### **Original Article**

## Characterization of the coatings covering esthetic orthodontic archwires and their influence on the bending and frictional properties

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#### ABSTRACT

**Objective:** To analyze the coatings covering esthetic orthodontic wires and the influence of such coatings on bending and frictional properties.

**Materials and Methods:** Four commercially available, coated esthetic archwires were evaluated for their cross-sectional dimensions, surface roughness (R<sub>a</sub>), nanomechanical properties (nano-hardness, nanoelastic modulus), three-point bending, and static frictional force. Matched, noncoated control wires were also assessed.

**Results:** One of the coated wires had a similar inner core dimension and elasticity compared to the noncoated control wire, and no significant differences between their static frictional forces were observed. The other coated wires had significantly smaller inner cores and lower elasticity compared to the noncoated wires, and one of them showed less static frictional force than the noncoated wire, while the other two coated wires had greater static frictional force compared to their noncoated controls. The dimension and elastic modulus of the inner cores were positively correlated (r=0.640), as were frictional force and total cross-sectional (r=0.761) or inner core (r=0.709) dimension, elastic modulus (r=0.777), nanohardness (r=0.802), and nanoelastic modulus (r=0.926). The external surfaces of the coated wires were rougher than those of their matched controls, and the R<sub>a</sub> and frictional force were negatively correlated (r=0.333).

**Conclusions:** Orthodontic coated wires with small inner alloy cores withstand less force than expected and may be unsuitable for establishing sufficient tooth movement. The frictional force of coated wires is influenced by total cross-section diameter, inner core diameter, nanohardness, nanoelastic modulus, and elastic modulus. (*Angle Orthod.* 2017;87:610–617)

**KEY WORDS:** Coating; Archwires; Bending; Friction; Mechanical properties; Cross-sectional dimension

#### INTRODUCTION

Esthetically attractive orthodontic materials are desirable, especially for adults.<sup>1,2</sup> Although esthetic brackets made from plastic or ceramics are widely used for orthodontics,<sup>3</sup> most orthodontic archwires are made of metal. Therefore, esthetic archwires that complement brackets are highly desired for clinical orthodontics.<sup>1</sup> To this end, polymer wires with glass-fiber reinforcements have been investigated<sup>4–7</sup> but have yet to be used widely because of their brittleness and inability to withstand sufficient force.<sup>4–6</sup> Coated archwires, including metal wires coated with polymers, or rhodium-plated wires, have been developed<sup>2,8–17</sup> and

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Table 1.	Orthodontic	Wires	Used in	the	Present	Study
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		Coating		Cross-Section Dimension, inches			
Product (Code)	Manufacturer	Coated	Noncoated	0.016	0.016 imes 0.022	0.019 × 0.025	
Superelastic Titanium Memory Wire (Memory)	American Orthodontics (AO)		0	0	0		
EverWhite (EverWhite)		0		0	0		
Reflex Nickel Titanium (Reflex)	TP Orthodontics (TP)		0	0	0		
Reflex Nickel Titanium Aesthetic wire (Aesthetic)		0		0	0		
VIA Wires Superelastic NiTi (VIA NiTi)	Opal Orthodontics (Opal)		0	0	0		
VIA Wires Pearl Esthetic Superelastic NiTi (PearlWhite NiTi)		0		0	0		
VIA Wires Stainless Steel (VIA SS)			0			0	
VIA Wires Pearl Esthetic Stainless Steel (PearlWhite SS)		0				0	

are preferred by many patients because of their improved esthetic qualities.<sup>16</sup>

The coating of orthodontic archwires is expected to influence their surface characteristics, and, therefore, the properties of such archwires, including their thickness,<sup>9</sup> surface roughness,<sup>2,11,17</sup> bacterial adhesion,<sup>11</sup> mechanical properties,<sup>2,9,10,12,14,15,17</sup> corrosiveness,12 scratch resistance,15 coating stability,10 and frictional properties,13,16 have been investigated. Although a previous study<sup>2</sup> demonstrated a significant increase in force values for the polymer-coated archwires compared to noncoated archwires, other studies<sup>8,10</sup> reported that coated archwires produce generally lower force values compared to noncoated archwires. On the other hand, the previous study<sup>9</sup> concluded that the reduction in the inner alloy core dimensions seems to be the variable responsible for greater changes in the mechanical properties of coated archwires, along with variations in the materials' properties.

The frictional force between the bracket and archwire is a primary issue in orthodontics because it limits tooth movement.<sup>18,19</sup> The few studies<sup>13,16</sup> that investigated the frictional properties of coated archwires primarily focused on the relationship to surface roughness. Recently<sup>9</sup> it was shown that the dimensions of the inner core and coating thickness influence the wire's mechanical properties. Although the crosssectional dimensions of the inner core and coating and the mechanical properties of the archwire are expected to influence friction, only limited information is available on this topic.

