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## Adult separation anxiety in treatment nonresponders with anxiety disorders: delineation of the syndrome and exploration of attachment-based psychotherapy and biomarkers

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## Abstract

Clinically significant separation anxiety [SA] has been identified as being common among patients who do not respond to psychiatric interventions, regardless of intervention type (pharmacological or psychotherapeutic), across anxiety and mood disorders. An attachment formation and maintenance domain has been proposed as contributing to anxiety disorders. We therefore directly determined prevalence of SA in a population of adult treatment non-responders suffering from primary anxiety. In these separation anxious nonresponders, we pilot-tested an SA-focused, attachment-based psychotherapy for anxiety, Panic-Focused Psychodynamic Psychotherapy-eXtended Range [PFPP-XR], and assessed whether hypothesized biomarkers of attachment were engaged.

We studied separation anxiety [SA] in 46 adults (ages 23–70 [mean 43.9 (14.9)]) with clinically significant anxiety symptoms (Hamilton Anxiety Rating Scale [HARS] 15), and reporting a history of past non-response to psychotherapy and/or medication treatments. Thirty-seven (80%) had clinically significant symptoms of separation anxiety (Structured Clinical Interview for Separation Anxiety Symptoms [SCI-SAS] score 8).

Five of these subjects completed an open clinical trial of Panic Focused Psychodynamic Psychotherapy eXtended Range [PFPP-XR], a 21–24 session, 12-week manualized attachmentfocused anxiolytic psychodynamic psychotherapy for anxiety. Patients improved on "adult threshold" SCI-SAS (current separation anxiety) (p = .016), HARS (p = 0.002), and global severity, assessed by the Clinical Global Impression Scale (p = .0006), at treatment termination. Salivary oxytocin levels decreased 67% after treatment (p = .12). There was no significant change in high or low frequency HRV after treatment, but change in high frequency HRV inversely correlated with treatment change in oxytocin (p < .02), and change in low frequency HRV was positively associated with change in oxytocin (p < .02).

SA is surprisingly prevalent among non-responders to standard anti-anxiety treatments, and it may represent a novel transdiagnostic target for treatment intervention in this population. Anxiety and global function improved in a small trial of a brief, manualized, attachment-focused psychodynamic psychotherapy, potentially supporting the clinical relevance of attachment dysfunction in this sample. The large decrease in oxytocin levels with treatment, although not

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as a putative biomarker or mediator of SA response. These pilot data generate testable hypotheses supporting an attachment domain underlying treatment-resistant anxiety, and new treatment strategies.

## 1. Introduction

### 1.1. Separation anxiety and attachment

Recent research has demonstrated that separation anxiety [SA] and separation anxiety disorder [SAD] are common among adults; 43% of patients with SAD report adult onset in a recent WHO study [1–3]. SA occurs across the lifespan, triggered in affected individuals by actual or anticipated separation from someone to whom one feels attached. SA often precedes development of panic attacks [4–16]. Separation anxiety disorder (SAD) appears prevalent in adults across anxiety and mood disorders, with current rates of 12 and 20% in two clinical samples [17,18]. The National Comorbidity Survey replication study documented 6.6% lifetime community prevalence of adult SAD [19]. Separation anxiety (SA) is prevalent in patients with anxiety disorders and may lead to refractory course [20], although this has not yet been studied directly. Although SA negatively moderates prognosis in mood and anxiety treatment trials of medication and psychotherapy, no research has yet determined the prevalence of SA among treatment nonresponders.

Clinical data indicate that SA contributes to a refractory course [4,14,20–22]. Nonetheless, current treatments for anxiety do not address attachment dysregulation or SA [1,16,23,24]. SA might logically contribute to chronic, intractable anxiety, because patterns of pathological, highly anxiety-ridden, ambivalent attachments, as in SA, compromise the ability to experience and internalize positive relationships and to develop mental capacities for self-soothing, anxiety tolerance, affect modulation, and individuation [25,26]. These factors may render patients with high SA vulnerable to even minor disruptions in therapeutic relationships of all sorts, contributing to treatment non-response [14–20].

