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## Denoising of Diffusion MRI Data via Graph Framelet Matching in $x$ - $q$ Space

**Geng Chen,**

Department of Radiology and Biomedical Research Imaging Center (BRIC), University of North Carolina at Chapel Hill, NC, U.S.A. D. Shen is also with the Department of Brain and Cognitive Engineering, Korea University, Seoul, Korea.

**Bin Dong,**

Beijing International Center for Mathematical Research, Peking University, Beijing, China.

**Yong Zhang,**

Vancouver Research Center, Huawei, Burnaby, Canada.

**Weili Lin\* [IEEE Fellow],**

Department of Radiology and Biomedical Research Imaging Center (BRIC), University of North Carolina at Chapel Hill, NC, U.S.A. D. Shen is also with the Department of Brain and Cognitive Engineering, Korea University, Seoul, Korea.

**Pew-Thian Yap\* [IEEE Senior Member]**

Department of Radiology and Biomedical Research Imaging Center (BRIC), University of North Carolina at Chapel Hill, NC, U.S.A. D. Shen is also with the Department of Brain and Cognitive Engineering, Korea University, Seoul, Korea.

### Abstract

Diffusion magnetic resonance imaging (DMRI) suffers from lower signal-to-noise-ratio (SNR) due to MR signal attenuation associated with the motion of water molecules. To improve SNR, the non-local means (NLM) algorithm has demonstrated state-of-the-art performance in noise reduction. However, existing NLM algorithms do not take into account explicitly the fact that DMRI signal can vary significantly with local fiber orientations. Applying NLM naively can hence blur subtle structures and aggravate partial volume effects. To overcome this limitation, we improve NLM by performing neighborhood matching in non-flat domains and removing noise with information from both  $x$ -space (spatial domain) and  $q$ -space (wavevector domain). Specifically, we first encode the  $q$ -space sampling domain using a graph. We then perform graph framelet transforms to extract robust rotation-invariant features for each sampling point in  $x$ - $q$  space. The resulting features are employed for robust neighborhood matching to locate recurrent information. Finally, we remove noise via an NLM framework. To adapt to the various types of noise in multi-coil MR imaging, we transform the signal before denoising so that it is Gaussian-distributed, allowing noise removal to be carried out in an unbiased manner. Our method is able to more effectively locate recurrent information in white matter structures with different orientations, avoiding the blurring effects caused by naively applying NLM. Experiments on synthetic,

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\*Corresponding authors. pubs-permissions@ieee.org.

repetitively-acquired, and infant DMRI data demonstrate that our method is able to preserve subtle structures while effectively removing noise.

## Keywords

Diffusion MRI; Denoising; Graph Framelet Transforms; Non-Local Means; Neighborhood Matching

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## I. INTRODUCTION

DIFFUSION magnetic resonance imaging (DMRI) plays a vital role in understanding white matter development and pathology in the human brain [1], [2]. For example, various studies have utilized DMRI in investigating the asynchronous and protracted nature of brain development in the first years of life [1]. DMRI tractography was used in [2] to study the small-world nature of the brain structural network in the first two years of life. DMRI was also employed to investigate the white matter pathological changes associated with various early brain diseases, such as autism spectrum disorder [3].

However, DMRI data suffers from low signal-to-noise-ratio (SNR), especially when the  $b$ -value is high. This is due to the fact that DMRI encodes the motion of water molecules via signal attenuation, which increases with diffusion weighting (i.e.,  $b$ -value). The low SNR is aggravated in infant DMRI. The lack of myelin in restricting water mobility results in greater attenuation of the MR signal, causing lower SNR. Fig. 1 indicates that the quality of diffusion-weighted (DW) images is lower for earlier time points. The higher diffusivity closer to the perinatal period, as indicated by the mean diffusivity (MD) images, suggests greater water mobility.

Image quality can be improved by hardware methods, e.g., employing greater magnetic field strength [4] and averaging repeated scans [5]. However, these require either expensive hardware upgrades or prolonged acquisition times, which are prohibitive in clinical settings. Alternatively, software methods have been widely used for noise removal [6]–[13]. A particularly effective method is the non-local means (NLM) algorithm [6]–[9], which averages self-similar information gathered via neighborhood matching. However, when self-similar information does not recur, NLM can cause blurring and artifacts (see *rare patch effect* discussed in [14]–[17]). In DMRI, this typically happens in highly-curved white matter structures where the fiber orientations change significantly. This results in lower recurrence of signal patterns. Operating under such condition, NLM blurs diffusion signal profiles and aggravates partial volume effects.

In this paper, we propose a new neighborhood matching technique to overcome the limitations of NLM. DMRI acquires diffusion-weighted (DW) signals that are parameterized by both  $x$ -space (spatial domain) and  $q$ -space (wavevector domain). We encode the  $q$ -space sampling domain in a graph and view the DMRI signals in each voxel location as a function defined on the graph. Graph framelet transforms (GFTs), derived from the graph, are then applied to the function to extract features for neighborhood matching. Each GFT is used to extract features that correspond to a certain frequency subband of the spectrum obtained via

graph spectral decomposition. This process is linear and is thus computationally efficient. Based on the GFT features, effective neighborhood matching can be carried out in  $x$ - $q$  space, even for highly-curved white matter structures.

Based on the neighborhood matching technique described above, we proposed a method, called graph framelet matching (GFM), to remove the noise in DMRI data. GFM involves two major components, i.e., noise adaptation and noise reduction. Specifically, using the method presented in [18], we first transform the DMRI signals so that the noise in DMRI data follows a Gaussian distribution. This strategy can well adapt to various types of noise in modern multi-coil MR imaging, and simplify the task of noise reduction. We then employ the proposed neighborhood matching technique to local the redundant information in  $x$ - $q$  space, and utilize the gathered information for the effective noise removal in an NLM framework. Thanks to the robust neighborhood matching technique, the information existing in highly-curved white matter structures can be effectively used for denoising. Extensive experiments on synthetic and real DMRI data demonstrate that GFM improves the denoising performance, both qualitatively and quantitatively.

A preliminary version of this work has been presented in a conference [19]. The method presented herein is a significantly improved version that considers various types of noise resulting from multi-coil MRI. More comprehensive evaluation is performed using synthetic and real data. The associated results, analyses, and discussions are new and not part of the conference publication.

The paper is organized as follows. In Section II, we give a detailed description of the proposed method. In Section III, we demonstrate the effectiveness of the proposed method with one synthetic dataset and two real datasets. In Section IV, we provide further discussions on this work. Finally, in Section V, we conclude this work.

## II. METHODS

In this section, we will describe our neighborhood matching technique and its application to DMRI denoising. First, we will introduce the theory of spectral graph convolution. Second, we will explain the details of GFTs, which are built upon the idea of graph convolution. Third, we will describe GFT-based neighborhood matching and its application to DMRI noise reduction. Finally, we will explain how noise removal can be performed for various types of noise in multi-coil MRI.

### A. Spectral Graph Convolution

Unlike data uniformly sampled in the Euclidean space, convolution is less straightforward for irregularly-sampled data residing on manifolds. To achieve this, we introduce here the concept of spectral graph convolution.

We denote  $\mathcal{G} = \{\mathcal{M}, \mathcal{V}, w\}$  as a graph, where  $\mathcal{V} = \{v_k \in \mathcal{M} : k = 0, \dots, K-1\}$  is a set of points on the vertices, and a manifold  $\mathcal{M}$ ,  $\mathcal{E} \subset \mathcal{V} \times \mathcal{V}$  is a set of edges relating  $w: \mathcal{E} \mapsto \mathbb{R}^+$  is a weight function. Graph  $\mathcal{G}$  is determined by an affinity matrix  $\mathcal{A}$  which is symmetric with  $w_{k, k'} > 0$  when two points  $k$  and  $k'$  are connected with each other. The graph Laplacian is

defined is as  $\mathcal{L}: = D - \mathcal{A}$  where  $D: = \text{diag}\{d[1], d[2], \dots, d[K]\}$  is a degree matrix with  $d[k]: = \sum_{k'} w_{k, k'}$ . Denote by  $\{\lambda_k, u_k\}_{k=0}^{K-1}$  the pairs of eigenvalues and eigenvectors of  $\mathcal{L}$  with  $0 = \lambda_0 \leq \lambda_1 \leq \lambda_2 \leq \dots \leq \lambda_{K-1} = \lambda_{\max}$ . The eigenvectors form an orthonormal basis for all functions on the graph:  $\langle u_k, u_{k'} \rangle: = \sum_{l=0}^{K-1} u_k[l] u_{k'}[l] = \delta_{k, k'}$ . The Fourier transform of a function  $f: \mathcal{V} \mapsto \mathbb{R}$  on the graph  $\mathcal{G}$  is given by  $\hat{f}[k]: = \sum_{l=0}^{K-1} f[l] u_k[l] = U^\top f$ , where  $U = [u_0, u_1, \dots, u_{K-1}]$ . The convolution of  $f$  with filter  $h$  on  $\mathcal{G}$  is defined as

$$h * f = U(U^\top h \odot U^\top f) = U(\hat{h} \odot \hat{f}) = U \text{diag}(\hat{h}) U^\top f, \quad (1)$$

where  $\odot$  represents a point-wise product. Such a convolution is called frequency filtering and  $\hat{h}$  is the transfer function of the filter. This is consistent with the classical convolution operator, judging from the fact that convolutions are by definition linear operators that diagonalize in the Fourier domain (see Convolution Theorem [20]). Designing a convolution filter thus amounts to determining the spectral multipliers  $\{\hat{h}[0], \hat{h}[1], \dots, \hat{h}[K-1]\}$ . While there are apparently many ways of doing so, we choose to achieve this using GFTs, which we will describe next.

