of the NF-κB transcription factor RelA/p65 (Fig. 1a, b). Interestingly, pevonedistat-treated T cells demonstrated reduced nuclear localization of NFAT (Fig. 1b), suggesting that neddylation may regulate additional events following TCR engagement. NAE inhibition led to no detectable change in the TCR-induced phosphorylation of ERK or p38 MAPK (Fig. 1c).

We next employed gene expression profiling to evaluate the effect of NAE inhibition on TCR-regulated genes in naive CD4<sup>+</sup> T cells (Supplementary Fig. 2a). Of the genes incorporated in the probe set, 21,448 were expressed. Using a cutoff of at least 1.5-fold change we determined that expression of genes known to be regulated by TCR signaling was significantly affected by pevonedistat at 3 h (*p* < 0.05; of the 55 genes, 37 [67%] were downregulated) as well as at 24 h (*p* < 0.01; of the 91 genes, 73 [80%] were downregulated; Fig. 1d). We then employed gene-set enrichment analysis (GSEA) to assess the distribution of a list of 252 TCR-regulated targets within our sequencing dataset (Supplementary Table 3). The majority of those genes were strongly underrepresented with a normalized enrichment score less than -1 at both studied timepoints (*p* < 0.05; Fig. 1d). Given the critical importance of NF-κB in mediating TCR effects [14], we conducted GSEA of the NF-κB signaling pathway in pevonedistat-treated cells. Similar to TCR-regulated genes, we

saw a strong downregulation of the NF- $\kappa$ B transcriptional targets at both 3 and 24 h ( $p < 0.05$ ; Fig. 1e). Several groups of NF- $\kappa$ B target genes were downregulated in our dataset, including antiapoptotic BCL2 family members (*BCL2A1*, *BCL2L1*), genes involved in cell cycle progression (*CDC6*, *CDC25A*, *CCDN3*, *GADD45B*, *CDKN1A*, *MYC*), and chemokines (*CCL20*, *CCL22*, *CXCR3*, *CXCL9*, *CXCL11*). Quantitative RT-PCR confirmed dose-dependent downmodulation of the NF- $\kappa$ B-regulated genes by pevonedistat (Supplementary Fig. 3a; BMS-345541, an I $\kappa$ B kinase inhibitor, was used as control). In addition, NF- $\kappa$ B was identified as the most enriched binding motif in the significantly downregulated genes at both 3 and 24 h (Supplementary Fig. 3b). Thus, NAE inhibition impeded downstream TCR signaling via interference with the NF- $\kappa$ B pathway.

### Effect of NAE inhibition on T-cell activation

Given the above findings, we examined the effect of NAE inhibition on T-cell activation. We observed rapid upregulation of the early activation marker CD69 in CD4<sup>+</sup> T cells despite NAE inhibition, which persisted for at least 96 h of stimulation (Fig. 2a and Supplementary Fig. 4). By contrast, treatment with pevonedistat led to a diminution of late T-cell activation in a dose-dependent manner. While low-to-intermediate doses of pevonedistat (0.05, 0.25  $\mu$ M) led to a minimal reduction in activation markers CD25 (IL-2R $\alpha$ ), CD38, and CD40L over the course of 96 h, continuous exposure to 1  $\mu$ M pevonedistat significantly reduced their expression by 48–72 h ( $p < 0.05$ ; Fig. 2a and Supplementary Fig. 4). Furthermore, we observed dose-dependent downmodulation of HLA-DR, CD38, and T-cell exhaustion marker PD-1 at 48–96 h at all tested drug concentrations compared with vehicle control (Fig. 2a). Expansion of the CD3/28-stimulated T cells was mostly intact following exposure to low-to-intermediate concentrations of pevonedistat, but fully abrogated by 1  $\mu$ M of the drug, accompanied by a significant reduction of interleukin (IL)-2 expressing cells. There was no increase in apoptosis of CD3/28-stimulated T cells under those conditions (Fig. 2b, c). We observed similar effects when T cells obtained from healthy donors were treated with pevonedistat (Supplementary Fig. 5).

We have previously observed that when CLL cells are induced to proliferate in the presence of pevonedistat, they sustained DNA rereplication, checkpoint activation, and cell cycle arrest in G<sub>2</sub> phase due to accumulation of Cdt1 [15]. Interestingly, while targeting neddylation in T cells was accompanied by reduced S phase entry, we saw no evidence of G<sub>2</sub>/M arrest or rereplication (4N), indicating that reduced T-cell proliferation was not related to perturbations in cell cycle (Fig. 2d). In sum, NAE inhibition with pevonedistat resulted in reduced T-cell activation and was accompanied by reduced IL-2 secretion and cell proliferation in response to high concentrations of the drug, suggesting that neddylation may be involved in immune response.

### NAE inhibition downregulates inducible T<sub>regs</sub> (iT<sub>regs</sub>)

NF- $\kappa$ B activation is indispensable in immune response. Among others, intact NF- $\kappa$ B signaling is required for the differentiation of T<sub>regs</sub> and the stability of forkhead box P3 (FoxP3), the master regulator of Treg immunosuppressive function [16, 17]. Hence, we tested whether NAE inhibition interferes with expansion of T<sub>regs</sub>. Magnetically enriched CD3<sup>+</sup> T cells from patients with CLL were crosslinked with  $\alpha$ CD3/28 for 24 h, followed by

concurrent exposure to 0.05–0.25  $\mu\text{M}$  pevonedistat for an additional 72 h. The drug concentrations and timing of exposure were chosen to minimize the impact on T-cell activation and proliferation. Targeting NAE led to a dose-dependent reduction of FoxP3-expressing  $\text{CD4}^+$  T cells (Fig. 3a). Reduced expression of FoxP3 was accompanied by phospho-I $\kappa$ B $\alpha$  induction and loss of phospho-STAT5 (Fig. 3b), a transcription factor crucial to FoxP3 stability in natural  $\text{T}_{\text{regs}}$  ( $\text{nT}_{\text{regs}}$ ) as well as FoxP3 induction in FoxP3-negative T cells [18]. Interestingly, recombinant IL-2 only partially rescued FoxP3 expression, indicating that the deregulated NF- $\kappa$ B/IL-2 axis did not fully account for the loss of  $\text{iT}_{\text{regs}}$  (Fig. 3c).

