# HGT

# Design and Assessment of Novel Anti-CD30 Chimeric Antigen Receptors with Human Antigen-Recognition Domains

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Chimeric antigen receptors (CARs) are artificial fusion proteins that incorporate antigen-recognition domains and T cell signaling domains. CD30 is a cell surface protein expressed on Hodgkin's lymphoma, some T cell lymphomas, and some B cell lymphomas. CD30 has a restricted expression pattern in normal cells, so CD30 has good potential as a clinical target for CAR T cells. We compared three different anti-CD30 CAR designs incorporating a single-chain variable fragment derived from the 5F11 fully human monoclonal antibody. 5F11-28Z has hinge, transmembrane, and costimulatory domains from CD28 and a CD3 $\zeta$  T cell activation domain. 5F11-CD828Z has hinge and transmembrane domains from CD8 $\alpha$ , a CD28 costimulatory domain, and a CD3<sup>7</sup> T cell activation domain. 5F11-CD8BBZ is identical to 5F11-CD828Z, except for the replacement of the CD28 moiety with a 4-1BB moiety. We found that T cells expressing 5F11-CD8BBZ had lower levels of CD30-specific degranulation and cytokine release compared with CD28-containing CARs. When compared to the CD28containing CARs, T cells expressing 5F11-CD8BBZ had higher levels of nonspecific functional activity, including degranulation, cytokine release, and proliferation, when stimulated with CD30-negative target cells. We established tumors in nod-scid common gamma-chain deficient (NSG) mice and treated the tumors with T cells expressing different CARs. T cells expressing 5F11-28Z were most effective at eradicating tumors. T cells expressing 5F11-CD828Z had intermediate effectiveness, and T cells expressing 5F11-CD8BBZ were least effective. CD30<sup>+</sup> T cells are lost from cultures of T cells containing 5F11-28Z-expressing T cells. This indicated the killing of  $CD30^+$  T cells by the 5F11-28Z-expressing T cells. Despite this, the number of T cells in the cultures consistently accumulated to numbers needed for use in a clinical trial. Based on all in vitro and murine experiments comparing the different CARs, we selected 5F11-28Z for further development, and we have initiated a clinical trial testing 5F11-28Z T cells.

Keywords: CAR, CD30, chimeric antigen receptor, 4-1BB, CD28

# **INTRODUCTION**

CHIMERIC ANTIGEN RECEPTORS (CARs) are fusion proteins that include an antigen-recognition domain, costimulatory domains such as CD28 and 4-1BB, and a T cell activation domain such as CD3 $\zeta$ .<sup>1–7</sup> T cells expressing anti-CD19 CARs have proven clinical efficacy in treating B cell lymphomas, which provides a rationale for the development of anti-CD30 CARs for treating CD30<sup>+</sup> lymphomas.<sup>8–14</sup> CD30 is also known as Ki-1 or tumor necrosis family receptor superfamily member 8 (TNFRSF8). CD30 is a type I transmembrane receptor.<sup>15,16</sup> The function of CD30 remains unclear. Studies have suggested costimulatory functions regulating T cell responses among other context-dependent effects.<sup>17–23</sup>

CD30 is strongly expressed by the malignant Reed-Sternberg cells of Hodgkin's lymphoma (HL); CD30 is also expressed by anaplastic large cell lymphoma (ALCL), some other T cell lymphomas, and some B cell lymphomas.<sup>24–27</sup> CD30 expression has been reported to be largely absent from non-lymphoid tissues.<sup>28</sup> CD30 expression on B cells and T cells increases when these cells are activated.<sup>16,29</sup> Because of the high expression of CD30 on some lymphomas and its restricted expression on nonhematopoietic tissues, CD30 is a promising target for CAR T cells.

CD30 is a target antigen that might allow CAR T cells to be utilized against a broader range of malignancies, including HL and T cell lymphomas. Brentuximab vedotin

\*Correspondence: Dr. James N. Kochenderfer, National Institutes of Health, National Cancer Institute, Center for Cancer Research, Surgery Branch, NIH 10 Center Drive CRC Room 3-3940, Bethesda MD 20892, USA. E-mail: kochendj@mail.nih.gov is an antibody-drug conjugate consisting of an anti-CD30 antibody conjugated to a microtubule-disrupting drug; brentuximab vedotin has clinical activity against HL and ALCL.<sup>30-33</sup> Savoldo et al. reported preclinical results of a gamma-retroviral-encoded anti-CD30 CAR with a singlechain variable fragment (scFv) antigen-recognition domain derived from a murine antibody.<sup>34–36</sup> In a clinical trial using the same CAR, a 39% overall response rate (ORR) was initially reported.<sup>37</sup> A more recent update confirmed that anti-CD30 CAR T cells could induce complete remissions (CRs) of HL.<sup>38</sup> Results from a different clinical trial of T cells expressing a lentiviralencoded anti-CD30 CAR containing a murine scFv showed an ORR of 33% among 18 total patients, 17 with HL and 1 with ALCL.<sup>39</sup> While these earlier clinical trials have yielded important findings, we reasoned that anti-CD30 CAR T cell therapies could be improved to increase the rate and durability of remissions. Moreover, CARs used in these prior anti-CD30 CAR clinical trials had binding domains derived from murine antibodies, which could lead to recipient-versus-CAR immune responses.40,41

Our goal was to design new anti-CD30 CARs with a binding domain derived from a fully human antibody and to select an anti-CD30 CAR design for use in a clinical trial. Because CAR design can affect CAR T cell function in mice and humans,<sup>7,8,42</sup> we compared anti-CD30 CARs with different hinge and transmembrane domains, and we compared CARs with either CD28 or 4-1BB costimulatory domains. We designed an scFv binding domain derived from a fully human anti-CD30 antibody, and we selected an anti-CD30 CAR with favorable characteristics for clinical use.

