

## ORIGINAL RESEARCH

# Refining the 10-Year Prediction of Left Ventricular Systolic Dysfunction in Long-Term Survivors of Childhood Cancer



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## ABSTRACT

**BACKGROUND** In childhood cancer survivors (CCS) at risk for heart failure, echocardiographic surveillance recommendations are currently based on anthracyclines and chest-directed radiotherapy dose. Whether the ejection fraction (EF) measured at an initial surveillance echocardiogram can refine these recommendations is unknown.

**OBJECTIVES** The purpose of this study was to assess the added predictive value of EF at >5 years after cancer diagnosis to anthracyclines and chest-directed radiotherapy dose in CCS, for the development of left ventricular dysfunction with an ejection fraction <40% (LVD40).

**METHODS** Echocardiographic surveillance was performed in 299 CCS from the Emma Children's Hospital in the Netherlands. Cox regression models were built including cardiotoxic cancer treatment exposures with and without EF to estimate the probability of LVD40 at 10-year follow-up. Calibration, discrimination, and reclassification were assessed. Results were externally validated in 218 CCS.

**RESULTS** Cumulative incidences of LVD40 at 10-year follow-up were 3.7% and 3.6% in the derivation and validation cohort, respectively. The addition of EF resulted in an integrated area under the curve increase from 0.74 to 0.87 in the derivation cohort and from 0.72 to 0.86 in the validation cohort (likelihood ratio  $p < 0.001$ ). Reclassification of CCS without LVD40 improved significantly (noncase continuous net reclassification improvement 0.50; 95% confidence interval [CI]: 0.40 to 0.60). A predicted LVD40 probability  $\leq 3\%$ , representing 75% of the CCS, had a negative predictive value of 99% (95% CI: 98% to 100%) for LVD40 within 10 years. However, patients with midrange EF (40% to 49%) at initial screening had an incidence of LVD40 of 11% and a 7.81-fold (95% CI: 2.07- to 29.50-fold) increased risk of LVD40 at follow-up.

**CONCLUSIONS** In CCS, an initial surveillance EF, in addition to anthracyclines and chest-directed radiotherapy dose, improves the 10-year prediction for LVD40. Through this strategy, both the identification of low-risk survivors in whom the surveillance frequency may be reduced and a group of survivors at increased risk of LVD40 could be identified. (J Am Coll Cardiol CardioOnc 2021;3:62-72) © 2021 The Authors. Published by Elsevier on behalf of the American College of Cardiology Foundation. This is an open access article under the CC BY-NC-ND license (<http://creativecommons.org/licenses/by-nc-nd/4.0/>).

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The survival of childhood cancer has increased considerably over the last decades, with 80% of children with cancer becoming long-term ( $\geq 5$  year) survivors (1). However, the same treatment that successfully cured their childhood cancer places them at an increased risk of adverse events up to 40 years after childhood cancer diagnosis (2). Cardiotoxicity in childhood cancer survivors (CCS) is a well-known late effect after treatment with anthracyclines, mitoxantrone, or chest-directed radiotherapy (3-5). The cumulative incidence of symptomatic heart failure at 40 years past cancer diagnosis is 10.6% in CCS treated with cardiotoxic cancer therapies (5). In addition, asymptomatic left ventricular (LV) dysfunction is frequently present in CCS and is associated with an increased risk of developing symptomatic heart failure in the general population (6). When defined as an ejection fraction (EF)  $< 50\%$ , or as a fractional shortening  $< 28\%$ , asymptomatic LV dysfunction has been reported in 6% to 8% of CCS at a median of 9 to 23 years after cancer diagnosis (7-10).

Currently, to detect and treat asymptomatic LV dysfunction early, the International Late Effects of Childhood Cancer Guideline Harmonization Group (IGHG) recommends to perform an echocardiogram once every 5 years in all CCS treated with cardiotoxic cancer therapies (11,12). More frequent surveillance is thought reasonable in high-risk CCS treated with cumulative doses of anthracyclines  $\geq 250$  mg/m<sup>2</sup>, chest-directed radiotherapy  $\geq 35$  Gy, or a combination of the 2 (anthracycline  $\geq 100$  mg/m<sup>2</sup> and chest-directed radiotherapy  $\geq 15$  Gy) (11). Referral to a cardiologist is recommended after asymptomatic LV dysfunction (EF  $< 50\%$ ) is identified. However, the recommendation for pharmacological treatment is, due to a lack of evidence in CCS (13,14), based on guidelines for adults with asymptomatic LV dysfunction from other causes (11,15,16). These guidelines recommend treatment for symptomatic patients and for patients with asymptomatic LV systolic dysfunction, although their direct relevance to CCS is unknown (17,18).

The current IGHG surveillance guidelines do not include measurements of LV function in the risk stratification for cardiomyopathy (11). We hypothesized that EF measured at the first long-term follow-up echocardiogram may improve cardiomyopathy risk stratification and may further serve to personalize surveillance frequency recommendations.

With the knowledge that an EF  $< 40\%$  is a strong and widely accepted indication to start heart failure medications (15), an optimal surveillance strategy should be directed to timely identify CCS with an EF  $< 40\%$ , regardless of the presence of heart failure symptoms.

In this study, we assessed and externally validated the added predictive value of EF at first long-term follow-up echocardiogram in asymptomatic CCS treated with cardiotoxic cancer therapies for the development of left ventricular dysfunction with ejection fraction  $< 40\%$  (LVD40).

