



Published in final edited form as:

*J Allergy Clin Immunol.* 2021 August ; 148(2): 627–632.e3. doi:10.1016/j.jaci.2021.03.028.

## Multi-dimensional study of the oral microbiome, metabolite, and immunologic environment in peanut allergy

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### Abstract

**Background:** The oral mucosa is the initial interface between food antigens, microbiota and mucosal immunity, yet little is known about oral host-environment dynamics in food allergy.

**Objective:** To determine oral microbial, metabolic, and immunologic profiles associated with peanut allergy.

**Methods:** We recruited 105 subjects (peanut allergic n=56, healthy subjects n=49) for salivary microbiome profiling using 16S rRNA sequencing, short chain fatty acid (SCFA) metabolite assays using liquid chromatography/mass spectrometry, and measurement of oral secreted cytokines using multiplex assays. Analyses within and across data types were performed.

**Results:** The oral microbiome of peanut-allergic individuals was characterized by reduced species in Lactobacillales, Bacteroidales (Prevotella), and Bacillales, and increased Neisseriales spp. The distinct oral microbiome of peanut-allergic subjects was accompanied by significant reductions of oral SCFA levels, including acetate, butyrate, and propionate, and significant elevation of IL-4 secretion. Decreased abundances of oral Prevotella spp. and Veillonella spp. in peanut-allergic subjects were significantly correlated with reduced oral SCFA levels (FDR<0.05), and increased oral Neisseria spp. was correlated with lower oral SCFA levels (FDR<0.05). Additionally, oral Prevotella spp. abundances were correlated with decreased local secretion of Th2-stimulating epithelial factors (IL-33, TSLP) and Th2 cytokines (IL-4, IL-5, IL-13), while oral Neisseria spp. abundance was positively associated with a Th2-skewed oral immune milieu.

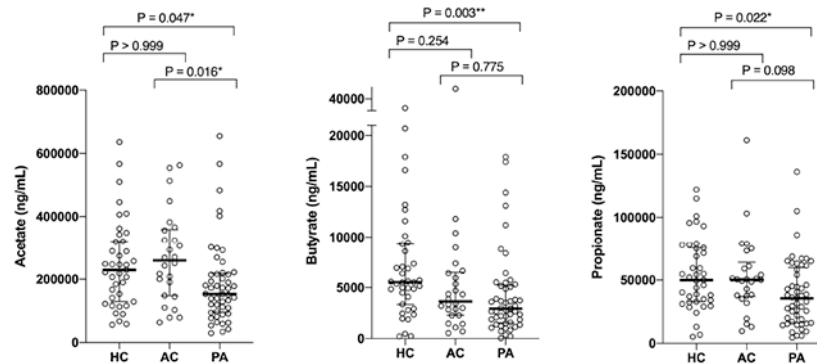
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Disclosure Statement: The authors declare no conflict of interest relevant to this manuscript.

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**Conclusion:** Our novel multi-dimensional analysis of the oral environment revealed distinct microbial and metabolic profiles associated with mucosal immune disturbances in peanut allergy. Our findings highlight the oral environment as an anatomical site of interest to examine host-microbiome dynamics in food allergy.

## Graphical Abstract



## Capsule Summary:

Distinct oral microbial, metabolic, and immunologic profiles characterize peanut allergy. Oral *Prevotella* spp., *Veillonella* spp. and *Neisseria* spp. abundances are associated with altered oral short chain fatty acid levels (acetate, butyrate, propionate) and a Th2 cytokine milieu.

## Keywords

Food allergy; peanut allergy; microbiome; metabolome; short chain fatty acids; mucosal immunity; cytokine; Th2

## Introduction

Food allergy affects up to 10% of the population, with peanut allergy accounting for a large share of the disease burden.<sup>1</sup> The growing prevalence of food allergy supports environmental influences on pathogenesis, and microbial exposure may be a key environmental risk modifier.<sup>2, 3</sup> Imbalances in gut microbiota, or dysbiosis, may precede the onset of food sensitization and shape the natural history of food allergy,<sup>2-6</sup> although mucosal disturbances that lead to the breakdown of oral tolerance remain unclear. In murine models, gut microbiota have been shown to modulate allergic sensitization to food, with dysbiosis linked to food allergy susceptibility, and select Bacteroidales and Clostridia taxa conferring protection against food allergy in murine colonization experiments.<sup>7,8</sup> Commensal-derived metabolites, namely short-chain fatty acids (SCFA), have also been shown to promote oral tolerance via inhibition of T-helper type 2 (Th2) response and induction of adaptive regulatory response in experimental models.<sup>9</sup> However, there has been little direct study of relationships between microbiota, their metabolites, and the mucosal immune system in human beings, in part due to limitations in directly assessing the gastrointestinal mucosal environment. Here we report a novel study using saliva as a window into commensal and mucosal factors in individuals with food allergy.