## B. Graph Framelet Transforms

GFT slices the frequency spectrum by using a set of masks  $\{\hat{a}_r(\cdot): r = 0, \dots, R\}$ , each corresponding to a subband, e.g.,  $\hat{a}_0(\cdot)$  is a low-pass filter and the other masks,  $\{\hat{a}_r(\cdot): 0 < r \leq R\}$ , are high-pass and band-pass filters. Information from the different subbands allows us to more accurately establish point-to-point correspondences of the nodes in the graph for improving denoising performance. As in [21], [22], we define GFTs for the function  $f$  as

$$\alpha: = \mathbf{W}f: = \{\alpha_{l,r}: = W_{l,r}f: (l,r) \in \mathcal{B}_{L,R}\}, \quad (2)$$

where  $\mathcal{B}_{L,R}: = \{(1,1), (1,2), \dots, (1,R), (2,1), \dots, (L,R)\} \cup \{(L,0)\}$  with maximum level  $L$  and

$$\widehat{W_{l,r}f}[k]: = \begin{cases} \hat{a}_r(\gamma^{-L+1}\tilde{\lambda}_k)\hat{f}[k] & l = 1 \\ \hat{a}_r(\gamma^{-L+l}\tilde{\lambda}_k)\hat{a}_0(\gamma^{-L+l-1}\tilde{\lambda}_k) & \\ \dots \hat{a}_0(\gamma^{-L+1}\tilde{\lambda}_k)\hat{f}[k] & 2 \leq l \leq L, \end{cases} \quad (3)$$

where  $\tilde{\lambda}_k = (\lambda_k/\lambda_{\max})\pi$  and  $\gamma > 1$  is a dilation factor. GFT is a linear transform and can be computed efficiently.

We reformulate (3) so that the transform can be performed without explicit spectral decomposition. Denoting  $\mathcal{L} = U\Lambda U^\top$ , where  $\Lambda = \text{diag}\{\lambda_0, \lambda_1, \dots, \lambda_{K-1}\}$ , we have

$$W_{l,r} = \begin{cases} U\widehat{\Omega}_r(\gamma^{-L+1}\widetilde{\Lambda})U^\top & l = 1 \\ U\widehat{\Omega}_r(\gamma^{-L+l}\widetilde{\Lambda})\widehat{\Omega}_0(\gamma^{-L+l-1}\widetilde{\Lambda}) & \\ \dots\widehat{\Omega}_0(\gamma^{-L+1}\widetilde{\Lambda})U^\top & 2 \leq l \leq L, \end{cases} \quad (4)$$

where  $\widetilde{\Lambda} = \text{diag}\{\tilde{\lambda}_0, \tilde{\lambda}_1, \dots, \tilde{\lambda}_{K-1}\}$  and

$$\widehat{\Omega}_r(c\widetilde{\Lambda}) = \text{diag}\{\widehat{a}_r(c\tilde{\lambda}_0), \widehat{a}_r(c\tilde{\lambda}_1), \dots, \widehat{a}_r(c\tilde{\lambda}_{K-1})\}. \quad (5)$$

All framelet masks,  $\{\widehat{a}_r(\cdot)\}$  are generated based on the unitary extension principle (UEP) [22]. We show some examples of the masks in Table I. As in [22], [23], given a synthesis transform  $\mathbf{W}^\top$ , we can have

$$\mathbf{W}^\top \boldsymbol{\alpha} = \mathbf{W}^\top \mathbf{W} f = \mathbf{I} f = f. \quad (6)$$

### C. Graph Representation of DMRI Data

We treat each point in  $q$ -space as a node in a graph and define the affinity weight between each pair of nodes using two Gaussian kernels, accounting for differences in gradient directions and diffusion weightings. Specifically, we define the affinity weight  $a_{k,k'}$  of two points  $k, k'$  in  $q$ -space as

$$a_{k,k'} = \exp\left\{-\frac{1 - (\widehat{\mathbf{q}}_k^\top \widehat{\mathbf{q}}_{k'})^2}{2\alpha_p^2}\right\} \exp\left\{-\frac{(\sqrt{b_k} - \sqrt{b_{k'}})^2}{2\sigma_p^2}\right\}, \quad (7)$$

where  $\widehat{\mathbf{q}}_k = \mathbf{q}_k / \|\mathbf{q}_k\|$  is a normalized wavevector with  $\mathbf{q}_k \in \mathbb{R}^3$ ,  $b_k = t|\mathbf{q}_k|^2$  is the corresponding  $b$ -value with diffusion time  $t$ ,  $\alpha_p$  and  $\sigma_p$  are two parameters controlling the attenuations of two exponential functions, respectively. After computing the affinity weights between all the paired nodes in  $q$ -space, we then have the affinity matrix  $\mathcal{A} = (a_{k,k'})$  that encodes the  $q$ -space sampling geometric structure. Finally, the diffusion signals in each voxel are reformulated as a function defined on the graph.

### D. Neighborhood Matching Using GFTs

We perform neighborhood matching based on the GFT features. A Gaussian function is employed to compute the similarity weight  $w_{k,k'}$  between two nodes  $k$  and  $k'$ , defined as

$$w_{k,k'} = \frac{1}{Z_k} \exp\left\{-\frac{\|\phi[k] - \phi[k']\|_2^2}{h_{\text{GFT}}^2(k, k')}\right\}, \quad (8)$$

where  $Z_k$  is a normalization constant ensuring that the weights sum to one,  $\phi[k] = \{\alpha_{l,r}[k] : (l,r) \in \mathcal{B}_{L,R}\}$  is the GFT coefficients for node  $k$ , and  $h_{\text{GFT}}(k, k')$  is a parameter controlling the attenuation of the exponential function. It can be observed that (8) encourages a large similarity weight for two nodes sharing similar GFT features.

Considering the variation of spatial location and  $b$ -value, we extend (8) to

$$w_{i,k;i',k'} = \frac{1}{Z_{i,k}} \exp \left\{ -\frac{\|\phi_i[k] - \phi_{i'}[k']\|_2^2}{h_{\text{GFT}}^2(i,k;i',k')} \right\} \exp \left\{ -\frac{(\sqrt{b_k} - \sqrt{b_{k'}})^2}{h_b^2} \right\}, \quad (9)$$

where  $i, i'$  are two indices for different spatial locations,  $h_{\text{GFT}}(i,k;i',k')$  and  $h_b$  are two parameters controlling the bandwidths of the Gaussian kernels for differences in the GFT features and diffusion weightings. Referring to [24], we set

$$h_{\text{GFT}}(i,k;i',k') = \sqrt{\beta(\hat{\sigma}_{i,k}^2 + \hat{\sigma}_{i',k'}^2)}|\phi_i[k]|, \quad (10)$$

where  $\beta$  is a constant,  $|\phi_i[k]|$  is the length of the feature vector, and  $\hat{\sigma}_{i,k}$  is the noise standard derivation estimated at point  $(i,k)$ . Similar to Eq. (10), we set  $h_b = \sqrt{2}\sigma_b$ , where  $\sigma_b$  is a scale parameter. The normalization constant,  $Z_{i,k}$ , in Eq. (9) is defined as

$$Z_{i,k} = \sum_{(i',k') \in \mathcal{V}_{i,k}} \exp \left\{ -\frac{\|\phi_i[k] - \phi_{i'}[k']\|_2^2}{h_{\text{GFT}}^2(i,k;i',k')} \right\} \exp \left\{ -\frac{(\sqrt{b_k} - \sqrt{b_{k'}})^2}{h_b^2} \right\}, \quad (11)$$

where  $\mathcal{V}_{i,k}$  is the search volume associated with an  $x$ -space search radius  $s$  and a  $q$ -space search angle  $\theta$ .

### E. Denoising via Neighborhood Matching in $x$ - $q$ Space

Similar to NLM [25], we estimate the underlying noise-free signal  $\text{NLM}(S)(\mathbf{x}_i, \mathbf{q}_k)$  using

$$\text{NLM}(S)(\mathbf{x}_i, \mathbf{q}_k) = \sum_{(i',k') \in \mathcal{V}_{i,k}} w_{i,k;i',k'} S(\mathbf{x}_{i'}, \mathbf{q}_{k'}), \quad (12)$$

where  $S(\mathbf{x}_{i'}, \mathbf{q}_{k'})$  is a diffusion signal associated with a spatial location  $\mathbf{x}_{i'} \in \mathbb{R}^3$  and a gradient  $\mathbf{q}_{k'}$ .

### F. Adaptation to Various Types of Noise in Multi-Coil MRI

The classic NLM is designed to remove Gaussian noise and needs to be modified for the noncentral chi (nc- $\chi$ ) distribution typical in modern multi-coil MRI [28]. The noise distribution of the composite magnitude signal (CMS) [28] given by modern multi-coil MRI techniques is dependent on how the  $k$ -space signal is sampled, how the magnitude signal is reconstructed, and how the coils are correlated. A summary of various types of noise for multi-coil MRI is shown in Fig. 2.