## METHODS

**STUDY POPULATION.** The derivation cohort consisted of CCS from the Emma Children's Hospital in Amsterdam, the Netherlands. This cohort included CCS with a primary childhood malignancy between 1966 and 1997, treated with anthracyclines, mitoxantrone, and/or chest-directed radiotherapy who were at least 5 years past cancer diagnosis (8).

The validation cohort consisted of CCS from the Radboud University Medical Center in Nijmegen, the Netherlands (19-21). CCS treated with anthracyclines, who were at least 5 years past cancer diagnosis and who visited the survivorship outpatient clinic between 2006 and 2012 were included in this cohort.

From both cohorts, we selected CCS  $> 18$  years of age at the first follow-up echocardiogram who were treated with anthracyclines, mitoxantrone and/or chest-directed radiotherapy. CCS with a history of heart failure before the first available follow-up echocardiogram  $\geq 5$  years after cancer diagnosis were excluded. Asymptomatic CCS with an EF  $< 40\%$  before or at the first echocardiogram were also excluded. For the longitudinal analysis, CCS with  $\geq 2$  follow-up echocardiograms were included when the time interval between each echocardiogram was  $\leq 5$  years and the total follow-up was  $\geq 1$  year. We chose the time interval of  $\leq 5$  years between each echocardiogram because this is the time interval recommended by the cardiomyopathy surveillance guidelines (11). Informed consent for participation in the late effects study cohort was previously obtained from all participants, and the study was approved by the medical ethics boards of the Emma Children's

## ABBREVIATIONS AND ACRONYMS

**CCS** = childhood cancer survivors

**CI** = confidence interval

**EF** = ejection fraction

**LVD40** = left ventricular dysfunction with an ejection fraction  $< 40\%$

The authors attest they are in compliance with human studies committees and animal welfare regulations of the authors' institutions and Food and Drug Administration guidelines, including patient consent where appropriate. For more information, visit the [Author Center](#).

Hospital/Academic Medical Center and the Radboud University Medical Center (8,19,20).

**DATA COLLECTION.** Data were retrospectively collected from medical records, digitally archived echocardiograms, and the database of prior studies within these cohorts (8,19,20). Variables of interest included: sex, cancer diagnosis, age at cancer diagnosis, age at first echocardiogram (see “Echocardiograms” section), time since cancer diagnosis at first echocardiogram, cardiovascular risk factors (hypertension, dyslipidemia, and/or diabetes reported in questionnaires or diagnosed by a physician), heart failure medication prescriptions, cumulative doses of anthracycline (summed according to doxorubicin-equivalent ratios [22]), mitoxantrone, and chest-directed radiotherapy.

Chest-directed radiotherapy was defined as radiotherapy involving the heart region and included total body irradiation, left or whole abdominal irradiation, spinal irradiation, thoracic irradiation, and inverted Y-field irradiation. For the chest-directed radiotherapy dose, we used the maximum prescribed dose to the smallest field and added the total body irradiation dose (23).

**ECHOCARDIOGRAMS.** The first available echocardiogram  $\geq 5$  years after cancer diagnosis was used to measure the initial EF. All subsequent echocardiograms after the first echocardiogram were systematically collected. All echocardiograms were performed by trained sonographers and supervised by an imaging cardiologist. Fractional shortening was measured in the parasternal long axis and calculated from the LV internal diameter at end-diastole and -systole at the base of the LV by M-mode echocardiography. Biplane EF was measured in the apical chamber views with the modified Simpson’s method (24). In cases where biplane EF could not be measured, EF was calculated using the Teichholz formula that has been shown to accurately estimate EF in the absence of dyssynchrony and wall motion abnormalities (25). We assessed the agreement between Teichholz and biplane-derived EF in 323 echocardiograms where both metrics were available (Supplemental Table 1 and Supplemental Figure 1). The overall agreement on the endpoint of EF  $< 40\%$  or EF  $\geq 40\%$  was 97% (26). In 30 randomly selected echocardiograms, the intraclass correlation coefficient for the intraobserver variability of biplane EF was 0.83 (95% confidence interval [CI]: 0.67 to 0.92) and the intraclass correlation coefficient for interobserver variability was 0.79 (95% CI: 0.61 to 0.90), which is comparable to values reported in the published data (27).

**STATISTICAL ANALYSES.** Continuous variables are presented as mean  $\pm$  SD when normally distributed and as median (25th to 75th percentile) when asymmetrically distributed. Categorical variables are presented as number with percentages. Patient characteristics were compared between groups with the Student’s *t*-test for normally distributed continuous variables, the Kruskal-Wallis test for asymmetrically distributed continuous variables, and the chi-square or Fisher exact test for categorical variables.

