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Medication Adherence Aligns With Age and a Behavioral Checklist but Not Symptoms or Quality of Life for Patients With Eosinophilic Esophagitis

Pooja Mehta, MD, MSCS,

Children's Hospital Colorado, Department of Pediatrics, University of Colorado School of Medicine

Zhaoxing Pan, PhD,

Department of Biostatistics, University of Colorado School of Medicine

Stephanie Skirka, RN,

Children's Hospital Colorado

Bethany M. Kwan, PhD, MSPH,

Department of Family Medicine, University of Colorado School of Medicine

Calies Menard-Katcher, MD, MSCS

Children's Hospital Colorado, Department of Pediatrics, University of Colorado School of Medicine

Abstract

Objective: To measure adherence rates to swallowed topical steroids in children with eosinophilic esophagitis (EoE), describe factors related to adherence, and determine the association between adherence, symptoms, perceived disease severity, and quality of life in children with EoE.

Study design: Subjects in this cross-sectional study of 117 children between 5–18 years old with EoE completed the Pediatric Eosinophilic Esophagitis Symptoms Score V2.0 (PEESS), Pediatric Quality of Life Inventory Eosinophilic Esophagitis Module (PedsQL EoE), a Medication-Taking Checklist (MTC), and a demographics questionnaire. Adherence rate was calculated based on reported number of missed doses/prescribed doses in the last week. Parent-reported measures were used for children aged 5–12 years and self-report was used for children aged 13–18 years.

Results: Adolescents had lower adherence rates than younger children ($76.2 \pm 24.5\%$ versus $88.6 \pm 16.7\%$, $P=.002$). Adherence rates were not associated with disease history, PEESS or PedsQL™ EoE scores, but instead correlated with MTC scores (Pearson $r = 0.65$, $P < .001$ for child-report

Address correspondence to Pooja Mehta, Digestive Health Institute, Children's Hospital Colorado, 13123 East 16th Avenue, B290, Aurora, CO 80045, Pooja.Mehta@childrenscolorado.org, Phone: 720-777-6669, Fax: 720-777-7277.

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and Pearson of 0.74, $P < .001$ for parent-report). Symptomatology was associated with worse quality of life (PEESS Frequency: $r = -0.7$, $P < 0.001$; PEES Severity: $r = -0.71$, $P < .001$ for children 5–12 years old; PEES Frequency: $r = 0.61$, $P < 0.001$; PEES Severity: $r = -0.5$, $P < .001$ for adolescents).

Conclusions: Unrelated to their clinical history, demographic factors, symptoms, and quality of life, adolescents with EoE have lower medication adherence rates. The MTC may serve as a clinical tool to discuss adherence and provide targeted educational counseling regarding adherence interventions.

Given high nonadherence rates in the adolescent population, adolescents requiring chronic medication use – such as those with solid-organ transplantation, chronic respiratory conditions, or inflammatory bowel disease – are at increased risk for disease complications.^{1, 2} Eosinophilic esophagitis (EoE) is a chronic allergen-mediated inflammatory disease of the esophagus with an increasing prevalence.³ When compared with other chronic gastrointestinal diseases, EoE across all ages is now almost as common as pediatric inflammatory bowel disease⁴ and has similar healthcare associated costs with estimated United States annual health care cost between \$0.5 and \$1.4 billion.⁵ Therefore, understanding adherence rates, describing factors related to adherence, and developing interventions to improve adherence may not only improve patient care but also decrease healthcare-related spending.

Untreated EoE can lead to oral aversion and feeding disorders,⁶ esophageal strictures,⁷ esophageal food bolus impactions,⁸ and decreased quality of life across all ages.⁹ Swallowed topical steroids (administered through a metered-dose inhaler or as a homemade oral viscous solution) are a common first line treatment for EoE and not only improve mucosal inflammation^{10, 11} but also lower risks for complications such as esophageal food bolus impactions.¹² Conversely, delayed treatment appears to increase the risks of stricturing disease.⁷ Because discontinuation of therapy results in disease recurrence,¹³ chronic and continuous treatment is recommended to both control inflammation and prevent complications. Despite this, the adherence rates and the factors influencing or impeding adherence are largely unknown in the EoE population. Our prior work has suggested that adolescents with EoE have low medication adherence rates and that planning is a major barrier to adherence,¹⁴ but no large scale studies have measured this to date. Thus, the aims of this study are to quantify self-reported treatment adherence rates to swallowed steroids, describe factors related to adherence, and determine the association between adherence, symptoms, perceived disease severity, and quality of life in pediatric patients with EoE. We hypothesized that adolescents would have lower adherence rates when compared with younger children and that adherence rates would be unrelated to symptomatology.