A number of methods [18], [29], [30] have been developed for dealing with the non-Gaussian nature of noise in MR images. For instance, Foi [29] proposed to determine a forward variance-stabilizing transformation to allow stationary Rician noise in MR

magnitude images to be removed with filters designed for additive white Gaussian noise. The unbiased estimation of the true signal is obtained after denoising via a corresponding inverse variance-stabilizing transformation. This framework was extended in [30] for non-stationary Rician noise resulting from parallel MRI. Another promising method, presented in [31], uses nonlocal maximum likelihood method for estimating the underlying true signal from an MR image with spatially-varying noise.

In this work, we adopt Koay et al.'s method [18] to transform a Rician/nc- $\chi$  signal to its Gaussian-distributed counterpart. This involves estimating the location parameter and Gaussian noise standard deviation of the nc- $\chi$  distribution and then performing signal transformation using the nc- $\chi$  cumulative distribution function (CDF) and the inverse Gaussian CDF [18], as illustrated in Fig. 3. Such signal transformation reduces the complexity of the denoising algorithm by not having to deal with the nc- $\chi$  nature of the noise [11], [18].

The estimation of noise standard derivation is key to accurate signal transformation. For spatially stationary noise, the noise standard derivation can be estimated from the image background using a method called probabilistic identification and estimation of noise (PIESNO) [32] or from doubly-acquired images using the method described in [33]. For spatially non-stationary noise, a number of noise estimation methods [11], [30], [34]–[38] have been proposed. For instance, inspired by the work presented in [39], Veraart et al. [40] estimated the spatially-varying noise in DMRI data by using the median absolute deviation estimator in the wavelet domain and Koay's inversion technique [41]. The resulting noise map was then used for improving the estimation accuracy of diffusion MRI parameters. Manjón et al. [35] suggested using principle component analysis (PCA) for noise estimation and reduction in DMRI. Veraart et al. [36] proposed a method, called Marchenko-Pastur PCA (MP-PCA), that identifies the noise-only principal components automatically based on random matrix theory. In this work, we use MP-PCA [36] for estimating the spatially-varying noise level.

### III. EXPERIMENTS

#### A. Datasets

We evaluated the proposed method using one synthetic dataset and two real datasets. All real data were acquired at the Biomedical Research Imaging Center (BRIC) of the University of North Carolina (UNC) at Chapel Hill. Informed written consent was obtained from the all the subjects and the experimental protocols were approved by the Institutional Review Board of UNC School of Medicine. The study was carried out in accordance with the approved guidelines.

**1) Synthetic Data:** For quantitative evaluations, we generated a synthetic multi-shell dataset using *phantomas* [42]. The fiber geometric setting was based on the description file used in the ISBI 2013 HARDI challenge<sup>1</sup>. Consistent with the infant data described in Section III-A3, the synthetic data were generated using  $b = 700, 1500, 3000$  s/mm<sup>2</sup> with a

<sup>1</sup>[http://hardi.epfl.ch/static/events/2013\\_ISBI/](http://hardi.epfl.ch/static/events/2013_ISBI/)



total of 144 non-collinear gradient directions. Image dimensions are  $55 \times 55 \times 55$  with  $1.5 \times 1.5 \times 1.5 \text{mm}^3$  resolution.

To evaluate the effect of noise removal of our method, the ground truth data was added with stationary and non-stationary nc- $\chi$  noise with different noise levels (3%, 5%, 7%, and 9%) and numbers of channels (1, 4, 8, and 12). Note that the nc- $\chi$  distribution with one channel is equivalent to a Rician distribution. The nc- $\chi$  noise is simulated using

$$Y_N = \sqrt{(\mu_N + X(1))^2 + \sum_{k=2}^{2N} [X(k)]^2}, \quad (13)$$

where  $N$  is the number of channels,  $Y_N$  is the noisy signal,  $\mu_N$  is the true signal, and  $X(k) \sim \mathcal{N}(0, \eta\sigma)$  is a random variable following a Gaussian distribution with standard deviation  $\eta\sigma$ . We set  $\sigma$  to  $p$  percentages of the maximum signal value  $v$ , i.e.,  $\sigma = v(p/100)$ , and change  $p$  to simulate different noise levels [24]. We change  $\eta$  to simulate spatial stationary and non-stationary noise. Specifically, as illustrated in Fig. 4, a constant  $\eta$  map leads to stationary noise, whereas a spatially varying  $\eta$  map leads to non-stationary noise.

**2) Repetitively-Acquired Data:** We acquired the brain DMRI data of an adult 25 times using a Siemens 3T Magnetom Prisma MR scanner with the following imaging protocol:  $b = 3000 \text{s/mm}^2$ , 42 gradient directions,  $140 \times 140$  imaging matrix, voxel size  $1.5 \times 1.5 \times 1.5 \text{mm}^3$ , TE=89ms, TR=2,513ms, 32 receiver coils. We performed signal transformation [43] and eddy correction [44] for each dataset. The 25 processed datasets were averaged to form a gold standard with improved SNR for evaluation purposes.

**3) Infant Data:** This dataset consists of a set of longitudinal infant brain DMRI data covering three time points, i.e., 0 month, 6 months, and 12 months. All data were from the Baby Connectome Project (BCP) [45] and were acquired using the Siemens 3T Magnetom Prisma MR scanner with the following imaging protocol:  $140 \times 140$  imaging matrix,  $1.5 \times 1.5 \times 1.5 \text{mm}^3$  resolution, TE=88ms, TR=2,365ms, 32-channel receiver coil. Gradient directions and  $b$ -values were identical to the synthetic data. CMS reconstruction was performed using SENSE1 [46], resulting in non-stationary Rician noise distribution.

## B. Parameter Settings

The parameters of GFM can be divided into two categories, i.e., parameters for GFT feature computation and  $x$ - $q$  space non-local denoising. For the first category, we used the quadratic masks and set the decomposition level to  $L = 4$ . We used the low-frequency subbands since they are relatively unaffected by noise. The parameters in the second category were as follows:

1. **Constant  $\beta$ :** It is suggested in [24] to set  $\beta = 1$ . However, based on the theory of kernel regression, decreasing the bandwidth will reduce bias when the sample size is large [47]. In our case, the sample size is significantly increased since information in the joint  $x$ - $q$  space is considered. Therefore, we set  $\beta = 0.1$ .



2. **Tuning parameter  $\sigma_b$ :** Based on the diffusion gradients described in Section III-A3, we computed the typical value for  $|\sqrt{b_k} - \sqrt{b_l}|$ , which is  $\sqrt{1500} - \sqrt{700} \approx 12$ . Therefore, we set  $\sigma_b = 12/2 = 6$ .
3.  **$x$ -space search radius  $s$ :** Coupé et al. suggested to set  $s = 5$ , corresponding to 5mm in physical distance [24]. We set  $s = 2$  since the voxel size of DW images is typical two times that of structural MR images in each physical dimension.
4.  **$q$ -space search angle  $\theta$ :** We set  $\theta = 2 \times 15^\circ = 30^\circ$  based on the average angular separation of the gradient directions ( $15^\circ$ ).
5. **Tuning parameter  $\alpha_p$ :** Based on the same average angular separation, we set  $\alpha_p = \sqrt{1 - \cos^2(15^\circ)} \approx 0.26$ .
6. **Tuning parameter  $\sigma_p$ :** Our data are all shell-sampled. Therefore, we set  $\sigma_p$  to a small value, 0.1, for greater localization.

### C. Methods for Comparison

We compared GFM with the following methods:

1. **Adaptive non-local means (ANLM):** ANLM [34] is an extension of the NLM algorithm, which removes spatially non-stationary noise. Based on [34], we set the patch radius to 1.
2. **Non-local spatial and angular matching (NLSAM):** NLSAM [11] consists of three major steps, i.e., (i) Signal transformation so that the signals are Gaussian distributed; (ii) 4D block construction by considering diffusion-weighted images within an angular neighborhood; (iii) Noise removal using sparse representation. Based on [11], we set the patch radius to 1 and use 5 angular neighbours.
3.  **$x$ - $q$  space non-local means (XQ-NLM):** XQ-NLM defines spherical patches in  $q$ -space. After mapping the spherical patch to a 2D disk, rotation-invariant features are computed using polar complex exponential transform (PCET) [48]. The resulting features are then used in  $x$ - $q$  space patch matching. Finally, the signal is denoised through weighted averaging of self-similar information. Based on [12], we set the maximum order of PCET to 4. Other parameters are consistent with GFM and also based on the default values suggested in [12].

For fair comparison, we used the non-stationary noise field estimated by MP-PCA for ANLM, NLSAM, XQ-NLM, and GFM. For stationary noise, the noise standard deviation was determined using PIESNO [32].

### D. Methods of Evaluation

Quantitative and qualitative evaluations were performed:

1. **Peak signal-to-noise ratio (PNSR):** We used PSNR as the metric for performance evaluation. PSNR is defined

$$\text{PSNR} = 20 \log_{10} \frac{\text{MAX}}{\text{RMSE}}, \quad (14)$$

where RMSE is the root mean square error computed between the denoised image and the ground truth noise free image, MAX is the maximum signal value.

