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# Therapeutic Targeting of a7 Nicotinic Acetylcholine Receptors

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Abstract-The  $\alpha$ 7-type nicotinic acetylcholine receptor is one of the most unique and interesting of all the members of the cys-loop superfamily of ligand-gated ion channels. Since it was first identified initially as a binding site for  $\alpha$ -bungarotoxin in mammalian brain and later as a functional homomeric receptor with relatively high calcium permeability, it has been pursued as a potential therapeutic target for numerous indications, from Alzheimer disease to asthma. In this review, we discuss the history and state of the art for targeting  $\alpha$ 7 receptors, beginning with subtype-selective agonists and the basic pharmacophore for the selective activation of  $\alpha$ 7 receptors. A key feature of  $\alpha$ 7 receptors is their rapid desensitization by standard "orthosteric" agonist, and we discuss insights into the conformational landscape of  $\alpha$ 7 receptors that has been gained by the development of ligands binding to allosteric sites. Some of these sites are targeted by positive allosteric modulators that have a wide range of effects on the activation profile of the receptors. Other sites are targeted by direct allosteric agonist or antagonists. We include a perspective on the potential importance of  $\alpha$ 7 receptors for metabotropic as well as ionotropic signaling. We outline the challenges that exist for future development of drugs to target this

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<span id="page-1-0"></span>important receptor and approaches that may be considered to address those challenges.

Significance Statement—The x7-type nicotinic acetylcholine receptor (nAChR) is acknowledged as a poten-

#### I. Introduction

"To move is all mankind can do and for such, the sole executant is muscle, whether in whispering a syllable or felling a forest." These words by Charles Sherrington (Sherrington, 1947) draw attention to the most accessible and critically important synapses of the body: neuromuscular junctions. These synapses provide the starting point for all our studies of synaptic physiology and pharmacology. The nicotinic acetylcholine receptors (nAChRs) of the neuromuscular junction are the key mediators of this fundamental connection between the integrated output of the brain and our ability to manifest the desired output of our brain. These receptors were the first ligand-gated channels to be cloned and studied at the level of their single-channel current [reviewed in (Papke 2014)]. An appreciation that nicotine was one of the most widely used and subtle but psychologically compelling drugs to which we are exposed motivated great interest in looking for homologous receptors in the brain.

## II. Diversity of Nicotinic Acetylcholine Receptor

Early studies that probed the brain with radioligands identified two distinct and largely nonoverlapping populations of candidate receptors, with one population binding nicotine and acetylcholine (ACh) with high affinity and the other binding the snake toxin  $\alpha$ -bungarotoxin ( $\alpha$ -BTX) (Clarke et al., 1985). The biochemical isolation of the high-affinity nicotine-binding proteins of brain (Whiting and Lindstrom, 1986) was achieved at about the same time as the subunits for these receptors were cloned and heterologously expressed in Xenopus oocytes (Boulter et al., 1987). Despite having distinct pharmacological properties from the nAChRs of the neuromuscular junction, the highaffinity nicotine receptors of the brain were in many ways similar nAChRs. Functional receptors form as complexes of five subunits, which are heteromeric, requiring at least two different types of subunits (Cooper tially important therapeutic target with functional properties associated with both ionotropic and metabotropic signaling. The functional properties of  $\alpha$ 7 nAChR can be regulated in diverse ways with the variety of orthosteric and allosteric ligands described in this review.

et al., 1991). One type, designated  $\alpha$  subunits, contains essential primary elements of the ACh binding site, whereas other subunits contain complementary elements of the binding sites, which form at subunit interfaces. Each subunit in the nAChR pentameric complex has an extracellular domain followed by three transmembrane helices, a variable hydrophilic intracellular loop (Stokes et al., 2015), and a fourth hydrophobic transmembrane span. Eight different genes (CHRNA2, CHRNA3, CHRNA4, CHRNA5, CHRNA6, CHRNB2, CHRNB3, and CHRNB4) have been cloned from mammalian brain coding for the nAChR subunit proteins of these heteromeric neuronal receptors:  $\alpha$ 2,  $\alpha$ 3,  $\alpha$ 4,  $\alpha$ 5,  $\alpha$ 6,  $\beta$ 2,  $\beta$ 3, and  $\beta$ 4 (Wang et al., 1996; Forsayeth and Kobrin, 1997; Gerzanich et al., 1997). Notably,  $\alpha$ 9 and  $\alpha$ 10 subunits have also been cloned (CHRNA9, and CHRNA10), and although  $\alpha$ 9 forms functional receptors when expressed alone, together these subunits can also form heteromeric receptors in unique locations outside the brain (Elgoyhen et al., 1994, 2001). Functional heteromeric neuronal-type receptors containing  $\alpha$ 2,  $\alpha$ 3,  $\alpha$ 4, or  $\alpha$ 6 must also contain a  $\beta$  subunit ( $\beta$ 2 or  $\beta$ 4) (Wang et al., 1996; Gerzanich et al., 1997, 1998; Dowell et al., 2003).

Although a relatively minor subtype of nAChR in the brain, receptors containing  $\alpha$ 3 subunits are of primary importance in autonomic ganglia, where they mediate the synaptic transmission through the ganglia (Wang et al., 2002). In the brain though, most high-affinity heteromeric receptors contain  $\alpha$ 4 subunits usually in combination with  $\beta$ 2. Although these high-affinity nAChRs of the brain certainly have high structural and sequence homology to the receptors of the neuromuscular junction, they are not easily amenable to study in situ (Heinemann et al., 1990) due to fact that they are primarily located at presynaptic terminals (Wonnacott, 1997; Dani, 2001). The functional analogs of nAChRs in the brain that mediate the majority of fast excitatory transmission are structurally unrelated receptors activated by glutamate (Traynelis et al., 2010).

Although we began to gain some understanding about the high-affinity receptors in the brain facilitated by the use of heterologous expression systems (Deneris et al.,

ABBREVIATIONS: ACh, acetylcholine; AChBP, acetylcholine binding protein; a-BTX, a-bungarotoxin; CAP, cholinergic anti-inflammatory pathway; diEPP, 1,1-diethyl-4-phenylpiperazinium; DPP, dipicolylaminopyrimdine; EVP-6124, (R)-7-chloro-N-quinuclidin-3-yl)benzo[b]thiophene-2-carboxamide; FLIPR, Fluorescent Imaging Plate Reader; FRM-17874, (R)-7-fluoro-N-quinuclidin-3-yl)benzo[b]thiophene-2-carboxamide; GAT107, 4-(4-bromophenyl)-3a,4,5,9b-tetrahydro-3H-cyclopenta[c]quinoline-8-sulfonamide; IL, interleukin; KC-1, 5'-phenylanabaseine, 6'-phenyl-3,4,5,6-tetrahydro-2,2'-bipyridine; MLA, methyllycaconitine; nAChR, nicotinic acetylcholine receptor; 2-NDEP, 1,1-diethyl-4(naphthalene-2 yl)piperazin-1-ium iodide; NOR, novel object recognition; OA, orthosteric activation; PAM, positive allosteric modulator; PHA-543,613,N-[(3R)-1 azabicyclo[2.2.2]oct-3-yl]furo[2,3-c]pyridine-5-carboxamide; PHA-709829, N-[(3R,5R)-1-azabicyclo[3.2.1]oct-3-yl]furo[2,3-c]pyridine-5-carboxamide; PNU-282987, N-[(3R)-1-azabicyclo[2.2.2]octan-3-yl]-4-chlorobenzamide; PNU-120596, 1-(5-chloro-2,4-dimethoxy-phenyl)-3-(5-methyl-isoxazol-3 yl)-urea; RIC-3, resistance to cholinesterase 3; STAT3, signal transducer and activator of transcription 3; TNF, tumor necrosis factor-a.

<span id="page-2-0"></span>1988; Wada et al., 1988; Duvoisin et al., 1989; Papke et al., 1989a,b; Luetje et al., 1990; Papke, 2014), for a number of years the nature of the  $\alpha$ -BTX binding sites in the brain remained a mystery (Carbonetto et al., 1978; Hunt and Schmidt, 1978; Oswald and Freeman, 1981; Marks et al., 1986; Wonnacott, 1986; Schoepfer et al., 1990) until the cloning of the  $\alpha$ 7-subunit gene (CHRNA7) (Bertrand et al., 1992; Seguela et al., 1993). An additional a-BTX neuronal nAChR subunit, a8, was also discovered (Gotti et al., 1994). It is expressed in chick retina where it forms functional receptors, but there is no mammalian homolog.

One of the first unique properties noted for  $\alpha$ 7 receptors was that they formed functional receptors without the coexpression of additional complementary subunits, suggesting the potential presence of five low-affinity ACh binding sites at the  $\alpha$ 7- $\alpha$ 7 subunit<br>interfaces (Palma et al. 1996). It has been shown interfaces (Palma et al., 1996). It has been shown that  $\alpha$ <sup>7</sup> receptors have intrinsically low probability of opening in response to ACh alone because of the existence of desensitized states associated with high levels of agonist occupancy (Uteshev et al., 2002; Williams et al., 2011a,b; Williams et al., 2012; Andersen et al., 2013), as reviewed in Papke and Lindstrom (2020). When activated by ACh alone, the  $\alpha$ 7 nAChR has other unique physiologic and pharmacological properties that distinguish it, including a high permeability to calcium (ratio of calcium to sodium permeability  $\approx 10$ ), rapid and reversible desensitization, and pronounced inward rectification (Seguela et al., 1993). In contrast, the ratio of calcium to sodium permeability of the nAChR in rat ganglionic neurons (Adams and Nutter, 1992) has been shown to be only 0.65:1.

The  $\alpha$ 7 subunit is highly expressed in the hippocampus and hypothalamus (Seguela et al., 1993; Dominguez del Toro et al., 1994) and has functionally important expression in non-neuronal tissues, such as cells of the immune system (Wang et al., 2003). a7 receptors are also selectively activated by choline (Papke et al., 1996) and are therefore ideally suited to respond to manifestly different kinds of signals, including localized tissue damage and paracrine signals. Human  $\alpha$ 7 receptors expressed in Xenopus oocytes have functional properties that correspond well to those of  $\alpha$ 7 responses of cultured hippocampal neurons (Lindstrom et al., 1984; Alkondon et al., 1994; Alkondon and Albuquerque, 1995; Papke and Porter Papke, 2002) and native neuronal tissues (Uteshev et al., 2002). However, functional expression of  $\alpha$ 7 receptors in transfected cells was found to be difficult to achieve until the discovery of the molecular chaperone resistance to cholinesterase 3 (RIC-3) (Halevi et al., 2003), which allowed for functional expression in a variety of cell lines (Williams et al., 2005). Subsequently, NACHO, an alternative chaperone protein, was discovered (Gu et al., 2016), which may be at least as

important as RIC-3 for nAChR function in the brain (Matta et al., 2017; Deshpande et al., 2020).

In this review, we focus primarily on pharmacological tools used to study a7 nAChRs. However, it should also be noted that transgenic animals and gene-delivery methodology provide alternative supplementary approaches for the study of  $\alpha$ 7 function in vivo.  $\alpha$ 7 knockout mice have widely been used, both for the study of  $\alpha$ 7 in the central nervous system (Stoker and Markou, 2013; Koukouli et al., 2016) and in the periphery (Alsharari et al., 2013). Additionally, conditional knockouts of  $\alpha$ 7 have been generated using the Cre-Lox approach (Hernandez et al., 2014).  $\alpha$ 7 has also been studied with animals made suitable for optogenetic stimulation of cholinergic fibers (Grybko et al., 2011) and with  $\alpha$ 7 gene delivery to increase  $\alpha$ 7 expression in specific brain regions (Ren et al., 2007). Immunohistochemistry is a common tool used to sort out the roles for specific receptor subtypes, but the use of the  $\alpha$ 7 knockout mice has revealed that  $\alpha$ 7 antibodies should be used with caution since they detect putative  $\alpha$ <sup>7</sup> protein signals in knockout animals (Herber et al., 2004; Garg and Loring, 2017). As  $\alpha$ 7 antibodies have questionable reliability, fluorescently tagged  $\alpha$ <sup>7</sup> proteins (Palma et al., 2002) have been shown to be useful tools (Lee et al., 2009; Rogers et al., 2012).

