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Author manuscript *Cancer Res.* Author manuscript; available in PMC 2022 February 01.

Published in final edited form as:

Cancer Res. 2021 August 01; 81(15): 3958-3970. doi:10.1158/0008-5472.CAN-21-0427.

# A transcriptionally distinct subpopulation of healthy acinar cells exhibit features of pancreatic progenitors and PDAC

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# Abstract

Pancreatic ductal adenocarcinoma (PDAC) tumors can originate either from acinar or ductal cells in the adult pancreas. We re-analyze multiple pancreas and PDAC single-cell RNA-seq datasets and find a subset of non-malignant acinar cells, which we refer to as acinar edge (AE) cells, whose transcriptomes highly diverge from a typical acinar cell in each dataset. Genes up-regulated among AE cells are enriched for transcriptomic signatures of pancreatic progenitors, acinar dedifferentiation, and several oncogenic programs. AE-upregulated genes are up-regulated in human PDAC tumors, and consistently, their promoters are hypo-methylated. High expression of these genes is associated with poor patient survival. The fraction of AE-like cells increases with age in healthy pancreatic tissue, which is not explained by clonal mutations, thus pointing to a non-genetic source of variation. The fraction of AE-like cells is also significantly higher in human pancreatitis samples. Finally, we find edge-like states in lung, liver, prostate, and colon tissues, suggesting that sub-populations of healthy cells across tissues can exist in pre-neoplastic states.

## Introduction

Pancreatic ductal adenocarcinoma (PDAC) is one of the deadliest cancers with ~8% survival rate at 5 years(1). Pathogenesis of PDAC, and in particular, the cell of origin for PDAC, is not yet fully resolved, thus impeding development of robust therapies. Recent work has demonstrated that in mice, PDAC tumors can be driven from both acinar and ductal cells(2), where an acinar to PDAC transformation is mediated by acinar-ductal metaplasia (ADM)(3).

A classical view of cancer posits that oncogenesis is mediated by a series of somatic mutations in key oncogenes and tumor suppressors, accompanied by clonal selection(4,5). While this clonal genetic model is widely accepted as one of the dominant pathways to

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oncogenesis, epigenetic alterations also play a key role. Indeed, transcriptional and epigenetic heterogeneity in the progenitor cell population forms the basis for later malignant transformation(6), where such heterogeneity has been shown to be crucial for pre-malignant pancreatic lesions to progress to PDAC(7,8). Furthermore, in a clonal cellular population, pervasive transcriptional fluctuation, in conjunction with complex regulatory networks, can result in a distinct meta-stable cellular states(9–11). For instance, in a clonal population of blood progenitors, high SCA1-expressing outlier cells preferentially commit to the myeloid lineage, whereas cells with low SCA1 expression commit to proerythrocytes(10). Taken together, this suggests a potential non-genetic basis for early stages of tumorigenesis, driven by transcription fluctuation across clonal cells resulting in a distinct cell state primed for malignant transformation in the favorable environment(11). Oncogenic mutations can further amplify this non-genetic heterogeneity, as seen in breast epithelial cell cultures where oncogenic mutations increase the rate of switching between non-stem and stem-like epithelial cells(12). An interplay between genetic and epigenetic alterations is likely to underlie complete malignant transformation(13).

In this work, we investigated the potential role of transcriptional heterogeneity in pancreatic epithelial cells in priming PDAC. We analyzed a published single-cell transcriptomic dataset comprising 57,730 cells from 24 PDAC tumors and 11 pancreas samples from patients having non-PDAC indications(14). We found that non-neoplastic acinar cells contained a sub-population, which we refer to as edge cells (following the terminology in Li et. al(15)), whose transcriptomes diverge from the average acinar cell and show features of premalignancy. In particular, genes that are differentially up-regulated among the acinar edge cells are enriched for transcriptomic signatures of pancreatic progenitors and acinar dedifferentiation, as well as several oncogenic programs such as Kras signaling, fatty acid metabolism, and epithelial-mesenchymal transition (EMT). Furthermore, in human PDAC tumors, the genes up-regulated in acinar edge cells are up-regulated and consistently, their promoters are hypo-methylated. Higher expression of these genes also associates with PDAC patient survival. This suggests potential clinical relevance of these early malignancy priming events in acinar cells. Finally, we validate the existence of acinar edge cells in additional independent pancreatic datasets and additionally find that the fraction of edge-like cells increases with age in healthy pancreatic tissue, thus providing a potential mechanism linking the known increase of PDAC incidence with age(1). Intriguingly, we see strong functional similarity between transcriptional drift from non-edge to edge acinar cells and those previously reported in healthy to pre-malignant lung transformation(16), suggesting that our observations in PDAC may possibly be more general. Indeed, we found edge-like cells to be significantly more prevalent in human pancreatitis samples, and furthermore, beyond the pancreas, we found edge-like states among epithelial cells in non-neoplastic lung, liver, prostate and colon tissues.

Overall, our work suggests that transcriptional heterogeneity among non-malignant epithelial cells may be large enough for a fraction to exist in a dedifferentiated, preneoplastic state. Since genes up-regulated in this pre-malignant state also increased in expression with age, this may help explain the higher incidence rate of tumors with age in these tissues, in addition to other putative mechanisms associated with the increase in cancer risk with aging(17).

### **Materials and Methods**

The code necessary for reproducing these results are available at https://github.com/ hannenhalli-lab/pdac\_edge. Details of data downloading and processing procedures are described in Supplementary Methods.

#### Two-stage statistical test for an edge sub-population.

Our procedure for testing whether a non-malignant cell cluster harbors an edge subpopulation consisted of two tests --- the skewness and the proximity tests.

**Heterogeneity test:** We selected the 1000 most variable genes (using Seurat's default FindVariableFeatures function) in the non-malignant cell cluster, z-score normalized their expression, and computed a 50-dimensional PC embedding for each cell; we refer to these PCs as Normal PCs to underscore that they are computed only from the non-malignant cell cluster. We then computed the distance of each cell from the cluster medoid based on Euclidean distance. The 10% of cells that are farthest from the medoid are termed outlier cells. We quantified heterogeneity as the skewness, *s*, of the distance distribution using the medocuple estimator from the robustbase package in R. To compute the statistical significance of *s*, we create 100 control cell cluster. For each control cluster, we compute the skewness as above, and based on a Gaussian fit of these 100 control skewness values, we estimated the empirical p-value of *s*. We used a p-value threshold of 0.01 to consider the cell cluster heterogeneous and proceed to the next test.

