



Published in final edited form as:

Ageing Res Rev. 2021 September ; 70: 101416. doi:10.1016/j.arr.2021.101416.

Olfactory dysfunction in aging and neurodegenerative diseases

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Abstract

Alterations in olfactory functions are proposed to be early biomarkers for neurodegeneration. Many neurodegenerative diseases are age-related, including two of the most common, Parkinson's disease (PD) and Alzheimer's disease (AD). The establishment of biomarkers that promote early risk identification is critical for the implementation of early treatment to postpone or avert pathological development. Olfactory dysfunction (OD) is seen in 90% of early-stage PD patients and 85% of patients with early-stage AD, which makes it an attractive biomarker for early diagnosis of these diseases. Here, we systematically review widely applied smelling tests available for humans and some animal models and the relationships between OD and normal aging, PD, AD, and other conditions. The utility of OD as a biomarker for neurodegenerative disease diagnosis and future research directions are also discussed.

Keywords

aging; olfactory dysfunction; Alzheimer's disease; Parkinson's disease; neurodegeneration

1. Introduction

The ability to smell, olfaction, refers to a chemosensory process during which volatile molecules are detected by specialized sensory cells. These cells, called olfactory sensory neurons (OSNs), express protein receptors which bind to a specific odorant substrate. After binding, a signalling cascade occurs and the stimulus is converted into an electrical signal, which is transmitted to the central olfactory system for further processing. The olfactory system is critical for shaping behaviour, facilitating communication, and survival by obtaining environmental information from odorants. Important information processed by the olfactory system includes identification of food, mates, toxins, predators, and impending danger (Branigan and Tadi, 2020). The specific functions, organizational, and genetic complexity of olfactory systems vary drastically between species. In species which rely

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heavily on information gathered using the sense of smell, olfactory receptor (OR) genes are more abundant than in those which are less reliant, as shown in Fig. 1. For example, there are around 1,130 intact OR genes in mice, 1207 in rats, 811 in dogs while only 380 in chimpanzees and 396 in humans (Niimura et al., 2014). The number of total OR genes is low in fruit flies (*Drosophila melanogaster*), which depend a lot on olfaction, but the intact OR genes significantly outnumber the OR pseudogenes (Nozawa and Nei, 2007), while in human there are similar numbers of intact OR genes and OR pseudogenes. The evolutionary importance of olfactory function underscores anatomical differences between the olfactory system of rodents and humans, specifically that rodents possess an accessory olfactory bulb and a “Vomeronasal” organ (Oboti and Peretto, 2014). In addition, the percentage of olfactory bulb volume to the total brain volume is much higher in rodents than humans, as illustrated in Fig. 1.

For humans, smell processing in the olfactory bulb (OB) is associated with experiencing emotions and memories through direct connection with the limbic system and cerebral cortex (Branigan and Tadi, 2020). Olfaction, along with the functions it serves, declines with normal aging. Unfortunately, olfactory dysfunction, compared to dysfunction in other sensory systems, is often not quickly recognized (Kondo et al., 2020). Statistically, less than a quarter of individuals with olfactory dysfunction (OD) are aware of their problem until tested (Doty, 2017), highlighting the necessity of olfactory tests, especially for the elders. OD may be an indicator of health and pathological conditions. In older adults, olfaction, but not visual or hearing impairment, is associated with increased mortality (Seubert et al., 2017). Mental health issues including anxiety, depression, and other negative emotions are also associated with loss of smell (Croy et al., 2014). In the case of the pandemic caused by Coronavirus Disease 2019 (COVID-19), OD is an important symptom reported by about 67% of those affected (Chung et al., 2020). Notably, OD is associated with a variety of neurodegenerative diseases in humans, including Parkinson’s disease (PD), Alzheimer’s disease (AD), Lewy body (LB) disease, Huntington’s disease, and others (Damm et al., 2014). Some PD patients have impaired sense of smelling several years before motor symptoms appear and studies have revealed early lesions in olfactory structures (Braak et al., 2003). One of the possible explanations for the involvement of OD in different health conditions is that the olfactory system is exposed to the external environment. Therefore, it has a high chance for direct contact with pathogens and toxins, making it a suitable starting point for pathology. The inability to detect odors also increases the risk of pathogen and toxin penetration into the brain through the olfactory system due to reduced awareness of harmful elements in the environment (Rey et al., 2018).

OD is proposed to be an important clinical indication for underlying pathology, and is a potential marker for disease progression (Branigan and Tadi, 2020). The establishment of olfactory testing as a non-invasive, inexpensive, and early screening method for neurodegenerative diseases associated with smelling defects has gained growing research interest in recent years. In the present review, we provide a systematic overview of smelling tests available for humans as well as olfaction assessments for use in animal models. We then critically evaluate the current literature on OD and its involvement in normal aging and neurodegenerative diseases as well as its role as an early biomarker for neurodegenerative disease.

2. The olfactory system in humans and some animal models

In humans, gaseous or volatile odorant molecules enter the nasal passages and encounter olfactory receptors on the primary dendritic cilia of OSNs, which are embedded on the olfactory epithelium (Jenkins et al., 2009). Each OSN expresses G-protein coupled receptors (GPCRs) that bind to specific odorant molecule(s). The axons of the OSNs synapse to glomerular cells, or glomeruli, which form the outermost layer of the OB (Nagayama et al., 2014). Sensory cells expressing the same GPCR converge on and activate the same glomeruli (Doty, 1995; Mori, 2009). In this way, glomeruli form the functional interface between odor detection and neural processing. Deeper within the OB are the external plexiform layer and mitral cell layer, in descending order (Nagayama et al., 2014). The external plexiform layer is populated by external tufted cells, while the mitral cell layer contains mitral cells. Both cell types project their primary dendrites to the glomerular layer. External tufted cells send their axons to the anterior olfactory cortex and mitral cells send their axons throughout the entire olfactory cortex (Igarashi et al., 2012). The most internal layer of the olfactory bulb is the granule cell layer. Granule cells lack axons, but their dendrites extend into the mitral cell layer and external plexiform layers where they form reciprocal synapses with lateral dendrites (Strowbridge, 2010), as shown in Fig. 2A. Glutamate released from a mitral or tufted cell dendrite can excite granule cell spines, triggering recurrent inhibition back onto the principal cell in an inhibitory feedback loop. Thus, granular cells play an important role in discriminatory latency in difficult smelling tasks so that closely-related scents can be differentiated (Abraham et al., 2010).

Mice, *C. elegans* (*Caenorhabditis elegans*) and fruit flies are commonly used animal models for olfactory-related behavioural tests. Interestingly, mice share a similar structure and function of the OB with humans. The primary difference is that their olfactory system is more sophisticated, with additional structures called the accessory olfactory bulb and vomeronasal organ (Oboti and Peretto, 2014) (Fig. 2A). *C. elegans* sense their environment through GPCRs on the ciliated ends of sensory neurons (Hart and Chao, 2010). These neurons are located at four chemosensory organs, including the amphid, inner and outer labial at the head and phasmid at the tail (Fig. 2B). Unlike vertebral OSNs, *C. elegans* are constrained by the small population of chemosensory neurons that detect a broad range of chemical inputs, and so a single ciliated neuron may express many different GPCRs (Bargmann, 2006). To make up for this, many of the GPCRs are capable of triggering a receptor-specific signalling cascade (Ardiel and Rankin, 2010). Habituation, defined below, to an odorant detected by one OSN does not habituate the cell to other odorants detected by that same OSN (Colbert and Bargmann, 1995). Thus, detection, discrimination, and habituation to different odorants may all occur within a single sensory neuron via distinct molecular pathways (L'Etoile and Bargmann, 2000). In this way, *C. elegans* is capable of performing and integrating many rudimentary olfactory and cognitive functions despite their small number of neurons. *Fruit flies* possess two sets of sensilla which are decorated with sensory hairs, the antennae and the maxillary palps (Fig. 2C). There are two main types of molecular receptors in olfactory reception in fruit flies, the odorant receptors and the ionotropic receptors, which are respectively expressed in basiconic & trichoid sensilla and coeloconic sensilla (Gomez-Diaz et al., 2018). The olfactory system of fruit flies bears close

resemblance to that of vertebrates (Wilson, 2013) and they can recognize and discriminate hundreds of discrete odorants (Vosshall, 2000). The similarity of the olfactory system in fruit flies with those of vertebrates has made them an attractive model for the study of olfaction.

3. Measures of olfactory functions

3.1 Four major aspects of olfactory evaluation

Carefully designed behavioural tests are vital tools in characterizing OD. Many of the tests below were selected for their widespread use as reliable assays for basic olfactory functions shared by humans and most model organisms. The tests assess odor identification, discrimination, sensitivity, and habituation. Olfactory functions are regulated by different regions of the brain which are also relevant to aging, PD and AD (Fig. 3).

Odor identification is the detection and recall of a previous smell associated with an individual's knowledge or experience (Murphy, 2019). The key brain regions in humans and mammalian animal models involved in odor identification are the entorhinal cortex, hippocampus, insula, orbitofrontal cortex, inferior frontal gyrus, piriform cortex, thalamus, and amygdala (Kjelvik et al., 2012; Merrick et al., 2014; Wu et al., 2019). Odor identification is affected by sensitivity to different aspects of the odorant, integration of these aspects to define its qualities, and further integration with previous experiences to provide identity and significance. In humans, this is indicated by recognition and correct naming of an odor presented during the test. For animal models, this relies on a specific behavioural response on exposure, such as travel towards an attractive odorant, or away from a repellent one. Odor identification has been found to be a central deficit in early stages of AD and PD (Kjelvik et al., 2012), as well as in normal aging (Seubert et al., 2017).

Odor discrimination is the ability to distinguish between two or more odors (Hummel et al., 1997). It relies heavily on the hippocampus, piriform cortex, orbitofrontal cortex, and thalamus (Martin et al., 2007; Merrick et al., 2014; Tanabe et al., 1975; Wilson, 2009). Like odor identification, odor discrimination is tied closely to cognitive abilities and is thought to be developed through experiencing odors (Hedner et al., 2010). Essentially, an odor must be learned before it can be discriminated against other smells (Wilson, 2009). Similar to odor identification, odor discrimination declines with age (Hummel et al., 1997).

Odor sensitivity/threshold is the ability to detect an odor at a given concentration wherein the lowest detectable concentration is considered the threshold (Trimmer and Mainland, 2017). The brain regions involved in odor sensitivity include insula and hippocampus (Wabnegger et al., 2019). Odor sensitivity varies in individuals depending on the odorant qualities, pleasantness, and familiarity. Individual variation is also due in part to differences in the number of OSNs specific to that odorant. Sensitivity decreases with age, although the mechanism of this decline is not well understood. OSN sensitivity remains relatively consistent through age, as does axonal density and convergence on glomeruli (Lee et al., 2009; Richard et al., 2010). As such, peripheral changes of the olfactory system do not appear to provide sufficient explanation for changes in odor sensitivity with age.