Panic Focused Psychodynamic Psychotherapy-eXtended Range (PFPP-XR) [27] is a transdiagnostic version of PFPP [28], a brief, manualized psychodynamic psychotherapy with demonstrated efficacy for panic disorder [29]. PFPP-XR targets separation anxiety and attachment dysregulation, yet it has not yet been studied specifically in an SA sample, nor in anxiety nonresponders. No research has used SA to clinically probe the research domain [30] of attachment formation and maintenance, nor tested the utility of an attachmentfocused psychotherapy for anxiety in this population. The best-established treatment approaches for anxiety have not explored SA and attachment dysregulation; yet as a novel, logical target for treatment interventions and augmentation, SA deserves consideration [1,20].

## 1.2. Underlying biology

Animal models, neuroimaging, and genetics studies [20,31] support the importance of attachment, separation distress, and SA in anxiety. Recent findings in the biology of attachment offer an opportunity to link psychological attachment theory with underlying

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biology. Extensive preclinical findings on the biology of attachment have not yet been translated to clinical treatments. Nor have rodent [32–34] and primate [35–37] studies of oxytocin [33,38–52] and other neurobiology underlying the attachment system [53] and its impact on anxiety development yet produced practical clinical treatments for symptoms related to attachment system dysregulation. The RDoC attachment domain recognizes this, but no studies have explored biological correlates of attachment based treatment yet. Conversely, PFPP [27], an attachment-focused psychotherapy with anxiolytic efficacy [29], has never before been evaluated through the lens of underlying attachment biology.

Potential biological correlates of insecure attachment include measures of parasympathetic and sympathetic nervous system activity and the neuropeptide oxytocin. High frequency heart rate variability (hfHRV), also known as respiratory sinus arrhythmia (RSA, 56,57) reflects respiratory influence on cardiac vagal tone and is associated more broadly with adaptive reactivity to stressors [56,57]. Low frequency heart rate variability (lfHRV) is also predominantly determined by cardiac parasympathetic afferents but reflects the influence of blood pressure control mechanisms, including some sympathetic-determined processes such as vasomotor tone [58]. Both high frequency and low frequency HRV decrease in response to acute mental stress, indicating vagal withdrawal. Because of difficulty in assessing parasympathetic activity elsewhere in the body, researchers often use cardiac vagal tone as a measure of overall corporal parasympathetic tone, but this assumption is not well supported [59]. Low RSA has been associated with depression, anxiety disorders, and insecure attachment in numerous studies, suggesting it does reflect impaired emotional control [56,57,60].

Oxytocin (OT) is a hypothalamic neuropeptide that suppresses endocrine and behavioral stress responses, relieves pain, and facilitates pro-social behaviors including maternal behavior and affiliative contact-seeking across mammalian species [45]. Attachment behavior has been linked to peripheral OT levels, and OT receptor polymorphisms also have been linked to attachment behaviors [61]. A growing literature suggests that higher OT levels enhance the perceived intensity of social experiences. Higher resting plasma OT levels are associated with empathy, closeness, and trust in close relationships [38,45–48] in non-clinical samples. In clinical populations of psychiatric patients, higher plasma OT levels correlate with greater anxiety, harm avoidance, aggression, guilt and suspicion [49,50], interpersonal difficulties [51,52], and romantic attachment anxiety and distress (greater reactivity in the context of relationships, as individuals with SA illustrate [40,63,64]). In social anxiety disorder, higher plasma OT levels correlate with higher anxiety and relationship dissatisfaction [64].

This study aimed to: 1. Assess prevalence of SA in a treatment refractory sample of anxiety patients, 2. Pilot-study PFPP-XR treatment in a sub-sample of these patients, and 3. Explore the relationship of RSA and OT to SA and its treatment response. This pilot study begins to assess the utility of biomarker changes with treatment, which can potentially illuminate biological processes in the treatment's mechanism of action. Biomarker temporal change is likely to be more useful than cross-sectional assessment, as a repeated measures design can control for other factors affecting the biomarker measures such as exercise, genotype, child abuse, etc. We predicted a priori that increasing levels of separation anxiety would be

correlated with decreased parasympathetic tone. This prevalence study and small pilot open clinical trial were designed to begin to identify and treat the large group of anxiety disorder nonresponders with attachment dysregulation.