Loss of FoxP3-expressing cells under the above circumstances could be attributed to either reduced  $\text{iT}_{\text{regs}}$  differentiation or depletion of  $\text{nT}_{\text{regs}}$ . To elucidate this, we flow-sorted  $\text{nT}_{\text{regs}}$  ( $\text{CD3}^+\text{CD4}^+\text{CD25}^{\text{high}}\text{CD127}^{\text{low}}$ ) and conventional T cells ( $\text{T}_{\text{convs}}$ ) ( $\text{CD3}^+\text{CD4}^+\text{CD25}^{\text{low}}\text{CD127}^{\text{high}}$ ) (Supplementary Fig. 2b).  $\text{nT}_{\text{regs}}$  were labeled with CellTrace proliferation dye, and mixed back in with unlabeled  $\text{T}_{\text{convs}}$ . This cell mixture was then stimulated ( $\alpha\text{CD3}/28$ ) for 96 h with or without pevonedistat. Contrary to  $\text{nT}_{\text{reg}}$  population,  $\text{T}_{\text{convs}}$  exhibited a 75% reduction of FoxP3 (Fig. 3d). Meanwhile, proliferation of  $\text{nT}_{\text{regs}}$  was reduced in the presence of pevonedistat (Fig. 3d). In addition, we observed a significant reduction of  $\text{T}_{\text{reg}}$  differentiation when naive  $\text{CD4}^+$  T cells were incubated under the  $\text{T}_{\text{reg}}$ -polarizing conditions (Fig. 3e). Similar effects of pevonedistat were observed in healthy donor T cells (Supplementary Fig. 6). These data support a notion that targeting NAE thwarts FoxP3 in  $\text{T}_{\text{convs}}$ , thereby impeding the formation of  $\text{iT}_{\text{regs}}$ .

### Neddylation modulates TH polarization

Following the reduction of  $\text{T}_{\text{regs}}$ , we sought to examine how pevonedistat can modulate the polarization of other T-cell subsets. Here, magnetically enriched  $\text{CD3}^+$  T cells were activated for 24 h prior to initiation of the concurrent pevonedistat exposure for an additional 48 h. We found that the proportion of  $\text{TH1}$  IFN $\gamma$ -producing cells moderately increased, whereas  $\text{TH2}$  IL-4-producing cells decreased under these conditions (Fig. 4a). In addition, production of TNF- $\alpha$ , an NF- $\kappa$ B-regulated cytokine, was decreased. To examine if these changes to  $\text{TH}$ -phenotypes were due to modulated T-cell differentiation vs. selective expansion of pregenerated  $\text{TH}$ -cells, we incubated naive  $\text{CD4}^+$  T cells in  $\text{TH1}$ -polarizing conditions. The IFN $\gamma$ -producing T-cell fraction significantly expanded under those conditions, followed by additional expansion upon exposure to the NAE inhibitor (Fig. 4b).

The balance between the inhibitory Tregs and the proinflammatory  $\text{TH17}$  cells is under the control of extracellular cytokine signaling and intracellular molecular mechanisms [19, 20]. We detected a small but statistically significant expansion of  $\text{TH17}$  cells when TCR-stimulated  $\text{CD4}^+$  T cells were exposed to pevonedistat (Fig. 4c). Following treatment with 0.25  $\mu\text{M}$  pevonedistat, the fraction of IL-17-expressing  $\text{CD4}^+$  T cells increased from  $2.1 \pm 1.7$  to  $2.7 \pm 1.9\%$  ( $p = 0.004$ ). This was accompanied by upregulation of the *IL-17* mRNA transcription in this cell population (Fig. 4d). The hypoxia-inducible factor 1 $\alpha$  (HIF-1 $\alpha$ ) may contribute to the  $\text{T}_{\text{reg}}/\text{TH17}$  balance, where HIF-1 $\alpha$  has previously been shown to enhance  $\text{TH17}$  differentiation by directly activating transcription of the  $\text{TH17}$  master regulator ROR $\gamma$ t, followed by recruitment of p300 and ROR $\gamma$ t to the IL-17 promoter [21].

Relevant to our work, HIF-1 $\alpha$  is a CRL target [22]. We found that TCR-stimulated T cells exhibited stabilization of HIF-1 $\alpha$  protein upon treatment with pevonedistat, yet *ROR $\gamma$ t* mRNA transcript was not induced (Fig. 4e, f). Thus, targeting neddylation in vitro had minimal impact on T<sub>H</sub>17 cells in our study.

We next examined if the above modulations in T<sub>H</sub> subsets occurred in vivo. Since we were interested in the effects of pevonedistat on T<sub>H</sub>1/2 polarization and Treg differentiation, we used BALB/c mice, a prototypic T<sub>H</sub>2 mouse strain [23, 24]. Mice received either pevonedistat subcutaneously daily at 60 mg/kg or vehicle control for a total of 24 days. At the end of the experiment, splenocytes harvested from pevonedistat-treated mice showed a marked reduction in FoxP3-expressing T<sub>regs</sub> within the CD3<sup>+</sup>/CD4<sup>+</sup> population, compared with vehicle-treated mice (Fig. 5a). By contrast, we observed minimal changes in T<sub>H</sub>1, T<sub>H</sub>2, and T<sub>H</sub>17 subsets, and no changes in CD4/CD8 T-cell ratio (Fig. 5b, Supplementary Fig. 7a). We did not observe signs of toxicity or a significant change in mouse body weight during prolonged treatment with pevonedistat (Supplementary Fig. 7b).

We further studied effects of pevonedistat in immunized mice. Splenocytes from mice carrying the MHC class II restricted rearranged TCR transgene (DO11.10) were transferred to recipient BALB/c mice. Mice were immunized with ovalbumin; R848 (a Toll-like receptor agonist) was used to enhance T<sub>H</sub>1 responses [25, 26]. After 10 days of treatment with pevonedistat, we again observed a reduction in FoxP3<sup>+</sup> cells in the total CD4<sup>+</sup> T-cell population as well as transplanted splenocytes (Fig. 5c, d). Consistent with our in vitro data, treatment with pevonedistat led to increased IFN $\gamma$  production in the transplanted T-cell population subjected to Toll-like receptor agonist stimulation (Fig. 5e).

Taken together, our data suggest that targeting neddylation leads to a shift in T-cell subsets, including a significant reduction in T<sub>regs</sub>, and polarization in favor of the T<sub>H</sub>1 phenotype.

### **Pevonedistat-treated T cells maintain antitumor potential**

We next asked if T cells exposed to pevonedistat are able to recover NAE activity and exert antitumor immunity. Past human pharmacokinetic studies have shown that pevonedistat delivered intravenously exhibits a T<sub>1/2</sub> of ~8 h [27]. The plasma drug concentration peaks at the end of 1 h infusion and then rapidly declines in a biphasic manner. In order to mimic this pharmacokinetic exposure in vitro, we conducted washout experiments. Resting CD3<sup>+</sup> T cells were exposed to 1  $\mu$ M pevonedistat for 2 h, drug was washed off, and cells were stimulated with  $\alpha$ CD3/28. We observed an expected sharp decline in neddylated CRLs, followed by rapid accumulation of pI $\kappa$ B $\alpha$  (Fig. 6a). Cullin neddylation began to recover by 8 h of TCR stimulation (6 h after washout), accompanied by a decrease in pI $\kappa$ B $\alpha$ . A complete recovery was observed at 24 h. Notably, pI $\kappa$ B $\alpha$  was again upregulated at that timepoint, likely indicating a general activation of the NF- $\kappa$ B pathway, as confirmed by upregulation of p65 protein level (Fig. 6a). As pevonedistat forms an irreversible covalent bond with NEDD8 at the NAE active site, this recovery in neddylation activity is likely due to synthesis of new NAE in T cells.