Odor habituation is defined as the temporary decreased sensitivity to an odor on repeated or extended exposures to the same odor or odor mixture (Pellegrino et al., 2017). The brain regions involved in odor habituation include piriform, entorhinal cortex, amygdala, hippocampus, and anterior insula (Poellinger et al., 2001). In animal models, this is expressed as a decreased or slower behavioural response not resulting from motor or sensory fatigue. For humans, it is experienced as perceived lessening of odor intensity over time. Different features affect the rate of habituation: for example, pleasing odors habituate at a slower rate than unpleasant odors (Jacob et al., 2003). These two odor types activate different regions of the brain and unpleasant odors are more trigeminal (Purves et al., 2001). Additionally, depending on the odorant, habituation to one odorant may generalize to other odorants as well (Pellegrino et al., 2017). Habituation that occurs in OSNs or glomerular neurons is termed peripheral habituation, while central habituation is regulated by the primary olfactory cortex, which receives direct afference from the glomerular layer. Central habituation allows for more rapid detection of odors against a background (Kadohisa and Wilson, 2006). Habituation occurs more rapidly in older individuals, recovers more slowly, and is impaired in individuals with mild cognitive impairment or AD (Murphy, 2019).

3.2 Olfactory tests in humans

Olfactory function in humans is associated with experiences and odor environments (Maresh et al., 2008). Different odor experiences mediate odor perceptions that differ geographically and culturally (Herz, 2009; Majid et al., 2018). Here, we provide a brief overview of major smelling tests to characterize aspects of human olfaction (Table 1).

Odor identification tests—The University of Pennsylvania Smell Identification Test (UPSIT) is a classical and highly reliable test to measure odor identification ability (Eibenstein et al., 2005). This test is commercially available and can be self-administered at home. The test-retest reliability coefficient exceeds 0.9 (Doty et al., 1989). It is currently a worldwide standard test for olfactory function, and according to the information from the manufacturer, it has been administered to nearly 1,000,000 people worldwide.

The Brief Smell Identification Test (BSIT) is a shortened version of UPSIT. Unlike the UPSIT, which contains 40 odors, BSIT test only consists of 12 odors. The low number of odors limits the analytical power of this test but shortens the time commitment required and has been found to be sufficient for detecting OD (Menon et al., 2013).

The San Diego Odor Identification Test (SDOIT) is like the BSIT. It is relatively short and simple to administer, however, it cannot be self-administered. Notably, it can be adapted for young children (Murphy et al., 1994). Eight common household smells are presented to the subject in a randomized order, for 45 seconds each. Impairment is judged by identifying fewer than 6 odors. The SDOIT and BSIT are reported to have very similar and reliable classification of impairment or abnormal status, but they are limited in distinguishing OD when deficits are not robust (Krantz et al., 2009).

The sniffin' sticks test is validated in several European countries, where it is most commonly employed (Rumeau et al., 2016). It can be reused and tests for threshold, discrimination, and identification. Though more time consuming, it offers greater flexibility than the UPSIT in

terms of what olfactory domains it can measure. Results are interpreted based on normal values normalized on gender and age (Rumeau et al., 2016). Along with the sniffin' sticks test, the UPSIT and its variations are the most popularly employed tests in clinical examinations and in aging research.

The Barcelona Smell test-24 (BAST-24) is commonly used in Spain (Cardesin et al., 2006; Marino-Sanchez et al., 2020). It is administered in a similar manner to the sniffin' sticks odor identification test. The odors used in the test focuses on Spanish cultural norms, making it less reliable outside of Spain (Doty, 2007). The extensive length also limits its accessibility for studies with large sample-sizes.

Odor discrimination test—Sniffin' sticks can also be applied to measure discrimination. Subjects are blindfolded. They are presented with three sticks, two of which contain the same odor, and one that does not. The odorants should be selected to be of similar intensity and hedonic tone: for example, pairing apple scent with an orange scent. Healthy individuals should be able to discriminate greater than 75% of the odors (Hummel et al., 1997).

Sensitivity test—Sniffin' sticks can be adapted to measure threshold sensitivity. The subject is blindfolded, and sticks are filled with a series of concentrations of odorant, or solvent. The subject is presented with three sticks at a time, one of which contains the odorant at a particular concentration and the other two containing only solvent. The subject then selects which stick contains the odorant. The concentration of odorant increases at each presentation until the subject correctly detects the odorant on two consecutive trials. Odor habituation is rarely tested in humans.

Modification of olfactory tests and development of new methods—The olfactory assessments described above are common and widely applied in epidemiological studies. However, modifications of these tests have been made. To reduce the time of tests in the clinical routine, Hummel et al. used a 3-item odor identification test that can discriminate between anosmic, hyposmic or normosmic subjects with a specificity of 96% (Hummel et al., 2010). Additionally, it has been argued that human sensitivity to a single odorant varies significantly in people since prior familiarity with a specific odorant impacts smell test performance and may bias results (Hsieh et al., 2017). Thus, new tests for olfactory sensitivity and olfactory resolution, using mixtures of odorants to create unfamiliar smells were developed (Hsieh et al., 2017). With COVID-19 infections known to affect smelling, fast and reliable psychophysical tests for large scale screening are needed. Hyposmia in COVID-19 patients was mainly diagnosed through subjective reports obtained from questionnaires/surveys or interviews (Marchese-Ragona et al., 2020). However, self-reported sense of smell is known to be significantly discrepant with results of psychophysical tests and may lead to confusing and inconclusive results (Marchese-Ragona et al., 2020). Based on the need for fast screening during the pandemic, a novel device was designed to produce a precise level of smell digitally controlled through a mobile app. The selected smell can be remotely triggered and programmed to give standard or customised smell tests through this app for rapid screening (Prasanna Gandhi, 2020).

3.3 Olfactory tests in mice

Olfactory tests in mice rely primarily on the innate curiosity of the mouse to novel stimulations, or on their motivation to forage for food (Hånell and Marklund, 2014). Odor identification is achieved with the initial exposure to the scent; subsequent sniffing is used to provide additional information regarding location, spatial intensity, and dynamics of the odorant (Wachowiak, 2010). The behavioural tests described below are among the most employed in olfactory evaluation in mice.

Odor identification test—The buried food test is widely used to assess general olfactory ability and integration, as completion depends on how well the mouse detects and locates food buried beneath a layer of bedding (Machado et al., 2018; Zou et al., 2015). To provide motivation, mice are deprived of food in advance of the experiment, and fed a very small portion of the food to be used in the test (Zou et al., 2015). On the day of the experiment, the mice are placed in plain cages with bedding. They are allowed an hour to become familiarized with the cage, then moved to another cage with a small portion of food (<2 grams) buried beneath the bedding. The latency to initial digging and to finding the food is recorded. Olfactory function is assessed by these latencies wherein better function is associated with shorter time.

Odor discrimination test—To investigate how well mice discriminate between two odors, they are presented with the same scent three consecutive times (Sundberg et al., 1982; Zou et al., 2015). On the fourth time, a novel scent is presented. At each presentation, the time the mouse spends smelling the scent is recorded. As evidence of habituation, mice will spend the most time investigating at the first presentation, and less time on subsequent presentations of the same odor. If the mouse can discriminate between the first and second odor, it will spend significantly more time smelling the fourth stick presented than the third. This test allows for great flexibility in pairing odorants based on their structure and their significance to the mouse. For example, pairing structurally similar odorants presents a more challenging task than more diverse odorants. The test may also test for sensitivity to social scents such as urine samples collected from other mice.

Odor sensitivity test—The odor detection threshold test is designed to determine the sensitivity of the rodent to gradually increasing concentrations of an odorant (Zou et al., 2015). The mouse is presented with cotton-tipped sticks that have been dipped in either a diluted odorant or vehicle. The concentration of the odorant is gradually increased until the mouse indicates that it can detect it. If the mouse cannot detect the odorant, it will spend roughly equal time smelling the vehicle or the odorant stick. The mouse is scored positive for smelling the odorant when the proportion of time spent smelling the odorant is significantly greater than that on the vehicle stick.

3.4 Olfactory tests in *C. elegans*

The unique anatomy of the worm necessitates a very different approach to how behaviour tests are structured and evaluated. It is important to note that these assays rely on movement, and so locomotion assays should be performed in conjunction. Odor recognition is expressed as normal chemotaxis: in the presence of a chemoattractant or aversive chemical stimulation,

C. elegans will preferentially travel to its source or in the opposite direction, respectively (Miller et al., 2005; Ward, 1973). *C. elegans* movement in response to either attractants or repellents is a commonly used metric in olfactory assays. A definitive guide to these and more behavioural tests can be found in Wormbook (Hart, 2006) under “Behavior”. Below we summarize the most employed behavioural assays that characterize chemosensation.

Odor detection and discrimination test—Odor discrimination test in *C. elegans* is defined by the absence of cross-saturation between two odorants. A high uniform concentration of odor will diminish chemotaxis to the point source while it may not affect the chemotaxis to other odors (L’Etoile and Bargmann, 2000). In the assay, a plate of agar is prepared with a uniform concentration of chemoattractant (Colbert and Bargmann, 1995). At one end of the dish (marked with an X), a small volume of a different chemoattractant is placed along with an anaesthetic such as sodium azide, with an equal volume of diluent and anaesthetic on the opposite side of the dish. Before testing, worms are kept on a plate with the same uniform chemoattractant as the testing plate for habituation. For testing, worms are washed then placed at the center of the plate and allowed to disperse over time. The number of worms at the X are counted and compared against the total worms deposited on the plate half an hour later. For odor detection, agar that is free of odorant is used in dish preparation. Chemotaxis towards the attractant indicates the ability to detect the odorant. Interestingly, one study (Hirotsu et al., 2015) showed that wild type *C. elegans* can sense odors from human urine and display discriminative chemotaxis toward the urine from cancer patients (attractive) and normal control (evasive). By applying a Nematode Scent Detection Test, the research group reported a 95.8% diagnostic accuracy and 95% specificity based on 24 samples from cancer patients and 218 control samples (Hirotsu et al., 2015).

Odor habituation test—Habituation can be measured in *C. elegans* by a reduced response or a longer inter-stimulus interval on exposure to a habituated chemorepellent (Hart, 2006; Troemel et al., 1995). This may be accomplished by placing a sizable droplet of chemorepellent in a dish containing worms to be tested. The worms are allowed to swim in the droplet for a duration before they are removed, washed, and re-exposed to the chemorepellent via the drop test or “smell-on-a-stick” test.

