



An Amino Acid Polymorphism within the HIV-1 Nef Dileucine Motif Functionally Uncouples Cell Surface CD4 and SERINC5 Downregulation

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ABSTRACT Serine incorporator 5 (SERINC5) reduces the infectivity of progeny HIV-1 virions by incorporating into the outer host-derived viral membrane during egress. To counter SERINC5, the HIV-1 accessory protein Nef triggers SERINC5 internalization by engaging the adaptor protein 2 (AP-2) complex using the [D/E]xxxL[L/I]₁₆₇ Nef dileucine motif. Nef also engages AP-2 via its dileucine motif to downregulate the CD4 receptor. Although these two Nef functions are related, the mechanisms governing SERINC5 downregulation are incompletely understood. Here, we demonstrate that two primary Nef isolates, referred to as 2410 and 2391 Nef, acquired from acutely HIV-1 infected women from Zimbabwe, both downregulate CD4 from the cell surface. However, only 2410 Nef retains the ability to downregulate cell surface SERINC5. Using a series of Nef chimeras, we mapped the region of 2391 Nef responsible for the functional uncoupling of these two antagonistic pathways to the dileucine motif. Modifications of the first and second x positions of the 2410 Nef dileucine motif to asparagine and aspartic acid residues, respectively (ND₁₆₄), impaired cell surface SERINC5 downregulation, which resulted in reduced infectious virus yield in the presence of SERINC5. The ND₁₆₄ mutation additionally partially impaired, but did not completely abrogate, Nef-mediated cell surface CD4 downregulation. Furthermore, the patient infected with HIV-1 encoding 2391 Nef had stable CD4⁺ T cell counts, whereas infection with HIV-1 encoding 2410 Nef resulted in CD4⁺ T cell decline and disease progression.

IMPORTANCE A contributing factor to HIV-1 persistence is evasion of the host immune response. HIV-1 uses the Nef accessory protein to evade the antiviral roles of the adaptive and intrinsic innate immune responses. Nef targets SERINC5, a restriction factor which potently impairs HIV-1 infection by triggering SERINC5 removal from the cell surface. The molecular determinants underlying this Nef function remain incompletely understood. Recent studies have found a correlation between the extent of Nef-mediated SERINC5 downregulation and the rate of disease progression. Furthermore, single-residue polymorphisms outside the known Nef functional motifs can modulate SERINC5 downregulation. The identification of a naturally occurring Nef polymorphism impairing SERINC5 downregulation in this study supports a link between Nef downregulation of SERINC5 and the rate of plasma CD4⁺ T cell decline. Moreover, the observed functional impairments of this polymorphism could provide clues to further elucidate unknown aspects of the SERINC5 antagonistic pathway via Nef.

KEYWORDS HIV, Nef, SERINC5, CD4, membrane trafficking, infectivity

The human immunodeficiency virus type 1 (HIV-1) accessory protein Nef is a virulence factor that is critical for accelerating disease progression from acute HIV-1

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infection to AIDS (1, 2). Nef mechanistically enhances virion infectivity by countering the antiviral functions of the serine incorporator 5 (SERINC5) restriction factor (3, 4).

SERINC5 belongs to the SERINC protein family, a well-conserved protein family in eukaryotes with uncharacterized physiological cellular functions (5, 6). SERINC5 exerts a potent antiviral effect by incorporating into the outer host-derived lipid viral membrane of egressing progeny virions (3, 4). When incorporated into virions, SERINC5 impedes HIV-1 cellular entry at the membrane fusion step (3, 4, 7), as demonstrated by the minimal reverse transcription activity or *de novo* HIV-1 viral protein synthesis upon target cell infection (3). The presence of SERINC5 can interfere with membrane fusion by increasing the energy barrier required for enlarging the fusion pore necessary for successful infection (7). Nef counters SERINC5 primarily by triggering its removal from the cell surface through linking the restriction factor to the endolysosomal network (3, 8). Internalized SERINC5 is ultimately directed to lysosomes, where it is subsequently degraded (9). Due to its removal from the cell surface, SERINC5 is no longer incorporated into virions during egress, effectively restoring HIV-1 particle infectivity (3, 4). To link SERINC5 to the endolysosomal network, Nef engages the adaptor protein 2 (AP-2) complex via the highly conserved Nef dileucine motif ([D/E]xxxL[L/I]₁₆₅) (9–11). The [D/E]xxxL[L/I]₁₆₅ motif, where x represents any amino acid, engages AP-2 by interacting with a hydrophobic pocket formed by the AP-2 σ subunit (12, 13). The AP-2 α subunit also contacts Nef, further stabilizing the Nef–AP-2 protein-protein interaction (12).

Nef also utilizes its dileucine motif to interact with AP-2 to functionally downregulate CD4 from the cell surface (12–14). Indeed, mutating the two leucine residues in the Nef dileucine motif to alanine (LL₁₆₅AA) severs the Nef–AP-2 interaction, thereby impeding Nef's ability to downregulate both CD4 (14, 15) and SERINC5 (16). Several Nef motifs required to downregulate cell surface CD4 are also required to downregulate SERINC5. These include the G₂ motif (3, 9, 16), required for Nef myristoylation, the Nef CAW₅₇ motif (9, 16), and the Nef C-terminal DD₁₇₅ motif (3, 9, 16). However, the complete molecular determinants underlying Nef-mediated SERINC5 antagonism are still incompletely understood. For instance, Nef can indirectly counter the antiviral functions of SERINC5 without disrupting SERINC5 incorporation into egressing virions (16). Thus, the molecular determinants underlying this alternative antagonistic pathway requires further investigation.

Although Nef motifs utilized to downregulate CD4 and SERINC5 may overlap, genetic diversity within primary Nef protein sequences outside the conserved motifs may result in variations of specific Nef functions, including CD4 and major histocompatibility complex class I (MHC-I) downregulation (17–19). Furthermore, primary subtype B HIV-1 Nef clones isolated from elite controllers displayed reduced ability to antagonize *in vitro* SERINC5 compared to chronic progressors, suggesting that the extent to which Nef downregulates SERINC5 contributes to reduced viremia and concomitant slower disease progression (20).

Here, we identified naturally occurring polymorphisms found within primary *nef* sequences in regions responsible for interacting with the membrane trafficking machinery and characterized how these polymorphisms mechanistically link to subsequent Nef function(s). We demonstrate divergence between Nef isolates and their ability to downregulate cell surface SERINC5 and CD4. Using flow cytometry-based assays, we identified a naturally occurring ND₁₆₄ polymorphism within the Nef dileucine [D/E]xxxL[L/I]₁₆₅ motif, which uncoupled the Nef-mediated CD4 and SERINC5 antagonistic pathways. Furthermore, this polymorphism resulted in a severe reduction in infectious virus yield when produced in the presence of SERINC5, which may explain differential rates of plasma CD4⁺ T cell decline observed within the infected patients.

RESULTS

Primary HIV-1 *nef* sequences. We initially sought to determine whether *nef* sequences obtained from a patient cohort displayed differential abilities to downregulate cell surface SERINC5. As such, we isolated a panel of 15 Nef isolates acquired from newly

HIV-1-infected Ugandan and Zimbabwean women that were subsequently monitored during disease progression as part of the Hormonal Contraception and HIV-1 Genital Shedding and Disease Progression among Women with Primary HIV-1 Infection (GS) study (21, 22). Accordingly, *nef* sequences were isolated from blood samples acquired at the first visit postseroconversion and cloned into enhanced green fluorescent protein (eGFP) expression vectors to allow for flow cytometry-based assessment of Nef-eGFP fusion protein expression. These Nef-eGFP vectors were subsequently expressed in CD4⁺ HeLa cells in the absence or presence of SERINC5.intHA (here referred to as SERINC5) to assess cell surface CD4 (Fig. 1A) and SERINC5 (Fig. 1B) downregulation. Downregulation of cell surface CD4 was initially assessed to confirm whether the Nef-eGFP isolates were functional, as downregulation of CD4 is a highly conserved Nef function (23, 24). Our flow cytometry analysis revealed the various Nef isolates generally downregulated CD4 from the cell surface efficiently, despite variable Nef-eGFP expression (Fig. 1C). Conversely, the primary Nef isolates drastically differed in their ability to downregulate cell surface SERINC5, with the level of Nef-eGFP expression correlating to the extent by which Nef downregulates cell surface SERINC5 (Fig. 1D). Despite this correlation between Nef-eGFP expression and SERINC5 downregulation, we identified variable SERINC5 downregulation, including Nef isolates capable of downregulating SERINC5 robustly (Fig. 1B, 2410 Nef) as well as isolates demonstrating a low degree of SERINC5 downregulation ability (Fig. 1B, 2391 Nef). Interestingly, isolates 2410 and 2391 Nef were expressed to similar extents, suggesting the differences observed in cell surface SERINC5 downregulation were not due to variable levels of Nef-eGFP expression but rather due to the presence of polymorphisms between these two Nef isolates. Analysis of the amino acid sequences of the two Nef isolates revealed that both retain the known shared functional motifs required to downregulate both cell surface CD4 and enhance virion infectivity in the presence of SERINC5 (Fig. 1E, boldfaced). However, both of the Nef isolates had notable variations within the amino (N)-terminal domain and carboxyl (C)-terminal folded core of the protein, suggesting these polymorphisms are responsible for the impairments in SERINC5 downregulation comparing 2391 to 2410 Nef (Fig. 1E).

Nef isolates 2410 and 2391 demonstrate diverging abilities to downregulate SERINC5. We next analyzed the ability of 2410 and 2391 Nef to mediate cell surface SERINC5 and CD4 downregulation concurrently and compared these levels to a laboratory-adapted wild-type HIV-1 NL4.3 Nef. In addition, the leucine residues within the [D/E]xxxL[L/I]₁₆₅ motif were mutated in 2410 and 2391 Nef (2410 LL₁₆₇AA and 2391 LL₁₆₆AA Nef), thereby disrupting the Nef-AP-2 interaction. As such, the eGFP-tagged Nef isolates were coexpressed with SERINC5 in CD4⁺ HeLa cells, and 24 h later, the cells were stained for cell surface CD4 and SERINC5 and analyzed by flow cytometry. Compared to cells expressing eGFP only, cells expressing eGFP-tagged isolates 2410 and 2391 Nef, along with NL4.3 Nef, demonstrated efficient CD4 cell surface downregulation (Fig. 2B, D, and F). As expected, the 2410 LL₁₆₇AA and 2391 LL₁₆₆AA Nef dileucine mutants were unable to downregulate cell surface CD4 and SERINC5, as the cell surface levels of both proteins were not significantly different than those of cells expressing eGFP only (Fig. 2A to F). As in Fig. 1, isolate 2410 Nef, but not 2391 Nef, additionally retained the ability to downregulate cell surface SERINC5 (Fig. 2A, C, and E), despite being expressed at equivalent levels (Fig. 2G). Moreover, NL4.3 Nef downregulated cell surface SERINC5 to the same level as 2391 Nef (Fig. 2A, C, and E). This may be due to the low expression level of NL4.3 Nef relative to 2410 and 2391 Nef in the presence of SERINC5 (Fig. 2G and H) and potentially differing SERINC5 levels in CD4⁺ HeLa cells compared to endogenous SERINC5 expression in Jurkat E6.1/TAg cell lines where NL4.3 Nef downregulated SERINC5 (3, 4). To confirm the eGFP fluorescence detected via flow cytometry represented Nef-eGFP fusion protein expression, we conducted a Western blot analysis of cells expressing the Nef-eGFP isolates and determined that 2410 and 2391 Nef-eGFP fusion proteins were expressed to similar levels, both in the presence and absence of SERINC5 (Fig. 2H). 2391 Nef was able to downregulate cell surface CD4 efficiently, but not SERINC5, which suggests 2391 Nef can encode polymorphisms negatively impacting