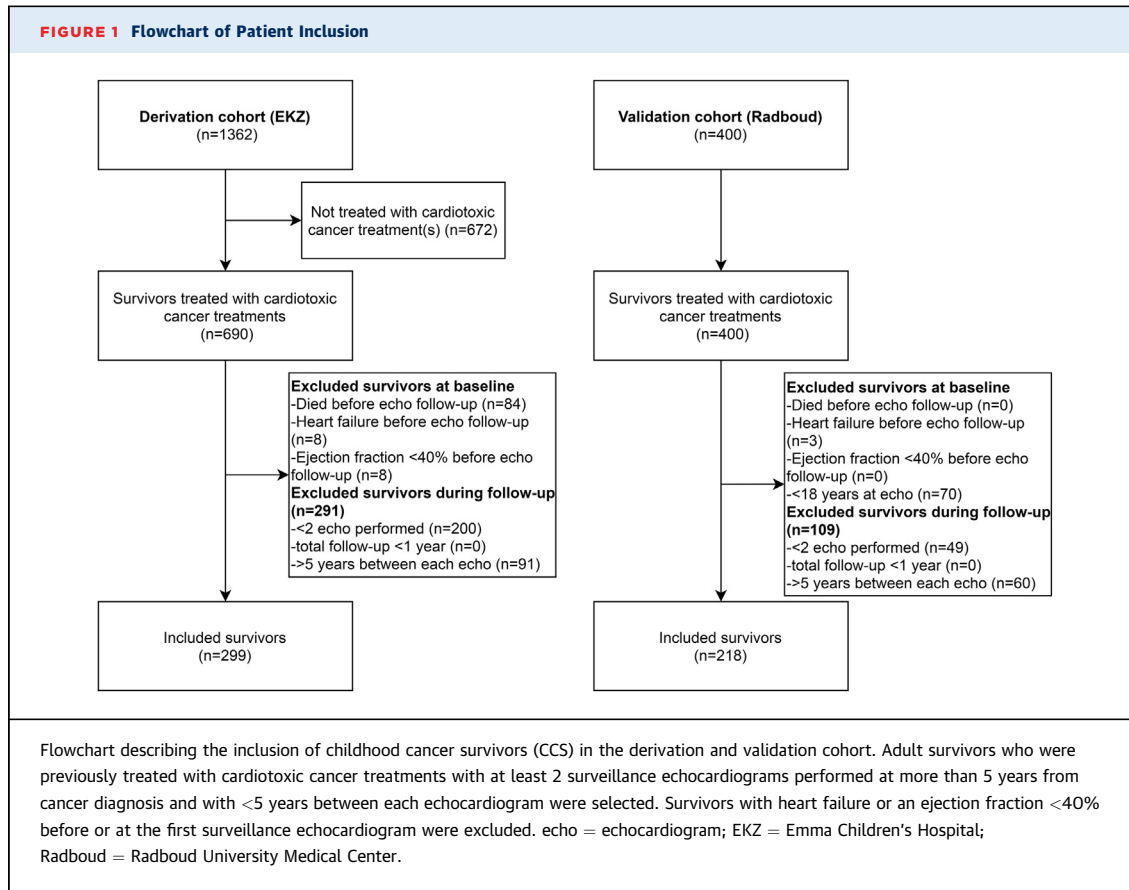
The primary endpoint was the onset of LVD40 after the first follow-up echocardiogram. Time was considered from the point at which the initial EF was obtained. The cumulative incidence of LVD40 was estimated with death as a competing risk, and CCS with an EF 40% to 49% was compared with CCS with an EF  $\geq 50\%$  at first echocardiogram using the Fine and Gray’s test (28).

Hazard ratios (HRs) with 95% CIs were estimated with multivariable Cox regression models. Anthracycline and chest-directed radiotherapy dose that are currently used for risk stratification in the IGHG surveillance guideline (11) were entered in the model with and without the addition of initial EF. EF was categorized to estimate the risk associated with an EF 40% to 49% (midrange) compared with an EF  $\geq 50\%$  (preserved). Continuous EF was used in the prediction model development because continuous covariates have superior predictive power.

The proportionality assumption was tested with the Schoenfeld residual test and by inspecting the Schoenfeld residuals over time (29). Nonlinearity of the covariates was tested for with restricted cubic splines (see the Supplemental Appendix for results) (30).

Individual 10-year probabilities for LVD40 ( $LVD40_{prob}$ ) were estimated with the formula:  $LVD40_{prob}(t = 10) = 1 - (H_0[t = 10])^{\exp(LP)}$  (31), with  $H_0(t)$  representing baseline hazard with the Breslow estimator at 10-year follow-up in both cohorts, and LP the linear predictor with the coefficients derived from the model fitted in the derivation cohort.

Calibration was evaluated by plotting the observed versus the predicted 10-year probabilities for LVD40 in 5 groups. In the derivation cohort, improvement in model performance with the addition of initial EF was tested using the likelihood ratio test (32). Discrimination was quantified with the integrated area under the receiver-operating characteristic curve (iAUC), which represents a weighted average of time-dependent AUC measures (33,34). Bias and 95% CIs of the iAUCs were assessed using 2,000 bootstrap samples. The continuous net reclassification improvement (cNRI) was calculated of the model with



addition of the initial EF value compared to the model without EF. The cNRI indicates the proportion of patients that accurately change in their predicted risk with the addition of EF to the model and can be calculated for cases and noncases (Supplemental Table 4) (35). Time-dependent accuracy measures (sensitivity, specificity, and negative and positive predictive values) of the model with EF were calculated with the “timeROC” package, which accounts for censoring (36).

To adjust for selection bias that might have resulted from the exclusion of CCS, we performed a sensitivity analysis in the derivation cohort where we weighted the HR estimates with the inverse of the sampling probability (37). To estimate the sampling probability, we used a logistic regression model with selection for this study (yes/no) as the outcome, and sex, age at cancer diagnosis (as a spline), cumulative anthracycline dose (as a spline), chest-directed radiotherapy dose, cumulative mitoxantrone dose, cancer diagnosis year, and LVD40 or heart failure (yes/no) as covariates. Additional sensitivity analyses were performed with heart failure medication use and

cardiovascular risk factors (hypertension, dyslipidemia, and diabetes).