Habituation with this method may be altered by adjusting the duration or dilution. Alternatively, repeated trials of the drop test may be used to habituate the worm. The latency to and severity of the response to each drop test or “Smell-on-a-stick” trial are recorded. Habituation is indicated by a longer inter-stimulus interval or decreased backwards movement with repeated trials. It is important that this decreased response is differentiated from toxic effects of the repellent.

The drop test chemorepellent assay is a test used to probe detection of a repellent and integration between the amphid and phasmid sensilla (Hilliard et al., 2002). The amphid and the phasmid are both chemosensitive organs by which *C. elegans* monitor their chemical environment. The amphid is by far the best characterized sensillum, but the phasmid plays an important role in integrating odor response. Worms are washed in a low salt solution and placed on an unseeded agar dish. A glass pipette pulled by hand on a flame delivers a small drop containing either diluent or repellent close to the tail of the worm. The drop envelops

the animal by capillary action and the worm soon reverses direction. The “dry” drop test is used to isolate stimulus of the amphid neurons without stimulating the phasmid neurons to provide a behavioural control to the drop test (Hilliard et al., 2002). To conduct the “dry” drop test, a drop of odorant is presented just ahead of the animal rather than to its tail.

The “smell-on-a-stick” test allows gentle and temporary exposure to chemorepellents (Hart, 2006). Without touching the animal, an eyelash brush dipped in repellent is presented just ahead of the animal. Upon detection, the worm should back up.

3.5 Olfactory tests in fruit flies

Behaviour tests for *fruit flies* rely on chemoattractant and repellent behaviour as a mean of measuring response in a manner like those in *C. elegans*. *Fruit flies* can detect a wide range of odorants, and typically are attracted to sources of food (i.e. vinegar or fruit) and avoid toxins (benzaldehyde, 1-octen-3-ol, geosmin, and phenol) (Verschut et al., 2019). Their bimodal method of travel also complicates behavioural analysis, and so some tests constrain the fly to walking (Faucher et al., 2006).

Odor detection and discrimination test—To measure discrimination, a group of flies are placed within the center of a set of tubes and three vials arranged to form a T-maze (Xia and Tully, 2007). Odors are delivered to specific arms as vapors bubble through mineral oil. Preference and discrimination are measured by the fraction of flies that navigate to each arm. Spontaneous odor detection is performed by introducing unscented vapor in one of the arms, while the other arm presents odorant (Xia and Tully, 2007). Depending on the type of odorant, chemotaxis towards or away from the scented arm indicates detection. Spontaneous odor identity discrimination tests are performed by perusing both arms with a saturated background odor (Xia and Tully, 2007). One of the arms contains a mixture of background odor and some other odorant, typically chemoattractant. Discrimination of that odor against the background results in a majority of flies occupying that arm. Sensitivity may be probed by adjusting the concentration of the second odor. Spontaneous intensity discrimination is performed by detecting the choice of flies between different concentrations of the same odorant, typically a chemorepellent such as benzaldehyde or 4-methyl cyclohexanol (Xia and Tully, 2007). One arm contains a higher concentration, while the other is an order of magnitude less in concentration. Avoidance of the higher concentration tube indicates functioning discrimination.

Odor habituation test—Olfactory startle tracks changes in movement speed associated with sudden exposure to ethanol, which functions as a repellent odorant (Twick et al., 2014) (Cho et al., 2004). The flies’ movement may be tracked by a video apparatus and software. The test should be carried out in a low ceiling container to prevent flight. Air flow is split between two streams, one of which is bubbled through distilled water to provide humidity, and the other through ethanol. These two streams are combined before entering the chamber at a constant flow rate. The fly is given time to acclimate to the chamber and humidified air flow. Pulses of odorant are delivered by turning on and off the air flow through the ethanol stream at 30 second intervals. Initial exposure to ethanol fumes prompts rapid movement. As the fly habituates, this movement will lessen. Habituation to this stimulus relies heavily on function of the mushroom body. Additionally, habituation

of this sort is generalized: habituation to ethanol will result in habituation to ethyl acetate and isoamyl alcohol as well. Olfactory jump reflex habituation is tested in a similar manner to olfactory startle, but at a much more rapid rate of exposure: 4 seconds of odorant exposure given at intervals of 0.25–20 minutes (Asztalos et al., 2007). Additionally, the test is carried out in a high-ceilinged tube that limits horizontal travel. The test measures the percentage of flies that jump at repeated exposure to air that has been bubbled through mineral oil in which benzaldehyde has been dissolved. Habituation occurs more rapidly with a higher concentration of benzaldehyde, ruling out adaptation responsible for decreased jump-response.

4. Olfactory dysfunction

4.1 Olfaction declines with aging

Olfactory dysfunction (OD) can range from hyposmia, with a decreased ability to smell, to anosmia in which smell is fully compromised (Daramola and Becker, 2015). In some cases, impaired olfaction may also manifest as phantosmia or parosmia, in which perception of odors is produced without a stimulus, or a distorted perception of an odor is produced in the presence of a stimulus, respectively (Scangas and Bleier, 2017). The cause of OD is multifactorial. Aging, diseases, genetic factors, lifestyle, nutrition, injury history, medical procedures, exposure to viruses, and occupation likely all have a relevant role (Daramola and Becker, 2015). As mentioned before, the loss of sense of smell has a profound negative impact on the overall quality of life (Daramola and Becker, 2015). In fact, 17–30% of the patients with OD have symptoms of depression (Daramola and Becker, 2015). Olfactory function declines with aging as the prevalence of OD increased from around 10% at age of 60 to around 60% at age of 90 (Fig. 4). Smelling ability as assessed by TDI (threshold-discrimination-identification) score decreases an average of 1 point every 5 years of age (Schlosser et al., 2020). Without OD at baseline, new OD cases rose 14.2% over 6 years based on a follow up study with 1004 individuals (mean age ~67.5 years with range 60.1–90.8 years) (Palmquist et al., 2020). Given this epidemiological evidence, the association between nonpathological aging and OD is clear.

Interestingly, men are more susceptible to OD with aging than women (Murphy et al., 2002). One study found the prevalence of OD in women is around 9% at age 60 and 29% at age 78, while in men it was reported to be 15% at age 60 and 49% at age 78 (Seubert et al., 2017). However, there seems to be no difference in the prevalence between women and men at age 90, where it is about 66% (Seubert et al., 2017). In general, females perform better in odor tasks than males (Sorokowski et al., 2019). Analysis based on post-mortem OB from men and women showed that despite similar weight, the number of total cells, neurons, and non-neurons in the OB of males is lower than in females (Oliveira-Pinto et al., 2014). Sexually dimorphic sensory processing in the peripheral olfactory system is proposed to be one of the factors that contributes to differences in olfactory function between males and females. Indeed, there was a higher number of odor-evoked glomeruli and faster odor-evoked OSN output in female mice than male mice (Kass et al., 2017). Interestingly, circulating sex hormones were shown to affect these responses, as gonadectomized females exhibited slower OSN responses in fewer glomeruli than control

females, whereas gonadectomized males showed faster OSN responses in more glomeruli than control males for similar odorants (Kass et al., 2017). Gonadal hormonal differences appear to facilitate odor detection and discrimination in female mice, but impair it in males (Kass et al., 2017). Consistently, OD may develop during menopause in women (Kass et al., 2017) and gonadal hormone replacement therapy can positively affect odor memory and discrimination in postmenopausal women (Doty et al., 2015). Lifestyle choices, like smoking, alcohol consumption, and overweight are each related to OD (Palmquist et al., 2020) and may also cause some differences since women generally lead a more healthy lifestyle than men (Chang et al., 2019).

While it has been well documented that olfactory function declines with age and a variety of features are identified to be involved in this age-associated dysfunction, the exact underlying cellular and molecular mechanisms remain unclear. Neurogenic changes in the OB and olfactory epithelium are identified as prominent contributors to age-associated OD. The lifespan of olfactory receptor cells is 30–60 days (Sultan-Styne et al., 2009). Damaged olfactory receptor cells are replaced by new cells differentiating from basal stem cells. However, not all receptor cells may be replaced across a lifespan, contributing to the diminished sense of smell with age (Suzukawa et al., 2011). OSNs reproduce mitotically throughout the lifespan in humans and rodents, however these neurogenic processes slow down with age and pathogenic exposure further contributes to the degeneration over time (Doty and Kamath, 2014; Mobley et al., 2014). OB interneurons originate as neuroblasts in the subventricular zone and navigate to the olfactory bulb where they differentiate into periglomerular cells (PGs) and granule cells and integrate into synaptic networks (Mobley et al., 2014). In mice, a reduction in the number and diversity of olfactory neurons caused by cell cycle arrest and decline in subventricular neurogenesis have both been reported as contributors to olfactory loss during normal aging (Seo et al., 2018). Interestingly, in spite of decreased neurogenesis, granule cell density in the OB does not change significantly with age (Richard et al., 2010). This is thought to reflect a decrease in turnover but an increase in lifespan of granule cells in the OB of aged animals than in young ones, which potentially leads to less flexible reorganization of neural connections in response to a novel odor (Kondo et al., 2020). Neuronal loss may be not ubiquitous during aging, on the contrary, age-related loss of synapses is layer-specific in mice as synaptic density decreases in the glomerular layer, but not in the external plexiform layer, and thus OD might be better explained by an imbalance in OB circuitry (Richard et al., 2010).

Chronic inflammation developed with aging has also been implicated in many age-associated chronic diseases and in OD. Chronic nasal inflammation induced by repeated intranasal administration of lipopolysaccharide (LPS) induced OB atrophy and impaired OSN regeneration in male mice (Hasegawa-Ishii et al., 2019). Interestingly, the OB recovered from atrophy after a non-treatment period while OSN regeneration still remained incomplete (Hasegawa-Ishii et al., 2019). Clinically, the proinflammatory cytokine, IL-6, is significantly elevated in plasma and nasal mucus of hyposmia patients when compared with the normal controls (Henkin et al., 2013). Importantly, inflammation and neurogenesis are interconnected in OB. The adult OB hosts a large population of microglial cells which are innate immune cells of the brain. Microglial cells are activated in response to various environmental stimuli, and transform into an amoeboid-like morphology and perform

phagocytosis of apoptotic cells during neurogenesis (Seo et al., 2018). They proliferate rapidly and get activated after deafferentation of the OB and a concomitant reduction of OB neurogenesis is induced (Lazarini et al., 2012). Beyond changes of olfactory neurogenesis and inflammation, differences in odorant receptor expression and synaptic organization are also reported to potentially contribute to OD during aging (Mobley et al., 2014).