#### III. a7 Receptors as Therapeutic Targets

Alzheimer disease, Parkinson disease, Lewy-body dementia, and schizophrenia are all characterized by decreased expression of nAChRs in the brain (Schröder et al., 1991a,b; Lange et al., 1993; Freedman et al., 1995; James and Nordberg, 1995; Perry et al., 1995; Nordberg et al., 1997; Spurden et al., 1997; Gotti et al., 2006). Normal aging results in a loss of cholinergic function and an impairment in normal learning ability that can be temporarily modulated by nicotine or nicotinic compounds (Arendash et al., 1995; Levin and Torry, 1996; Prendergast et al., 1997). Based on these types of data, a number of attempts are ongoing to develop clinical strategies for treatment of both disease-related and senile dementia that target neuronal nAChRs (Bhat et al., 1990; Weinstock, 1995; Wilson et al., 1995; Snaedal et al., 1996; Kihara et al., 1997; Robbins et al., 1997; Woodruff-Pak and Hinchliffe, 1997; Zamani et al., 1997; Russo et al., 2012, 2014). Unfortunately, to date, no trials have been successful at bringing a drug to market. In some cases, this may have been due to lack of efficacy, and in other cases it may have been due to unforeseen adverse effects (Yang et al., 2017; Manetti et al., 2018; Terry and Callahan, 2019, 2020). It remains to be the case that new discoveries and research directions are required to provide some hope that future trial outcomes might be improved.

Drugs that appear active in preclinical models for cognitive disorders typically have significant efficacy <span id="page-3-0"></span>for activation of the  $\alpha$ 7 ion channel (Briggs et al., 2009; Pieschl et al., 2017). A second major new direction for the development of  $\alpha$ 7-based therapeutics is for the treatment of inflammatory diseases and pain (Wang et al., 2003). Research in this area began with the discovery of the role of  $\alpha$ 7 nAChR in the vagal-mediated cholinergic anti-inflammatory pathways (CAPs) (Borovikova et al., 2000; van Westerloo et al., 2006; Pavlov et al., 2007; Rosas-Ballina et al., 2009; Rosas-Ballina and Tracey, 2009). Discovery of the CAPs provided impetus to discover drugs for inflammatory diseases and inflammation-related pain. This also gave compelling motivation to reconsider our view of  $\alpha$ 7 and other nAChRs strictly as mediators of transmembrane signals that rely on channel-mediated ion flux. The nonneuronal cells that mediate  $\alpha$ 7's control of inflammation have not been shown to generate  $\alpha$ 7-mediated currents. Moreover, some  $\alpha$ 7-targeting ligands that can effectively control inflammation are "silent agonists," ligands with little or no efficacy for ion-channel activation but the ability to induce nonconduction states that may be associated with signal transduction (Thomsen and Mikkelsen, 2012a; Clark et al., 2014; Papke et al., 2015a; van Maanen et al., 2015; Quadri et al., 2018a). The role of  $\alpha$ 7 in CAP involves signaling through the Jak2/STAT3 pathway; decreasing levels of proinflammatory cytokines, such as TNF- $\alpha$ , IL-1 $\beta$ , and IL-6 through inhibition of nuclear factor  $\kappa$ B activation; and increasing levels of anti-inflammatory cytokines, such as IL-10 (de Jonge et al., 2005; Chatterjee et al., 2009; Marrero and Bencherif, 2009; Egea et al., 2015; Zhang et al., 2017). Evidence for the role of the Jak2/STAT3 signaling in CAP has come primarily from studies that have shown a correlation between the effects of nicotine (Li et al., 2020b) or a7-selective agonists (Krafft et al., 2017; Zhang et al., 2020b) on inflammation-associated cytokines and the relative levels of phosphorylated and nonphosphorylated Jak2 and STAT3 with Western blot analyses. These effects were shown to be sensitive to  $\alpha$ 7 antagonists (de Jonge et al., 2005; Li et al., 2020b; Zhang et al., 2020b), small interfering RNA knockdown of  $\alpha$ 7 (Fei et al., 2017), or the Jak2 antagonist AG490 (de Jonge et al., 2005; Fei et al., 2017; Krafft et al., 2017). However,  $\alpha$ 7 nAChR has a large and diverse intracellular interactome (Paulo et al., 2009), and it remains to be determined whether there is a direct interaction of the  $\alpha$ 7 nAChR protein itself with the Jak2/STAT3 pathway or whether the effects rely on other intracellular intermediates.

Even the  $\alpha$ 7 agonists that are most efficacious for producing channel activation elicit only brief and infrequent ion-channel currents and are far more effective at inducing and, in some cases, maintaining the receptors in nonconducting states, which have traditionally been dismissed as desensitized and functionally unimportant (Williams et al., 2011b). However,

accumulating data suggest that the prejudice that the ligand-bound nonconducting states of nAChRs are all functionally unimportant should be discarded. Just as conformational changes promoted by ligand binding extend through the transmembrane domains, they must also extend into the intracellular domain and likely regulate signal-transduction processes in both neuronal and non-neuronal cells.

In this review, we will cover multiple pharmacological approaches to the therapeutic targeting of  $\alpha$ 7 nAChRs and how they have evolved as our perspectives have improved over the last 2 decades to include targeting the orthosteric agonist (i.e., ACh) binding site as well as more recently discovered sites for allosteric modulators (Williams et al., 2011c) and activators (Horenstein et al., 2016; Gulsevin et al., 2019; Toma et al., 2019), also considering metabotropic as well as ionotropic signaling.

#### IV. a7-Selective Agonists

A. Older Ligands and Structures. The first and arguably most direct approach for the selective targeting of  $\alpha$ 7 was with the identification of  $\alpha$ 7-selective agonists that activated  $\alpha$ 7 receptors but not other nAChR subtypes. One of the first such agents to be identified was GTS-21 (3-[(2,4-Dimethoxy)benzylidene]-anabaseine, GTS-21 is a benzylidene anabaseine, Fig. 2, top right, where R1 and R2 are OCH3 (methoxy) groups) (Meyer et al., 1997). GTS-21 is a partial agonist for  $\alpha$ . receptors that has remained one of the standard drugs in the field, with more than 20 PubMed citations in 2020 alone. However, it should be noted that GTS-21 is something of a complicated drug in that it inhibits 5HT3 receptors (Gurley and Lanthorn, 1998) and other nAChR subtypes (Briggs et al., 1997) and produces protracted desensitization of  $\alpha$ 7 receptors after activation (Papke et al., 2009). As we will discuss later, some of these unusual properties may very well be why the drug continues to be useful as the field is expanding the extent of potential indications.

The range of  $\alpha$ 7-selective agonists widened rapidly after the identification of GTS-21, as numerous drug companies established programs in the area. Progress in the field was presented in a paper published in 2008 (Horenstein et al., 2008) that discussed numerous published structures (Fig. 1A) and, by comparing selective and nonselective drugs of multiple structural families, identified three structural motifs that could be applied to a nonselective agonist to produce an analog that was  $\alpha$ 7-selective. One motif was associated with the hydroxyl group that was present in the  $\alpha$ 7selective agonist choline but not present in the nonselective agonist ethyl-trimethyl-ammonium (Papke et al., 1996). A second was identified as the "tropane



Fig. 1. Compounds used to determine the structural motifs of  $\alpha$ 7-selective agonists as presented in (Horenstein et al. 2008). (A)  $\alpha$ 7-Selective agonists are in red boxes compared with related compounds that are not selective for  $\alpha$ 7. The highlighted compounds are tilorone (2,7-bis[2-(diethylamino)ethoxy]fluoren-9-one dihydrochloride) (Briggs et al.,2008); A-844606 (2-(5-methyl-hexahydro-pyrrolo[3,4-c]pyrrol-2-yl)-xanthen-9-one) (Briggs et al., 2008); ACME (cis-1-methyl-2,3,3a,4,5,9b,-hexahydro-1H-pyrrolo[3,2-h]isoquinoline) (Papke et al., 2005b); S 24795 (2-[2-(4-bromophenyl)-2-oxoethyl]- 1-methyl pyridinium) (Lopez-Hernandez et al., 2007); tropane ((1R,5S)-8-methyl-8-azabicyclo[3.2.1]octane) (Papke et al., 2005a); tropinone (8-methyl-8-azabicyclo[3.2.1]octan-3-one) (Papke et al., 2005a); tropisetron ([(1R,5S)-8-methyl-8-azabicyclo[3.2.1]octan-3-yl] 1H-indole-3-carboxylate) (Macor et al., 2001; Papke et al., 2005a); cocaine methiodide, (methyl (1R,2R,3S,5S)-3-benzoyloxy-8,8-dimethyl-8-azoniabicyclo[3.2.1]octane-2-carboxylate) (Francis et al., 2001); AR-R17779 ((-)-Spiro-1-azabicyclo[2.2.2]octane-3,5'-oxazolidin-2'-one) (Mullen et al., 2000; Papke et al., 2004); JN403 ((S)-<br>(1-azabicyclo[2.2.2]oct-3-yl)-carbamic acid (S)-1-(2-fluoro-phenyl)-ethy (1-azabicyclo[2.2.2]oct-3-yl)-carbamic acid (S)-1-(2-fluoro-phenyl)-ethyl ester) (Feuerbach et al., 2007); ABBF (N-[(3R)-1-azabicyclo[2.2.2]oct-3-yl]-7- [2-(methoxy)phenyl]-1-benzofuran-2-carboxamide) (Boess et al., 2007); PNU-282987 (Bodnar et al., 2005); PHA-543,613 (Acker et al., 2008); compound  $15b$  ((+)-3-[2-(benzo[b]thiophen-2-yl)-2- oxoethyl]-1-azabicyclo[2.2.2]octane) (Tatsumi et al., 2004); compound 25 ((R)-3'-(5-chlorothiophen-2-yl)spiro-1azabicyclo[2.2.2]octane-3,5'-[1',3']oxazolidin-2'-one) (Tatsumi et al., 2004); compound 23 ((R)-3'-(5-iodothiophen-2-yl)spiro [1-azabicyclo[2.2.2]octane-3,5′-[1',3′]oxazolidin]-2'-one) (Tatsumi et al., 2004); PSAB-OFP, ((*R*)-(-)-5′phenylspiro[1-azabicyclo[2.2.2] octane-3,2'-(3′H)furo[2,3-b]pyridine) (Broad et al., 2002); SSR-180711 (1,4-diazabicyclo[3.2.2]nonane-4-carboxylic acid, 4-bromophenyl ester) (Biton et al., 2007); TC-1698 (2-(3-pyridyl)-1-azabicyclo[3.2.2]nonane) (Marrero et al., 2004); and PHA-709829 (Acker et al., 2008). (B) Modified quinuclidine a7-selective agonists: quinuclidinol (1-azabicyclo[2.2.2]octan-3-ol) (Horenstein et al., 2008); methyl-quinuclidine (1-methyl-1-azoniabicyclo[2.2.2]octane iodide) (Horenstein et al., 2008); and BQNE  $((E)$ -3-benzylidene-1-azoniabicyclo-[2.2.2]octane chloride) (Horenstein et al., 2008).

<span id="page-5-0"></span>motif" based on the structural dissection of tropisetron (Papke et al., 2005a). The third, "benzylidene motif," was identified in distinguishing the  $\alpha$ 7-selective GTS-21 from the parent compound anabaseine, which activates multiple nAChR subtypes (Kem et al., 1997). In the 2008 study, it was shown that the nonselective agonist quinuclidine could be modified with any of the three motifs identified to generate a new  $\alpha$ 7-selective compound (Fig. 1B) (Horenstein et al., 2008).

B. Identification via Compound Screening. The process of identifying selective agonists typically involves many steps, and with large-scale programs the first step is running radioligand screens with cells or tissues expressing the target receptor and off-target receptors of interest. This first step, which identifies high-affinity ligands but does not distinguish between agonist and antagonist, must then be followed up with functional assays. Large-scale programs have generally relied on high-throughput screening with automated measurements using transfected cell lines and fluorescent indicators that typically measure changes in intracellular calcium, which is presumed to be a downstream reporter of receptor activation. In some cases, especially in smaller studies, these are followed up with patch-clamp or voltage-clamp studies. However, in most large-scale studies no actual raw data are provided, only tabulated summaries. Although these approaches are generally thought to be amenable to the study of heteromeric receptors expressed in cell lines, they are less suitable for the study of  $\alpha$ 7 receptors. Even when applied to heteromeric receptors, these approaches can lead to erroneous conclusions due to the pharmacological differences in receptors with varying subunit stoichiometry, a factor that cannot be directly controlled in transfected cells. For example, the initial characterization of Sazetidine-A (Xiao et al., 2006) claimed that it desensitized  $\alpha$ 4 $\beta$ 2 nAChRs without activating them. However, it was later shown that this was only the case for the receptor configuration with three  $\alpha$  subunits and two  $\beta$  subunits (Zwart et al., 2008). For receptors with the reverse subunit ratio, Sazetidine-A is a potent full agonist.