All analyses were performed in R version 3.5.1 (R Foundation, Vienna, Austria), and a 2-sided p value <0.05 was considered statistically significant. No missing data was present in the predictor variables.

## RESULTS

### CHARACTERISTICS OF CCS IN THE DERIVATION AND VALIDATION COHORT.

In the derivation cohort, 690 CCS received cardiotoxic cancer treatment and survived  $\geq 5$  years after diagnosis (Figure 1). A total of 84 CCS died before available echocardiographic follow-up (4 of heart failure). Other reasons for exclusion included: heart failure or an EF <40% before the first follow-up echocardiogram (n = 16), <2 follow-up echocardiograms performed (n = 200), or  $\geq 5$  years between the follow-up echocardiograms (n = 91). In total, 299 CCS were eligible for this study. Compared with the CCS that were excluded, those included were more often women (56.2% vs. 33.7%;  $p < 0.001$ ) and were treated with higher anthracycline

**TABLE 1 Characteristics of the CCS in the Derivation and Validation Cohort**

	Derivation Cohort: Amsterdam (n = 299)	Validation Cohort: Nijmegen (n = 218)	p Value
Female	168 (56.2)	109 (50.0)	0.192
Age at cancer diagnosis, yrs	7.22 (4.01-11.71)	7.02 (4.00-12.46)	0.625
Time since cancer diagnosis at first follow-up echo, yrs	16.74 (11.83-23.15)	16.95 (12.99-21.70)	0.512
Age at first follow-up echo, yrs	24.06 (19.60-30.71)	22.63 (20.05-28.06)	0.399
Tumor			<0.001
ALL	55 (18.4)	71 (32.6)	
AML	14 (4.7)	15 (6.9)	
Hodgkin lymphoma	23 (7.7)	30 (13.8)	
Non-Hodgkin lymphoma	61 (20.4)	37 (17.0)	
Nephroblastoma	46 (15.4)	14 (6.4)	
Soft-tissue sarcoma	28 (9.4)	7 (3.2)	
Ewing sarcoma	18 (6.0)	14 (6.4)	
Osteosarcoma	24 (8.0)	13 (6.0)	
CNS tumor	17 (5.7)	4 (1.8)	
Germ cell tumor	4 (1.3)	1 (0.5)	
Neuroblastoma	2 (0.7)	9 (4.1)	
Other	7 (2.3)	2 (0.9)	
Anthracyclines	239 (79.9)	214 (98.2)	<0.001
Cumulative anthracycline dose, mg/m <sup>2</sup>	280.0 (180.0-400.0)	180.0 (150.0-301.4)	<0.001
Chest RT	105 (35.1)	59 (27.1)	0.065
Chest RT dose, Gy	25.0 (18.0-33.3)	20.0 (18.0-30.0)	0.406
Anthracyclines and chest RT	45 (15.1)	56 (25.7)	0.004
Mitoxantrone	12 (4.0)	7 (4.2)	1.000
Cumulative mitoxantrone dose, mg/m <sup>2</sup>	12.0 (12.0-16.0)	40.0 (20.0-40.0)	0.003
EF at first follow-up echo	57.1 ± 6.9	61.6 ± 7.1	<0.001
EF 40%-49% at first follow-up echo	41 (13.7)	12 (5.5)	0.004
Hypertension	15 (5.0)	–	
Dyslipidemia	4 (1.34)	–	
Diabetes mellitus	2 (0.7)	–	
Heart failure medication(s) use at first echo	4 (1.3)	3 (1.4)	1.000
Follow-up after the first follow-up echo, yrs	10.90 (8.19-13.05)	8.86 (5.22-10.86)	<0.001
Number of follow-up echoes per patient	5 (3-6)	3 (2-4)	<0.001
Echocardiographic surveillance rate, per 5 yrs	2.26 (1.96-2.67)	1.93 (1.57-2.52)	<0.001
Left ventricular dysfunction with EF <40% during follow-up	11 (3.7)	7 (3.2)	0.965

Values are n (%), median (25th to 75th percentile), or mean ± SD.  
ALL = acute lymphocytic leukemia; AML = acute myeloid leukemia; chest RT = chest-directed radiotherapy; CNS = central nervous system; Gy = gray.

doses (median 280 mg/m<sup>2</sup> [25th to 75th percentile: 180 to 400 mg/m<sup>2</sup>] vs. 200 mg/m<sup>2</sup> [25th to 75th percentile: 150 to 360 mg/m<sup>2</sup>]; p = 0.013) (Supplemental Table 2).

In the validation cohort, 400 CCS were treated with cardiotoxic cancer treatments and survived ≥5 years after diagnosis, and 218 of them were eligible for inclusion (Figure 1). Reasons for exclusion were age <18 years during echocardiographic follow-up (n = 70), >5 years between the follow-up echocardiograms (n = 60), <2 follow-up echocardiograms performed (n = 49), and heart failure before echocardiographic follow-up (n = 3).