Impaired odor identification in older humans is associated with a decrease in global cognition and a decline in episodic memory (Attems et al., 2015; Park et al., 2021). Notably, compared with age-related hyposmia, hyposmia with dementia has a higher odor threshold, indicating lower smelling sensitivity (Suzuki et al., 2021). A magnetic resonance imaging (MRI) study found that odor identification scores were correlated with right amygdalar volume as well as bilateral perirhinal and entorhinal cortex grey matter volume (Segura et al., 2013). In nonpathological aging, deficits in central and peripheral OD are both observed (Kondo et al., 2020). However, central olfactory impairments are prominent and likely underlie the majority of olfactory changes observed in older adults (Kjelvik et al., 2012; Murphy, 2019; Xu et al., 2020). Olfaction assessments are useful tools for delineating this dysfunction due to their neuroanatomical specificity and can be utilized in longitudinal studies to track the progression of this dysfunction in pathological and nonpathological contexts.

In summary, olfaction decreases considerably after the 7th decade of life. OD is observed in 7.5–11% of presumed healthy 60 year olds and increases to a prevalence of 35% by age 78 (Schubert et al., 2012; Seubert et al., 2017). Males are more affected than females. Both the peripheral and central olfactory nervous systems may get involved in age-related OD with physiological degeneration and pathologies related with age. The factors which may contribute to age-related OD includes abnormalities of the olfactory epithelium, neurogenic changes in the OB, imbalanced OB circuitry, decline in subventricular neurogenesis and inflammation.

4.2 Olfactory dysfunction in neurodegenerative diseases

The number of people worldwide with dementia is estimated to be around 65.7 million in 2030 (Prince et al., 2013). By 2050, the number of people living with Alzheimer's dementia may grow to 13.8 million in the United States alone (Gaugler et al., 2019). OD is associated with a variety of neurodegenerative diseases as reviewed in (Marin et al., 2018) and aggregations of pathological proteins usually affect olfactory regions prior to other regions. Here, we review the association of OD with PD and AD, the most common neurodegenerative diseases in humans.

Olfactory dysfunction precedes the onset of motor symptoms in PD—PD is a neurodegenerative disease characterized by progressive and preferential loss of dopaminergic neurons in the substantia nigra. In 2016, 6.1 million individuals were diagnosed with PD globally (E Ray Dorsey, 2018). Though therapies are available to ameliorate certain symptoms, none of them can slow or halt the disease, and the clinical benefits fade as the disease progresses (Regensburger et al., 2014). Clinical diagnosis of PD are based on symptoms of motor bradykinesia, resting tremors, rigidity, and postural

instability (Regensburger et al., 2014). The presence of α -synuclein-containing Lewy bodies in the substantia nigra by post-mortem examination confirms the diagnosis.

The conversion from prodromal PD to PD is marked by the transition from non-motor symptoms to clinically diagnosable motor symptom presentation, at which time approximately 60% of the nigrostriatal neurons of the substantia nigra have already degenerated (Becker et al., 2002). Those considered to be in the prodromal PD stage exhibit deficits in odor identification, discrimination, and detection threshold compared to healthy controls (Berg et al., 2013; Mahlkecht et al., 2015; Ross et al., 2008). In addition, conversion risk is increased in prodromal PD subjects that demonstrate impaired odor identification, significantly reduced combination of threshold-discrimination-identification (TDI) score, and/or idiopathic REM sleep behavior disorder (RBD) diagnosis (Mahlkecht et al., 2015; Ross et al., 2008). A 2-factor assessment consisting of an odor identification and dopamine transporter (DAT) imaging predicted a 67% conversion rate from prodromal PD to PD within 4 years (Jennings et al., 2017). OD is found in 90% of early-stage PD patients (Doty, 2012) and it precedes the onset of motor symptoms by many years. Consistently, the UPSIT score of PD patients was significantly lower than that of normal controls, as shown in Fig. 5A. Normosmic PD patients have less severe motor deficits and clinical manifestations and are less prone to cognitive impairment when compared to hyposmic PD patients (Bohnen et al., 2010; He et al., 2020; Lee et al., 2015). Compared to healthy controls, the effect size of odor identification, discrimination and threshold performance are all above 0.8, which is considered a large effect size (Fig. 5B). Odor identification is more frequently impaired than odor discrimination (Boesveldt et al., 2008) and it has higher sensitivity and specificity than odor discrimination tests in distinguishing PD patients from the controls (Boesveldt et al., 2008). Interestingly, smoking significantly decreases the risk of PD and current PD smokers exhibit less attenuated olfactory function than non-smoking PD patients who never smoke or smoked in the past (Sharer et al., 2015). There are potential sex differences in olfaction among PD patients as males have significantly more pronounced deficits in olfaction than females (Liu et al., 2015).

The exact relationship between OD and PD has not been fully elucidated although olfactory decline shows strong correlation with progressive deposition of Lewy bodies (Wilson et al., 2011) and elevation of alpha-synuclein (α -syn) in cerebrospinal fluid from PD patients (Guo et al., 2020). It is proposed that the OB may be one of the earliest affected regions in PD patients. As PD progresses, pathology spreads from the olfactory bulb, the anterior olfactory nucleus, and the lower brainstem to other regions of the brain (Johnson et al., 2020). The formation of Lewy bodies composed of misfolded α -syn in OB is typical in early PD, and it predicts the presence of Lewy-type alpha-synucleinopathy in other brain regions (Beach et al., 2009). Administration of human mutant α -syn into the OB of rats by an adeno-associated virus infection caused broad pathological changes in the brain regions associated with PD (Niu et al., 2018). Additionally, olfactory impairment as tested by an odor discrimination task was detected in the rats three weeks after virus injection when overexpression of human mutant α -syn was detected in the OB (Niu et al., 2018). Interestingly, impairment of motor ability and muscular coordination with decreased number of tyrosine hydroxylase positive cells and fibres in the substantia nigra were observed at later time points post-injection (Niu et al., 2018). This indicates a possibility of α -syn transfer

from the OB to other brain regions and initiation of certain pathological phenotypes similar to PD (Niu et al., 2018). In a more recent study, the authors bilaterally injected α -syn preformed fibrils into the OB of wild type mice and found the presence of α -syn in the anterior olfactory nucleus and piriform cortex at six months after injection (Johnson et al., 2020). Interestingly, only females injected with fibrils exhibited reduced odor detection sensitivity at one-, three- and six-months after injection as monitored by odor-evoked sniffing behaviour in a plethysmograph (Johnson et al., 2020). Further investigation is necessary to understand the role of sex difference in this animal model study.

Interestingly, the presence of α -syn in the OB can be induced by chronic inflammation in mice (Niu et al., 2020). Intranasal infusion of LPS induced microglia activation, inflammatory cytokine expression and abnormally phosphorylated α -syn in the OB, substantia nigra and striatum in an IL-1R1-dependent manner (Niu et al., 2020). Mice exhibited PD-like behaviour and reduced odor discrimination ability after 6 weeks treatment (Niu et al., 2020). Both PD-like behaviour and OD in mice that received the LPS treatment was reversed or attenuated in IL-1R1-deficient mice, as well as in mice that received Minocycline, which inhibits microglial activation (Niu et al., 2020). It is hypothesized that environmental toxins, which cannot move across the blood-brain barrier may induce inflammatory activation of the olfactory mucosa and pathology in OB, then pathology spreads to other brain regions (Niu et al., 2020).

In conclusion, OD is among the earliest non-motor features of PD, preceding the onset of motor symptoms by years and may predict conversion from prodromal PD to PD (Fig. 5C). It remains unclear whether OD is associated with disease duration and severity (Sasaki and Horie, 2020). Odor identification is more frequently impaired than odor discrimination and is the most practical olfactory factor in differentiating PD patients (Zhao et al., 2020). OBs are one of the earliest affected brain regions and as diseases progresses, pathology spreads from the OB, the anterior olfactory nucleus, and the lower brainstem to other regions of the brain. This is supported in animal studies in which the administration of α -syn in the OB can induce the presence of α -syn in other brain regions and elicit pathological and behavioural changes similar to PD (Johnson et al., 2020; Niu et al., 2018).

Olfactory dysfunction confers risk of AD—AD is the most common cause of dementia, resulting in a great economic and psychological burden for the affected family, and society in general. The specific hallmarks of AD are the formation of neurofibrillary tangles due to hyperphosphorylation of Tau protein (p-tau) and the deposition of amyloid plaques. Currently, there are no cures available for AD. Accessible and feasible prognostic screening methods are important if individuals are to receive prompt treatment to slow down progression of the disease.

AD patients perform poorly on olfactory tasks (Buchsbaum et al., 1991), and it has been proposed that a simple smelling test could help identify patients who are at higher risks of accelerated decline in global cognition with the first 7 years from diagnosis (Gjerde et al., 2018). Indeed, in a 3.5 year follow-up study on new cases of mild cognitive impairment (MCI) among 1,430 cognitively normal participants showed that decreasing olfactory identification is associated with an increased risk of amnesic MCI and that the

B-SIT test could predict progression from amnesic MCI to AD (Roberts et al., 2016). As shown in Fig. 6A, the UPSIT score of AD patients was significantly lower than that of normal controls, and this score worsens with the progression from MCI to AD (Vasavada et al., 2017). In addition to odor identification, odor discrimination, and habituation are also affected in AD compared to MCI, however, results from studies looking at the odor threshold between these two groups are less conclusive (Jung et al., 2019b; Murphy, 2019; Rahayel et al., 2012). The effects sizes of odor identification, discrimination, and threshold in MCI and AD are shown in Fig. 6B. Olfactory habituation was found to be significantly impaired in AD patients compared to healthy controls and MCI patients, however, it was unclear whether the MCI and control group demonstrated a clear group difference (Zhang et al., 2019). The infographic profiles of olfactory dysfunction in MCI and AD are shown in Fig. 5C.

The risk of AD is known to be increased by multiple factors, including amyloid beta, excessive levels of P-tau protein in neurofibrillary tangles (NFTs) (Alonso et al., 1997) and apolipoprotein-E (APOE) genetic risk variants (Genin et al., 2011). These risk factors are also associated with OD. P-tau is observed in the olfactory bulb of AD patients and a p-tau transgenic mouse model (P301S) which exhibits AD-like features (Li et al., 2019). The olfactory system of P301S mice had early tau pathology, progressive neurodegeneration in the piriform cortex, and functional olfactory deficits (Yang et al., 2016). The observed olfactory deficit was associated with mitral cell dysfunction in the olfactory bulb of P301S tau transgenic mice (Li et al., 2019). The authors speculated that mitral cells could be used as a putative target for the treatment of p-tau-induced early OD in AD (Li et al., 2019). The *APOE4* allele is the most powerful genetic predictor of familial AD. Risk can increase from 20% to 90% with increasing numbers of *APOE4* alleles in families with late onset AD (Corder et al., 1993). It is interesting to note that odor identification ability in populations with the *APOE4* alleles decline more rapidly with age than in adults without *APOE4*, and can be detected before any differences could be observed in tests for odor threshold, picture identification, or Dementia Rating Scale (Calhoun-Haney and Murphy, 2005). People with *ApoE* alleles and olfactory impairment have a particularly high risk for cognitive decline (Calhoun-Haney and Murphy, 2005; Misiak et al., 2017b). Interestingly, a recent review proposed a mechanism stating that aging and/or stress induces neuronal expression of *APOE* in γ -aminobutyric acid (GABA)-expressing interneurons in the hippocampus (Najm et al., 2019). These GABAergic interneurons may be selectively vulnerable to *APOE4*, through a tau dependent mechanism causing neurotoxicity (Najm et al., 2019). In turn, GABAergic interneuron loss causes hyperexcitability and dysregulation of neural networks in the hippocampus and cortex, leading to cognitive deficits.