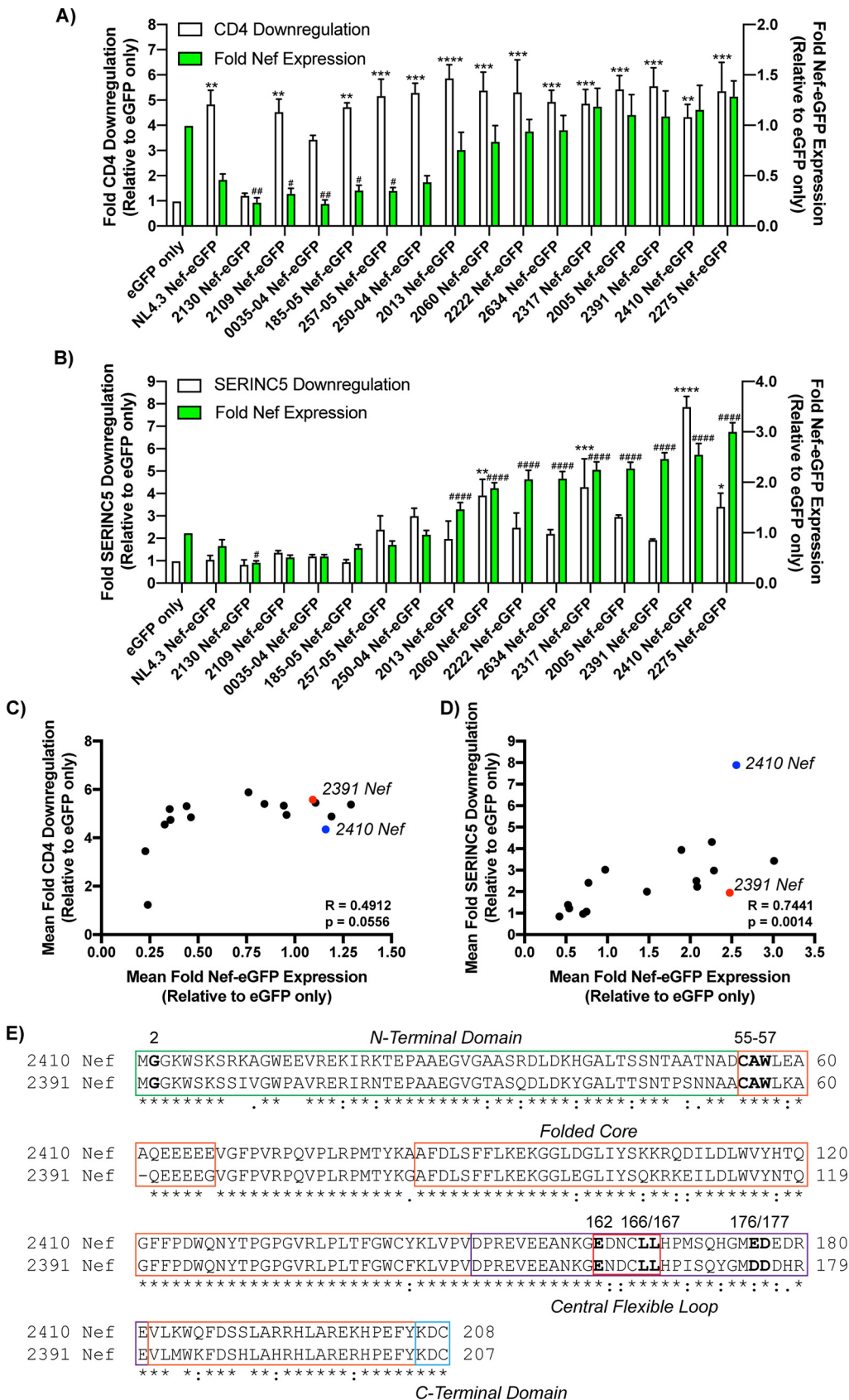


FIG 1 Primary Nef isolates displayed diverging Nef functionality. (A) CD4⁺ HeLa cells expressing Nef isolates were stained for cell surface CD4 and analyzed by flow cytometry. Shown is a summary of the fold CD4 downregulation (Continued on next page)

SERINC5 but not CD4 cell surface downregulation, thereby effectively uncoupling the two antagonistic pathways.

The N-terminal region of 2391 Nef does not mediate the uncoupling of SERINC5 and CD4 cell surface downregulation. To determine the region of 2391 Nef responsible for impaired cell surface SERINC5 downregulation, we created Nef chimeras where segments of 2410 Nef were swapped with the corresponding region of 2391 Nef and subsequently determined their respective abilities to downregulate cell surface CD4 and SERINC5. While isolates 2410 and 2391 are conserved in much of the core region of Nef, we identified numerous polymorphisms within the first 81 amino acids, here referred to as the Nef N-terminal region (NTR) (Fig. 3A, residues 1 to 81; numbering based on 2410 Nef). Accordingly, the NTR of 2410 Nef was swapped with the NTR of 2391 Nef (2410:2391₁₋₈₀ Nef) and vice versa (2391:2410₁₋₈₁ Nef) (Fig. 3B). Flow cytometry analysis demonstrated that the 2410:2391₁₋₈₀ Nef chimera suffered a modest, but statistically insignificant, impairment in cell surface SERINC5 downregulation compared to 2410 Nef (Fig. 3C and E). Consistent with this finding, the 2391:2410₁₋₈₁ Nef chimera also demonstrated a modest, but insignificant, improvement in cell surface SERINC5 downregulation ability (Fig. 3C and E). Interestingly, 2391:2410₁₋₈₁ Nef suffered statistically significant decreases in cell surface CD4 downregulation compared to 2391 Nef, suggesting polymorphisms within the NTR of 2410 Nef negatively affect CD4 downregulation (Fig. 3D and F). However, this 2391:2410₁₋₈₁ Nef chimera retained considerable cell surface CD4 downregulation compared to eGFP only. Considering the 2410:2391₁₋₈₀ Nef chimera did not suffer significant impairments in cell surface SERINC5 downregulation, we next investigated the carboxyl (C)-terminal portion of Nef.

A C-terminal region within the flexible loop of 2391 Nef severely impairs cell surface SERINC5 and partially impairs cell surface CD4 downregulation. Next, we synthesized several Nef chimeras in which incrementally larger C-terminal regions of 2410 Nef were swapped with the corresponding regions of 2391 Nef (Fig. 4A). Consistent with Fig. 3, the 2410:2391₁₋₈₀ Nef chimera again suffered modest impairments in cell surface SERINC5 downregulation compared to 2410 Nef (Fig. 4B and C). However, the ability of 2410:2391₁₋₈₀ Nef to downregulate cell surface SERINC5 was more efficient than that of 2391 Nef, further suggesting the implicated region/polymorphism(s) resides outside the Nef NTR (Fig. 4B and C). When the first 111 and 149 residues of 2410 were replaced with 2391 Nef (2410:2391₁₋₁₁₁ Nef and 2410:2391₁₋₁₄₉ Nef respectively), no further decreases were observed in SERINC5 downregulation relative to 2410:2391₁₋₈₀ Nef (Fig. 4B and C). However, when the first 173 residues of 2410 Nef were replaced with the corresponding region of 2391 Nef (2410:2391₁₋₁₇₃ Nef), there was a significant reduction in cell surface SERINC5 downregulation relative to all other chimeras as well as 2410 Nef (Fig. 4B and C). Importantly, the ability of 2410:2391₁₋₁₇₃ Nef and 2391 Nef to downregulate cell surface SERINC5 was equivalent (Fig. 4B and C). Taken together, these results suggest the Nef region underlying the

FIG 1 Legend (Continued)

ability (\pm SE) on the left y axis and fold Nef expression (\pm SE) on the right y axis for each Nef isolate ($n=3$). (B) CD4⁺ HeLa cells coexpressing each Nef isolate and SERINC5:INTHA were stained for cell surface SERINC5 and analyzed by flow cytometry. Shown is a summary of the fold SERINC5 downregulation ability (\pm SE) on the left y axis, and fold Nef-expression (\pm SE) on the right y axis for each Nef isolate ($n=3$). Significance of Nef-eGFP expression and CD4/SERINC5 cell surface downregulation are denoted by # and *, respectively, upon comparison to the eGFP only control. Correlation analysis between the mean fold Nef-eGFP expression (x axis) and mean fold CD4 downregulation (C) and mean fold SERINC5 downregulation (y axis) (D) are shown for the 15 described primary Nef isolates and NL4.3 Nef. The blue and red dots indicate the 2410 Nef-eGFP and 2391 Nef-eGFP samples, respectively. Statistical analysis was conducted using the Spearman rank correlation test. (E) Amino acid sequence alignment of 2410 and 2391 Nef. Boldfaced Nef residues denote shared residues/motifs involved in Nef-mediated CD4 downregulation or enhancing virion infectivity in the presence of SERINC5. The location of the specific Nef domains, including the N-terminal domain (green), folded core (orange), central flexible loop (purple), Nef dileucine motif (red), and the C-terminal domain (blue), are highlighted in the appropriate boxes. An asterisk indicates positions with a fully conserved residue between the isolates. A colon indicates conservation of residues with similar properties (scoring >0.5 in the Gonnet PAM 250 matrix). A period indicates conservation of residues with less similar properties (scoring ≤ 0.5 and >0 in the Gonnet PAM 250 matrix). eGFP, enhanced green fluorescent protein; SE, standard error; *, $P \leq 0.05$; **, $P \leq 0.01$; ***, $P \leq 0.001$; ****, $P \leq 0.0001$; #, $P \leq 0.05$; ##, $P \leq 0.01$; ####, $P \leq 0.0001$.

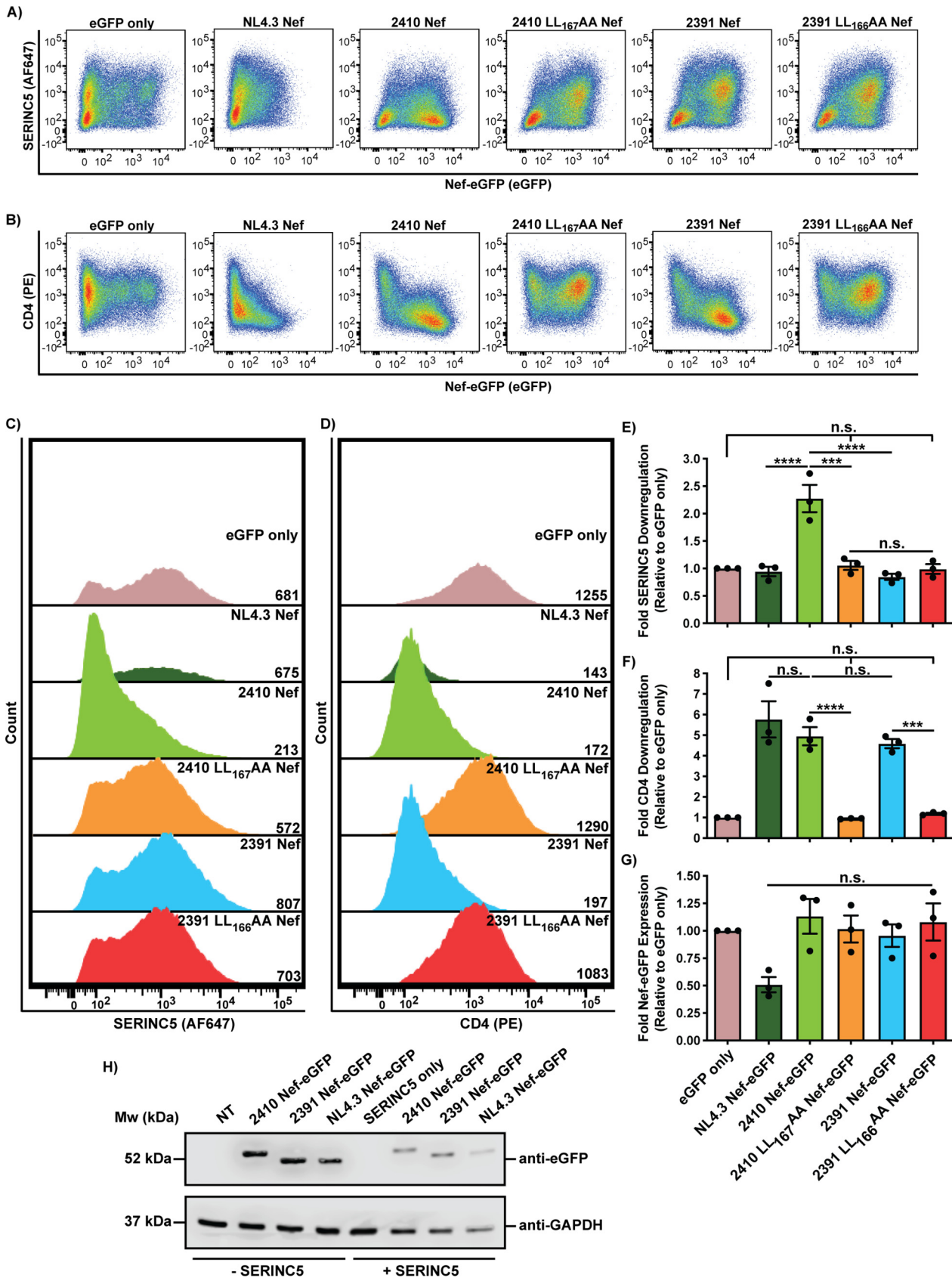


FIG 2 Nef isolates 2410 and 2391 both downregulate cell surface CD4 but differentially downregulate cell surface SERINC5. CD4⁺ HeLa cells expressing 2410 or 2391 Nef-eGFP and SERINC5.intHA were stained for cell surface CD4 and SERINC5 and analyzed by flow cytometry. CD4⁺ (Continued on next page)

impairment in SERINC5 downregulation resides between residues 150 to 173. Given that 2410:2391₁₋₁₄₉ Nef did not alter cell surface SERINC5 downregulation relative to 2410:2391₁₋₈₀ Nef while 2410:2391₁₋₁₇₃ Nef robustly decreased SERINC5 downregulation, 2410 Nef chimeras were subsequently designed in which residues 151 to 174 of 2410 Nef (residue numbering shifted, since 2410 Nef is one amino acid longer than 2391 Nef) were replaced with the corresponding region of 2391 Nef (2410:2391₁₅₁₋₁₇₄ Nef) and a 2410 chimera in which the C-terminal 175 to 208 residues were replaced with the corresponding region of 2391 Nef (2410:2391₁₇₅₋₂₀₈ Nef) (Fig. 5A). Accordingly, the 2410:2391₁₅₁₋₁₇₄ Nef chimera was impaired in cell surface SERINC5 downregulation relative to 2410 Nef and 2410:2391₁₋₈₀ Nef, but, importantly, equivalent to 2391 Nef, suggesting the implicated Nef residues reside within this region (Fig. 5B and D). Furthermore, the 2410:2391₁₇₅₋₂₀₈ Nef and 2410:2391_{1-80, 174-207} Nef chimeras did not suffer significant impairments in SERINC5 downregulation, confirming the implicated region does not reside within the C-terminal 174 to 207 residues (Fig. 5B and D). Conversely, the 2410:2391₁₅₁₋₁₇₄ Nef chimera suffered an ~2-fold reduction in cell surface CD4 downregulation ability relative to 2410 Nef and associated chimeras (Fig. 5C and E). While this Nef chimera did suffer impairments in downregulating cell surface CD4, it was not completely impaired in its ability to downregulate cell surface CD4, as evidenced upon comparison to eGFP only and the 2410 LL₁₆₇AA Nef dileucine mutant (Fig. 5C and E). Taken together, these results suggest cell surface SERINC5 downregulation is severely impaired and cell surface CD4 downregulation is partially impaired when residues 151 to 174 of 2410 Nef are swapped with the corresponding region of 2391 Nef.