# METHODS

# Use of human cells and use of mice in experiments

Peripheral blood mononuclear cells (PBMCs) were from patients enrolled on National Cancer Institute (NCI) clinical trials. The use of patient samples for research was approved by the NCI Institutional Review Board. Informed consent was obtained from all patients. All animal studies were carried out on protocols approved by the NCI Animal Care and Use Committee.

# PCR to quantify CD30 expression

CD30 cDNA copies were quantitated in samples of cDNA from human tissues in the Human Major Tissue quantitative polymerase chain reaction (qPCR) Panel II (Origene) by performing qPCR with a CD30-specific primer and probe set (Applied Biosystems, Foster City, CA). CD30<sup>+</sup> HH cells served as a positive control for CD30 expression. A standard curve for the CD30 qPCR was created by amplifying dilutions of a plasmid that encoded the full-length cDNA of CD30 (Origene).  $\beta$ -actin

cDNA copy numbers were quantified in the same tissues with a TaqMan  $\beta$ -actin primer and probe kit (Applied Biosystems) and used to normalize CD30 copy numbers. qPCR was conducted on a Roche LightCycler480.

We performed qPCR to assess differences in CD30 expression in LSIN-5F11-28Z-transduced T cells versus untransduced T cells from the same human donors. For these experiments, T cells were cultured and transduced as described below under "T cell cultures" and "Transductions." On day 7 of culture, RNA was extracted from T cells and used to synthesize cDNA. The qPCR was performed on cDNA with a Roche LightCycler 96 realtime PCR system. CD30 qPCR was performed with a CD30-specific primer and probe set (Applied Biosystems). A standard curve for the qPCR was created by amplifying dilutions of a plasmid that encoded the full-length cDNA of CD30 (Origene). CD30 qPCR results were normalized to T cell  $\beta$ -actin and have been reported as CD30 transcript copies/100,000 actin transcript copies. For the actin qPCR, an actin plasmid (Origene plasmid ACTB NM\_001101) was amplified in duplicate with a primer and probe set (Bio-rad ACTB qHsaCEP0036280) to generate a standard curve.

# Design and construction of plasmids encoding anti-CD30 CARs

The sequence of the fully human anti-CD30 antibody 5F11 was obtained from a patent.<sup>43</sup> The variable regions of 5F11 were used to design an scFv with the following pattern from N-terminus to C-terminus: light chain variable region, linker, and heavy chain variable region. The linker had the following amino acid sequence: GSTS GSGKPGSGEGSTKG.<sup>44</sup>

The first anti-CD30 CAR that we designed was designated 5F11-28Z. The 5F11-28Z sequence followed this pattern from the N-terminus to the C-terminus: CD8a signal sequence, 5F11 scFv, hinge and transmembrane domains of the CD28 molecule, the cytoplasmic region of CD28, and the cytoplasmic portion of CD3 $\zeta$ . In the 5F11-CD828Z CAR, the CD28 hinge and transmembrane domains of 5F11-28Z were replaced with CD8 $\alpha$  hinge and transmembrane domains. The 5F11-CD8BBZ CAR was identical to 5F11-CD828Z, except the cytoplasmic region of CD28 in 5F11-CD828Z was replaced by the cytoplasmic region of 4-1BB in 5F11-CD8BBZ. A negative-control CAR, SP6-CD828Z, has been previously reported.45 All of the above CARs were encoded by a selfinactivating lentivirus vector designated pRRLSIN.cPPT .MSCV.coDMF5.oPRE (LSIN).<sup>46</sup>

We constructed four CARs encoded by a gammaretroviral vector called mouse stem cell virus-based splice-gag vector (MSGV1).<sup>47</sup> The MSGV1-5F11-28Z plasmid encoded the same CAR as the lentiviral version of 5F11-28Z described above. We designed a CAR with an scFv derived from the murine anti-CD30 antibody HRS3.<sup>48</sup> This CAR was designated HRS3-28Z. HRS3-28Z had the same sequence as 5F11-28Z, except for the different scFvs. We previously constructed a negative-control CAR MSGV1-SP6-CD828Z and the anti-CD19 CAR MSGV1-Hu19-CD828Z.<sup>7,41</sup>

DNA encoding all CAR sequences was codon optimized and synthesized by Invitrogen (GeneArt) with appropriate restriction sites and ligated into the appropriate vector plasmids by using standard methods.

#### T cell culture

T cells were cultured as described previously.<sup>44</sup> In brief, PBMCs were thawed and washed in AIM V complete medium that contained AIM V medium (Thermo), 5% human AB serum (Valley Biomedical), 100 U/mL penicillin, and 100  $\mu$ g/mL streptomycin. Before transduction, PBMCs were suspended at a concentration of  $1 \times 10^6$  cells/mL in AIM V complete medium and stimulated with 50 ng/mL of the anti-CD3 monoclonal antibody OKT3 (Ortho). The medium contained 300 international units (IU)/mL of interleukin-2 (IL-2, Teceleukin; Roche). After transductions, T cell cultures were maintained in AIM V complete media with 300 IU/mL IL-2.

