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## Next-Generation Assessment of Human Epidermal Growth Factor Receptor 2 (*ERBB2*) Amplification Status in Invasive Breast Carcinoma: A Focus on Group 4 Using the 2018 ASCO/CAP HER2 Testing Guideline

Raza S. Hoda<sup>1</sup>, Anita S. Bowman<sup>1</sup>, Ahmet Zehir<sup>1</sup>, Pedram Razavi<sup>2</sup>, Edi Brogi<sup>1</sup>, Marc Ladanyi<sup>1</sup>, Maria E. Arcila<sup>1</sup>, Hannah Y. Wen<sup>1</sup>, Dara S. Ross<sup>1</sup>

<sup>1</sup>Department of Pathology, Memorial Sloan Kettering Cancer Center, New York, New York

<sup>2</sup>Department of Medicine, Memorial Sloan Kettering Cancer Center, New York, New York

### Abstract

**Aims:** The American Society of Clinical Oncology/College of American Pathologists (ASCO/CAP) updated the testing guideline in 2018 to address issues from uncommon *HER2* fluorescence *in situ* hybridization (FISH) results according to the 2013 guideline. Next-generation sequencing (NGS) may be used to better classify patients. We aim to assess *ERBB2* amplification status of invasive breast carcinoma with equivocal *HER2* immunohistochemistry (IHC) results using NGS, focusing on Group 4 (*HER2*/CEP17 Ratio <2.0; Average *HER2* Signals/cell 4.0 and <6.0).

**Methods and Results:** We retrospectively reviewed *HER2* FISH and NGS data of *HER2* IHC-equivocal breast carcinomas at our center between January 2009 and September 2019, wherein all three assays were performed on the same tissue block, and compared *HER2* FISH results, according to 2018 ASCO/CAP guideline, and *ERBB2* amplification status by NGS. A total of 52 *HER2* FISH and NGS results from 51 patients with *HER2* IHC-equivocal breast carcinomas were reviewed. The cohort included 8 cases classified as 2018 ASCO/CAP ISH Group 1, 3 as Group 2, 3 as Group 3, 14 as Group 4 and 24 as Group 5. Thirteen of 14 (92.9%) Group 4 (*HER2* negative) cases were classified as *ERBB2* nonamplified using NGS; the discordant case was later classified as Group 1 with alternate sample FISH testing. NGS revealed no significant difference in somatic mutations or copy number alternations between Groups 4 and 5.

**Conclusions:** Our NGS findings support the reclassification of *HER2* FISH-equivocal cases as *HER2* negative under the 2018 ASCO/CAP guideline.

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**Correspondence:** Dara S. Ross, MD, Department of Pathology, Memorial Sloan Kettering Cancer Center, 1275 York Avenue, New York, New York, USA 10065 (rossd@mskcc.org).

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## Keywords

ASCO/CAP guideline; breast cancer; FISH; human epidermal growth factor receptor 2; next-generation sequencing

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## INTRODUCTION

Approximately 15% to 20% of primary invasive breast carcinomas show overexpression of human epidermal growth factor receptor 2 (HER2), a receptor tyrosine kinase, due to amplification of its encoding gene *ERBB2*.(1) *HER2*-amplification results in breast carcinoma with aggressive behavior and is associated with poor prognosis, compared to those in which *HER2* is not amplified.(2) Development of HER2-targeted therapies, such as trastuzumab, lapatinib and pertuzumab, have been shown to be effective in achieving excellent outcomes in patients with *HER2*-amplified breast carcinoma.(3–5) Patients with HER2-negative breast carcinoma, on the other hand, have not shown clinical benefit with HER2-targeted therapeutics.(6, 7) Thus, accurate assessment of HER2 status is prudent for providing precise prognostic and predictive information for patients with breast carcinoma.

The US Food and Drug Administration (FDA) has approved immunohistochemistry (IHC) and *in situ* hybridization as methods for the determination of HER2 amplification status.(8) The American Society of Clinical Oncology and the College of American Pathologists (ASCO/CAP) have issued guidelines for interpretation of HER2 test results by IHC and *in situ* hybridization.(9–11) However, given advances in molecular diagnostics, particularly with regard to next-generation sequencing (NGS) methods, the same assay may be utilized to provide both data on copy number alterations and somatic mutations.

Our group has previously published the validation for *ERBB2* amplification assessment using a FDA-approved hybrid capture-based NGS assay [Memorial Sloan Kettering-Integrated Mutation Profiling of Actionable Cancer Targets (MSK-IMPACT)].(12) We also recently published our clinical and pathologic findings of cases reclassified under the updated 2018 ASCO/CAP HER2 testing guideline, focusing on the impact on patients in ISH Group 4.(13) The purpose of this retrospective study was to evaluate the *ERBB2* amplification status of breast carcinoma cases using NGS at a single large tertiary-care cancer center. We examined the *HER2* FISH results of invasive breast carcinoma cases with equivocal HER2 IHC, classified these cases using the 2018 ASCO/CAP guideline and evaluated the *ERBB2* amplification status using MSK-IMPACT, with a focus on cases classified as ISH Group 4. In this study, we also assessed the clinical, pathologic and genomic features of our cohort.