Cytotoxic CD8<sup>+</sup> T cells expressed the degranulation marker (CD107a; Fig. 6b) and secreted cytotoxic cytokines following pulse treatment with pevonedistat (Fig. 6c). No change in



IFN $\gamma$  or TNF synthesis was observed. To test if T cells retained antitumor properties after pulse exposure to pevonedistat, T cells were incubated with OCI-LY19 cells, a diffuse-large B-cell lymphoma cell line, at a ratio of 20:1, respectively, for 48 h. Apoptosis of OCI-LY19 cells was significantly enhanced in the presence of activated allogeneic T cells, and pulse treatment of T cells with pevonedistat did not compromise cytotoxicity (Fig. 6d). We then conducted a similar experiment to evaluate the effect of NAE inhibition on the autologous T-cell-mediated cytotoxicity. Here, activated T cells pretreated with pevonedistat or not were incubated with CD40L-stimulated CLL cells from the same patient (CD40L stimulation has been previously shown to confer an antigen-presenting capacity to CLL cells) [28]. Under those conditions, pevonedistat-treated activated T cells continued to exhibit autologous cytotoxicity (Fig. 6e).

## Discussion

Novel agents used in therapy of CLL are recognized to have complex immune-mediated effects [29]. The BTK inhibitor ibrutinib may improve T-cell function through downmodulation of T-cell exhaustion markers, a shift in T-cell polarization in favor of the inflammatory T<sub>H</sub>1 cells, and a reduction of IL-10 secretion by CLL cells [3, 4]. In addition, ibrutinib may improve overall T-cell number and repertoire diversity as well as diminish the T<sub>reg</sub>/CD4<sup>+</sup> T-cell ratio in patients with CLL [3, 30]. The immunomodulatory effects of drugs that interfere with protein degradation were recognized long before their effects on the ubiquitin pathway were elucidated. Lenalidomide, a cereblon/ubiquitin E3 ligase complex modulator, was shown to induce functional restoration of the lymphocyte function-associated antigen-1 in T cells, enhance formation of effective immunological synapse, and increase T-cell-mediated tumor killing, all thought to contribute to its efficacy in CLL [31, 32]. Here, we show that pevonedistat, an inhibitor of NAE, which prevents the specific activation of CRLs, has a distinct T-cell immunomodulatory effect in CLL (Fig. 7).

Exposure of CLL patient-derived T cells to pevonedistat led to a decrease in neddylated Cullins, accumulation of pI $\kappa$ B $\alpha$ , a CRL substrate, and sequestration of p65, an NF- $\kappa$ B subunit, in the cytoplasm. These findings were similar to those previously reported by us in CLL cells [10, 33]. Concordant with the critical role of NF- $\kappa$ B in TCR signaling, we observed a significant decrease in the transcription of the NF- $\kappa$ B-regulated genes in TCR-stimulated T cells as early as 3 h after pevonedistat exposure. This was accompanied by a decreased expression of CD25/IL-2R $\alpha$  and IL-2, an NF- $\kappa$ B-dependent cytokine receptor network that governs T-cell differentiation and expansion [34]. Despite this, we found that NAE inhibition did not fully abrogate T-cell activation. We observed unimpeded upregulation of the early activation marker CD69 and somewhat attenuated but robust upregulation of other markers (CD38, CD40L, HLA-DR) in TCR-stimulated T cells continuously treated with pevonedistat for up to 96 h. We have previously shown that CLL cells induced to proliferate undergo DNA rereplication, checkpoint activation, and G2 arrest when treated with pevonedistat [9]. This Cdt1-dependent phenomenon also occurs in other cancers and has been recognized as a key mechanism of NAE inhibition-mediated apoptosis [8, 35]. By contrast, cell cycle arrest was not observed in the proliferating T cells exposed to pevonedistat.

Jin et al. reported that CD4<sup>+</sup> chimeric mouse T cells transduced with shUbc12 (an E2-conjugating enzyme of within the neddylation pathway) demonstrated reduced activation, proliferation, and IL-2 production in response to TCR stimulation with  $\alpha$ CD3/28 [36]. This effect was partially recapitulated in murine CD4<sup>+</sup> T cells treated with pevonedistat ex vivo and was proposed to be related to attenuated TCR-induced Erk activation [36]. By contrast, others observed that CRLs may in fact negatively regulate TCR signaling and IL-2 synthesis, and in vitro treatment of murine MA5.8 $\zeta$  T-cell hybridomas with pevonedistat led to increased IL-2 production and cell proliferation [37]. Interestingly, we did not observe a reduction in TCR-induced ERK and p38 MAPK activation in response to pevonedistat. Our results align with data by Jin et al., given a significant decrease in transcription of TCR-driven genes in pevonedistat-treated T cells. However, the impact of pevonedistat on T-cell activation and proliferation was limited in human T cells derived from patients with CLL. Furthermore, drug washout experiments mimicking drug pharmacokinetics demonstrated that T cells recovered NAE activity. Under those conditions, CD8<sup>+</sup> T cells maintained their cytotoxicity against both allogeneic and autologous neoplastic lymphoid cells. It is important to note that earlier experiments were conducted in immunocompetent mouse models, whereas our results were obtained in human T cells from patients with B-cell neoplasia, an important aspect of our study. Taken together, these data suggest that targeting NAE in the clinic is unlikely to be associated with impaired T-cell functionality.

By contrast, NAE inhibition altered T-cell polarization. Treatment of naive CD4<sup>+</sup> T cells with pevonedistat suppressed induction of FoxP3<sup>+</sup> T<sub>reg</sub> cells in vitro in both nonpolarizing and T<sub>reg</sub>-polarizing conditions. While NAE inhibition interfered with generation of new iT<sub>regs</sub>, it had only a minor impact on maintenance of FoxP3 expression by nT<sub>regs</sub>. One possibility was that a global reduction in T-cell activation contributed to the loss of T<sub>regs</sub>. However, this explanation was ruled out because we observed a concomitant increase in the proportion of IFN $\gamma$ -expressing T<sub>H</sub>1-like T cells, while the relative abundance of T<sub>H</sub>2 CD4<sup>+</sup> cells was diminished in response to pevonedistat treatment. In addition, targeting NAE was accompanied by downmodulation of IL-2/STAT5 signaling, a critical pathway involved in T<sub>reg</sub> differentiation and expansion [38], and a likely explanation for iT<sub>reg</sub> diminution. Nevertheless, exogenous IL-2 only partially restored T<sub>reg</sub> polarization, suggesting that other mechanisms may also account for loss of T<sub>regs</sub> in response to pevonedistat treatment, a subject of future studies. Our findings were confirmed in animal models where mice treated with pevonedistat exhibited a marked reduction in the proportion of FoxP3-expressing CD4<sup>+</sup> T cells and an increase in IFN $\gamma$  (TH1) production compared with vehicle-treated controls. contributes to regulation of TH17/Treg balance by the NAE will require further study.