The Nef ND₁₆₄ polymorphism underlies severe impairments in cell surface SERINC5 downregulation and partial impairments in CD4 cell surface downregulation. Nef residues 151 to 174 reside within the C-terminal central flexible loop that harbors the [D/E]xxxL[L/I]₁₆₇ dileucine motif (Fig. 1E). Four polymorphisms exist within this region between the two Nef isolates; 2410 Nef encodes DPREEEANKGEDNCLLHPMSQH₁₇₄, and 2391 Nef encodes DPREEEANKGENDCLLHPISQY₁₇₃, with boldfaced residues indicating the polymorphisms and underlined residues identifying those comprising the Nef dileucine motif (Fig. 6A). Since the Nef dileucine motif has been defined as [D/E]xxxL[L/I], where x can represent any amino acid, we initially postulated that the latter two polymorphisms downstream from the dileucine motif at positions 170 and 173 (residue numbering based on 2410 Nef) result in the observed functional impairments in cell surface SERINC5 downregulation. As such, we generated single 2410 M₁₇₀I and 2410 H₁₇₃Y Nef mutants as well as a double 2410 M₁₇₀I H₁₇₃Y Nef mutant and tested their ability to downregulate cell surface SERINC5 and CD4 (Fig. 6B). We noticed no significant impairments in the single mutant or the double mutant to downregulate cell surface SERINC5 or CD4 (Fig. 6C to F). With no observable reductions in cell surface SERINC5 downregulation, we next sought to address whether the position of the asparagine and aspartic acid (ND₁₆₃) within the 2391 Nef dileucine motif plays a role. Thus, we swapped the positions of the aspartic acid and asparagine residues within 2410 Nef (2410 DN₁₆₄ND Nef) or within the NTR chimera (2410:2391₁₋₈₀ DN₁₆₃ND) (Fig. 7A). Importantly, the 2410 DN₁₆₄ND Nef mutant suffered a significant and severe impairment in cell surface SERINC5 downregulation, which was further accentuated in the 2410:2391₁₋₈₀ DN₁₆₃ND Nef chimera (Fig. 7B and D). Interestingly, there was a trend in which 2410 DN₁₆₄ND Nef suffered impairments in cell surface CD4 downregulation,

FIG 2 Legend (Continued)

HeLa cell populations were determined by gating on eGFP⁺ cells using an FMO control. (A and B) Representative pseudocolor plots illustrating cell surface SERINC5 (Alexa Fluor 647) (A) and cell surface CD4 (PE) (B) levels of Nef⁺ cell populations (eGFP⁺). (C and D) Representative histograms illustrating cell surface SERINC5 (C) or CD4 (D) levels on CD4⁺ HeLa cells after gating on single and Nef⁺ (eGFP⁺) cells. Geometric mean fluorescence intensities (MFIs) of the cell surface proteins are indicated. (E to G) Summary of the fold downregulation ability (\pm SE) for cell surface SERINC5 (E), cell surface CD4 (F), and fold Nef-eGFP (G) expression ($n=3$). (H) Western blot illustrating the expression of Nef-eGFP fusion proteins in the absence and presence of SERINC5 in CD4⁺ HeLa cells. eGFP, enhanced green fluorescent protein; AF647, Alexa Fluor 647; PE, phycoerythrin; SE, standard error; Mw, molecular weight; kDa, kilodaltons; NT, nontransfected; GAPDH, glyceraldehyde 3-phosphate dehydrogenase; ***, $P \leq 0.001$; ****, $P \leq 0.0001$; n.s., nonsignificant ($P > 0.05$).

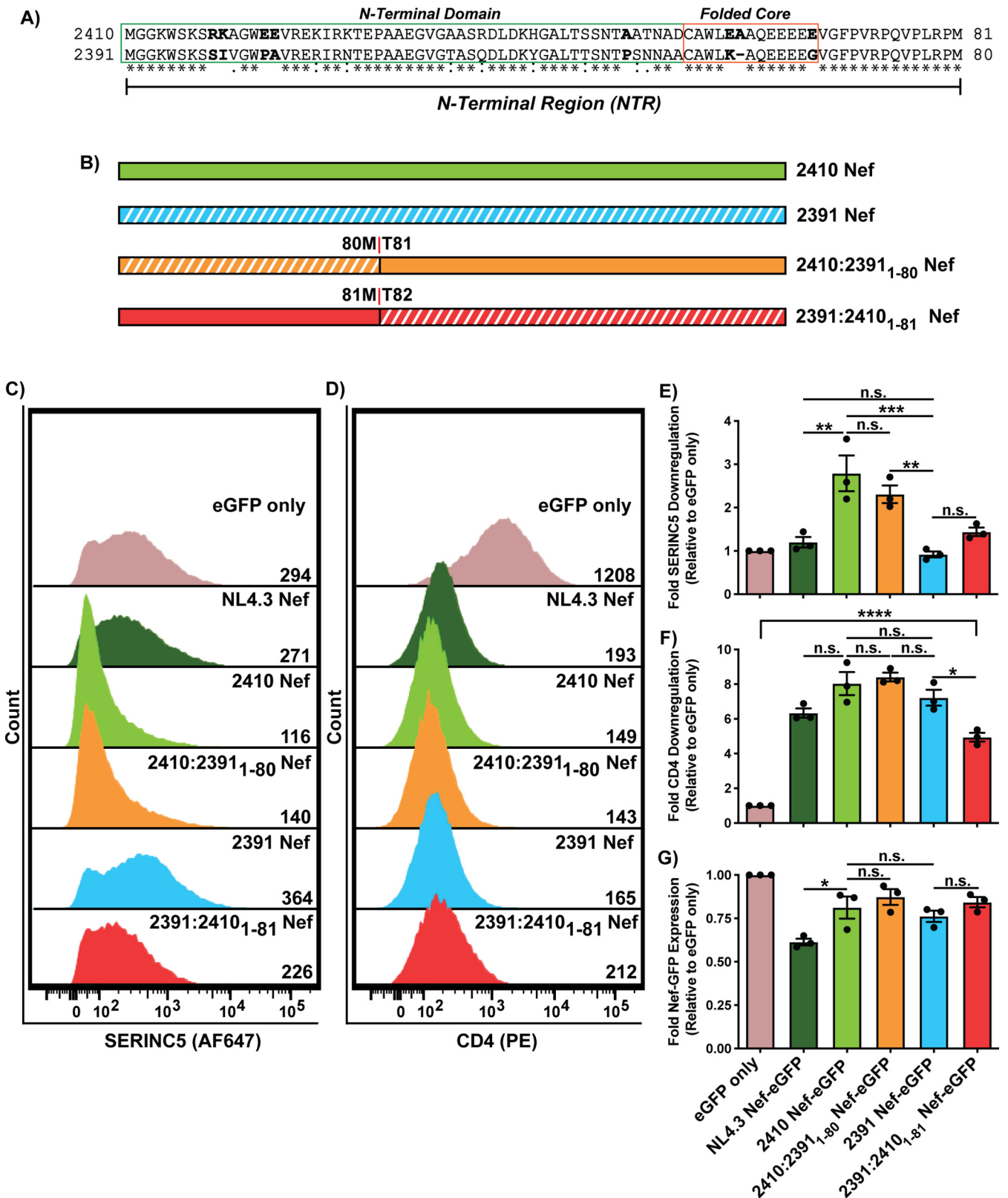


FIG 3 Polymorphisms within the N-terminal domain of 2391 Nef do not impair its ability to downregulate cell surface SERINC5. CD4⁺ HeLa cells expressing 2410 and 2391 Nef or N-terminal Nef chimeras with SERINC5.intHA were stained for cell surface CD4 and SERINC5 and analyzed by flow cytometry. Nef⁺ CD4⁺ HeLa cells were determined by gating on eGFP⁺ cells using an FMO control. (A) Amino acid sequence alignment comparing the N-terminal region (residues 1 to 81; numbering based on the 2410 Nef sequence) of isolate 2410 and 2391 Nef. Boldfaced residues indicate polymorphisms of interest within the N-terminal region. The N-terminal domain and folded core region are denoted in green and orange boxes, respectively. (B) Schematic illustrating the (Continued on next page)

consistent with our results with the 2410:2391₁₅₁₋₁₇₄ Nef chimera (Fig. 7C and E). Taken together, these results suggest that the inversion of DN₁₆₄ to ND₁₆₄ is a major factor capable of severely impairing cell surface SERINC5 downregulation, as observed with 2391 Nef. Furthermore, the ND₁₆₄ polymorphism is sufficient to partially impair cell surface CD4 downregulation, thereby affecting both antagonistic functions of Nef and uncoupling the two pathways. With the prior results suggesting the ND₁₆₄ mutation within 2410 Nef severely impairs cell surface SERINC5 downregulation and 2391 Nef exhibits impaired cell surface SERINC5 downregulation, we next tested whether the reverse mutation, a DN₁₆₃ mutation in 2391 Nef (2391 ND₁₆₃DN Nef), would rescue cell surface SERINC5 downregulation (Fig. 8A). Indeed, we found there was a significant improvement in cell surface SERINC5 downregulation observed with 2391 ND₁₆₃DN Nef compared to 2391 Nef (Fig. 8B and D). An enhanced rescue in SERINC5 downregulation was further achieved with the 2391:2410₁₋₈₁ Nef mutant bearing the DN₁₆₄ mutation (2391:2410₁₋₈₁ ND₁₆₄DN Nef) (Fig. 8B and D). Importantly, the ability of this chimera to downregulate cell surface SERINC5 was similar to that of 2410 Nef, demonstrating cell surface SERINC5 downregulation could be rescued with the reverse mutation in this system.

The ND₁₆₄ polymorphism modestly impairs cell surface CD4 downregulation in infected cells. Next, we tested whether the partial impairments in cell surface CD4 downregulation observed with the ND₁₆₄ polymorphism in transfected CD4⁺ HeLa cells could be translated to an infected T cell line. Accordingly, Sup-T1 cells were infected with vesicular stomatitis virus glycoprotein (VSV-G) pseudotyped virions encoding the respective Nef isolates and cell surface CD4 levels were determined via flow cytometry. We observed that 2410 Nef downregulated cell surface CD4 significantly more efficiently than 2391 Nef within infected cells (Fig. 9A to C). Furthermore, the 2410 DN₁₆₄ND Nef mutant was partially impaired in cell surface CD4 downregulation relative to 2410 Nef (Fig. 9A and B), consistent with our results in transfected CD4⁺ HeLa cells (Fig. 7C and E). Importantly, the ability of 2410 DN₁₆₄ND Nef to downregulate cell surface CD4 was not significantly different from that of 2391 Nef, which both retain the ability to downregulate cell surface CD4 upon comparison to cells infected with virus lacking Nef expression (Δ Nef) and the respective dileucine mutants (Fig. 9A to C). Taken together, these results suggest the ND₁₆₄ polymorphism partially impairs the ability of Nef to downregulate cell surface CD4 within infected cells.

The ND₁₆₄ polymorphism results in significantly lower infectious virus yield in the presence of SERINC5. We next sought to determine whether infected cells expressing 2410 DN₁₆₄ND Nef indeed produce lower infectious virus yield than 2410 Nef in the presence of SERINC5. To test this, HEK 293T cells were transfected with pNL4.3 eGFP proviral plasmids encoding the various Nef isolates along with a plasmid encoding Gag/Pol in either the presence or absence of SERINC5. TZM-bl target cells were then infected with purified virions produced in the presence or absence of SERINC5. We observed that virions produced from constructs encoding 2391 Nef yielded significantly lower infectious virus yield in the presence of SERINC5 than virions produced from constructs encoding 2410 Nef. Indeed, the infectious virus yield produced from constructs encoding 2391 Nef was equivalent to those encoding the 2410 LL₁₆₇AA and 2391 LL₁₆₆AA Nef dileucine mutants. These results effectively demonstrate that the ND₁₆₄ polymorphism-containing isolate 2391 Nef lacks functionally significant cell surface SERINC5 downregulation, which therefore resulted in severe reductions in infectious virus yield (Fig. 10A). Importantly, virions produced from constructs encoding 2410 DN₁₆₄ND Nef resulted in significantly lower infectious virus yield in the

FIG 3 Legend (Continued)

Nef isolates and N-terminal Nef chimeras. The chimera breakpoint for each isolate is indicated. Subscripted residues indicate swapping with the other Nef isolate at the corresponding position. Regions belonging to 2391 Nef are indicated with white diagonal stripes, while regions belonging to 2410 Nef are indicated with solid colors. (C and D) Representative histograms illustrating cell surface SERINC5 (C) or CD4 levels (D) on CD4⁺ HeLa cells after gating on single and transfected (eGFP⁺) cells. Geometric mean fluorescence intensities (MFIs) of the respective cell surface proteins are indicated. (E to G) Summary of the fold-downregulation ability (\pm SE) for cell surface SERINC5 (E), cell surface CD4 (F), and fold Nef-eGFP (G) expression for each Nef isolate ($n=3$). eGFP, enhanced green fluorescent protein; AF647, Alexa Fluor 647; PE, phycoerythrin; *, $P \leq 0.05$; **, $P \leq 0.01$; ***, $P \leq 0.001$; n.s., nonsignificant ($P > 0.05$).