Patient characteristics of both cohorts are presented in Table 1. Compared with the derivation

cohort, CCS in the validation cohort were more often treated with anthracyclines at lower doses (derivation cohort 280 mg/m<sup>2</sup> [180 to 400 mg/m<sup>2</sup>]; validation cohort 180 mg/m<sup>2</sup> [150 to 301 mg/m<sup>2</sup>]) and had a higher initial EF (derivation cohort mean 61.6 ± 7.1% vs. validation cohort mean 57.1 ± 6.9%). A midrange initial EF (EF 40% to 49%) was present at baseline in 13.7% CCS in the derivation cohort and in 5.5% of the patients in the validation cohort. CCS with a midrange EF were exposed to higher anthracycline doses compared with CCS with a preserved EF (EF ≥50%) (Supplemental Table 3). Follow-up after the first echocardiogram was longer in the derivation cohort (median 10.9 years [25th to 75th percentile: 8.2 to 13.1 years]) compared with

the validation cohort (median 8.9 years [25th to 75th percentile: 5.2 to 10.9 years]) (Table 1).

**INCIDENCE OF LVD40 AND CHARACTERISTICS OF CCS WITH LVD40 IN THE DERIVATION COHORT.**

In the derivation cohort, the cumulative incidence of LVD40 at 10-year follow-up after the first echocardiogram was 3.7% (11 events; 95% CI: 1.4% to 5.9%). In 6 patients, LVD40 was accompanied by symptomatic heart failure, and 10 patients were treated with heart failure medications. At a median follow-up of 7.2 years (25th to 75th percentile: 6.2 to 9.7 years) after the initial EF, 12 CCS died: 10 deaths were due to cancer, 1 death was due to nervous system disease in a patient with a cerebral drain, and 1 had unexplained sudden death without a known cardiomyopathy diagnosis.

The cumulative LVD40 incidence 10 years after the initial EF was significantly higher in CCS with an initial midrange EF (11.0%) compared with CCS with an initial preserved EF (2.6%; Gray’s test  $p = 0.012$ ). In CCS with LVD40, the median time from first echocardiogram to LVD40 onset was 7.2 years (25th to 75th percentile 3.8 to 8.4 years; range 1.2 to 12.2 years) and was not significantly different between CCS with a midrange EF and those with a preserved EF (median 7.2 years [25th to 75th percentile: 3.3 to 8.9 years] vs. 6.6 years [25th to 75th percentile: 4.7 to 7.7 years];  $p = 0.085$ ). In multivariable analysis adjusted for anthracycline and chest-directed radiotherapy, CCS with an initial midrange EF had a higher risk of LVD40 compared with CCS with a preserved EF (HR: 7.8; 95% CI: 2.1 to 29.5) (Table 2).

All CCS who developed LVD40 were treated with cumulative anthracycline doses  $\geq 100$  mg/m<sup>2</sup> or chest-directed radiotherapy doses  $\geq 15$  Gy, corresponding to a moderate or high risk according to the cardiomyopathy surveillance guideline (11).

**PREDICTION MODEL DEVELOPMENT.** Lower initial EF increased the risk of LVD40 during follow-up (HR: 9.6 per 10-point EF decrease; 95% CI: 2.8 to 32.6) (Table 2). Addition of initial EF to the prediction model with anthracycline and chest-directed radiotherapy dose increased the iAUC from 0.74 (bias 0.018; 95% CI: 0.55 to 0.84) to 0.87 (bias 0.009; 95% CI: 0.71 to 0.98). The likelihood ratio test comparing the predictive performance of the model with EF with the model without EF was highly significant ( $p < 0.001$ ). The model with EF showed good calibration at 10-year follow-up (Figure 2). Net reclassification of cases who developed LVD40 did not improve significantly with the addition of initial EF (case cNRI 0.15; 95% CI: -0.55 to 0.84) (Supplemental Table 4). However, for noncases (who did not develop LVD40),

**TABLE 2** Multivariable Cox Regression of Potential Risk Factors for LVD40 During Follow-Up

	HR (95% CI)	p Value
<b>Model without first EF</b>		
Anthracycline dose (per 100-mg/m <sup>2</sup> increment)	1.71 (1.21-2.40)	0.002
Chest-directed radiotherapy dose (per 10-Gy increment)	1.65 (1.20-2.26)	0.002
<b>Model with continuous first EF</b>		
EF at first echocardiogram (per 10-point decrease)	9.62 (2.84-32.57)	<0.001
Anthracycline dose (per 100-mg/m <sup>2</sup> increment)	1.43 (1.04-1.98)	0.026
Chest-directed radiotherapy dose (per 10-Gy increment)	1.67 (1.21-2.30)	0.002
<b>Model with categorized first EF</b>		
Midrange versus preserved EF at first echocardiogram	7.81 (2.07-29.50)	0.002
Anthracycline dose (per 100-mg/m <sup>2</sup> increment)	1.70 (1.22-2.36)	0.002
Chest-directed radiotherapy dose (per 10-Gy increment)	1.91 (1.34-2.72)	<0.001

CI = confidence interval; EF = ejection fraction; HR = hazard ratio; LVD40 = left ventricular dysfunction with ejection fraction <40%.