**Proximity test:** Here we determine whether the outlier cells in the non-malignant cluster are significantly closer to the malignant cell cluster than non-outlier cells. We carry out PCA jointly on both malignant cells and non-malignant cells, using 1000 most highly variable genes across these cells. We refer to these PCs as Pooled PCs. We then define the malignant cell cluster's medoid using the Euclidean distance metric, and compute the proximity ratio, R, as the ratio between the average distances of outlier cells (in the Pooled PC space) to the malignant cluster medoid to that of the non-outlier cells. A value of R < 1 implies that the outlier cells are closer to malignancy than non-outlier cells. We compute the statistical significance of R by randomly choosing 10% of the non-malignant cells as outlier cells, and re-compute R using these control outlier cells. We repeat this process 100 times, fit a Gaussian to the obtained ratios and estimate the empirical p-value of observing a value less than R. If this p-value is less than 0.01, the outliers are labelled as edge cells.

### Modified three-stage statistical test for finding edge heterogeneity.

The three-stage pipeline retains the heterogeneity and proximity tests and incorporates a third collinearity test.

**Heterogeneity test:** We computed 5 Normal PCs based on the 1000 most variably expressed genes within the non-malignant cluster of interest. Using each PC individually, as above, we defined the medoid cell, computed the distance of each cell from the medoid, followed by skewness of the distance distribution, s, and its significance based on shuffling

the expression separately amongst cells in each sample (this sample-aware shuffling removes any potential bias caused by inter-sample heterogeneity). The p-values of *s* computed for all 5 PCs are corrected using the Benjamini-Hochberg FDR procedure. Each Normal PC with an FDR < 0.1 is chosen to define outlier cells, i.e., 10% of cells farthest from the cluster medoid.

**Proximity test:** 5 Pooled PCs are computed based on the 1000 most variably expressed genes across the pooled non-malignant and malignant clusters. For each outlier cell population (defined by a particular Normal PC qualifying the Heterogeneity test), the proximity ratio of the outlier cells, R, and its p-value, is computed separately for each pooled PC as above. The FDR is then computed for each pooled PC, and the set *P* of all Pooled PCs with an FDR < 0.1 are retained.

**Collinearity test:** We compute a 5×5 correlation matrix of Spearman correlation coefficient between every Normal and Pooled PC score pair across all cells in the non-malignant cluster that qualify both heterogeneity and proximity tests. The p-values of each correlation is corrected using the FDR method. For each skewed Normal PC, if there exists at least one collinear Pooled PC with a low proximity ratio (with a correlation FDR < 0.1), then the Normal PC is a direction of edge heterogeneity.

### Gene set enrichment comparison to Mascaux et. al.

We divided the acinar cells into three bins based on their distances from the acinar medoid in PC space. We z-scored the normalized expression of each gene across all acinar cells and picked genes that increased in z-score by at least 0.1 between adjacent bins. We carried out a Fisher test for over-representation for 64 gene sets (50 Hallmark gene sets and 14 CancerSEA gene sets), after which we carried out an FDR correction and picked gene sets with a q-value < 0.1 as significant.

### Motif enrichment and network analysis.

To find a list of motifs enriched near acinar-expressed genes, we used the SPRY-SARUS motif scanner(18) to scan the central 100 bp region of ATAC-seq peaks for matches to motifs in the JASPAR 2020 vertebrate motif collection(19). Out of 746 motifs, we restricted our scans to 589 motifs that involved a TF that was expressed in at least 10% of all acinar cells. We split the ATAC-seq peak regions into foreground or background sets depending on whether or not the peaks were at most 10kb upstream of a gene expressed in at least one acinar cell. We scanned both sets of regions for motif matches (p-value <  $10^{-4}$ ) and carried out a Fisher test of over-representation among the foreground sequences for each motif. We then computed q-values for each TF and retained TFs with a q-value < 0.1.

For each retained enriched TF, we created gene sets that consisted of its putative gene targets in the foreground set. We scored each gene set's activity in each acinar cell using AUCell and used AUCell's internal Global\_k1 threshold to declare a gene set as active or inactive in each acinar cell. We then computed the fraction of acinar cells in each of the 3 bins with an active gene set, with the same cell - bin assignment that was computed in Fig. 2C.

### Variant calling.

We called variants in acinar cells from the raw sequencing reads in GSE81547 and GSE85241 datasets using the GATK best practices workflow. We then removed variants that were (a) shared across donors, (b) were annotated in dbSNP v138, (c) had fewer than 5 reads aligning to the locus or had fewer than 3 reads supporting the alternate allele.

### Comparing healthy tissue donors and cancer patient donors in Tosti et. al, 2021 :

Three of the samples --- TUM-13, TUM-C1 and TUM-25 --- were derived from histologically normal pancreas locations in cancer patients (Neuroendocrine tumor, PDAC, and Mixed Mullerian Tumor, respectively). To verify that samples from cancer patient did not possess higher edge gene set activity than healthy donors, we modelled edge gene set activity as a Gaussian linear mixed model with donor (random effect), sample type (healthy or tumor-adjacent, fixed effect) and cell type (random effect) as regressors. Since the effect of sample type on the edge gene set activity (coefficient = 0.0014, standard error = 0.016) was not statistically significant (t-value= 0.089), we considered cells from both cancer patients and healthy donors as normal.

### Adaptive AUCell threshold computation.

When running AUCell on the datasets analyzed in Figs. 6 and 7, we found that the AUCell Global\_k1 threshold, which was computed after pooling all cells in a given study, was affected by variations in library sizes between cells collected from different donors. We thus developed an adaptive thresholding strategy where an activity threshold for the edge gene set was computed separately for each donor.

For a given donor, we generated a collection of expression-controlled gene sets containing the same number of genes as the edge gene set. We first divided all expressed genes in a donor into 10 bins based on their mean normalized expression and assigned each edge gene to a bin based on its normalized expression level. For each edge gene, we then picked a gene at random from the same expression bin. The activity of the resulting control gene set was then scored using AUCell, where the 95th percentile of the AUCell scores was stored as a putative activity threshold. This process was repeated 100 times, with the largest putative activity threshold chosen as the final edge gene set activity threshold. Any cell with a AUCell score higher than this threshold was considered to be an edge cell.

### Survival analysis of TCGA cancer patients.

For each cancer type investigated here, we obtained the mRNA expression (in TPM units) and clinical data for TCGA cancer patients from UCSC-xena browser (https:// xena.ucsc.edu/). We used Cox regression to model the overall survival of patients by using the median expression of each signature gene set (y-axis of Fig. 3C) as an explanatory variable. Additionally, we used the age of patients as covariate and stratified the model based on their gender to control for these potential confounders. The resulting p-values were corrected for multiple comparisons using the FDR method and hazard ratios were plotted on log scale.

### Expression analysis in bulk tumor data.

For each cancer type investigated, we z-scored the expression of each gene in TCGA cancer patients based on its mean and standard deviation in normal samples of corresponding tissue from GTEx and used the averaged z-scores to compare different gene sets. Prior to z-scoring, we performed quantile normalization in order to make the two datasets comparable.