How neddylation regulates T<sub>H</sub>1/T<sub>H</sub>2 balance remains unclear. It has been established that T<sub>regs</sub> regulate immune response via suppression of T-cell IFN $\gamma$  production and TH1 differentiation [39, 40]. T<sub>regs</sub> also upregulate T-bet, a master transcriptional regulator of differentiation and inflammatory genes in TH1 cells, in response to IFN $\gamma$  [41]. Such T-bet<sup>+</sup> Tregs induce CXCR3 and selectively inhibit T<sub>H</sub>1 immunity. Furthermore, IL-2 is essential for the differentiation of T<sub>H</sub>2 cells [42]. Thus, pevonedistat-mediated reduction in IL-2 production and concomitant T<sub>reg</sub> suppression may foster expansion of the T<sub>H</sub>1 compartment by eliminating selective pressure toward T<sub>H</sub>2 polarization. Future experiments will be necessary to confirm this hypothesis.



Here it is critical to acknowledge that patients with CLL are immunocompromised. On one hand, CLL cells escape cytotoxic T cells through adaptive mechanisms such as upregulation of inhibitory checkpoint molecules (PD-L1, CTLA-4) and overexpression of the immunosuppressive cytokine IL-10 [43, 44]. On the other hand, deficient innate and adaptive immune responses contribute to disease progression, increased mortality from infections, and increased incidence of secondary malignancies in patients with CLL [45–47]. T cells from patients with CLL exhibit a terminally differentiated and exhausted phenotype, with an increased expression of PD-1 and CTLA-4 [46, 48], an imbalance in T-cell subpopulations in favor of Tregs and skewed polarization toward T<sub>H</sub>2 immune-suppressive phenotype [49]. Importantly, T<sub>regs</sub> contribute to tumor progression not only in CLL but also in many other cancers. In this context, decreased induction of T<sub>regs</sub> and a shift toward T<sub>H</sub>1 is a favorable outcome of NAE inhibition.

T<sub>regs</sub> exist in balance with T<sub>H</sub>17 cells, which ensures a physiologic inflammatory response. Deregulated activation of T<sub>H</sub>17 cells occurs in a variety of autoimmune conditions (psoriasis, inflammatory bowel disease, rheumatoid arthritis, and multiple sclerosis) [50]. Here we report a statistically significant increase in T<sub>H</sub>17 differentiation in response to pevonedistat treatment of the TCR-stimulated T cells. However, this expansion was only minimal, consistent with clinical trial data where treatment with pevonedistat was not associated with autoimmune-mediated adverse toxicities [51]. HIF-1 $\alpha$ , a CRL target, has been implicated in the dynamic control of T<sub>H</sub>17/T<sub>reg</sub> balance [21, 22]. We found that NAE inhibition led to the accumulation of HIF-1 $\alpha$  in TCR-activated T cells. However, ROR $\gamma$ t, the transcriptional target of HIF-1 $\alpha$  responsible for the inflammatory activity of T<sub>H</sub>17 cells, was not induced in TCR-stimulated T cells following NAE inhibition. Thus, whether HIF-1 $\alpha$

While we have reported our results in primary T cells obtained from patients with CLL, it remains unknown whether neddylation regulates other immune cell types. We are hoping to shed additional light on the immune effects of targeting NAE in an ongoing analysis of T-cell functionality in patients with CLL and lymphoma who are treated with pevonedistat in combination with ibrutinib on a Phase I clinical trial (NCT03479268). Based on our findings, we predict that targeting neddylation will not impede T-cell immunity, but may lead to enhanced antitumor effects due to downmodulated differentiation of T<sub>regs</sub>.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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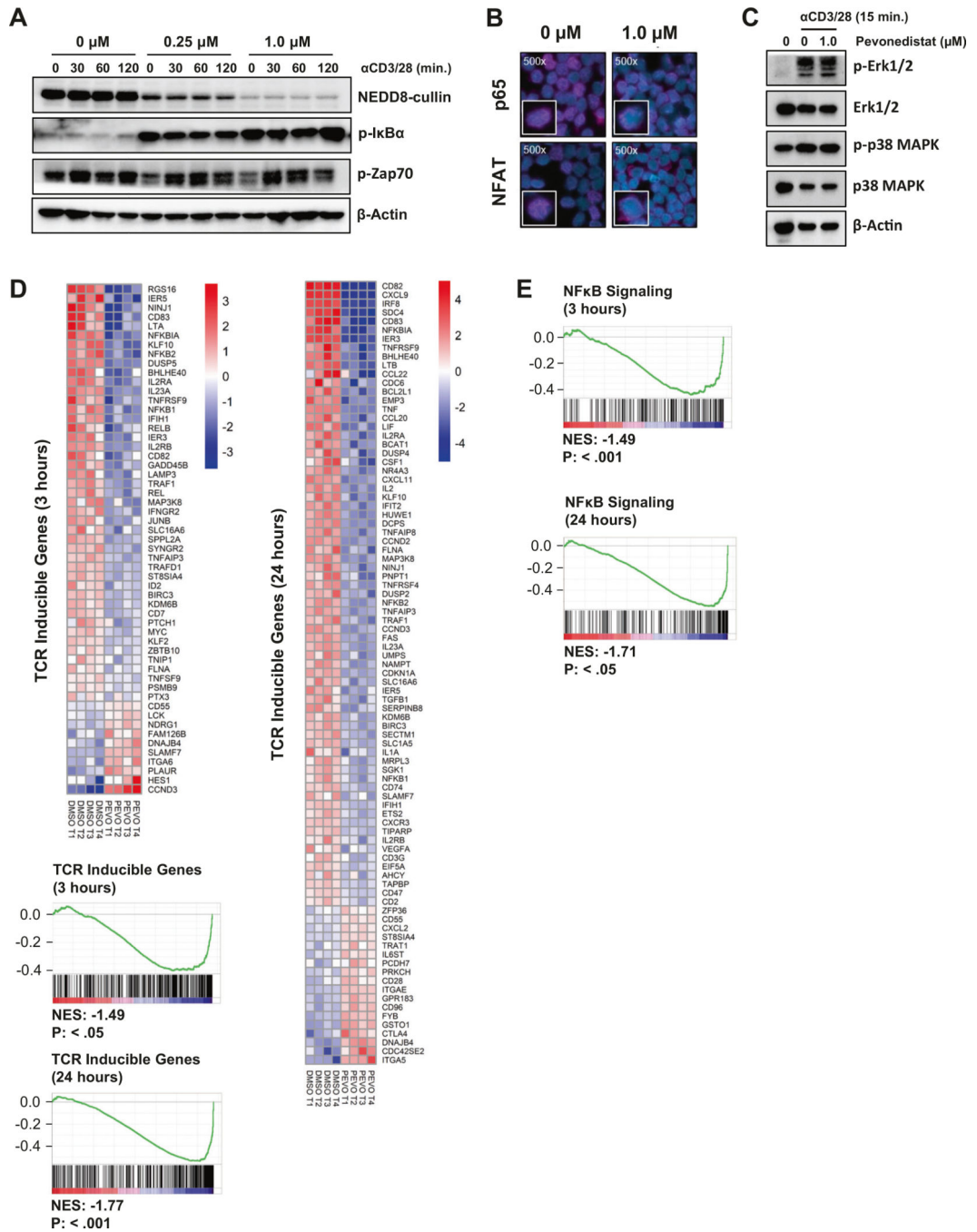
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**Fig. 1. Targeting NAE attenuates TCR signaling.**