In this study, the cross-sectional geometry, surface roughness, and nanomechanical properties of four coated orthodontic archwires were analyzed for their bending and frictional properties.

#### MATERIALS AND METHODS

#### Materials

Four coated orthodontic archwires (EverWhite, American Orthodontics, Sheboygan, Wis; Reflex Nickel Titanium Aesthetic Wire, TP Orthodontics, La Porte, Ind; VIA Wires Pearl Esthetic Superelastic NiTi, Opal Orthodontics, South Jordan, Utah; and VIA Wires Pearl Esthetic Stainless Steel, Opal Orthodontics) with cross-section dimensions of 0.016 inches, 0.016  $\times$  0.022 inches, or 0.019  $\times$  0.025 inches were analyzed. Matched, noncoated control wires from the same manufacturers were used for comparison. Shown in Table 1 are the product codes, manufacturers, and nominal cross-sectional dimensions for each wire investigated.

# Microscopic Assessments of Cross-Section Surfaces

Each wire was encapsulated in an epoxy resin (Epofix, Struers, Copenhagen, Denmark), and the surface was ground and polished using a series of silicon carbide abrasive papers, followed by a final slurry of 0.05- $\mu$ m alumina particles. Cross-sectional microscopic analyses (n = 5) were performed using a SMZ1500 microscope (Nikon, Tokyo, Japan), and the areas of the inner core and coating layer were estimated using imaging software (Win Roof, Mitani, Tokyo, Japan).

#### **Evaluation of Surface Roughness**

The external surfaces of the wires (n = 5) were examined using atomic force microscopy (AFM; SPM-9500J2, Shimadzu, Kyoto, Japan). The average surface roughness ( $R_a$ ) was calculated using the software supplied with the AFM.



**Figure 1.** Illustration of the three-point bending test device. A, thermal screen; B, indenter; C, wire sample; D, supporting points; E, temperature sensor; and F, hot-air circulation temperature controller.

#### Nanoindentation Test

Nanoindentation tests (n = 7) were performed to evaluate the mechanical properties of the crosssectional surfaces (ENT-1100a, Elionix, Tokyo, Japan), nanohardness (NH), and the nanoelastic modulus (NEM) for each wire's inner core and coating. Each sample was encapsulated in an epoxy resin, and the surface was ground and polished using a series of silicon carbide abrasive papers, followed by a final slurry of 0.05-µm alumina particles. Next, samples were fixed to the specimen stage using an adhesive resin (Superbond Orthomite, Sun Medical, Shiga, Japan), and nanoindentation testing was performed at 28°C using a Berkovich indenter with a peak load of 5 mN. The NH and NEM were calculated using software specific to the nanoindentation instrument and following the ISO 14577-1 guidelines.

#### **Three-Point Bending Test**

Three-point bending tests (n = 10) were performed using a 12-mm span size, in accordance with ADA Specification No. 32 (ANSI/ADA Specification No. 32, 2000) (Figure 1). Samples were loaded onto a universal testing machine (EZ Test, Shimadzu) with a 20-N load cell (EZ Test, Shimadzu). The temperature was maintained at 37°C  $\pm$  0.5°C. Each wire was then subjected to a deflection of 3 mm (loading process), followed by unloading (unloading process) at a rate of 0.5 mm/min. The elastic modulus was then calculated using software provided with the universal testing machine (Trapezium 2, Shimadzu).

#### **Friction Test**

The static frictional force generated with each wire/ bracket combination was tested (n = 10) under dry conditions at 25°C using a custom-fabricated frictiontesting device attached to the universal testing machine (EZ Test, Shimadzu).20 Each bracket was bonded to a stainless-steel plate using a bracketmounting device with a nonfilled adhesive resin (Superbond, Sun Medical, Shiga, Japan), and the bracket was positioned at 10°. A 5-cm segment of wire was then ligated to the bracket using an elastomeric ligature (Alastik Easy-To-Tie Ligatures, 3M Unitek, Monrovia, Calif). The upper end of the wire was then fixed to a 150-g weight and each wire was drawn through the bracket at a crosshead speed of 10 mm/ min for a distance of 5 mm. The static frictional force was then determined from the load-displacement curves, and microscopic analyses were performed on the coated archwires after testing.

#### **Statistical Analysis**

Statistical analyses were performed using SPSS 22 (IBM, Armonk, NY). The Levene test was used to assess normality within the data. Mean surface roughness, elastic modulus, frictional force, NH, and NEM were compared using the Student's *t*-test. The mean NH and NEM values were also compared using a one-way analysis of variance with the Tukey's test. Relationships between the cross-sectional dimensions, (eg, inner alloy core and coating layer diameters), R<sub>a</sub>, elastic modulus, NH, NEM, and static friction force were assessed using the Pearson correlation coefficient test.

