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## VDAC oligomers form mitochondrial pores that release small mtDNA fragments and promote lupus-like disease

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### Abstract

Mitochondrial stress releases mitochondrial DNA (mtDNA) into the cytosol and triggers the type-I interferon (IFN) response. Mitochondrial outer membrane permeabilization (MOMP), which is required for mtDNA release, has been extensively studied in apoptotic cells, but little is known about MOMP in live cells. Here, we show that oxidatively stressed mitochondria release short

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**Author contributions:** J.K. designed and performed the majority of experiments, analyzed the data, interpreted results, and wrote the manuscript. R.G., A.S.-K., and V.S.-B. performed the cross-linking assay, liposome assay, MST, mPTP, VDAC purification and channel conductance studies and helped write the manuscript. L.P.B., X.W., and M.J.K. performed and analyzed the data for the human sample studies, including NETosis and mROS measurements. K.W. and J.I.C. designed and performed the viral infection study. J.Z. supervised mtDNA sequence analysis. S.Y. and J. Z. performed sequencing and analyzed the data., H.E.Y., M.K., H.K., A.L.B., S.-J.P., X.X., and E.Z.V.R. helped with experiments. M.K.K. and J.H.C. supervised the study, analyzed the data, and wrote the manuscript.

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Supplementary materials.

Materials and Methods

Figs. S1 to S13

Tables S1

References (29–36)

mtDNA fragments via pores formed by the voltage-dependent anion channel (VDAC) oligomers in the mitochondrial outer membrane. Furthermore, the positively charged residues in the N-terminal domain of VDAC1 interact with mtDNA and promote VDAC1 oligomerization. The VDAC oligomerization inhibitor VBIT-4 decreases mtDNA release, IFN signaling, neutrophil extracellular traps, and disease severity in a mouse model of systemic lupus erythematosus. Thus, VDAC oligomerization inhibition is a potential therapeutic approach for diseases associated with mtDNA release.

### One Sentence Summary:

Inhibiting VDAC oligomerization decreases mtDNA release, type-I interferon response, and ameliorates lupus-like disease.

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Mitochondrial stress, such as that triggered by increased mitochondrial reactive oxygen species (mROS), can release mitochondrial DNA (mtDNA) into the cytosol. There, it interacts with and activates a large number of immunostimulatory DNA sensors such as cyclic GMP-AMP synthase (cGAS) that can trigger autoimmunity, including diseases caused by the type-I interferon (IFN) response (1). Mitochondrial outer membrane permeabilization (MOMP) is required for mtDNA release. To date, only BAX/BAK oligomers, which can form extremely large macropores in the mitochondrial outer membrane (MOM), have been shown to mediate mtDNA release (2–4). However, the formation of the BAX/BAK macropore generally occurs under conditions that activate BAX/BAK such as apoptosis or treatment with BAX/BAK activators (2–4). However, the pore(s) that promotes MOMP in live cells or in conditions that do not activate BAX/BAK has not been identified.

Because the voltage-dependent anion channel (VDAC) can oligomerize under oxidative stress conditions, and VDAC oligomers can form large MOM pores (5), we investigated whether VDAC could trigger MOMP in live cells and mediate mtDNA release. VDAC is the most abundant protein in MOM and regulates  $\text{Ca}^{2+}$  influx, metabolism, inflammasome activation (6) and cell death (7, 8). Moreover, the expression levels of VDAC1, the most abundant of the three VDAC isoforms, and VDAC3 are increased in the autoimmune disease systemic lupus erythematosus (SLE) (9), which, in some models, is thought to be triggered by released mtDNA (10, 11).

Previous studies on mtDNA release have used cells that are either undergoing apoptosis or that have altered mtDNA content (2, 12, 13), which make studies on the mechanism of mtDNA release difficult to interpret. To avoid these confounding variables, we studied mouse embryo fibroblasts (MEFs) deficient in endonuclease g (*Endog*), a nuclear-encoded mitochondrial endonuclease (14, 15). *Endog*<sup>-/-</sup> MEFs have higher levels of cytosolic mtDNA (cmtDNA) compared to WT MEFs (Fig. 1A), despite having similar levels of total mtDNA (Fig. 1B) and cellular growth rates (fig. S1A). Consistent with this, *Endog*<sup>-/-</sup> MEFs (Fig. 1, C and D, and fig. S1, B and C) and plasmacytoid dendritic cells (fig. S1D) have higher mRNA levels of IFN-stimulated genes (ISGs) compared to their WT counterparts. ISG expression and phosphorylation of TANK-binding kinase 1 (TBK1) and IFN regulatory factor 3 (IRF3) were significantly reduced in  $\rho^0$  (mtDNA-deficient) cells derived from