**a** T cells from patients with CLL were treated with pevonedistat at the indicated doses for 1 h, followed by TCR stimulation with 0.5  $\mu\text{g}/\text{mL}$   $\alpha\text{CD3/28}$ . Cells were subjected to immunoblotting at the indicated timepoints. **b**  $\text{CD3}^+$  T cells were treated with 1  $\mu\text{M}$  pevonedistat for 1 h, followed by TCR stimulation for 24 h. Protein expression was analyzed by immunocytochemistry. **c–e** Naive  $\text{CD4}^+\text{CD45RA}^+\text{CD45RO}^-$  T cells from patients with CLL (four biological replicates) were preincubated with pevonedistat (1  $\mu\text{M}$ ) for 1 h and then subjected to TCR crosslinking. Cell lysate was harvested and subjected to

immunoblotting (e). RNA was isolated after 3 (d) or 24h (e) and microarray analysis was performed as described in “Methods.” The heat maps represent a change in expression of the putative TCR target genes. Blue represents gene downregulation and red represents gene upregulation across the individual samples. GSEA demonstrate results for the hallmark TCR-inducible genes and NF- $\kappa$ B targets. NES normalized enrichment score.

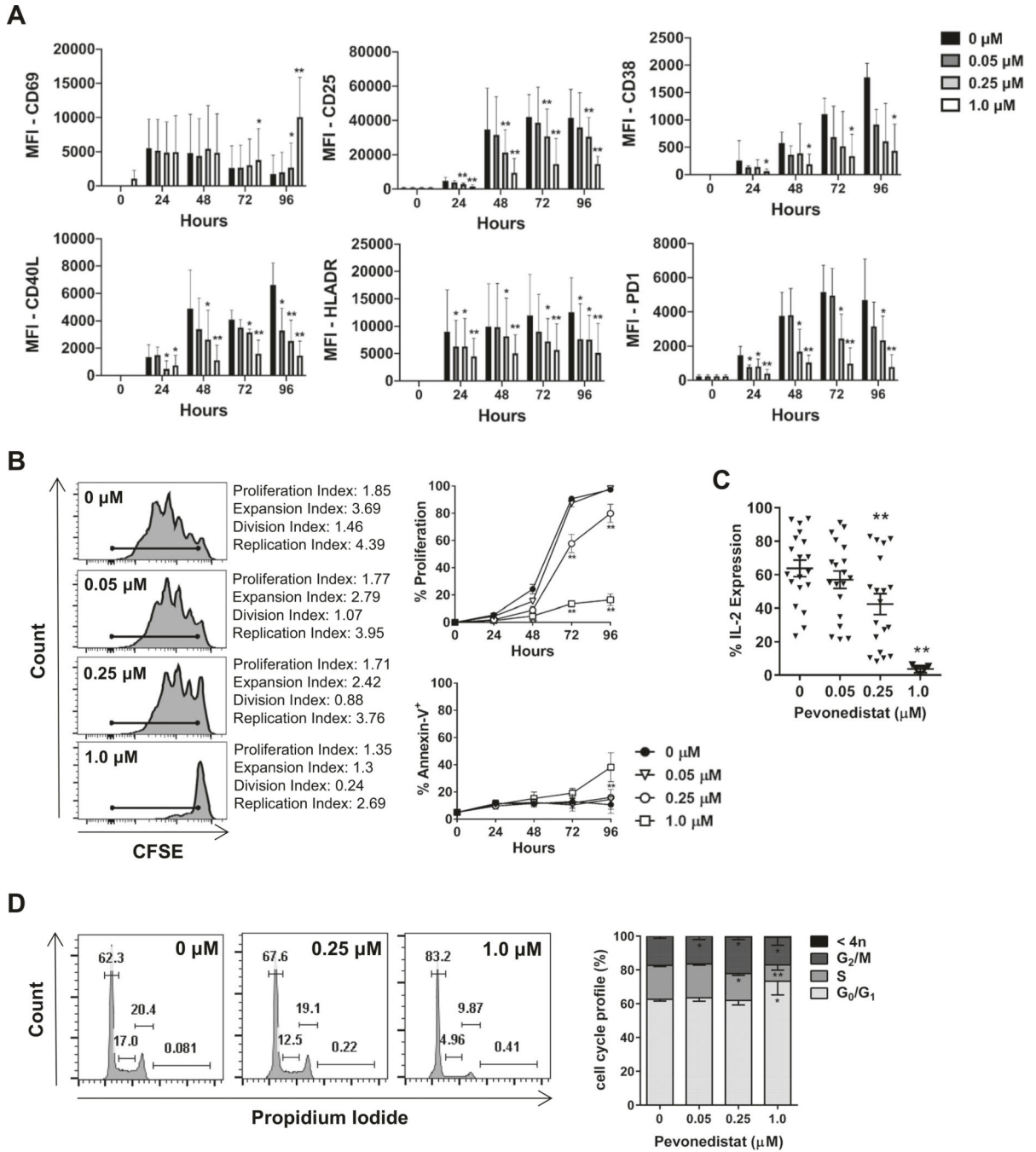
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**Fig. 2. Pevonedistat influences T-cell activation and proliferation.**

Magnetically enriched CD3<sup>+</sup> T cells were activated with 0.5 μg/mL αCD3/28 in the presence of pevonedistat or vehicle control. Cells were analyzed in the CD4<sup>+</sup> gate. Data are mean ± standard error (SE). **a, b** Surface expression of activation markers, cell proliferation (following CFSE staining), and apoptosis (annexin V) were analyzed by flow cytometry at the indicated timepoints as noted in “Methods” (N = 6). A representative image of CFSE distribution after 72 h of TCR engagement is shown. Proliferation was defined as the proportion of cells that have undergone at least one mitotic division as shown by CFSE peak