### DNA methylation analysis in bulk tumor data.

We used 450k DNA methylation data of cancer and normal samples from array-expression for pancreas(20) and from GEO database for lung (GSE66836) and liver (GSE54503) samples. The coordinates of 450k methylation array probes were obtained using the COHCAP library in R and were mapped to the 5kb upstream promoter region of each gene using bedtools. We used the mean and standard deviation of aggregated methylation of each promoter in normal samples to compute the z-scores of the same in the cancer samples and plotted the averaged z-scores to compare different gene sets.

### Results

# Normal acinar cells include a transcriptionally divergent *Edge* subpopulation shifted toward a malignant state

We obtained processed gene-wise read counts from RNA-seq profiling of 57,730 preannotated cells across 24 PDAC and 11 non-PDAC samples(14). The non-PDAC samples were taken from the normal pancreatic sites (Table S1 in Peng et. al(14)) of patients with other conditions: neuroendocrine tumors (n=3), solid pseudopapillary tumors (n=3), serous cystic neoplasia (n=1), mucinous cystic neoplasia (n=2), duodenal intraepithelial neoplasia (n=1) and small intestine papillary adenocarcinoma (n=1). We processed the data using Seurat v3.0(21), following which we used doubletFinder(22) to discard 2,877 potentially doublet cells, leaving us with 54,853 cells. These cells comprised 10 annotated types -- T cells, B cells, Macrophages, Stellate cells, Fibroblasts, Endothelial cells, Acinar cells, Ductal cells (Type 1 and Type 2) and Endocrine cells. A UMAP plot of the data shows that the annotated cell types are well-separated (Fig. S1). In the original annotations of the data, Ductal cell type 2 refers to malignant ductal cells, to contrast them with non-malignant ductal cells (type 1).

If a given non-malignant cell cluster, say X, passes the two statistical filters below, we state that X contains edge cells (Fig. 1A). The first filter – heterogeneity test – checks if a subset of cell in X have significantly diverged from X's medoid in Principal Component (PC) space. These PCs, which we call Normal PCs, are computed based on transcriptomes only in X to capture gene expression variation within X. If X passes the filter, we consider the 10% of cells farthest from X's medoid as candidate edge cells. The second filter – proximity test – checks if the candidate edge cells are significantly closer to the malignant cluster than the remaining cells in X. The proximity test is based on PC coordinates computed from cells in both X and the malignant cluster, which we call Pooled PCs. Technically, the heterogeneity test can also be carried out in Pooled PC space. However, since Pooled PCs also capture gene expression differences between X and the malignant cluster, they do not provide an unbiased measure of heterogeneity within X.

We assessed all 9 non-malignant cell types and found that only acinar cells harbored edge cells, having uniquely passed both heterogeneity and proximity tests (Fig. 1B). The existence of edge cells in the acinar population is not due to copy number alterations (CNA) as the acinar cells were shown not to harbor CNAs, in contrast to malignant ductal cells (14). The non-malignant ductal cells passed the heterogeneity test but not the proximity test, suggesting that ductal cells are highly heterogeneous but that the candidate ductal edge cells (Fig. 1C) do not significantly drift towards malignancy. For clarity, we henceforth refer to the candidate edge ductal cells as outlier ductal cells.

We performed several controls (Supplementary Fig. 2A–E and Supplementary Section S1) to ensure that the acinar edge population (Fig. 1C,D) did not arise from common artefacts related to single cell sequencing such as cell cycle, inter-donor/batch variation, the presence of tumor-adjacent cells, and library size differences. Since our computational approach bears similarities to trajectory analysis, we also assessed an analogous trajectory-based pipeline based on Monocle3(23) for detecting edge cells, where pseudotime values of cells were used for the heterogeneity and proximity tests. This alternative strategy (Supplementary Section S2), however, failed to detect edge cells.

Overall, these results reveal an edge subpopulation uniquely in non-neoplastic acinar cells that have transcriptionally drifted away from the acinar medoid and toward malignant ductal cells. In contrast, ductal cells possess an outlier ductal sub-population that drift away from the ductal medoid but do not drift towards a malignant state.

# Edge acinar cells diverge from a normal acinar phenotype and represent a pre-neoplastic state

Edge acinar cells expressed PRSS1, a marker of acinar cells, at much lower levels than nonedge acinar cells (Fig. S2B,  $p < 10^{-62}$ ). To further check if edge acinar cells differentially expressed markers of dedifferentiation, we assessed the expression of genes curated by Baldan et. al(24) that are up- and down-regulated during acinar dedifferentiation. Four genes (*RBPJ,HNF1B,SOX9, MYC*) that are up-regulated during dedifferentiation are also upregulated in edge acinar cells, while five genes (*AMY2A,RBPJL,SYCN,CPA1,CTRC*) that are down-regulated during dedifferentiation are also down-regulated in edge acinar cells (Fig. 2A). Acinar dedifferentiation precedes acinar-ductal metaplasia -- the conversion of acinar to ductal cells during pancreatic injury -- which in turn is potentially a precursor to PDAC(25). We checked expression changes of the genes *STAT3, SEL1L, CBL, KLF4, CTNND1, ICAM1, DCLK1* and *CDKN1A*, which are known to increase in expression during acinar-to-ductal metaplasia(3). With the exception of *SEL1L*, all other genes were up-regulated in edge-acinar cells (Fig. 2A).

The acinar cell response during pancreatic injury has been suggested to represent a reversion to a multipotent embryonic pancreatic progenitor state(26), which, in mice embryos are marked by expression of *Sox9*, *Ptf1a*, *Pdx1* and *Nkx6–1*(27). We found that *SOX9* and *PDX1* were up-regulated in edge acinar cells (Fig. 2A), although *NKX6–1* showed a negligible up-regulation and *PTF1A* was down-regulated. Nonetheless, we checked if other genes active in pancreatic progenitors were also expressed in edge acinar cells by processing (see Supplementary Section S4 and Supplementary Fig. S2A–B) a single-cell RNA-seq

dataset of human fetal (15.4 weeks gestational age) pancreatic tissue(28). We used AUCell (29) to score acinar and ductal cells for the activity of genes that were up-regulated in *SOX9+PDX1+* multipotent cell (MPC)-like and *SOX9+PTF1A+NKX6-1+PDX1+* MPC cells. Both gene sets were significantly more active in edge acinar cells than non-edge acinar cells (Fig. 2B), but not in outlier ductal cells when compared to non-outlier cells.

Since edge acinar cells are transcriptionally closer to malignant ductal cells than non-edge cells, we checked if the non-edge to edge transition involved known pathways of tumorigenesis. To interpolate intermediate states between non-edge and edge states, we divided acinar cells into three equal-sized bins based on their distance from the acinar cluster medoid. We tested genes monotonically increasing expression across these bins for enrichment of genes from 50 Hallmark gene sets and 14 gene sets from the CancerSEA(30) database. Out of 19,276 genes expressed in acinar cells, 3,273 genes exhibited a monotonic increase in expression from the first to the third bin and were enriched for 43 of the 64 gene sets (q-value < 0.1, Supplementary Table 1). 15 of these gene sets overlapped with gene sets enriched among genes increasing in expression across early stages of lung malignant transformation documented in Mascaux et. al (16) (Fig 2C), including genes related to Myc targets, mTOR signaling, IL2 STAT5 signaling, TNF-alpha signaling via NFKB, response to IFN-gamma, EMT, and UV response.