## MATERIALS AND METHODS

### Study Population

This study was conducted under institutional review board approval. The pathology database of our institution was interrogated. All patients diagnosed between January 1, 2009 and September 30, 2019 with primary, recurrent or metastatic breast carcinoma with equivocal HER2 IHC results (2+ or 1+ to 2+), *HER2* FISH testing, and NGS performed on the same

paraffin-embedded, formalin-fixed tissue block were identified. All cases classified into 2018 ASCO/CAP ISH Groups 2, 3 and 4 were included in this study. For 2018 ASCO/CAP ISH Groups 1 and 5, breast carcinoma cases were selected from a cohort used in a prior validation study.(12) Clinical data, including patient age at primary diagnosis, tumor size, lymph node status, histologic subtype, status of estrogen receptor (ER) and progesterone receptor (PR), score of HER2 IHC, *HER2* FISH results, administration of targeted anti-HER2 therapy, and clinical follow-up for patients, were recorded.

### HER2 IHC and FISH

As per our institutional standard practice, HER2 IHC (4B5, Ventana, Tucson, Arizona, USA) was performed on all primary invasive, recurrent and metastatic breast carcinoma cases and scored as negative (0, 1+), equivocal (2+ or 1+ to 2+) or positive (3+), according to the ASCO/CAP guideline.(10, 11) In all cases where *HER2* FISH was conducted, deparaffinized tissue sections were examined using a FDA-approved HER2 dual-probe FISH assay (HER2 IQFISH pharmDx, DAKO, Glostrup, Denmark; or PathVysion HER2 DNA Probe Kit, Vysis, Abbott Molecular, Des Plaines, Illinois, USA). *HER2* FISH results were reported as negative, equivocal, or positive according to the 2013 or 2018 ASCO/CAP guideline, depending on date of testing.(10, 11) For cases performed prior to the 2018 ASCO/CAP guideline, *HER2* FISH results were reclassified into 5 ISH categories, as defined by the updated guideline: HER2/CEP17 ratio 2.0 or higher and average HER2 copy number 4.0 or higher (Group 1), HER2/CEP17 ratio 2.0 or higher and average HER2 copy number lower than 4.0 (Group 2), HER2/CEP17 ratio lower than 2.0 and average HER2 copy number 6.0 or higher (Group 3), HER2/CEP17 ratio lower than 2.0 and average HER2 copy number 4.0 or higher and lower than 6.0 (Group 4), and HER2/CEP17 ratio lower than 2.0 and average HER2 copy number lower than 4.0 (Group 5). HER2 IHC and FISH testing was performed on alternate tumor block(s) from either the same specimen, different specimen, or both, for select cases either due to new tissue sampling or clinical request; in cases classified into ISH Groups 2, 3, and 4 and wherein additional *HER2* FISH was performed those results were documented and compared separately with the FISH results of the block on which all 3 assays were performed.

### Next-Generation Sequencing Analysis and Bioinformatics Pipeline to Detect Copy Number Alterations

Tumor and matched germline DNA of cases was subjected to targeted next-generation sequencing analysis using the Memorial Sloan Kettering-Integrated Mutation Profiling of Actionable Cancer Targets (MSK-IMPACT) assay, focusing on up to 468 key cancer-associated oncogenes and tumor-suppressor genes.(14) Methods of the copy number pipeline using the MSK-IMPACT assay have been previously described.(12, 14) Copy number gains were interpreted along with overall copy number profile and tumor purity. For *ERBB2*, fold change (FC) of greater than or equal to 2.0 and FC of greater than or equal to 1.5 and less than 2.0 with *P*-value less than 0.05 were considered as amplified or copy number gain, respectively, as determined in the validation study by Ross et al.(12)

## Statistical Analysis

Differences between groups in categorical variables were calculated with the  $\chi^2$  test and Fisher exact test, where applicable, and in noncategorical variables by using the Student *t* test. Statistical significance was established at  $P < .05$ . Multiple comparisons adjustment was performed using the Benjamini-Hochberg procedure with a corrected false discovery rate cut-off of .05.

## RESULTS

A total of 52 FISH and NGS results from invasive breast carcinomas with equivocal HER2 IHC results from 51 patients, all women, were retrieved and reviewed. The clinical and pathologic findings are summarized in Table 1. Using the 2018 ASCO/CAP HER2 testing guideline, our study cohort was composed of 8 (15.4%) Group 1 cases from 8 patients, 3 (5.8%) Group 2 cases from 3 patients, 3 (5.8%) Group 3 cases from 3 patients, 14 (26.9%) Group 4 cases from 14 patients and 24 (46.2%) Group 5 cases from 23 patients. There were no statistically significant differences in patient age at primary diagnosis, pathologic tumor stage, primary tumor histology, histologic grade, hormone receptor status or administration of HER2-targeted therapy among the five 2018 ASCO/CAP ISH groups. At a median follow-up of 20.9 months, 12 of 14 Group 4 patients were alive at the time of last clinical follow-up, and 2 of 14 patients had died of disease.