2. **Generalized fractional anisotropy (GFA) image:** We computed the GFA image [49] using Dipy [50] based on the method described in [51].
3. **Mean absolute difference (MAD):** We computed the absolute difference (AD) map between each GFA image  $G$  and the gold standard  $G_{\text{True}}$ . The MAD value was calculated by averaging across voxels in a brain region. Mathematically, MAD is defined as  $\frac{1}{|\Omega|} \sum_{i \in \Omega} |G_{\text{True}}(i) - G(i)|$ , where  $G(i)$  and  $G_{\text{True}}(i)$  are the GFA values at location  $i$  in the brain region  $\Omega$ .
4. **RMSE map:** Pixelwise accuracy was evaluated using the RMSE computed between the denoised signal vector at each voxel location with respect to the ground truth.
5. **Residual map:** We computed the residual map by subtracting denoised DW image from its noisy version. An ideal residual map should show noise and contain as little structural information as possible.
6. **Fiber orientations:** We evaluated the fiber orientation distribution functions (ODFs) [52] and the local minima [53].
7. **Probability of false fiber detection (PFFD):** We computed PFFD [54] using

$$\frac{|P_{\text{True}} - P_{\text{Estimated}}|}{P_{\text{True}}} \times 100\%, \quad (15)$$

where  $P_{\text{True}}$  and  $P_{\text{Estimated}}$  are respectively the numbers of ground truth peaks and estimated peaks in a voxel.

8. **Average angular error (AAE):** We utilized AAE [54] to measure the angular accuracy of fiber orientations. AAE is defined as the average value of the angular errors computed for all ground true fiber peaks in one voxel. The associated angular error is defined as

$$\frac{180}{\pi} \arccos(|\mathbf{d}_{\text{True}} \cdot \mathbf{d}_{\text{Estimated}}|), \quad (16)$$

where  $\mathbf{d}_{\text{True}}$  and  $\mathbf{d}_{\text{Estimated}}$  are the ground truth peak direction and its closest estimation, respectively. We use  $\cdot$  to denote the dot product.

## E. Results

- 1) **Neighborhood Matching:** We first evaluated the neighborhood matching performance of GFTs with respect to PCET [48], which is used in XQ-NLM [12]. The results, shown in Fig. 5, indicate that neighborhood matching with GFTs is more accurate

and more robust to noise than PCET. Note that the matching is not affected by the orientation of the signal profiles. Fig. 6 shows the matching results in the corpus callosum region of a real dataset, clearly demonstrating the effectiveness of the matching mechanism in curved white matter structures.

**2) Synthetic Data:** We show the PSNR results shown in Figs. 7 and 8. For stationary noise, GFM performs best for all noise levels and channel numbers. Compared with the second best method, XQ-NLM, GFM yields a largest improvement of 2.8dB when the noise level is 9% (one channel). For non-stationary noise, conclusions consistent with Fig. 7 can be drawn from Fig. 8, indicating that GFM performs best among all methods.

Fig. 9 shows the regional close-up views of DW images and RMSE maps. From the region marked by the red rectangle, it can be observed that XQ-NLM and GFM perform best in terms of edge preservation. In contrast, ANLM and NLSAM blur boundaries and result in higher RMSE values. The advantages of GFM over XQ-NLM can be clearly observed in the region marked by the blue rectangle, with GFM producing clearer boundaries and lower RMSE values than XQ-NLM. The blurring effects of ANLM over NLSAM can also be observed in this region.

The fiber peaks, shown as ‘sticks’ in Fig. 10, indicate that GFM is able to produce clean fiber peaks similar to the ground truth. In regions marked by rectangles, spurious fiber peaks are produced by ANLM and NLSAM due to partial volume effects from blurring. In the region marked by the arrow, a missing fiber peak is observed in the result given by XQ-NLM. In contrast, GFM produces correct fiber peaks and avoids such error. For quantitative evaluation, we show the PFFD values of the regions of interest at the bottom of Fig. 10. It can be observed that GFM and XQ-NLM correctly recover all fiber orientations without introducing any false positives or false negatives. In contrast, ANLM and NLSAM give unsatisfactory results by causing spurious peaks. Moreover, GFM gives an AAE of  $(3.8^\circ \pm 2.1^\circ)$  compared with  $(4.1^\circ \pm 5.1^\circ)$  given by XQ-NLM, indicating that GFM improves angular accuracy.

**3) Repetitively-Acquired Data:** Fig. 11 indicates that GFM gives the lowest GFA mean MAD values, computed over the 25 datasets, with respect to the gold standard. Fig. 12 shows the GFA images and associated GFA AD maps of one dataset. Compared with ANLM and NLSAM, XQ-NLM and GFM significantly reduce the AD values. Furthermore, the improvement of GFM over XQ-NLM is clearly observed in the regions marked by white rectangles. Overall, GFM give a GFA image with the best quality and lowest MAD value. The superior performance of GFM can be attributed to the fact that GFM is able to preserve edges while effectively remove noise. As shown in the synthetic data experiments, ANLM and NLSAM over-smooth DW images. Smoothing also blurs diffusion signal profile in  $q$ -space, lowering the GFA value.

**4) Infant Data:** Since our method gives consistent performance for all time points, we only report the results for the 8-month subject here. Please refer to the supplementary materials for the results of all time points. Fig. 13 indicates that both XQ-NLM and GFM are effective in preserving structural information while removing noise. In contrast, the

boundaries between white matter and the ventricles are blurred by ANLM and NLSAM. Moreover, the regions marked by blue rectangles in Fig. 13 indicate that GFM is better at preserving edges than XQ-NLM.

Fig. 14 shows the residual maps for different methods. In contrast to ANLM and NLSAM, GFM and XQ-NLM result in little structural information in the residual maps, confirming that the edge-preserving effects observed in Fig. 13.

We further investigated the influence of denoising on fiber ODFs. The results, shown in Fig. 15, indicate that GFM yields fiber ODFs that are clearer and more coherent, compared with XQ-NLM.

These experiments demonstrate that GFM is effective in removing noise in infant DMRI, which can be beneficial for studies on brain development [55], [56].

#### IV. DISCUSSION

The edge-preserving property of GFM can be attributed to the nature of the neighborhood matching framework, which can be summarized in three aspects:

- Rich characterization of signal pattern: GFTs decompose the signal into multiple frequency subbands and is thus able to provide a rich characterization of signal patterns. As demonstrated in Fig. 5 and 6, GFTs significantly improve the accuracy of neighborhood matching.
- Rotation-invariance: Since the graph Laplacian used to generate GFTs is agnostic to orientation, GFTs are rotation-invariant. The rotation-invariance of property GFTs provides a good basis for neighborhood matching in structures with different orientations.
- Linear operation: GFTs are linear operations. This significantly simplifies the implementation of GFTs and reduces computation times.

GFM is computationally efficiency, as verified by Table II, where we show the computation times of different methods when for one randomly selected dataset from the repetitively-acquired data described in Section III-A2. GFM is about two times faster than XQ-NLM, the second best performing method. We implemented ANLM, XQ-NLM, and GFM using C++ based on the Insight Segmentation and Registration Toolkit (ITK)<sup>2</sup>. NLSAM was compiled from source code<sup>3</sup>. Evaluation was based on a computer with a four-core 2.9GHz Intel Core i7 CPU.

While effective, GFM has several limitations. First, GFM requires a significant amount of memory for storing the GFT features. This can be resolved by performing noise reduction in local regions and then combining the results. Second, GFM, while faster than competing methods, is still not fast enough for real-time applications. Speed can be improved by using the neighborhood preselection strategy described in [34]. Third, unbiased noise reduction is

<sup>2</sup><https://itk.org/ITK.git>

<sup>3</sup><https://github.com/samuelstjean/nlsam>

still challenging for some noise distributions, e.g., the spatially-varying  $nc\text{-}\chi$  noise distribution resulting from GRAPPA with SoS reconstruction [28]. Signal transformation cannot be properly performed due to the difficulty in estimating the parameters of the noise distribution.

The proposed method provides a general framework for performing neighborhood matching of structures that are orientationally different. We can apply our method to improve algorithms that rely on neighborhood matching; for instance, super-resolution [57], [58], atlas building [59]–[61], ODF estimation [62], [63], voxel-based morphometry [64], etc.