Next, we investigated four potential paths between non-outlier acinar to malignant cell states (Fig 2D). We identified the genes monotonically increasing in expression along each of these paths and identified enriched oncogenic pathways (Fig. S3C) among these genes. We observed most oncogenic changes (33 pathways enriched) along the path "non-edge acinar -> edge acinar -> malignant" (Fig. 2D). We contrasted this with two other paths, namely, "non-edge -> edge -> outlier ductal -> malignant" and "non-edge -> edge -> all ductal cells -> malignant", where respectively only 8 and 5 pathways were enriched. This contrast suggests that ductal cells may not always be an intermediate transition state between edge acinar and malignant ductal cells.

Next, leveraging transcription factor (TF) motifs and acinar-specific ATAC-seq data (31), we analyzed TF activity in each of the three acinar cell bins to understand the transcriptional networks potentially driving the edge acinar state (see Supplementary Section S4). We focused on the 50 TFs whose putative target gene sets showed the most variable activity among all bins (Fig. 2E, see Supplementary Table 2 for a complete table of all 230 TFs). The *RBPJ* gene set showed high activity in Bin 3, which, along with the increase in *RBPJ* expression in edge acinar cells, provides a putative mechanistic link to the re-activation of embryonic progenitor genes in edge acinar cells(32). The activity of several *KLF* factors increased in Bin 3, including *KLF5*, whose knock-out is known to reduce proliferation in low-grade PanIN cell lines(33). *HES1* activity, which maintains acinar plasticity(34), also increased from Bin 1 to Bin 3.

Thus, edge acinar cells differentially up-regulate markers of acinar dedifferentiation and acinar-ductal metaplasia, and reactivate genes expressed in embryonic pancreas progenitor cells. This is concomitant with the activation of several oncogenic processes, driven by key TFs, during transition from a non-edge to edge acinar cell state. More surprisingly, there is a

substantial commonality between the processes up-regulated in transition from a non-edge to edge acinar cell state and those up-regulated during lung pre-malignant progression.

### Genes up-regulated in edge acinar cells are predictive of PDAC survival

We created gene sets consisting of genes significantly up-regulated and down-regulated in edge acinar cells (Edge-Up and Edge-Down, Supplementary Table 3) and outlier ductal cells (Outlier-Up and Outlier-Down), compared to their respective non-edge and non-outlier counterparts, and analyzed their RNA-seq expression and promoter methylation in both healthy pancreatic tissues and human PDAC tumor samples. We found that Edge-Up genes were up-regulated, while Edge-Down genes were down-regulated in PDAC tumors from the TCGA database, compared to healthy pancreatic tissues from the GTEx database (Fig. 3A). Consistent with gene expression, we found significant hypomethylation at promoters of Edge-Up and hypermethylation of Edge-Down gene promoters in PDAC samples (Fig. 3B). This suggests that gene expression and methylation changes in acinar edge cells foreshadow changes in PDAC tumors in a consistent manner.

When we repeat these analyses for ductal Outlier-Up and Outlier-Down gene sets, counterintuitively (since outlier ductal cells do not exhibit a drift towards malignancy), we found a similar trend as for ductal cells, where Outlier-Up genes were up-regulated while Outlier-Down genes were down-regulated in PDAC tumors (Fig. S3E), and Outlier-Up gene promoters were hypomethylated (Fig. 3B), though Outlier-Down gene promoters were not hypermethylated. We scrutinized these counter-intuitive observations and found that this is likely because over half the Outlier-Up genes were also Edge-Up genes, with only 8 Outlier-Up (and 177 Outlier-Down genes) being ductal-specific in their expression pattern. Removal of these overlapping genes eliminates these trends in RNA-seq and methylation patterns (Fig. 3A,B).

We further assessed, using a Cox proportional-hazards model, whether the four gene sets' activity in PDAC tumors are associated with patient survival. As shown in Fig. 3C and Fig. S3E, both Edge-Up and Outlier-Up gene sets have a significant hazard ratio (q-value < 0.1), but Edge-Up gene set has a higher hazard ratio than Outlier-Up genes. Notably, neither Edge-Down nor Outlier-Down gene sets are significantly associated with survival. As above, repeating the survival analysis based on ductal-specific Outlier-Up genes does not show significant association with survival. We also performed Cox regression for oncogenic gene sets in CancerSEA and found that a majority of these sets were predictive of survival, albeit with a lower hazard ratio than the Edge-Up gene set.

These results suggest that the genes increasing in expression in the edge-acinar state were key to tumor progression and are in line with our findings (Fig. 2C) that several oncogenic processes are enriched only among genes increasing in expression during the non-edge to edge transformation.

### Acinar edge cells are found in independent healthy pancreas samples

We checked if edge states can be found among acinar cells in other published single-cell datasets of human pancreatic tissues. We re-analyzed published SMART-seq(27) (GSE81547) and CEL-seq(35) (GSE85241) single-cell RNA-seq datasets of healthy human

pancreas samples from donors spanning four decades of age. We removed genes that showed an age-associated increase in expression from our edge signature (see Supplementary Section S4) and used AUCell to score edge gene set activity in both datasets separately. Cells were declared as edge or non-edge based on the Global\_k1 activity threshold computed by AUCell. First, similar to Fig. 2A, we compared the log-fold changes of acinarductal metaplasia and acinar dedifferentiation markers between edge and non-edge acinar cells (Fig. 4A). In GSE81547, all 9 dedifferentiation markers, and 6 out of 9 ADM markers, showed consistent fold-changes with edge acinar cells. In GSE85241, 6 out of 9 dedifferentiation markers, showed consistent fold-changes with edge acinar cells.

Consistent with PDAC risk increases with age, we found an age-dependent increase in the fraction of edge cells ( $R^2 = 0.66$ , p = 0.02) across both datasets (Fig. 4B). As tissues accumulate somatic mutations during aging, we assessed whether edge cells possessed somatic, especially oncogenic, mutations, using the GATK pipeline (see Methods). The number of somatic mutations in these cells agreed with estimates of somatic mutations rates in pancreas tissue in GTEx data(36). We found that edge acinar cells had more somatic mutations than non-edge acinar cells in GSE81547 but not in GSE85241 (Fig. 4C). The differences between both datasets likely stem from differences in their library sizes, with GSE81547 being sequenced to a much higher depth(37). Nonetheless, in both datasets, all these mutations were rare, and were present, on average, in 2.18% and 3.47% of non-edge and edge cells in GSE85241, and in 6.34% and 8.53% of edge cells, respectively in GSE81547 (Figures 4D,E), which does not support a clonal origin for edge cells. This modest difference in mutation frequency between edge and non-edge cells was not significant based on sampling that preserves the number of edge and non-edge cells in each sample. Further, none of the mutations in edge and non-edge cells were classified as oncogenic driver mutations in the COSMIC cancer gene census (v92)(38).