### Assessment of *ERBB2* Amplification Status by Next-Generation Sequencing Compared to *HER2* FISH Results

Comparison of the overall assessment of *ERBB2* amplification status by NGS and FISH assays is summarized in Table 2. By NGS, all 8 (100%) 2018 ASCO/CAP ISH Group 1 cases were classified as *ERBB2*-amplified/gain, 2 of 3 (66.7%) Group 2 cases as *ERBB2*-amplified/gain, 1 of 3 (33.3%) Group 3 cases as *ERBB2*-amplified/gain, 1 of 14 (7.1%) Group 4 cases as *ERBB2*-amplified/gain, and no (0%) cases of Group 5 as *ERBB2*-amplified/gain. For Group 1 cases, 5 demonstrated copy number gain of *ERBB2* by NGS, and 3 displayed amplification. For Group 2 cases, one case showed copy number gain of *ERBB2* by NGS, while the other displayed amplification. The Group 3 case demonstrated amplification of *ERBB2* by NGS. The Group 4 case showed copy number gain of *ERBB2* by NGS. Figure 1 depicts an example of the HER2 IHC, *HER2* FISH and copy number plot for a representative Group 4 case with no *ERBB2* amplification detected by NGS.

For 8 of 14 Group 4 cases, *HER2* FISH results were also available on alternate tumor samples from different tumor blocks from the same specimen ( $n = 2$ ), different specimens ( $n = 5$ ) or both ( $n = 1$ ). In 5 cases for which *ERBB2* was nonamplified by MSK-IMPACT, alternate tumor sample testing on a different block from the same specimen ( $n = 3$ ) or different specimen ( $n = 2$ ) revealed *HER2* FISH results also classified as Group 4. In one case for which *ERBB2* was nonamplified by MSK-IMPACT, testing on two alternate tumor samples from different specimens showed *HER2* FISH results classified as Group 4 and Group 5. In one case for which *ERBB2* was nonamplified by MSK-IMPACT, testing on two alternate tumor samples from different specimens demonstrated *HER2* FISH results classified as Group 5. In the one case for which *ERBB2* copy number gain was called using

MSK-IMPACT, testing on an alternate specimen revealed *HER2* FISH results classified as Group 1 (HER2/CEP17 ratio of 2.4 and average HER2 copy number of 5.3). In this discordant case, the sample with *HER2* FISH results classified as Group 4 was from a metastatic hepatic lesion, whereas the sample that showed Group 1 results was of the mastectomy specimen of the primary tumor (further discussed below).

Results of FISH testing on alternate samples are summarized in Table 3. For all 3 Group 2 cases, *HER2* FISH results were available on alternate tumor samples from different specimens. In each of the 2 Group 2 cases for which *ERBB2* amplification was called using MSK-IMPACT, alternate tumor sample testing on two different specimens demonstrated *HER2* FISH results classified as Group 1. In the Group 2 case for which *ERBB2* was not amplified by MSK-IMPACT, *HER2* FISH testing on an alternate specimen revealed results classified as Group 5 (HER2/CEP17 ratio of 1.2 and average HER2 copy number of 3.5).

For 2 of 3 Group 3 cases, *HER2* FISH results were available on alternate tumor samples from different specimens. In one case for which *ERBB2* was not amplified using MSK-IMPACT, alternate tumor sample testing showed *HER2* FISH results classified as Group 5 (HER2/CEP17 ratio of 1.0 and average HER2 copy number of 3.0). In one case for which *ERBB2* amplification was detected by MSK-IMPACT, *HER2* FISH testing on an alternate specimen (a metastasis) demonstrated results classified as Group 5 (HER2/CEP17 ratio of 1.0 and average HER2 copy number of 2.8). The patient did receive HER2-targeted therapy, on the basis of the initial *HER2* FISH results for the primary tumor (HER2/CEP17 ratio of 1.6 and average HER2 copy number of 6.6), but ultimately succumbed to her metastatic disease after multiple lines of chemotherapy.