Methods

Participants included children between the ages of 5 to 18 years who met the diagnostic criteria for EoE¹⁵ and were treated with once or twice daily swallowed steroids. Participants were excluded if they were unable to read and write in English. Children and their parents were recruited from February 2018 to March 2020 in a large pediatric tertiary care center in both the general gastroenterology clinic and a multidisciplinary clinic specializing in EoE.

Children were categorized as adolescents if they were between the ages of 13–18 years old inclusive. This study was approved by the institutional review board.

Measures

All participants completed the following questionnaires: Pediatric Eosinophilic Esophagitis Symptoms Score V2.0 (PEESS), Pediatric Quality of Life Inventory Eosinophilic Esophagitis Module (PedsQL EoE), a Medication-Taking Checklist (MTC), and a disease history and demographics questionnaire. As part of the disease history and demographics questionnaire, parents were asked to categorize their child's disease as mild, moderate, or severe. PEES is an age-specific validated index measuring EoE symptomatology¹⁶ and includes a frequency score and severity score. Scores range from 0 to 100, with a higher score indicative of more frequent and/or severe symptoms. The PedsQL EoE Module is an age-specific validated instrument to measure quality of life in patients with EoE.¹⁷ It consists of 33 items and encompasses 7 scales: symptoms related to pain, symptoms related to dysphagia, treatment, worry, communication, food and eating, and food feelings. Scoring ranges from 0 to 100 with higher scores indicative of better quality of life. The MTC is a study-specific clinical tool designed with the input of gastroenterologists, nurses, patients, and a health behavior psychologist to gauge behaviors associated with medication-taking (Appendix; available at www.jpeds.com). Because planning and overcoming barriers are known factors that influence adherence,^{18–22} the MTC includes 9 items that assess overall self-reported adherence including 7 situations in which adherence may be challenged, and 1 on planning behavior. Scores on the MTC range from 0 – 18 with higher scores indicative of having positive medication-taking behaviors (such as routines and plans). Because neighborhood characteristics and social environment can impact medication adherence,²³ home addresses were inputted into the Area Deprivation Index (ADI) – a validated measure of neighborhood disadvantage based on United States Census Data and American Community Survey Data.^{24, 25} National ADI rankings range from 1–100 with higher scores indicative of the most disadvantaged neighborhoods. Adherence rate was determined based on reported number of doses taken in the last week over the total number of prescribed doses per week. Child-reported and parent-reported adherence rates were calculated for all participants.

Statistical Analyses

Kappa statistics and the McNemar-Bowker Ptest as well as Bland-Altman analysis were used to assess the agreement of categorical variables between child and parent report. Parent-report measures for adherence rates, PEES, Peds QL™ EoE, and MTC were used for children ages 5–12 and child-report measures were used for adolescents. Categorization of disease severity is based on parental report only for all age groups. Descriptive statistics, multiple linear regression, and ANOVA were used to analyze the data. Pearson coefficients were calculated to determine associations between adherence rates and responses to the PEES, PedsQL™ EoE, and the MTC. A sample size of 117 allowed detection of a Pearson correlation of 0.26 with 80% power at 5% significance. A P-value of < .05 was considered statistically significant.