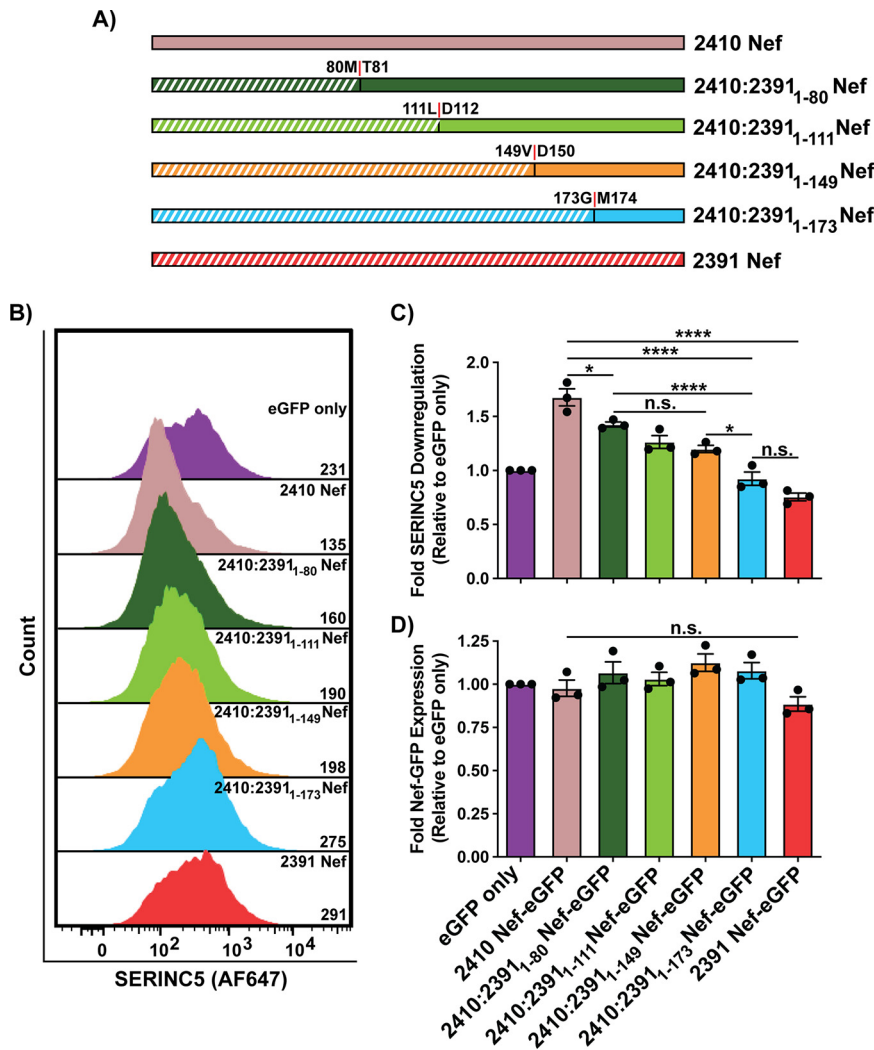


FIG 4 C-terminal region of 2391 Nef mediates severe impairments in downregulating cell surface SERINC5. CD4⁺ HeLa cells expressing various 2410 and 2391 Nefs with SERINC5.intHA were stained for cell surface SERINC5 and analyzed by flow cytometry. Nef⁺ CD4⁺ HeLa cells were determined by gating on eGFP⁺ cells using an FMO control. (A) Schematic illustrating Nef isolates and C-terminal 2410 Nef chimeras. Chimera break points for each isolate are indicated. Regions belonging to 2391 Nef are indicated with white diagonal stripes, while regions belonging to 2410 Nef are indicated with solid colors. (B) Representative histogram illustrating the cell surface SERINC5 levels on CD4⁺ HeLa cells after gating on single and transfected (eGFP⁺) cells. Geometric mean fluorescence intensities (MFIs) of cell surface SERINC5 are indicated. (C) Summary of the fold downregulation ability (\pm SE) for cell surface SERINC5. (D) Fold Nef-eGFP expression for each Nef isolate ($n=3$). eGFP, enhanced green fluorescent protein; AF647, Alexa Fluor 647; SE, standard error; *, $P \leq 0.05$; ****, $P \leq 0.0001$; n.s., nonsignificant ($P > 0.05$).

presence of SERINC5 compared to those encoding 2410 Nef and was equivalent to particles lacking Nef expression (Δ Nef) (Fig. 10A). Furthermore, these results are consistent with the ability of Nef isolates to downregulate cell surface SERINC5 in CD4⁺ HeLa cells (Fig. 7B and D). While we observed the 2391 ND₁₆₃DN Nef mutant resulted in a partial rescue in cell surface SERINC5 downregulation ability in the prior experiments (Fig. 8B and D), we only observed a modest, yet insignificant, rescue in infectious virus yield compared to virions expressing 2391 Nef (Fig. 10A). This suggests polymorphisms occurring outside the 2391 Nef dileucine motif are contributing in part to the impaired infectious virus yield in the presence of SERINC5, which is not being captured with the single ND₁₆₃ polymorphism. However, in the context of 2410 Nef, the single ND₁₆₄ polymorphism is sufficient to drastically impair infectious virus yield in the presence of

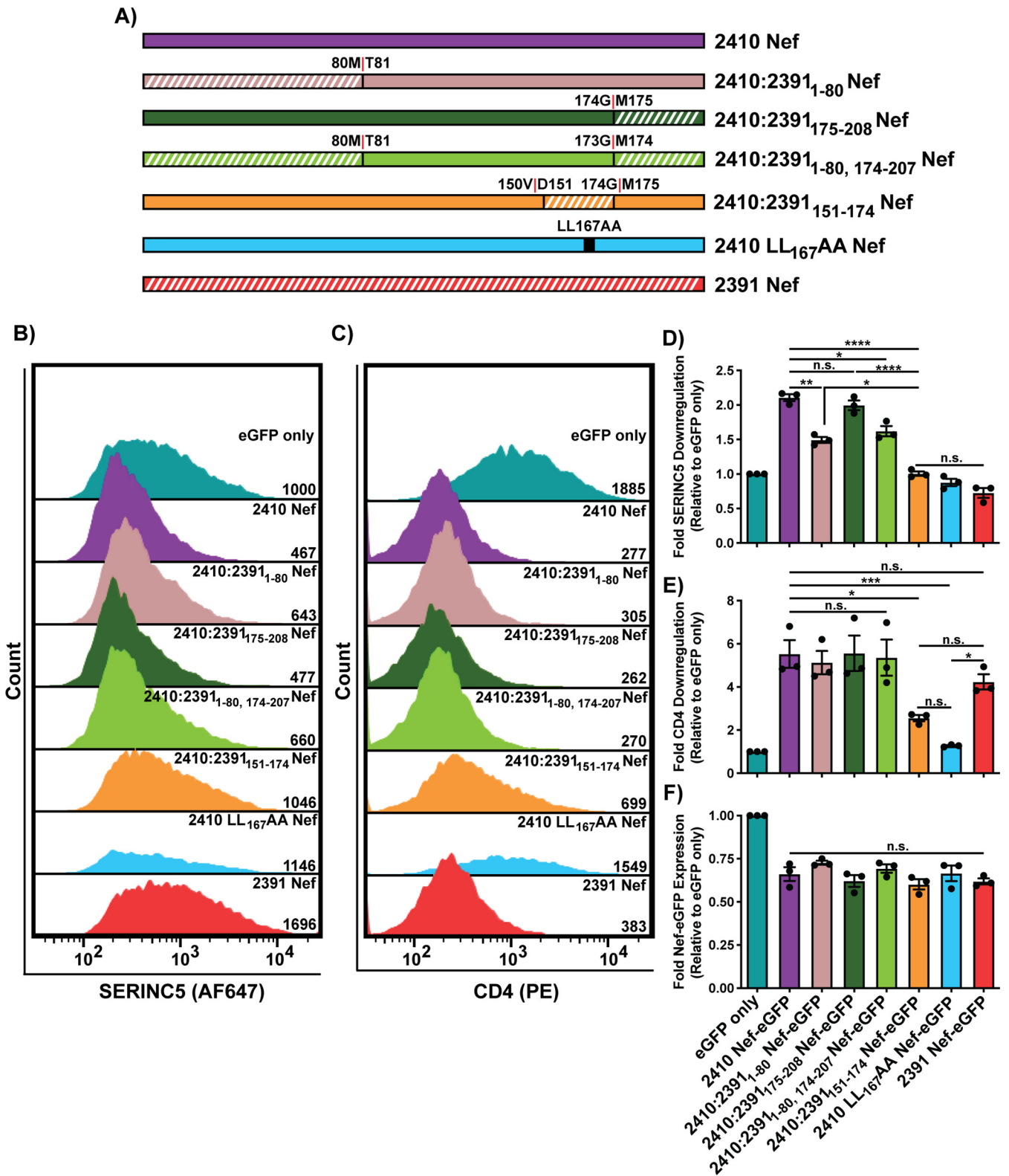


FIG 5 C-terminal region mediating SERINC5 downregulation impairments is located between residues 151 and 174. CD4⁺ HeLa cells expressing 2410 and 2391 Nef as well as Nef chimeras in which residues 151 to 174 and residues 175 to 208 of 2410 Nef were replaced with the corresponding region of 2391 Nef (2410:2391₁₅₁₋₁₇₄ Nef and 2410:2391₁₇₅₋₂₀₈ Nef, respectively) and with SERINC5.intHA were stained for cell surface CD4 and SERINC5 and analyzed by flow cytometry. Nef⁺ CD4⁺ HeLa cells were determined by gating on eGFP⁺ cells using an FMO control. (A) Schematic illustrating Nef isolates and 2410 Nef chimeras. Chimera break points for each isolate are indicated. Regions belonging to 2391 Nef are indicated with white diagonal stripes, while regions belonging to 2410 Nef are indicated with solid colors. (B and C) Representative histograms illustrating cell surface SERINC5 (B) or CD4 (C) levels on CD4⁺

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SERINC5. Additionally, NL4.3 Nef resulted in a moderate enhancement in infectious virus yield upon comparison to cells infected with Δ Nef (Fig. 10A). With the identification of functional consequences to the ND₁₆₄ polymorphism in cell surface SERINC5 and CD4 downregulation ability, we considered whether there were any implications with respect to the rate of plasma CD4⁺ T cell decline observed within an infected individual, which we characterize as an indirect measure of disease progression to AIDS in this study. Indeed, the patient from which isolate 2391 Nef was derived (Fig. 10B, red) demonstrated a considerably slower loss of plasma CD4⁺ T cells than the patient from which isolate 2410 Nef was derived (Fig. 10B, blue), suggesting that this polymorphism plays a key role in pathogenesis. We next sought to determine whether the ND₁₆₄ polymorphism is conserved among primary Nef isolates. Of the 7,475 mined Nef sequences of the Los Alamos HIV sequence database, asparagines encoded at positions 163 and 164 are conserved at a frequency of 83% and 86%, respectively (Fig. 10C). An aspartic acid encoded at position 163 in the context of 2410 Nef is present in 11% of the mined sequences and is the second most frequent residue at that position next to asparagine (Fig. 10C). Interestingly, an aspartic acid encoded at position 164 in the context of 2391 Nef is only present in 0.39% of the mined sequences, suggesting the ND₁₆₄ in Nef is rare among circulating HIV-1 isolates (Fig. 10C). Taken together, these results suggest that the ND₁₆₄ polymorphism in the context of 2410 Nef results in severe impairments in cell surface SERINC5 downregulation but only partial impairments in cell surface CD4 downregulation, thereby functionally uncoupling these two antagonistic pathways of Nef.

DISCUSSION

We identified that the Nef ND₁₆₄ polymorphism, located in the first and second x residues of the Nef [D/E]xxxL[L/I]₁₆₇ dileucine motif, severely impairs cell surface SERINC5 downregulation and partially impairs cell surface CD4 downregulation in the context of 2410 Nef. The ND₁₆₄ polymorphism, which was only found in 2391 Nef out of the 15 Nef isolates tested (Fig. 1), resulted in a reduction of infectious virus yield, suggesting a functional consequence due to impaired cell surface SERINC5 downregulation.