#### Transductions

To produce lentivirus-containing supernatant, 293T-17 cells (ATCC) were transfected with the following plasmids as detailed previously<sup>46</sup>: pMDG (encoding the vesicular stomatitis virus envelope), pMDLg/pRRE (encoding gag and pol), pRSV-Rev (encoding Rev), and the appropriate CAR-encoding plasmid.<sup>46</sup>

Twenty-four hours after the T cell culture initiation, transductions were conducted by combining AIM V complete medium, IL-2, lentivirus vector, and protamine sulfate with the stimulated T cells. The cells were cultured with the lentivirus vector for 48 h, and then they were washed and returned to culture. Gamma-retroviral transductions were performed as previously described 2 days after initiation of T cell cultures.<sup>41</sup>

## **Cell lines**

The following CD30<sup>+</sup> cell lines were used: HH (T cell lymphoma; ATCC), SUDHL-1 (lymphoma; ATCC), and L428 (HL, DSMZ). We transduced BV173 cells (leukemia, a kind gift of Dr. A. Wiestner, National Heart Lung and Blood Institute) to express full-length human CD30 (CD30-BV173). We also transduced BV-173 cells with low-affinity nerve growth factor receptor (NGFR-BV173) to serve as a CD30-negative control. The following CD30-negative cell lines were used: CCRF-CEM (T cell leukemia; ATCC), A549 (lung carcinoma; ATCC), TC71 (Ewing sarcoma, a kind gift of Dr. M. Tsokos, NCI), Sol8 (myoblast; ATCC), Panc10.05 (pancreatic carcinoma; ATCC), and MDA-MB-231 (breast carcinoma; ATCC).

# CAR detection on transduced T cells and flow cytometry

T cells were washed and stained with either biotinylated protein L (GenScript) or a CD30 fragment-crystallizable (Fc) protein labeled with phycoerythrin (CD30-Fc-PE, Creative Biomart) to detect cell surface CAR molecules. Flow cytometry was performed by standard methods.<sup>44</sup>

The following antibodies were used: CD3 APC-Cy7 (Clone UCHT1; BD Biosciences), CD4 FITC/BV510 (Clone RPA-T4; BD Biosciences or Biolegend), CD8 PE-Cy7/eFluor450 (Clone RPA-T8; BD Biosciences or Thermo Scientific), and CD30 APC (Clone BerH8; BD Biosciences). Dead cells were excluded by 7-amino-actinomycin D (7-AAD; BD Biosciences). Analysis for all experiments was performed with FlowJo version 10 (Tree Star, Inc.).

## CD107a degranulation assay

For each T cell culture tested, two tubes were prepared. One tube contained CD30-BV173 cells, and the other tube contained NGFR-BV173 cells. Both tubes contained CAR-transduced T cells, 1 mL of AIM-V complete medium, a titrated concentration of an anti-CD107a antibody (Clone H4A3; Thermo Scientific), and 1  $\mu$ L of Golgi Stop (monensin, BD). All tubes were incubated at 37°C for 4 h and then stained for CD3, CD4, and CD8. Samples were analyzed by flow cytometry. Normalization was carried out by dividing the percentage of CD4<sup>+</sup> or CD8<sup>+</sup> T cells that were CD107a<sup>+</sup> by the percentage of CD4<sup>+</sup> or CD8<sup>+</sup> T cells that were CAR<sup>+</sup> by protein L staining.

# Cytotoxicity assay

Cytotoxicity assays were conducted with a slightly modified version of a previously described assay.<sup>49</sup> Cytotoxicity was measured by comparing the survival of HH CD30<sup>+</sup> target cells relative to the survival of negative-control CCRF-CEM cells. In some experiments, CAR T cell cultures were depleted of natural killer (NK) cells by negative selection with anti-CD56 microbeads (Miltenyi Biotec).

#### Enzyme-linked immunosorbent assay

CD30-positive or CD30-negative target cells were combined with CAR-transduced T cells in duplicate wells of a 96-well round bottom plate in AIM-V complete medium at a 1:1 effector:target ratio. Plates were incubated at 37°C for 18–20 h. Following incubation, enzyme-linked immunosorbent assays (ELISAs) for interferon gamma (IFN $\gamma$ ) were performed by using standard methods as previously described.<sup>7</sup> IL-2 and tumor necrosis factor alpha (TNF $\alpha$ ) ELISAs (R&D Systems) were performed as recommended by the manufacturer. When two or more CARs were compared, cytokine release was normalized for CAR expression by dividing the cytokine levels by the fraction of T cells expressing a given CAR.

#### **Proliferation assays**

Carboxyfluorescein diacetate succinimidyl ester (CFSE)labeled CAR T cells were cultured with CD30-BV173 cells or NGFR-BV173 target cells for 4 days followed by flow cytometry to assess proliferation. These assays were conducted as previously described, except for the different target cells.<sup>41</sup>

## Mouse tumor experiments

All mouse experiments used immunocompromised NOD.Cg-*Prkdc<sup>scid</sup> Il2rg<sup>tm1Wjl</sup>*/SzJ (nod-scid common gamma-chain deficient [NSG]) mice at 6–8 weeks of age from NCI-Frederick or Jackson Laboratories. For experiments using CD30<sup>+</sup> HH cells, mice were injected with  $4 \times 10^{6}$  HH cells in a 1:1 solution of Matrigel (Corning) plus phosphate-buffered saline (PBS, Corning) intradermally. Eight days later, when measurable tumors were present, CAR T cells were injected intravenously. For the experiment with the CD30<sup>+</sup> L428 lymphoma cell line, mice were injected with  $8 \times 10^{6}$  L428 cells in 1:1 solution of Matrigel plus PBS intradermally. Nine days after L428 injection, mice were intravenously injected with CAR T cells. Tumors were measured using a caliper every 3 days. Tumor volume was calculated as (length×width×height)/2.

#### Statistics

All statistics are described in figure legends and were performed with GraphPad Prism version 10.

# RESULTS/DISCUSSION CD30 has a favorable expression pattern for CAR targeting

To avoid unacceptable toxicities in patients, antigens targeted by CARs should not be expressed on normal essential human cells. We assessed CD30 expression in normal tissues. CD30 RNA expression was assessed by conducting qPCR on a panel of cDNA samples. CD30 was found to be absent or expressed at very low levels in all major non-hematopoietic tissues. There was a low level of CD30 RNA expression in some lymphoid tissues, including lymph node and blood lymphocytes (Fig. 1A). Prior immunohistochemistry work conducted by other investigators showed that CD30 was not expressed on the non-hematopoietic cells of major human organs, except for decidual cells of the pregnant uterus; uterine decidual cells are present only in the uterus and only during pregnancy and the later half of the menstrual cycle.<sup>15,28,50</sup> Low levels of CD30 RNA in some organs are likely explained by the presence of CD30<sup>+</sup> leukocytes such as activated B cells and T cells.<sup>26</sup> We evaluated CD30 protein expression by flow cytometry. CD30 was not detected on PBMC or CD34<sup>+</sup> hematopoietic stem cells. In contrast, CD30 was detected on the HH and CD30-BV173 cell lines (Fig. 1B).