The oral mucosa is the beginning of a continuous gastrointestinal ecosystem that contains local antigen presenting cells, lymphoid cells, and associated organized lymphoid structures.<sup>10</sup> Antigen exposure and presentation by oral immune cells modulate systemic immune tolerance, as evident by the effects of sublingual immunotherapies.<sup>11</sup> The oral environment is inhabited by a complex microbial ecosystem that shares direct connections with the gut microbiota both in early life and during disease.<sup>12, 13</sup> Studying these dynamics in the oral environment can offer unique advantages, as it can enable simultaneous profiling of upstream microbiome, commensal byproducts, and mucosal factors in a non-invasive and convenient manner. However, the oral host-commensal milieu has not been evaluated in food allergy until now. In this study, we systemically assessed and analyzed the microbiome, metabolome and secreted cytokines in the oral environment to identify profiles associated with the loss of oral tolerance in individuals with peanut allergy.

## Results and Discussion

### Distinct oral microbiota in subjects with peanut allergy.

We collected unstimulated saliva from 105 subjects (peanut allergy n=56; healthy control n=49). Please see Supplementary Methods for details on all methods. The median age of subjects was 10 years (IQR 7-14) and 37% were female. Seven (6.7%) subjects had taken antibiotics in the past 3 months and 22 (21.0%) had taken probiotics in the past 3 months. Between the peanut allergic participants and healthy controls, there were no significant differences in age, sex, antibiotic use, probiotic use, time of sampling, or recent intake of major food categories (Supplementary Table 1).

Differences in human gut microbiota have previously been associated with food allergen sensitization and food allergy.<sup>2-6</sup> To dissect oral host-commensal dynamics in peanut allergy, we first compared the oral salivary microbiome between peanut allergic and healthy subjects. Alpha diversity by Faith's phylogenetic diversity was lower in peanut-allergic (mean 13.83, SD=1.40) compared to healthy subjects (mean 14.47, SD=2.10). Peanut-allergic and healthy subjects also had different oral community compositions (unweighted UniFrac PERMANOVA pseudo F=1.86, P=7.99x10<sup>-3</sup>). Linear discriminant effect size analysis<sup>14</sup> revealed distinct taxa in the oral microbiome of peanut-allergic vs. healthy individuals (Figure 1). In healthy subjects, taxa in the Lactobacillales, Bacteroidales, Bacillales, and Streptophyta orders, including taxa from genera *Prevotella* and *Streptococcus*, were notably enriched. Several of these taxa have been previously associated with immune tolerance in murine models of food allergy or protection from atopic-sensitization in human fecal studies.<sup>2</sup> In food allergy-prone *I4ra*<sup>F709</sup> mice, oral administration of Bacteroidales mixtures (including *Prevotella*) prevented food allergy and suppressed established disease.<sup>8</sup> Greater abundance of gut Lactobacillales members has also been reported in healthy children vs. those with allergic sensitization, clinical symptoms of general allergy, or eczema.<sup>15, 16</sup> At the genus level, increased abundances of gut *Prevotella* and *Streptococcus* were similarly observed in healthy infants vs. those with food sensitization.<sup>17</sup>

In contrast, we found that increased abundance of multiple oral *Neisseria* spp. characterized the salivary microbiome of peanut-allergic subjects (Figure 1). Interestingly, the abundance

of oropharyngeal *Neisseria* has been previously associated with active celiac disease, a distinct food antigen-triggered immunological disorder.<sup>18</sup>

We performed secondary analyses to assess for potential confounding by allergic comorbidities (Supplemental Table 1). Within the cohort, there were no associations between the relative abundances of oral microbial species and asthma, allergic rhinitis (AR), or atopic dermatitis (AD). Additionally, we obtained oral samples from 26 non-food allergic subjects with physician-diagnosed asthma, AR, and/or AD. There were no significant differences in alpha diversity (Faith's phylogenetic diversity) or beta diversity (unweighted UniFrac distance) between healthy controls and these atopic controls without food allergy.