## V. CONCLUSION

We have introduced a neighborhood matching technique for curved domains and applied it to the noise reduction of DMRI data. Extensive experiments were performed using synthetic data, repetitively-acquired data, and infant data. The experimental results demonstrate that our method, GFM, preserves edges while removing noise, significantly reducing noise-induced artifacts in derived diffusion quantities. The proposed method outperforms various state-of-the-art methods, both qualitatively and quantitatively.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

## Acknowledgments

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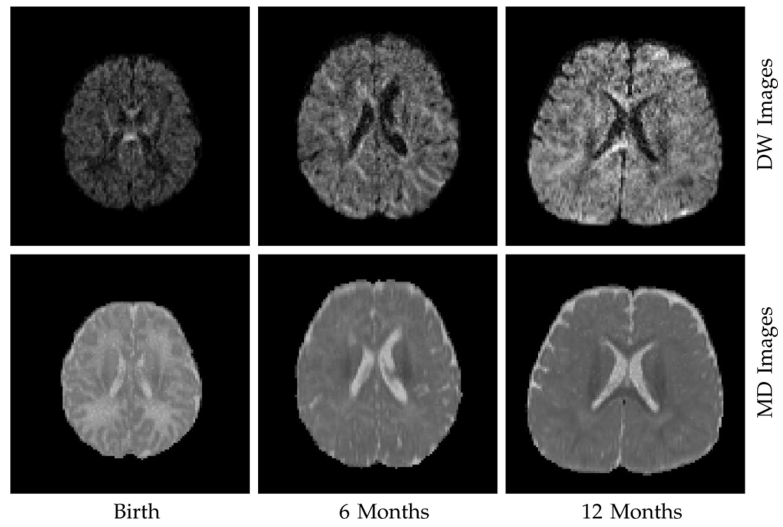
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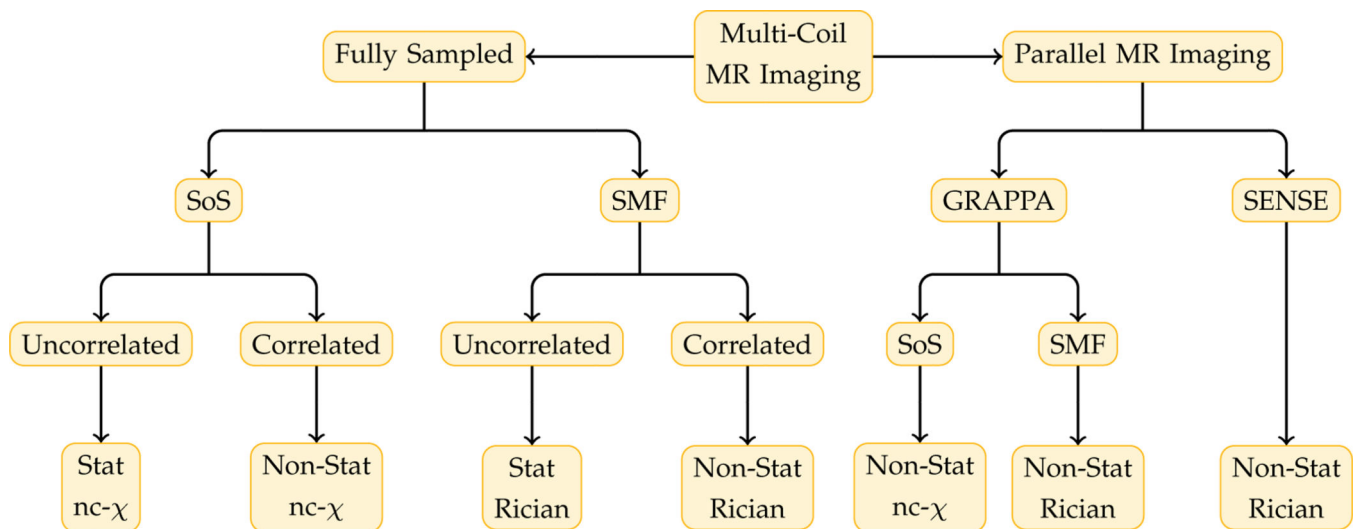


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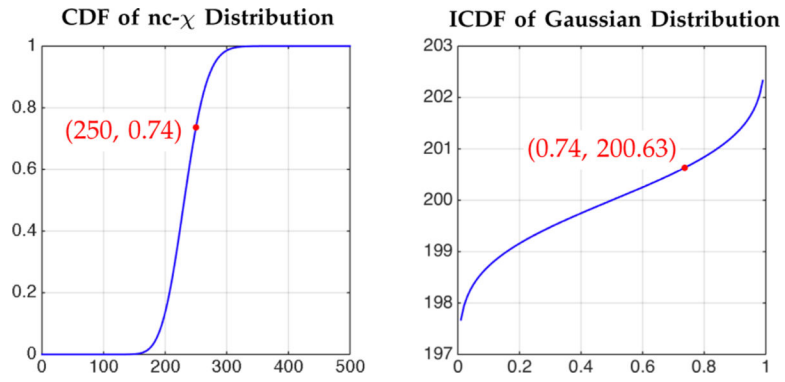
**Fig. 1: Data Quality.**

Closer to the perinatal period, the quality of DW images ( $b = 1,500\text{s/mm}^2$ ) is lower due to signal attenuation associated with greater water mobility, as indicated by the mean diffusivity (MD) images.



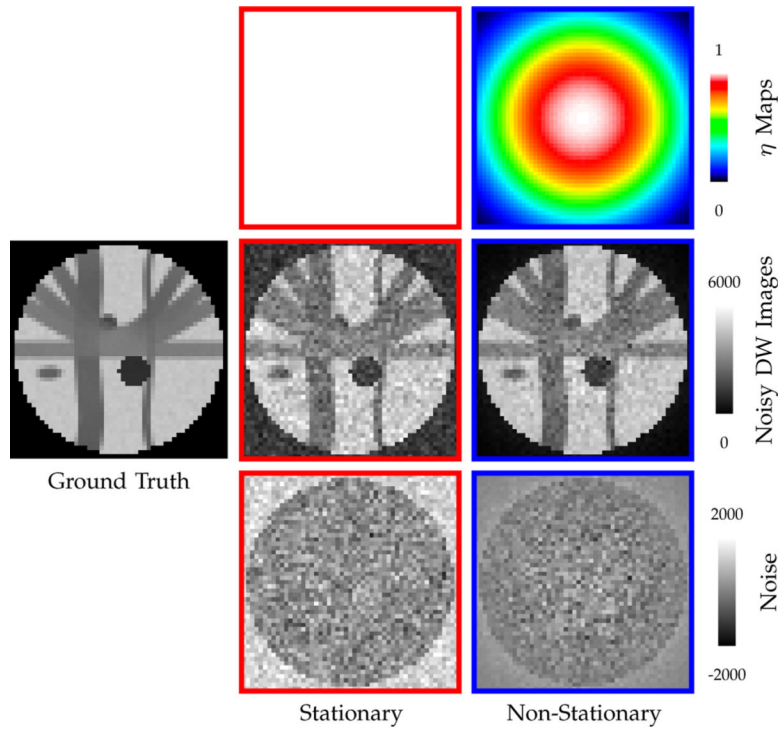
**Fig. 2: Various Types of Noise in Multi-Coil MRI.**

For fully-sampled  $k$ -space, sum of squares (SoS) and spatial matched filter (SMF) are common CMS reconstruction methods. For parallel MRI with subsampled  $k$ -space, sensitivity encoding (SENSE) [26] and generalized autocalibrating partially parallel acquisition (GRAPPA) [27] are well-known methods. The noise distribution is also influenced by correlation among coils. Multi-coil MRI produces signal with spatially stationary/non-stationary Rician/nc- $\chi$  noise distribution.

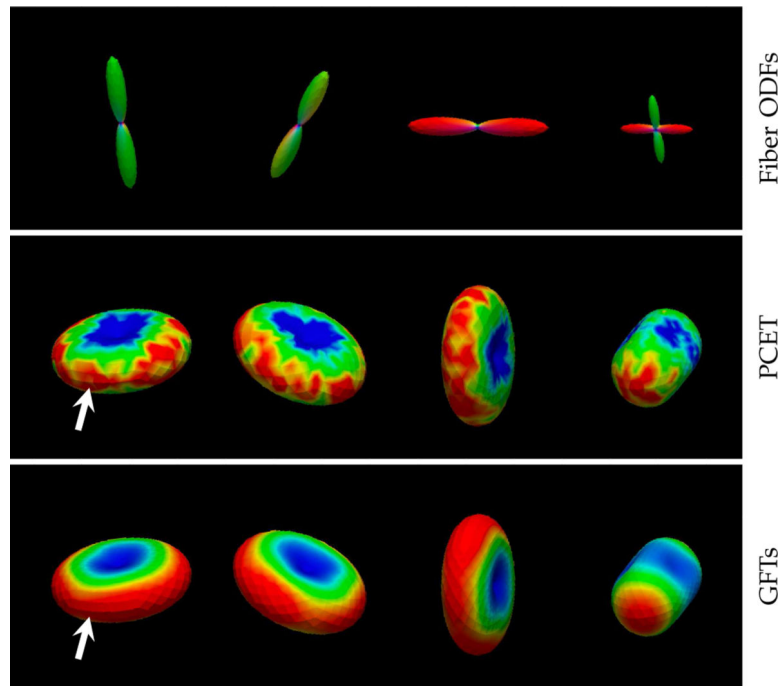


**Fig. 3: Signal Transformation.**

A nc- $\chi$  measurement  $S_{\text{nc-}\chi} = 250$  is mapped to the corresponding Gaussian measurement  $S_{\text{Gaussian}} = 200.63$  using common probability  $P = 0.74$ .