Results

Adherence rates

Patient and parent pairs (n= 117) agreed to participate including 60 children between the ages 5–12 years and 57 children between the ages 13–18 years. Patient age was an average of 12.0 (± 3.4) years. Table I depicts participant characteristics and whether these characteristics were associated with child-reported adherence. Children reported an average adherence rate of $82.3 \pm 22.4\%$ and parents reported an average of $83.9 \pm 21.7\%$. There was good agreement between parent and child-reported adherence; by Bland-Altman analysis, child-report was 1.4% lower ($P=NS$) with a limit of agreement of 22.6% (-25.4%, 22.6%). Adherence rates were not associated with age of diagnosis, disease duration, sex, race, ethnicity, ADI ranking, household size, method of steroid administration, dosing frequency, concurrent or prior treatments, history of food impaction, history of dilation, perceived severity of disease, or person in charge of taking medications. Patient age at enrollment negatively correlated with adherence ($P=.001$). Adolescents had lower self-reported adherence rates than younger children ($76.2 \pm 24.5\%$ versus $88.6 \pm 16.7\%$, $P=.002$).

Medication-taking Checklist (MTC)

Child-reported MTC scores ranged from 0–18 with a mean of 13.3 ± 4.0 . Parent-reported MTC scores ranged from 1–18 with a mean of 13.7 ± 3.9 . Figure 2 (available at www.jpeds.com) shows the distribution of MTC scores, stratified by age. For all statistical analysis involving MTC scores, parent-report was used for children ages 5–12 and self-report for ages 13–18. Table 2 describes associations between adherence rate, total MTC score, and individual items on the MTC. Higher adherence rates were associated with both the total score on the MTC as well as each individual MTC item (aside from taking medications when there is difficulty swallowing) for both parent and child-report. Total MTC score was strongly correlated with child-reported adherence rate (Pearson r of 0.65, $P<.001$) and parent-reported adherence rate (Pearson r of 0.74, $P<.001$). There was agreement between parent-report and child-report on all MTC items except for taking medicines when something unexpected happened and making plans for when to take medicines ($P=.02$ and $P<.001$ respectively).

Symptomatology and quality of life

PEESS and PedsQL™ EoE scores stratified by age are depicted in Figure 1. Adherence rates were not strongly associated with the PEES Frequency Score, PEES Severity Score, or the total PedsQL™ EoE score in both the 5–12-year-old group (Pearson r of -0.03, -0.10, and 0.24 respectively) and adolescents (Pearson r of 0.13, 0.17, and 0.01 respectively). In children aged 5–12, the PedsQL™ EoE communication subscore and PedsQL™ EoE food and eating subscore were positively associated with better adherence ($r=0.26$, $P=.05$ and $r=0.38$, $P=.008$). There were no significant associations between PedsQL™ EoE subscores and adherence rates in adolescents. PEES Frequency and PEES severity scores were associated with lower quality of life as measured by the PedsQL™ EoE in both the 5–12-year-old group (Frequency: $r=-0.7$, $P<0.001$; Severity: $r=-0.71$, $P<.001$) and adolescents (Frequency: $r=-0.61$, $P<0.001$; Severity: $r=-0.5$, $P<.001$).

Effects of perceived disease severity

Perceived severity was not associated with adherence but was independently associated with PEES and quality of life. Table 3 depicts perceived severity, categorized by age, and its association with PEES and quality of life. Perceived disease severity was associated with worse quality of life scores, even after adjusting for PEES scores ($P=.03$ in the 5–12-year age group and $P=.04$ in adolescents).

Discussion

In chronic conditions with the potential for progressive disease such as EoE, adequately characterizing adherence is critical to disease management. Clinical assessment of adherence may decrease the frequency of invasive and expensive endoscopies for disease surveillance. Moreover, interventions targeting adherence may prevent complications like food bolus impactions.¹²In this study, we found adherence rates to be lower in adolescents as compared with younger children and that adherence rates did not correlate with symptoms, perceived disease severity, or quality of life. However, adherence strongly correlated with a newly developed medication-taking behavior checklist that assessed common medication-taking behaviors.