### **Mutational Analysis by Next-Generation Sequencing Reveals Significant Differences in Copy Number Alterations Between 2018 ASCO/CAP ISH Group 1 and 4 Cases**

Somatic mutations and copy number alterations in 2018 ASCO/CAP Groups 1, 4 and 5 cases by MSK-IMPACT are shown in Figure 2. There was a significant association with copy number alterations in *ERBB2* and 2018 ASCO/CAP ISH Group 1, compared to Group 4, on univariate analysis ( $P < .001$ ) and when adjusted for multiple comparisons ( $P = .01$ ). There was also a significant association with copy number alterations in *RARA* and Group 1, compared to Group 4, on univariate analysis ( $P = .04$ ), but not significant when adjusted for multiple comparisons ( $P = .68$ ). In all 5 2018 ASCO/CAP ISH Groups 1 and 4 cases with *RARA* amplification, there was concurrent amplification of *ERBB2* seen. There were no significant differences in somatic mutations or copy number alterations between 2018 ASCO/CAP ISH Groups 4 and 5 (Figure 2).

### **HER2-Targeted Therapy in 2018 ASCO/CAP Group 4 Patients**

Therapy with anti-HER2 targeted treatment was administered to 4 of 14 (28.6%) patients with breast carcinoma showing 2018 ASCO/CAP HER2 ISH Group 4 results. One patient received such targeted treatment in the neoadjuvant and adjuvant settings, and 3 as the adjuvant therapy. The patient with Group 4 results treated with neoadjuvant HER2-targeted therapy was the discrepant case mentioned above. She was a 35-year-old woman, who presented with a palpable, 5 cm breast mass and de novo metastatic disease to the bone, liver

and lung. Biopsy of a hepatic nodule, performed at another institution, showed metastatic carcinoma of mammary origin. At that same institution, HER2 IHC showed 2+ staining, and *HER2* FISH revealed amplification (HER2/CEP17 ratio of 2.7 and average HER2 copy number of 5.5). Based on these findings, the patient received docetaxel, trastuzumab and pertuzumab in the neoadjuvant setting. Of note, *HER2* FISH performed at our institution on the same tissue sample of the hepatic nodule showed 2+ HER2 IHC staining and, at the time of the procedure, *HER2* FISH equivocal results, now classified as 2018 ASCO/CAP ISH Group 4 (HER2/CEP17 ratio of 1.6 and average HER2 copy number of 5.2). The patient subsequently underwent mastectomy, which showed a 6.8 cm, poorly differentiated invasive carcinoma of no special type with minimal response to neoadjuvant chemotherapy. HER2 IHC was equivocal (2+), and *HER2* FISH demonstrated amplification, classified as Group 1 (HER2/CEP17 ratio of 2.4 and average HER2 copy number of 5.3).

Three patients with Group 4 results received adjuvant HER2-targeted therapy. In 2 cases, *HER2* FISH tests performed on different specimens were interpreted at the referring institution as amplified, and the patients received therapy at that institution on the basis of those results. In one case, the patient responded well; the other patient had disease progression with malignant pleural effusion. In the remaining one case, adjuvant therapy was given due to intertumoral heterogeneity, where the patient had multifocal disease, and additional *HER2* FISH testing on a separate lesion revealed approximately 10% of tumor cells with amplification. The patient responded well to targeted HER2 therapy. Of the patients with 2018 ASCO/CAP ISH Group 4 results who did not receive HER2-targeted therapy, 9 of 10 (90.0%) remained disease-free after their initial treatment, with a median follow-up time of 17 months (range, 2 to 31 months).

## DISCUSSION

In this retrospective single-institution study of invasive breast carcinomas with equivocal HER2 IHC results, we have demonstrated the correlation between the 2018 ASCO/CAP ISH guideline and *ERBB2* copy number analysis using next-generation sequencing in cases where all three assays were performed on the same tissue block. Using NGS, we revealed a significant difference in copy number alterations in *ERBB2* and *RARA* between 2018 ASCO/CAP Groups 1 and 4. Furthermore, copy number analysis by NGS demonstrated *ERBB2* amplification in a case where IHC was equivocal and FISH results were classified as Group 4.

Our study found 13 of 14 (92.9%) 2018 ASCO/CAP ISH Group 4 cases which had concordant nonamplified results by *HER2* FISH and NGS assessment of *ERBB2* copy number alterations. In the case with discordant results, *HER2* FISH testing on a core-needle biopsy of metastatic lesion showed no amplification, yet NGS copy number analysis demonstrated *ERBB2* copy number gain; subsequent *HER2* FISH testing of the primary tumor indeed revealed amplification, classified as 2018 ASCO/CAP ISH Group 1. Intratumoral heterogeneity alone cannot explain the discordant results, as the same tissue block was used for both FISH and NGS testing. Discordant *HER2* FISH results have been shown to stem from low-level amplifications.<sup>(15)</sup> In this case, NGS revealed a copy number gain of *ERBB2*, which may have contributed to the discrepant results. Studies comparing

*HER2* FISH and *ERBB2* copy number by NGS remain limited. Yang and colleagues(16) investigated the concordance between *ERBB2* copy number alterations, *HER2* FISH and *HER2* IHC; however, the study was not limited to cases with equivocal *HER2* results. The group found one of 17 (6%) cases with 2018 ASCO/CAP Group 4 results and *ERBB2* amplification by NGS; though, in that case, *HER2* IHC showed 3+ staining.(16)