#### RESULTS

Cross-sectional micrographs of all wires are shown in Figure 2. EverWhite and PearlWhite (NiTi and stainless) wires were coated over the entire surface; however, only the labial side was coated on the esthetic wires. The layer of coating on the labial side of the EverWhite wires was thicker than on the other sides, while the coating for PearlWhite NiTi and SS wires were of uniform thickness. Reflex and Aesthetic wires from the same manufacturer had similar inner alloy core dimensions (Table 2), while the other coated wires were significantly smaller than their matched, noncoated controls.

Figure 3 reflects the average  $R_a$  values for all wires. As expected, the external surfaces of coated wires were rougher than those of the noncoated controls.

Shown in Figures 4 through 6 are the average nanomechanical properties (NH, NEM) of the inner cores and coating layers. The average NH and NEM



Figure 2. Cross-sectional micrographs of wires from the labial (left side) and lingual surfaces (right side). Magnification: 240×.



Figure 3. Comparisons of surface roughness ( $R_a$ ). Values for each specimen were obtained using AFM. Values represent the mean ± SD. n = 5. \* P < .05.



Figure 4. Comparisons of nanohardness (NH). Each sample was assessed using the nanoindentation test. Values represent the mean  $\pm$  SD. n = 7. \* P < .05.



Figure 5. Comparison of elastic moduli (NEM). Each sample was assessed using the nanoindentation test. Values represent the mean  $\pm$  SD. n = 7. \* P < .05.

		Product	Total Cross-Section Dimensions, mm <sup>2</sup>		Inner Core Dimensions, mm <sup>2</sup>		Nominal Cross-Sectional	
Wire Size/Type	Manufacturer <sup>a</sup>		Mean	SD	Mean SD		Dimension, mm <sup>2</sup>	
0.016-inch Nickel-titanium	AO	Memory			0.1259	0.0006	0.1297	
		EverWhite	0.1425	0.0008	0.1151	0.0003		
	TP	Reflex			0.1292	0.0013		
		Aesthetic	0.145	0.0021	0.133	0.002		
	Opal	VIA NITI			0.1235	0.0006		
		PearlWhite NiTi	0.1184	0.0011	0.0983	0.0011		
$0.016 \times 0.022$ -inch Nickel-titanium	AO	Memory			0.2205	0.0005	0.2271	
		EverWhite	0.2191	0.0021	0.1905	0.0018		
	TP	Reflex			0.2149	0.0022		
		Aesthetic	0.2296	0.002	0.2185	0.0017		
	Opal	VIA NITI			0.2148	0.0022		
		PearlWhite NiTi	0.2058	0.0004	0.17	0.0003		
$0.019 \times 0.025$ -inch Stainless steel	Opal	VIA SS			0.3011	0.0011	0.3065	
		PearlWhite SS	0.3102	0.002	0.2691	0.0014		

Table 2. Total Cross-Section and Inner Core Dimensions of Orthodontic Wire Used in the Present Study

<sup>a</sup> AO indicates American Orthodontics; TP, TP Orthodontics.

for the inner cores were the same between coated and noncoated wires, while the average NEM for coating layer of the PearlWhite SS wires was significantly less than for the other coated wires.

Figure 7 shows the average elastic modulus obtained using the three-point bending test. With the exception of the Reflex and Aesthetic wires, all coated wires had a lower elastic modulus than did the noncoated control wires.

Shown in Figure 8 is the average static frictional force for each wire. The EverWhite wires had higher static friction than did their noncoated controls, while the Reflex and Aesthetic wires did not. PearlWhite NiTi wires had lower static frictional force than did their noncoated control wires (VIA NiTi), while the Pearl-White SS wires had a greater static frictional force than their noncoated control wires (VIA SS). Following the friction test, micrograph images of the PearlWhite SS wires were obtained and revealed that the coating had been removed from the inner core (Figure 9). None of the coatings for the other wires were damaged or removed upon frictional testing (not shown).



**Figure 6.** NH and NEM for the coatings of each wire. Values represent the mean  $\pm$  SD. n = 7. \* *P* < .05 (Tukey test).