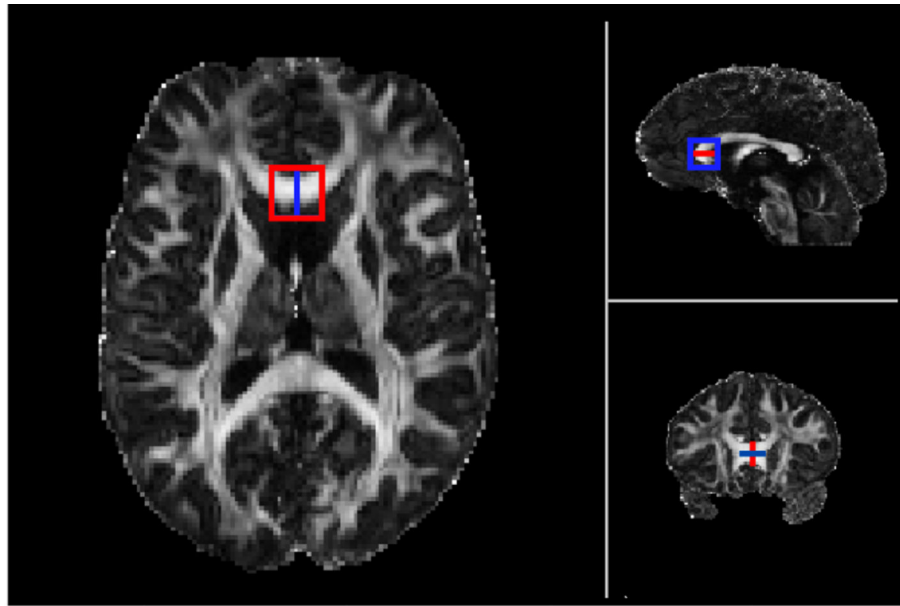


**Fig. 4: Noise Simulation.**  
5% 4-channel nc- $\chi$  noise with spatially constant and varying  $\eta$  maps for  $b = 700\text{s/mm}^2$ .

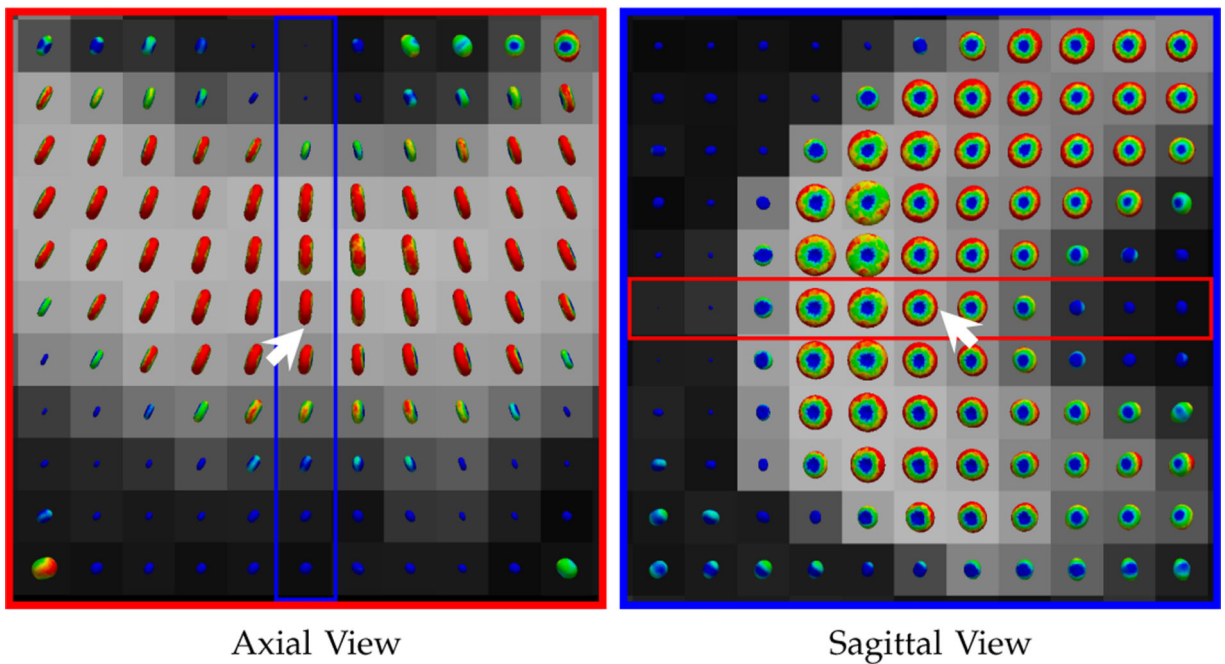


**Fig. 5: Neighborhood Matching.**

Neighborhood matching is performed in the presence of noise with respect to the point marked by the white arrow. Warm colors indicate greater agreement; cool colors indicate otherwise. Matching performance is significantly better in the case of GFT features than PCET features.