In preclinical AD, the first region to show significant presence of NFTs in Braak's staging is the entorhinal cortex (Alafuzoff et al., 2008; Braak et al., 2006). This region plays a role in contextualizing olfactory memory and is a processing center for olfactory information prior to the hippocampus (Hyman et al., 1991; Li et al., 2017). A higher tau-pathology in the entorhinal cortex correlated with a lower volume in this region, and a steeper decline in memory performance tests (Ziontz et al., 2019). Braak stage II is associated with tauopathy spreading to the hippocampus CA1 region, which receives direct olfactory input from the entorhinal cortex for associative learning, followed by further involvement of the neocortical

regions (Alafuzoff et al., 2008; Braak et al., 2006; Li et al., 2017). It was suggested that the lesioning of the entorhinal cortex characteristic of Braak stage I promotes compensatory hyperactivation of adjacent brain areas involved in olfactory processing, which are the hippocampus and the olfactory bulb, which in turn contributes to degeneration of these regions (Murphy, 2019). Kovacs et al. examined 15 cases of AD and 15 control cases for β -amyloid deposition and NFTs formation, and the results showed that NFTs and β -amyloid deposits were present in every layer of OB in AD (Kovács et al., 1999). Interestingly, NFTs were also found in 87% of the control cases while β -amyloid deposits were comparatively rare and no classical plaques were found in the control (Kovács et al., 1999). It was proposed that declining olfaction function during aging may be the result of early AD-type pathology in the olfactory stems when dementia has not yet developed (Kovács et al., 1999). Decreased volumes of many brain regions in cognitively normal individuals strongly correlates with likelihood and severity of impaired odor identification scores measured via the B-SIT test (Vassilaki et al., 2017). Many of these regions are also significantly affected by tau pathology reflected in Braak's NFT staging in AD (Vassilaki et al., 2017).

In conclusion, AD patients have poorer performance in olfaction tests than the aged matched normal controls and olfactory function worsens with the progression from MCI to AD. Odor identification was the most affected domain in MCI (Roalf et al., 2017) and AD (Rahayel et al., 2012; Silva et al., 2018). The risk factors of AD, including amyloid beta deposition, excessive levels of P-tau protein in NFTs and genetic risk variants of APOE are all associated with OD. Compared with PD, in which the OB is proposed to be one of the earliest affect regions, the initial stages of tau pathology in AD suggest that olfactory impairment begins with higher brain processing centers. The first region to show degradation is the entorhinal cortex, which may then induce compensatory hyperactivation of adjacent brain regions in olfactory processing, such as the hippocampus and the OB, causing degeneration of these regions and OD (Murphy, 2019).

4.3 Olfactory dysfunction induced by COVID-19

Temporary loss of smell is one of earliest prodromal symptoms in COVID-19 patients and can better predict the disease than other symptoms. High viral load has been detected in nasal swabs of both symptomatic and asymptomatic patients, indicating the possible role of nasal epithelium as an initial infection site and a key reservoir for viral spread (Sungnak et al., 2020). SARS-CoV-2, the coronavirus causing the COVID-19 syndrome, infects cells through interactions between its spike protein and angiotensin-converting enzyme 2 (ACE2) expressed on the target cells. Interestingly, single cell RNA sequencing analysis showed that ACE2 is not expressed in olfactory sensory neurons, but in non-neuronal cells in the OE and OB which provide metabolic and structural support to olfactory sensory neurons (Brann et al., 2020). This indicates that non-neuronal cell types may be the infection target in COVID-19, instead of the sensory neurons. This may explain why anosmia is temporary in COVID-19 patients and the majority of patients recover faster than it usually takes to recover from anosmia induced by other viral infections, which directly cause damage to olfactory sensory neurons (Brann et al., 2020). However, another study showed that SARS-CoV-2 can cross the neural-mucosal interface in the olfactory mucosa and enter the nervous system via axonal transport (Meinhardt et al., 2021). The SARS Cov-2 virus in the CNS resulted in

local CNS responses mediated by HLA-DR⁺ microglia and inflammatory mediators were found in cerebrospinal fluid (Meinhardt et al., 2021). Interestingly, IL-6 levels in COVID-19 patients are correlated with olfactory and gustatory disorders, highlighting a possible role of IL-6 in the pathogenesis of chemosensitivity disorders (Cazzolla et al., 2020).

4.4 Olfactory dysfunction induced by other factors.

OD is affected by many other factors besides aging and neurodegenerative diseases, such as genetic background, gender, environment, and other diseases, etc. For example, APOE is an important gene associated with olfactory function, as mentioned earlier. Additionally, PTEN-alpha, one of the isoforms of phosphatase and TENsin homolog deleted on chromosome 10 (PTEN), has been recently identified to have a new role in maintaining mitral cells, regulating endocytosis in OB neurons, and controlling olfactory behaviours in mice (Yuan et al., 2019) along with its previously known roles in maintenance of mitochondrial structure, energy metabolism (Liang et al., 2019), modulation of hippocampal long-term potentiation and processes of learning and memory (Wang et al., 2019). Expression of PTEN-alpha is much higher in olfactory bulb than other regions of mouse brain, and PTEN-alpha-deficient mice exhibit OD and a decrease in mitral cell number in OB (Yuan et al., 2019). DNA repair gene deficiencies may also impede olfactory performance. NEIL1 knockout on a C57BL/6J background and Polymerase beta (3xTg Pol β) heterozygous mice on an Alzheimer's disease background (3xTg) both demonstrate impaired olfactory performance relative to littermate controls (Misiak et al., 2017a; Navarro et al., 2020). The DNA glycosylase NEIL1 is more highly expressed in the OB than other brain regions and a complete loss of NEIL1 in mice greatly impaired their performance in the buried food test (Canugovi et al., 2015). Likewise, depletion of Pol β in an AD animal model left the mice with more severe OD. Loss of Pol β leads to greater degeneration of the OB neurons and significantly fewer newly generated neurons (Misiak et al., 2017a). Combined, these results suggest that DNA repair mechanisms may also be important for olfactory performance.

In addition to these factors, cigarette smoking has been shown to greatly affect olfactory function and the impairment persists as long as 15 years after quitting, which is consistent with a vascular mechanism of impairment (Siegel et al., 2019). A number of diseases, including allergic rhinitis (Liang et al., 2019), frontal lobe epilepsy (Mercado-Gomez et al., 2018), cervical dystonia (Marek et al., 2018), and virus infections (Sungnak et al., 2020) are also associated with OD.

5. Olfactory dysfunction as an early biomarker for neurodegenerative diseases

Hyposmia precedes motor symptoms for PD patients by several years (Haehner et al., 2011), and is highly prevalent in patients experiencing MCI, the transition state between normal cognition and full blown AD (Jung et al., 2019a; Masurkar and Devanand, 2014). Evaluation of olfactory function as a non-invasive and inexpensive way to predict neurodegenerative disease and diagnosis is appealing as early diagnosis of the disease is critical for the implementation of interventions when the brain is still relatively normal in terms of

pathology. Simple olfactory tests are effective in detecting OD in the two most common neurodegenerative diseases (Morley et al., 2018; Velayudhan et al., 2015) and may help differentiate patients from the normal controls and patients with certain similar features but different pathological causes (Duff et al., 2002). However, despite the great advantages of non-invasive and low cost, the application of OD as biomarkers for neurodegenerative diseases is still challenging due to the fact that OD is shared among aging and multiple age-related neurodegenerative disorders, including AD, PD, Lewy Body Dementia, and Huntington's disease. Thus, olfactory tests alone may not be specific enough to identify specific diseases (Mesholam et al., 1998).

To address this problem, different strategies may be applied. First of all, the combination of olfactory tests with other tests for disease specific phenotypes can provide a more accurate disease prediction and diagnosis. For example, five biomarkers combined with odor identification, cognitive testing, genotyping, MRI scans for hippocampal and entorhinal cortex volumes gave 90% specificity in predicting whether an individual with MCI would eventually develop into AD (Devanand et al., 2008). Recently, a methodology combining olfaction tests with the coherency results of the electroencephalography recording, which measures the functional connectivity of different brain regions by the synchrony of oscillations was developed for AD (Sedghizadeh et al., 2020). This method required a smaller number of measurements when compared with previous combinations and can be applied to longitudinal monitoring of patients in the progression of disease. For PD, we have already mentioned the 2-factor assessment method combining odor identification and dopamine transporter (DAT) imaging for predicting prodromal PD development to PD (Jennings et al., 2017), however, other different combinations are also proposed. For example, a combination of hyposmia and substantia nigra hyperechogenicity, which are two important risk markers of PD, can improve diagnostic specificity in discriminating PD patients from patients with essential tremors in a Chinese study (Chen et al., 2012). The combination of response to acute levodopa challenge with olfaction tests improved the diagnosis sensitivity for early PD patients who have subtle motor features (Terroba Chambi et al., 2017).

The method of combination may sometimes be challenging in cases when neuropathological features are not significant, especially at the very early stage of disease. The strategy of establishing disease-specific OD features may then be necessary to distinguish age-related and neurodegenerative disease-related OD. This can be established based on that hyposmia induced by different factors can produce different patterns of olfactory loss. For example, a study investigating the involvement of orthonasal (nose) and retronasal (oral cavity) OD in PD-related and non-Parkinsonian OD (postviral, post-traumatic, sinusitis, idiopathic and other factors induced OD) showed that patients with non-Parkinsonian OD had significantly better orthonasal scores than PD patients and orthonasal and retronasal scores were significantly correlated while no such correlation was observed in PD patients (Aubry-Lafontaine et al., 2020). Although OD can be induced by aging, PD or AD, the olfaction domains may be affected to different degrees. For age-related OD, odor threshold declined most dramatically when compared to odor discrimination and odor identification (Hummel et al., 2007). PD patients performed relatively well in odor thresholds, but poorly in odor identification and discrimination. In contrast, patients with postinfectious

and posttraumatic hyposmia did well in odor threshold and discrimination but poorly in odor identification (Whitcroft et al., 2017). For OD in MCI (Roalf et al., 2017) and AD (Rahayel et al., 2012; Silva et al., 2018), odor identification was reportedly the most affected domain. However, most current studies are based on a small number of samples and inconsistent results may be reported due to differences of methodology and sample population with different cultural backgrounds. Importantly, most people do not conduct olfaction tests until they develop certain pathological features, therefore it is challenging to characterize the features of OD as an early biomarker for neurodegenerative diseases without retrospective data available. We propose that aged people should undergo a smelling test once a year to keep a longitudinal record which would pick up any sharp declines, which will not only contribute to a retrospective review for early PD or AD diagnosis, but also help establish a database for research.