*Endog*<sup>-/-</sup> MEFs, compared with the parental *Endog*<sup>-/-</sup> MEFs (Fig. 1, E and F, and fig. S1, E to G). Thus, the cGAS–STING pathway is activated by cmtDNA in *Endog*<sup>-/-</sup> MEFs (fig. S2, A to D). Increased mROS appears to cause the mtDNA release in *Endog*<sup>-/-</sup> MEFs because mROS was higher in *Endog*<sup>-/-</sup> MEFs (fig. S2, J and K), but the mROS scavenger mitochondria-targeted TEMPO decreased ISG expression in *Endog*<sup>-/-</sup> MEFs (Fig. 1G).

Under physiological conditions, tissue-culture cells are composed of two populations: live cells which make up the majority of the population and a small minority of cells undergoing spontaneous apoptosis and caspase activation. Prior studies (3, 4, 16) and our data (fig. S3A) show that activation of BAX/BAK with ABT-737 in the presence of caspase inhibition increases ISG expression. However, WT and *Bax/Bak*<sup>-/-</sup> MEFs had similar cmtDNA levels (fig. S3B), and knocking down *Endog* robustly induced ISG expression in *Bax/Bak*<sup>-/-</sup> MEFs, albeit slightly less than that in WT MEFs (fig. S3, C and D). Thus, *Endog*<sup>-/-</sup> MOMP can occur in the absence BAX/BAK macropores. Moreover, mitochondria in *Endog*<sup>-/-</sup> MEFs tended to be slightly longer than those in WT MEFs rather than being fragmented as they would be expected with BAX/BAK activation (fig. S3E) (2, 17).

We then compared the level of apoptosis in WT and *Endog*<sup>-/-</sup> MEFs because extremely high levels of mROS can lead to apoptosis, and *Endog*<sup>-/-</sup> MEFs have higher mROS compared to WT MEFs (fig. S2, J and K). WT and *Endog*<sup>-/-</sup> MEFs had similar levels of apoptotic indicators such as caspase activity (fig. S3F), cell viability (fig. S3G), lactate dehydrogenase (LDH) release (fig. S3H), and ethidium homodimer-1 (EthD-1) staining (fig. S3I) either before or after the induction of apoptosis by BAX/BAK. Thus, *Endog*<sup>-/-</sup> MEFs have levels of mROS stress high enough to promote MOMP and mtDNA release in a BAX/BAK-independent manner but insufficient to promote apoptosis at the cellular level (fig. S3I).

An alternative mediator of MOMP may be VDAC, and indeed, *Vdac1*<sup>-/-</sup>, *Vdac3*<sup>-/-</sup>, and *Vdac1/3*<sup>-/-</sup> MEFs had lower levels of ISG mRNA and cmtDNA compared to WT MEFs (Fig. 2, A and B, and fig. S4, A to C) despite having similar total mtDNA levels (fig. S4D). We excluded VDAC2 from our study because VDAC2-deficiency promotes apoptosis (8). Additionally, a knock down of *Endog* increased ISG expression in WT and *Bax/Bak*<sup>-/-</sup> MEFs, but not in *Vdac1/3*<sup>-/-</sup> MEFs (Fig. 2C and fig. S3C) and treatment with the VDAC inhibitor DIDS (18) decreased ISG expression in *Endog*<sup>-/-</sup> MEFs (fig. S4E). In agreement with their reduced type-I IFN signaling, *Vdac1/3*<sup>-/-</sup> MEFs were less resistant to HSV-1 infection compared to WT MEFs (fig. S4, F to H). Thus, both VDAC1 and VDAC3 contribute to both MOMP and mtDNA release.

VDAC does not promote mtDNA release by increasing the subpopulation of cells undergoing apoptosis as the total caspase activity was not altered in *Vdac1/3*<sup>-/-</sup> MEFs even in the presence of a moderate concentration of H<sub>2</sub>O<sub>2</sub> (fig. S5A), which increases cmtDNA (Fig. 2B). In contrast, BAX/BAK, but not VDAC1/3, was required for ABT-737-induced apoptosis (fig. S5B), indicating that in the presence of BAX/BAK macropores (e.g. apoptosis), VDAC is not required to drive MOMP (fig. S5C).