In a previous report by Hombach *et al.*, CD30 was found to be expressed on  $\sim 20\%$  of human CD34<sup>+</sup> cord

blood cells before any *ex vivo* culture; CD30 expression increased on these cells after cytokine activation.<sup>51</sup> The same study provided evidence that CD30<sup>+</sup>, CD34<sup>+</sup> cord blood cells were resistant to destruction by CD30-directed CAR T cells.<sup>51</sup> We did not find CD30 expression on peripheral blood CD34<sup>+</sup> cells from an adult patient who had received granulocyte colony-stimulating factor to increase blood CD34<sup>+</sup> cell numbers.

# Anti-CD30 CARs

We constructed three anti-CD30 CARs incorporating scFvs derived from the fully human antibody 5F11 (Fig. 2A). All three of these CARs had CD3 $\zeta$  T cell activation domains. The 5F11-28Z CAR contained hinge, transmembrane, and costimulatory domains from CD28. The 5F11-CD828Z and 5F11-CD8BBZ CARs had hinge and transmembrane domains from the CD8 $\alpha$  molecule. The rationale for testing different hinge and transmembrane domains is that these domains can strongly affect CAR T cell function.<sup>7,8,42</sup> 5F11-CD828Z had a CD28 costimulatory domain, while 5F11-CD8BBZ had a 4-1BB costimulatory domain. The rationale for testing different costimulatory moieties is that CAR T cell function can be different based on the costimulatory molecule included in CARs, and when CD28 and 4-1BB costimulatory domains have been compared, the optimal costimulatory domain for tumor elimination has varied with different CARs and tumor models.<sup>41,52–54</sup> The anti-CD30 CARs in Fig. 2A were encoded by a self-inactivating lentiviral vector (LSIN).46

# Expression of anti-CD30 CARs

We assessed CAR expression on the surface of transduced T cells. All three 5F11-containing CARs were highly expressed on the surface of  $CD4^+$  and  $CD8^+$  T cells (Fig. 2B). There was no statistically significant difference in the percentage of T cells that were CAR<sup>+</sup> when T cells transduced with each of the three 5F11-containing CARs were compared (Fig. 2C, D). Interestingly, T cells expressing 5F11-CD8BBZ had a significantly higher median fluorescence intensity (MFI) of CAR expression when compared with T cells expressing 5F11-28Z or 5F11-CD828Z (Fig. 2E, F).

# Degranulation and cytokine release by anti-CD30 CAR T cells

To compare degranulation of T cells expressing either 5F11-28Z, or 5F11-CD828Z, or 5F11-CD8BBZ, we stained for CD107a surface expression on CAR T cells during incubation with either CD30-BV173 as a positive target or NGFR-BV173 as a negative target. CD107a expression is upregulated with T cell degranulation. T cells expressing each of the three CARs degranulated in response to CD30-BV173 as indicated by the increased CD107a staining in both CD4<sup>+</sup> and CD8<sup>+</sup> T cells when the



**Figure 1.** Expression of CD30 in human tissues and cell lines. **(A)** CD30 RNA expression was assessed in all major normal tissues and the CD30<sup>+</sup> lymphoma cell line HH. Quantitative PCR was performed on cDNA from each of the tissues. CD30 cDNA copies were normalized to actin cDNA copies from each tissue. **(B)** The indicated cell types were stained with an anti-CD30 antibody and an isotype control antibody. The plots show overlapped histograms for CD30 (*solid black histograms*) and isotype control antibody (*open histograms*). The PBMC histogram shows all PBMCs. The CD34<sup>+</sup> plot is gated on flow-sorted CD34<sup>+</sup> cells from peripheral blood of a patient treated with granulocyte colony-stimulating factor. Because of complete overlap between the anti-CD30 histogram and isotype histogram, the open isotype histograms are not visible for PBMCs and CD34<sup>+</sup> cells. The HH and CD30-BV173 histograms show staining of all cells of the HH and CD30-BV173 cell lines. PBMC, peripheral blood mononuclear cell.



**Figure 2.** Expression of anti-CD30 CARs. **(A)** Three CARs were compared. All three CARs had the 5F11 scFv. 5F11-28Z had hinge, transmembrane, and costimulatory domains from CD28 and a CD3 $\zeta$  T cell activation domain. 5F11-CD828Z had hinge and transmembrane domains from the CD8 $\alpha$  molecule, a CD28 costimulatory domain, and a CD3 $\zeta$  T cell activation domain. 5F11-CD8BBZ was identical to 5F11-CD828Z, except a 4-1BB domain was included instead of a CD28 domain. **(B)** Human PBMCs were stimulated to proliferate with anti-CD3 in IL-2-containing medium. One day after culture initiation, the cells were transduced with vectors encoding the indicated CARs, or the cells were left untransduced. Six days after transduction, the cells were stained with antibodies against CD3, CD4, and CD8. Cells were also stained with Protein L to detect CARs. Plots are gated on live CD3<sup>+</sup> lymphocytes and then on either CD4<sup>+</sup> or CD8<sup>+</sup> cells. The mean + SEM percentages of **(C)** CD4<sup>+</sup> T cells and **(D)** CD8<sup>+</sup> T cells that expressed the indicated CARs are shown. There was no statistically significant difference between any pair of CARs for either CD4<sup>+</sup> or CD8<sup>+</sup> T cells. The mean + SEM MFI of CAR expression is shown for **(E)** CD4<sup>+</sup>CAR<sup>+</sup> T cells and **(F)** CD8<sup>+</sup>CAR<sup>+</sup> T cells. *p*-values are shown on the graphs with *brackets* connecting the groups being compared by each *p*-value. For **(C–F)**, statistical analysis was by Wilcoxon matched-pairs signed rank test; *n* = 9. For **(C–F)**, correction for multiple comparison was performed by the Bonferroni method, so *p* < 0.017 was considered statistically significant. CAR, chimeric antigen receptor; MFI, median fluorescence intensity; scFv, single-chain variable fragment; SEM, standard error of the mean.