We compared the edge cells from these two datasets with the edge cells found in our reference dataset. After batch-correction, edge and non-edge cells overlapped each other in UMAP space (Fig. 4F), and edge cells in GSE81547 and GSE85241 were significantly farther from their medoid than non-edge cells (Fig. 4G). Thus, the edge states in each of these datasets are similar and represent a transcriptional drift away from the normal acinar state in each of them.

These findings validate the existence of edge-like acinar subpopulation cells in additional datasets, where they consistently exhibit expression profiles of ADM and dedifferentiation markers as in the PDAC dataset. Furthermore, we observe a strong correlation between frequency of edge cells and age.

### Edge-like variation in other tissues

Alveolar type 2 (AT2) cells are believed to be the cell-of-origin(39) of lung adenocarcinoma (LUAD) tumors. However, application of our original pipeline on scRNA-seq data from non-malignant (AT2) and LUAD samples(40) did not detect an edge sub-population among AT2 cells, or any other non-malignant lung epithelial cluster. We then modified our original pipeline to check if any individual principal components reflected significant gene

expression heterogeneity and a drift towards malignancy. Here, heterogeneity and the proximity tests are done for individual Normal and Pooled PCs respectively, and an additional test of collinearity between the qualifying Normal PC and the qualifying Pooled PCs (Fig. 5A, Methods). We note that multiple Normal PCs can show heterogeneity and drifts towards malignancy, reflecting the activation and inhibition of different gene sets in a subset of non-malignant cells. With this refined pipeline, we found that Normal PC5 of AT2 cells defined an edge population that showed a drift towards a malignant cell cluster (Tumor State 2) along Pooled PC 1, which is collinear with Normal PC5 (Correlation coefficient = 0.82, q-value <  $10^{-9}$ ). Additionally, Normal PC1 of Club cells, and Normal PCs 1 and 2 of AT1 cells, also represented drifts towards malignancy.

We then tested our pipeline on non-malignant liver(41,42)(caveats with this dataset discussed in Supplementary Section S3), colon(43,44), and prostate tissues(45) to find edge sub-populations that showed a drift towards liver hepatocellular carcinoma (LIHC), colorectal cancer (CRC), and prostate adenocarcinoma (PAAD), respectively. We found multiple clusters in each dataset that showed a drift towards malignancy in each of these tissues, including two hepatocyte clusters (Hep2 and Hep3) in the liver, transit-amplifying cells (TA1 and TA2), enterocytes, enterocyte progenitors, and intestinal stem cell clusters in the colon, and basal and luminal cells in the prostate. Genes up-regulated in the edge-like populations in these tissues were enriched for several oncogenic gene sets (Supplementary Fig. S3D). Edge-like AT2 and AT1 cells in the lung, and TA1 (Transit Amplifying) cells in the colon, were enriched (Fisher test, p = 0.042, p=0.05, and p=0.027, respectively) for gene sets active in lung cancer progression in the Mascaux et. al study (Fig 5B).

Overall, while we did not find a global transcriptomic shift toward malignancy in lung, liver, prostate and colon, our results suggest significant heterogeneity in specific oncogenic programs in multiple epithelial clusters in these tissues.

### The edge acinar state is activated during chronic pancreatitis

Pancreatitis is associated with an increased PDAC risk(46). To investigate whether the increased risk is associated with the presence of edge cells, we analyzed a single-nucleus RNA-seq dataset of 120,000 cells in pancreas samples from healthy donors, chronic pancreatitis (CP) patients, and histologically normal tissues adjacent to pancreatic tumors(47). In addition to reporting three acinar cell states (Acinar-i, Acinar-s and Acinar-REG+), the study had reported a novel MUC5B+ ductal population that also expressed acinar cell markers, where 45% of these cells expressed PRSS1 (Fig. 6A). We used AUCell to compute edge gene set activity among the acinar and MUC5B+ ductal cells, and labelled cells as "edge" based on a more stringent and adaptive activity threshold (see Methods) than AUCell's Global\_k1 threshold. The detected edge population was enriched for cells from the MUC5B+ ductal cell population (Odds ratio = 4.962, p <  $10^{-116}$ ), Acinar-*REG*+ (Odds ratio = 4.45,  $p < 10^{-170}$ ) and Acinar-s populations (Odds ratio = 5.07,  $p < 10^{-170}$ ), but not the Acinar-i population (the odds ratio was relative to the expectation based on all acinar cells). Further, relative to normal samples, acinar cells from chronic pancreatitis biopsies were over-represented in the edge population (Odds ratio = 3.83, p <  $10^{-66}$ ), consistent with higher expression of the edge gene set in Acinar-REG+ and MUC5B+ ductal cells in chronic

pancreatitis biopsies (Fig. 6B). Additionally, when we performed PCA separately for each cell type and donor type, we found that, by and large, edge cells were farther away from the medoid cell than non-edge cells (Fig. 6C).

Next, we checked if any of the edge genes were detectable in the normal pancreas in histopathology data. *MMP7* was one of the highest up-regulated genes in our edge gene set (Average Log-FC = 1.87), and is known to contribute to PDAC initiation and progression(48). While *MMP7* expression occurs in PDAC cells(49), multiple studies reported that a small fraction of normal pancreatic samples showed low-antibody staining for *MMP7*(49–51). This observation is consistent with a small fraction of acinar cells in the healthy pancreas being in an edge state in some of the normal samples.

Finally, we evaluated the spatial localization of edge cells among spatial transcriptomic datasets assayed from a subset of healthy donors. This data was collected using the Cartana *in situ* sequencing platform, where pixel-wise locations of expression of each of 98 chosen genes were measured. We used the locations of ten genes --- nine from the edge gene set (*LCN2,CALD1, B2M, HLA-DRA, CD74, CD3D, KRT19, REG3G*), and *MUC5B* --- as an indicator of the location of edge cells. We reasoned that, if edge cells exist in a single cluster, the distance between a given pair of pixels expressing an edge gene would, on average, be significantly shorter than that of a non-edge gene. We checked if the median inter-pixel distance of each of the 10 edge genes was shorter than that of a random chosen set of 10 genes and found that this was not the case in any of the tissue slices (Figure 6D). However, this does not preclude the possibility that the edge cells could exist as clusters at multiple foci distributed across the pancreas.