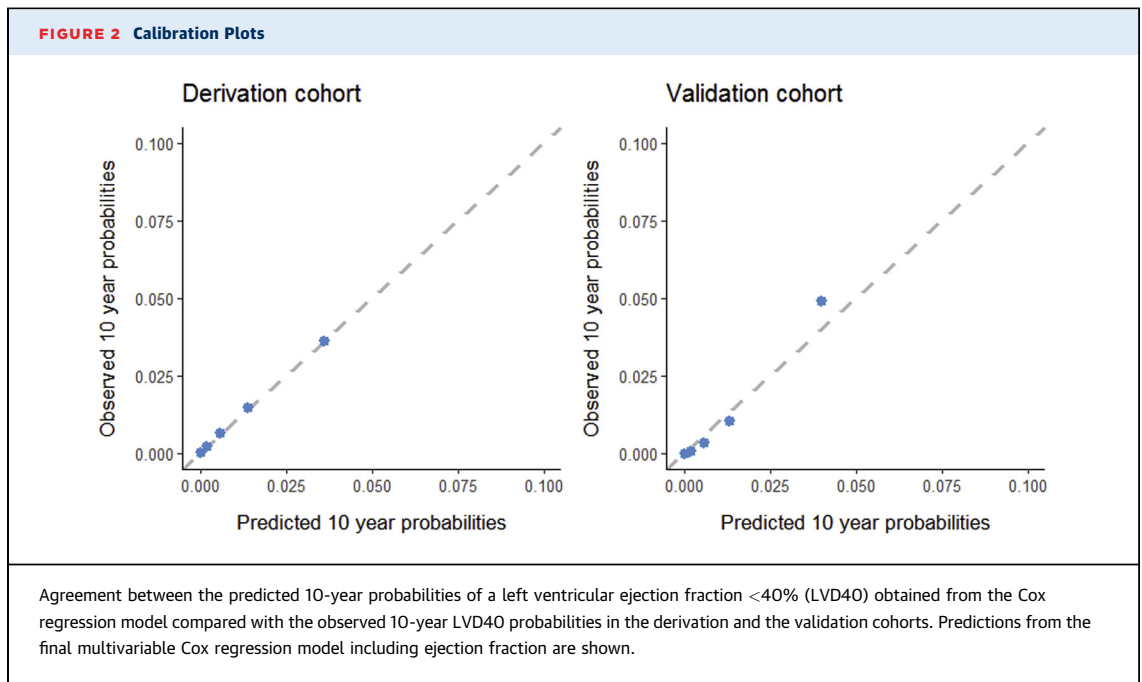
reclassification improved significantly (non-case cNRI 0.50; 95% CI: 0.40 to 0.60).

A 10-year predicted risk  $\leq 3\%$  was present in 76.3% of CCS and achieved a high sensitivity (89.8%; 95% CI: 70.6% to 100%) and negative predictive value (99.5%; 95% CI: 98.6% to 100%) with a specificity of 76.2% (95% CI: 70.0% to 82.5%) and a positive predictive value of 12.0% (95% CI: 4.0% to 20.0%) (Table 3).

Results of the inverse probability-weighted sensitivity analysis, performed to adjust for selection bias that might have resulted from the exclusion of CCS, were comparable to the main results and are shown in Supplemental Table 5. In another sensitivity analysis, heart failure medication use and presence of cardiovascular risk factors (hypertension, dyslipidemia, and diabetes) at time of the initial EF were not associated with LVD40 and did not attenuate the association of initial EF with LVD40 (Supplemental Table 6).

**EXTERNAL VALIDATION.** In the validation cohort, the cumulative incidence of LVD40 at 10-year follow-up after the first echocardiogram was 3.6% (7 events; 95% CI: 0.7% to 6.4%). With the model developed in the derivation cohort, individual 10-year LVD40 probabilities were calculated. The model showed good calibration up to a LVD40 probability of 5%, which represented 83.0% of the CCS (Figure 2). The iAUC increased from 0.72 (bias -0.003; 95% CI: 0.70 to 0.77) to 0.86 (bias -0.003; 95% CI: 0.83 to 0.89) in





the model containing initial EF versus the model containing only anthracycline and chest-directed radiotherapy dose. A predicted 10-year probability  $\leq 3\%$  was present in 74.8% of the CCS and resulted in a sensitivity of 85.1% (95% CI: 57.8% to 100%), specificity of 77.1% (95% CI: 68.0% to 86.2%), positive predictive value of 12.2% (95% CI: 1.6% to 22.8%), and negative predictive value of 99.3% (95% CI: 97.9% to 100%) (Table 3).

Predicted probabilities of LVD40 within 10 years in individual survivors with different predictor value

combinations are shown in the Central Illustration. Survivors in the low- and moderate-risk group according to the IGHG surveillance guidelines with a preserved initial EF (EF 55%) had a predicted LVD40 probability  $\leq 3.0\%$ . In contrast, survivors in the low- and moderate-IGHG risk group with a midrange EF (EF 48%) had a predicted LVD40 probability, where the upper 95% CI was  $>3.0\%$ . Our validated prediction model including a surveillance EF, cumulative anthracycline, and chest-directed radiotherapy dose is accessible online (38).