As both CD4 and SERINC5 downregulation were affected by the ND₁₆₄ polymorphism, and considering both pathways are AP-2 dependent, the polymorphism may alter the stability of the Nef-AP-2 interaction. We therefore speculate that Nef requires higher-affinity interactions with AP-2 to internalize cell surface SERINC5 compared to CD4. As SERINC5 harbors 10 transmembrane domains (25) and is more integral within the membrane than CD4, which only harbors a single transmembrane domain (26), variations in sequences that recognize AP-2 may ultimately uncouple the two antagonistic pathways. Ren et al. summarized known Nef residues responsible for stabilizing the interactions with the AP-2 α and σ subunits (12). Interestingly, within the [D/E]xxxL[L/I]₁₆₇ dileucine motif, an asparagine residue at the first x residue and a serine residue at the third x residue contribute to AP-2 binding by forming weak van der Waals interactions and hydrogen bonds with the AP-2 σ subunit (12, 27). However, specific residues encoded at the second x position within the Nef dileucine motif were not identified as being responsible for stabilizing the interaction with AP-2 directly (12, 27). Importantly, the downstream Nef DD₁₇₅ diacidic motif fails to contact AP-2 directly but rather stabilizes the conformation of the C-terminal flexible Nef loop by forming core-to-loop hydrogen bonds and salt bridges with upstream Nef residues (12). As the Nef diacidic DD₁₇₅ motif is absolutely required to downregulate cell surface CD4 (27), mutations/polymorphisms altering the accessible conformations and dynamics of the flexible loop, which harbors motifs

FIG 5 Legend (Continued)

HeLa cells after gating on single and transfected (eGFP⁺) cells. Geometric mean fluorescence intensities (MFIs) of the respective cell surface proteins are indicated. (D to F) Summary of the fold downregulation ability (\pm SE) for cell surface SERINC5 (D), cell surface CD4 (E), and fold Nef-eGFP (F) expression for each Nef isolate ($n=3$). eGFP, enhanced green fluorescent protein; AF647, Alexa Fluor 647; PE, phycoerythrin; SE, standard error; *, $P \leq 0.05$; **, $P \leq 0.01$; ***, $P \leq 0.001$; ****, $P \leq 0.0001$; n.s., nonsignificant ($P > 0.05$).

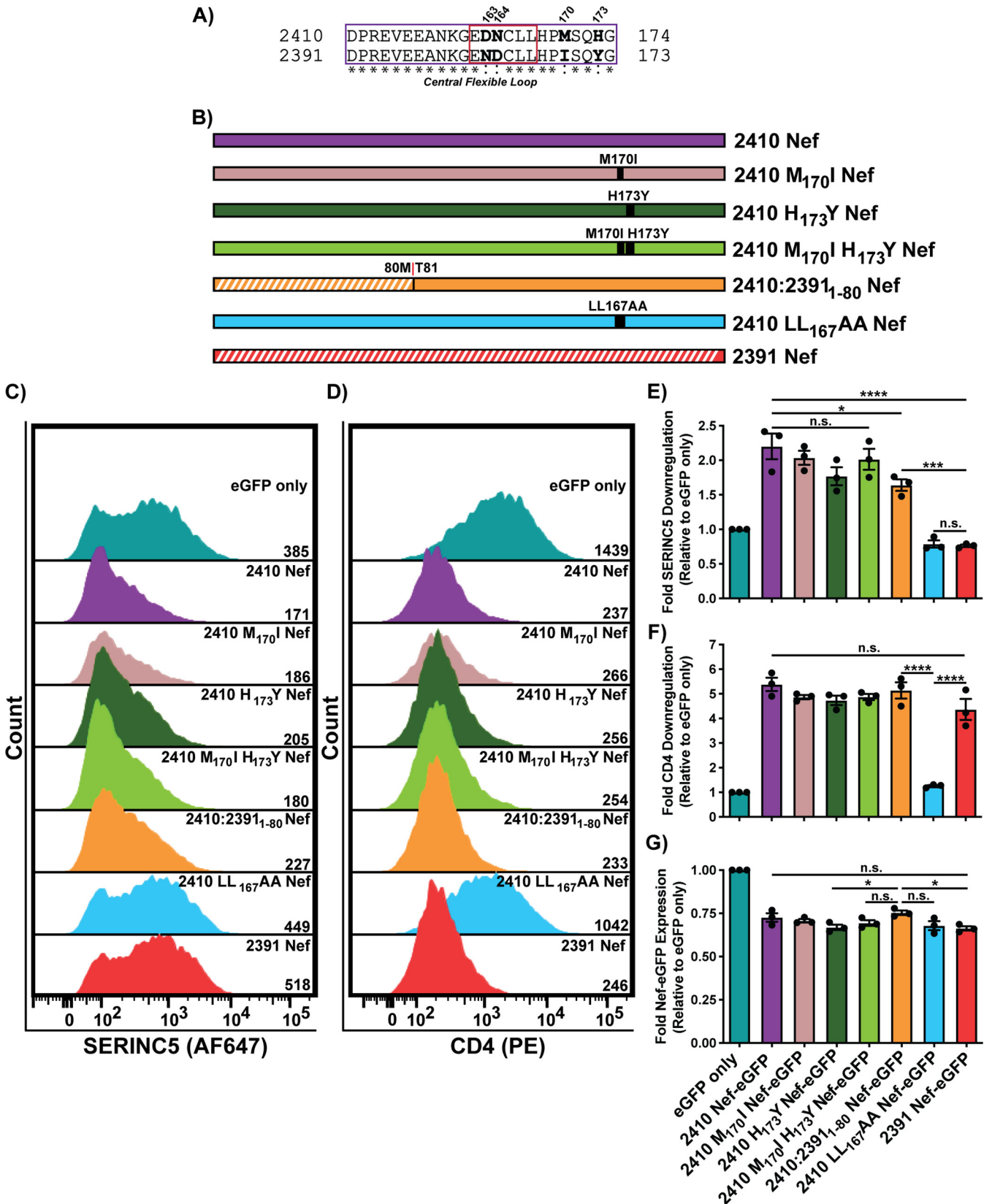


FIG 6 Mutations of 2410 Nef M₁₇₀ or H₁₇₃ do not impact SERINC5 downregulation. CD4⁺ HeLa cells expressing 2410 and 2391 Nef as well as the respective 2410 Nef mutants with SERINC5.intHA were stained for cell surface CD4 and SERINC5 and analyzed by flow cytometry. Nef⁺-transfected CD4⁺ HeLa cells were determined by gating on eGFP⁺ cells using an unstained control. (A) Amino acid sequence alignment comparing residues 151 to 174 of 2410 and

(Continued on next page)

required to interact with AP-2, could indirectly modulate the stability of the Nef-AP-2 interaction, affecting AP-2-dependent Nef functions, including SERINC5 downregulation.

Nef forms a weaker interaction with AP-2 than with other adaptor protein complexes such as AP-1 and AP-3 (28, 29). As a result, a polymorphism occurring within the second x position of the Nef dileucine motif, such as the charged aspartic residue of 2391 Nef (D₁₆₄), could alter the conformational stability of the C-terminal Nef flexible loop, thereby affecting the stability of the already weak Nef-AP-2 interaction. We speculate the presence of the negatively charged D₁₆₄ residue could alter the mobility/dynamics of the flexible loop, possibly by disrupting hydrogen bond and salt bridge formation required by DD₁₇₅ to stabilize the flexible Nef loop required to interact with AP-2 due to electrostatic repulsion. Indeed, the presence of an aspartic acid at the second x position within the Nef dileucine motif is not limited to just HIV-1 Nef. A histidine residue at the second x position of the dileucine motif of simian immunodeficiency virus Nef significantly impaired infectious virus yield in the presence of SERINC5 and impaired cell surface tetherin downregulation when mutated to an aspartic acid residue (H₁₉₂D) (30). Of note, the H₁₉₂D mutant did not suffer impairments in CD4 downregulation, supporting the notion that the ND₁₆₄ polymorphism could be genetically and functionally uncoupling the two antagonistic pathways (30).

Other polymorphisms within the Nef flexible loop have previously been shown to functionally uncouple the CD4 and SERINC5 antagonistic pathways. Indeed, a G₁₇₆R polymorphism, located within the conserved hydrophobic region immediately downstream the Nef dileucine motif, has been described within a cohort of primary *nef* sequences (31, 32). The G₁₇₆R mutation impaired CD4 downregulation but not the ability of Nef to rescue particle infectivity in the presence of SERINC5 (31). As G₁₇₆R is present in a Nef region required for stabilizing the AP-2 interaction, it was suggested this mutation may alter the specific Nef-AP-2 complex molecular organization required for CD4, but not SERINC5, downregulation (31). Thus, an aspartic acid at the second x position in the context of ND₁₆₄ can be selectively disrupting the molecular organization of the Nef-AP-2 complex required for SERINC5 downregulation, thereby resulting in functional uncoupling. The finding that the reverse DN₁₆₃ polymorphism in 2391 Nef (2391 ND₁₆₃DN Nef) did not significantly rescue infectious virus yield in the presence of SERINC5 may be due to the polymorphic nature of the N-terminal region (NTR) of 2391 Nef. Indeed, swapping the NTR of 2410 Nef with the 2391 NTR modestly reduced SERINC5 downregulation (Fig. 3E and 4C). Recent evidence suggests the Nef NTR contains a SERINC5 antagonism motif (S5AM) represented by the VGAXS core sequence (33). Such a S5AM is found in 2410, **EGVGAASR**₃₅₇, but not in 2391 Nef, **EGVGTASQ**₃₅₅. Thus, the altered S5AM within the 2391 Nef NTR may disrupt SERINC5 antagonism, which could explain the lack of rescued infectious virus yield observed with the DN₁₆₃ reverse mutation (2391 ND₁₆₃DN Nef). Overall, our data suggest the ND₁₆₄ polymorphism within the Nef dileucine motif differentially impairs the CD4 and SERINC5 antagonistic pathways of Nef, resulting in functional uncoupling when S5AM is preserved, as is the case within the 2410 NTR.

The ability of Nef to downregulate CD4 and SERINC5 has been primarily attributed to an interaction with AP-2 and not other adaptor protein complex family members such as AP-1 (34). However, recent studies have demonstrated that a variant AP-1 tetramer, which contains the variant γ 2 subunit as opposed to the classically defined γ 1 subunit (here referred to as AP-1 γ 2), was involved in Nef-mediated CD4 downregulation (35) as

FIG 6 Legend (Continued)

2391 Nef. Boldfaced residues indicate polymorphisms between the two Nef isolates within this region. The central flexible loop and Nef dileucine motif are denoted by purple and red boxes, respectively. (B) Schematic illustrating the Nef isolates and 2410 Nef chimeras/mutants utilized. Chimera break points for each isolate are indicated accordingly. Regions belonging to 2391 Nef are indicated with white diagonal stripes, while regions belonging to 2410 Nef are indicated with solid colors. (C and D) Representative histograms illustrating cell surface SERINC5 (C) and CD4 (D) levels on CD4⁺ HeLa cells after gating on single and transfected (eGFP⁺) cells. Geometric mean fluorescence intensities (MFIs) of the respective cell surface proteins are indicated. (E to G) Summary of the fold downregulation ability (\pm SE) for cell surface SERINC5 (E), cell surface CD4 (F), and fold Nef-eGFP (G) expression for each Nef isolate ($n=3$). eGFP, enhanced green fluorescent protein; AF647, Alexa Fluor 647; PE, phycoerythrin; SE, standard error; *, $P \leq 0.05$; ***, $P \leq 0.001$; ****, $P \leq 0.0001$; n.s., nonsignificant ($P > 0.05$).