We initially hypothesized that VDAC regulates mtDNA release by promoting Ca<sup>2+</sup> influx (19), which opens the mitochondrial permeability transition pore (mPTP) in the

mitochondrial inner membrane (MIM) in a manner that does not trigger apoptosis at the whole cell level (20, 21). This would be possible only if the population of affected mitochondria is very small so that the total caspase activity remains near background levels. Chelation of  $\text{Ca}^{2+}$  with BAPTA decreased ISG expression (fig. S6, A to C), and inhibiting mPTP opening with cyclosporin A (CsA) decreased mtDNA release in both *Endog*<sup>-/-</sup> MEFs and WT mitoplasts as well as ISG mRNA levels in *Endog*<sup>-/-</sup> MEFs (fig. S6, D to F). However, mtDNA release from mitochondria deficient in mitochondrial calcium uptake 1 (MICU1), which had elevated mROS levels and IFN responses (fig. S6, G to I) (22), was still inhibited by DIDS in the absence of  $\text{Ca}^{2+}$  (fig. S6J). Thus, VDAC may play a  $\text{Ca}^{2+}$  flux-independent role in mtDNA release. In agreement with this, the highly potent VDAC1 oligomerization inhibitor VBIT-4 (23) decreased cmtDNA levels and ISG expression in *Endog*<sup>-/-</sup> MEFs (Fig. 2, D and E), without inhibiting either  $\text{Ca}^{2+}$  uptake (fig. S6K) or mPTP opening (fig. S6L) in the mitochondria. We then loaded mtDNA fragments into liposomes with or without membrane-reconstituted VDAC1. The presence of VDAC1 in liposomes increased mtDNA passage across the lipid membrane (Fig. 2F), but VBIT-4 decreased VDAC1 oligomerization (fig. S7, A and B) as well as mtDNA efflux (Fig. 2F). Thus, VDAC1 oligomers are sufficient to permeabilize lipid membranes for mtDNA passage.

As the intact mtDNA is large (16–17 kb) and is tethered to the MIM in nucleoid complexes (24), we hypothesized that short and free (untethered) intra-mtDNA fragments (fimtDNA) that can pass through VDAC oligomer pores pre-exist in living cells (fig. S8A). To investigate this possibility, we treated mitochondria purified from WT MEFs with cytoskeleton (CSK) buffer, which gently permeabilizes mitochondrial membranes and releases fimtDNA while leaving mitochondrial nucleoids intact (24). Interestingly, the sequences corresponding to a region within the D-loop in the mitochondrial genome were over represented in the fimtDNA pool (fig. S8, A and B). A size distribution analysis excluding the sequences with 100% homology to both mitochondrial and nuclear genomes indicated that the peak sizes of fimtDNA and cmtDNA are almost identical (~110 bp) (Fig. 2G). Treatment of WT MEFs with mito-TEMPO (fig. S8C) or the mTORC1 inhibitor everolimus (fig. S8D), which promotes mitophagy and elimination of damaged mitochondria, decreased fimtDNA. Thus, fimtDNA accumulates preferentially within a subpopulation of mitochondria with elevated mROS, and cmtDNA is derived largely from fimtDNA in live cells (fig. S5C).

Studies with planar lipid bilayer (PLB) reconstituted with VDAC1 indicate that the N-terminal domain of VDAC1 can interact directly with mtDNA (fig. S9). The N-terminal domain, which is evolutionarily conserved (fig. S10A), is hydrophilic and is thought to translocate out of the channel when VDAC1 is in an oligomerized state (Fig. 3A) (25). This raises the possibility that the negatively charged backbone of mtDNA may interact with multiple VDAC1 molecules simultaneously and act as a scaffold to stabilize the oligomers (Fig. 3A). Indeed, mtDNA increased the formation of VDAC1 trimers and higher order oligomers (Fig. 3, B and C) in vitro. In agreement with higher cmtDNA in *Endog*<sup>-/-</sup> MEFs (Fig. 1A), co-immunoprecipitation with anti-VDAC1 antibody pulled down more mtDNA in *Endog*<sup>-/-</sup> MEFs compared to WT MEFs (Fig. 3D). VDAC1 oligomerization was also increased in *Endog*<sup>-/-</sup> MEFs compared to WT MEFs, but it was reduced by the elimination of mtDNA in *Endog*<sup>-/-</sup> MEFs ( $\rho^0$ ) (Fig. 3, E and F). In contrast, BAK oligomerization was

not increased in *Endog*<sup>-/-</sup> MEFs (Fig. 3G), and BAI, the BAX oligomerization inhibitor (26), did not reduce ISG expression in *Endog*<sup>-/-</sup> MEFs (Fig. 3H). Thus, mtDNA may promote further VDAC1 oligomerization, creating a feed-forward cycle.