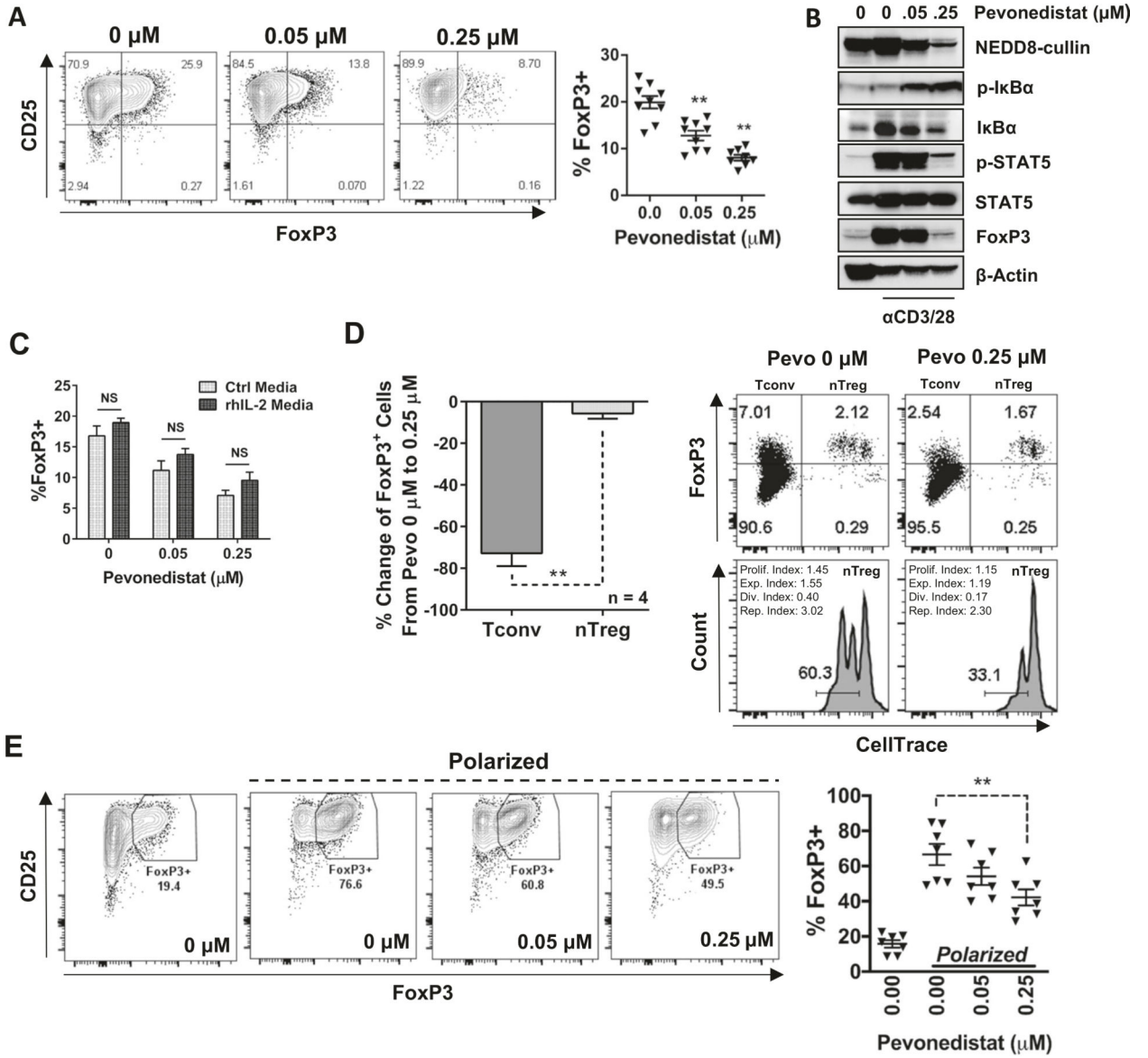
dilutions. Two-way ANOVA and Tukey's multiple comparison test were performed for statistical analysis. \* $p < 0.05$ , \*\* $p < 0.01$  vs. control within each timepoint. **c** Following 72 h TCR engagement (in the presence or not of pevonedistat), T cells were restimulated for 5 h with PMA/ionomycin with monensin and cytokine expression was analyzed by flow cytometry. **d** Following 72 h TCR engagement (in the presence or not of pevonedistat), T cells were subjected to cell cycle analysis as described in "Methods." \* $p < 0.05$ , \*\* $p < 0.01$  vs. control.

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**Fig. 3. Pevonedistat prevents differentiation of iTregs.**

Magnetically enriched CD3<sup>+</sup> cells were activated with 0.5 μg/mL αCD3/28 for 24 h. Thereafter, stimulation continued in the presence of the indicated doses of pevonedistat or vehicle control for an additional 72 h. Data are mean ± standard error (SE). Student's t test was performed for statistical analysis, \*p < 0.05, \*\*p < 0.01 vs. control. **a** FoxP3 expression was quantified in CD4<sup>+</sup> T cells by flow cytometry. **b** Cells were collected after 72 h of drug exposure and whole-protein lysates were subjected to immunoblotting. **c** nTregs and Tconvs were separated by FACS. nTregs were then stained with CellTrace dye and remixed with Tconvs. After 72 h of TCR engagement, FoxP3 expression was quantified within both nT<sub>regs</sub> and T<sub>convs</sub> by flow cytometry. **d** Enriched CD3<sup>+</sup> T cells were incubated with or without exogenous rh-IL-2 (20 ng/mL) for the entirety of activation and drug treatment. FoxP3 expression within CD4<sup>+</sup> T cells was quantified by flow cytometry. **e** Sorted naive CD4<sup>+</sup> T

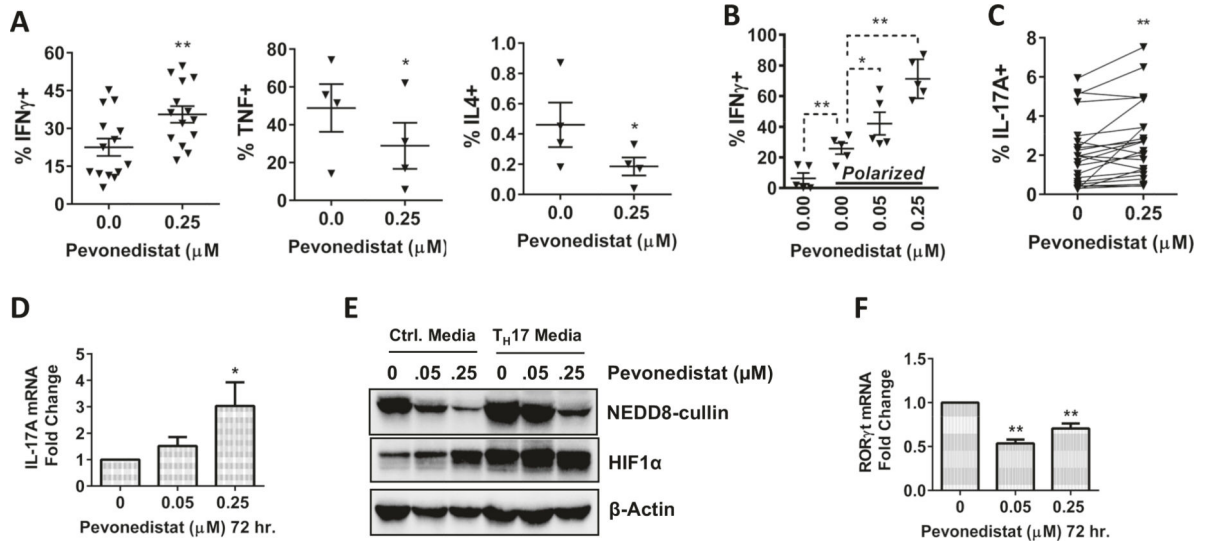
cells were subjected to Treg-polarizing conditions for 96 h, in the presence of the indicated concentrations of pevonedistat. FoxP3 expression was analyzed as previously.

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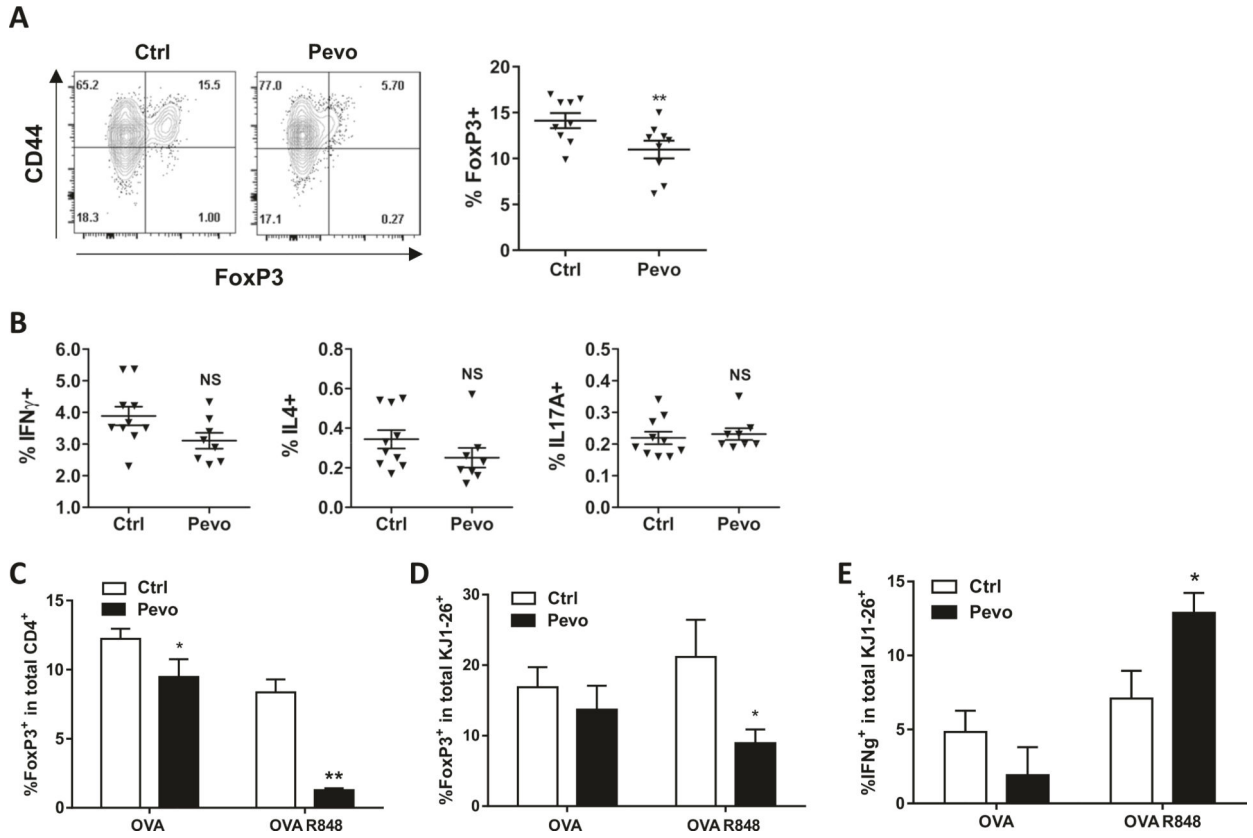
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**Fig. 4. Pevonedistat alters the polarization of CD4<sup>+</sup> T cells.**

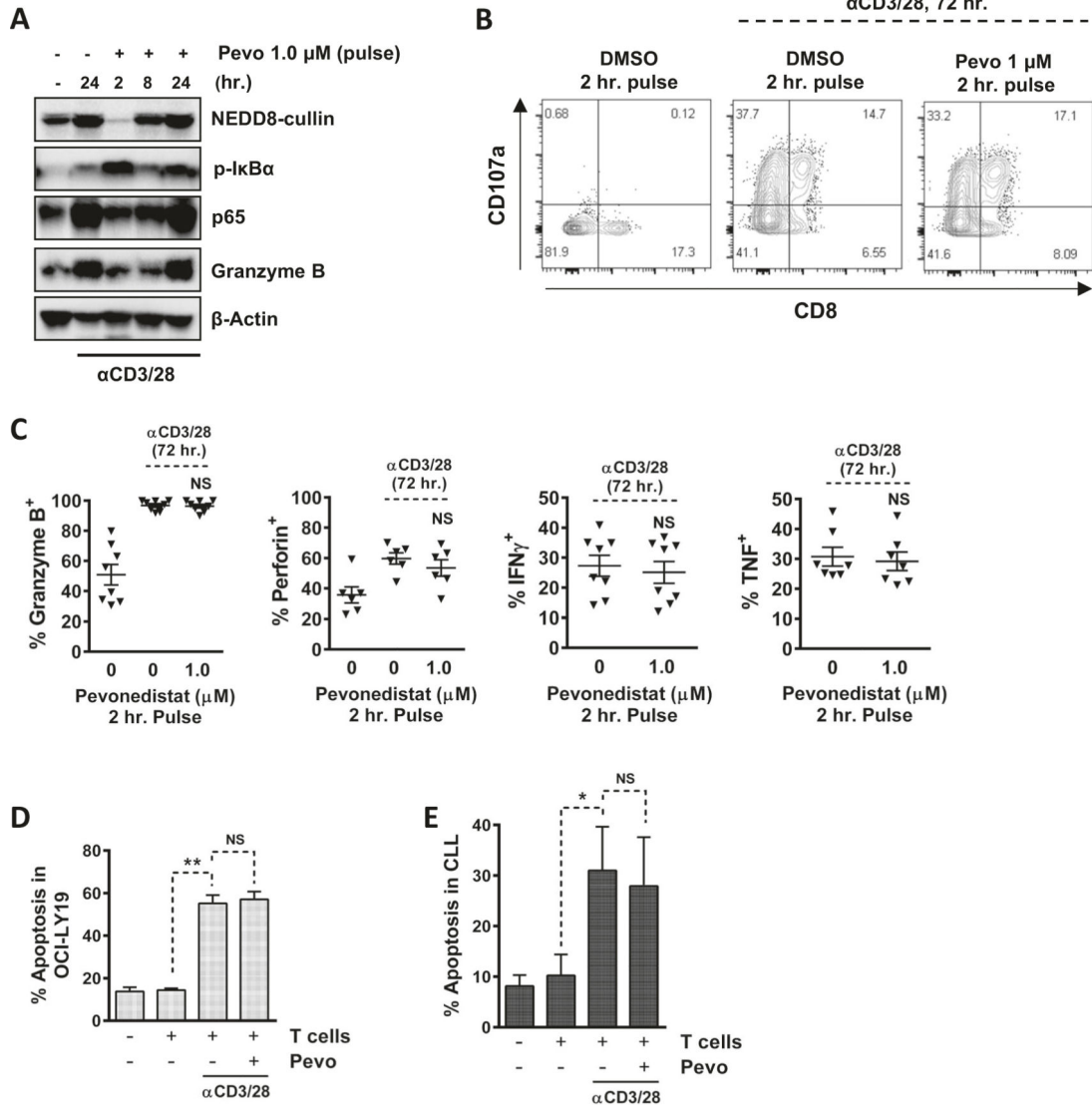
Magnetically enriched CD3<sup>+</sup> cells were activated with 0.5  $\mu\text{g}/\text{mL}$   $\alpha\text{CD3}/28$  for 24 h prior to exposure of pevonedistat. Cells resumed stimulation for 72 h in the presence of the drug. Data are mean  $\pm$  standard error (SE). Student's *t* test was performed for statistical analysis, \**p* < 0.05, \*\**p* < 0.01 vs. control. **a** CD3<sup>+</sup> T cells were activated for 96 h. Thereafter, cells were restimulated with PMA/ionomycin in the presence of monensin for 5 h. Cytokine expression within CD4<sup>+</sup> T cells was quantified by flow cytometry. **b** Sorted naive CD4<sup>+</sup> T cells were incubated in T<sub>H</sub>1-polarizing conditions for 96 h, IFN $\gamma$  cytokine expression was measured following the procedures described above. **c–f** CD3<sup>+</sup> T cells were TCR-stimulated in the presence of pevonedistat for 10 days. IL-17A cytokine expression within CD4<sup>+</sup> T cells was quantified as previously described above (**c**). Cells were harvested and mRNA expression was quantified by q-RT-PCR (**d, f**) or total lysate and subjected to immunoblotting (**e**).