### Reduced oral SCFAs in peanut allergy.

Metabolites generated by commensal gut bacteria, notably SCFAs, modulate immune tolerance in murine models of allergy.<sup>9</sup> We examined oral SCFA metabolites (acetate, butyrate, and propionate) in our cohort. Salivary SCFA levels were measured by liquid chromatography/mass spectrometry in 88 subjects (84% of the cohort) based on sample availability after microbiome profiling (peanut allergy, n=49; healthy controls, n=39; Figure 2). Peanut-allergic subjects had significantly reduced salivary acetate, butyrate and propionate levels compared to healthy controls (median acetate 153000 [IQR 95950-218000] vs. 229000 [IQR 129000-32000] ng/mL, P=0.013; median butyrate 2930 [IQR 1540-5225] vs. 5530 [IQR 3350-9290] ng/mL, P=0.001; median propionate 35500 [IQR 16550-59800] vs. 49900 [32900-75600] ng/mL, P=0.007, respectively). Secondary analyses of salivary SCFA levels from 26 atopic controls without food allergy revealed no significant differences in oral acetate, butyrate, or propionate levels compared to healthy controls (Supplemental Figure 1).

Our findings are consistent with prior findings of protective effects of acetate and butyrate in murine models of peanut allergy, where direct oral feeding of these SCFAs led to reduced serum IgE and anaphylaxis score, and where knockout of corresponding SCFA receptors led to exacerbated food allergy.<sup>9</sup> While propionate was not found to be protective against peanut allergy in this murine study,<sup>9</sup> high levels of fecal propionate and butyrate in toddlers have been associated with less atopic sensitization later in life, suggesting their protective roles against general atopy.<sup>19</sup>

### Increased oral Th2 immune cytokines in peanut allergy.

In parallel, we measured oral cytokine secretion in 24 subjects with remaining sample (peanut allergy, n=16; healthy control, n=8; Figure 3). Central to the pathogenesis of food allergy is a pathologic Th2 response, with interleukin-4 (IL-4) driving mucosal Th2 priming to peanut antigens.<sup>20</sup> At the mucosal surfaces, secretion of epithelial factors, such as IL-33 and thymic stromal lymphopoietin (TSLP), may also skew dendritic cells towards a Th2-like phenotype that promotes allergic sensitization.<sup>21</sup> Focusing on these key factors, we found that salivary IL-4 secretion was significantly increased in peanut-allergic vs. healthy subjects (mean 21.91 vs. 11.34 ng/min, P=0.04). We also observed consistent trends towards elevated secretion of other oral Th2-promoting epithelial factors (IL-33, TSLP) and additional Th2 cytokines (IL5, IL13) in peanut-allergic vs. healthy subjects (Figure 3).

## Integrated analysis reveals key oral microbiota associated with altered local SCFA and immune profiles in peanut allergy.

Salivary profiling provides an accessible modality for assessing microbial and mucosal factors in parallel to jointly investigate their relationships in food allergy. We next performed an integrated comparison (Figure 4) and network analysis (Figure 5) of oral microbiota, SCFA and cytokine profiles among subjects with data for all three dimensions. We found that increased abundance of oral *Prevotella* spp., which characterized healthy subjects, positively correlated with butyrate and propionate (FDR<0.05), and was negatively associated with local secretion of Th2-related cytokines (IL-4, IL-5, IL-13, IL-33, TSLP; P<0.05). Similarly, the relative abundance of oral *Veillonella* spp. (Clostridia class) positively correlated with acetate, butyrate, and propionate levels (FDR <0.05) and was negatively associated with IL-33 (P<0.05).