The N-terminal domain of VDAC1 contains three positively charged residues (K12, R15, K20) that could interact with the negatively charged backbone of mtDNA (Fig. 3I). Indeed, mtDNA fragments were pulled down by a 26 a.a. VDAC1 N-terminal peptide but not by a VDAC1 N-terminal mutant peptide (3A) in which K12, R15, K20 residues were replaced by Ala (A) (Fig. 3J). We then examined H<sub>2</sub>O<sub>2</sub>-induced expression of ISG in *Vdac1/3*<sup>-/-</sup> MEFs with restored expression of either WT VDAC1, the 3A-mutant VDAC1, or N-terminal VDAC1 (fig. S10B). ISG expression was reduced in MEFs expressing either the 3A-mutant VDAC1 (Fig. 3K) or N-terminal VDAC1 (fig. S10C), compared with those expressing WT VDAC1. Thus, direct mtDNA–VDAC interactions appear to promote VDAC oligomerization and increase mtDNA release.

An excessive type-I IFN response is a hallmark of SLE (27). Gene Expression Omnibus (GEO) analysis revealed increased mRNA expression of *VDAC1/3* and decreased expression of *ENDOG* in SLE patients (fig. S11A) (9). However, mRNA levels of *BAK*, *BAX*, *VDAC2*, and *HSP60* were not changed in SLE patients (fig. S11A). These findings, combined with the observation that type-I IFN responses in *Endog*-knock down MEFs were VDAC(1 and 3)-dependent (Fig. 2C), suggest that VDAC oligomerization may be associated with SLE. Indeed, splenocytes from MpJ-*Fas*<sup>lpr</sup> lupus-prone mice had more VDAC1 oligomers than MpJ control mice (Fig. 4A and fig. S11B) as did peripheral blood mononuclear cells (PBMCs) from SLE patients compared to healthy controls (Fig. 4B and fig. S11C). Splenocytes from MpJ-*Fas*<sup>lpr</sup> mice also had elevated cmtDNA compared to those from MpJ mice, but this was abrogated with VBIT-4 treatment (Fig. 4C).

We next investigated whether VBIT-4 could ameliorate lupus-like symptoms in MpJ-*Fas*<sup>lpr</sup> mice. VBIT-4 blocked the development of skin lesions and the thickening of the epidermis that accompanies leukocyte infiltration, and suppressed facial and dorsal alopecia without affecting mortality or body weight (fig. S12, A to C). VBIT-4 also decreased spleen and lymph node weights (fig. S12D). Furthermore, the levels of ISG mRNA (fig. S12E), renal immune complex deposition (Fig. 4, E and D), proteinuria (Fig. 4F), anti-dsDNA antibody (Fig. 4G), antinuclear antibody (ANA) (Fig. 4H), IgG (Fig. 4I), and cell-free mtDNA (Fig. 4J) were all reduced by VBIT-4. Cell-free mtDNA plays an immunostimulatory role in human and mouse SLE (10, 11). A potential source of cell-free mtDNA in MpJ-*Fas*<sup>lpr</sup> mice is neutrophil extracellular traps (NETs), in a cell-death process called NETosis (11). mROS is an important trigger for NETosis (11), and VBIT-4 decreased mROS in neutrophils as well as other immune cells from SLE patients (Fig. 4K and fig. S12F). In agreement with this, VBIT-4 suppressed NETosis in low-density granulocytes (LDGs), a distinct class of pro-inflammatory and NETosis-prone neutrophils from SLE patients, and normal-density granulocytes (NDGs) isolated from SLE patients and healthy controls (Fig. 4L and fig. S12G). Thus, VDAC oligomerization increases mROS and NETosis, proposed important triggers of autoimmunity, in both human neutrophils (healthy and SLE) and during lupus-like disease in mice (fig. S12H).

In conclusion, we propose that the MOM pore that mediates mtDNA release and type-I IFN response depends on the level of mitochondrial stress: oligomerized VDAC1 for moderate-level stress and BAX/BAK macropores for extreme-level stress and/or apoptosis (fig. S13). It should be noted that there are other pathways of mtDNA release (10) and that SLE is a very heterogeneous disease. Nevertheless, inhibiting VDAC oligomerization may be an alternate therapeutic approach for a wide-range of diseases, like SLE and Parkinson's disease (28), that are thought to be associated with mtDNA release.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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## Data and materials availability:

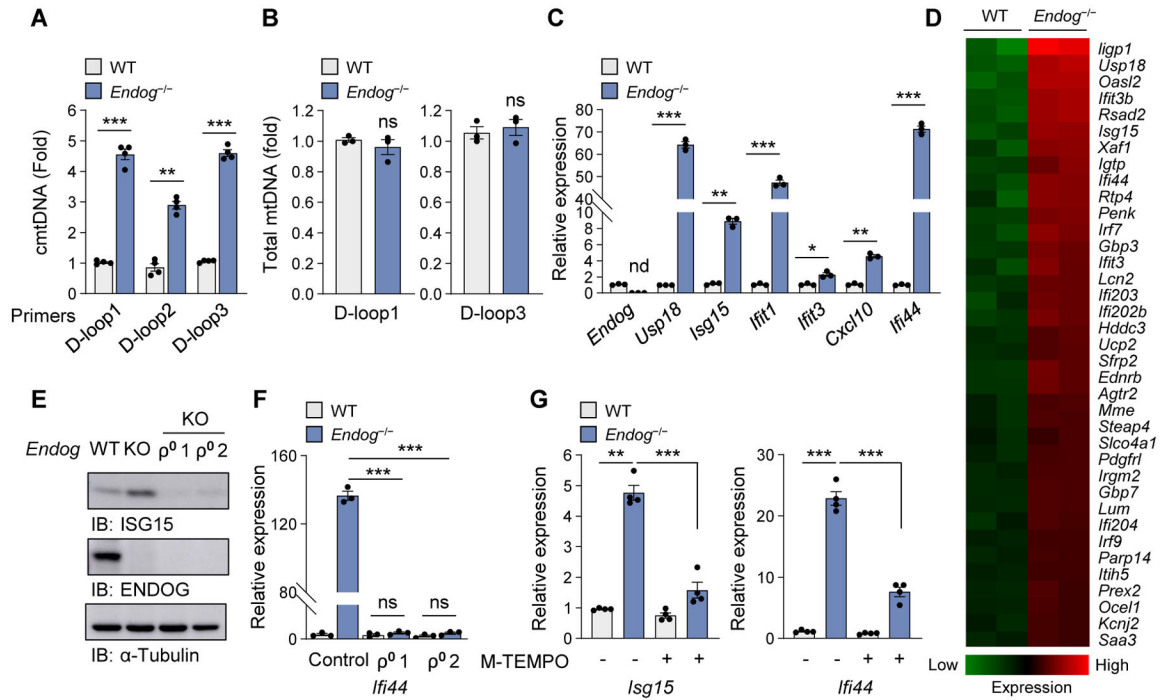
All other data and materials are available from the corresponding author upon request. Gene Expression Omnibus (GEO) database of human SLE patient is supported by national center for biotechnology information (GEO accession number: GSE13887).

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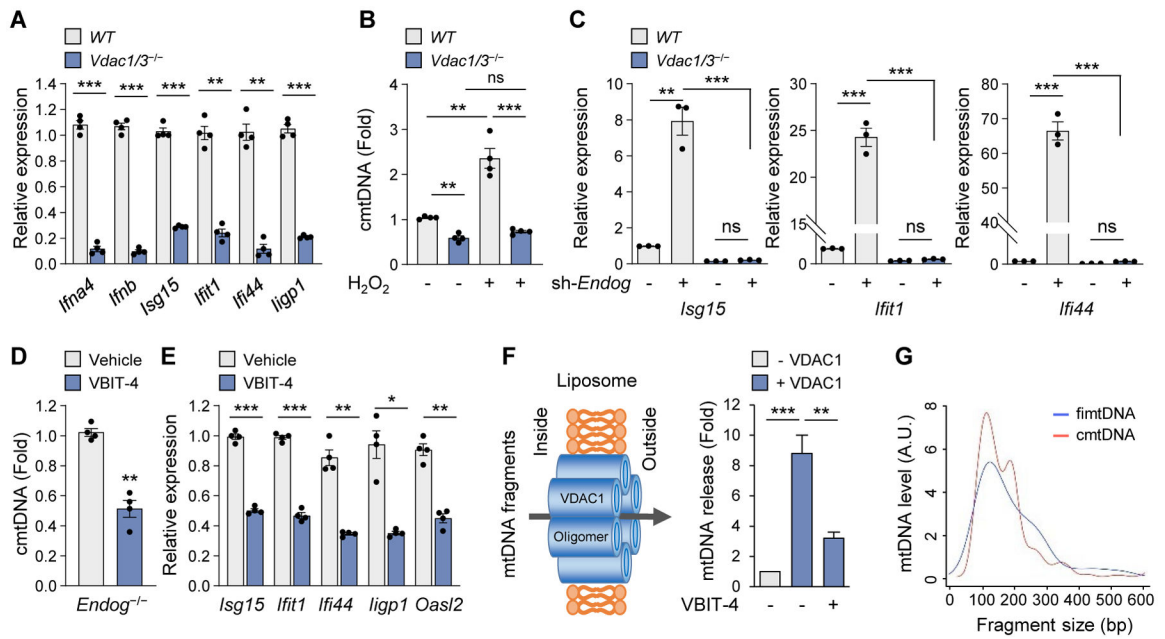
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**Fig. 1. *Endog*-deficiency increases cmtDNA and type-I IFN signaling.**