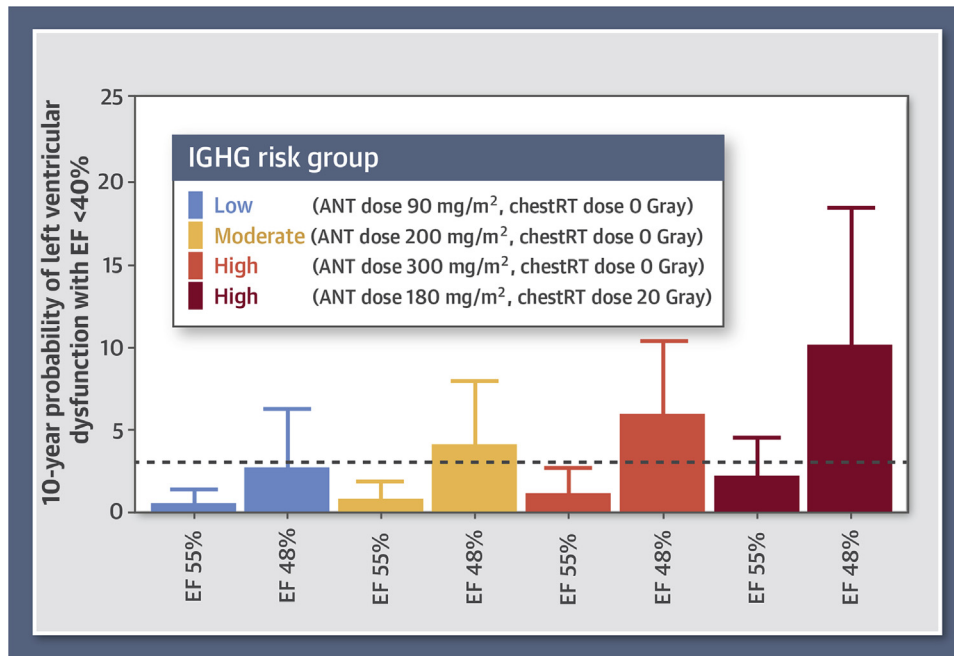
**TABLE 3 Time-Dependent Accuracy Measures of the Multivariable Model Including Continuous EF at Different Predicted Risks Cut-Offs for LVD40 at 10-Year Follow-Up After the First Echocardiogram**

Predicted Risk Cut-Off* (%)	Actual Risk* (%)	% of Cohort With Risk Above Cut-Off	Sensitivity (95% CI)	Specificity (95% CI)	PPV (95% CI)	NPV (95% CI)
Derivation cohort: Amsterdam						
1.0	1.1	47.8	89.8 (70.6-100.0)	47.5 (40.2-54.8)	5.8 (1.9-9.8)	99.2 (97.7-100.0)
2.0	2.1	34.1	89.8 (70.6-100.0)	63.0 (55.9-70.0)	8.0 (2.6-13.5)	99.4 (98.3-100.0)
3.0	3.1	23.7	89.8 (70.6-100.0)	76.2 (70.0-82.5)	12.0 (4.0-20.0)	99.5 (98.6-100.0)
4.0	4.0	18.7	89.8 (70.6-100.0)	81.8 (76.1-87.4)	15.1 (5.2-25.0)	99.6 (98.7-100.0)
5.0	4.9	15.4	56.0 (23.4-88.6)	85.1 (79.9-90.3)	11.9 (1.9-22.0)	98.2 (96.4-100.0)
Validation cohort: Nijmegen						
1.0	0.7	47.2	100.0 (100.0-100.0)	59.0 (48.4-69.6)	8.3 (1.7-15.0)	100.0 (100.0-100.0)
2.0	1.9	31.7	100.0 (100.0-100.0)	71.1 (61.3-80.9)	11.4 (2.3-20.5)	100.0 (100.0-100.0)
3.0	3.3	25.2	85.1 (57.8-100.0)	77.1 (68.0-86.2)	12.2 (1.6-22.8)	99.3 (97.9-100.0)
4.0	4.9	20.2	85.1 (57.8-100.0)	81.9 (73.6-90.2)	14.9 (2.0-27.9)	99.3 (98.0-100.0)
5.0	6.6	17.0	85.1 (57.8-100.0)	88.0 (80.9-95.0)	20.8 (3.0-38.7)	99.4 (98.1-100.0)

\*Predicted and actual cumulative incidences of LVD40 at 10 years from the first echocardiogram.

NPV = negative predictive value; PPV = positive predictive value; other abbreviations as in Table 2.

### CENTRAL ILLUSTRATION Refinement of the IGHG Surveillance Guideline Risk Groups Using the EF Measured With a Surveillance Echocardiogram



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Predicted probabilities for developing left ventricular dysfunction with ejection fraction (EF) <40% within 10 years in individual fictional survivors. In colors the risk categories (low, moderate, or high) are presented according to the International Late Effects of Childhood Cancer Guideline Harmonization Group (IGHG). In each IGHG risk category, the 10-year probability of left ventricular dysfunction with EF <40% is compared between a survivor with an initial surveillance EF of 48% and a survivor with an initial EF of 55%. Bars represent the risk estimate; error bars represent the 95% confidence interval.

## DISCUSSION

In this echocardiographic follow-up study of long-term CCS, we show in 2 independent cohorts that addition of an initial surveillance EF improves the 10-year prediction of LVD40 in CCS and accurately identifies low-risk survivors who are unlikely to develop LVD40 within 10 years. This may improve the current IGHG recommended risk-stratification for cardiomyopathy, which is based solely on anthracycline and chest-directed radiotherapy dose to estimate risk (11).

Previous echocardiographic follow-up studies in long-term CCS were generally limited in sample size (range n = 28 to 115) and did not assess the additive predictive value of echocardiography together with cancer treatment exposures (39-45). We demonstrate for the first time in a relatively large cohort of

CCS with long-term follow-up, that a midrange EF (EF 40% to 49%) is associated with an almost 8-fold increased risk for LVD40 compared with those with a preserved first EF (EF ≥50%), a finding that is in line with the risk of asymptomatic LV dysfunction for development of symptomatic heart failure from other causes in the general population (relative risk: 4.6; 95% CI: 2.2 to 9.8) (6). The fact that 13.7% of the CCS in the derivation cohort and 5.5% of the CCS in the validation cohort had a midrange EF, considerably higher than the LV dysfunction (EF <50%) prevalence of 1.7% to 3.6% in the general population at age 50 to 62 years (6), suggests that a large group of relatively young CCS are already at increased risk.