Because of its special properties discussed above,  $\alpha$ 7 nAChRs remain difficult to study with high-throughput cell-based assays, which has often led to compromised approaches, such as the use of nondesensitizing a7-5HT3 chimeric receptors (Craig et al., 2004; O'Donnell et al., 2010) or by amplifying responses with an allosteric modulator (Arunrungvichian et al., 2015; Kaczanowska et al., 2017). However, both of these approaches yield receptors with properties atypical of native a7 receptors activated by ACh (Dinklo et al., 2006; Gee et al., 2007; Miller et al., 2020; Papke and Lindstrom, 2020). Likewise, high-throughput Fluorescent Imaging Plate Reader (FLIPR) assays (Dunlop et al., 2007), which rely on calcium signals (Skidmore et al.,

2012; Zanaletti et al., 2012b; Hill et al., 2016; Iwuagwu et al., 2017), are most likely reporting downstream signaling and not ion-channel currents (King et al., 2018; Miller et al., 2020) and may suggest a significantly higher potency than what may be obtained with traditional electrophysiological methods (Haydar et al., 2009). Because of these limitations, many of both older studies (Horenstein et al., 2008) and more recent work (Tietje et al., 2008; Malysz et al., 2010; Marrero et al., 2010; Prickaerts et al., 2012; Yamauchi et al., 2012; Zanaletti et al., 2012a; Feuerbach et al., 2015; Tang et al., 2015) identifying  $\alpha$ 7-selective agonists rely on receptors expressed in Xenopus oocytes. Although  $\alpha$ 7 receptors give large reliable responses when expressed in oocytes, there are nonetheless also special concerns that are not always well addressed in these studies. For example, most often responses are measured in terms of peak currents only, and in the case of  $\alpha$ 7 receptor responses, the amplitude of peak currents is more a function of the synchronization to receptor activation that occurs in advance of the full drug application than it is a measure of the concentration dependence of receptor activation (Papke and Thinschmidt, 1998; Papke and Porter Papke, 2002). Additionally, the reversibility of drug-induced desensitization and the cumulative effects of desensitization with repeated drug applications are concerns that are seldom well addressed or even considered [for example see (Prickaerts et al. 2012)].

The basic methods and conclusions of the studies that characterized the compounds in Fig. 1 have been previously summarized (Horenstein et al., 2008). Although some of these compounds like cis-1-methyl-2,3,3a,4,5,9b,-hexahydro-1H-pyrrolo[3,2-h]isoquinoline (Papke et al., 2005b), PHA-709829 (Acker et al., 2008), and the cinnamylidene anabaseines (de Fiebre et al., 1995; Meyer et al., 1998) have had relatively little impact on the field, others like GTS-21 and PNU-282987 (Bodnar et al., 2005) have proven to be useful experimental tools and are cited in 129 and 165 papers, respectively. Additionally, as a drug already approved for use in humans, tropisetron has been tested with humans suffering from schizophrenia for its ability to improve deficiencies in auditory gating (Koike et al., 2005; Zhang et al., 2012).

As will be discussed in detail below, two forms of  $\alpha$ . activity, channel-based and signal-transduction, may point separately to cognitive functions and regulation of the immune system, respectively (Briggs et al., 2009; Horenstein and Papke, 2017). One application that may fall in between is in regard to the symptomatic management of schizophrenia, in which the desensitizing partial agonist GTS-21 has received particular attention (Martin et al., 2004; Martin and Freedman, 2007; Kem et al., 2018). Although smoking is on a slow decline in the general population, the incidence of smoking remains especially high in people <span id="page-6-0"></span>with schizophrenia (Mallet et al., 2017), in which it seems that smoking serves as a sort of self-mediation, providing some of the relief that might be obtained with  $\alpha$ 7-based therapies (Mackowick et al., 2012). Unfortunately, the population of schizophrenics that smoke probably have developed the same kind of dependence that normal smokers must deal with, a dependence that is normally associated with the effects of nicotine on the heteromeric receptors in the brain (Papke et al., 2020a). Therefore, the management of the smoking behavior in schizophrenics may require novel cessation therapies that address both  $\alpha$ 7 stimulation and attenuation of the dependence that is due to the heteromeric nAChRs.

C. New Compounds and Structures. Shown in Fig. 2 are a7-selective agonists that have been identified since the 2008 study. Data related to these compounds are summarized in Table 1. It should be noted that this survey omits two agents that are reputed to be a7-selective agonists and have actually been used in clinical trials, (4s)-4-(5-phenyl-1, 3, 4-thiadiazol-2 yloxy)-1-azatricyclo<sup>[3.3.1.1<sup>3, 7</sup>]decane (Haig et al.,</sup> 2018) and R3487/MEM3454 (Huang et al., 2014), because there are no published structures or basic research published to establish their  $\alpha$ 7 activity. It should also be noted that many of the compounds in Fig. 2 are the leads from studies of multiple compounds in the studies referenced in Table 1, as indicated. The 19 compounds shown and listed were drawn from a total of roughly 400 actually reported. A common structural feature of  $\alpha$ 7-selective agonists is the presence of a nitrogen center that is sufficiently basic to be protonated. The resulting ammonium group is what traditionally has been considered the minimal pharmacophoric element. However, a few possible exceptions to this "rule" have emerged with the DPP compounds discussed below. Some of the members of this family feature a core aminopyrimidine ring, which has been considered to have sufficiently weak basicity based on NMR titrations, that they may bind to the receptor in unprotonated form. In addition to those compounds presented in Fig. 2 and described in Table 1, there have been several other notable medicinal chemistry characterizations, including an in situ click-chemistry study using acetylcholine binding protein (AChBP) (Yamauchi et al., 2012), a family of 4-heteroarylamino-1'-azaspiro[oxazole-5,3'-bicyclo[2.2.2]octanes] (Hill et al., 2016), a series of spirocyclic quinuclidinyl-d2-isoxazoline derivatives (Dallanoce et al., 2011), spiroguanidine-derived  $\alpha$ 7 neuronal nicotinic receptor partial agonists (Hill et al., 2017), and a series of agonists with a 1,3,4-oxadiazol-2 amine core. These studies account for an additional 124 compounds. With so many potential compounds available, an important question is whether any of them really stand out as major new discoveries.

D. Functional Properties of a7-Selective Agonists. One compound that has drawn a fair amount of attention since it was first published in 2012 and actually advanced to clinical trials for Alzheimer disease (Barbier et al., 2015) and schizophrenia (Preskorn et al., 2014) is EVP-6124. One thing that made EVP-6124 stand out in its initial characterization was the claim that EVP-6124 (as well as its derivative FRM-17874), based on the study of peak currents in Xenopus oocytes in addition to its acting as an agonist of  $\alpha$ 7, could at low concentration potentiate the activity of the normal neurotransmitter ACh. As noted above, there are caveats and limitations to the analysis of  $\alpha$ 7 peak currents that are not always appreciated. In the case of the putative potentiation of ACh responses by EVP-6124, as shown in Fig. 3, this is not a special property of EVP-6124 but rather a special property of  $\alpha$ 7 receptors. Essentially the same effect can be obtained by priming the ACh responses with a low concentration of ACh to give a larger (i.e., more synchronized) peak current response.

For the most part, all of the recent characterizations of a7 agonists have focused solely on receptor ion-channel activation. One lesson that might be learned from GTS-21, a compound used in well over 200 studies, is that there is more to a potentially useful drug than how well it produces transient activation of the channel. Like all nAChRs,  $\alpha$ 7 receptors have multiple conformational states, including several nonconducting states that, although classified as desensitized, may be associated with the signal-transduction processes that underlie CAP, which is something that will later be discussed in greater detail under the topic of silent agonists. As noted above, in addition to activating  $\alpha$ 7 receptors, GTS-21 produces desensitization that persists for a significant period of time (Papke et al., 2009). Of all of the studies referenced in Table 1, the desensitizing properties of the agents were only considered of interest with the DPP compounds (Camacho-Hernandez et al., 2019). Note that these compounds were originally introduced as 4,6-disubstituted-2-aminopyrimidines; however, with further consideration of their structures, (P. Taylor personal communication) the nomenclature of these compounds should be based on their core structure as N,N-dipicolyl amino pyrimidines. The family can further be divided into "DPP" compounds and "2-amino-dipicolylaminopyrimidine" compounds, wherein the prefix stands for an additional amino substitution at position 2 of the pyrimidine ring.

E. Translational Development. Notwithstanding the DPP compounds, which certainly merit more detailed studies and evaluation with in vivo models, it is unclear whether the hundreds of new  $\alpha$ 7-selective agonists identified since 2008 have really advanced the field very far. None have really proven themselves in clinical trials, and as experimental tools, it remains to be seen whether any will surpass the utility of agents



Fig. 2. Recently identified putative a7-selective agonists (see Table 1). A-582941 (2-methyl-5-[6-phenylpyridazin-3-yl]octa- hydropyrrolo[3,4-c]pyrrole) (Tietje et al., 2008); ABT-107, 5-(6-[(3R)-1-azabicyclo[2,2,2]oct-3-yloxy] pyridazin-3-yl)-1H-indole (Malysz et al., 2010); ABT-126, (4s)-4-(5-phenyl-1, 3,<br>4-thiadiazol-2-yloxy)-1-azatricyclo[3.3.1.1<sup>3, 7</sup>]decane (Haig et bach et al., 2015); AZD0328, (20 R)-spiro-[1-azabicyclo[2.2.2]octane-3,20 (30 H)-furo[2,3-b]pyridine] D-tartrate (Sydserff et al., 2009); BMS-933043, (2R)-N-(6-(1H-imidazol-1-yl)-4-pyrimidinyl)-40 H-spiro[4-azabicyclo[2.2.2]octane-2,50 -[1,3]oxazol]-20 -amine (Cook et al., 2016; Pieschl et al., 2017); BMS-910731, *N*-(6-methyl-1,3-benzoxazol-2-yl)-3′,5′-dihydro-4-azaspiro[bicyclo[2.2.2]octane-2,4'-imidazole]-2'-amine (Hill et al., 2017); BMS-902483, (1S,2R,4S)-N-isoquinolin-3-yl)-4'H-4-azaspiro[bicyclo[2.2.2]octane-2,5′oxazol]-2′-amine (Hill et al., 2016; 28105289) (Cook et al., 2016); Br-IQ17B, N-[(3R)-1- azabicyclo[2,2,2]oct-3-yl]-5-bromoindolizine-2-carboxamide (Tang et al., 2015); CP-810,123, 4-(5-methyloxazolo[4,5-b]pyridin-2-yl)-1,4-diazabicyclo[3.2.2]nonane (O'Donnell et al., 2010); EVP-6124 (Prickaerts et al., 2012); FRM-17874 (Stoiljkovic et al., 2015); NS6784, 2-(1,4-diazabicyclo[3.2.2] nonan-4-yl)-5-phenyl-1,3,4-oxadiazole (Briggs et al., 2009); SEN12333, WAY-317538 5-morpholin-4-yl-pentanoic acid (4-pyridin-3-yl-phenyl) amide (Roncarati et al., 2009); SEN15924, WAY-361789, 5-(4-acetyl[1,4]diazepan-1-yl)pentanoic acid [5-(4-methoxyphenyl)-1H-pyrazol-3-yl] amide (Zanaletti et al., 2012b); SEN78702, WYE-308775, N-[5-(5-fluoropyridin-3-yl)-1H-pyrazol-3-yl]-4-piperidin-1-ylbutyramide (Zanaletti et al., 2012a); TC-7020, [5-methyl-N-[2-(pyridin- 3-ylmethyl)-1-azabicyclo[2.2.2]oct-3-yl]thiophene-2-carbox-amide (Marrero et al., 2010); and 5-(1-((1S,3R)-8-methyl-8-azabicyclo[3.2.1]octan-3-yl)-1H-1,2,3-triazol-4-yl)-1H-indole (TTIn-1) and related compounds (Arunrungvichian et al., 2015).