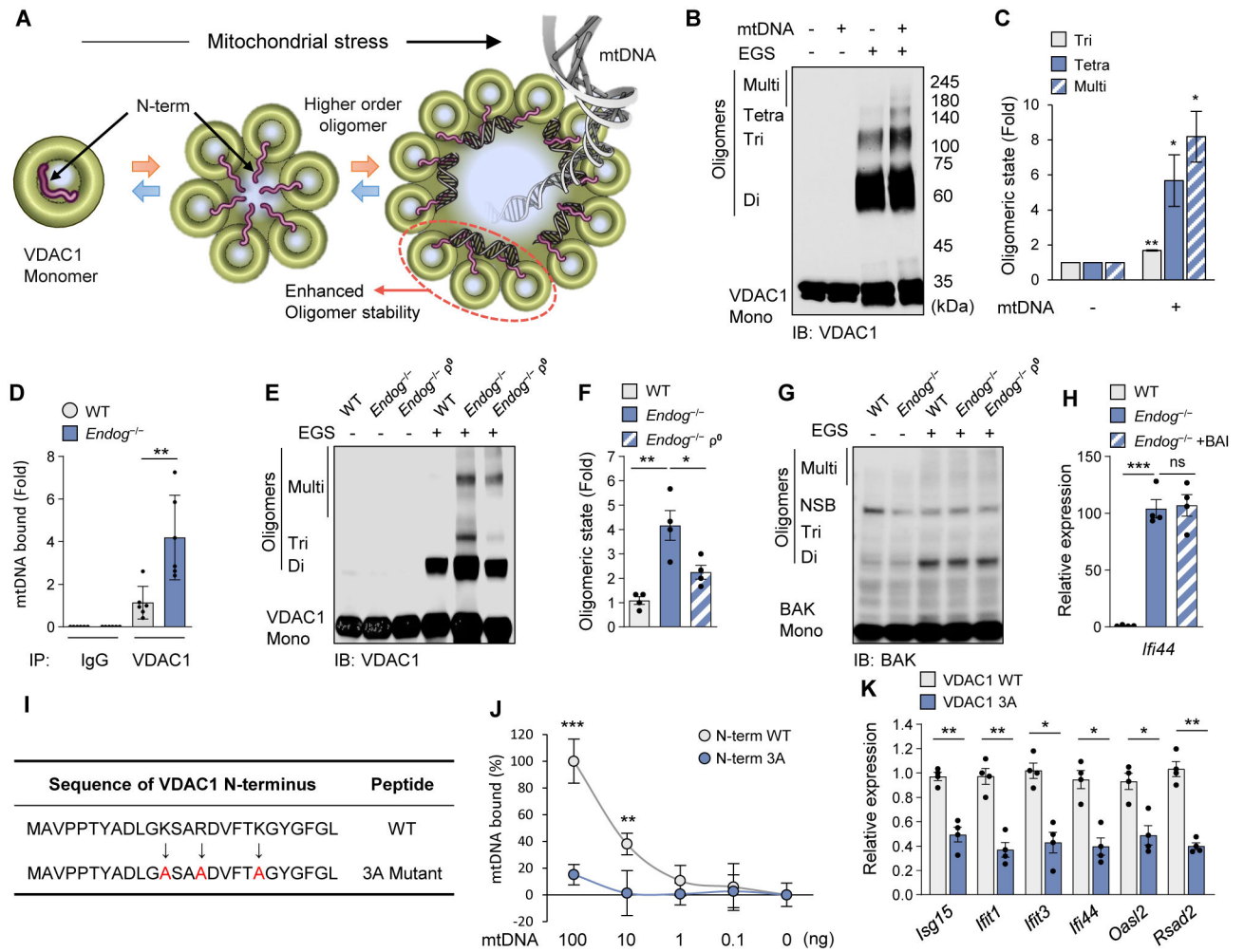
(A and B) Quantification of cmtDNA (A) and total mtDNA (B) in WT and *Endog*<sup>-/-</sup> MEFs. (C and D) ISG expression levels (C) and heat map analysis of RNAseq data (D) in WT and *Endog*<sup>-/-</sup> MEFs. (E and F) ISG expression levels in WT and *Endog*<sup>-/-</sup> MEFs as well as two independently generated  $\rho^0$  MEFs ( $\rho^0$  1 and  $\rho^0$  2) were determined by immunoblotting (E) and RT-qPCR (F). (G) ISG expression was measured in WT and *Endog*<sup>-/-</sup> MEFs after treatment with Mito(M)-TEMPO (10  $\mu$ M) for 48 h. All values are presented as the mean  $\pm$  SEM of at least three independent experiments. \**p* < 0.05; \*\**p* < 0.01; \*\*\**p* < 0.005; nd, not detected; ns, not significant.