Adherence rates

Reported swallowed steroid adherence rates were relatively high among pediatric patients with EoE. There were no identifiable demographic or disease features associated with adherence rates other than participant age. Specifically, adolescents had significantly lower adherence rates than younger children. These findings are consistent with other chronic pediatric conditions.^{1, 2}This may be related to an adolescent's struggle with independence and self-esteem or the fact that adolescents frequently have lower levels of characteristics consistent with executive function – the organization, planning, self-monitoring, and problem solving required to manage complex tasks, such as following a medical regimen.²⁶

Medication taking is a complex behavioral process without a one-size-fits-all solution. Numerous studies have shown that healthcare providers are unable to correctly predict medication adherence patterns in clinical practice based on disease history or demographics alone.^{27–31} In our study, both the total score on the MTC as well as most questions on the MTC correlated with adherence. Future studies of the MTC are needed to determine if there are score cut-offs that are associated with objectively measured adherence or disease outcomes.

Given the brevity of the MTC and its strong association with self-reported adherence in this study, the MTC may serve as a clinical tool in practice. In fact, the feeling of not getting support from health professionals is independently associated with poor medication adherence.³²The family environment plays a large role in medication adherence³³ which may suggest a group discussion with the entire family is warranted. When children are young, parents are actively involved in their medication-taking. However, as they get older, responsibility is often transferred to the child themselves and children may be less able to self-manage medication taking.³⁴ Additionally, a recent systematic review showed that

adolescents with chronic diseases tend to have difficulty planning and increased forgetfulness and this impacts medication adherence.³⁵ Because a stable family involvement, increased parental involvement (but not rigidity), and a home environment that promotes emotional expressiveness and support all lead to better medication adherence in adolescents,^{36–38} perhaps health providers can employ the MTC as a conversation-starter surrounding specific medication-behaviors that need problem solving.

Interventions that focus solely on reminders do not improve long-term adherence patterns.³⁹ Additionally, a recent meta-analysis showed that interventions that focus on habit formation and behavior change rather than education and attitudes are most successful at improving adherence.⁴⁰ In adults, self-management plans or “action plans” - a type of behavioral change technique, have proven to be successful in improving health outcomes; however, pediatric-specific action plans are limited in availability.⁴¹ Thus, our findings, in conjunction with the literature, suggest a need to develop and test interventions aimed at improving adolescent adherence by targeting behaviors that support planning, which may improve disease outcomes in EoE.

Adherence, symptomatology, and quality of life

Although numerous studies have shown swallowed steroids can improve health-related quality of life in EoE,^{42–44} and that increasing symptomatology results in lower quality of life,^{45, 46} we found no association between adherence and PEES. This is not all together surprising as PEES does not always strongly correlate with histology.^{16, 47, 48} Prior studies that have shown improvement in symptoms with initiation of steroids have focused on newly diagnosed EoE.^{10, 49, 50} This suggests that assessment of symptom severity alone is not a reliable marker of mucosal inflammation. The lack of association between adherence and symptomatology is important, as it can be more difficult to continue long-term medication adherence when patients cannot directly observe effects of medication taking on how they feel.⁵¹ Our findings may also lend further credence to newer evidence suggestive of phenotypic variations in EoE including variable response to treatments.⁵² Future studies comparing adherence to disease activity (histological improvement, tissue remodeling) are needed to better understand the complicated relationship between symptoms, disease activity, and medication effect.

Quality of life was also not associated with adherence rates. These findings are fitting with adult EoE studies which have shown that quality of life is associated with symptom severity but not endoscopic or histologic features.⁵³ Consistent with other published literature, participants in our study had low quality of life scores^{44, 54} and quality of life was strongly associated with symptomatology. Our study had similar PedsQL™ EoE scores to a large multicenter study of children with EoE, which also found that children with more symptoms had worse quality of life.⁴⁷ In adults with EoE, the combined effects of symptoms, endoscopic, and histologic findings only account for 60% of variation in quality of life⁵⁵ and patients report unmet needs in multiple domains.⁵⁶ In food protein-induced enterocolitis syndrome – another non IgE-mediated food allergy disease, perception of disease management has been associated with quality of life.⁵⁷ Similarly, in our study, perceived disease severity was associated with lower quality of life even after accounting for PEES

scores. Although this may be due to unmeasured variables such as endoscopic and histological findings, or mental health disorders which are common among EoE patients,^{58, 59} further research should explore what drives patient and caregiver perception of disease severity and whether interventions in this arena can improve quality of life.