T cells were stimulated with CD30-BV173 versus NGFR-BV173 (Fig. 3A). As expected, low levels of degranulation occurred when 5F11-28Z CAR T cells or 5F11-CD828Z CAR T cells were incubated with CD30negative NGFR-BV173 cells. When compared to T cells expressing either 5F11-28Z or 5F11-CD828Z, T cells expressing 5F11-CD8BBZ had higher levels of degranulation in response to NGFR-BV173. As summarized in Fig. 3B, C, T cells expressing 5F11-28Z or 5F11-CD828Z exhibited a similar degree of CD30-specific degranulation. For both CD4<sup>+</sup> and CD8<sup>+</sup> T cells, T cells expressing 5F11-CD8BBZ had significantly lower levels of CD30-specific degranulation when compared with either 5F11-28Z T cells or 5F11-CD828Z T cells. CD30-specific degranulation was calculated as degranulation with CD30-BV173 stimulation minus degranulation with NGFR-BV173 stimulation. Part of the reason for the lower level of CD30specific degranulation by 5F11-CD8BBZ T cells is the high level of nonspecific degranulation associated with 5F11-CD8BBZ as shown in A.

We performed ELISAs to assess cytokine release by anti-CD30 CAR T cells. Compared with 5F11-CD8BBZ CAR T cells, 5F11-28Z CAR T cells produced statistically higher levels of IFN $\gamma$  when HH target cells were used (Fig. 3D). Compared with 5F11-CD8BBZ T cells, 5F11-28Z and 5F11-CD828Z CAR T cells exhibited statistically lower levels of nonspecific IFNy release when NGFR-BV173 target cells were used. IL-2 release was statistically higher for 5F11-28Z versus 5F11-CD8BBZ when HH or CD30-BV173 target cells were used, and IL-2 release was statistically higher for 5F11-CD828Z versus 5F11-CD8BBZ when CD30-BV173 target cells were used (Fig. 3E).  $TNF\alpha$ release was statistically higher for 5F11-28Z versus 5F11-CD8BBZ for all CD30<sup>+</sup> target cells assessed. TNF $\alpha$  release was statistically higher for 5F11-CD828Z versus 5F11-CD8BBZ when HH or CD30-BV173 target cells were used (Fig. 3F). All in all, T cells expressing the 4-1BB-containing CAR released lower levels of cytokines in response to CD30<sup>+</sup> target cells when compared to T cells expressing the CD28-containing CARs.

# Anti-CD30 CAR T cells with CD28 co-stimulatory domains proliferated in an antigen-specific manner against CD30<sup>+</sup> target cells

We performed *in vitro* proliferation assays to evaluate CD30-specific proliferation of CAR T cells based on CFSE dilution. CD30-specific proliferation was present when greater dilution of CFSE occurred with CD30-BV173 stimulation versus NGFR-BV173 stimulation. T cells expressing 5F11-28Z or 5F11-CD828Z exhibited proliferation that was more specific for CD30-BV173 when compared with T cells expressing 5F11-CD8BBZ (Fig. 4A). We calculated the ratio of CFSE MFI in CAR T cells stimulated with CD30-BV173 to CFSE MFI in CAR

T cells stimulated with NGFR-BV173 (CFSE MFI CD30/ NGFR). CD30-specific proliferation was greater for T cells expressing either 5F11-28Z or 5F11-CD828Z versus 5F11-CD8BBZ (Fig. 4B, C). This was due, in large part, to the nonspecific proliferation of 5F11-CD8BBZ T cells when cultured with CD30-negative NGFR-BV173 cells. This nonspecific proliferation was similar to the increased levels of non-antigen-specific functional activity with 5F11-CD8BBZ in other assays, including degranulation (Fig. 3A) and IFNy release (Fig. 3D). This nonspecific activity of 5F11-CD8BBZ T cells is attributable to the 4-1BB domain since the rest of the sequence of 5F11-CD8BBZ is identical to the sequence of 5F11-CD828Z, which does not exhibit substantial nonspecific activity. Because of the loss of CD30 expression in anti-CD30 CAR-transduced T cells, it is difficult to compare CD30 expression in CD28 versus 4-1BB CARs. The nonantigen-specific activity of 4-1BB-containing CARs has been observed previously with CARs targeting signaling lymphocytic activation molecule F7 (SLAMF7) and CARs targeting CD19.55

We assessed the cytotoxicity of 5F11-28Z CAR T cells in 4-h cytotoxicity assays. T cells transduced with the SP6-828Z CAR served as a negative control. 5F11-28Z CAR T cells specifically recognized and eliminated HH cells in an effector-to-target ratio-dependent manner (Fig. 4D).

