

The Interplay Between Postsynaptic Striatal D_{2/3} Receptor Availability, Adversity Exposure and Odd Beliefs: A [¹¹C]-Raclopride PET Study

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Background. Between unaffected mental health and diagnosable psychiatric disorders, there is a vast continuum of functioning. The hypothesized link between striatal dopamine signaling and psychosis has guided a prolific body of research. However, it has been understudied in the context of multiple interacting factors, subclinical phenotypes, and pre-postsynaptic dynamics. **Method.** This work investigated psychotic-like experiences and D_{2/3} dopamine postsynaptic receptor availability in the dorsal striatum, quantified by in vivo [¹¹C]-raclopride positron emission tomography, in a sample of 24 healthy male individuals. