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Perinatal Risk and Protective Factors in the Development of Diffuse White Matter Abnormality on Term-Equivalent Age MRI in Infants Born Very Preterm

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Abstract

Objective: To identify perinatal clinical diseases and treatments that are associated with the development of objectively diagnosed diffuse white matter abnormality (DWMA) on structural MRI at term-equivalent age in very preterm infants.

Study Design: A prospective cohort of 392 very preterm infants (<33 weeks gestational age) were enrolled from five level III/IV NICUs between September 2016 and November 2019. Brain MRIs were collected at 39 to 45 weeks postmenstrual age (PMA) to evaluate DWMA volume. A pre-defined list of pertinent maternal characteristics, pregnancy/delivery data, and neonatal ICU data was collected for enrolled patients to identify antecedents of objectively diagnosed DWMA.

Results: Of the 392 infants in the cohort, 377 (96%) had high quality MRI data. Their mean (SD) gestational age was 29.3 (2.5) weeks. In multivariable linear regression analyses, pneumothorax $(p=0.027)$, severe bronchopulmonary dysplasia (BPD) $(p=0.009)$, severe retinopathy of prematurity (ROP) (p<0.001), and male sex (p=.041) were associated with increasing volume of DWMA. The following factors were associated with decreased risk of DWMA: postnatal dexamethasone therapy for severe BPD ($p=.004$), duration of caffeine therapy for severe BPD ($p=.0009$), and exclusive maternal milk diet at NICU discharge (p=.049).

Conclusions: Severe ROP and BPD exhibited the strongest adverse association with development of DWMA. We also identified treatments and nutritional factors that appear protective against the development of DWMA that also have implications for the clinical care of very preterm infants.

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Keywords

Magnetic Resonance Imaging; infant; preterm; epidemiology; etiology

Introduction

Improvements in the care of preterm infants have resulted in fewer instances of severe injury such as periventricular leukomalacia (PVL) and intraventricular hemorrhage $(IVH)^1$. Even so, the prevalence of neurodevelopmental impairment (NDI) remains unacceptably high^{2,3}. Brain MRI studies in preterm infants have identified diffuse, subtle abnormalities in brain maturation and signal abnormality that may be the modern antecedents of NDI^{4-8} . Diffuse excessive high signal intensity (DEHSI) is defined as the presence of higher than normal signal intensity in the developing white matter, as seen on $T2$ -weighted MRI¹. It is detected in 50-80% of MRI scans of very preterm infants at approximately term-equivalent age⁹. Detailed diffusion MRI studies have identified myelination and axonal microstructural abnormalities in regions of the brain affected by $DEHSI^{10,11}$. The only post-mortem analysis of infants with DEHSI identified pathology consistent with PVL, such as microgliosis and astrocytosis, in addition to distinct findings such as diffuse vacuolations and lack of necrosis12. It remains unclear if DEHSI represents a milder form of PVL or a distinct abnormality13,14. Volpe has speculated that DEHSI may be the imaging manifestation of underlying diffuse white matter gliosis without focal necrosis 14 .

Despite these pathological radiographic and histologic findings, only a few studies have explored which perinatal clinical factors contribute to the development of DEHSI, in order to further our understanding of this prevalent signal abnormality^{1,9,10,15,16}. In one study, surgical ligation of patent ductus arteriosus (PDA) was identified as a potential risk factor for DEHSI¹⁰. Several other studies could not identify any antecedents of DEHSI; however, these studies were likely underpowered^{1,9,15}. Additionally, these negative results may have stemmed from qualitative diagnosis of DEHSI, which is subjective and cannot be made with high reliability^{17–19}. Not surprisingly, a recent meta-analysis of all DEHSI prognostic studies found that it was not significantly correlated with $NDI²⁰$. The authors concluded that "additional studies using validated objective tools to define and quantify DEHSI are needed." We reached the same conclusion in the past and developed an automated algorithm that can objectively and accurately quantify $DEHSI^{21,22}$. Importantly, we compared the performance of subjectively and objectively diagnosed DEHSI at term with NDI at age 2 and found that objectively defined DEHSI was significantly correlated with cognitive and language development, whereas subjectively defined DEHSI was not $6, 8$. Considering these key differences in correlation with important clinical outcome and the early neuropathological changes summarized above, we refer to the diffuse high signal abnormalities in periventricular and subcortical white matter, when objectively diagnosed, as diffuse white matter abnormality (DWMA). It is defined as the total volume of white matter exhibiting elevated signal intensity (details below) as compared to the surrounding white matter on term T2-weighted $MRI^{21,22}$.

Previously, we identified severe retinopathy of prematurity (ROP) and severe bronchopulmonary dysplasia (BPD) as antecedent risk factors of objectively diagnosed DWMA16,23. However, these prior studies lacked sufficient power to examine less common antecedent factors and common pathophysiologic pathways (e.g. inflammation). Our objective was to identify antenatal and neonatal clinical factors that are independently associated with the development of objectively diagnosed DWMA at term in a large geographically-defined cohort of very preterm infants. We hypothesized that several modifiable clinical antecedent factors would be associated with the development of DWMA, as diagnosed on term MRI.

Designs & Methods

We enrolled a multicenter prospective cohort of 392 very preterm infants from five neonatal intensive care units (NICU) in the greater Cincinnati area. This included all four academic and community level III/IV NICUs in Cincinnati: 1) Cincinnati Children's Hospital Medical Center (CCHMC), the primary academic referral center for high-risk neonates; 2) University of Cincinnati Medical Center, the primary academic referral center for high-risk pregnancies; 3) Good Samaritan Hospital; 4) St. Elizabeth's Healthcare; and one community level III NICU in Dayton, Ohio, Kettering Medical Center. All very preterm infants – born at or before 32 weeks gestational age (GA) – who were cared for in one of these NICUs between September 2016 and November 2019 were eligible for inclusion. Infants were excluded if they met any of the following criteria: 1) known chromosomal or congenital anomalies affecting the central nervous system; 2) cyanotic heart disease; or 3) hospitalization and mechanical ventilation with greater than 50% supplemental oxygen at 45 weeks postmenstrual age (PMA) (because such sicker infants are less able to handle transport to MRI scanner). The Cincinnati Children's Hospital Institutional Review Board approved the study, and the review boards of the other hospitals approved the study based on an established reliance agreement. Written informed consent was given by a parent or guardian of each study infant, after they were given at least 24 hours in which to review the consent and ask questions of the investigators.

Objective DWMA Identification and Quantification on MRI

Brain MRI was obtained between 39 and 45 weeks PMA. Images were collected during natural sleep with a 3T Philips Ingenia scanner and a 32-channel head coil located at Cincinnati Children's Hospital, as previously described 24 . We used our feed and wrap technique to avoid the use of sedation. Axial T2-weighted image parameters were as follows: echo time (TE) 166 ms, repetition time (TR) 18567 ms, flip angle (FA) 90°, and voxel dimensions $1.0\times1.0\times1.0$ mm; MPRAGE T1-weighted images (3D FFE): TR/TE/TI = 8.5/3.4/1610 ms, FA 13°, in-plane resolution = $1 \times 1 \times 1$ mm; susceptibility weighted imaging: TE 5.4 ms, TE 55 ms, FA 13 $^{\circ}$, and voxel dimensions $1.0 \times 1.0 \times 1.5$ mm.

Volume of DWMA was objectively quantified using our well-established published algorithm (Figure 1)²². Briefly, we aligned the T2-weighted images, acquired using 1 mm resolution, via the anterior and posterior commissures, performed bias field and signal inhomogeneity correction in SPM12²⁵, and segmented brain tissues using a unified

segmentation algorithm with spatial priors obtained from a neonatal probabilistic atlas. This produced voxel labels and volumes for the three main tissue classes. The central, subcortical, and periventricular white matter regions in which DWMA is typically visible were isolated between the brain slice immediately above the third ventricle inferiorly and superiorly above the lateral ventricles up to the most superior slice where white matter remains contiguous (i.e. not interrupted by gray matter) (on axial orientation). Restricted to these brain regions, we labeled DWMA as any white matter voxels with signal intensity more than 1.8 SD above the mean intensity for all grey and white matter voxels⁴. Due to the poor neonatal image contrast-to-noise ratio, our automated software is prone to falsely labeling a few isolated voxels as DWMA, typically one to three voxels in white matter-gray matter border regions. We manually removed these false positives to improve accuracy. Finally, to correct for the effect of varying head sizes, we computed normalized DWMA volume by dividing total DWMA volume by total white matter volume.

MRI Scoring

A single pediatric neuroradiologist, while masked to clinical history, performed all qualitative and quantitative assessments of MR images. Brain abnormalities were defined using a standardized scoring system developed by Kidokoro et al^{26} , as previously described with high reliability²⁴. Cerebral white matter abnormality was graded on a scale between zero and four for: 1) cystic degeneration, 2) focal signal abnormalities, 3) delayed myelination, 4) thinning of the corpus callosum, 5) dilated lateral ventricles, and 6) reduction of WM volume.

Clinical Antecedents

Trained research staff collected a pre-defined list of maternal characteristics, pregnancy/ delivery data, and infant data beginning at birth and ending at NICU discharge or study MRI examination, whichever occurred first. All variables were as previously defined (Table 3: online only)¹⁶. We could not examine the effect of surgical ligation for PDA, as this was performed in only three subjects. All brain MRI scans were read by a pediatric neuroradiologist as previously described²⁴, using an established neonatal MRI quantification system to derive a global abnormality score 26 .

Statistical Analysis

DWMA volume data was skewed and was thus transformed by taking its cubic root. As described previously¹⁶, we examined the association between approximately 50 antenatal, intrapartum, and postnatal clinical factors with normalized DWMA volume in bivariate linear regression analyses. Variables that were correlated with DWMA volume $(p<0.10)$ in bivariate analyses were entered into a multivariable linear regression model in a manual backward stepwise fashion, to evaluate their independent association with DWMA. In addition, we used knowledge of prior literature and biological plausibility to guide variable selection. Because postnatal covariates can overshadow antepartum or intrapartum variables that may be causative, we created multivariable regression models in which we ordered clinical factors temporally, so that the earliest occurring factors were entered first and could not be displaced by later occurring covariates^{16,27}.

To control for variation in clinical care practices between the five NICUs, we included NICU/Center as a covariate in the final model. Main effects and interactions were evaluated. All analyses were adjusted for postmenstrual age at MRI scan. Two-sided p values <0.05 were considered to indicate statistical significance. We performed all analyses using STATA 16.0 (Stata Corp., College Station, TX).

Results

Of the original cohort of 392 infants, 15 could not be accurately segmented, eight because of excessive motion artifacts and seven due to moderate-severe ventriculomegaly/brain injury. Therefore, accurate DWMA data was available for 377 infants (96%). The mean (SD) gestational age was 29.31 (2.50) weeks. On term structural MRI, 33 (8.8%) infants had moderate-severe brain abnormality (global abnormality score >7) and 84 (22.3%) had mild abnormality (global abnormality score 4–7). The median (IQR) volume of normalized DWMA was $394.0 (89.0 - 1006.9)$ mm³ on term-equivalent age MRI. Twenty-four infants had no quantifiable DWMA (6.4%).

Table 1 summarizes key baseline antepartum, intrapartum, and postnatal maternal and infant clinical characteristics for our final cohort. In bivariate analyses (as a first step for selecting candidate variables for the multivariate model), adjusting for PMA at MRI scan, several antecedents were associated with normalized DWMA volume $(p<.10)$, including maternal progesterone therapy (p=.053), male sex (p=.069), pneumothorax (p=.030), prophylactic indomethacin (p<.001), cyclooxygenase inhibitor therapy (ibuprofen/indomethacin) for PDA (p=.004), any BPD (p=.086), severe BPD (p=.041), postnatal dexamethasone for BPD $(p<.001)$, duration of caffeine therapy $(p=.085)$, severe ROP $(p=.042)$, surgery requiring general anesthesia (p=.073), white matter abnormality score (p=.002), and NICU/Center $(p=014)$. Additionally, we identified a significant interaction between postnatal dexamethasone therapy and severe BPD $(p<.001)$ and between duration of caffeine therapy and severe BPD (p=.006).

In multivariable linear regression analyses, controlling for PMA at MRI scan and NICU/ Center, several postnatal variables remained significant in the final model, including infant sex, pneumothorax, severe ROP, severe BPD, postnatal dexamethasone for severe BPD (interaction term), duration of caffeine therapy for severe BPD (interaction term), exclusive maternal breast milk nutrition at NICU discharge, and white matter abnormality score (Table 2). Both severe ROP and severe BPD increased the risk of DWMA. Conversely, postnatal steroid and caffeine therapy for severe BPD reduced the risk of DWMA (Table 2). The addition of gestational age (p=.854) did not change the significance of the other variables in the final model. Replacing our primary outcome variable – DWMA volume normalized by white matter volume – with either DWMA volume normalized by combined white and gray matter volume or uncorrected DWMA volume did not change the significance level or regression parameters of the covariates by more than 5%.

Of the 65 infants with severe BPD, 39 were treated with postnatal dexamethasone, all using the published Dexamethasone A Randomized Trial (DART) protocol, which results in a cumulative dosing of 0.89 mg/kg of dexamethasone administered over 10 days²⁸. Nine of

these infants received a second course of DART, and one infant received three total courses. The median (range) duration of caffeine therapy was 62 (0-89) days for infants with severe BPD. At NICU discharge or study MRI, whichever came first, 42 (11.1%) were receiving their mother's breast milk exclusively, 165 (43.8%) were receiving a combination of mother's milk and preterm infant formula, and the remaining 170 (45.1%) were exclusively receiving infant formula. Replacing exclusive mother's milk in the model with exclusive formula at discharge resulted in a beta coefficient of .01431 (95% CI: −.00036, .02898; p=.056), which represents a significant trend towards an opposite effect on DWMA volume.

We did not find a significant relationship in bivariate or multivariable analyses between DWMA and any of the following key clinical variables: antenatal corticosteroid therapy, antenatal magnesium therapy, clinical or histologic chorioamnionitis, delayed cord clamping, low 5-minute Apgar score, transitional hypotension requiring volume or vasoactive therapy, PDA, sepsis, antibiotics, surgery due to necrotizing enterocolitis (NEC) or spontaneous intestinal perforation (SIP), or duration of total parenteral nutrition.

Discussion

Studying a well-characterized and geographically-defined cohort of very preterm infants, we identified several new independent perinatal clinical factors that antecede the development of DWMA at term-equivalent age. We also externally validated the previously-reported association between both severe ROP and severe BPD and increased DWMA volume¹⁶. These two diseases exhibited the largest effect on DWMA volume. Interestingly, postnatal dexamethasone therapy and caffeine therapy, the two most effective drugs for reducing BPD risk, were associated with a decreased DWMA risk when administered to very preterm infants with severe BPD. These novel associations have not been reported previously. They further strengthen the observed adverse association between severe BPD and DWMA. Pneumothorax and an exclusive diet of maternal milk represent two additional factors that have not been previously reported. Each of the new antecedents we uncovered has been associated with NDI, but the way in which they are mediated by early brain development/ injury has not been fully delineated.

This is the third study to identify severe ROP as a key antecedent of $DWMA^{16,23}$. Development of severe ROP is among the most prominent neonatal factors associated with NDI, independent of structural injury on cranial ultrasound^{29–32}. Even qualitative structural MRI studies have not been able to mechanistically explain this association. Recently, we identified a negative association between ROP and automatically-quantified sulcal depth, a measure of brain maturation, on term MRI³³. The same factors that appear to damage the immature retina in infants that develop ROP – oxidant injury and inflammation – are likely also injuring the immature brain^{34–36}. The association with DWMA suggests an additional mechanism by which ROP is causally associated with $NDI^{29,30}$.

Similar to severe ROP, we previously reported a robust association between severe BPD and DWMA in a separate independent cohort¹⁶. Unlike this prior report, which was likely underpowered for less common conditions/treatments, in our current study we identified an interaction between severe BPD and postnatal dexamethasone therapy and an interaction

between severe BPD and duration of caffeine therapy, both of which were associated with reduced DWMA volume. These findings are highly relevant for the clinical care of very preterm infants as they contribute to our understanding of how these drugs may improve long-term neurodevelopmental outcomes, particularly when administered to infants at high risk for severe BPD. For example, postnatal dexamethasone use, even at the relatively low doses used in our population (e.g. DART protocol²⁸), has remained low since 2003, when the American Academy of Pediatrics recommended a moratorium due to concerns regarding NDI following dexamethasone therapy³⁷. However, it soon become clear that NDI occurred primarily in treated infants at low baseline risk of BPD $(<50\%)$, typically during the first week of age, when such risk is difficult to estimate³⁸. Conversely, treatment of infants at high baseline risk of BPD ($>50-67\%$) results in a lower risk of cerebral palsy or death. Our finding of an association between dexamethasone treatment in infants with severe BPD and DWMA development suggests a beneficial mechanism of this corticosteroid.

The association of DWMA with duration of caffeine therapy in infants with severe BPD suggests that the proven long-term beneficial effects of caffeine on motor development 39 in very preterm infants may be mediated through an early neuroprotective effect on DWMA development. Mechanistically, this benefit may be directly mediated by caffeine's weak diuretic effects, via protection against intermittent hypoxemia⁴⁰, and/or through adenosine receptor blockage and secondary anti-inflammatory effects^{41,42}. Conversely, it may act indirectly by reducing the risk of $BPD⁴³$. At the macroscopic level, the neuroprotective effects of caffeine and dexamethasone may be mediated through reduction of DWMA, which is an independent predictor of motor development at 3 years of age⁴⁴. Our novel findings suggest that DWMA volume could potentially be used as a surrogate outcome measure in quality improvement efforts or new randomized trials, in order to assess the expanded use of new therapeutic dosing of caffeine or dexamethasone in infants with severe BPD.

The cognitive benefits of human milk in preterm infants were demonstrated in a metaanalysis of 11 cohort studies with short-term outcomes⁴⁵ and in studies of preterm infants at school age and in adolescence $46-48$. We identified a significant protective effect of an exclusive maternal milk diet during the NICU period on DWMA development at term. These findings have not been reported previously and add to our growing understanding of the neuronal mechanisms by which maternal milk confers neurocognitive benefits. In quantitative MRI studies, Pogribna et al.49 identified dose-dependent improved microstructural maturation of the corpus callosum at term in breast milk-fed infants, while Belsa et al.⁵⁰ reported significant benefits on the corpus callosum and several other white matter regions, including the periventricular white matter, in a smaller cohort of very preterm infants.

The bright signal abnormalities that are the hallmark of DWMA begin to decrease between 42 and 50 weeks PMA⁵¹. We found a similar pattern of decrease in DWMA with advancing age at MRI scan. Interestingly, we also observed a negative association between objectivelydefined DWMA and a semi-quantitative measure of WM injury/abnormality. This association has not been previously reported and suggests that other white matter abnormalities/injuries may result from factors different that those associated with DWMA.

Importantly, as with white matter abnormalities such as PVL and ventriculomegaly, objectively-defined DWMA is predictive of cognitive, language, and motor deficits at 2 to 3 years corrected age, a finding that has now been reported in two independent cohorts of preterm infants^{6,8,44}. As stated above, a recent meta-analysis of subjectively diagnosed DEHSI did not find a significant association with NDI²⁰. We speculate that subjective diagnosis is not predictive of NDI because studies: 1) exhibited poor inter- and intra-rater reliability; 2) used categorical rather than continuous outcome; 3) employed modest sample sizes^{17–19}. Each of these factors reduces statistical power and biases study results towards the null. Furthermore, these studies were unable to validate their DEHSI diagnosis with any ground truth. We addressed these key limitations by using computer simulations to objectively quantitate DWMA and confirm its high accuracy and further validated our algorithm by correlating DWMA with several clinically meaningful outcomes, including long-term cognitive, language, and motor scores.

Both ROP and BPD share systemic inflammation, hypoxia, and hyperoxia as common mechanisms that could directly or indirectly result in brain injury. In addition to the wellknown risk factors of hypoxia and hyperoxia, recent evidence from large epidemiologic investigations and experimental models implicate antenatal inflammation and postnatal infection/inflammation as strong risk factors for the development of ROP35,36,52–54. The large reduction in BPD incidence noted in randomized trials of postnatal corticosteroids and the lack of benefit observed following antioxidant therapies or tighter control of oxygen saturation, suggests that inflammation may be a significant causal factor in the development of BPD55–59. Our findings of reduced DWMA following treatment with dexamethasone, a potent anti-inflammatory drug, and caffeine, which also has anti-inflammatory properties41,42, further supports inflammation as a key mechanism in the development of DWMA. Conversely, we did not observe an association between DWMA and other proinflammatory conditions such as chorioamnionitis or postnatal sepsis. Human milk also has anti-inflammatory properties, as it contains molecules such as secretory immunoglobulin A, transforming growth factor beta, lactoferrin, and interleukin-10 that can protect the newborn against inflammation^{60,61}. We also did not find an association with delayed cord clamping, low Apgar scores, transitional hypotension, or PDA, factors more closely associated with hypoxia-ischemia, suggesting that this may not be the predominant underlying mechanism in the development of DWMA.

The strengths of our study include a robust, geographically-based cohort, the use of an objective, validated algorithm to diagnose DWMA, and comprehensive examination of important perinatal variables known to be associated with NDI. Our study also has several weaknesses. We did not collect serum biomarkers of inflammation, hypoxia, or ischemia to further elucidate the molecular mechanisms underlying DWMA development. The rates of PDA ligation and surgery for NEC or SIP were low, and therefore we were underpowered to examine their associations with DWMA. Finally, our findings should only be viewed as associations rather than primary causes. Nevertheless, this study represents the largest and most rigorous examination of the antecedents of DWMA development in very preterm infants. The significant relationship between these novel antecedent factors and DWMA enhances our understanding of the mechanisms of their known adverse/protective effects on NDI. It further suggests that quality improvement and research interventions that target the

underlying mechanisms that lead to ROP, pneumothorax, and BPD, or increased the therapeutic use of dexamethasone and caffeine in infants at high risk for BPD, may result in a lower burden of DWMA and subsequently in reduced rates of NDI.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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Data Statement:

All available data is included within this manuscript. Those wishing to obtain the data directly from the authors, can do so by emailing the corresponding author.

Abbreviations:

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A. Top three panels display raw axial T2-weighed magnetic resonance images through the central part of the brain from a 28 week very preterm boy. Higher signal intensity can be appreciated in the white matter from the surrounding gray matter. Bottom three panels display the segmented (yellow) moderate degree of DWMA white matter regions. B. Top three panels display raw axial T2-weighed MR images from a 30 week very preterm boy. Higher signal intensity can be appreciated in the periventricular and central white matter

from the surrounding gray matter. Bottom three panels display the segmented (yellow) severe DWMA.

Table 1.

Distributions of important antenatal, intrapartum, and postnatal clinical factors prior to MRI at term-equivalent age in a multicenter cohort of very preterm infants.

* All values are N (%), unless otherwise noted.

† Pathology not performed in 27 infants;

 $\stackrel{\circ}{\mathcal{S}}$ Data missing for 4 infants;

** Data missing for 7 infants

Table 2.

Final multivariable linear regression model displaying the coefficients of several clinical antecedents that were associated with the development of diffuse white matter abnormality (DWMA), which was objectively-defined on structural brain MRI at term-equivalent age.

* Normalized volume of DWMA was transformed by taking its cubic root. Therefore, the coefficients represent approximately the cubic root change in DWMA volume for one unit change in the clinical antecedent, while holding the other independent variables constant.

Table 3:

Definition of antepartum, intrapartum, and postnatal clinical and demographic maternal or infant factors examined for their relationship with objectively diagnosed diffuse white matter abnormality.