### Kras mutations induce an edge-like transcriptional state in acinar cells in mice

KRAS is the most frequently mutated oncogene in human PDAC, and is mutated in nearly all PDAC samples in TCGA(52), with the KRAS<sup>G12D</sup> mutation believed to drive PDAC initiation. We checked if Kras<sup>G12D</sup> mutation-bearing acinar cells in mice are more likely to be in an edge-like state by detecting them among pooled single-cell acinar transcriptomes (Fig. 7A) from *Kras<sup>WT</sup>* mice (from the Tabula Muris(53) and Tabula Muris Senis(54) projects) and from neoplastic PDAC lesions in Kras<sup>G12D</sup> bearing mice in the KIC model (KrasLSL-G12D/+Ink4afl/flPtf1aCre/+, GSE125588(8)) and the PRT mouse model (Ptf1a-CreER, LSL-Kras<sup>G12D</sup>, LSL-tdTomato, GSE141017(55)). We used AUCell (with an adaptive threshold) and mouse orthologs of the human edge gene set to detect edge cells and found that Kras<sup>G12D</sup> mice contained a larger fraction of edge acinar cells than Kras<sup>WT</sup> mice, although not at a statistically significant level (W = 86, Wilcoxon one-sided test, p = 0.16, Fig. 7B). Interestingly, we found a high correlation (Spearman rho = 0.88,  $p < 2.2 \times 10^{-16}$ , Fig. 7C) between log-fold changes in gene expression between edge and non-edge cells in *Kras<sup>WT</sup>* mice on the one hand with log-fold changes in gene expression between *Kras<sup>G12D</sup>* acinar cells and Kras<sup>WT</sup> acinar cells on the other. There is thus a large concordance between gene expression programs activated by the Kras<sup>G12D</sup> mutation and those activated during a non-edge to edge transition. Finally, we analyzed published bulk RNA-seq profiles of mouse pancreatic samples before and after pancreatitis induction (56-60). With the exception of GSE143749(59), where log-fold changes were compared between pancreatic tuft and non-

tuft cells, the edge gene set was more strongly up-regulated than non-edge genes in the remaining pancreatitis samples (Fig. 7D). In particular, in GSE132330(60), edge genes were more strongly up-regulated after pancreatic injury in *Kras<sup>G12D</sup>* mice (KI vs N) than in *Kras<sup>WT</sup>* mice (I vs N, p=1.07 × 10<sup>-5</sup>).

There is thus a clear concordance between gene expression changes during the non-edge to edge transition and those produced by  $Kras^{G12D}$  induction and pancreatitis in mice.

# Discussion

Here we show the existence of a subset of non-malignant acinar cells that we refer to as edge cells(15), that are transcriptionally distinct from a typical acinar cell, and significantly closer to malignant PDAC cells. This phenomenon is observed broadly across individuals and in multiple datasets. Although edge cells do not seem to be driven by clonal somatic mutations, interestingly, we see evidence of increased prevalence of edge cells with age, and consistently, an enrichment of edge-up-regulated genes among genes increasing in expression with age. Our analysis of spatial transcriptomic data suggests that edge cells likely do not exist as a single cluster within the healthy pancreas, and are potentially distributed across the pancreas, either as isolated cells or in multiple clusters.

One way to interpret the observed global transcriptional drift in acinar edge cells toward malignancy is that there are overlapping oncogenic programs that individually show heterogeneity in the non-malignant cell population and are broadly concordant with each other. Ultimately, an increased transcriptional activity along multiple oncogenic programs in a subset of cells is revealed as the edge cells by our approach. Importantly, gene expression changes during the non-edge to edge transition are similar to those induced by the *Kras*<sup>G12D</sup> mutation and during pancreatitis. This suggests that the edge acinar state, which can be found even in histologically normal pancreas samples, is associated with, and possibly contributes to, both pancreatitis and PDAC. Furthermore, since the gene expression differences between edge and non-edge acinar cells in mice are similar to those induced by the *Kras*<sup>G12D</sup> mutation, it is possible that edge cells "pre-activate" a *Kras*<sup>G12D</sup> induced program that leads rapidly to oncogenesis upon mutation. Our results also reveal significant heterogeneity involving several oncogenic programs in non-malignant epithelial cells of lung, liver, prostate and colon.

There is a significant overlap between pathways activated in the non-edge to edge transitions in acinar cells on the one hand, and those activated during pre-malignant progression in the lung on the other. In acinar edge cells, we see an up-regulation of the targets of transcription factors *RBPJ*, *HES1*, and *KLF5* targets, which are known to mediate acinar cell plasticity and a reversion to a multi-potent pancreatic progenitor state(32,34). This suggests a role for known transcriptional networks playing a role in the transition to an edge state. In the context of regulatory networks, transcriptional fluctuations can lead to non-genetic phenotypic heterogeneity (9,10,61), which, in malignant cells, can lead to drug-resistance (62) in a manner that can be perturbed by targeting key transcription factors(63).

The duration for which a cell remains in an edge state may involve epigenetic mechanisms like DNA methylation and histone modifications (64,65). Coupled single cell transcriptomics and DNA methylation data from the same acinar cell, which is needed to precisely assess the role of DNA methylation in sustaining the edge cell population, is currently not available. However, we found that the promoters of genes that are up-regulated in the edge acinar cells relative to non-edge cells, were hypomethylated in PDAC tumors, and the converse was true for genes down-regulated in edge acinar cells, suggesting a potential role of epigenetics in maintaining the edge cell state.

A potential role of the tissue environment, and DNA methylation, in giving rise to edge cells is further supported by our observed link between age and the fraction of edge cells in healthy acinar cells. Aging is the greatest risk factor for most cancers(66). While clonal expansion of somatic mutations does occur with age in certain tissues such as skin and oesophagus(67), we found no evidence of clonal expansion in the edge acinar cells. Beyond the role of mutations, epigenetic changes from age-related hypomethylation(68) likely contribute to the stability and rate of switching to an edge state with age. Though we do not find mutations underlying the edge cells in the pancreas, the edge state may represent a state primed for malignant transformation by oncogenic mutation (13) or other age-associated transcriptomic changes (17,69,70)

Overall, our results support the notion of an edge transcriptomic state in healthy tissues that is pre-malignant. Pancreatic acinar cells likely switch between edge and non-edge states, although the time spent by cells in either state is unclear. Establishing the stability of these states would require the tracing of lineages of acinar cells to infer the regulatory changes underlying the switching process.

### Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

### Acknowledgments

This work utilized the computational resources of the NIH HPC Biowulf cluster and was supported by the Intramural Research Program of the National Cancer Institute, Center for Cancer Research, NIH. We would like to thank Curtis Harris, Xin Wang, Shouhui Yang and Cenk Sahinalp for discussions and feedback. We especially thank Argiris Efstratiadis for his feedback and comments on our re-analysis of fetal pancreatic single-cell RNA-seq data. We thank Arati Rajeevan for help with illustration.