Our study identified significant association between *ERBB2* amplification and *RARA* amplification. Retinoic acid receptor alpha gene, or *RARA*, is located on chromosome 17q21.2, adjacent to the *ERBB2* gene.(17) In our study, we found amplification of *RARA* in 56% (5/9 samples) of cases with *ERBB2* amplification by next-generation sequencing. The intimate relationship between *RARA* and *HER2* genes has been previously noted.(18–20) Troxell and colleagues(18) found in *RARA* amplification by FISH in 71% (5/7 samples) of cases with 3+ *HER2* IHC staining. Likewise, Varga et al.(19) also found *RARA* amplification by FISH in 71% (10/14 samples) of *HER2*-amplified cases. *RARA* has been used as an alternative chromosome 17 probe in equivocal cases of *HER2* FISH (although no longer recommended by the updated ASCO/CAP guidelines); however, given its proximity to *ERBB2* and resultant frequent co-amplification, as shown in this study, it often provides little discriminatory value in such cases.(21) *RARA* is more notable for its role in the characteristic chromosomal translocation in acute promyelocytic leukemia of t(15;17) (q24;q21), which leads to the fusion of *RARA* gene to the promyelocytic leukemia gene, or *PML*.(22–24)

With regard to *HER2*-targeted therapy, controversy existed regarding management of patients with *HER2* FISH–equivocal cases under the 2013 ASCO/CAP guideline. The practice at our center has been not to treat patients in this subgroup with *HER2*-targeted therapy, with the exception of the aforementioned circumstances (see Results, *HER2*-Targeted Therapy in 2018 ASCO/CAP Group 4 Patients). As shown in our study, 90% of patients who did not receive *HER2*-targeted therapy remained disease-free; although, the clinical follow-up time for these patients is short, and these results are limited in their uncontrolled and retrospective nature. In the small number of patients with ASCO/CAP ISH Group 4 results who did receive anti-*HER2* therapy, 2 patients showed response to anti-*HER2* therapy, while 1 patient had disease progression.

This study has some limitations. Firstly, this study is limited by number of cases. For the purposes of this study, we wanted to directly compare IHC, FISH, and NGS results without interference by intratumoral heterogeneity and pre-analytical variables by selecting cases on which all three assays were performed on the same tumor block. Secondly, our study is limited by its retrospective nature. Furthermore, evaluation of patient outcomes would be best ascertained in the prospective setting. Despite these limitations, our cohort reveals the advantages of next-generation sequencing for copy number assessment of *ERBB2* status in patients with *HER2* IHC-equivocal breast carcinoma, accurately identifying those in whom targeted therapy would be beneficial.

The findings as described in this limited study and in the validation study by Ross et al.(12) support NGS as a viable alternative to FISH testing. NGS offers several advantages over FISH, including objective assessment of *ERBB2* status and valuable comprehensive

genomic data that may guide clinical management and options for targeted therapy, even on limited samples. For example, in this present study's patient cohort, *PIK3CA* mutations were uncovered in tumors of some patients, for whom FDA-approved, PI3K inhibitor alpelisib may be offered as therapy.(25) Additionally, *ESR1* mutations were also identified in samples of select study patients, in whom these mutations result in acquired resistance to endocrine therapy and an alternate treatment may be warranted.(26) Furthermore, FISH, unlike NGS, is limited by specific requirements for fixation method and time, exhibits differences in sensitivity and specificity amongst various antibodies, shows interobserver and intraobserver variations in assay interpretation, and susceptible to interpretation issues involving CEP17 copy number, stemming from preparation issues (i.e., tissue thickness and nuclear truncation), normal cellular processes (i.e., cell division), and aneuploidy of chromosome 17.(12) NGS is immune to the latter, as copy number data is interpreted on a genomic level rather than using a single gene locus for reference.(12) On the other hand, a disadvantage of NGS from a clinical standpoint is its turnaround time, compared to that of FISH.

Herein we have revealed the molecular findings associated with the 2018 ASCO/CAP *HER2* FISH Groups 1, 4 and 5. In rare instances, NGS may reveal bona fide *ERBB2* amplification where neither *HER2* IHC nor *HER2* FISH are able to detect such amplification. Our data demonstrate that patients with Group 4 breast carcinoma show no *ERBB2* amplification by next-generation sequencing analysis, supporting its reclassification from *HER2* FISH-equivocal to *HER2* FISH-negative.

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### Declaration of Conflicting Interests:

The authors listed below have the following potential conflicts of interest to disclose:

P. Razavi has received honoraria for consulting/advisory board for Novartis, AstraZeneca, Foundation Medicine and institutional research support from GRAIL, Inc.

M. Ladanyi has received honoraria for ad hoc advisory board participation from Merck, Astra-Zeneca, Bristol Myers Squibb, Takeda, Lilly Oncology and Bayer, and research support from LOXO Oncology, Merus, and Helsinn Therapeutics.