**Fig. 5. Pevonedistat modulates T-cell polarization in vivo.**

**a, b** BALB/cJ mice were treated with pevonedistat (60 mg/kg) or vehicle control for 24 days (ten animals per condition). **c, d** Mice were immunized with ovalbumin and subjected to treatment with pevonedistat for 10 days as described in “Methods” At the end of each experiment, splenocytes were analyzed for FoxP3 expression (**a, c, d**) or re-activated with PMA/ionomycin with monensin to analyze cytokine expression (**b, e**). Cells were gated in the total CD4<sup>+</sup> lymphocytes or CD4<sup>+</sup>KJ1-26<sup>+</sup> (transplanted) lymphocytes as indicated. Two independent experiments were conducted, total of nine animals per condition. \* $p < 0.05$ , \*\* $p < 0.01$  vs. control.





**Fig. 6. Pulse-exposure mimicking pevonedistat pharmacokinetics.**

Magnetically enriched CD3<sup>+</sup> T cells were pretreated with 1.0 μM pevonedistat for 2 h (pulse treatment). Drug was washed out and cells were crosslinked with 0.5 μg/mL αCD3/28. Data are mean ± standard error (SE). Student's *t* test was performed for statistical analysis, \**p* < 0.05, \*\**p* < 0.01 vs. control. **a** Cells were harvested at the indicated timepoints and whole-protein lysates were subjected to immunoblotting. **b** Following pevonedistat pulse treatment (1.0 μM, 2 h) and then TCR stimulation (72 h), cells were stained with CD107a antibody for 1 h, followed by the addition of brefeldin A for 4 h. CD107A expression was analyzed by flow cytometry within the CD8<sup>+</sup> T-cell population. **c** Following pevonedistat pulse treatment (1.0 μM, 2 h) and then TCR stimulation (72 h), cells were incubated with brefeldin A for 4 h. Cells were then harvested and analyzed by flow cytometry. Cytokine expression was measured within CD8<sup>+</sup> population. **d** CD3<sup>+</sup> T cells were pretreated with pevonedistat for 2 h and subjected to TCR stimulation for additional 24 h. T cells were incubated with allogeneic CFSE-stained OCI-LY19 cells at a 20:1 ratio for additional 48 h. OCI-LY19 cells were

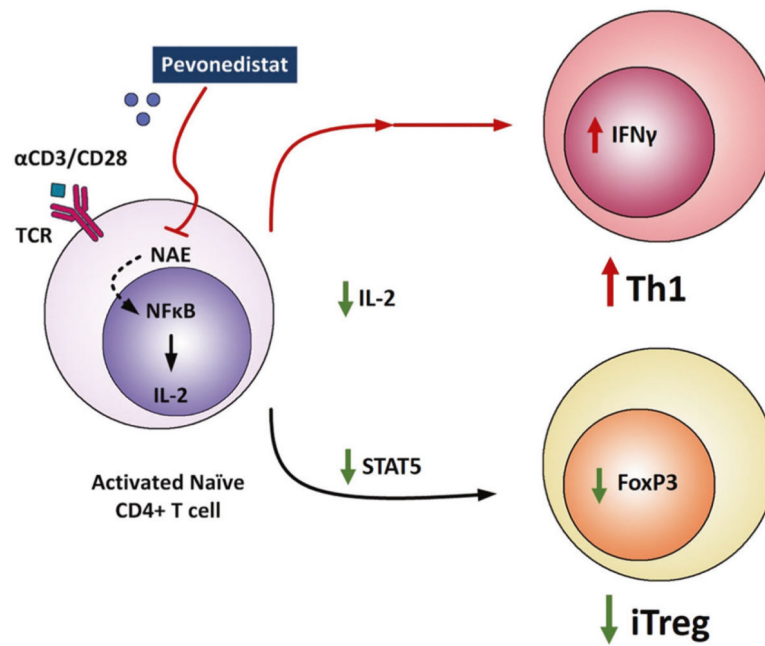
analyzed for apoptosis (annexin V). e Following isolation from blood, CD3<sup>+</sup> T cells were subjected to drug treatment and CD3/28 crosslinking as above, while CLL cells were stimulated with CD40L-conditioned media for 24 h. Cells were then mixed at a 20:1 T-cell:CLL ratio for additional 48 h (without drug). Apoptosis was determined within the CD19<sup>+</sup> population by flow cytometry (annexin V).

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**Fig. 7. Targeting NAE modulates T-cell subpopulations.**

Pevonedistat inhibits NEDD8-activating enzyme (NAE) in T cells derived from patients with CLL, resulting in altered NF- $\kappa$ B-regulated gene expression and downregulation of interleukin (IL)-2 signaling during T-cell activation. Furthermore, NAE inhibition in CD4<sup>+</sup> T cells resulted in reduced differentiation of inducible regulatory T-cells (iTregs) and polarization towards the Th1 phenotype.