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# **Statement of Significance**

We find 'edge' epithelial cell states with oncogenic transcriptional activity in human organs without oncogenic mutations. In the pancreas, the fraction of acinar cells increases with age.

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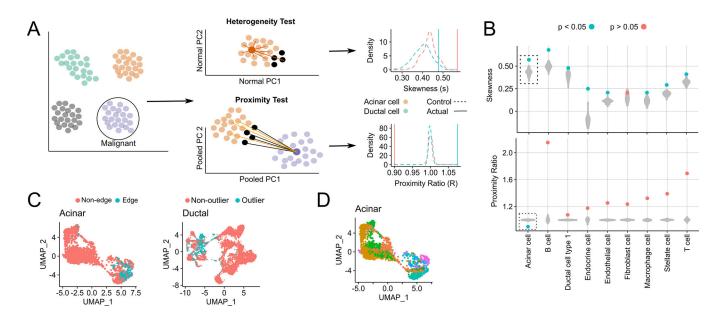
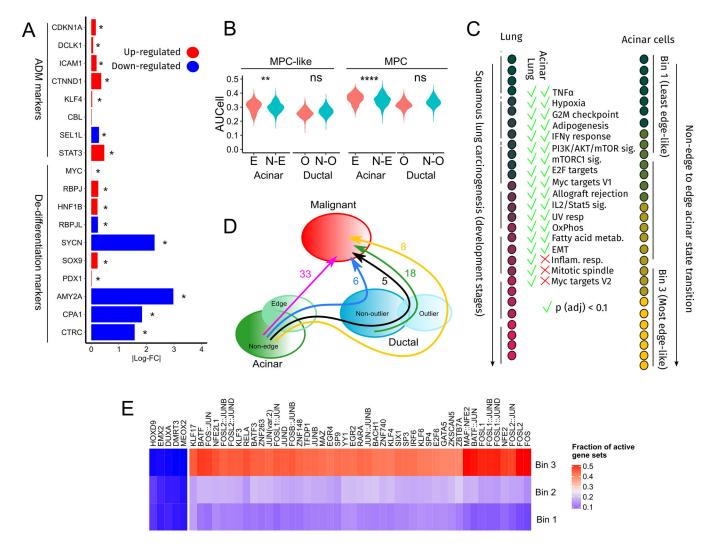


Fig. 1. Testing the presence of an edge sub-population among non-malignant cells in scRNA-seq data.

(A) Within each non-malignant cluster, every cell's distance from the cluster medoid (in Normal PC space) is calculated, and the resulting distance distribution is tested for positive skewness. In the proximity test, we test if the 10% of non-malignant cells farthest from their own medoid (black, termed outlier cells) are significantly closer, in the Pooled PC space, to the malignant cluster medoid (dark purple) than the remaining 90% of cells (orange). If both test conditions hold, the outlier cells are called edge cells. For both tests, examples of the distributions of skewness and the proximity ratio are shown for acinar and ductal cells, as well as their respective control populations (B) Violin plots of medoid distance distribution skewness values (top) and malignant proximity ratio (bottom) after shuffling is performed 100 times for each indicated cluster. Filled circles indicate skewness and proximity ratio values of actual cells, where blue and red indicate a significant (< 0.05) or insignificant p-value for each test. (C) UMAP plots of edge and non-edge acinar cells (left) and non-outlier and outlier ductal cells (right). (D) UMAP plots of acinar cells colored by their sample of origin (34 samples in total, as acinar cells from one sample were discarded as they were likely doublets).

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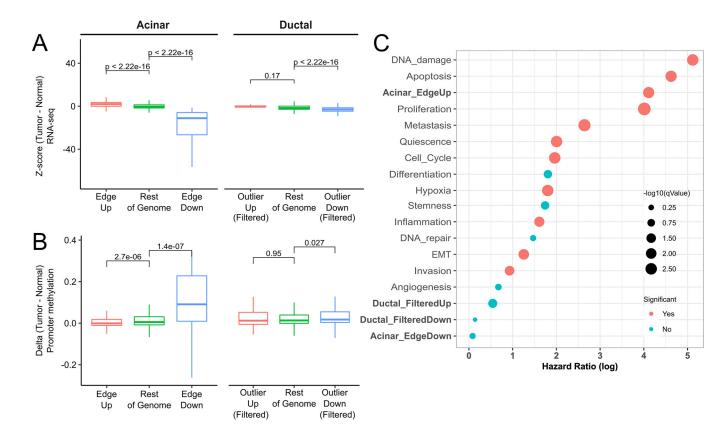
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### Fig. 2. Functional analysis of acinar edge cells.

(A) Bars indicate log-fold changes between edge and non-edge acinar cells. (B) The Y-axis is the gene set activity, computed by AUCell, of multi-potent-cell (MPC) and multipotent-cell-like (MPC-like) gene sets across cells in acinar and ductal cell sub-populations shown on the X-axis. \*\*\*\* indicates a p-value less than  $10^{-4}$ . (C) Gene sets enriched among genes increasing monotonically in expression during lung cancer progression (Mascaux et. al, left) and from Bin 1 to Bin 3 of the non-edge to edge acinar transition (right). (D) The number of oncogenic gene sets enriched among genes increasing in expression along the cell state transitions indicated by arrows. (E) The fraction of acinar cells in each bin that have an active regulon of the TF indicated along the columns. These are the 50 most variably activated regulons across the three bins.

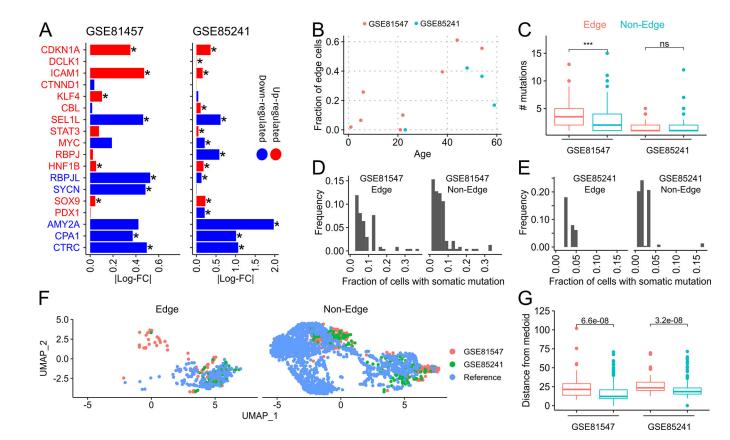
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### Fig. 3. Acinar Edge and Ductal Outlier genes in TCGA PDAC.

(A) RNA-seq expression z-scores in PDAC samples (using GTEx pancreas RNA-seq as a reference) of up-regulated (red), down-regulated (blue) and remaining (green) genes in edgeacinar cells and outlier-ductal cells. Genes in the Outlier-Up and Outlier-Down datasets are filtered to remove overlapping Edge-Up and Edge-Down genes. (B) Methylation z-scores among PDAC using methylation samples from healthy samples as a reference (see Methods) of gene promoters in A, (C) Log of Hazard ratios obtained from Cox regression of gene sets in TCGA PDAC samples.