Lastly, the establishment of disease-specific odor tests may be helpful. Woodward et al. examined whether there were differences among a normal aging population and AD patients in recognizing specific odors (Woodward et al., 2018). Their study showed that disease- and age-associated odorants clustered separately in aging and AD. Ten AD-associated odors and ten aging associated odors were selected for in the screening of 841 participants (234 healthy control, 192 amnesic MCI, 415 AD), with results showing that the Ten AD-associated odors test better distinguished the healthy controls from AD subjects and predicted the conversion from amnesic MCI to AD (Woodward et al., 2018). A more recent study showed that only two odors, grape and chocolate, can discriminate between the healthy participants and mild AD patients with good accuracy (Sedghizadeh et al., 2020). In PD, selective olfactory deficits account for 70% to 90% of patients (Double et al., 2003). Three odors, banana, licorice, and dill pickle, were the most frequently misidentified odors in PD patients when compared to age- and gender-matched controls and tests based on these three odors showed stronger correlations with nigrostriatal dopamine denervation than the conventional UPSIT (Bohnen et al., 2008). In another study, gasoline, banana, pineapple, smoke, and cinnamon were reported to be specifically more difficult to detect by PD patients (Double et al., 2003). An abbreviated 3-stick test comprised of anise, licorice and banana was able to detect OD in PD and iRBD patients with high levels of accuracy and could be used in busy clinical environments (Lo et al., 2021). These studies indicate the feasibility of developing more disease specific odor tests for more accurate diagnosis. However, we still lack consistency to define the list of disease specific odors and the mechanisms for selective odor detection deficits in AD and PD are still not understood. Whether there are more specific odors associated with certain diseases and how disease specific odor deficits are related with cultural backgrounds need further study.

In a short, the application of OD as an early biomarker for neurodegenerative diseases is promising, however, more efforts are required to improve the specificity to differentiate OD induced by normal physiological aging and different neurodegenerative diseases.

6. Concluding Remarks

The sense of smell significantly affects different aspects of people's lives, from the enjoyment of food flavor to recognizing warning signs of environmental hazards. Sadly,

most people are unaware of their smelling problems until proper tests are performed. 52% of PD patients overrated their sense of smell and only 27% correctly identified themselves as being hyposmic (Leonhardt et al., 2019). Similarly, only 6% of AD patients complained of a decline in olfaction during the early stage of the disease, while over 80% of AD patients actually demonstrated a significant impairment of olfactory function (Zou et al., 2016). This is partially attributed to the deficits in metacognitive knowledge, called anosognosia, which is common in neurodegenerative diseases (Leonhardt et al., 2019). Consistent and adequate evaluation of olfactory function in the elderly population is important for early detection of smelling impairment. Although impairment may not necessarily indicate the onset of neurodegenerative diseases, it certainly indicates increased risk.

Tests of olfactory function are cost effective and simple to perform at the bedside without the need for specific training or sophisticated equipment. Although smelling tests can effectively differentiate AD or PD from normal controls, it remains challenging to identify whether a healthy individual with OD will develop dementia in the future. This is confounded by the fact that olfaction declines concomitantly with normal and pathological aging. The identification and fine tuning of more disease-specific smelling tests and use of olfactory assessments in combination with other biomarkers are warranted in future research.

Based on the great advantages of smelling tests that are commercially available, brief, easy, sensitive, accurate and convenient, individuals could keep a longitudinal record of their olfactory function by conducting smelling tests once a year. In this way, they would not only be able to compare their olfactory function with age and gender-controlled populations but also track olfaction changes and notice a potential dramatic declines soon as possible. Physicians could then review olfactory records retrospectively if patients develop any neurodegenerative diseases later. Collection and analysis of these longitudinal records based on large populations will provide invaluable information and contribute to improving the accuracy and specificity of OD in neurodegenerative disease diagnosis.

Acknowledgements

The authors acknowledge the valuable work of the many investigators whose published articles they were unable to cite owing to space limitations. They thank Dr. Luka Culig, Dr. Xixia Chu and Dr. Mansoor Akbar Ali for critical reading of the manuscript. They thank Lauren Brick for improving the figures in the paper. This research was supported by the Intramural Research Program of the NIH, the National Institute on Aging (V.A.B.) and by an NIA AD grant, AG000790.

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Highlights

- The prevalence of olfactory dysfunction (OD) is high in neurodegenerative diseases, especially in Parkinson's disease (PD) and Alzheimer's disease (AD).
- Olfactory bulbs are one of the earliest affected brain regions in PD and OD is among the earliest non-motor features in PD patients.
- Risk factors of AD are associated with OD and olfactory impairment begins in the higher brain processing centers in AD patients.
- Olfaction tests for human and animal models focus on odor identification, discrimination, sensitivity and habituation.
- Less than a quarter of individuals with OD are aware of smelling problems, highlighting the necessity for olfactory tests.
- Individual longitudinal records of olfactory function are necessary and may predict future cognitive dysfunction.

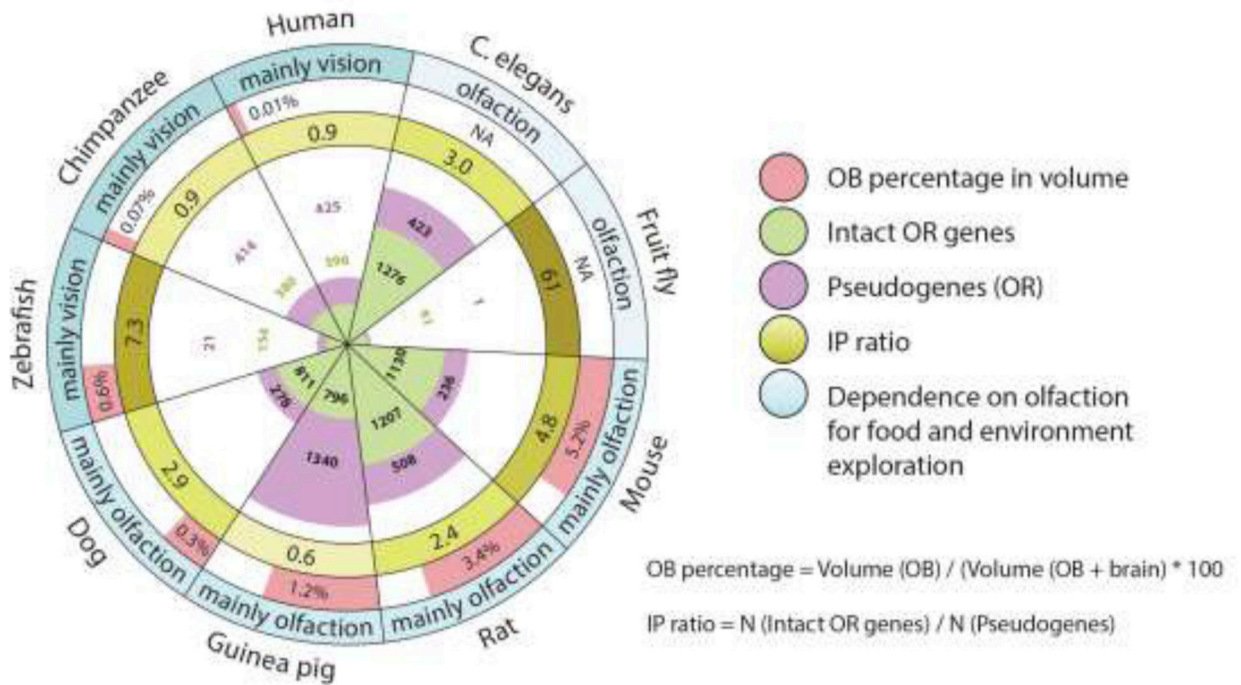


Fig. 1. Numbers of OR genes and relative volume of OB to brain in species with different dependence on olfaction. IP ratio is calculated by dividing the number of intact OR genes to the number of OR pseudogenes in *C.elegans* (Robertson and Thomas, 2006), fruit fly (Nozawa and Nei, 2007), zebrafish (Niimura, 2009), mouse, rat, guinea pig, dog, chimpanzee, and human (Niimura et al., 2014). OB percentage in volume is calculated by dividing the volume of OB to the total volume of OB and the rest of the brain in mouse (Ma et al., 2008), rat (Welniak-Kaminska et al., 2019), guinea pig (Mullins et al., 2020; Srinivasan and Stevens, 2019), dog (Kavoi and Jameela, 2011), zebrafish (Paskin et al., 2011; Ullmann et al., 2015), chimpanzee (Laska, 2015) and human (Kavoi and Jameela, 2011).