#### DISCUSSION

During tooth movement, the friction between the bracket and the archwire is a primary concern.<sup>19,20</sup> Characteristics such as surface roughness, hardness, and elastic modulus depend on the wire's composition, thermal history, and cross-sectional dimensions. These characteristics also influence bending and frictional properties.<sup>2,8–14,17</sup>

In this study, the Reflex (noncoated) and Aesthetic (coated) wires had a similar elastic modulus, which agrees with previous findings.9 No significant differences between their static frictional forces were observed, however, which can be attributed to multiple factors, including the low elastic modulus of the coating layer (NEM), their similar cross-sectional dimensions, and the elastic modulus. Notably, the only difference between the two wires was the labial surface coating of the Aesthetic wire. Conversely, the EverWhite (0.016inch and 0.016  $\times$  0.022-inch nickel-titanium) and PearlWhite (0.016-inch and 0.016  $\times$  0.022-inch nickel-titanium and 0.019  $\times$  0.025-inch stainless-steel) wires had smaller cross-sectional dimensions and a lower elastic modulus obtained using the three-point bending test as well as similar nanomechanical properties (NH, NEM) of their inner core compared to the noncoated control wires.

The diameter of the inner core and the elastic modulus were correlated in this study (r = 0.640). The PearlWhite NiTi wires had less static frictional force than did the matched, noncoated control wire (VIA NiTi), while the PearlWhite SS and EverWhite wires (Table 3) had greater static frictional force compared to their noncoated controls (VIA SS and Memory). These differences may have been due to different coating



Figure 7. Elastic modulus of each sample using the three-point bending test. Upper, 0.016-inch nickel-titanium wire; lower,  $0.016 \times 0.022$ -inch nickel-titanium wire;  $0.019 \times 0.025$ -inch stainless-steel wire.

characteristics, including the elastic modulus or the bond strength between the coating layer and the inner core. The high elastic modulus of the PearlWhite SS wire may also increase wire-binding at the edge of the bracket, which would increase friction. This hypothesis is consistent with scanning electron microscope images of the PearlWhite SS wire after friction testing, in which the coating layer was removed from the inner core (Figure 9).

Previous studies<sup>2,11,15,17</sup> that evaluated the  $R_a$  of coated wires focused on bacterial adhesion, plaque accumulation, corrosion, and frictional properties. Consistent with those studies, we found that the surfaces of coated wires were rougher than those of

their noncoated control wires.<sup>11,13</sup> In addition to surface roughness, other factors, including hardness, elastic modulus, the thickness of the coating, the elastic modulus of the inner core, cross-sectional diameter, and the environment (dry or wet), can all influence friction. In fact, the relationship between frictional force and the cross-sectional (r = 0.761) or inner core (r = 0.709) diameters, the elastic modulus (r = 0.777), NH (r = 0.802), and NEM (r = 0.926) were positively correlated. Unexpectedly, however, the R<sub>a</sub> and frictional force were negatively correlated (r = -0.333).

Although the inner core of the Aesthetic wire was similar in dimension to that indicated by the manufac-



Figure 8. Static frictional force of each wire. Upper, 0.016-inch nickel-titanium wire; middle,  $0.016 \times 0.022$ -inch nickel-titanium wire; lower,  $0.019 \times 0.025$ -inch stainless-steel wire.

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	Total Cross-Sectional Dimensions	Inner Core Dimension	Friction Force	Elastic Modulus	R <sub>a</sub>	NH	NEM
Total cross-sectional dimensions	1						
Inner core dimension	0.971	1					
Friction force	0.761	0.709	1				
Elastic modulus	0.583	0.639	0.777	1			
R	-0.338	-0.468	-0.333	-0.486	1		
NH	0.933	0.874	0.802	0.889	-0.386	1	
NEM	0.976	0.89	0.926	0.933	-0.422	0.936	1

**Table 3.** Pearson's Correlation Coefficient Among the Cross-Sectional Dimensions, Inner Core Dimension, Friction Force, Elastic Modulus, Surface Roughness (R<sub>a</sub>), Nanohardness (NH), and Elastic Modulus (NEM)

turer, all other wires were smaller than indicated by the manufacturer.<sup>9</sup> The mechanical properties of archwires, including the orthodontic force, depend on their alloy compositions and cross-sectional dimensions.<sup>21</sup> The accuracy of the cross-sectional dimensions is important<sup>8</sup> since wire dimensions influence tooth leveling, aligning, and torqueing. The wire coatings assessed in this study were extremely soft, with low elastic modulus. This may reduce control of tooth movement and increase friction between the bracket and wire. Thus, development of coated wires with thin coatings and high elastic modulus and ductility are required.

#### CONCLUSIONS

- Esthetic coated wires with small inner cores may produce less orthodontic force than expected.
- Friction of the coated wires was influenced by the total cross-sectional and inner core dimensions, inner core nanohardness, inner core elastic modulus, and elastic modulus, but not by surface roughness.



**Figure 9.** Optical microscope image of the PearlWhite SS sample after the friction test. The coating was removed from the inner core upon the application of friction. Magnification:  $144\times$ .

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