# 5F11-28Z CAR T cells eradicated CD30<sup>+</sup> tumors from mice

To evaluate antitumor activity of anti-CD30 CAR T cells in murine models, we established solid tumors of CD30<sup>+</sup> HH cells in immunocompromised NSG mice. A single intravenous infusion  $2 \times 10^6$  CAR<sup>+</sup> T cells/mouse was able to suppress HH tumor growth in a hierarchical manner, with 5F11-28Z CAR T cells being the most effective (Fig. 5A), followed by 5F11-CD828Z (Fig. 5B). In contrast, 5F11-CD8BBZ CAR T cells (Fig. 5C) and untreated mice (Fig. 5D) had progressive tumor growth. The hierarchical antitumor activity of the anti-CD30 CAR T cells was further demonstrated when comparing the tumor volume of treated mice 15 days after CAR T cell infusion (Fig. 5E). When tumor sizes were assessed 15 days after CAR T cell infusion, we found that T cells expressing the 5F11-28Z CAR-containing CD28 hinge and transmembrane domains were more effective at reducing tumor sizes when compared to T cells expressing the 5F11-CD828Z CAR-containing CD8α hinge and transmembrane domains (Fig. 5E); however, survival was not statistically different when we compared treatment with 5F11-28Z T cells versus 5F11-CD828Z T cells (Fig. 5F). Antitumor activity was consistently inferior for the 4-1BB-containing CAR 5F11-CD8BBZ when compared to T cells expressing either of the CD28-containing CARs 5F11-28Z or 5F11-CD828Z (Fig. 5E, F). Because 5F11-CD828Z and 5F11-CD8BBZ differed only in their



Figure 3. Degranulation and cytokine release by anti-CD30 CAR T cells. (A) To perform degranulation assays, CAR-transduced T cells were incubated with either CD30-BV173 (CD30) target cells or NGFR-BV173 (NGFR) target cells for 4 h in the presence of an antibody against CD107a. After 4 h, cells were stained for CD3, CD4, and CD8 and analyzed by flow cytometry. Plots are gated on live CD3<sup>+</sup> lymphocytes and then on either CD4<sup>+</sup> or CD8<sup>+</sup> cells. CD107a degranulation assays were performed as described in A for (B) CD4<sup>+</sup> T cells and (C) CD8<sup>+</sup> T cells. The mean + SEM of CD30-specific degranulation is shown for the indicated CARs. CD30specific degranulation was calculated by subtracting the %CD107a<sup>+</sup> events with NGFR-BV173 stimulation from the %CD107a<sup>+</sup> events with CD30-BV173 stimulation. Statistical comparison was by paired two-tailed t-test: n=5. Correction for multiple comparison was done by the Bonferroni method: p-values <0.017 were considered statistically significant. (D-F) ELISAs were performed on the culture supernatants after overnight culture of CAR T cells with target cells. (D) IFNy ELISA: numbers of replicate experiments with T cells from different donors are given in parentheses on the x-axis with the exceptions that n=4 for untransduced T cells+NGFR-BV173 and untransduced T cells alone. For the ELISA results in (D-F), bars represent mean + SEM. The legend with D indicates the T cell populations analyzed, and the legend applies to the ELISAs in (D-F). Statistical comparisons were made for (D-F) with the ratio two-tailed paired t-test with correction for multiple comparisons by the Bonferroni method; p<0.0170 was considered statistically different. In (D-F), all statistically different comparisons of cytokine release by CAR T cells are listed below. Differences that were not statistically different are not listed. IFN y release was statistically higher for 5F11-28Z versus 5F11-CD8BBZ for HH targets (p=0.0008). Nonspecific IFN<sub>2</sub> release against NGFR-BV173 targets was statistically lower for 5F11-28Z (p=0.0005) and 5F11-CD828Z (p<0.0001) versus 5F11-CD8BBZ. Nonspecific IFN<sub>2</sub> release against NGFR-BV173 was also statistically lower for 5F11-828Z versus 5F11-28Z ( p=0.0152). (E) IL-2 ELISA: numbers of replicate experiments with T cells from different donors is given in parentheses on the x-axis, except n=3 for the CD30-BV173 plus 5F11-CD8BBZ combination. IL-2 release was statistically higher for 5F11-28Z versus 5F11-CD8BBZ for HH (p=0.0107) and CD30-BV173 (p=0.0014). IL-2 release was statistically higher for 5F11-CD828Z versus 5F11-CD8BBZ for CD30-BV173 (p=0.0003). IL-2 release by 5F11-CD8BBZ T cells was often too low to be easily seen on the graph. (F) TNFα ELISAs were performed on culture supernatants. The number of replicate experiments with T cells from different donors is given on the x-axis. TNFa release was statistically higher for 5F11-28Z versus 5F11-CD8BBZ for HH (p=0.0008), SUDHL-1 (p=0.0012), and CD30-BV173 (p=0.0055). TNFa release was statistically higher for 5F11-CD828Z versus 5F11-CD8BBZ for HH (p=0.0041) and CD30-BV173 (p=0.0129). ELISA, enzyme-linked immunosorbent assay; IFN $\gamma$ , interferon gamma; TNF $\alpha$ , tumor necrosis factor alpha.