This study had several limitations. First, in this cross-sectional study, we directly compared PedsQL™ EoE scores and PEES at one point in time, however we did not assess for other known factors associated with quality of life such as depression and anxiety⁵⁹ and could not assess for changes in quality of life longitudinally. Second, the cohort in this study had relatively high neighborhood advantage with a mean ADI ranking of 25. Future prospective research on quality of life and adherence are needed in the EoE population and should include a more diverse patient population and include both PEES and validated mental health assessments. Additionally, this study used self-reported adherence, which may overestimate true medication adherence.^{14, 60} Although we have used electronic sensors attached to metered-dose inhalers in prior work to objectively measure adherence in EoE,¹⁴ there is no objective method of assessing adherence to swallowed topical steroids administered as an oral viscous solution. Finally, although we did not measure endoscopic activity or histological activity, this is one of few studies to quantify adherence in patients with EoE and compare it with symptomatology.

Unrelated to their clinical history, demographic factors, and quality of life, adolescents with EoE have lower self-reported adherence to swallowed steroid therapy relative to younger children. Conversely, adherence was strongly related to a newly developed clinical tool – the Medication-Taking Questionnaire (MTC). Perceived disease severity (from both parents and children) was associated with both quality of life and more comprehensive measures of symptom severity, such that a brief severity assessment may serve as a pragmatic indicator of symptom severity and quality of life. Therefore, there may be two separate but important outcomes that physicians caring for EoE patients should measure and treat longitudinally symptom severity and quality of life, and adherence and mucosal healing. Future studies aimed at determining the effect of adherence on EoE disease severity as well as testing effects of adherence interventions on disease outcomes for adolescents with EoE are needed. Such research may further enhance our understanding of treatment response and disease phenotypes in children and adolescents with EoE.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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Abbreviations:

ADI	Area Deprivation Index
EoE	eosinophilic esophagitis

PEESS	Pediatric Eosinophilic Esophagitis Symptoms Score V2.0
PedsQL™ EoE	Pediatric Quality of Life Inventory™ Eosinophilic Esophagitis Module
MTC	Medication-Taking Checklist

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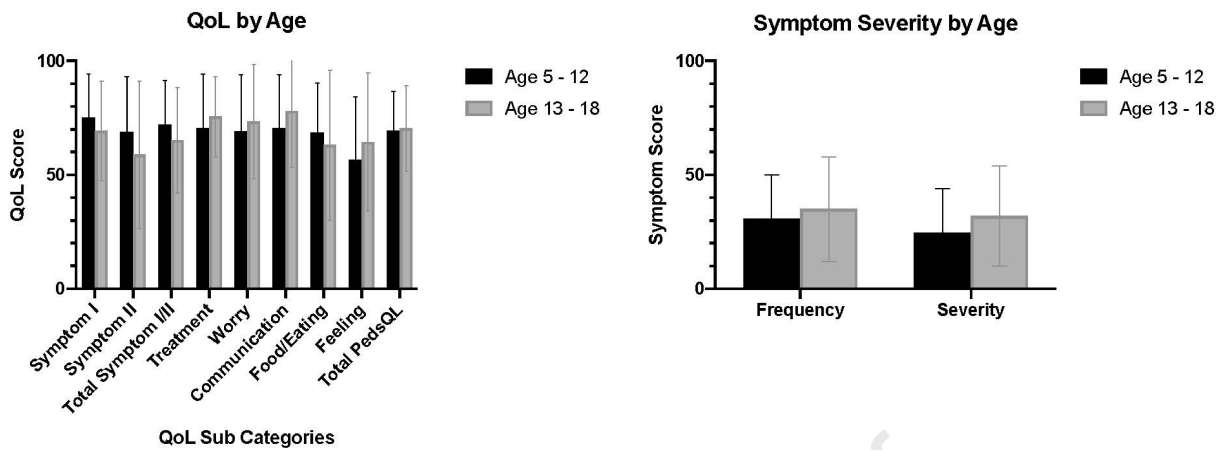


Figure 1. Scores on the Pediatric Eosinophilic Esophagitis Symptoms Score V2.0 (PEESS) and Pediatric Quality of Life Inventory™ Eosinophilic Esophagitis Module (PedsQL EoE) stratified by age. Scores on both the PEESS and PedsQL EoE range from 0 to 100, with a higher score indicative of more frequent and/or severe symptoms and better quality of life, respectively.