FA Image and Region of Interest



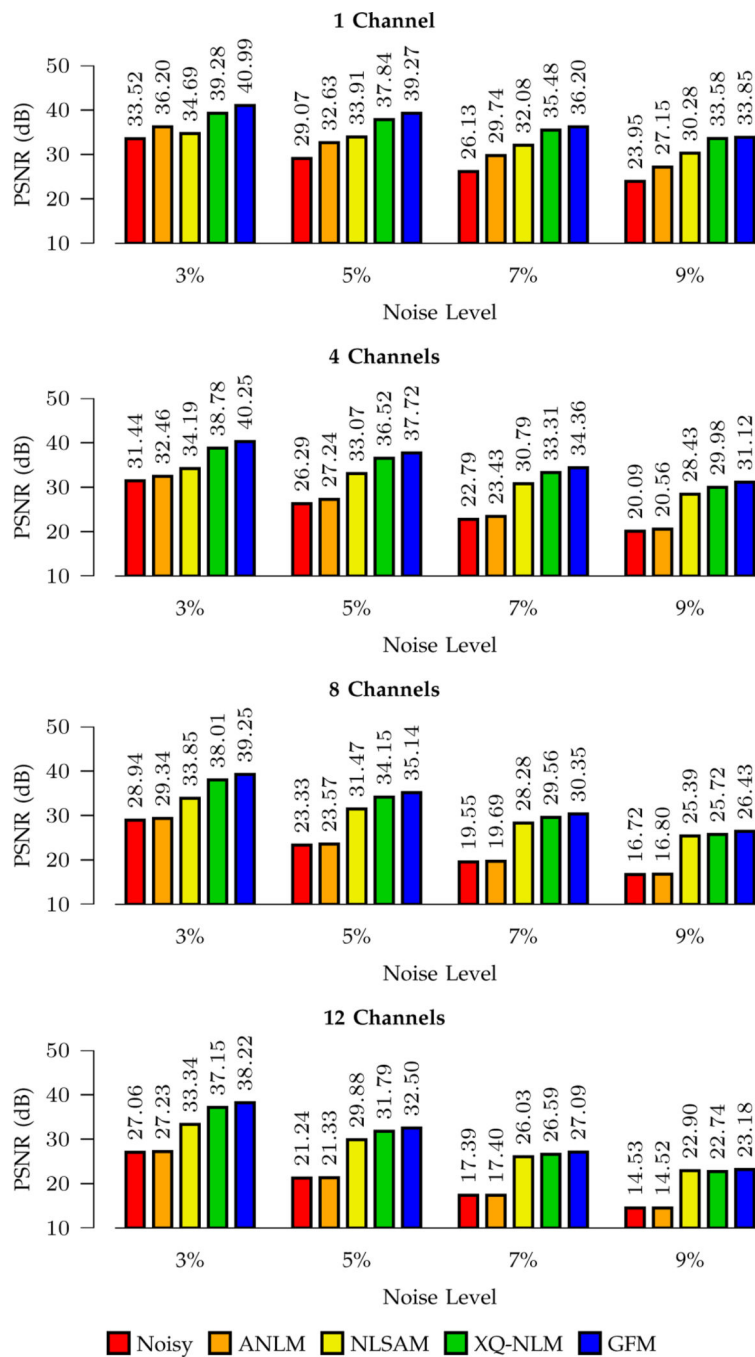
Axial View

Sagittal View

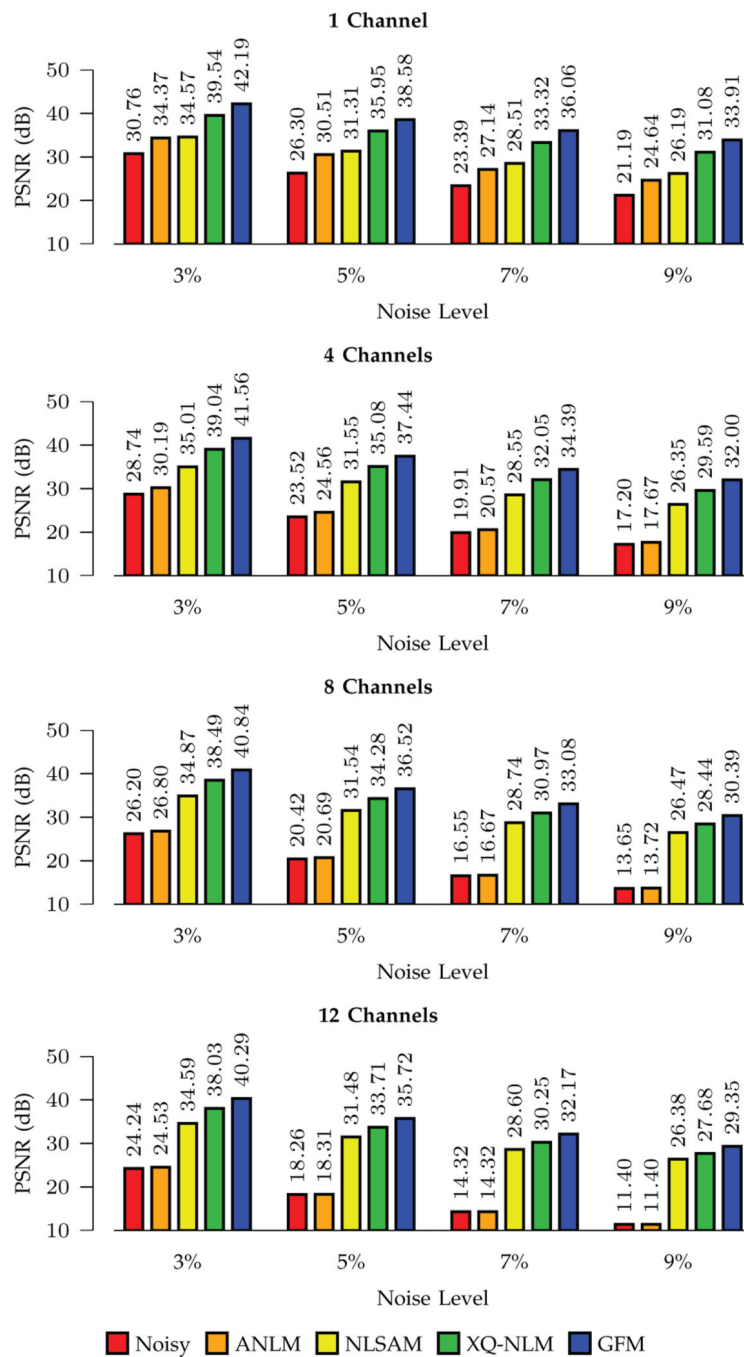
**Fig. 6: Neighborhood Matching in Real Data.**

Similar to Fig. 5, but showing the neighborhood matching results in the corpus callosum of a real dataset. The results in the marked region are shown in axial and sagittal views.

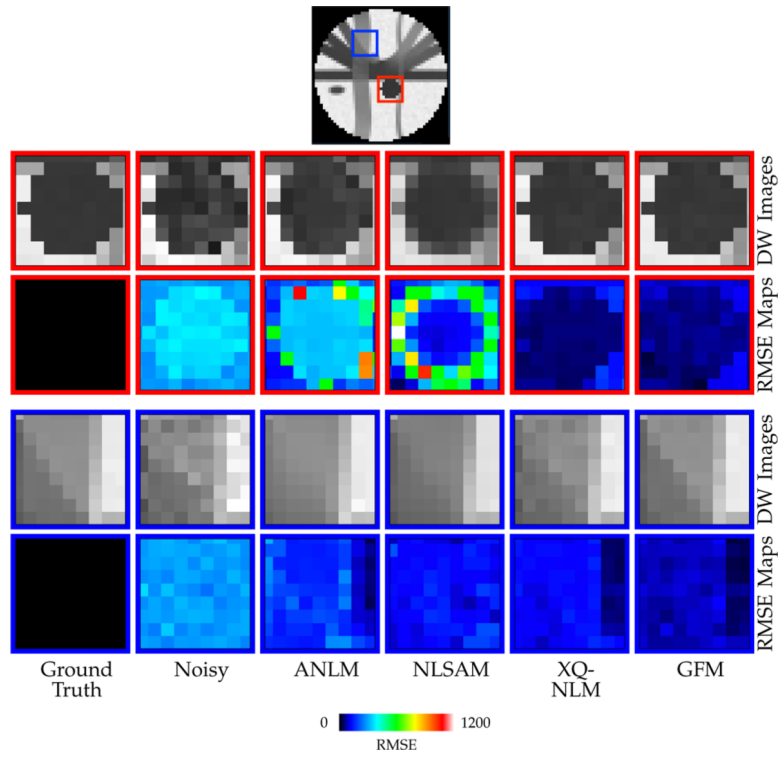




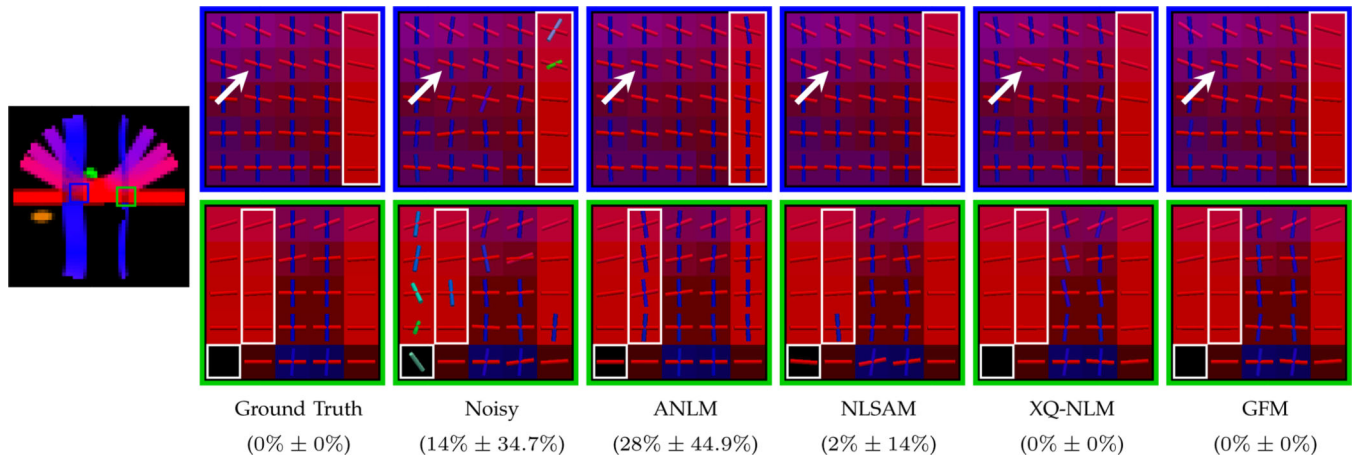
**Fig. 7: PSNR Comparison – Stationary Noise.**  
 Denoising performance for different noise levels and channel numbers.



**Fig. 8: PSNR Comparison – Non-Stationary Noise.**  
 Denoising performance for different noise levels and channel numbers.

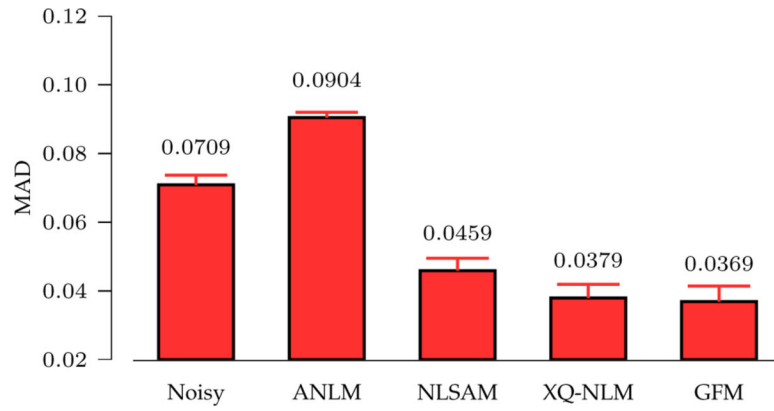


**Fig. 9: DW Images and RMSE Maps.**  
Boundary effects of various smoothing algorithms.



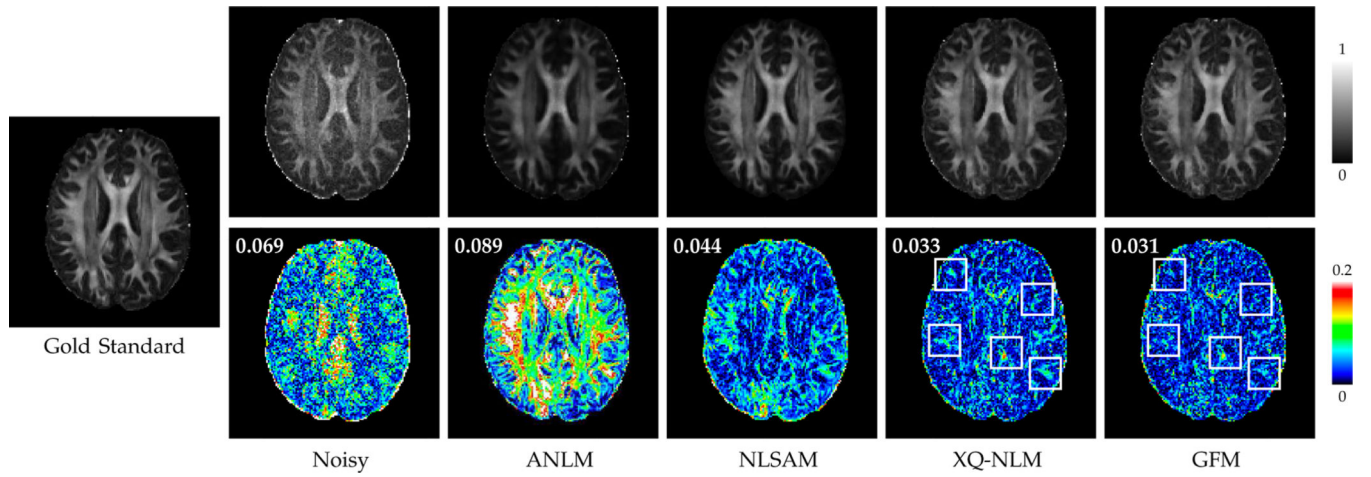
**Fig. 10: Fiber Peaks.**

Local maxima of ODFs shown as sticks. The PFFD means and standard deviations for the regions of interest are shown at the bottom.