**Figure 4.** Proliferation and cytotoxicity of anti-CD30 CAR T cells. **(A)** T cells expressing 5F11-28Z, 5F11-CD828Z, or 5F11-CD8BBZ were labeled with CFSE and cultured for 4 h with CD30-BV173 (*solid black histograms*) or NGFR-BV173 (*open histograms*) for 4 days. The indicated plots were gated on either CD4<sup>+</sup> or CD8<sup>+</sup> live CAR<sup>+</sup> T cells. CFSE dilution indicates proliferation. The ratio of CFSE MFI with CD30-BV173 stimulation to CFSE MFI with NGFR-BV173 stimulation (CFSE MFI CD30/NGFR) is used as a measure of CD30-specific proliferation with a lower value indicating more CD30-specific proliferation. CFSE MFI CD30/NGFR values are shown for **(B)** CD4<sup>+</sup> or **(C)** CD8<sup>+</sup> T cells expressing the indicated CARs. Lines connect paired results with cells from the same donor. There was a statistically greater degree of CD30-specific proliferation (lower CFSE MFI CD30/NGFR) for 5F11-28Z versus 5F11-CD8BBZ (p=0.0031) and 5F11-CD828Z versus 5F11-CD8BBZ (p=0.0032) and 5F11-CD828Z versus 5F11-CD8BBZ (p=0.0032) and 5F11-CD828Z versus 5F11-CD8BBZ (p=0.0032) and 5F11-CD828Z versus 5F11-CD8BBZ (p=0.0021) for CD4<sup>+</sup> T cells. There was a statistically greater degree of CD30-specific proliferation (lower CFSE MFI CD30/NGFR) of 5F11-28Z versus 5F11-CD8BBZ (p=0.0032) and 5F11-CD828Z versus 5F11-CD8BBZ (p=0.0021) for CD8<sup>+</sup> T cells. Statistics were by two-tailed paired *t*-tests; n=4. With correction for multiple comparison by the Bonferroni method, p<0.017 is statistically significant. **(D)** T cells expressing 5F11-28Z exhibited cytotoxicity against the HH cell line in a 4-h assay. Graphs show mean ± SEM of duplicate wells. One of two representative experiments with different donor cells and similar results is shown. CFSE, carboxyfluorescein diacetate succinimidyl ester.

costimulatory domains, comparison of these two CARs allowed a stringent assessment of the impact of the choice of costimulatory domain on antitumor activity in mice. Because of the superior antitumor effect of 5F11-28Z CAR T cells compared with T cells expressing the other two CAR constructs and the results of *in vitro* experiments, 5F11-28Z was chosen for further evaluation.

In a dose-titration experiment, a single intravenous injection of  $6 \times 10^6$  5F11-28Z CAR T cells/mouse completely eradicated HH solid tumors in NSG mice

Figure 5. Assessment of antitumor activity in mice. (A–D) Solid tumors were established on the abdomens of NSG mice by intradermal injection of 4×10<sup>6</sup> HH cells. After palpable tumors were established, mice received intravenous injections of  $2 \times 10^6$  T cells transduced with vectors encoding 5F11-28Z, 5F11-CD828Z, or 5F11-CD8BBZ on day 0. One group of mice was left untreated. For A-D, each line represents an individual mouse, and n=10 for all groups, except the untreated group, which had n=8. (E) The graph shows the mean ± SEM of tumor volume on day 15 after injection of T cells expressing the indicated CARs. These results are from the same mice as in (A-C). Day 15 was chosen for this comparison because this was the last day when all mice that received CAR T cells were still alive. Statistical analysis was by Mann-Whitney test. With correction for multiple comparisons by the Bonferroni method, p<0.0170 should be considered statistically significant. (F) Survival of the same mice seen in (A-D) is presented. Survival was not statistically different for untreated mice versus 5F11-CD8BBZ T cells; p=0.1627. Survival was statistically decreased for 5F11-CD8BBZ when compared with 5F11-28Z T cells (p<0.0001) and 5F11-CD828Z T cells (p<0.0001). p<0.0001 for the comparison of 5F11-28Z versus untreated mice. p=0.0058 for the comparison of 5F11-CD828Z versus untreated mice. There was no statistically significant difference in survival between mice that received 5F11-28Z versus 5F11-CD828Z T cells. (G) HH cell tumors were established in NSG mice, and when tumors were established, mice received intravenous injections of the indicated doses of 5F11-28Z T cells. One group of mice was left untreated. Tumor volumes are shown as the mean of groups of five mice for each group. (H) Survival of the same mice as in G is shown. (I) NSG mice were injected intradermally with 8 × 10<sup>6</sup> CD30<sup>+</sup> L428 cells to establish tumors. On day 0, indicated on the x-axis, 6 × 10<sup>6</sup> CAR T cells/mouse were infused intravenously. T cell infusions were 9 days after tumor cell injection. Unlike other mouse experiments, all CAR constructs in I were encoded by the MSGV1 gamma-retroviral vector. The graph shows the mean + SEM tumor size; n=5 for all groups. (J) Kaplan-Meier plots show survival of the same mice as in I. Survival was superior for the 5F11-28Z group compared with the Hu19-CD828Z group (p=0.0026) and the SP6-CD828Z group (p=0.0026) and the untreated group (p=0.0026). All p-values were calculated by log-rank tests. NSG, nod-scid common gamma-chain deficient.



(Fig. 5G). HH tumor growth was suppressed by a single intravenous infusion of  $2 \times 10^6$  CAR<sup>+</sup> T cells/mouse. No antitumor activity was evident at a dose of  $0.67 \times 10^6$  CAR<sup>+</sup> T cells/mouse. Survival of the same mice followed a similar pattern (Fig. 5H). We also evaluated the antitumor activity of 5F11-28Z CAR T cells against

CD30<sup>+</sup> L428 cell solid tumors in NSG mice. In all experiments mentioned so far, the 5F11-28Z CAR was encoded by a lentiviral vector, but for the L428 tumor experiments, the 5F11-28Z CAR was encoded by the MSGV1 gamma-retroviral vector. A single intravenous injection of  $6 \times 10^6$  5F11-28Z CAR T cells/mouse was