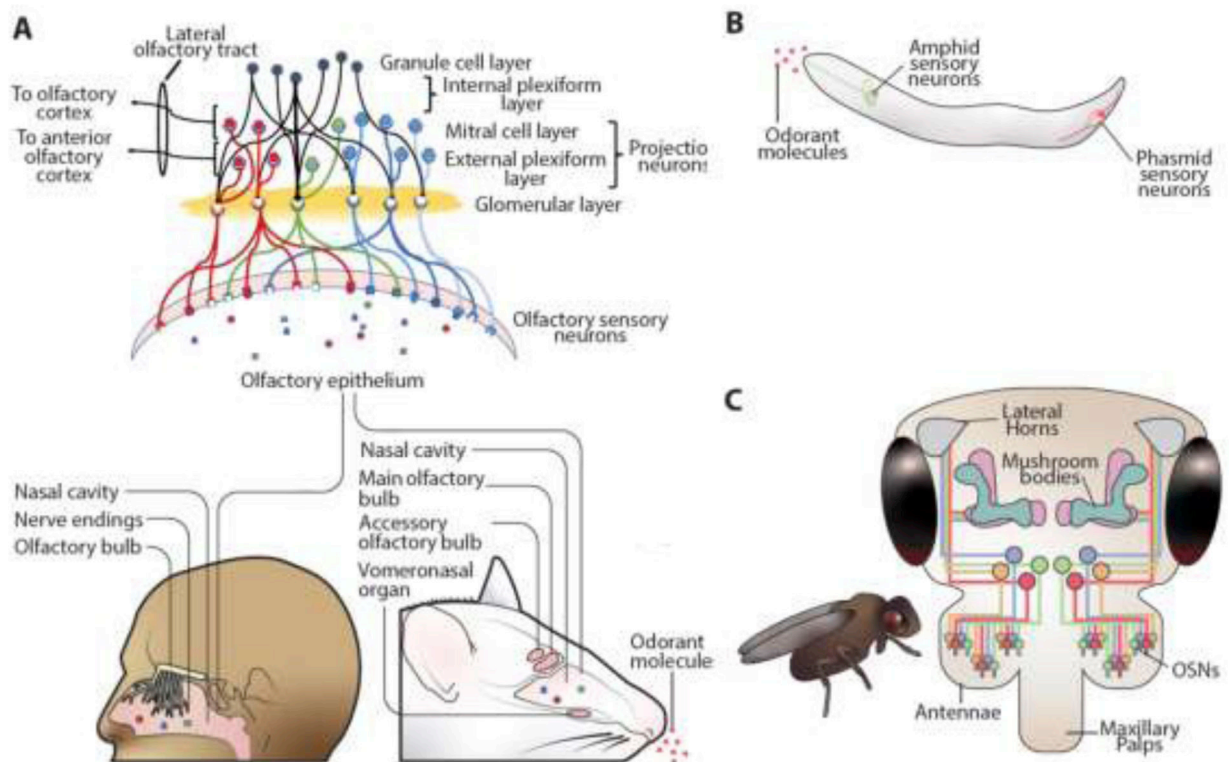


Fig.2.

The olfactory circuits in different organisms. (A) Olfactory system in humans and mice. Olfactory sensory neurons (OSNs) detect odorant molecules from olfactory epithelium, and project their signals to neurons in the glomerular layer, where axons then synapse with neurons in the mitral cell and external plexiform layers. Mitral cell axons transmit to the entire olfactory cortex, while external plexiform layers axons are confined to the anterior olfactory cortex. Mice have an accessory olfactory bulb and vomeronasal system. (B) The olfactory system in *C. elegans*. *C. elegans* possess four chemosensory organs or sensilla: the amphid, the phasmid, and the inner and outer labia (not shown). *C. elegans* chemosensory neurons are sensitive to multiple odorants due to expression of multiple chemoreceptors in each cell. Receptors of the same cell may propagate their signal intracellularly via different pathways, permitting a wide range of responses to odors that are detected by the same neuron. (C) Olfactory system in fruit flies. They have OSNs like those in humans and mice but in fewer numbers. OSNs expressing the same olfactory receptors send their signals to specific glomeruli of the antennal lobe where it synapses with the dendrites of specific second order projection neurons (not shown). The projection neurons in turn connect to the mushroom bodies, which are involved in olfactory learning and memory, and the lateral horns, which primarily route information instructing innate behaviours.

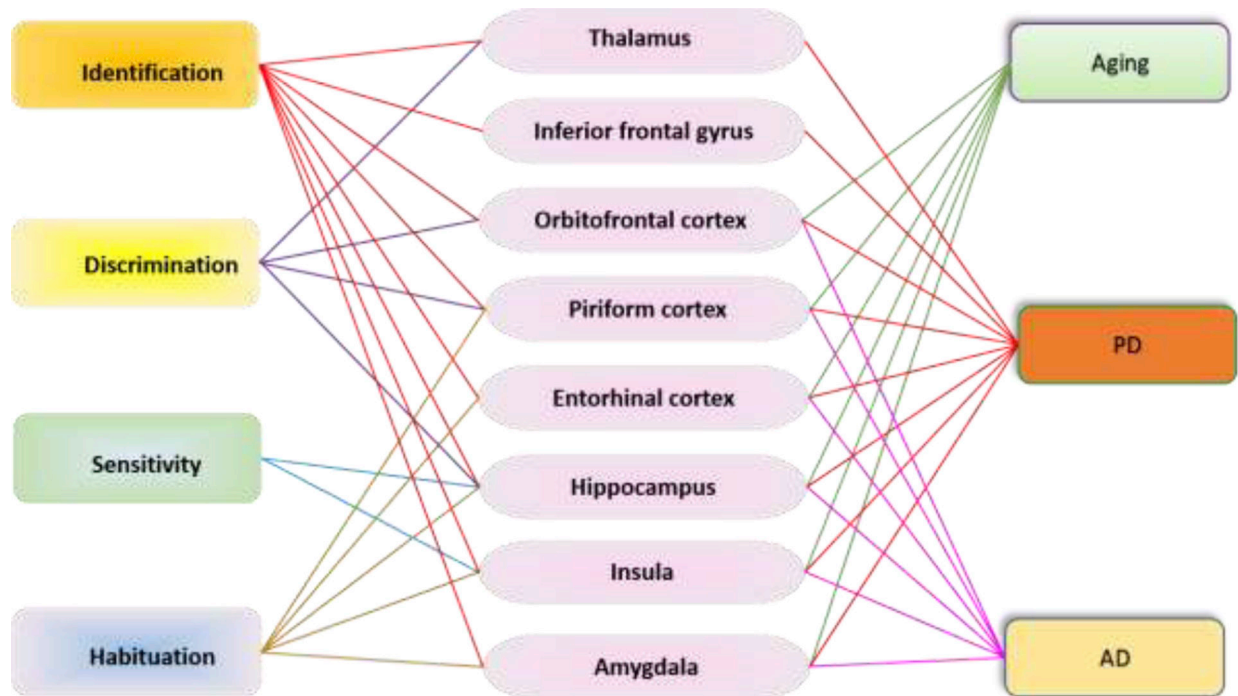


Fig.3.

The interconnection between different olfaction aspects and brain regions and the involvement of these brain region in aging, PD, and AD in human and mammalian animal models. Among the mentioned brain regions involved in olfaction, all are related with PD (Braak et al., 1994; Criaud et al., 2016; Henderson et al., 2000; Jia et al., 2019; Kobayakawa et al., 2017; Liu et al., 2019; Sancandi et al., 2018; Terada et al., 2018) and a majority (orbitofrontal cortex, piriform cortex, entorhinal cortex, hippocampus, insula, and amygdala) are related with AD (DeTure and Dickson, 2019; Saiz-Sanchez et al., 2015) and aging (Churchwell and Yurgelun-Todd, 2013; Gocel and Larson, 2013; Reagh et al., 2018; Resnick et al., 2007; St Jacques et al., 2010).

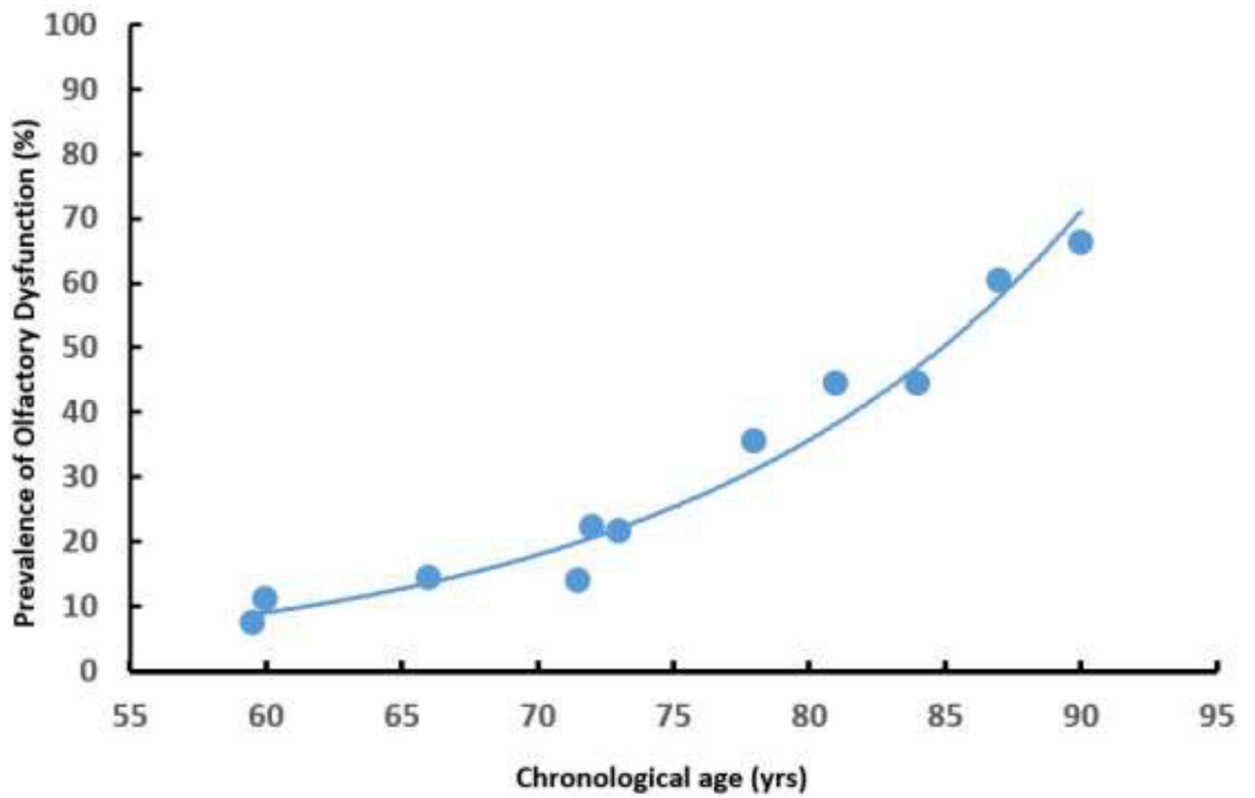


Fig.4. Prevalence of OD in healthy adults at and over 60 years of age. Prevalence rates obtained from three studies (Rawal et al., 2014; Schubert et al., 2012; Seubert et al., 2017) which utilized odor identification as reflective of general olfactory function in the older adult population.