**Fig. 2. VDAC oligomerization is required for mtDNA fragment release.**

(A) ISG expression levels in WT and *Vdac1/3<sup>-/-</sup>* MEFs. (B) cmtDNA levels were determined in WT and *Vdac1/3<sup>-/-</sup>* MEFs after treatment with  $H_2O_2$  (100  $\mu M$ ) for 18 h. (C) ISG expression levels were measured in WT and *Vdac1/3<sup>-/-</sup>* MEFs after knocking down *Endog*. (D and E) cmtDNA (D) and ISG expression (E) levels were measured after treatment with VBIT-4 (10  $\mu M$ ) in *Endog<sup>-/-</sup>* MEFs. (F) VDAC1 oligomerization-dependent release of mtDNA from mtDNA-loaded liposomes and inhibition by VBIT-4. (G) Fragment-size distribution of the fimtDNA and cmtDNA. All values are presented as the mean  $\pm$  SEM of at least three independent experiments. \* $p < 0.05$ ; \*\* $p < 0.01$ ; \*\*\* $p < 0.005$ ; ns, not significant.



**Fig. 3. mtDNA interacts with VDAC1 and stabilizes its oligomeric state.**

(A) Schematic diagram of VDAC1 oligomerization accompanied by the N-terminal domain (red) translocation into the large oligomer pore. We could not characterize VDAC3 in vitro because it tends to form aggregates. (B and C) mtDNA-induced oligomerization of purified VDAC1 was visualized by immunoblotting after treatment with the cross-linking reagent EGS to stabilize the oligomers during electrophoresis (B). Quantitative analysis of oligomers is shown (C). (D) mtDNA binding to VDAC1 in WT and *Endog*<sup>-/-</sup> MEFs. (E to G) VDAC1 (E) and BAK (G) oligomerization in WT, *Endog*<sup>-/-</sup> and *Endog*<sup>-/-</sup>  $\rho^0$  MEFs was visualized by immunoblotting. The positions of VDAC1 monomers (Mono), dimers (Di), trimers (Tri) and multimers (Multi) are indicated. NSB, non-specific band. Quantitative analysis of VDAC1 oligomers is shown (F). (H) ISG expression was measured in WT and *Endog*<sup>-/-</sup> MEFs after treatment with the BAX oligomerization inhibitor (BAI) (2  $\mu$ M) for 24 h. (I) The amino-acid sequence of the VDAC1 N-terminal peptide. The positively charged amino acids were mutated to alanine (A: red color). (J) Direct interaction of mtDNA fragments with WT and 3A N-terminal 26 amino-acid peptides. (K) ISG expression levels were measured by RT-qPCR after H<sub>2</sub>O<sub>2</sub> (100  $\mu$ M) treatment for 18 h in MEFs expressing either WT or 3A