## 2. Method

#### 2.1. Prevalence study

Adults aged 18–70 with a primary complaint of anxiety and who by history had not responded to at least one empirically-proven treatment were recruited at the Weill Cornell Medical College Department of Psychiatry through word of mouth and advertising. All signed informed written consent; the WCMC IRB approved the study. Patients were included if they: (1) had prominent anxiety, (defined by Hamilton Anxiety Rating Scale [HARS] (67) score of 15) and (2) could provide evidence of nonresponse (clinically persistent anxiety) to at least one evidence-based anti-anxiety treatment, specifically: (a) at least 2 months of an adequately dosed SSRI or TCA equivalent (e.g., 20 mg fluoxetine equivalent, or imipramine 200 mg) taken as directed, or (b) 11 weeks of CBT with a CBT-trained therapist. Names of past clinicians and documentation of past non-response were obtained. Patients were excluded for psychosis, acute suicidality, bipolar disorder, and organic mental disorders. Forty-six nonresponders were recruited and evaluated for presence of clinically significant SA on the SCI-SAS [23], global severity on the CGI-S [66], and Heart Rate Variability [HRV] and salivary oxytocin [OT] measurements.

#### 2.2. Psychotherapy study

Patients from this prevalence study with clinically significant SA (defined as SCI-SAS

8) [23] (and without acute suicidality) were assessed for *DSM-IV* diagnosis using the Anxiety Disorders Interview Schedule for *DSM IV*–Lifetime version (ADIS-IV-L, 69) and treated in 21–24 sessions of PFPP-XR over 12 weeks. Patients on stable doses of medication for 2 months were continued on their stable doses, and all new medication trials and concurrent psychotherapies were prohibited. Trained clinical adherence raters monitored therapist adherence via videotaped sessions using the PFPP-XR adherence rating scale ICC = 0.92 [68]. A master's level independent evaluator performed clinical and biological assessments before and after treatment.

#### 2.3. PFPP-XR

PFPP-XR uncovers unconscious psychological meanings of anxiety to achieve relief. The treatment focuses on anxious, dysregulated attachments that aggravate anxiety and bring about a sense of personal fragility and incompetence. Treatment explores circumstances and feelings surrounding anxiety onset, personal meanings of anxiety symptoms, and feelings and content of anxiety episodes and events to decode underlying significance. Core dynamic conflicts in anxiety include: difficulties with separation and autonomy; anger recognition/tolerance; and guilt/self-punishment through anxiety symptoms. Core conflicts, often identified through the transference, must be understood and altered. Strategies include addressing the transference and working through (demonstrating that conflicts emerge across situations). Patients' reaction to termination, often involving re-experiencing conflicts directly with the therapist, is actively addressed.

#### 2.4. Therapists

Four therapists (3 female) participated, with an average of 7 years post-degree experience (SD = 4.1, range [2-25]), mean 7 years performing time-limited therapy (SD = 7.0, range [0-22]), and 1 year of clinical experience treating anxiety disorders.

#### 2.5. Measures

The SCI-SAS, measuring SA in adults, displays excellent psychometric properties, and provides separate assessments of (retrospective) childhood and current adult separation anxiety [23]. As a change measure, we monitored the adult threshold measure of separation anxiety, as suggested [24], to focus on clinically significant symptoms. The Clinicians' Global Index of Severity [CGI-S [68]] assessed global severity. The Hamilton Anxiety Rating Scale (HARS, 67) assessed anxiety severity. Secondary measures included the Hamilton Rating Scale for Depression (HRSD, 71) to assess depression. We secondarily evaluated change in DSM Axis I diagnoses with the ADIS-IV-L before and after treatment [68]. On the ADIS, all subjects included in the psychotherapy study met criteria for social phobia, GAD and separation anxiety disorder. Two subjects additionally had panic disorder, 2 had MDD (neither as the primary diagnosis), and 2 had dysthymic disorder.

Biological measures were collected on one occasion for subjects not treated in psychotherapy, and for psychotherapy patients, before and after treatment. *HRV:* Subjects wore an ambulatory physiological monitor (Lifeshirt<sup>TM</sup>, Vivometrics, San Diego, CA) collecting continuous ECG for 20 minutes at quiet rest. ECG RR intervals were edited to remove artifact and ectopy using CarioEdit software (Mind/Body Institute, U Ill Chicago). High and low frequency HRV were calculated using CardioBatch software (Mind/Body Institute, University of Illinois, Chicago) [71].