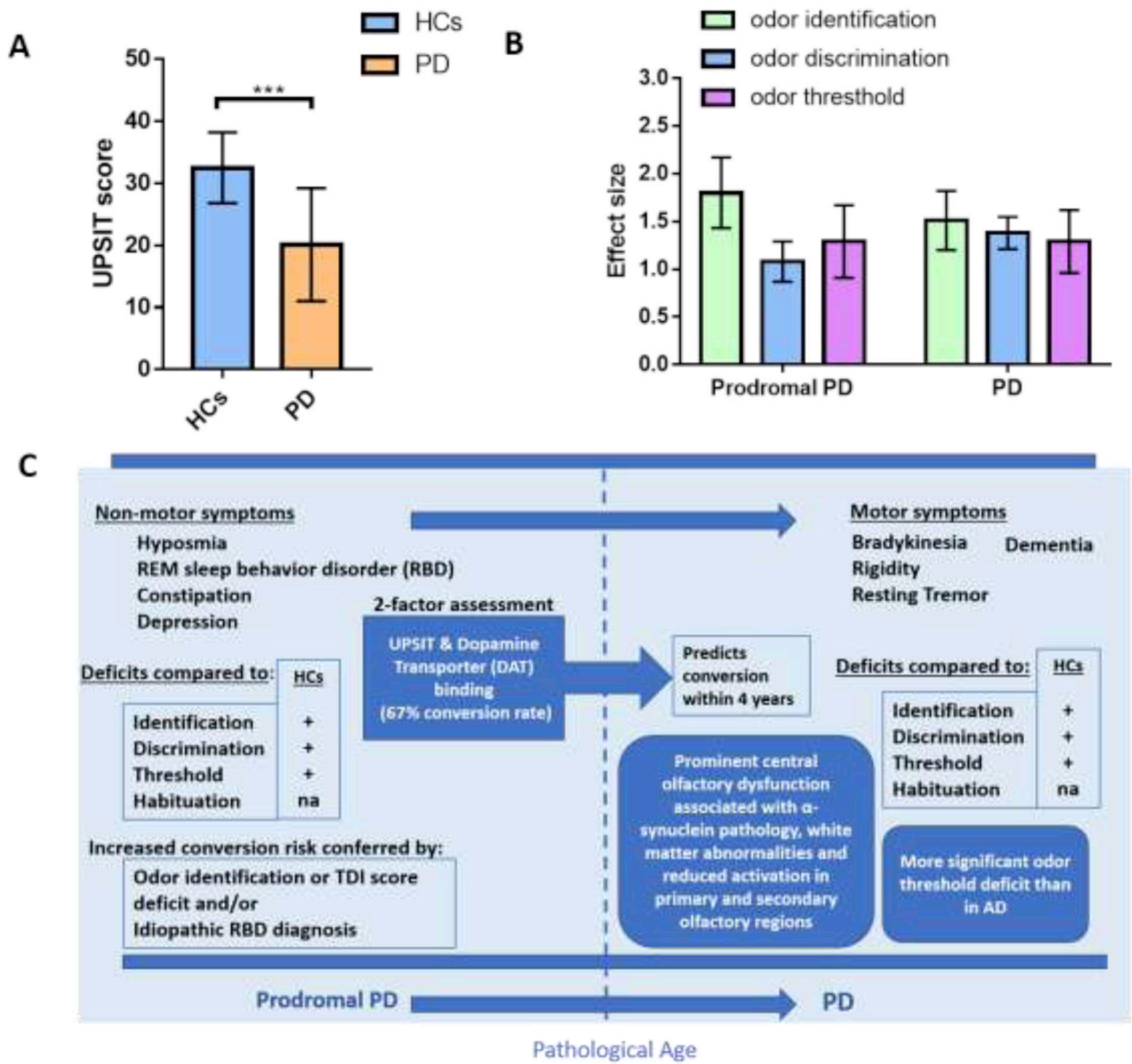


Fig. 5. OD in PD. (A) Comparison of odor identification ability between healthy control (HC) and PD patients. Graph plotted based on (Chou and Bohnen, 2009). NC (n=44): 59.6±10.8 years; PD (n=44): 59.3±10.1years. (B) Effect sizes for different olfactory domains in prodromal PD and PD (error bars indicate 95% confidence interval). Prior convention has classified effect sizes as small (d=0.2), medium (d=0.5) or large (d 0.8). Graph plotted based on 2 meta-analyses (Lyu et al., 2021; Rahayel et al., 2012). (C) Infographic profiles of OD in prodromal PD and PD patients.

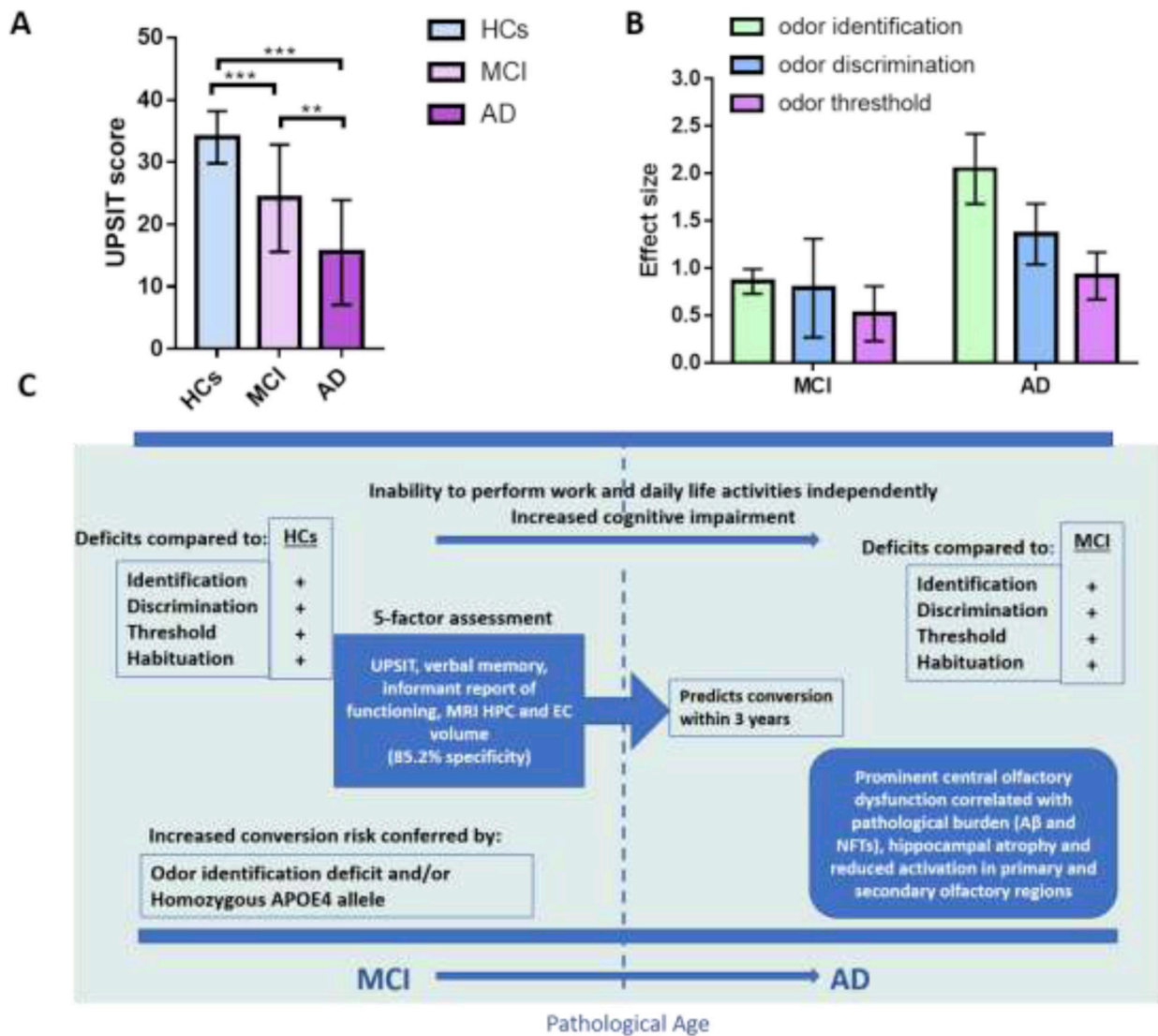


Fig. 6. OD in AD. (A) Comparison of odor identification ability among NC, MCI, and AD patients. Graph plotted based on Vasavada et al. (Vasavada et al., 2017). NC (n=27): 69.5±10.4, MCI (n=21): 73.2±9.0, AD (n=15): 71.9±11.9. Mean ± SD. ** P < 0.01, *** P < 0.001. (B) Effect sizes for different olfactory domain deficits in prodromal MCI and AD (error bars indicate 95% confidence interval). Prior convention has classified effect sizes as small (d=0.2), medium (d=0.5) or large (d=0.8). Graph plotted based on 2 meta-analyses (Rahayel et al., 2012; Roalf et al., 2017). (C) Profiles of OD of MCI and AD patients. HPC: hippocampus; EC: entorhinal cortex.

Table 1

Common olfactory tests for humans.

Test	Testing aspects	Description	Advantages	Drawbacks
UPSIT	Identification	<ul style="list-style-type: none"> Self-administered scratch-and-sniff booklet with forced-choice multiple choice. 	<ul style="list-style-type: none"> Universal gold standard Readily adaptable per study needs Can be self-administered for widespread testing High sensitivity 	<ul style="list-style-type: none"> Relatively time consuming Requires a booklet for each subject
B-SIT	Identification	<ul style="list-style-type: none"> Self-administered scratch-and sniff multiple choice test. Restricted to 12 odors. 	<ul style="list-style-type: none"> Self-administered for widespread testing Shorter length enables faster testing 	<ul style="list-style-type: none"> limited sensitivity Requires a booklet for each subject
SDOIT	Identification	<ul style="list-style-type: none"> The subject identifies 8 common odors as they are presented. When testing children, a set of images is presented alongside each odor from which the child selects the odor identity 	<ul style="list-style-type: none"> Can be adapted for children Materials can be reused for each subject Relatively short time for administration 	<ul style="list-style-type: none"> Requires supervised administration limited sensitivity
BAST-24	Identification	<ul style="list-style-type: none"> Odors are presented in felt-tipped markers. The subject is asked to confirm detection, recognition, and identification. 4 of the 24 odors target trigeminal brain regions specifically 	<ul style="list-style-type: none"> Designed to test brain regions specifically. Demonstrates cultural significance when selecting odors Low material cost. Can be adapted for additional cultures, similarly to Sniffin' Sticks. 	<ul style="list-style-type: none"> Specialized for testing Spanish populations Administration is time consuming and limited to one subject at a time.
Sniffin' Sticks	Identification	<ul style="list-style-type: none"> Odors are presented in felt-tipped markers, along with multiple choice questions for identification. 	<ul style="list-style-type: none"> Arguably the most adaptable test for different purposes and populations. Low material cost 	<ul style="list-style-type: none"> Time consuming and limited to one subject at a time.
	Threshold sensitivity	<ul style="list-style-type: none"> The subject is blindfolded, and three sticks are presented. Two of these contains only solvent, while the other contains a variable concentration of odorant. Detection is determined by 		

Test	Testing aspects	Description	Advantages	Drawbacks
		selecting the correct stick on consecutive correct choices.		
	Discrimination	<ul style="list-style-type: none"> The subject is blindfolded and presented with three sticks. Two of these contain the same odor, while the other contains a different odor of similar hedonic value. Successful discrimination is indicated by selecting the unique odor. 		

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