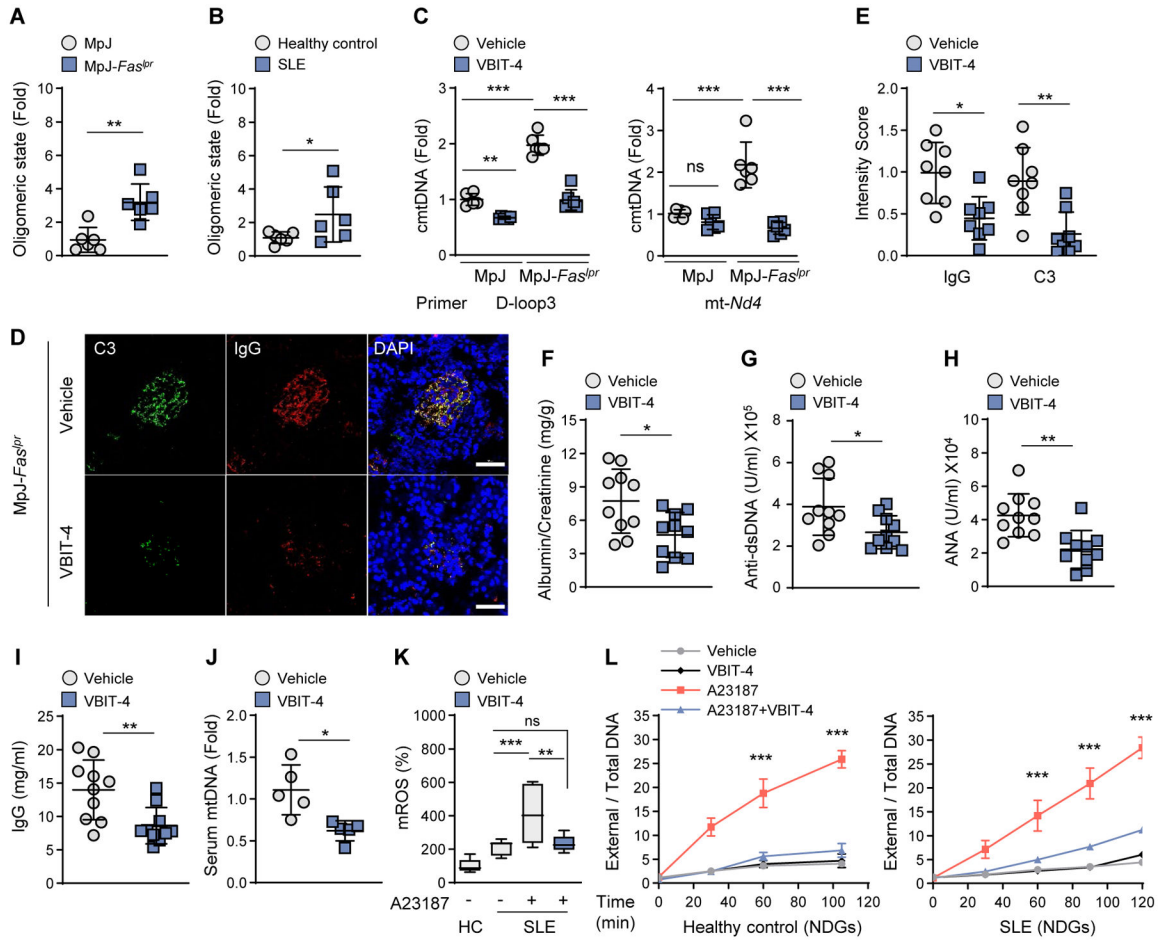
VDAC1. All values are presented as the mean  $\pm$  SEM of at least three independent experiments. \* $p < 0.05$ ; \*\* $p < 0.01$ ; \*\*\* $p < 0.005$ ; ns, not significant.

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**Fig. 4. VDAC1 oligomerization inhibitor VBIT-4 ameliorates lupus-like disease.**

(A) The formation of VDAC1 oligomers in splenocytes of MRL/MpJ-*Fas<sup>lpr</sup>* lupus-prone mice and MRL/MpJ control mice (see fig. S11B; n=6 in each group). (B) Oligomeric state of VDAC1 in PBMC of healthy control and SLE patients (see fig. S11C; N=6 in each group). (C) cmtDNA levels were measured in splenocytes (A) after treatment with VBIT-4 (10  $\mu$ M). (D and E) Kidney glomeruli of VBIT-4-treated mice, stained with antibodies against complement C3 (green) and IgG (red). Nuclei were stained with Hoechst (blue). Scale bars, 20  $\mu$ m (D). Fluorescence intensity of C3 and IgG in (D) (n=8 in each group) (E). (F to I) Urinary albumin:creatinine ratio (F), serum anti-dsDNA levels (G), ANA levels (H), and IgG levels (I) of VBIT-4-treated mice (n=10 in each group). (J) Serum cell-free mtDNA levels of VBIT-4-treated mice (n=5 in each group). (K) mROS levels were measured by mitoSOX in PBMCs of healthy controls (HC) and SLE patients (n = 3 in each group). (L) A23187-induced NET formation by NDGs either from HC or SLE subjects was measured by SYTOX-PicoGreen plate assay (n=3 in each group). All values are presented as the mean  $\pm$  SEM. \*p < 0.05; \*\*p < 0.01; \*\*\*p < 0.005; ns, not significant.