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(continued)

## The<br>rapeutic Targeting of  $\alpha7$  nAChR  $1127$



<span id="page-10-0"></span>

BTX, bungarotoxin; CRC, concentration response curve; HEK, human embryonic kidney; HERG, human Ether-à-go-go-Related Gene; LTP, long-term potentiation; TEVC, two-electrode voltage-clamp.

like PNU-282987, PHA-543,613, and GTS-21, which are already commonly used. Moreover, it is rumored that many of the programs in this area by the large pharmaceutical companies like Pfizer (Malysz et al., 2010; O'Donnell et al., 2010; Zanaletti et al., 2012b), Abbott (Tietje et al., 2008; Briggs et al., 2009), Astra-Zeneca (Sydserff et al., 2009), Bristol-Myers Squibb (Cook et al., 2016; Hill et al., 2016, 2017; Iwuagwu et al., 2017; Pieschl et al., 2017), Wyeth (Haydar et al., 2009), Novartis (Feuerbach et al., 2015), Bayer (in partnership with EnVivo) (Prickaerts et al., 2012), Targacept (Marrero and Bencherif, 2009), GlaxoSmithKline (Skidmore et al., 2012), and Servier (Beracochea et al., 2008) have been discontinued. Although some have left the field of nicotinic receptor research entirely, others have



**Fig. 3.** Apparent potentiation of  $\alpha$ 7 peak current responses by tonic low concentrations of agonist. (A) Averaged normalized responses (±S.E.M.) of oocytes ( $n = 7$ ) expressing human  $\alpha$ 7 to repeated applications of ACh. After the first two control applications, the bath solution was switched to Ringer's solution containing 300 nM ACh. (B) Peak current response data of "representative" (i.e.,  $n = 1$ ) responses of an  $\alpha$ 7-expressing oocyte extrapolated from Figure 6B of (Prickaerts et al. 2012).

shifted their efforts away from targeting the orthosteric agonist binding site and toward allosteric modulators.

## V. a7-Positive Allosteric Modulators

A. Functional Modulation and a7 Nicotinic Acetylcholine Receptor Structure. Like all nAChRs, the  $\alpha$ 7 receptor is an allosteric protein [reviewed in (Papke and Lindstrom 2020)] with multiple ligand binding sites that interact to determine the conformational and functional dynamics of the receptor. Considering first the ACh or orthosteric binding sites, as mentioned earlier, these are configured in the extracellular domain at the subunit interfaces. Early mutagenesis studies with heteromeric muscle-type nAChRs [reviewed in (Papke 2014)] inferred the existence of three critical subdomains on the primary face of the ligand binding site in the  $\alpha$  subunit, which are referred to as the A, B, and C loops. A pair of disulfide-linked vicinal cysteines at the tip of the C-loop is a defining feature of all  $\alpha$  subunits. In heteromeric nAChRs, subunits that lack these vicinal cysteines form the complementary face of the orthosteric binding sites. Specialized subdomains referred to as the D, E, and F loops are present in the muscle subunits that provide the complementary surface of the ACh binding sites  $(\delta, \gamma, \text{ and } \varepsilon, \text{ the } \varepsilon \text{ subunit substitut-}$ ing for  $\gamma$  in adult muscle-type receptors). In heteromeric neuronal receptors these specialized subdomains are present in the  $\beta$ 2 and  $\beta$ 4 subunits (Papke and Lindstrom, 2020). Early electron micrographic studies of the nAChR of the Torpedo electric ray homologous to muscle-type receptors supported the presence of these functional subdomains (Unwin, 1993; 2005) that more recently have been definitively identified in high-resolution structures on neuronal  $\alpha$ 4 $\beta$ 2 (Morales-Perez et al., 2016) and  $\alpha 3\beta 4$  (Morales-Perez et al., 2016) receptor subunit complexes. Support for the hypothesis that the  $\alpha$ 7 subunits of homomeric  $\alpha$ 7 receptors contain homologs of both the primary and complementary surfaces of the orthosteric binding sites at alternating subunit interfaces has come from mutation analyses

<span id="page-11-0"></span>(Papke, 2014) and the crystal structures of molluscan AChBPs (Brejc et al., 2001). AChBPs are soluble proteins secreted by the glial cells in the ganglia of various invertebrates, and they are formed as pentamers of proteins that are homologous to the extracellular domain of nAChR  $\alpha$  subunits (Camacho-Hernandez and Taylor, 2020).

Since no crystal structures of  $\alpha$ 7 receptors are available at present, homomeric pentamers of AChBP mutants have been developed as models for  $\alpha$ 7 (Gulsevin, 2020; Gulsevin et al., 2020a,b). Even if we begin with the parsimonious and possibly naive assumption that each  $\alpha$ 7 receptor has five functionally equivalent orthosteric activation (OA) agonist binding sites (Palma et al., 1996), early studies with the AChBPs suggested that as ligands begin to bind, at least in regard to some ligands, the binding sites become nonequivalent (Hibbs et al., 2009). Crystal structures with the  $\alpha$ 7-selective partial agonist GTS-21 (see above) showed that the ligand crystallized in different orientations at some interfaces compared with others. Although those studies could not determine whether the difference between binding sites represented a starting condition or was an emergent property of the crystallization process, recent in silico studies that begin with symmetrically configured subunits suggest that when these are allowed dynamic relaxations, the subunit interfaces quickly become asymmetric (Henchman et al., 2003; Gulsevin, 2020; Gulsevin et al., 2020a,b).

Considering what we know about the dynamics of  $\alpha$ 7 activation by orthosteric agonists (Papke and Lindstrom, 2020), regardless of whether all of the five subunit interfaces start out as functionally equivalent, as long as one or more of them bind agonist, it is clear that dynamic conformational changes affect the entire receptor. The activation of the  $\alpha$ 7 ion channel by orthosteric agonist occurs at low probability and only with low levels of agonist site occupancy (Uteshev et al., 2002; Williams et al., 2011a; Williams et al., 2012). Further levels of agonist binding serve only to induce the concentration-dependent form of desensitization that is unique to  $\alpha$ 7 (Papke and Lindstrom, 2020).

B. Desensitization and Allostericism. Desensitization (Katz and Thesleff, 1957) is a feature common to all nAChR, and for heteromeric nAChR, coincident with desensitization, the orthosteric binding site adopts a conformation that binds agonists with high affinity (Papke, 2014). It was this feature that allowed the early radioligand binding studies to identify the heteromeric nAChR as high-affinity receptors for ACh and nicotine (Clarke et al., 1985). Although  $\alpha$ 7 receptors desensitize so rapidly that the currents evoked by the application of high concentrations of AChs are terminated before the drug application can even be completed (Papke and Porter Papke, 2002; Papke, 2010; Williams et al., 2012), the orthosteric binding sites do not adopt a conformation with high affinity for ACh, and, in general,  $\alpha$ <sup>7</sup> receptor desensitization is rapidly reversible. There are, however, exceptions to this in which a particular ligand like GTS-21 can induce relatively stable desensitization. The possible functional significance of this will be discussed further in the section on silent agonists.

As noted above, nAChRs have a long history of being considered allosteric proteins (Changeux, 1981), and as such, their function is regulated by ligands binding to allosteric sites as well as the sites for orthosteric agonists (Changeux and Revah, 1987; Papke, 2014). In recent years, some of the most striking effects for allosteric ligands have been described for positive allosteric modulators (PAMs) of  $\alpha$ 7 receptors (Williams et al., 2011c). As noted above, in general,  $\alpha$ 7 receptors have only a low probability of ionchannel activation by ACh or other agonists working through the orthosteric binding sites. Two basic types of PAMs have been identified that differ in the degree to which they synergize with orthosteric agonists to overcome the intrinsic limitations on  $\alpha$ 7-channel activation (Fig. 4) (Gronlien et al., 2007). Type I PAMs like NS-1738 (Timmermann et al., 2007) increase channel activation during the phase that precedes the induction of more stable desensitized states, so that responses are increased in amplitude but not very much in duration (Fig. 5A). Type II PAMs like PNU-120596 (Hurst et al., 2005; Gronlien et al., 2007) (Fig. 5B) increase channel currents by additionally destabilizing conformations associated with desensitized states of the receptor (Williams et al., 2011b). PAMs of this type when coapplied with agonist will not only stimulate prolonged currents during the coapplication if receptors have been desensitized by a previous drug application, but type II PAMs applied alone will reactivate receptors (Papke et al., 2009) (see also discussion of allosteric antagonists below).

As shown in a schematic representation of the conformational dynamics of  $\alpha$ 7 activation and desensitization as regulated by agonists and PAMs [Fig. 6, adapted from (Williams et al. 2011b) and modified based on (Quadri et al. 2019)], when bound by orthosteric agonist alone, site occupancy is low, and the receptor has only a low probability of entering a relatively unstable open state for brief durations. The effects of a type I PAM would be consistent with an increase in single-channel conductance; however, single-channel studies (Andersen et al., 2016) have shown that the primary effects are to stabilize the open state and to permit reopening when the OA site occupancy is low (box in Fig. 6) without changing the transitions to the desensitized states that develop over time or with changes in OA site occupancy.

Single-channel studies of  $\alpha$ 7 receptors potentiated by type II PAMs (Williams et al., 2011b; Williams et



Fig. 4. Structures of commonly used  $\alpha$ 7 PAMs. See Table 2 for chemical names and references. NS-1738 and N-(4-chlorophenyl)-a-[[(4-chloro-phenyl)amino]methylene]-3-methyl-5-isoxazoleacet-amide (CCMI) are classified as type I (see text and Figure 5), whereas PNU-120596,  $(\pm)TQS$ , and A-867744 are type II PAMs. PAM-2 activity is intermediate between the two types (see text). The scaffold of TQS has provided the basis for many different allosteric ligands with diverse functions (Gill et al., 2012; Gill-Thind et al., 2015), as discussed in the text.

al., 2012; Peng et al., 2013; Andersen et al., 2016; Quadri et al., 2019) have indicated that the increased channel activation is associated with transitions between desensitized states and an unstable intermediate flip state (Lape et al., 2008) that is then able to convert repeatedly between two or more novel openchannel states in bursts that can persist for many seconds. These bursts represent bouts of single-channel activation typically more than a hundred thousand times greater than the single-channel currents stimulated by ACh alone. Comparing then the PAM effects on the macroscopic (whole-cell) current, which are increased on the scale of 50–100-fold, with the singlechannel effects, we see that the net effects of these PAMs is to generate very large bursts of currents from a very limited fraction of the channels at any one time. Because of this stochastic nature of the large effects on a small fraction of channels typically in a given experiment, there is a great deal of variability among the responses in a group of cells.



Fig. 5. Representative data from prototypical type I (NS-1738) and type II (PNU-120596) PAMs. (A) Averaged normalized responses  $(\pm S.E.M.)$  of oocytes ( $n = 4$ ) expressing human  $\alpha$ 7 to 60 µM ACh or 60 µM ACh coapplied with 10 µM NS-1738. The insert shows the control and potentiated responses scaled to the same peak amplitude. (B) Averaged normalized responses ( $\pm$ S.E.M.) of oocytes ( $n = 4$ ) expressing human  $\alpha$ 7 to 60 µM ACh or 60  $\mu$ M ACh coapplied with 10  $\mu$ M PNU-120596. The insert shows the control and potentiated responses scaled to the same peak amplitude.

Studies of mutants and chimeras localized the binding sites for a7 PAMs (Bertrand et al., 2008; Young et al., 2008) to the upper portion of the second transmembrane domain, with an especially important role attributed to a methionine residue in the 15' position (Young et al., 2008). The presence of a methionine residue in this position is unique to  $\alpha$ 7 among all the nAChR subunits, and not only does mutation of this residue to leucine (the most common residue in other subunits) lead to a loss of sensitivity for  $\alpha$ 7 to PAM potentiation, but substitution of this residue into the sequence of  $\beta$ 2 or  $\beta$ 4 subunits generates heteromeric receptors that are sensitive to potentiation by many  $\alpha$ 7 PAMs (Stokes et al., 2019).

With a relatively large potentiating ligand bound within one or more of the transmembrane domains, it is perhaps not surprising that the ion conduction pathways that form in PAM-potentiated receptors are qualitatively different from the channels formed when the receptor is activated by ACh alone. Channels activated by ACh have relatively high calcium permeability and inward rectifying current-voltage relations, which are features that are not typical of PAM-potentiated currents (Sitzia et al., 2011; Miller et al., 2020). Specific PAMs may each generate their own unique conduction pathway (Miller et al., 2020), a differing set of full and subconductance states, and varying sensitivity to channel-blocking antagonists (Quadri et al., 2019).