Our findings are consistent with recognized metabolic activities of *Prevotella* and *Veillonella*. *Prevotella* are effective SCFA producers– in subjects with gut microbiota dominated by *Prevotella*, high SCFAs with propionate as the major product are seen.<sup>22</sup> Our analyses also suggest that *Veillonella* is associated with the observed SCFA differentials between groups, consistent with their SCFA-producing activities previously noted.<sup>23</sup> Notably, infants with allergic sensitization, which is characterized by a Th2-skewed immunity, have decreased intestinal *Prevotella* and *Veillonella*, consistent with the inverse correlation between oral Th2 cytokines and these taxa that we observed in the oral environment.<sup>17</sup> On the other hand, increased abundance of oral *Neisseria* spp., which characterized peanut-allergic subjects, negatively correlated with acetate, butyrate, and propionate levels (FDR<0.05), and was positively associated with a Th2 oral milieu (IL-5, IL-13, IL-33, TSLP; P<0.05). While the metabolic impact of *Neisseria* spp. is largely unknown, they have been previously linked to mucosal inflammation.<sup>24</sup> Our data suggest a potential influence of *Neisseria* (and/or their associated oral microbiota) on the mucosal metabolic and immune milieu in peanut allergy.

## Conclusion

While animal models support a role for microbiota in modulating oral tolerance, insights into interactions between commensal bacteria and mucosal immunity *in vivo* in patients with food allergies have been lacking. Our novel study of the oral environment using saliva and a multi-dimensional approach revealed oral microbial and metabolic features linked to mucosal immune disturbances in those with peanut allergy. In agreement with murine studies of the gut environment, our findings from the human oral environment support a potential role of commensal-derived SCFAs in modulating oral tolerance, with oral *Prevotella* and *Veillonella* associated with local metabolic and immune imbalances that characterized peanut allergy. Additionally, our findings highlight oral *Neisseria* spp. as novel taxa of interest linked to decreased oral SCFA and increased Th2 immune milieu in peanut-allergic patients.

This is the first human multi-omic study of the oral environment in food allergy. A limitation of this study was that the number of subjects and sample availability may have limited our power to detect additional findings. While *Prevotella* and *Veillonella* spp. are present in the

oral cavity and gut, *Neisseria* is relatively oral-specific,<sup>25</sup> and relationships between oral and gut microbiota in food allergy warrant further investigation. Future studies with (1) broader characterization of the oral immune environment, (2) parallel and longitudinal measures of oral and gut microbiome in food allergy, (3) functional assessment of oral microbiota and SCFAs via *in vitro* and/or murine models, and (4) characterization of the oral environment in different food allergies would likely be revealing.

Our multi-dimensional analysis of the oral mucosal environment revealed key commensal taxa and metabolites associated with the loss of oral tolerance in peanut-allergic subjects, and highlighted the oral mucosa as a compelling site to further dissect the host-microbiome dynamics in food allergy.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

## Funding Statement:

This study was funded by the National Institutes of Health R01 AI 147028, the Mount Sinai Food Allergy Treatment and Research Center, the American Academy of Allergy Asthma and Immunology/Elliot and Roslyn Jaffe Third Year Fellowship in Food Allergy Research Award, the Mount Sinai Pediatric Scholars Program, and the Mindich Child Health and Development Institute Trainee Award.

## Abbreviations:

<b>FDR</b>	false discovery rate
<b>HC</b>	healthy control
<b>IL</b>	interleukin
<b>LDA</b>	linear discriminant analysis
<b>LEfSe</b>	linear discriminant analysis effect size
<b>PA</b>	peanut-allergic
<b>QIIME</b>	Quantitative Insights Into Microbial Ecology
<b>SCFA</b>	short chain fatty acid
<b>Th2</b>	T-helper type 2
<b>TSLP</b>	thymic stromal lymphopoietin