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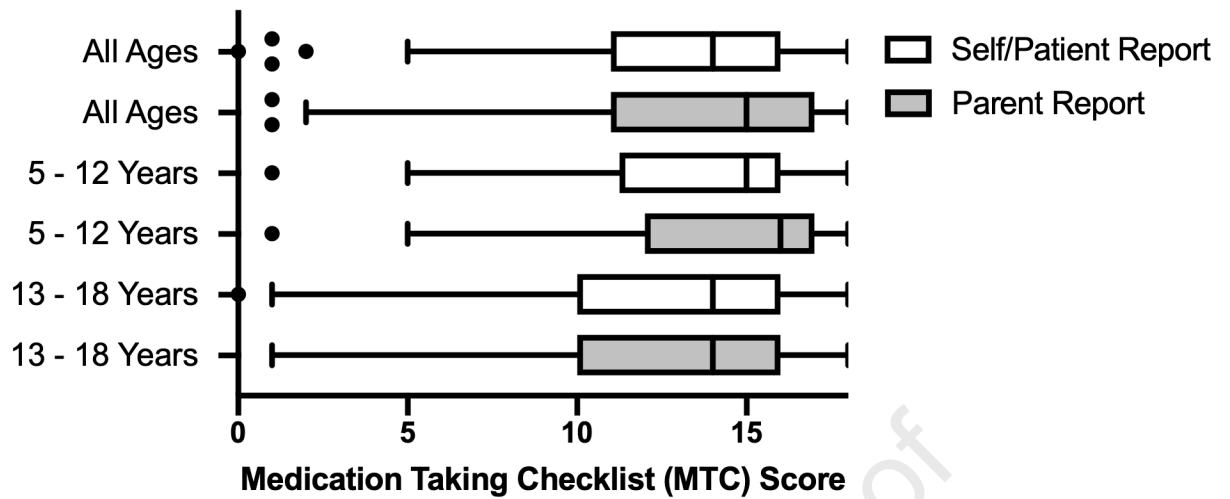


Figure 2. online only: Distribution of scores on the Medication-Taking Checklist (MTC), stratified by age. Possible scores range from 0–18 with higher scores indicative of having positive medication-taking behaviors. Self-report and parent-report was captured for all participants; however, for all statistical analysis involving MTC scores, parent-report was used for children ages 5–12 and self-report for ages 13–18.

Table 1:

Subject characteristics and type III p-values based on linear regression for association with adherence. Parent-reported adherence is used for children aged 5–12 years and self-reported adherence is used for children 13 years and older.

Subject Characteristic		P-value
Gender (N, %)		.78
Female	39 (33.3)	
Male	78 (66.7)	
Race (N, %)		.41
American Indian or Alaskan	1 (0.9)	
Asian	2 (1.7)	
Black/African American	1 (0.9)	
Other	8 (6.8)	
Prefer not to answer	4(3.4)	
White/Caucasian	101 (86.3)	
Ethnicity (N, %)		.37
Hispanic or Latino	15 (12.8)	
Not Hispanic or Latino	99 (84.6)	
Prefer not to answer	3 (2.6)	
Area Deprivation Index national ranking (mean ± SD)	25.5 (19.2)	.97
Household size (mean ± SD?)	4.7(±1.5)	.23
Age group, categorical (N, %)		.002
5–12 years old	60 (51.3)	
Adolescent (13–18 years old)	57 (48.7)	
Age in years at enrollment (mean ± SD)	12.0 (±3.4)	.001
Age in years at diagnosis (mean ± SD)	7.2 (±4.7)	.21
Disease duration in years (mean ± SD)	4.9 (±3.8)	.20
History of food impaction (N, %)	8 (6.8)	.68
History of dilation (N, %)	20 (17.2)	.49
Modality of swallowed steroid (N, %)		.15
Metered-dose inhaler	94 (80.3)	
Oral viscous solution	23 (19.7)	
Dosing Frequency (N, %)		.61
Once daily	20 (17.1)	
Twice daily	97 (82.9)	