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Fig. 6. Schematic illustration of energy landscapes for the conformational states associated with  $\alpha$ 7 activation, desensitization, and modulation [adapted from (Quadri et al. 2019) and (Williams et al. 2011b)] illustrating their relative energy levels and transition rates. Under equilibrium conditions, the distributions of receptors into the resting closed, open, and desensitized states will be determined by the relative free energy of the states (represented by vertical displacements). Dynamically, the transition rates between the states will be inversely related to the log of the energy barriers between the states. In the absence of any PAM (center row), the primary effect of agonist binding is to shift the equilibrium between the conformational states from the resting closed (C) state toward the desensitized states  $D_s$  and  $D_i$  with a small probability of opening only at relatively low levels of agonist occupancy. The shallow energy well assigned to the open state (O\*) is consistent with the brief opening observed in single-channel recordings and the high energy barriers into the O\* state consistent with the low P<sub>open</sub> observed. With the binding of a type I PAM (upper row), the primary effect is to<br>deepen the well for the open state and to permit repeated transiti observations of single-channel currents in the presence of the type I PAM (Andersen et al., 2016). Note that this effect is only seen at low levels of agonist occupancy (in box). In the presence of the type II PAM (lower row, the  $D_s$  state is connected to another Flip state that then permits many reopenings to full and subconductance open states  $(O', O'', and O''')$ .

C. Ligands and Structures. Compounds identified as  $\alpha$ 7 PAMs are listed in Table 2, and the structures of the most commonly used ones are shown in Fig. 4. Earlier known compounds are described in more detail in a previous review (Williams et al., 2011c). The first  $\alpha$ 7 PAM to be identified, 5-hydroxyindole (Gurley et al., 2000), is classified as type I but has not been widely used since it works with very low potency. The effects of NS1738, a more potent type I PAM, are shown in Fig. 5 compared with the effects of the widely used type II PAM, PNU-120596. The cholinesterase inhibitor, galantamine, which was approved for the treatment of Alzheimer disease, was initially claimed to be an  $\alpha$ 7 PAM (Samochocki et al., 2003); however, this claim has recently been shown to be invalid (Kowal et al., 2018).

 $\alpha$ 7 PAMs have been shown to be active in many of the same animal models that have been used with the identification of  $\alpha$ 7-selective agonists. For example, LL-

00066471 (Verma et al., 2021) and BNC375 (Wang et al., 2020b) were shown to improve performance in novel object recognition (NOR) and other cognitive tests. Likewise, RO5126946 (Sahdeo et al., 2014), NS1738 (Timmermann et al., 2007), and Lu AF58801, (1S,2S)-2 phenyl-cyclopropanecarboxylic acid  $[\alpha(R)-(4-\text{ethoxy-phe-}$ nyl)-2-hydroxy-ethyl]-amide (Eskildsen et al., 2014) were also active in cognitive tests, and BNC375 enhanced long-term potentiation (Wang et al., 2020b). LL-00066471, JWX-A0108 (Sun et al., 2019), and JNJ-1930942 (Dinklo et al., 2011) improved acoustic startle reflex or genetic defects believed to be associated with hippocampal auditory gating. PAM2 (Arias et al., 2020), 1-(2',5'-dihydroxyphenyl)-3-(2-fluoro-4-hydroxyphenyl)-1-propanone (Perez de Vega et al., 2019), TQS (Abbas et al., 2017), and PNU-120596 (Bagdas et al., 2018b) were effective in models of inflammatory or neuropathic pain. Some PAMs are advancing toward





N.D., not determined.

clinical trials [(Gee et al. 2017), reviewed in (Yang et al. 2017)]. In 1997, AVL-3288 advanced into a phase I clinical trial for schizophrenia and schizoaffective disorder. However, more recently it has been reported that primary clinical outcomes were negative in followup trials (Kantrowitz et al., 2020).

Because of the large currents promoted by  $\alpha$ . PAMs and the reportedly high calcium permeability of  $\alpha$ 7 receptors when activated by ACh (Seguela et al., 1993), it has been a concern that the use of  $\alpha$ 7 PAMs and especially type II PAMs in vivo might lead to large potentially cytotoxic increases in intracellular calcium (Williams et al., 2012; Guerra-Alvarez et al., 2015) [see also (Uteshev 2016)]. However, other studies suggest the opposite to be true, that PAMs can be cytoprotective (2009; Kalappa et al., 2013). Also, as noted previously, PAM-potentiated currents in general lack the high calcium permeability reported for  $\alpha$ 7 receptors activated by ACh alone (Miller et al., 2020) and lose much of their channel-potentiating activity at temperatures approaching body temperature (Sitzia et al., 2011).

Of all the  $\alpha$ 7 PAMs identified to date, the chemical scaffold of TQS has been shown to be one of the more interesting and a potential starting point for a large

number of novel compounds with very diverse properties. Work done by Neil Millar and colleagues (Gill et al., 2012; Gill-Thind et al., 2015) described multiple analogs variously as allosteric agonists (see below), type I PAMs, type II PAMs, noncompetitive antagonists, and silent allosteric modulators, the last of which we would identify as "allosteric antagonists" (Papke et al., 2020b). We have been fortunate to have Dr. Ganesh Thakur as a collaborator, and he likewise has generated a large library of mostly unpublished TQS-related compounds that we have been able to use for our studies of allosteric mechanisms (Horenstein et al., 2016). The basic syntheses for compounds in this family generates racemic mixtures of stereoisomers, and Dr. Thakur's work has brought to light the fact that the isomers of these TQS analogs can differ greatly in their biologic activity (Thakur et al., 2013; Stokes et al., 2019; Papke et al., 2020b). The separation of the TQS isomers, for example, revealed that the  $(+)$  isomer behaves like a type II PAM but only at relatively high concentrations, whereas the  $(-)$  isomer is much more potent and functions more<br>like a type I PAM (Fig. 7). The use of racemic TOS like a type I PAM (Fig. 7). The use of racemic TQS therefore amounts to the simultaneous use of two distinctly different PAMs. To date, only two other TQS-

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Fig. 7. TQS isomers. Shown on top are the structures of the two isomers of TQS (Stokes et al., 2019). The upper traces are averaged normalized responses (±S.E.M.) of oocytes expressing human  $\alpha$ 7 to 60 µM ACh or 60 µM ACh coapplied with 10 µM (+)TQS or (-)TQS (n equal to 3 and 4, respective-<br>Jy) The lower traces are averaged normalized responses (+S E.M.) of oocy ly). The lower traces are averaged normalized responses (±S.E.M.) of oocytes ( $n = 8$ ) expressing human  $\alpha$ 7 to 60 µM ACh or 60 µM ACh coapplied with 0.3  $\mu$ M (+)TQS or (-)TQS. The data for the 0.3  $\mu$ M responses have previously been published in bar graph format (Stokes et al., 2019).

related compounds have had their stereoisomers studied separately, and those isomers too were shown to have distinctly different activity profiles (discussed below), suggesting that there is more room for discovery in the characterization of the compounds in this structural family.

D. Allosteric Activators (Ago–Positive Allosteric Modulator). By definition, a PAM is an agent that does not activate the (wild-type)  $\alpha$ 7 receptor when applied alone but does increase the activation produced by an orthosteric agonist when the two are coapplied or otherwise work in concert (perhaps by preapplication of the PAM). Among the compounds described by the Millar group were agents that behaved as allosteric agonists—that is, they produced channel activation when applied alone without the coapplication of an orthosteric agonist (Gill et al., 2012; Pałczyńska et al., 2012). This activation appeared to rely on the same putative binding site in the second transmembrane domain required for PAM activity (Gill et al., 2011). Additionally, the agents increased activation by orthosteric agonists, supporting their classification as "ago-PAMs," a term first



Fig. 8. Ago-PAM structures. GAT107 (Thakur et al., 2013), which is the active isomer of 4BP-TQS (Gill et al., 2011), and B-973B (Garai et al., 2018), which is the active isomer of B-973, 3- $(3,4$ -difluorophenyl $)-N$ - $(1-$ (6-(4-(pyridin-2-yl)piperazin-1-yl)pyrazin-2-yl)ethyl)propanamide (Post-Munson et al., 2017).

applied to activators of metabotropic glutamate receptors (Noetzel et al., 2012). One of the first compounds of this type to be identified was 4BP-TQS (Gill et al., 2011). The Thakur laboratory subsequently isolated the isomers of 4BP-TQS (Thakur et al., 2013), and it was shown that all of the activity was accounted for by the  $(+)$  isomer, which has subsequently been identified in the literature as "GAT107" (Papke et al., 2014b) (Fig. 8). Additional allosteric activators utilizing the TQS scaffold were identified by the Millar laboratory (Gill-Thind et al., 2015), but these have not been studied in detail, nor have their isomers been separated. More recently, B-973 (Fig. 8) was identified as an ago-PAM (Post-Munson et al., 2017) with a structure that is not related to the TQS scaffold. The isomers of B-973 were isolated, and "B-973B" was identified as the active form (Garai et al., 2018).

We have characterized three forms of GAT107 activity (Fig. 9). When the compound is applied alone at a sufficiently high concentration, there is "direct allosteric activation" (Fig. 9A). This activity is transient and decays with the washout of free compound from the bath. However, GAT107 appears to remain bound to the PAM binding sites in the transmembrane domains so that after an application of GAT107 alone, a subsequent application of ACh is greatly increased in amplitude, a phenomenon we refer to as "primed potentiation" (Papke et al., 2014b) (Fig. 9A, second ACh response). In oocyte experiments, GAT107-primed potentiation can persist for up to an hour. Responses are, of course, also very large when GAT107 is coapplied with agonist (Fig. 9B), in which case it directly potentiates the ACh response, acting like a typical PAM ("direct potentiation"). The potency of GAT107 as a PAM is greater than its potency as an allosteric agonist so that the application of  $1 \mu$ M alone does not activate receptors and produces relatively little primed potentiation (Fig. 9C). However, when coapplied with ACh, it does very effectively activate receptors and potentiate the ACh response (Fig. 9D). It should also be noted that there is relatively little primed potentiation after an episode of direct potentiation compared with after the application

of GAT107 alone. This suggests that after activation by the simultaneous application of ACh and GAT107 either the receptor adopts a PAM-insensitive state (Williams et al., 2011b) or the GAT107 is less tightly bound to the transmembrane PAM sites during this form of activation. Although differing somewhat in duration and concentration dependence, the functional properties of B-973B are basically similar to those of GAT107 on the level of macroscopic current (Quadri et al., 2019). On the microscopic level, however, although the two ago-PAMs each promote protracted bursts of single-channel opening, each have their own distinct fingerprint of full and subconductance states, and the agents differ in their sensitivity to the noncompetitive antagonist mecamylamine (Quadri et al., 2019; Miller et al., 2020).

Although it was originally proposed that 4BP-TQS produced allosteric activation solely by binding to the transmembrane PAM site (Gill et al., 2011), several lines of evidence argue for GAT107 binding to additional allosteric sites in the extracellular domain as well as the transmembrane PAM site (Papke et al., 2014b; Horenstein et al., 2016). As mentioned above, there is a clear kinetic difference in transient allosteric activation by GAT107 and its prolonged effects as a PAM. There are also distinct structural epitopes in the receptor that affect allosteric activation without major effects on the PAM activity of GAT107. For example,  $\alpha$ 7D101A mutants show virtually no allosteric activation by GAT107, whereas the ACh responses are still well potentiated (Horenstein et al., 2016). The TQS analog, 2,3,5,6TMP-TQS (TMP-TQS) was first identified by the Millar laboratory as a silent allosteric modulator because it had no apparent PAM activity yet was also able to antagonize allosteric activation. Subsequent isolation of the TMP-TQS isomers showed that although the  $(+)$  isomer was a weak PAM, the  $(-)$  isomer was a potent antagonist of  $GAT107$  allosteric activation with relatively little effect GAT107 allosteric activation with relatively little effect on the primed potentiation produced by a GAT107 application (Papke et al., 2020b) (Fig. 10A). This pharmacological and structural separation of the two forms of GAT107 and TMP-TQS supports the existence of specific binding sites for allosteric activation. Therefore, another way in which  $\alpha$ 7 receptors may be developed as pharmacologic targets is by identifying small ligands that would bind to these allosteric sites and couple with conventional PAMs to produce activation (Gulsevin et al., 2019) or induce other conformational changes. This concept will be discussed in more detail in the section on silent agonists below.