**Figure 6.**  $CD30^+$  T cells were lost from cultures of 5F11-28Z T cells. (A) Plots show T cells 6 days after transduction with LSIN-5F11-28Z or untransduced T cells from the same donor; both untransduced and 5F11-28Z-transduced T cells were cultured in the same manner. Cells were stained with antibodies for CD3, CD4, CD8, and CD30. The plots are gated on live CD3<sup>+</sup> lymphocytes and then gated on either CD4<sup>+</sup> or CD8<sup>+</sup> cells. Both CAR<sup>+</sup> and CAR-negative cells are included on the plots. (B) The plot is gated on live CD3<sup>+</sup> lymphocytes from the same LSIN-5F11-28Z-transduced culture as in A. The plot shows that residual CD30<sup>+</sup> T cells were found predominantly among the CAR<sup>+</sup> cells in the culture. CAR staining was by Protein L. This is 1 of 4 cultures with similar results. The percentages are shown of (C) CD4<sup>+</sup>CD3<sup>+</sup> lymphocytes that were CD30<sup>+</sup> and (D) CD3<sup>+</sup>CD8<sup>+</sup> lymphocytes that were CD30<sup>+</sup>. Cells were cultured and stained as in A. Lines connect paired results of LSIN-5F11-28Z-transduced or untransduced cultures from the same donor. Statistics were by two-tailed paired *t*-test; *n*=4. *p*<0.05 was considered statistically significant. (E) Accumulation of LSIN-5F11-28Z-transduced T cells was compared with accumulation of untransduced T cells in culture. This is one of three experiments that had similar results. For the LSIN-5F11-28Z-transduced culture, 94.4% of the T cells were CAR<sup>+</sup> on day 7 of culture. Counts started on day 3, when lentiviral transductions were complete.

able to suppress L428 tumor growth; there was a 100% survival rate of mice treated with 5F11-28Z T cells when the experiment ended 40 days after CAR T cell infusion (Fig. 5I, J).

# Loss of CD30<sup>+</sup> T cells from cultures of 5F11-28Z-transduced T cells

Since activated T cells upregulate CD30 expression,<sup>56</sup> we compared the level of CD30 expression on cultured untransduced T cells to T cells from cultures that were transduced with LSIN-5F11-28Z. Untransduced T cells expressed CD30, but both CD4<sup>+</sup> and CD8<sup>+</sup> subsets of LSIN-5F11-28Z CAR-transduced T cells showed only low levels of CD30 expression (Fig. 6A). In the LSIN-5F11-28Z-transduced cultures, the residual CD30<sup>+</sup> T cells were found in the CAR<sup>+</sup> cells, but not the CAR-negative cells (Fig. 6B). The reduced percentage of CD30<sup>+</sup> cells among LSIN-5F11-28Ztransduced T cells versus untransduced T cells was a statistically significant finding for both CD4<sup>+</sup> (Fig. 6C) and CD8<sup>+</sup> (Fig. 6D) T cells. These results suggest that CD30<sup>+</sup> T cells are eliminated by 5F11-28Z CAR T cells. Residual CD30<sup>+</sup> cells were found among the CAR<sup>+</sup> subset of T cells, but not the CAR-negative subset in the LSIN-5F11-28Z-transduced Tcell cultures. This demonstrates that in LSIN-5F11-28Ztransduced T-cell cultures, CD30 is not absent only from the CAR+ cells. We also performed qPCR in paired sets of cultures of LSIN-5F11-28Z-transduced T cells and untransduced T cells from three donors. We found decreased CD30 RNA expression in the LSIN-5F11-28Z-transduced T cell cultures compared with untransduced T cell cultures with all 3 donors (p = 0.029, Supplementary Fig. S1). The qPCR results agree with the flow cytometry finding of loss of CD30<sup>+</sup> T cells in LSIN-5F11-28Z-transduced cultures. Despite the probable elimination of CD30<sup>+</sup> T cells by 5F11-28Z CAR T cells in culture, 5F11-28Z CAR T cells accumulated in culture similar to untransduced T cells (Fig. 6E).

# Specificity of 5F11-28Z T cells and the effect of soluble CD30 on 5F11-28Z T cell function

Compared with the amount of IFN $\gamma$  released when 5F11-28Z T cells were cultured with CD30<sup>+</sup> HH cells, the amount of IFN $\gamma$  released when the 5F11-28Z T cells were cultured with primary CD34<sup>+</sup> hematopoietic stem cells or each of five CD30-negative cell lines was low (Supplementary Table S1). Because serum or plasma CD30 has been reported in HL patients at concentrations up to 2  $\mu$ g/mL,<sup>57</sup> we evaluated the effects of soluble CD30 on 5F11-28Z CAR T cell cytokine release. Soluble CD30 protein caused only modest decreases in IFN $\gamma$  release when added at concentrations up to 5  $\mu$ g/mL to cultures of 5F11-28Z T cells plus target cells (Supplementary Table S2).

# Comparison of the 5F11 scFv to the HRS3 scFv

HRS3 is an scFv derived from a murine antibody; HRS3 is included in a clinically used CAR.<sup>34</sup> We constructed a CAR designated HRS3-28Z. HRS3-28Z was identical to 5F11-28Z, except for the different scFvs. We compared these two CARs in experiments that used the MSGV1 vector to encode both CARs. When stimulated with CD30<sup>+</sup> target cells, the level of IFN $\gamma$  release was higher for T cells expressing 5F11-28Z T cells versus HRS3-28Z T cells (Supplementary Table S3). We established HH tumors in NSG mice and infused either 5F11-28Z T cells or HRS3-28Z T cells. The antitumor activity of 5F11-28Z T cells was superior to the antitumor activity of HRS3-28Z T cells in this model (Supplementary Fig. S2).

All in all, the 5F11-28Z CAR encoded by the LSIN vector was selected for use in a clinical trial based on its favorable comparison with the other CARs *in vitro* and in mouse experiments. A formal comparison of 5F11-28Z encoded by lentiviral versus gamma-retroviral vectors was not performed, but obvious differences were not observed. A clinical trial of 5F11-28Z T cells for treatment of CD30<sup>+</sup> lymphomas is currently underway.

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# AUTHOR DISCLOSURE

J.N.K. is an inventor on a patent covering the 5F11 CARs reported in this publication, and he is also Principal Investigator of a research agreement with Kite, a Gilead Company dealing with anti-CD30 CARs. The other authors have no relevant disclosures.

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# SUPPLEMENTARY MATERIAL

Supplementary Table S1 Supplementary Table S2 Supplementary Table S3 Supplementary Figure S1 Supplementary Figure S2

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