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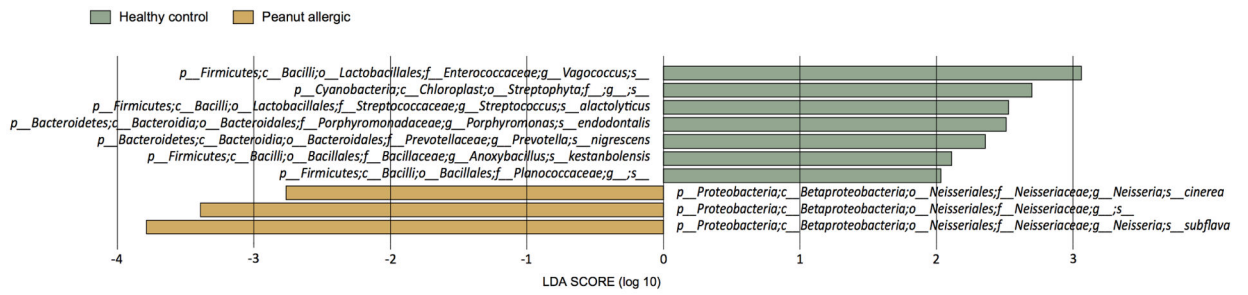
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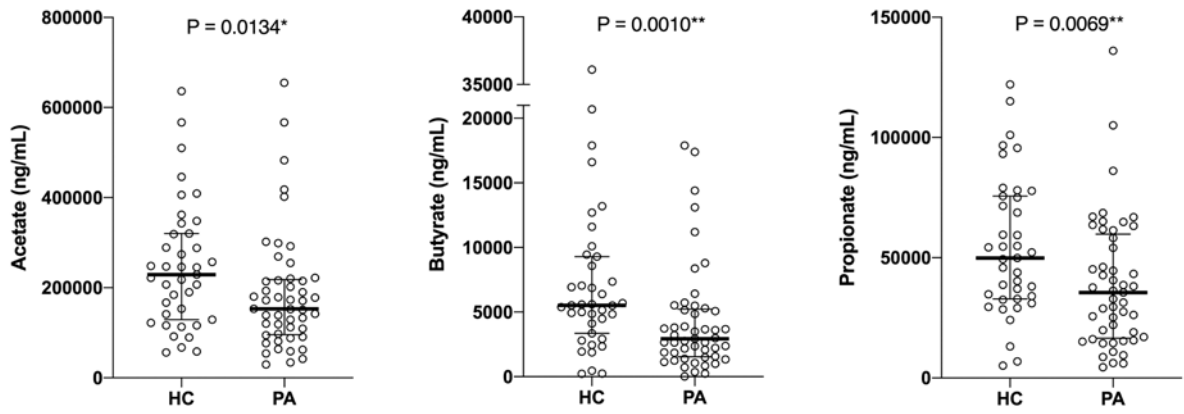


**Key Messages:**

- Oral dysbiosis, reduced oral short chain fatty acid levels, and increased oral mucosal Th2 cytokine secretion characterize subjects with peanut allergy.
- Differential abundances of oral *Prevotella* spp., *Veillonella* spp. and *Neisseria* spp. are associated with altered oral metabolic and immunological profiles in peanut allergy.