The blockade of GAT107 allosteric activation by (-)TMP-TQS (Fig. 10A), would be consistent with this analog functioning as a competitive antagonist at the allosteric activation binding sites. However, it is actually likely that it is also capable of functioning as an inverse agonist at that site. As mentioned earlier, GTS-21 is a partial agonist that produces a significant amount

<span id="page-17-0"></span>

Fig. 9. Concentration and protocol dependence of responses to GAT107. (A) The traces are the averaged normalized responses  $(\pm S.E.M.)$  of oocytes expressing human  $\alpha$ 7 to 10 µM GAT107 applied alone and followed by an application of 60 µM, as compared with the initial responses to ACh alone (n = 5). (B) The traces shown are the averaged normalized responses ( $\pm$ S.E.M.) of oocytes expressing human  $\alpha$ 7 to 10  $\mu$ M GAT107 coapplied with 60  $\mu$ M ACh and followed by an application of 60  $\mu$ M, as compared with the initial responses to ACh alone ( $n = 5$ ). (C) The traces shown are the averaged normalized responses ( $\pm$ S.E.M.) of oocytes expressing human  $\alpha$ 7 to 1  $\mu$ M GAT107 applied by itself and followed by an application of 60  $\mu$ M, as compared with the initial responses to ACh alone  $(n = 7)$ . (D) The traces shown are the averaged normalized responses (±S.E.M.) of oocytes expressing human  $\alpha$ 7 to 1 µM GAT107 coapplied with 60 µM ACh and followed by an application of 60 µM, as compared with the initial responses to ACh alone  $(n = 7)$ .

of residual desensitization. Applications of PNU-120596 alone after GTS-21 applications can reactivate channels and produce a current (Papke et al., 2009) (Fig. 10B). Applications of  $(-)$ TMP-TQS suppress this reactivation<br>of desensitized channels (Fig. 10B) of desensitized channels (Fig. 10B).

### VI. Silent Agonists

A. Conditional Activation of  $\alpha$ 7. In addition to the effects of agonists and allosteric ligands, the conformational states of  $\alpha$ 7 nAChR can be regulated by the binding of agents identified as "silent agonists" (Chojnacka et al., 2013; Papke et al., 2014a). Even efficacious agonists are relatively inefficient at inducing the open-channel state of  $\alpha$ 7 and are far more effective at stabilizing agonist-dependent nonconducting states, which are traditionally referred to as "desensitized" (Katz and Thesleff, 1957), a term that may be correct only when referring to ionotropic function. As evidence accumulates for  $\alpha$ 7 having metabotropic activity (Horenstein and Papke, 2017; Kabbani and Nichols, 2018), we see with ligands like NS6740 a dissociation between channel activation and metabotropic function so that receptors with "desensitized" ion channels may be metabotropically active (Thomsen and Mikkelsen, 2012a; Papke et al., 2015a).

Although there are likely to be more, we can distinguish two classes of agonist-induced nonconducting states:  $D_s$ , which can be converted to open-channel states with PAMs, and  $D_i$ , which is insensitive to PAMs (Williams et al., 2011b). Extending the concept of full and partial agonists, silent agonists bind competitively with efficacious agonists, but with such low probability of inducing channel activation that they appear as antagonists unless coapplied with a PAM. Although silent agonists are relatively ineffective at activating the



**Fig. 10.** Allosteric antagonism by  $(-)$ TMP-TQS. (A) The upper traces show the averaged normalized responses  $(+S E M)$  of oocytes expressshow the averaged normalized responses (±S.E.M.) of oocytes expressing human  $\alpha$ 7 to 10 µM GAT107 applied alone and followed by an application of 60  $\mu$ M, as compared with the initial responses to ACh alone  $(n = 5)$  using the same protocol illustrated in Figure 9. The lower traces show the averaged normalized responses (±S.E.M.) obtained with the same protocol but with the coapplication of 100  $\mu$ M ( $-$ )TQS with 10  $\mu$ M<br>GAT107 ( $n = 7$ ) (B) Inhibition of responses to PNU-120596 after desen-GAT107 ( $n = 7$ ). (B) Inhibition of responses to PNU-120596 after desensitization produced by 100  $\mu$ M GTS-21. The upper traces show the averaged normalized responses ( $\pm$ S.E.M.) of oocytes ( $n = 4$ ) expressing human  $\alpha$ 7 to a control application of ACh, an application of 100  $\mu$ M GTS-21 and then the application of 10  $\mu$ M PNU-120596 alone. The lower traces show the averaged normalized responses (±S.E.M.) obtained with the same protocol but with the coapplication of 100  $\mu$ M (-)TQS with 10  $\mu$ M PNU-120596 ( $n = 6$ ) with 10  $\mu$ M PNU-120596 ( $n = 6$ ).

channel, they do induce  $D_s$  and  $D_i$  unlike the classic competitive antagonist methyllycaconitine (MLA). Signal-transduction studies in non-neuronal cells (Thomsen and Mikkelsen, 2012a; Boulet et al., 2015; Papke et al., 2015a; Yue et al., 2015; Zanetti et al., 2016; King et al., 2017b; Maldifassi et al., 2018) have implicated silent agonists and the  $D_s$  and/or  $D_i$  states of the receptor as likely to mediate channel-independent signaling. Developing specific therapeutics for the treatment of inflammatory diseases and pain may therefore come from defining the structural features of drugs that predict silent agonism. It is not sufficient merely to detect the induction of  $D_s$  and  $D_i$  states but to appreciate the receptor's dynamic nature and how the distribution of conformational states evolves and changes over time as agonist and/or PAM applications perturb the population of receptors (Papke et al., 2015a; Papke et al., 2018b). Although  $D_i$  is ultimately favored by high concentrations of PAM and agonists (Fig. 6),  $D_s$  may be favored only intermittently, and these dynamics can be differentially regulated by specific ligands (Williams et al., 2011b).

B. Ligands and Structures. Just as there are multiple motifs that may make ligands selective activators of the a7 ion channel (Horenstein et al., 2008), we (Chojnacka et al., 2013; Papke et al., 2014a, 2015a; van Maanen et al., 2015; Quadri et al., 2016, 2017a,b, 2018b) and others (Briggs et al., 2009) identified multiple classes of structurally distinct silent agonists (Fig. 11). One of the first silent agonists to be identified, NS6740 (Briggs et al., 2009), is also arguably one of the most interesting and may point to a fundamental dichotomy in the modes of  $\alpha$ 7 receptor function for therapeutic purposes both ion channel– mediated and ion channel–independent, corresponding to cognitive function and the CAP, respectively. The efficacy of NS6740 for channel activation is no more than 20% that of ACh (Pismataro et al., 2020), but it very effectively induces nonconducting states that have been shown in oocyte studies to be stable for long periods of time after a single application of NS6740. While this desensitization persists, the receptors are unable to be activated by more efficacious agonists. Throughout this period the desensitization can be perturbed by applications of a PAM like PNU-120596, and interestingly, sequential applications of NS6740 and the long-acting ago-PAM GAT107 can generate large currents persistently for an hour (Papke et al., 2018b).

A negative effect of NS6740 on the a7-mediated cognitive effects of a more efficacious  $\alpha$ 7 agonist was shown by (Briggs et al. 2009), who used NS6740 to block the effects of A-582941 in a mouse model of inhibitory avoidance. Likewise, it was later shown that NS6740 could block the effects of BMS-902483 in NOR (Pieschl et al., 2017). In rat hippocampal slices,



(Adapted from Pismataro et al., 2020, figure provided by Maria Chiara Pismataro)

Fig. 11. Silent agonists. (A) Structures: NS6740, 1,4-diazabicyclo[3.2.2] non-4-yl[5-[3-(trifluoromethyl)phenyl]-2-furanyl]methanone hydrochloride (Pismataro et al., 2020); KC-1 (Chojnacka et al., 2013); TriETMA, triethylmethylammonium (Papke et al., 2014a); diEPP (Papke et al., 2014a); DMPP, 1,1-dimethyl-4-phenylpiperazin-1-ium iodide (Quadri et al., 2016); 2-NDEP, 1,1-diethyl-4(naphthalene-2-yl)piperazin-1-ium (Gulsevin et al., 2019); R-47 (PMP-072), (R)-N-(4-methoxyphenyl)-2-((pyridin-3-yloxy)methyl)piperazine-1-carboxamide (Clark et al., 2014); 31b, 3-(furan-2-yl)-5- (quinuclidin-3-ylmethyl)-1,2,4-oxadiazole methiodide (Quadri et al., 2018b); and a-conotoxin MrIC (image provided by Dr. Alican Gulsevin). (B) Model for NS6740 pharmacophore adapted from (Pismataro et al., 2020) (image provided by Dr. Maria Chiara Pismataro).

NS6740 reduced synaptic plasticity (Papke et al., 2018a). However, in regard to CAP, NS6740 and the desensitizing partial agonist GTS-21 were both shown to effectively reduce the release of TNF- $\alpha$  from microglial cells exposed to the bacterial endotoxin lipopolysaccharide (Thomsen and Mikkelsen, 2012a). Numerous in vivo and in vitro studies have confirmed the activity of GTS-21 as a regulator of the CAP (Kox et al., 2011; Yue et al., 2015; Kashiwagi et al., 2017; Kong et al., 2018; Schaller et al., 2018; Wang et al., 2019; Sitapara et al., 2020; Wang et al., 2020a), and although it is less well studied, NS6740 was also shown to induce significant dose- and time-dependent antinociceptive activity in formalin- and acetic acid–induced nociceptive behaviors as well as in the chronic constrictive nerve injury model for neuropathic pain (Papke et al., 2015a).

C. Function In Vivo and In Vitro. Results like those described above have motivated other studies to identify other silent agonists for potential development as treatments for inflammatory disease and neuropathic pain (Horenstein and Papke, 2017; Bagdas et al., 2018a; Manetti et al., 2018). The compound identified as "KC-1" (5'-phenylanabaseine, 6'-phenyl-3,4,5,6-tetrahydro-2,2'-bipyridine) (Chojnacka et al., 2013) was developed in the laboratory of Nicole Horenstein using an anabaseine scaffold related to GTS-21. In a systematic analysis of linear amines, we identified triethyl methylammonium as a minimally sized silent agonist (Papke et al., 2014a) created by the addition of a methyl group the to the minimally sized a7-selective agonist ethyl dimethyl ammonium (Horenstein et al., 2008). A similar approach was used to generate additional families of silent agonists and to implicate a critical difference in the size of the cationic nitrogen group to produce a shift from active partial agonism to silent agonism (Papke et al., 2014a). One particularly interesting group was the diEPP family based on the ganglionic agonist dimethylphenylpiperazinium with the switch from methyl to larger ethyl subgroups. This family was further developed (Quadri et al., 2016) and led to the identification of two analogs that were subsequently shown to be active in vivo for reducing inflammatory pain [para trifluoromethyl N,N-diethyl-N'-phenylpiperazine (Quadri et al., 2016)] and attenuating inflammation in an animal model of multiple sclerosis [1-ethyl-4-(3-(bromo)phenyl)piperazine (Godin et al., 2020)].

Although the basic assumption based on the pharmacophore studies (Papke et al., 2014a) was that silent agonists work primarily through an extension of the site for orthosteric agonists that is more permissive of the somewhat larger ammonium group, we also investigated the hypothesis that silent agonism, as revealed by the application of PAMs, might also come from ligands that bound to the allosteric activation site implicated in our studies of GAT107. Using in silico screening of our library of diEPP compounds, we identified 1,1-diethyl-4(naphthalene-2-yl)piperazin-1-ium iodi de (2-NDEP) as a candidate allosteric silent agonist and

confirmed that it generated PNU-120596–dependent currents in the a7C190A mutant, which has an inactivated orthosteric agonist binding site (Gulsevin et al., 2019). Testing the hypothesis that a sulfonium could function as a surrogate for ammonium in a nicotinic agonist led to the identification of 1-ethyl-4-phenylthiomorpholin-1-ium triflate as a silent agonist (Quadri et al., 2017b).

The compound R-47 (also PMP-072) has an interesting history. It was first developed as a proprietary compound that was passed on as intellectual property through a series of now defunct or inactive companies, eventually ending up with Targacept. Once it was finally released, it was published as "R-47" by the team of chemists who originally synthesized it (Clark et al., 2014) and as "PMP-072" by groups who also collaborated with the company that first developed it (van Maanen et al., 2015). The data on PMP-072's activity in a collagen-induced model of rheumatoid arthritis were actually published as part of a Ph.D. thesis 6 years prior to the time when it was permitted to publish the structure. The paper by (Clark et al., 2014) showed that R-47 significantly inhibited the cellular infiltration in a murine model of allergic lung inflammation. More recently, R-47 has been shown to prevent and reverse paclitaxel-induced peripheral neuropathy (Toma et al., 2019).