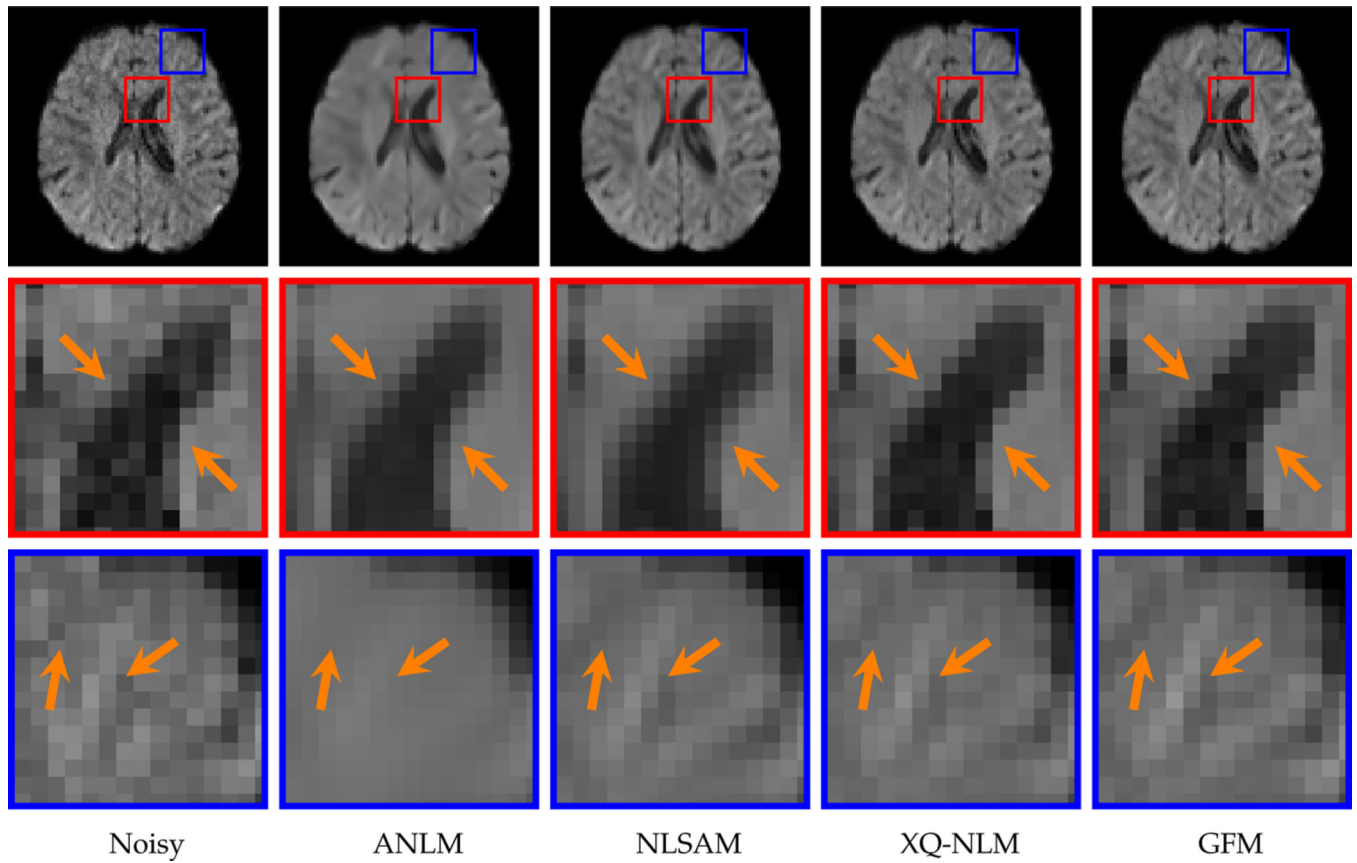
**Fig. 11: GFA MAD Comparison**

For each of 25 repetitively-acquired datasets, we computed the MAD values for the GFA images given by the different methods. The means and standard deviations computed across the 25 datasets are shown.



**Fig. 12: GFA Images and AD Maps.**

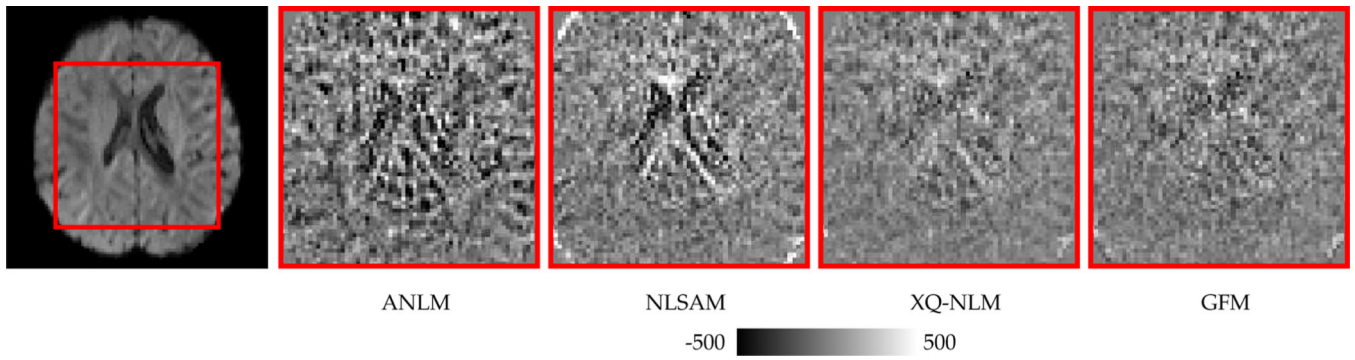
GFA images and the associated AD maps. GFM yields lower AD values than XQ-NLM, especially in the regions marked with rectangles. MAD values are shown in the top left corners of AD maps.



**Fig. 13: DW Images.**

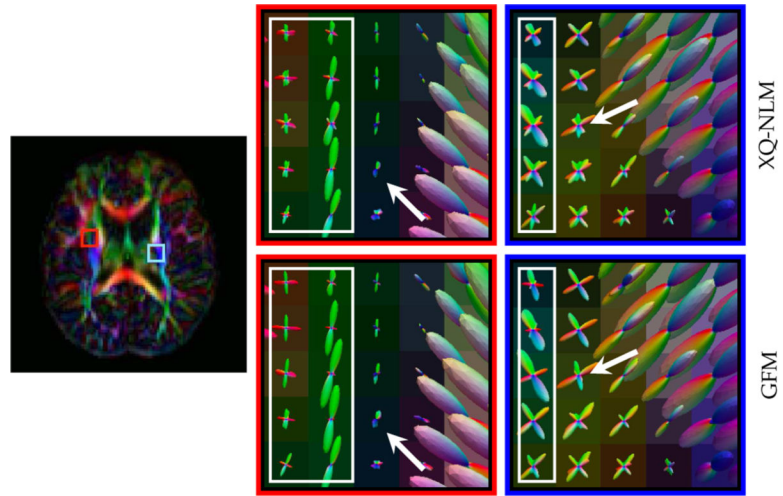
Edge-preserving performance of various denoising algorithms. The DMRI data of the 6-month subject was used in the evaluation.





**Fig. 14: Residual Maps.**

Evaluation of whether structural information is removed during denoising.



**Fig. 15: Fiber ODFs.**

Fiber ODF comparison between XQ-NLM and GFM at different time points.

**TABLE I:**

Framelet masks.

Haar	Linear	Quadratic
$\hat{a}_0(\xi) = \cos\left(\frac{\xi}{2}\right)$	$\hat{a}_0(\xi) = \cos^2\left(\frac{\xi}{2}\right)$	$\hat{a}_0(\xi) = \cos^3\left(\frac{\xi}{2}\right)$
$\hat{a}_1(\xi) = \sin\left(\frac{\xi}{2}\right)$	$\hat{a}_1(\xi) = \frac{1}{\sqrt{2}}\sin(\xi)$	$\hat{a}_1(\xi) = \sqrt{3}\sin\left(\frac{\xi}{2}\right)\cos^2\left(\frac{\xi}{2}\right)$
	$\hat{a}_2(\xi) = \sin^2\left(\frac{\xi}{2}\right)$	$\hat{a}_2(\xi) = \sqrt{3}\sin^2\left(\frac{\xi}{2}\right)\cos\left(\frac{\xi}{2}\right)$
		$\hat{a}_3(\xi) = \sin^3\left(\frac{\xi}{2}\right)$

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**TABLE II:**

Comparison of computation times.

	<b>ANLM</b>	<b>NLSAM</b>	<b>XQ-NLM</b>	<b>GFM</b>
Time (mins)	33.2	332.2	115.5	49.4

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