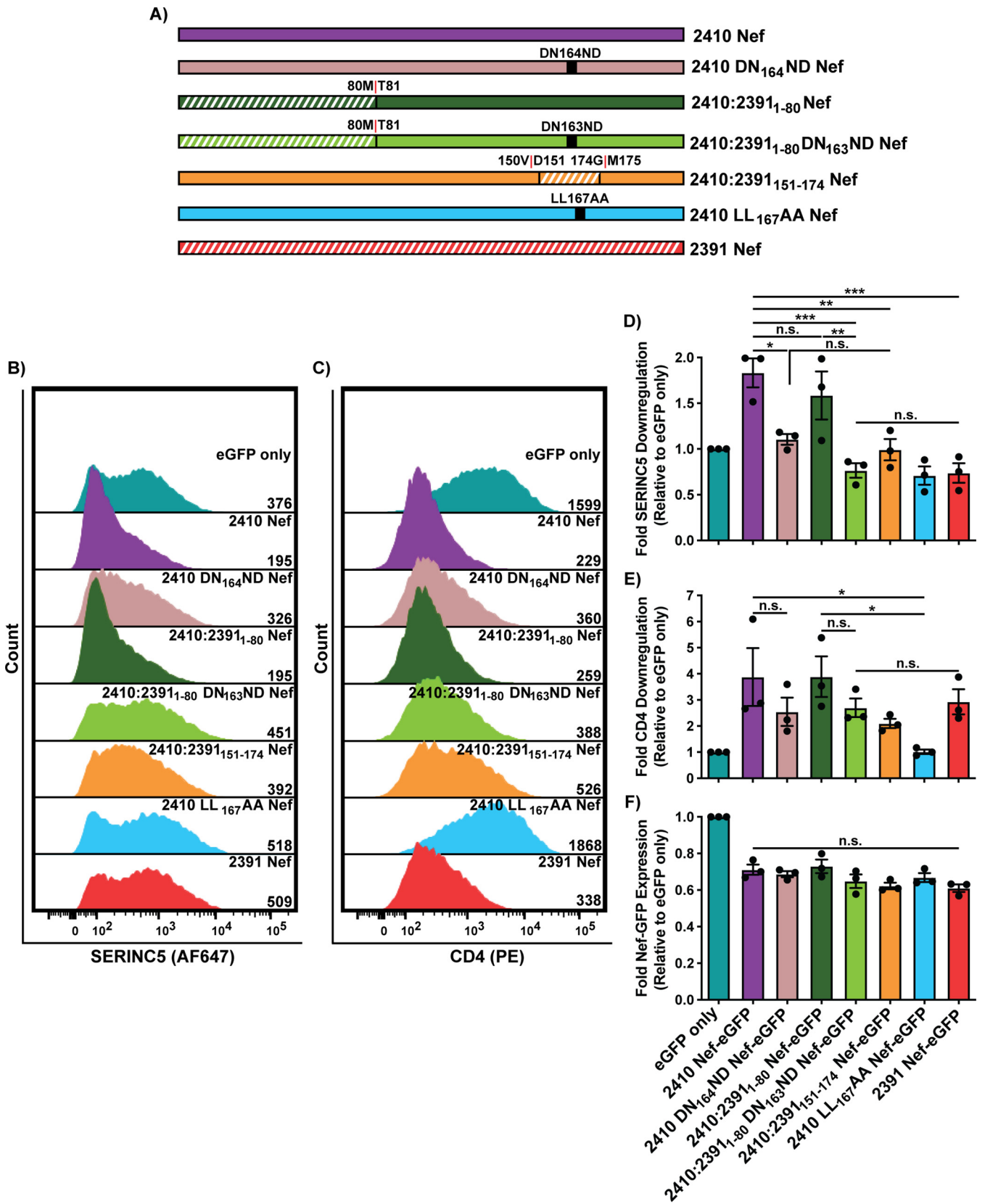


FIG 7 Mutations of 2410 Nef residues D₁₆₃ and N₁₆₄ (DN₁₆₄) severely impairs SERINC5 downregulation. CD4⁺ HeLa cells expressing 2410 and 2391 as well as mutants with SERINC5.intHA were stained for cell surface SERINC5 and CD4 and analyzed by flow cytometry. Single transfected CD4⁺ HeLa cells were determined by gating on eGFP⁺ cells using an unstained control. (A) Schematic of Nef isolates and chimeras/mutants utilized. The chimera break points for

(Continued on next page)

well as MHC-I downregulation (36). Interestingly, Nef requires engagement with both $\gamma 1$ and $\gamma 2$ containing AP-1 tetramers to downregulate MHC-I, as depletion of either individually dampened the ability of Nef to downregulate MHC-I (36). This suggests that Nef requires an interaction with distinct AP complexes to completely downregulate a target protein, potentially providing spatiotemporal regulation to these antagonistic pathways. With respect to Nef-mediated CD4 downregulation, Nef requires engagement with AP-2 to trigger endocytosis of CD4 from the cell surface, which is eventually delivered to multivesicular bodies (MVBs) and lysosomes for degradation (37). A critical step in directing CD4 to the degradative route requires targeting of CD4 to the intraluminal vesicles (ILVs) of MVBs, which is dependent on the endosomal sorting complexes required for transport (ESCRT) machinery (37, 38). The ESCRT serves several crucial physiological roles, including mediating ILV formation and MVB biogenesis (38, 39). Additionally, Nef physically engages with the Alix adaptor, a protein associated with the ESCRT machinery, which is necessary for directing Nef-bound CD4 monomers in late endosomes to the degradative route (39). Furthermore, Nef binding to the AP-1 $\gamma 2$ variant tetramer is required for directing endocytosed proteins such as CD4 to the degradative pathway, a step likely preceding Nef interactions with Alix (35, 36). Indeed, SERINC5 can be sorted to MVBs, likely due to an interaction between Nef and Alix, suggesting AP-1 $\gamma 2$ is required in this antagonistic pathway (9, 36, 39). Interestingly, it is known that the sequence requirements for Nef physical interactions with AP-2 are considerably less strict than what is required for interacting with AP-1 (40). As such, we speculate the ND₁₆₄ polymorphism is predominantly impacting the extent to which Nef engages AP-1 $\gamma 2$, which would theoretically impair rerouting of both CD4 and SERINC5 to the degradative route. How Nef spatiotemporally regulates interactions with AP-2 and AP-1 $\gamma 2$ to downregulate CD4 and SERINC5 requires further examination. While both AP-2 and AP-1 $\gamma 2$ are required for CD4 downregulation, the spatiotemporal contributions of either adaptor in SERINC5 downregulation remains unknown. We postulate downregulating SERINC5 and eventual rerouting to the degradative pathway could require more comprehensive engagements with AP-1 $\gamma 2$ as opposed to the CD4 downregulation pathway, which could explain the differential antagonistic functions observed in this study.

The finding that the patient from which the 2410 Nef isolate was derived demonstrated a more rapid loss of plasma CD4⁺ T cells over time compared to the patient from which 2391 Nef was derived supports previous observations that the extent to which Nef antagonizes SERINC5 could affect disease progression to AIDS (19, 20). The patients from which isolates 2410 and 2391 Nef were derived became HIV-1 positive via heterosexual male-to-female transmission (21). This form of transmission is associated with an extreme genetic bottleneck, in which one HIV-1 variant typically establishes infection (41, 42). As such, in the early stages of acute HIV-1 infection, the virus is extremely clonal, with minimal circulating variants (42). Since we acquired these samples at the first visit postseroconversion, the associated Nef isolates may be representative of the original transmitter/founder (T/F) strain or genetically similar; however, we cannot exclude the possibility some mutations have been introduced during the cloning process. Furthermore, the potential ability of these Nef isolates to downregulate SERINC3, a SERINC family member possessing antiviral effects on HIV-1 replication, albeit to a lesser extent than SERINC5 (3, 4), could also be a factor contributing to differential rates of plasma CD4⁺ T cell decline. Moreover, specific HIV-1 Env proteins can be susceptible to SERINC5 antagonism, while others remain resistant (43). As such, *env* or other HIV-1 viral genes may also factor into the observed differences in the rate of plasma CD4⁺ T cell decline observed within the implicated infected individuals.

FIG 7 Legend (Continued)

the respective chimera isolates are indicated. Regions belonging to 2391 Nef are indicated with white diagonal stripes, while regions belonging to 2410 Nef are indicated with solid colors. (B and C) Representative histograms illustrating cell surface (B) SERINC5 or (C) CD4 levels on CD4⁺ HeLa cells after gating on single and transfected (eGFP⁺) cells. Geometric mean fluorescence intensities (MFIs) of the respective cell surface proteins are indicated. (D to F) Summary of the fold downregulation ability (\pm SE) for (D) cell surface SERINC5, (E) cell surface CD4, and (F) fold Nef-eGFP expression for each Nef isolate ($n=3$). eGFP, enhanced green fluorescent protein; AF647, Alexa Fluor 647; PE, phycoerythrin; SE, standard error; *, $P \leq 0.05$; **, $P \leq 0.01$; ***, $P \leq 0.001$; n.s., nonsignificant ($P > 0.05$).

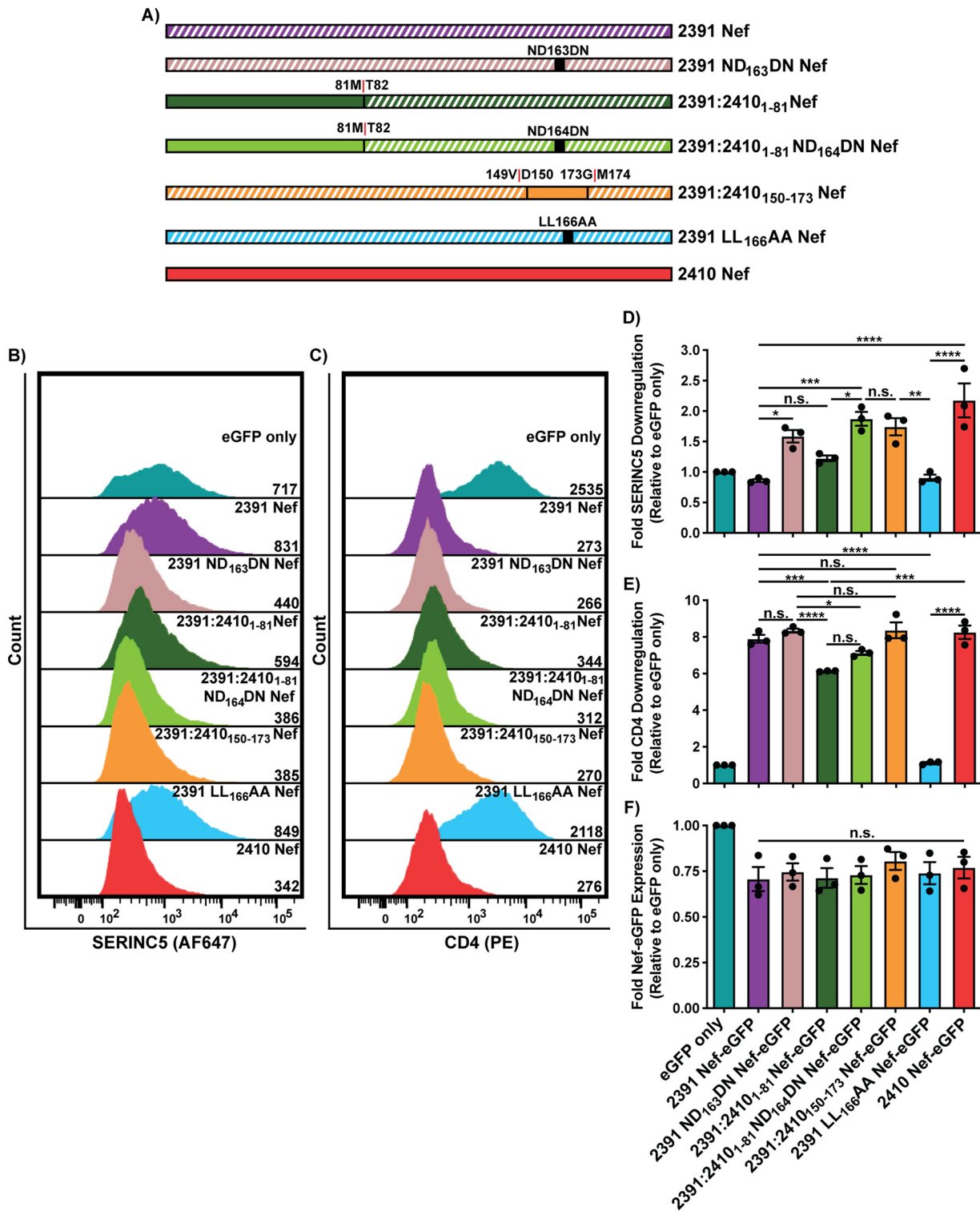


FIG 8 Reversing the polymorphism in 2391 Nef (ND₁₆₃) rescues the ability of 2391 Nef to downregulate cell surface SERINC5. CD4⁺ HeLa cells expressing 2410 and 2391 Nef as well as 2391 Nef mutants with SERINC5.intHA. were stained for cell surface SERINC5 and CD4 and analyzed by flow cytometry. Nef⁺ CD4⁺ HeLa cells were determined by gating on eGFP⁺ cells using an unstained control. (A) Schematic of the Nef isolates and associated mutants/chimeras utilized. The chimera break points for the Nef chimeras are indicated. Regions belonging to 2391 Nef are indicated with white diagonal stripes, while regions belonging to 2410 Nef are indicated with solid colors. (B and C) Representative histograms illustrating cell surface (B) SERINC5 or (C) CD4 levels of CD4⁺ HeLa cells after gating on single and transfected (eGFP⁺) cells. Geometric mean fluorescence intensities (MFIs) of the respective cell surface proteins are indicated. (D to F) Summary of the fold downregulation ability (±SE) for (D) cell surface SERINC5, (E) cell surface CD4, and (F) fold Nef-eGFP expression for each Nef isolate (n=3). eGFP, enhanced green fluorescent protein; AF647, Alexa Fluor 647; PE, phycoerythrin; SE, standard error; *, P ≤ 0.05; **, P ≤ 0.01; ***, P ≤ 0.001; ****, P ≤ 0.0001; n.s., nonsignificant (P > 0.05).