The compound identified as "31b" is the lead compound from a study of compounds with a methyl-quinuclidine core pharmacophore (Quadri et al., 2018b). This compound can be classified as a silent agonist based on its electrophysiological properties but has not yet been tested with in vivo models. Arecoline, on the other hand, is a silent agonist (Papke et al., 2015b) that is self-administered by hundreds of millions of people on a daily basis because it is probably the most active alkaloid in the areca nut (Gupta et al., 2020), the key ingredient in betel quids (betel nut). Betel (areca) is the fourth most commonly used addictive substance in the world (World Health Organization, 2004; Papke et al., 2020c; Singh et al., 2020).

D. Other Novel Silent Agonists. The functional and structural diversity of conotoxins is enormous, and several have been identified as selective antagonists of  $\alpha$ 7 nAChRs (see below). Interestingly though, conotoxin MrIC has been implicated to be an  $\alpha$ 7 silent agonist in cell-based assays (Jin et al., 2014; Mueller et al., 2015). Although it has been reported to be an antagonist of  $\alpha$ 7 expressed in oocytes (Jin et al., 2014), using a commercially available sample of MrIC (Alomone Laboratories, Jerusalem, Israel) we saw that, although 50  $\mu$ M MrIC applied alone did not activate  $\alpha$ 7 receptors, when it was coapplied with  $30 \mu M$  PNU-120596, substantial currents were stimulated (Fig. 12A). Presumably, if MrIC were coapplied with a standard agonist to  $\alpha$ 7-expressing cells, it would behave as a



Fig. 12. Silent agonists revealed by PNU-120596 applications. (A) In the upper traces are the averaged normalized responses ( $\pm$ S.E.M.) of oocytes ( $n = 3$ ) expressing human  $\alpha$ 7 to a control application of 60  $\mu$ M ACh followed by an application of 50  $\mu$ M conotoxin MrIC (Alomone, Jerusalem Israel). In the lower traces are the averaged normalized responses ( $\pm$ S.E.M.) of oocytes ( $n = 7$ ) expressing human  $\alpha$ 7 to a control application of 60  $\mu$ M ACh followed by an application of 50  $\upmu$ M conotoxin MrIC (Alomone, Jerusalem, Israel) coapplied with  $30 \mu$ M PNU-120596. (B) The upper traces show the averaged normalized responses ( $\pm$ S.E.M.) of oocytes ( $n = 5$ ) expressing human  $\alpha$ 7 to a control application of 60  $\mu$ M ACh followed by an application of 1 ml room-temperature coffee. Cells were then stimulated with ACh again prior to a coapplication of coffee with  $10 \mu M$  PNU-120596. The lower traces show the averaged normalized responses ( $\pm$ S.E.M.) of oocytes ( $n = 7$ ) expressing human  $\alpha$ 7 to a control application of 60  $\mu$ M ACh followed by an application of 1 mM of the coffee alkaloid N-methylpyridinium (insert) coapplied with  $10 \mu M$  PNU-120596.

competitive antagonist since this a basic property of silent agonists (Papke et al., 2014a). It may be the case that other conotoxins that have been classified as antagonists might have similar silent agonist properties if they were tested with PAM coapplications.

It is interesting to consider what other foods in our diet might also have silent effects of  $\alpha$ 7 receptors. For example, we made the somewhat serendipitous observation that, although coffee had no apparent effects on  $\alpha$ 7 receptors, responses observed when coffee was coapplied to  $\alpha$ 7 receptors with PNU-120596 (Fig. 12B, upper traces) suggest that <span id="page-21-0"></span>there are also previously unknown silent agonists in this widely consumed beverage. There are many biologically active molecules in coffee, and we confirmed that neither caffeine nor the alkaloid trigonelline were  $\alpha$ 7 silent agonists (not shown). However, N-methylpyridinium, another plentiful alkaloid in coffee (Burton et al., 2020) that is a urinary biomarker for coffee consumption (Lang et al., 2011), is an effective silent agonist (Fig. 12B, lower traces).

#### VII. a7 Antagonists

A. Snake Toxin Antagonists and Their Analogs. Prior to the cloning of the  $\alpha$ 7 gene, the associated receptors in brain were identified simply as "a-BTX binding sites" (Jumblatt et al., 1981; Schulz et al., 1991), " $\alpha$ -BTX receptors" (Clarke et al., 1991), or " $\alpha$ -BTX sensitive neuronal nAChR" (Zorumski et al., 1992; Castro and Albuquerque, 1995), and  $\alpha$ -BTX is, of course, an excellent antagonist for  $\alpha$ 7-mediated responses (Uteshev et al., 1996; Alkondon et al., 1998; Kempsill et al., 1999; Drisdel and Green, 2000; Kaiser and Wonnacott, 2000; Xiao et al., 2009). However, a-BTX is also a potent and nearly irreversible antagonist of the nAChR at the neuromuscular junction (Sarvey et al., 1978), so although it has utility for the sorts of binding studies that were used to identify  $\alpha$ . Selective agonists (see above) and confirm the presence of  $\alpha$ 7 receptors in cell lines (Williams et al., 2012) and tissues (Rasmussen and Perry, 2006; Xiao et al., 2009), it has no utility for in vivo studies.

A search for mammalian homologs to the snake toxin that bind to muscle-type and  $\alpha$ 7 nAChRs brought to light the existence of a large family identified as "three-finger proteins" based on structures that are homologous to important domains in the snake toxins (Nirthanan, 2020). One analog, secreted mammalian Ly-6/urokinase plasminogen activator receptor related protein-1, is secreted by epithelial cells; related proteins in the brain are membrane-tethered via glycosylphosphatidylinositol anchors. They appear to function as endogenous regulators of nAChRs, but the details remain somewhat uncertain [reviewed in Vasilyeva et al. 2017; Tsetlin et al. 2020]. Soluble synthetic proteins of the toxin-like domains of several of these proteins have been shown to be able to modulate the function of numerous proteins, including  $\alpha$ 7 receptors. Although the secreted mammalian Ly-6/ urokinase plasminogen activator receptor related protein-1 protein appears to antagonize  $\alpha$ 7 function (Shulepko et al., 2020), the water-soluble synthetic variant of human Lynx1 has been reported to upregulate  $\alpha$ 7 function (Shenkarev et al., 2020).

The conotoxin  $\alpha$ -CTx ImII has been reported to be a selective antagonist of  $\alpha$ 7 nAChR (Ellison et al.,



Fig. 13. Antagonist structures. ASS234,  $N-(5-[3-(1-benzyl-4-piperidiny])$ propoxy]-1-methyl-1H-indol-2-yl}methyl)-N-methyl-2-propyn-1-amine (Criado et al., 2016); compound 38, 2-(1-benzylpiperidin-4-yl)ethan-1-amine (Criado et al., 2016); compound 7i, N-((1-benzylpiperidin-4-yl)methyl)-1-(2 chlorobenzyl)-N-methyl-1H-1,2,3-triazole-4-carboxamide (Peng et al., 2010); B10, 3-(4-bromophenyl)-8-methyl-1-oxa-2,4,8-triazaspiro[4.5]dec-2-ene (Zhang et al., 2020a); mecamylamine, N,2,3,3-tetramethylbicyclo[2.2.1]heptan-2-amine;hydrochloride; tkP3BzPB, 1,2,4,5-tetra-f5-[1-(3-benzyl)pyridinium]pent-1-yl}benzene tetrabromide; and MLA.

2003). Additional  $\alpha$ 7-selective conotoxins have been developed by making mutations in the  $\alpha$ -conotoxins PnIA (Hopping et al., 2014), ImI (Armishaw et al., 2006), or ArIB (Innocent et al., 2008). Structures of the AChBPs alone or complexed with either an agonist or the conotoxin ImI suggest that although the binding of agonists led to the closing down of the Cloop over the orthosteric ligand binding site from the more open "apo" (resting) configuration, binding of the conotoxin had the effect of pushing the C-loop further back, in the opposite direction as what occurs with the binding of agonists, in addition to blocking the binding site itself (Hansen et al., 2005).

B.  $\alpha$ 7 Channel Blockers.  $\alpha$ 7 receptors are sensitive to a variety of open-channel blockers, including the local anesthetics QX-314 and tetracaine as well as the larger slowly reversible antagonists bis-(2,2,6,6-tetramethyl-4 piperidinyl) sebacate and 2,2,6,6-tetramethylpiperidin-4-yl heptanoate. Inhibition by these agents, however, varied depending on whether the channels were activated by ACh alone or ACh in combination with the PAM PNU-120596 (Peng et al., 2013). The anticholinesterase ASS234 (Fig. 13), which was developed as a therapeutic for Alzheimer disease (Romero et al., 2020), was shown to be a noncompetitive antagonist of  $\alpha$ <sup>7</sup> and was used as a starting point to develop additional antagonists, with compound 38 proposed as a new lead compound (Criado et al., 2016). Compound 7i (Fig. 13) was identified as a lead compound in a study to identify  $\alpha$ 7 antagonists that might have utility as antidotes for organophosphorus nerve agent intoxication (Peng et al., 2010). However, there has not been much follow-up on either of these studies. A more recent study of piperidine-spirooxadiazole derivatives (Zhang et al., 2020a) identified compound B10 (Fig. 13) as a noncompetitive antagonist of  $\alpha$ 7 with an IC<sub>50</sub> of 5.4  $\mu$ M and reasonably good selectivity relative to  $\alpha$ 4 $\beta$ 2 and  $\alpha$ 3 $\beta$ 4 nAChRs.

The widely used, relatively nonselective nAChR antagonist mecamylamine (Fig. 13) inhibits  $\alpha$ 7 currents activated by ACh alone, with an IC<sub>50</sub> of 10  $\pm$  1 µM and currents activated by ACh coapplied with PNU-120596 with an  $IC_{50}$  of  $4.8 \pm 0.7$  µM (Peng et al., 2013), which was roughly an order of magnitude lower than its potency for inhibiting heteromeric neuronal nAChR (Papke et al., 2013). As noted earlier,  $\alpha$ 7 currents activated by the ago-PAM B-973B are largely insensitive to mecamylamine (Quadri et al., 2019). 1,2,4,5-Tetra- ${5-[1-(3-benzyl)pyridinium]pent-1-yl}benzene tetrabro$ mide (Fig. 13) is a potent  $\alpha$ 7-selective noncompetitive antagonist that produces a slowly reversible block of both open and closed channels. It has an IC<sub>50</sub> of 1.0  $\pm$ 0.1  $\mu$ M for  $\alpha$ 7 and a time constant for recovery of 26 minutes. It is 48-fold less potent for blocking  $\alpha$ 4 $\beta$ 2 nAChRs and 10-fold less potent for  $\alpha$ 3 $\beta$ 4 nAChRs, and the block of these heteromeric receptors is readily reversible (Lopez-Hernandez et al., 2009). It may be noted, though, that with the exception of mecamylamine, which is readily available and widely used, the other a7-selective noncompetitive antagonists are neither easily available nor commonly used.

C. Methyllycaconitine. By far the most commonly used  $\alpha$ 7 antagonist is MLA (methyllycaconitine), which was first isolated from *Delphinium* brownii (Aiyar et al., 1979). Although initially described as having low nanomolar potency for inhibiting the  $\alpha$ 7 responses of cultured hippocampal neurons (Alkondon et al., 1992) and of approximately 100 nM in brain slices (Frazier et al., 1998), in oocyte studies MLA has a potency of 1.2  $\pm$  0.2 µM for the inhibition of  $\alpha$ 7 and was a 30-fold less potent antagonist of  $\alpha$ 4 $\beta$ 2 nAChRs but only 2-fold less potent for inhibiting  $\alpha 3\beta 4$  nAChRs (Lopez-Hernandez et al., 2009). As well as being active in vitro (Alkondon et al., 1992; Donnelly-Roberts et al., 1996; Alkondon et al., 1998; Virginio et al., 2002; Lopez-Hernandez et al., 2009), MLA has also been used in many in vivo studies to evaluate the role of  $\alpha$ 7 receptors in various central nervous system functions (Rao et al., 1996; Felix and Levin, 1997; Damaj et al., 1999; Klink et al., 2001; Markou and Paterson, 2001; Levin et al., 2002; Andreasen et al., 2009). These differences in the apparent potency of MLA are curious but may relate to differences in the methodology used. The high potencies reported for

the hippocampal culture and slice experiments ( Alkondon et al.,1992; Frazier et al., 1998; 2880) were associated with prolonged bath applications of MLA at low concentration, whereas in the oocyte studies it was acutely applied without preincubation. It may be the case that the receptors acquire high affinity for the ligand with prolonged exposure. This would be analogous to the behavior of nicotine with its "highaffinity receptors" that only bind nicotine with nanomolar affinity after the receptors equilibrate into desensitized states over time. Nicotine's potency for transient activation of  $\alpha$ 4 $\beta$ 2 receptors is 2.5  $\mu$ M (Papke et al., 2007), which is much lower than the 4.6 nM affinity reported in binding studies of  $\alpha$ 4 $\beta$ 2 receptors expressed in oocytes (Parker et al., 1998).