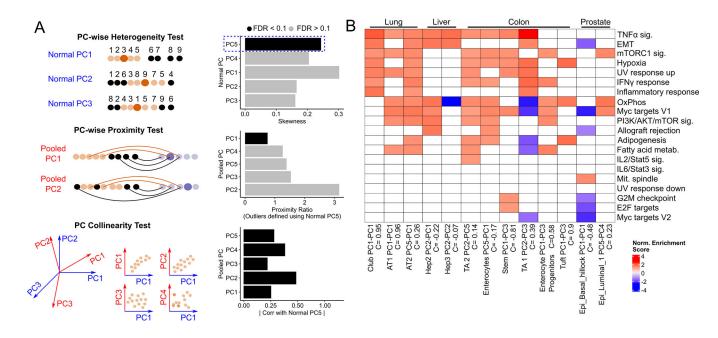
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### Fig. 4. Acinar edge cells in independent datasets and links with aging.

(A) Bars indicate log-fold changes between edge and non-edge acinar cells in GSE81547 and GSE85241. Markers in red and blue fonts are known to be up-regulated and downregulated, respectively, during ADM (*CDKN1A* to *STAT3*) and dedifferentiation (*MYC* to *CTRC*). Matching color of the marker text and the bar indicates that the observed log-fold change matches the expected gene expression change of the marker. (B) Scatter plot of fraction of edge-acinar cells with tissue donor age. (C) Number of mutations in edge and non-edge acinar cells (D,E) Histogram of the fraction of edge and non-edge cells that contain a somatic mutation. (F) UMAP of acinar cells from GSE81547, GSE85241 and the reference dataset (Peng et. al) (G) Distance of edge (red) and non-edge (cyan) cells from the medoid acinar cell in PCA space computed separately for each dataset.

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### Fig. 5. Edge heterogeneity in epithelial cells of lung, liver, prostate and intestine.

(A) Schematic of three-stage pipeline to detect directions of edge heterogeneity. Each Normal PC is tested for positive skewness, and each PC that passes the test is used to define an outlier cell population (in black). For each outlier population, each pooled PC is then used to compute distances between non-neoplastic and malignant cells and carry out the proximity test, with all PCs tested for collinearity with the Normal PC used to define the outlier cells. Collinearity is defined as the Spearman correlation between the Normal and Pooled PC scores of all non-neoplastic cells in the cluster. Those skewed Normal PCs that are collinear (FDR < 0.1) with a Pooled PC that passes the proximity test represent directions of edge heterogeneity within the non-neoplastic cluster. The bar plots shown are from running the three-stage test on Alveolar Type 2 (AT2) cells, where Normal PC 5 is used to define outlier cells (B) Normalized enrichment scores of gene sets (those that are active during lung cancer progression in Mascaux et. al) enriched in indicated edge-like populations. The Normal and Pooled PC pair that pass heterogeneity and proximity ratio tests, along with their collinearity scores (which have q-value < 0.1) are shown.

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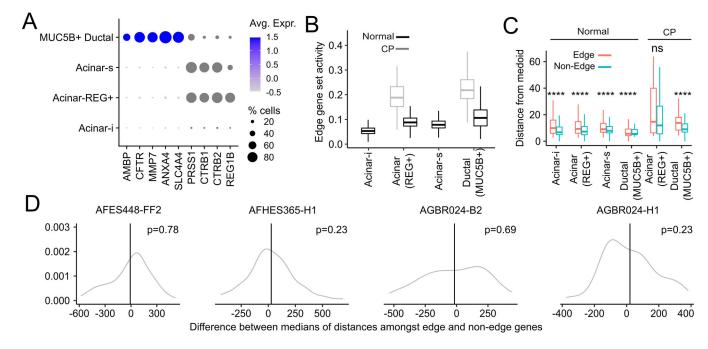


Fig. 6. Analysis of single-nucleus RNA-seq and spatial transcriptomic data from healthy and chronic pancreatitis samples.

(A) Expression of ductal marker genes (*AMBP*, *CFTR*, *MMP7*, *ANXA4*) and acinar marker genes (*PRSS1*, *CTRB1*, *CTRB2*, *REG1B*) in acinar sub-types and *MUC5B*+ ductal cells. (B) Activity of the edge gene set across acinar sub-types and *MUC5B*+ ductal cells in normal and chronic pancreatitis (CP) biopsies. There were no Acinar-i and Acinar-s cells in the CP biopsy. (C) Euclidean distance of edge and non-edge cells, identified using an independently ascertained signature, from the medoid of pooled acinar and *MUC5B*+ ductal cells in PCA space. (D) Distributions (gray) of the difference between the median inter-pixel distances among randomly chosen edge genes on the one hand and non-edge genes on the other in difference between median inter-pixel distances amongst actual edge and non-edge genes. One-sided p-values are computed from a Gaussian approximation to the distribution shown in gray.

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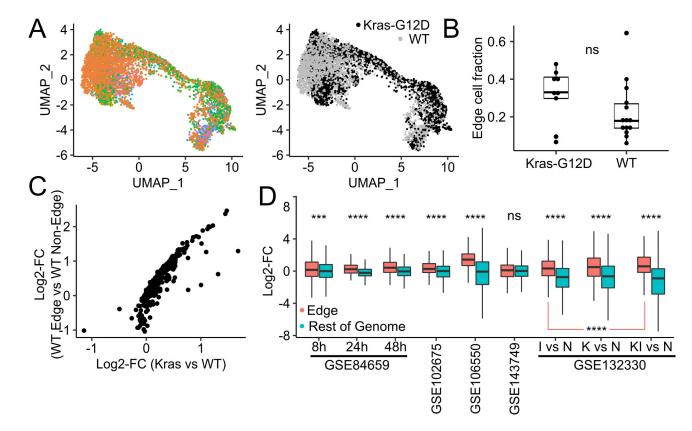


Fig. 7. Analysis of single-cell RNA-seq and bulk RNA-seq from healthy and Kras-G12D-bearing mice.

(A) UMAP plots of acinar cells from batch-integrated  $Kras^{WT}$  and  $Kras^{G12D}$  mice. Left : Cells are colored according to batch. Right : Cells are colored by Kras mutation status. (B) Fraction of edge cells in  $Kras^{G12D}$  mice and  $Kras^{WT}$  mice. (C) Average gene expression logfold differences between acinar cells from  $Kras^{G12D}$  mice and  $Kras^{WT}$  mice (x-axis) and between edge and non-edge cells in  $Kras^{WT}$  mice (y-axis). (D) Log-fold changes of expression of edge genes (red) and non-edge genes (blue) observed in published studies where RNA-seq is carried out before and after pancreatitis is induced by caerulein treatment.