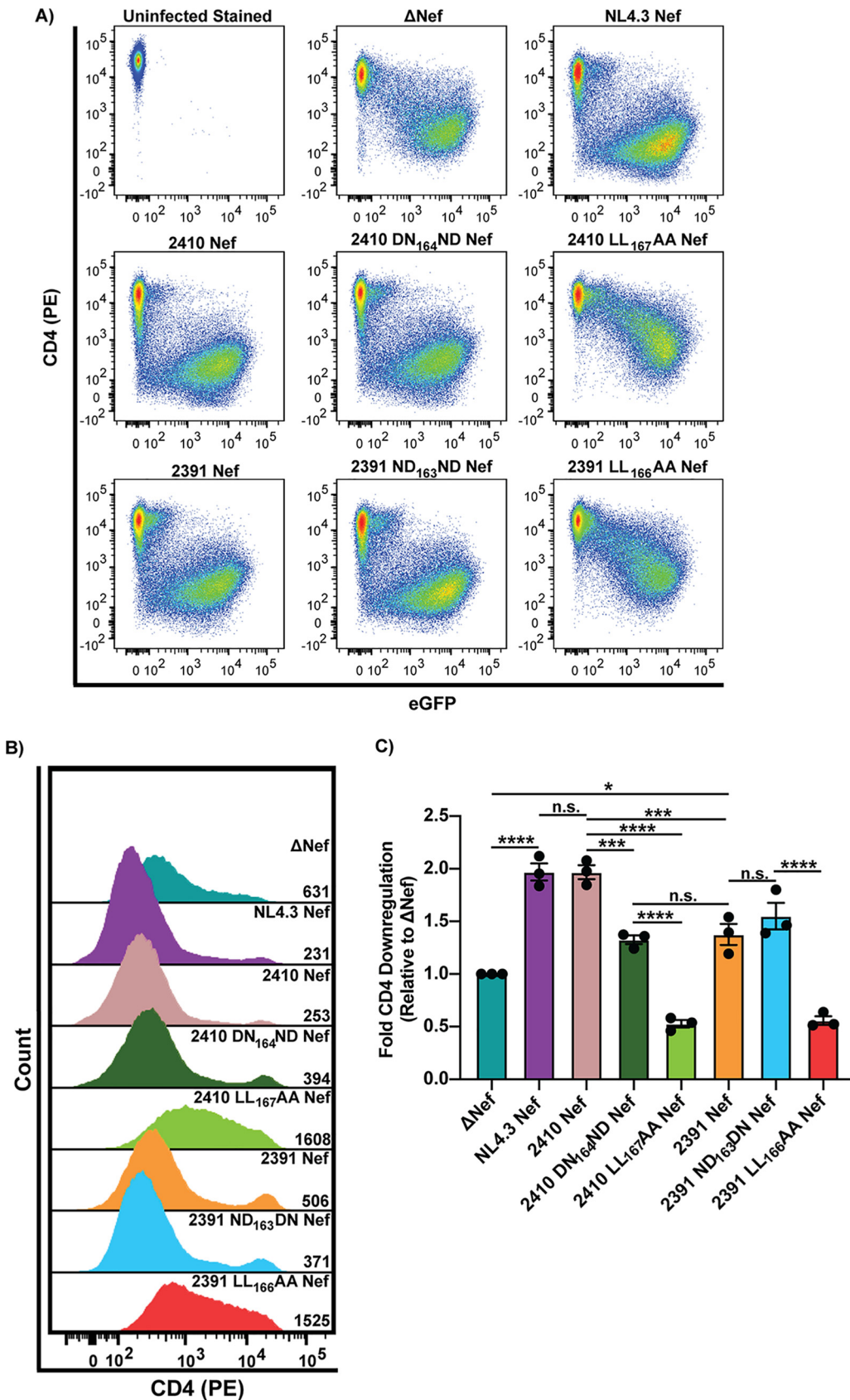


FIG 9 ND₁₆₄ polymorphism impairs the ability of 2410 Nef to downregulate cell surface CD4 within infected cells. Sup-T1 cells were infected with VSV-G pseudotyped virions encoding the respective Nef proteins. The 2410 DN₁₆₄ND and (Continued on next page)

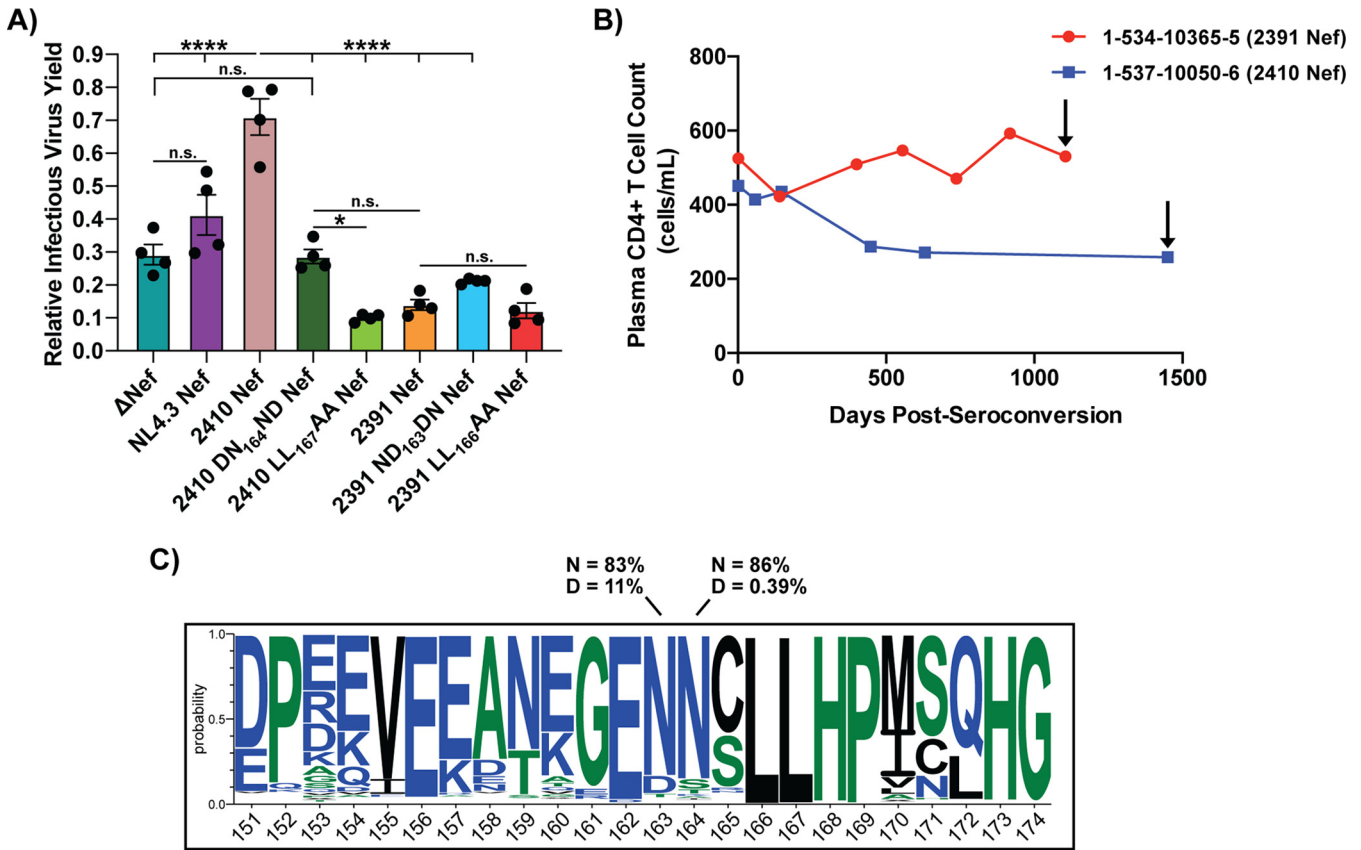


FIG 10 ND₁₆₄ polymorphism impairs the enhancement of infectious virus yield in the presence of SERINC5. (A) Virions encoding the respective Nef proteins were generated in the presence of SERINC5.intHA or an empty pBJ5 vector. Along with the primary Nef isolates, the 2410 DN₁₆₄ND and 2391 ND₁₆₃DN Nef mutants were included. TZM-bl cells were infected and relative infectious virus yield was determined by comparing the average relative luciferase units (RLUs) in the presence of SERINC5 to the absence of SERINC5. Results were obtained from four independent experiments ($n=4$). (B) The patient from which 2391 Nef was derived (red) experienced a slower loss of plasma CD4⁺ T cells than the patient from which 2410 Nef was derived (blue). Black arrows indicate when the patients were placed on combined antiretroviral therapy (cART). (C) Amino acid frequencies of Nef residues 151 to 174, based on 7475 Nef sequences obtained from the Los Alamos HIV sequence database, are shown. Numbering is based on the 2410 Nef sequence. Listed percentages indicate the conservation of N (asparagine) or D (aspartic acid) at the indicated positions of Nef. *, $P \leq 0.05$; ****, $P \leq 0.0001$; n.s., nonsignificant ($P > 0.05$).

Overall, our results demonstrate the ND₁₆₄ polymorphism within the Nef dileucine uncouples the CD4 and SERINC5 antagonistic pathways and results in lower infectious virus yield in the presence of SERINC5. Our results support the role that Nef adaptor protein recognition sequences are required for both antagonistic pathways, and, as such, polymorphisms outside previously defined Nef functional motifs could positively or negatively impact these functional abilities.

MATERIALS AND METHODS

Cell culture. HEK 293T (ATCC, Manassas, Virginia), CD4⁺ HeLa (NIH AIDS Reagent Program), and TZM-bl (NIH AIDS Reagent Program) cells were maintained in Dulbecco's modified Eagle's medium (DMEM) containing 4 mM L-glutamine (Cytiva Life Sciences, Vancouver, BC), 4.5 g/liter glucose (Cytiva

FIG 9 Legend (Continued)

2391 ND₁₆₃DN mutants were included in these experiments. Infected cells were stained for cell surface CD4 and analyzed by flow cytometry. Live infected Sup-T1 cells were analyzed by gating on Zombie NIR⁻ using the Zombie FMO control, and then infected (eGFP⁺) cells were determined using an eGFP FMO control. (A) Representative pseudocolor plots illustrating cell surface CD4 (PE) and infection (eGFP⁺) of live (Zombie NIR⁻) Sup-T1 cells. (B) Representative histograms illustrating cell surface levels of CD4 (PE) on Sup-T1 cells after gating on live (Zombie NIR⁻) and infected (eGFP⁺) cells. CD4 (PE) geometric mean fluorescence intensities (MFIs) are indicated. (C) Summary of the fold-downregulation ability (\pm SE) of cell surface CD4 for each Nef isolate ($n=3$). Fold downregulation of cell surface CD4 was calculated by comparing the geometric MFI of cell surface CD4 in live cells infected with the ΔNef negative control to cells infected with pseudoviruses encoding the respective Nef proteins. Zombie NIR, Zombie near-infrared; FMO, fluorescence minus one; eGFP, enhanced green fluorescent protein; PE, phycoerythrin; SE, standard error; *, $P \leq 0.05$; **, $P \leq 0.01$; ****, $P \leq 0.0001$; n.s., nonsignificant ($P > 0.05$).

Life Sciences) and supplemented with 10% fetal bovine serum (FBS; Wisent, St. Bruno, QC, Canada) and 1% penicillin and streptomycin (HyClone, Logan, UT). Sup-T1 cells (ATCC) were maintained in Roswell Park Memorial Institute (RPMI) medium 1640, which was supplemented with 100 μ g/ml penicillin-streptomycin (HyClone), 1% sodium pyruvate (HyClone), 1% nonessential amino acids (HyClone), 2 mM L-glutamine (HyClone), and 10% FBS (Wisent). All cell lines were grown at 37°C in the presence of 5% CO₂ and were subcultured in accordance with the manufacturer's recommendations.

Ethics statement and patient DNA extraction. Primary *nef* genes utilized in this study were acquired from the Hormonal Contraception and HIV-1 Genital Shedding and Disease Progression among Women with Primary HIV-1 Infection (GS) study. A complete description of this study has been previously described (21, 22). Ethics approval was obtained from the Institutional Review Boards (IRBs) from the Joint Clinical Research Center and UNST in Uganda, the University of Zimbabwe, the University Hospitals of Cleveland, and from Western University (21). Briefly, blood and cervical samples were collected every month for the first 6 months, every 3 months for the first 2 years, and then every 6 months for up to 9.5 years. Women who had plasma CD4⁺ T cell counts of 200 cells/ml and/or developed severe symptoms of HIV-1 infection (WHO clinical stage IV or advanced stage III disease) were offered combination ART (cART) and trimethoprim-sulfamethoxazole (21). CD4⁺ T cell counts were determined by standard flow cytometry using FACSCalibur (Becton, Dickinson, Sparks, MD) (21).

DNA constructs. Primary *nef* genes utilized in this study were derived from Ugandan and Zimbabwean women enrolled in the GS study (21, 22). At the first visit postseroconversion, DNA was extracted from peripheral blood mononuclear cells (PBMCs) taken from plasma samples. Patient *nef* genes were first amplified from the purified DNA using a nested PCR. The subtype of each primary Nef isolate utilized in Fig. 1 was determined using the recombinant identification program (RIP) tool from the Los Alamos HIV sequence database (44). Twelve of the Nef isolates were subtype C (2013, 2275, 2391, 2317, 2005, 2634, 2410, 2130, 2060, 2222, 2109, and 250-04 Nef) and three of the Nef isolates were subtype A1 (185-05, 257-05, and 0035-04 Nef). In the first reaction, multiple primers were designed to account for the genetic variability of the integrated provirus. Forward primers were designed ~1,000 bp upstream of the *nef* open reading frame (ORF) within *env*, and reverse primers were designed in the 3' long terminal repeat (LTR). Successful amplicons were PCR purified and subjected to the second round of nested PCR. The forward primers used in the second PCR were designed ~200 bp upstream of the *nef* ORF within the *rev-env* overlap and reverse primers were designed to anneal within the 3' LTR. Successful PCR products were purified and cloned into a pREC_nfl_HIV-1 Δ nef/URA3 proviral vector using a yeast recombination system (45). Sanger sequencing was subsequently performed to confirm *nef* sequence identity.