All remaining authors have declared no conflicts of interest.

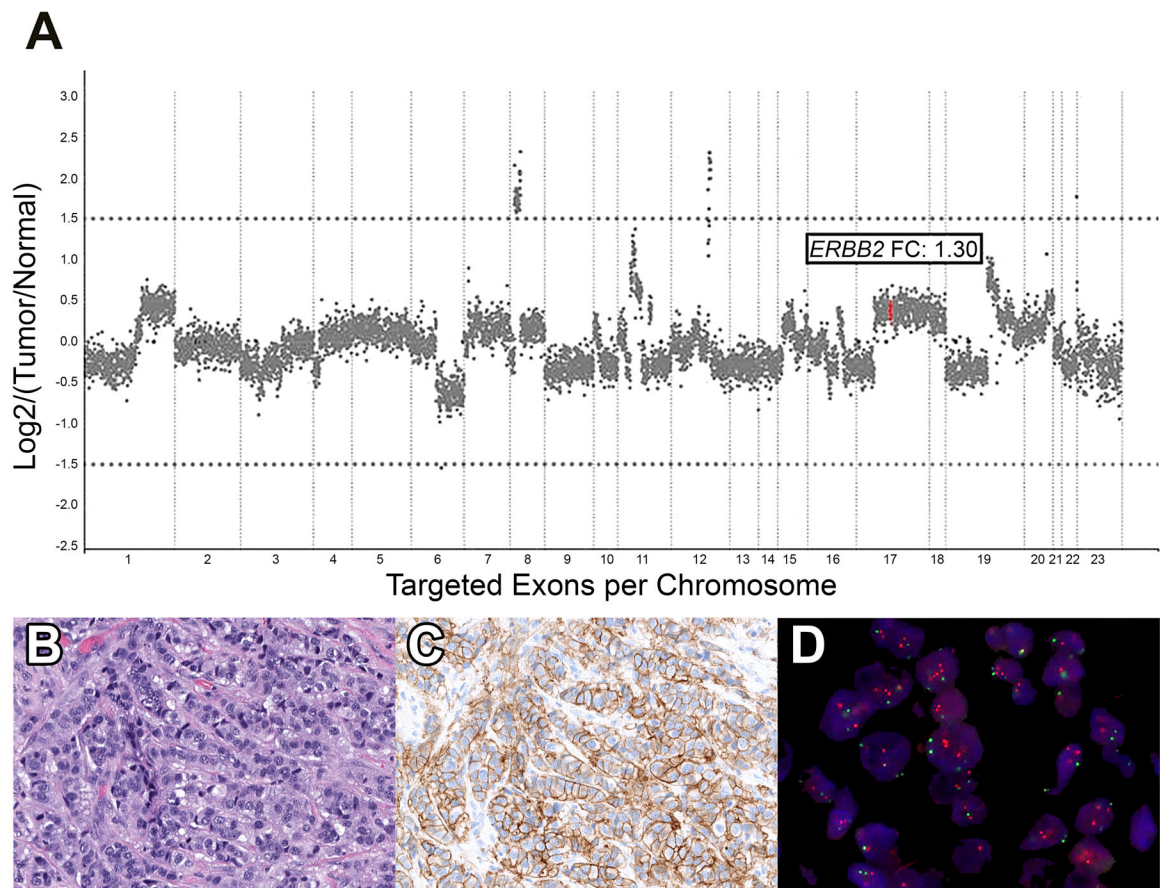
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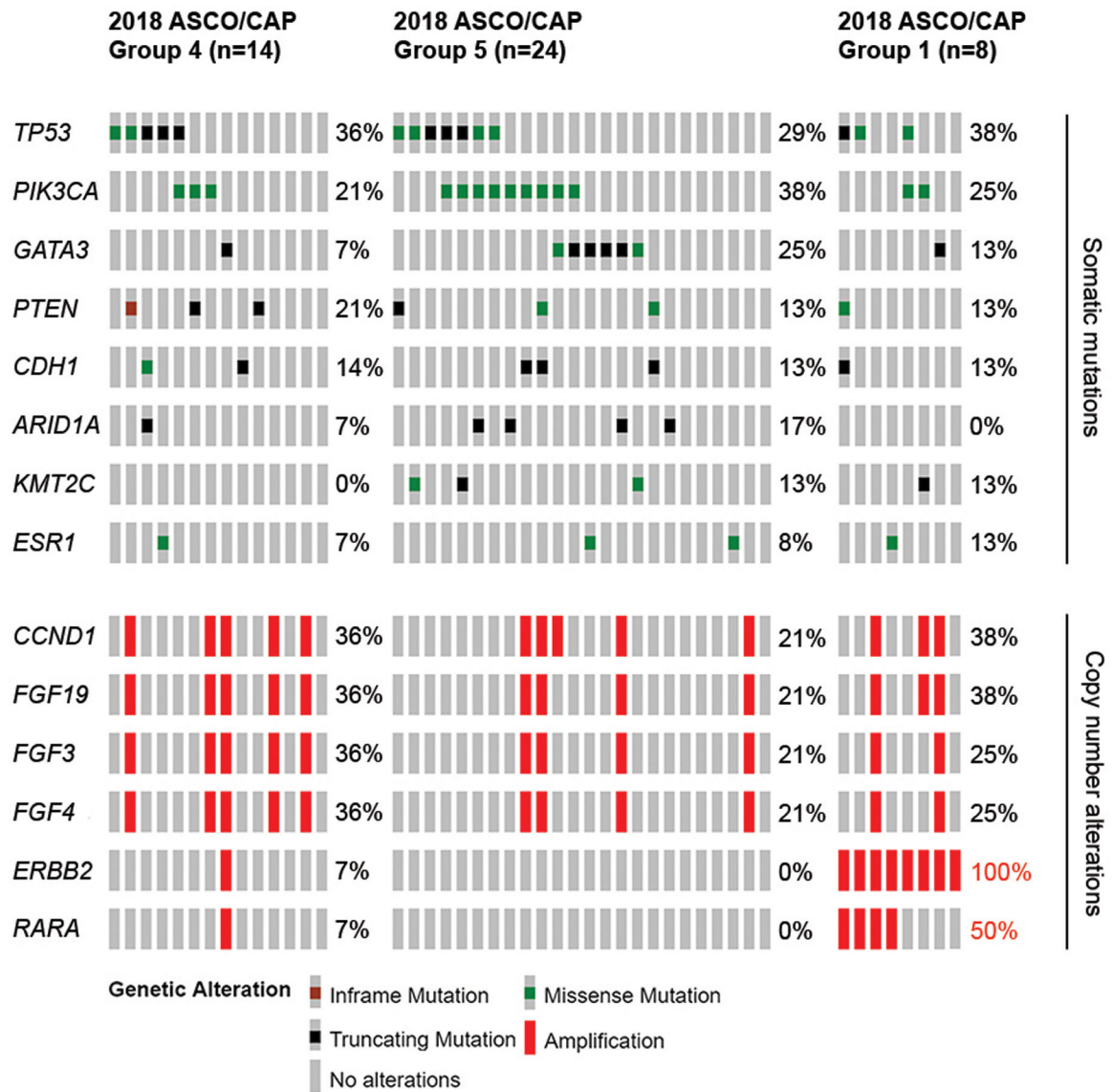
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**Figure 1.**