#### 2.6. Oxytocin

Three resting saliva samples were collected at 45 minute intervals, and results for each session were averaged. We utilized salivary oxytocin measures rather than serum to facilitate collection of multiple samples to enhance reliability of the measure. Salivary oxytocin has shown very good correspondence with plasma oxytocin measures when plasma is extracted prior to assay (R = 0.59 (67)–0.89 (73) [64]). A commercial EIA kit (Abcam, Cambridge, MA) was used to measure oxytocin, without sample extraction or concentration. Eighteen percent of the 110 samples collected were below the assay detection limit. For calculation of mean oxytocin measurement, samples below the detection limit were assigned the detection limit of the assay (15 pg/ml). We did not restrict collection to one time of day or one menstrual cycle phase, as there is little evidence that circadian rhythm and menstrual cycle influence change in basal serum levels in humans [48,53,73]. Processing of all biomarkers was conducted blind to treatment response and other subject characteristics.

#### 2.7. Data analysis

Prevalence of SA was defined as percentage of subjects who met SCI-SAS total score cutoff 8 for "case-ness". Multiple regression analysis was used to examine the impact of high and low frequency HRV and OT on SCI-SAS score, and adult and child SCI-SAS subscales in the total sample. Age was a significant covariate with both HRV measures

and SCI-SAS, hence included in the regression models. Clinical measures of psychotherapy outcome and change in biomarkers were analyzed by the paired t-test. Multiple regression was also used to examine the relationship of change in biomarkers to change in SCI-SAS score, and to examine the relationship between change in oxytocin levels and change in high and low frequency HRV. Test–retest (i.e., intra-rater) reliability, which measures the precision of repeated OT measurements, was assessed by the Pearson correlation coefficient or Spearman-rank correlation coefficient, as appropriate. All *p*-values are two-sided with statistical significance evaluated at the .05 alpha level. Test–re-test reliability is very strong since all the correlations are greater than 0.70. Ninety-five percent confidence intervals were calculated to assess the precision of the obtained estimates. All analyses were performed in SPSS Version 23.0 (SPSS Inc., Chicago, IL).

## 3. Results (Fig. 1)

#### 3.1. Prevalence of SA in nonresponders study

Patients had a mean age of 43.9 years (14.9). 59% were female. 27% of the sample was Hispanic; 34% were African American, 62% Caucasian, 4% Asian. 45% were single, 25% married, 12% divorced, 6% separated, 5% widowed, and 7% cohabiting. Mean HDRS was 20.2 (6.2), mean HARS 15.7 (5.9), and mean CGI-S 4.6 (0.9). Of 46 patients with a primary complaint of anxiety, HARS 15, and documented nonresponse to an adequate medication or CBT trial, 37 (80%) had clinically significant separation anxiety as assessed by SCI-SAS total score. SA+ patients did not differ demographically or in clinical severities from the entire sample of non-responders. The child subscale of the SCI-SAS, but not the adult subscale, was inversely associated with subject age (p < .02).

#### 3.2. Heart rate variability

As hypothesized, SA severity on the SCI-SAS was inversely associated with low frequency HRV at trend significance (p = .07). High frequency HRV also was inversely, but more weakly associated with SCI-SAS, p = .16. Gender and antidepressant use were not associated with any heart rate measures.

#### 3.2.1. Oxytocin

SA severity was non-significantly associated with the SCI-SAS total score, (p = .14). OT was more closely associated with the child subscale of the SCI-SAS (p = .01) than the adult subscale (p = 0.6).

#### 3.3. PFPP-XR pilot study

Six patients entered this study. One, who dropped out after session 1 because of scheduling conflicts was not included in treatment analyses. The mean age of the sample was 50.5 years (15.7). Three subjects were married, and two were single; three were white non-Hispanic, and two were Hispanic of mixed race. Three were employed, two had stopped working due to chronic anxiety. Significant, clinically meaningful improvement occurred with treatment (Table 1).

#### 3.4. Biomarker changes pre-post treatment

Biomarker data pre- and post-treatment show no statistically significant changes. There was, however, a 66% decrease in salivary OT ( $171 \pm 31$  to  $57 \pm 22$  pg/ml, t = -1.9; p = .12) in this very small sample. There was no consistent change with treatment in high or low frequency HRV (hfHRV 5.12 vs. 4.95, t = -.9, p = 0.4; lfHRV 5.33 vs. 5.26, t = .74, p = 0.7)) and no significant relationship of change in any of the three biomarkers with change in SCI-SAS score. However, in a regression model, change in oxytocin was inversely associated with change in high frequency HRV (p < .02) and positively associated with change in low frequency HRV (p < .02). Improvements in vagal tone correlated with OT normalization (decreases) and decreases in low frequency HRV correlated with OT decreases over the span of the treatment.