**IMPLICATIONS FOR SURVEILLANCE.** The IGHG cardiomyopathy surveillance guidelines recommend echocardiographic surveillance once every 5 years in



CCS treated with anthracyclines and/or chest-directed radiotherapy (11). In the absence of long-term longitudinal echocardiographic follow-up data, these recommendations were based on simulation studies with relative risks of asymptomatic LV dysfunction for heart failure and treatment effects obtained from the general population (46,47).

Recently, it has been suggested in a simulation model that cardiomyopathy surveillance is cost-ineffective in the IGHG low-risk group, representing ~40% of the survivors (48). Our results in 2 independent CCS cohorts also suggest that LVD40 is very unlikely in low-risk survivors according to the IGHG surveillance guideline, as no LVD40 events occurred in this risk group during a median follow-up of 10.9 years in the derivation cohort and 8.9 years in the validation cohort.

In addition, we demonstrate that a surveillance EF obtained at a median of 17 years (25th to 75th percentile 13 to 22 years) after cancer diagnosis and a median age of 23 years (25th to 75th percentile 20 to 28 years), in addition to anthracycline and chest-directed radiotherapy dose, accurately reclassifies 50% (95% CI: 40% to 60%) of the CCS who will not develop LVD40 to a lower-risk category. This means that an initial surveillance EF can refine the risk stratification as recommended by the IGHG surveillance guideline, resulting in reclassification of survivors in the IGHG moderate-risk group to a group at low risk of LVD40 within 10 years (Central Illustration).

We were able to identify a large subgroup representing at least 75% of CCS in the derivation and validation cohort with a predicted risk  $\leq 3\%$  who were unlikely to develop LVD40 within 10 years (NPV 99%; 95% CI: 98% to 100%). This finding implicates that for low-risk CCS with a predicted risk  $\leq 3\%$ , obtaining the next surveillance echocardiogram within 10 years may be sufficient. It also means that only ~25% of the CCS population determined to be at higher risk needs to be screened according to the current surveillance protocol, and that the yield of patients with LVD40 within the 10-year follow-up period will be higher from 1 of 30 patients to 1 of 8 patients screened.

**STUDY LIMITATIONS.** First, echocardiograms obtained before 1999 were unavailable for analysis, and therefore, the initial echocardiogram was obtained at varying time points after cancer diagnosis (25th to 75th percentile: 12 to 23 years) and age of the CCS (25th to 75th percentile: 20 to 30 years). This makes our results applicable to survivors with echocardiograms

performed at these ages and years after diagnosis. Of note, age at baseline was not associated with LVD40 onset (HR: 0.99;  $p = 0.859$ ). Second, the Teichholz EF is currently not preferred for calculating EF, and limits of agreement with biplane EF were relatively large. However, there was 97% agreement between Teichholz EF and biplane EF on the outcome (LVD40) in our study. Third, the number of CCS who developed LVD40 was low, which resulted in broad confidence intervals of our HR estimates. Fourth, selection bias may have been present in our study, as the CCS included in the derivation cohort were treated with higher anthracycline doses compared with the CCS not included in the study. However, we confirmed our findings in a validation cohort of 218 CCS who received lower anthracycline doses (median 180 mg/m<sup>2</sup>) and in a sensitivity analysis that adjusted for the possible influence of selection bias. This underlines the generalizability of our findings to lower-risk survivors. Last, other echocardiographic measurements, such as diastolic dysfunction, valvular abnormalities, and myocardial strain parameters, were not evaluated in this study, although they may be useful (49,50). This is currently being assessed in the Dutch LATER (Late Effects After Childhood Cancer) cohort study (51).

## CONCLUSIONS

Our results demonstrate that EF measured with a surveillance echocardiogram at a median of 17 years (25th to 75th percentile 13 to 22 years) from cancer diagnosis and a median age of 23 years (25th to 75th percentile 20 to 28 years) has additional predictive value in the risk stratification for a therapeutically relevant decreased EF <40%. Our validated model and 10-year risk calculator can be used to classify 75% of CCS as low-risk for LVD40 within 10 years; less frequent surveillance may be appropriate in these survivors.

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## PERSPECTIVES

**COMPETENCY IN MEDICAL KNOWLEDGE:** In CCS at risk for heart failure, a prediction model that includes EF at approximately 13 to 22 years from cancer diagnosis, in addition to anthracycline and chest-directed radiotherapy dose, improves the 10-year prediction of LVD40. In addition to the use of this model to identify a large subgroup of CCS with a predicted risk  $\leq 3\%$  for LVD40 within 10 years, we determined that a midrange EF (EF 40% to 49%) is associated with an almost 8-fold increased risk for LVD40

compared with those with a preserved first EF (EF  $\geq 50\%$ ).

**TRANSLATIONAL OUTLOOK:** Larger studies with longer follow-up are needed to assess whether follow-up surveillance echocardiograms can be performed at 10-year intervals or even longer periods of time. Moreover, other echocardiographic parameters, such as myocardial strain, should be studied to understand their predictive value in this population.

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**KEY WORDS** cardio-oncology, childhood cancer survivors, echocardiography, risk prediction model, surveillance

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**APPENDIX** For an expanded Methods section as well as a supplemental figure and tables, please see the online version of this paper.