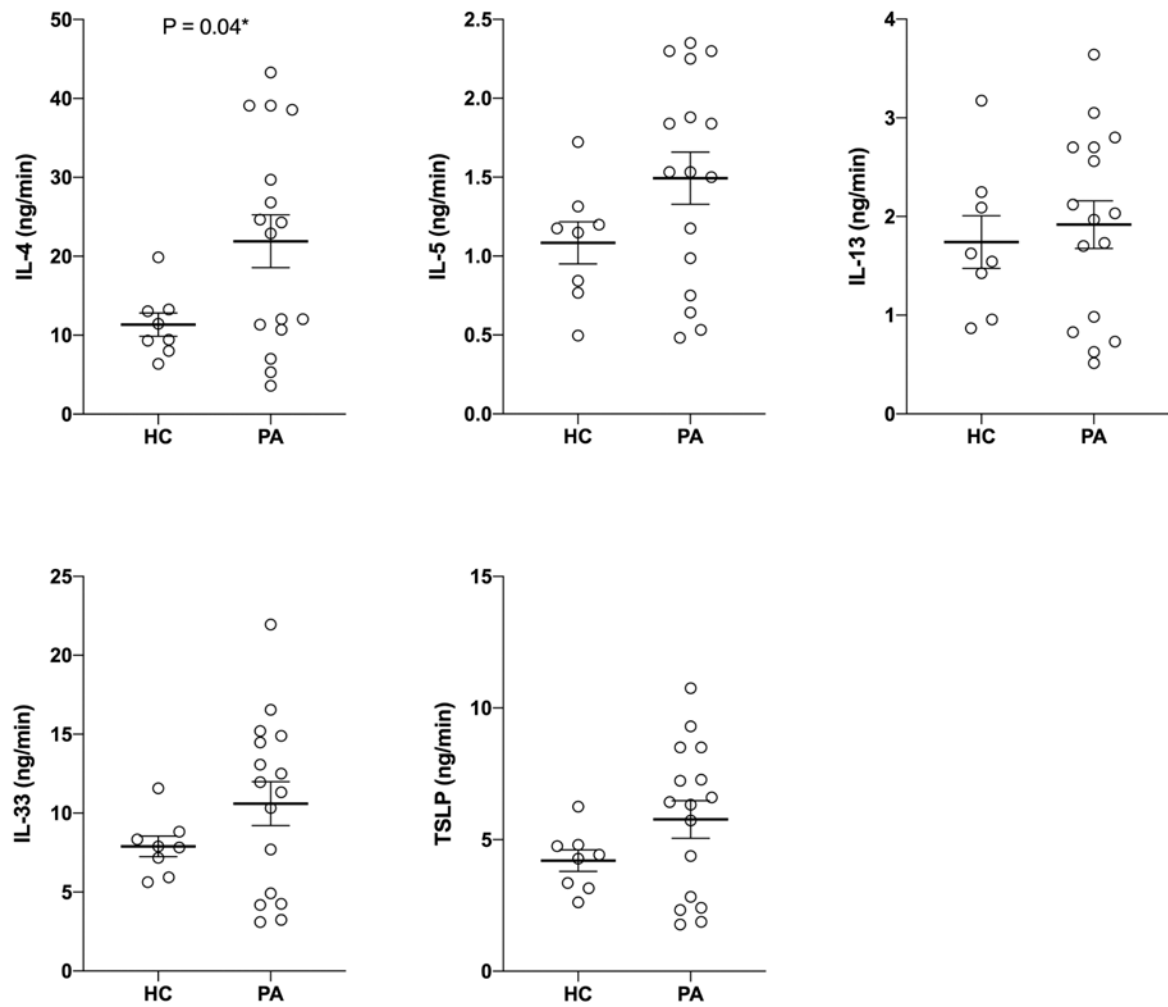


**Figure 1.** Differentially abundant oral microbiome species between peanut-allergic subjects and healthy controls. Linear discriminant analysis Effect Size (LEfSe) analysis<sup>14</sup> was used to calculate significance based on linear discriminant analysis (LDA) effect size  $\geq 2$  and  $P < 0.05$ .