## 4. Discussion

The prevalence finding of this study demonstrates for the first time that clinically significant separation anxiety is widespread among patients who report having not responded to standard treatments for clinically significant anxiety. This is an important clinical observation, suggesting SA is often a part of this syndrome, and should become a target for treatment interventions. The study was cross sectional, and not designed to address the etiological relationship of separation anxiety, or attachment dysregulation, to development and persistence of other anxiety disorders [20]. Other studies have made some of these links [3,6,32], yet the degree to which early attachment dysregulation and insecurity are causal factors in persistent anxiety apparently refractory to current treatments remains unclear.

Results from the pilot psychotherapy trial demonstrate feasibility of a manualized psychodynamic psychotherapy in this treatment-refractory sample. The findings of significant improvement with treatment are intriguing, yet the very small sample size and uncontrolled design preclude drawing any conclusions about efficacy without confirmation in randomized controlled trials. Given that treatment nonresponders have a diminished likelihood of responding to additional treatments, the measurable improvement in a brief separation anxiety focused manualized psychodynamic psychotherapy is heartening.

The finding of a trend for HRV, a measure of cardiac parasympathetic tone, to be inversely associated with SA severity is consistent with findings in other anxiety disorders [57,58]. The large decrease in oxytocin lev\els with treatment, although not statistically significant in this very small sample, suggests the need for further study of oxytocin as a putative biomarker or mediator of SA response. The significant associations between change in OT and change in HRV with treatment, although preliminary, are intriguing and suggest that autonomic and OT regulation may be linked. The longitudinal design of this study could enhance the sensitivity to detect these relationships. These pilot data generate testable hypotheses that can lend support to an attachment domain underlying treatment-resistant anxiety, and new strategies for treatment.

Limitations of this small pilot study include retrospective reports of treatment failure by patients, accompanied by documentation of unsuccessful treatments by treating clinicians in

most but not all cases, and the very small sample size, failure to concentrate the OT samples, multiple comparisons, and uncontrolled design of the psychotherapy trial.

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#### 96 telephone screens

32 did not have evidence of having failed an adequate, evidence-based treatment for anxiety

9 did not have current anxiety symptoms on telephone screen

3 did not want further evaluation

6 no show

#### 46 documented nonresponders underwent evaluation

9 did not meet criteria for SA on SCI-SAS

#### 37 had SA on SCI-SAS

2 could not schedule regular psychotherapy as per protocol

9 were deemed acute suicide risks/too severe despite wanting to enter study psychotherapy

- 2 were on unstable meds
- 2 did not have clinically significant anxiety (HARS<15 at baseline re-eval)
- 2 refused to be videotaped

3 had exclusionary comorbidity (1 organic mental disorder, 1 severe substance use disorder, 1 bipolar disorder

1 no show for baseline eval

7 chose to enter non-study psychotherapy so they could start meds if they chose

3 did not want to stop seeing their current psychotherapist

#### Psychotherapy study

5 entered and completed psychotherapy

1 noshowed, never met with therapist

#### Fig. 1.

Consort diagram: SA in anxiety nonresponders study.

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Measure	Measure Threshold adult SCI-SAS HARS HDRS CGI-Severity ADIS severity primary dx	HARS	HDRS	CGI-Severity	ADIS severity primary dx
<b>Pre</b> $n = 5$ 3.8 (.8)	3.8 (.8)	18.6 (4.1)	18.6 (4.1) 23.4 (3.7) 4.6 (.55)	4.6 (.55)	6.3 <sup>a</sup>
<b>Post</b> $n = 5$ 1.4 (1.1)	1.4(1.1)	8.8 (2.2)	8.8 (2.2) 13.8 (3.3) 2.2 (0.45)	2.2 (0.45)	3.3
t	- 4.0	9.8	6.6	9.8	
P	.016	.002	.007	.0006	

<sup>a</sup>Mean severity of primary diagnosis on ADIS/threshold for DSM "caseness" = 4; all lost primary diagnosis at termination. 5 = "impaired" 6 = significantly impaired. 3 = subthreshold.