Subject Characteristic		P-value
Concurrently avoiding food for EoE (N, %)	29 (24.8)	.17
Proton-pump inhibitor use (N, %)	45 (38.5)	.85
Person "in charge" of taking medicines (N, %)		.55
Both child and parent/guardian	54 (46.6)	
Child	31 (26.7)	
Parent/Guardian	31 (26.7)	
Perceived severity of disease (N, %)		.80
Mild	73 (62.4)	
Moderate	37 (31.6)	
Severe	7 (6.0)	

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Association between the Medication Taking Checklist and adherence rates. Parent-reported adherence is used for children aged 5–12 years and self-reported adherence is used for children 13 years and older.

Table 2:

Children (ages 5– 12 years)		Adolescents (ages 13 through 18 years)			
Medication-Taking Checklist	Association with adherence (Pearson r)	P-value	Medication-Taking Checklist	Association with adherence (Pearson r)	P-value
Total Score	0.65	<.001	Total Score	0.74	<.001
Individual items			Individual items		
Takes swallowed steroids “as instructed”	0.56	<.001	Takes swallowed steroids “as instructed”	0.69	<.001
Takes swallowed steroids when difficulty swallowing	0.11	.21	Takes swallowed steroids when difficulty swallowing	0.18	0.058
Takes swallowed steroids when feeling well	0.54	<.001	Takes swallowed steroids when feeling well	0.65	<.001
Takes swallowed steroids even when very busy	0.45	<.001	Takes swallowed steroids even when very busy	0.63	<.001
Takes swallowed steroids on school days	0.59	<.001	Takes swallowed steroids on school days	0.62	<.001
Takes swallowed steroids on weekends/holidays	0.51	<.001	Takes swallowed steroids on weekends/holidays	0.68	<.001
Takes swallowed steroids when something unexpected happens	0.52	<.001	Takes swallowed steroids when something unexpected happens	0.52	<.001
Takes swallowed steroids when traveling	0.40	<.001	Takes swallowed steroids when traveling	0.57	<.001
Makes plans for when he/she will take swallowed steroids	0.35	<.001	Makes plans for when he/she will take swallowed steroids	0.38	<.001

Table 3:

Association of perceived eosinophilic esophagitis (EoE) severity with the Pediatric Eosinophilic Esophagitis Symptoms Score V2.0 (PEESS) and the Pediatric Quality of Life Inventory™ Eosinophilic Esophagitis Module (PedsQL™ EoE). Scores on both the PEESS and PedsQL EoE range from 0 to 100, with a higher score indicative of more frequent and/or severe symptoms and better quality of life, respectively.

Age group: 5–12 years old							
Perceived Severity	N	PEESS Frequency Score (mean ± SD)	<i>P</i> -value	PEESS Severity Score (mean ± SD)	<i>P</i> -value	PedsQL™ EoE Score (mean ± SD)	<i>P</i> -value
Mild	40	25.5 ± 18.8	.002*	20.3 ± 19.1	.02*	77.4 ± 18.4	.003*
Moderate	19	41.6 ± 15.5		33.0 ± 18.0		61.4 ± 17.5	
Severe	1	40.9		33.3		48.5	
Age group: 13 – 18 years old							
Perceived Severity	N	PEESS Frequency Score	<i>P</i> -value	PEESS Severity Score	<i>P</i> -value	PedsQL™ EoE Score	<i>P</i> -value
Mild	33	24.6 ± 13.3	<.001	18.7 ± 17.9	<.001	74.3 ± 18.0	<.001
Moderate	18	43.2.6 ± 23.7		40.6 ± 23.5		56.8 ± 21.6	
Severe	6	59.8 ± 24.5		50.5 ± 20.1		40.0 ± 28.8	

* Due to the small sample size, the subject with perceived severe disease was not included in the statistical analysis.