Representative 2018 ASCO/CAP ISH Group 4 case. **A.** Copy number plot determined by Memorial Sloan Kettering-Integrated Mutation Profiling of Actionable Cancer Targets (MSK-IMPACT) next-generation sequencing assay, with each dot representing a probe set, and y-axis values showing the normalized  $\log_2$  transformed fold change (FC) of tumor versus normal. In this Group 4 case, *ERBB2* (red dots) FC is 1.30, indicating no amplification. **B.** Hematoxylin and eosin-stained section displaying invasive carcinoma of no special type. **C.** HER2 immunohistochemical-stained slide showing equivocal results (score, 2+) with complete membranous staining of weak to moderate intensity **D.** HER2 fluorescence *in situ* hybridization (red signal, HER2; green signal, CEP17), demonstrating a HER2/CEP17 ratio of 1.6 and average HER2 copy number of 4.2, classified as 2018 ASCO/CAP ISH Group 4.



**Figure 2.** Somatic mutations and copy number alterations identified by Memorial Sloan Kettering-Integrated Mutation Profiling of Actionable Cancer Targets (MSK-IMPACT) next-generation sequencing assay in 14 ASCO/CAP ISH Group 4, 24 Group 5 and 8 Group 1 breast carcinoma cases. Cases are represented in columns, and genes are displayed in rows. Alteration types are color-coded according the legend. Copy number alterations in *ERBB2* and *RARA* were significant associated with Group 1 cases on univariate analysis with Fisher exact test ( $P < .001$ ) and when adjusted for multiple comparisons ( $P = .01$ ). Values written in red denote significant  $P < .05$ .

Clinical and pathologic features of 52 breast carcinoma cases from 51 patients by 2018 American Society of Clinical Oncology/College of American Pathologists In-Situ Hybridization group.

Table 1.