The *nef* genes were cloned into the pN1 vector (Clontech) containing a 3' *egfp* ORF. Forward primers specific to each *nef* sequences were designed to harbor a 5' EcoRI restriction site, and reverse primers were designed to harbor a 3' BamHI restriction site.

The various Nef chimeras and mutants were generated using overlapping PCR mutagenesis techniques. The breakpoint for each Nef chimera was selected in a region of *nef* that would not induce a frameshift mutation in either 2410 or 2391 *nef*. In a first PCR, the N-terminal *nef* region was amplified using a forward primer bearing the EcoRI restriction site, as described above, and a reverse primer including the appropriate mutation. The second PCR then amplified the C-terminal *nef* region of the corresponding *nef* gene using the forward primer complementary to the reverse mutagenic primer and a reverse primer which harbors the BamHI site. In the final PCR, both purified amplicons were mixed 1:1 and amplified using the 5' forward primer with the EcoRI site and the 3' reverse primer with the BamHI site to generate the full-length *nef* chimera. For the 2410:2391₁₅₁₋₁₇₄ Nef and the 2391:2410₁₅₀₋₁₇₃ Nef chimeras, an extra overlapping PCR mutagenesis reaction was required to generate an intermediate chimera *nef* product, which served as a template for subsequent cloning steps.

To assess Nef function, the respective *nef* genes were also cloned into the pNL4.3 Δ Gag/Pol eGFP Nef replication-incompetent proviral plasmid, which has been described (46–48). Briefly, this proviral plasmid was engineered to readily clone *nef* using flanking 5' XmaI and 3' NotI sites. Forward and reverse primers were specifically designed to clone the respective Nef isolates into the proviral backbone by harboring XmaI and NotI restriction sites, respectively.

To exogenously express SERINC5 *in trans*, a pBJ5-SERINC5.intHA vector was utilized, which was a kind gift from Heinrich Göttinger (UMass Medical School) (4). This SERINC5 harbors a hemagglutinin (HA) tag inserted between residues 290 and 291 of SERINC5, which is located on the fourth extracellular loop, connecting the 7th and 8th transmembrane helices.

For pseudovirion generation, the pCMV-DR8.2 plasmid (catalog number 12263; Addgene) encoding Gag/Pol and the pMD2.G plasmid (catalog number 12259; Addgene) encoding VSV-G were utilized.

Transfections and infections. To determine the ability of each Nef isolate to downregulate cell surface SERINC5 and CD4 in CD4⁺ HeLa cells, 5 \times 10⁵ CD4⁺ HeLa cells were plated in 6-well plates 24 h prior to transfection. For cohort screening experiments, cell surface CD4 downregulation was determined by transfecting CD4⁺ HeLa cells with 1 μ g of the respective cloned pN1-Nef-eGFP plasmids using PolyJet (FroggaBio, North York, ON). Cell surface SERINC5 downregulation ability was determined by cotransfecting CD4⁺ HeLa cells with 0.5 μ g of the cloned pN1-Nef-eGFP plasmids along with 0.5 μ g of pBJ5-SERINC5.intHA, also using PolyJet. To determine the ability of the respective Nef isolates to downregulate cell surface SERINC5 and CD4, CD4⁺ HeLa cells were cotransfected with 0.64 μ g of the respective pN1-Nef-eGFP plasmids and 0.80 μ g pBJ5-SERINC5.intHA plasmid using PolyJet. To determine the level of Nef-eGFP expression via Western blotting, CD4⁺ HeLa cells were transfected with 0.64 μ g of the respective cloned pN1-Nef-eGFP plasmids in the absence or presence of 0.80 μ g of pBJ5-SERINC5.intHA using PolyJet.

For VSV-G pseudotyped lentivirus production, 1 \times 10⁶ HEK 293T cells were plated in 6-well plates 24 h prior to transfection. HEK 293T cells were then triply transfected with 0.83 μ g of the respective cloned pNL4.3

Δ Gag/Pol eGFP Nef plasmids, 0.83 μ g of the pCMV-DR8.2 Gag/Pol-encoding plasmid, and 0.40 μ g of the VSV-G-encoding pMD2.G plasmid using PolyJet. Seventy-two hours posttransfection, virus-containing supernatants were collected, supplemented with 20% FBS, filtered using a 0.45- μ m filter, and stored at -80°C . For infection of Sup-T1 cells with VSV-G pseudotyped viruses, 1×10^6 Sup-T1 cells were pelleted, resuspended in the appropriate amount of pseudovirus in 20% FBS, 3 μ g/ml Polybrene (Sigma-Aldrich, St. Louis, MO), and brought to 700 μ l with complete RPMI. After 8 h, the cells were pelleted, the virus-containing medium was removed, and the pelleted cells were resuspended in 1 ml of complete RPMI for an additional 40 h.

For generating lentivirus particles with or without SERINC5 incorporation as part of the infectivity assay, 3×10^5 HEK 293T cells were plated in 12-well dishes 1 day prior to transfection. HEK 293T cells were then triply transfected with 0.60 μ g of the respective cloned pNL4.3 Δ Gag/Pol eGFP Nef plasmids and 0.60 μ g of the Gag/Pol-encoding pCMV-DR8.2 plasmid, along with either 0.24 μ g of pBJ5-SERINC5.intHA or the empty pBJ5 vector using PolyJet. Forty-eight hours posttransfection, virus-containing supernatants were collected, supplemented with 20% FBS, filtered using a 0.45- μ m filter, and stored at -80°C . For infection of TZM-bl cells to determine infectious virus yield, 5,000 TZM-bl cells were seeded per well in a 96-well plate. Prior to infection, the TZM-bl cells were incubated for 30 min with 1 μ g/ml Polybrene at 37°C for 30 min. Following this incubation, the cell medium was aspirated and replaced with 100 μ l of complete DMEM. The TZM-bl cells were infected with 100 μ l of each virus condition (with and without SERINC5), with each condition being performed with 5 technical replicates for 72 h.

Flow cytometry analysis of cell surface receptors. For cell surface staining of CD4⁺ HeLa cells, 24 h posttransfection, cells were washed twice with $1 \times$ phosphate-buffered saline (PBS; Wisent), displaced with 0.25% Trypsin (Life Technologies, Carlsbad, CA), and collected into fluorescence-activated cell sorting (FACS) tubes. Collected cells were spun at 1,500 rpm for 5 min at room temperature and were washed twice with $1 \times$ PBS. Pelleted cells were fixed with 1.5% paraformaldehyde (PFA) for 15 min in the dark and washed twice with 1 ml FACS buffer (3% FBS, 5 mM ethylenediaminetetraacetic acid [EDTA] in $1 \times$ PBS). Cells were subsequently stained with the appropriate antibodies for 50 min at room temperature, washed twice with FACS buffer, and resuspended in $1 \times$ PBS. For cell surface staining of CD4 only, as depicted in Fig. 1A, 1:100 allophycocyanin (APC)-conjugated anti-human CD4 antibody (clone OKT4; BioLegend) in FACS buffer was used. For cell surface staining of SERINC5.intHA, 1:500 Alexa Fluor 647-conjugated anti-HA.11 epitope tag antibody (clone 16B12; BioLegend) in FACS buffer was used. When staining for both cell surface CD4 and SERINC5, 1:100 phycoerythrin (PE)-conjugated anti-human CD4 antibody (clone OKT4; BioLegend) was used, along with the same concentration of the anti-HA.11 epitope tag antibody described above. The isotype control sample in these experiments was stained with 1:50 Alexa Fluor 647-conjugated mouse IgG1, κ isotype control antibody (clone MOPC-21; BioLegend), and 1:200 PE-conjugated mouse IgG2B, κ isotype control antibody (clone MPC-11; BioLegend) in FACS buffer was used. Cells were then washed twice with cell staining buffer and resuspended in $1 \times$ PBS prior to analysis. For flow cytometry analysis, cells were analyzed using a BD Biosciences FACS-Canto SORP (London Regional Flow Cytometry Facility, London, ON). To determine the transfected (eGFP⁺) cell population, an unstained cell control was used in the single CD4 and SERINC5 downregulation assays as depicted in Fig. 1, and a fluorescence minus one (FMO) control lacking eGFP fluorescence was used in the SERINC5 and CD4 downregulation assays depicted in subsequent experiments. The geometric mean fluorescence intensity (MFI) was then determined, where appropriate, with Alexa Fluor 647 (SERINC5), PE or APC (CD4), and eGFP (Nef-eGFP) within the eGFP⁺ cell population for each sample. Fold SERINC5 and CD4 downregulation was then determined by comparing the MFI of Alexa Fluor 647 (SERINC5) and PE or APC (CD4) in cells transfected with the eGFP only control to cells expressing the respective Nef-eGFP isolates. Fold Nef-eGFP expression was calculated in a similar manner.

For cell surface staining of Sup-T1 cells, 48 h postinfection, Sup-T1 cells were collected, washed twice with $1 \times$ PBS, and stained for 20 min in the dark at room temperature with 1:200 Zombie NIR viability dye (catalog number 423105; BioLegend) in $1 \times$ PBS. Cells were washed twice with FACS buffer and fixed with 1% PFA for 20 min at room temperature. Cells were subsequently washed twice with FACS buffer and stained with the appropriate antibodies by rocking for 50 min at room temperature. Cells were washed twice with FACS buffer and resuspended in $1 \times$ PBS. For cell surface CD4 staining, 1:20 PE-conjugated anti-human CD4 antibody (clone OKT4; BioLegend) in FACS buffer was utilized. For the isotype control, cells were stained with 1:40 PE-conjugated mouse IgG2B, κ isotype control antibody (clone MPC-11; BioLegend). For flow cytometry analysis, cells were analyzed as described above. FMO controls lacking the Zombie NIR dye and eGFP fluorescence were utilized to determine live (Zombie NIR⁻) and then infected (eGFP⁺) cell populations. The geometric MFI of PE (cell surface CD4) was then determined within the Zombie NIR⁻ eGFP⁺ cell populations for each sample. The MFI of PE (CD4) in cells infected with the Δ Nef negative control was compared to the MFI of PE in cells infected with the respective Nef isolates to determine fold cell surface CD4 downregulation. Flow cytometry data were analyzed using FlowJo software (version 10.7.1; FlowJo LLC, Ashland, OR).

Infectivity assay. After 72 h of infection, the medium was aspirated and replaced with 100 μ l complete DMEM. This was then supplemented with 100 μ l of the Steady-Glo reagent (catalog number E2520; Promega) and incubated in the dark for 15 min; 100 μ l was then transferred to a black opaque 96-well plate, with the relative luciferase units (RLUs) of each condition being quantified by the Cytation5 microplate reader. The average number of RLUs in the presence and absence of SERINC5 was then determined for each technical replicate. The relative infectious virus yield was then calculated by comparing the average RLU in the presence of SERINC5 to the average number of RLU in the absence of SERINC5.

Fusion protein analysis. Lysate collection for Western blot analysis of the CD4⁺ HeLa cells transfected with the respective pN1-eGFP Nef plasmids in the presence and absence of SERINC5.intHA has been described previously (46). The primary antibody utilized in this experiment to detect Nef-eGFP fusion proteins was a rabbit anti-GFP recombinant monoclonal antibody (Invitrogen) diluted to 1:200,

and the glyceraldehyde-3-phosphate dehydrogenase (GAPDH) loading control was detected using a primary mouse anti-GAPDH monoclonal antibody (Invitrogen) diluted to 1:3,000 in 5% nonfat skim milk in Tris-buffered saline with Tween 20 (TBST). Twenty-four hours later, membranes were washed three times with TBST and incubated for 1 h at room temperature with a secondary horseradish peroxidase (HRP)-conjugated goat anti-rabbit IgG (H+L) antibody diluted to 1:1,000 (Invitrogen) and a secondary HRP-conjugated goat anti-mouse IgG (H+L) antibody diluted to 1:3,000 in 5% nonfat skim milk in TBST. Blots were subsequently washed and developed using the Crescendo HRP chemiluminescent detection (Millipore Sigma) for 2 min prior to imaging with a C-DiGit blot scanner (Li-Cor Biosciences, Lincoln, NE). Images were collected using Image Studio v 5.2 (Li-Cor).

Data and statistical analysis. All statistical tests were completed using Graph Pad Prism 8 (Graph Pad Software Inc., La Jolla, CA). All experimental data were tested for normalcy using a Shapiro-Wilk normality test. Statistical significance was then determined using a nonmatching one-way analysis of variance (ANOVA) test, in which the means of each group were compared to the negative-control sample or compared to each other (where indicated), followed by a Tukey multiple-comparison test with a 95% confidence interval ($\alpha = 0.05$).

Data availability. The accession numbers of the primary *nef* sequences deposited in GenBank are MW892600–MW892614.

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