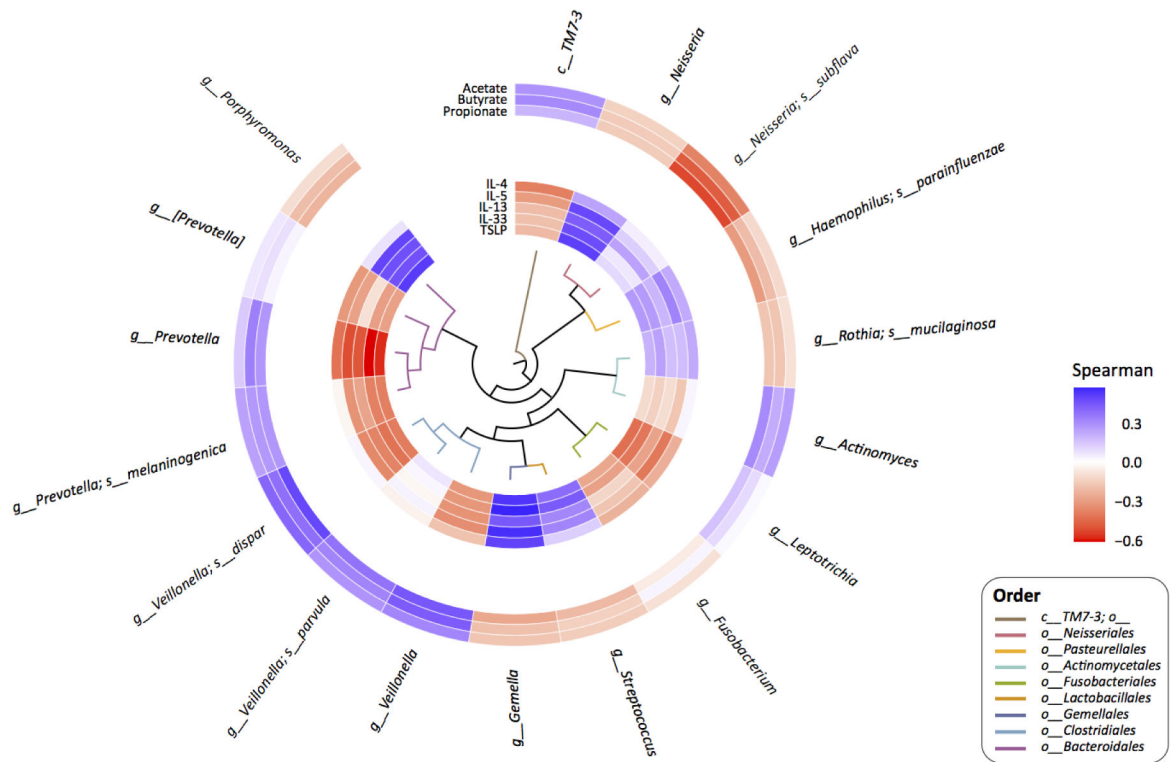


**Figure 2.**

Higher oral short chain fatty acid levels (median  $\pm$  interquartile range [IQR]) in healthy control (HC) vs. peanut-allergic (PA) subjects. P-value calculated using Mann-Whitney test (\* $P < 0.05$ , \*\* $P < 0.01$ ).

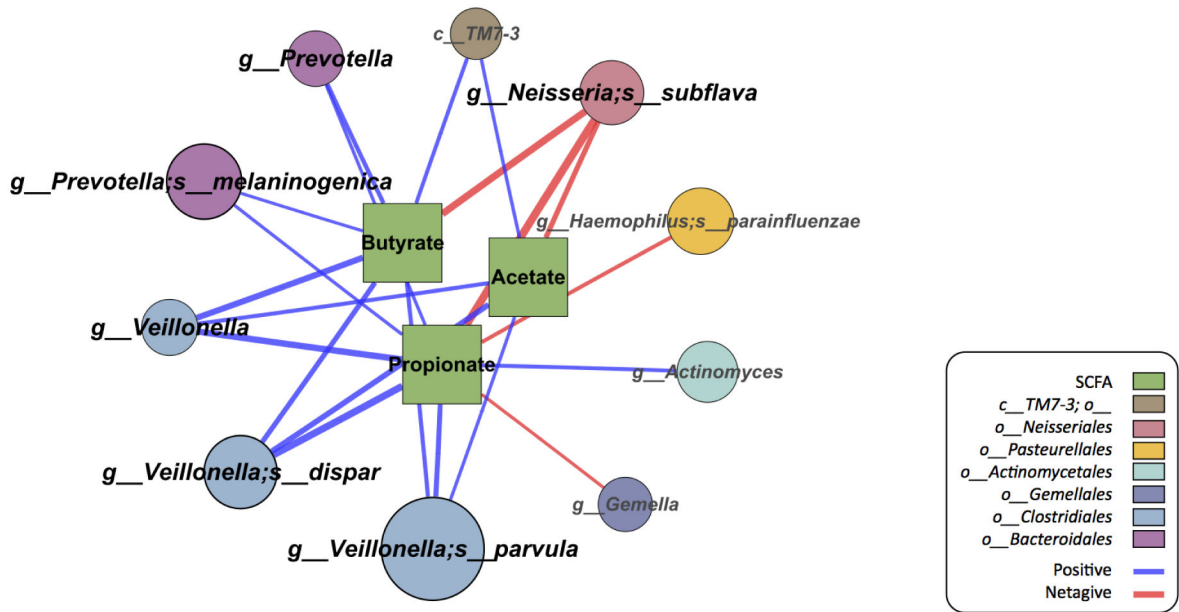


**Figure 3.** Oral Th2 cytokines and Th2-promoting epithelial factor levels in healthy control (HC) and peanut-allergic (PA) subjects. P-value calculated using unpaired t test (\*P<0.05).



**Figure 4.**

Correlations between the relative abundance of microbial species and short chain fatty acid levels (outer ring), and the relative abundance of microbial species and cytokine levels (inner ring). Species with relative abundance  $\geq 1\%$  are included in this analysis and Spearman correlations were used. For unnamed species, the closest higher level named taxon is indicated. The colors of the branch tips of the phylogenetic tree at the center of the plot indicate the order levels of each species in the plot.



**Figure 5.** Network of microbial species and short chain fatty acid (SCFA) levels. Species with relative abundance  $\geq 1\%$  are included for this analysis. The circular nodes indicate microbial species and the square nodes indicate SCFAs. The edges mark significant Spearman correlation between the microbial species and an SCFA (FDR < 0.05). The size of the circular nodes correlate with the relative abundance of each species, and the thickness of the edges correspond to the magnitude of correlation coefficients.