Feature, No.	2018 ASCO/CAP HER2 ISH Group					P
	Group 1 (n = 8)	Group 2 (n = 3)	Group 3 (n = 3)	Group 4 (n = 14)	Group 5 (n = 24)	
Age at primary diagnosis, median (range), years	56 (52–67)	38 (35–65)	51 (42–69)	47 (28–67)	50 (33–80)	.24
HER2/CEP17 ratio, median (range)	2.25 (2.10–3.90)	2.27 (2.10–4.00)	1.40 (0.75–1.60)	1.59 (1.00–1.83)	1.20 (1.00–1.70)	<.001 <sup>a</sup>
HER2 copy number, median (range)	5.25 (4.30–8.30)	3.70 (2.13–3.88)	6.30 (6.00–6.60)	4.43 (4.00–5.92)	2.80 (1.00–3.92)	<.001 <sup>a</sup>
ERBB2 fold change, median (range)	1.76 (1.52–3.18)	2.73 (1.41–3.55)	1.23 (1.27–3.14)	1.23 (–1.06–1.98) <sup>b</sup>	1.01 (–1.34–1.56)	<.001 <sup>a</sup>
Pathologic tumor stage						.76
T1	4/8	1/3	1/3	5/14	7/23	
T2	2/8	1/3	1/3	8/14	12/23	
T3	1/8	1/3	1/3	1/14	2/23	
Not available	1/8	0	0	0	2/23	
Primary tumor histology						.44
Invasive carcinoma NST	4/8	3/3	1/3	11/14	13/23	
Invasive lobular carcinoma, classic or pleomorphic	1/8	0	0	0	3/23	
Other <sup>c</sup>	2/8	0	2/3	3/14	3/23	
Data not available	1/8	0	0	0	4/23	
Histologic grade						.05
1	0	0	0	1/14	0	
2	2/8	0	1/3	1/14	11/23	
3	4/8	3/3	2/3	12/14	8/23	
Not available	2/8	0	0	0	4/23	
Hormone receptor status						.40
Positive	7/8	3/3	3/3	11/14	23/24	
Negative	1/8	0	0	3/14	1/24	
HER2-targeted therapy						<.001 <sup>a</sup>
Received	7/8	3/3	2/3	4/14	2/23	
Did not receive	0/8	0	1/3	10/14	21/23	

2018 ASCO/CAP HER2 ISH Group						
Feature, No.	Group 1 (n = 8)	Group 2 (n = 3)	Group 3 (n = 3)	Group 4 (n = 14)	Group 5 (n = 24)	P
Data not available	1/8	0	0	0	0	

Abbreviations: ASCO, American Society of Clinical Oncology; CAP, College of American Pathologists; HER2, human epidermal growth factor receptor 2; ISH, in-situ hybridization; NST, no special type

<sup>a</sup>Statistically significant *P* value < .05 by Student *t* test or Fisher's exact test, where applicable.

<sup>b</sup>For the Group 4 case showing 1.98 fold change, an alternate tumor sample was tested with *HER2* fluorescence in-situ hybridization and shown to be *HER2* amplified, classified as 2018 ASCO/CAP ISH Group 1.

<sup>c</sup>Other category encompasses cases of invasive ductal carcinoma with special histologic features, including apocrine, micropapillary and mucinous features, and cases of invasive mammary carcinoma, wherein carcinoma shared features of both ductal and lobular carcinoma.

Comparison of *ERBB2* amplification status by next-generation sequencing and *HER2* fluorescence *in situ* hybridization results from 52 breast carcinoma cases from 51 patients.

**Table 2.**

NGS result by MSK-IMPACT assay	2018 ASCO/CAP HER2 ISH Group				
	Group 1 (n = 8)	Group 2 (n = 3)	Group 3 (n = 3)	Group 4 (n = 14)	Group 5 (n = 24)
Nonamplified	0	1	2	13	24
Amplified/Gain	8	2	1	1	0

Abbreviations: ASCO, American Society of Clinical Oncology; CAP, College of American Pathologists; HER2, human epidermal growth factor receptor 2; ISH, *in situ* hybridization; MSK-IMPACT, Memorial Sloan Kettering-Integrated Mutation Profiling of Actionable Cancer Targets; NGS, next-generation sequencing

**Table 3.**

Summary of *HER2* fluorescence *in situ* hybridization results and *ERBB2* assessment by next-generation sequencing from matched tumor block and *HER2* fluorescence *in situ* hybridization results of alternate tumor block from 13 patients.

Study Patient	<i>HER2</i> FISH result, matched block	<i>ERBB2</i> Status by NGS, matched block	<i>HER2</i> FISH result, alternate sample(s)
1	Group 2	Amplified	Group 1
2	Group 2	Amplified	Group 1
3	Group 2	Nonamplified	Group 5
4	Group 3	Nonamplified	Group 5
5	Group 3	Amplified	Group 5
6	Group 4	Nonamplified	Group 4
7	Group 4	Nonamplified	Group 4
8	Group 4	Nonamplified	Group 4
9	Group 4	Nonamplified	Group 4
10	Group 4	Nonamplified	Group 4
11	Group 4	Nonamplified	Group 4, Group 5
12	Group 4	Nonamplified	Group 5, Group 5
13	Group 4	Copy number gain	Group 1

Abbreviations: FISH, fluorescence *in situ* hybridization; HER2, human epidermal growth factor receptor 2; NGS, next-generation sequencing