MLA is commonly used to confirm the role of  $\alpha$ 7 receptors in CAP (Tasaka et al., 2015; Bagdas et al., 2016; Donvito et al., 2017; Krafft et al., 2017; Gao et al., 2018; Papke et al., 2018b; Quadri et al., 2018a; Yin et al., 2019; Li et al., 2020a; Pinheiro et al., 2020). The dosage used in these studies has typically been around 3 mg/kg (Gao et al., 2018; Yin et al., 2019; Li et al., 2020a) but in some cases was as low as 1 mg/kg (Tasaka et al., 2015; Pinheiro et al., 2020) and, by one group, was as high as 10 mg/kg (Bagdas et al., 2016; Donvito et al., 2017; Papke et al., 2018b; Quadri et al., 2018a), wherein it likely had significant effects on the  $\alpha$ 3<sup>\*</sup> receptors of autonomic ganglia as well as on the  $\alpha$ 7 receptors on cells of the immune system. An alternative approach for showing the critical role of  $\alpha$ 7 in CAP has been the use of  $\alpha$ 7-knockout mice (Bagdas et al., 2016; Li et al., 2018; Fang et al., 2019; Shao et al., 2019).

MLA is generally considered a competitive antagonist of  $\alpha$ 7 activation by orthosteric agonists, and it has been used as an alternative ligand to identify neuronal  $\alpha$ -BTX binding sites (Yum et al., 1996) shown to bind in the same sites as  $\alpha$ -BTX but with more rapid kinetics of association and disassociation (Davies et al., 1999). However, the binding of MLA appears to do more than simply block the access of orthosteric agonists to the binding sites, especially in regard to CAP and the allosteric activation of  $\alpha$ 7. Like NS6740 and GTS-21, MLA was also shown to decrease the microglia response to lipopolysaccharide stimulation of TNF-a (Thomsen and Mikkelsen, 2012a), which to some degree might confound its use as an antagonist in the in vivo studies of CAP.

In regard to allosterically modulated receptors, MLA appears to be more of an inverse agonist than a simple blocker of the ACh binding site. When  $\alpha$ 7 receptors in outside-out patch-clamp experiments were activated by a solution containing ACh and PNU-120596, the long bursts stimulated by the drug exposure continued on average for another 2.57 seconds after the drugs were removed, suggesting that no further binding was required to maintain the bursting behavior. However, when

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Fig. 14. Binding sites for the rapeutic ligands on  $\alpha$ 7 nAChRs. Cartoon of a cut-away view of an  $\alpha$ 7 receptor subunit complex (two subunits removed) and the approximate locations of the binding sites for the ligands discussed in this review. Located in the extracellular vestibule are putative binding sites (A) for allosteric ligands, such as ago-PAMs, allosteric agonists (2NDEP), and allosteric antagonists/inverse agonists, such as (-)TMP-TQS. Located at subunit interfaces on the outer surface of the extracellular domain are the binding sites (O) for ACh and other orthosteric agonists. These sites will also overlap the sites (S) that bind somewhat larger silent agonists. The binding site for PAMs and other allosteric modulators (M) is within the transmembrane domain and requires specific residues at the outer end of the second transmembrane domain. This figure is adapted from (Papke and Lindstrom 2020).

bursting channels were exposed to a solution containing MLA, the bursts ceased on average in 220 milliseconds, suggesting that MLA actively suppressed channel reopening (Williams et al., 2011b). Under conditions in which persistent activation of  $\alpha$ 7 receptors was achieved by application of PNU-120596 to receptors that had covalently bound (tethered) agonists, applications of MLA nonetheless produced transient, concentration-dependent decreases in current. When persistent currents were generated by sequential applications of NS6740 and GAT107, applications of MLA at high concentrations  $(\geq 100 \text{ nM})$  reduced current, whereas lower concentrations actually produced concentration-dependent increases in current (Papke et al., 2018b).

#### VIII. Discussion and Conclusions

 $\alpha$ 7 nAChRs are marvelously complex and challenging drug targets with a rich array of conformational states regulated by both orthosteric and allosteric binding sites (Fig. 14). Although ligands working at the orthosteric sites give us a mere glimpse at ionchannel activation, and silent agonists binding in the extended orthosteric site give us not even that much, both classes of ligands take the receptors into nonconducting states that may function in ways that we are only beginning to understand. The drugs binding to the allosteric modulator sites have revealed something of the complex conformational landscape associated with the nonconducting states, which themselves provide an entirely new dimension of potentially functional states. On top of this already complex matrix of interacting states, we have yet to appreciate in any real detail how this matrix expands as ligands bind at multiple sites that may initially be similar but

dynamically change with increasing levels of agonist occupancy.

In this review, we have necessarily focused on channel activation as a reporter of  $\alpha$ 7 conformational dynamics. However, the addition of CAP to the profile of  $\alpha$ 7 therapeutics means that one of the greatest challenges in in the future will be to understand how conformational changes regulated by ligand binding to extracellular and transmembrane sites translate to intracellular systems of signal transduction that exist in  $\alpha$ 7-expressing cells of the immune system, some of which apparently do not even have the capacity for channel activation. We are only beginning to understand the mechanisms connecting ligand binding to channel activation in heteromeric receptors for which structures of extracellular and transmembrane domains are available (Morales-Perez et al., 2016; Walsh et al., 2018; Gharpure et al., 2019). However, each nAChR has a unique intracellular domain, and we have very limited understanding (Stokes et al., 2015) of their functions. The intracellular domain of  $\alpha$ 7 receptor subunits has features that have been well conserved through evolution and hold promise for bettering our understanding of the function of  $\alpha$ 7 receptors.

Although the  $\alpha$ 7-selective agonists discussed are clearly active in the various assays that were used to identify them, continued work in this area would benefit from more consideration of how they will be presented to the receptors in vivo. In most of the systems used, detection of any response at all required the rapid application of relatively high concentrations of agonist to evoke a coordinated response from a significant fraction of the receptors. This mode of delivery and synchronized activation of receptors are largely irrelevant to the therapeutic delivery of drugs, which would typically be associated with slow delivery of low concentrations of drug (Papke et al., 2011). Although an attempt was made to model this with EVP-6124 and perhaps gave misleading results (Fig. 3), it should be kept in mind for all fast-acting, nondesensitizing agonists that their activity in vivo should be characterized with more relevant protocols.

As noted above, of all the recently characterized  $\alpha$ 7 agonists, the DPP family stands out as the most novel both in structure and functional diversity. They present a challenge to our conventional models of the nAChR pharmacophore, and because of their wide range of desensitizing activities, studies of their activity in vivo may help determine the relative significance of desensitization for specific indications. Another area in which additional work would be beneficial is in regard to separation of racemic compounds into component isomers of differing activity. This will not only provide for more selective drugs with specific activities, but also, as structural models continue to be developed, knowledge of the stereochemistry of active versus inactive compounds will permit more productive structure-based design of new drugs.

Clearly the study of  $\alpha$ 7 nAChR presents unique challenges that when appreciated and met will allow for greatly improved therapeutic targeting for particular indications. We need to better understand the conformational dynamics of the receptor as regulated by ligand binding. This can be accomplished by continuing to generate new chemical tools and characterizing the time- and concentration-dependent effects of those ligands on both the conducting and nonconducting states of the receptor. Those data can then also be used to inform the experimental design in a variety of functional assays. Through understanding how specific ligands manipulate all of the conformational states of  $\alpha$ 7, we will be able to target individual elements in the intracellular cascades associated with inflammatory disease using drugs, such as silent agonists and our recently discovered class of allosteric agonists, as well as to hopefully further develop new therapeutics for cognitive disorders and dementias.

#### Authorship Contributions

Participated in research design: Papke, Horenstein.

Conducted experiments: Papke.

Contributed new reagents or analytic tools: Horenstein.

Performed data analysis: Papke.

Wrote or contributed to the writing of the manuscript: Papke, Horenstein.

#### Appendix

Figure preparation: To illustrate the functional properties of  $\alpha$ 7-targeting ligands discussed in this review, we have drawn upon the large archive of data in the Papke laboratory. Except when noted, much of the data were used in the preparation of papers that are cited in the review or come from unpublished experiments with the same protocols. Therefore, these figures are to a degree adapted from those prior papers, and when appropriate, statistical analyses are provided in the original papers. However, the data for the illustrations in this review were all generated from fresh analyses of the original pClamp data files.

#### Expression in Xenopus Oocytes

The human  $\alpha$ 7 nAChR clone was obtained from Dr. J. Lindstrom (University of Pennsylvania, Philadelphia, PA). The human resistance to cholinesterase 3 clone was obtained from Dr. M. Treinin (Hebrew University, Jerusalem, Israel) and coinjected with  $\alpha$ 7 to improve the level and speed of  $\alpha$ 7 receptor expression without affecting the pharmacological properties of the receptors (Halevi et al., 2003). Subsequent to linearization and purification of the plasmid cDNAs, complementary RNAs were prepared using the mMessage mMachine in vitro RNA transcription kit (Ambion, Austin, TX).

Oocytes were surgically removed from mature female Xenopus laevis frogs (Nasco, Ft. Atkinson, WI). Frogs were maintained in the Animal Care Service facility of the University of Florida, and all procedures were approved by the University of Florida Institutional Animal Care and Use Committee. In brief, the frog was first anesthetized for 15–20 minutes in 1.5-liter frog tank water containing 1 g of 3-aminobenzoate methanesulfonate buffered with sodium bicarbonate. The harvested oocytes were treated with 1.4 mg/ml type 1 collagenase (Worthington Biochemicals, Freehold NJ) for 2–4 hours at room temperature in calcium-free Barth's solution (88 mM NaCl, 1 mM KCl, 2.38 mM NaHCO<sub>3</sub>, o.82 mM MgSO<sub>4</sub>, 15 mM HEPES, and 12 mg/l tetracycline, pH 7.6) to remove the ovarian tissue and the follicular layers. Stage V oocytes were subsequently isolated and injected with  $4-6$  ng  $\alpha$ 7 RNA and  $2-3$  ng RIC-3 RNA (2:1 ratio) in 50 nl water. Oocytes were maintained in Barth's solution with calcium [additional 0.32 mM  $Ca(NO_3)_2$  and 0.41 mM  $CaCl_2$ ], and recordings were carried out 1–14 days after injection.

## Two-Electrode Voltage-Clamp Electrophysiology

Experiments were conducted using OpusXpress 6000A (Molecular Devices, Union City, CA) (Papke and Stokes, 2010). Both the voltage and current electrodes were filled with 3 M KCl. Oocytes were voltage-clamped at  $-6$  o mV at room temperature ( $24^{\circ}$ C). The oocytes were bath perfused with Binger's soluroom temperature (24°C). The oocytes were bath-perfused with Ringer's solution (115 mM NaCl, 2.5 mM KCl, 1.8 mM CaCl<sub>2</sub>, 10 mM HEPES, and 1  $\mu$ M atropine, pH 7.2) at 2 ml/min. The control ACh concentrations were 60  $\mu$ M.

Solutions were applied from 96-well plates via disposable tips. Drug applications were 12 seconds in duration followed by 181-second washout periods. The responses were calculated as both peak current amplitudes and net charge, as previously described (Papke and Porter Papke, 2002). Data were collected at 50 Hz, filtered at 20 Hz, and analyzed by Clampfit 9.2 or 10.0 (Molecular Devices) and Excel (Microsoft, Redmond, WA). Data were expressed as mean ± S.E.M. from at least four oocytes for each experiment and plotted with Kaleidagraph 4.5.2 (Abelbeck Software, Reading, PA). Multicell averages were calculated for comparisons of complex responses. Averages of the normalized data were calculated for each of the 10,322 points in each of the 206.44-second traces (acquired at 50 Hz) as well as the S.E. for those averages.

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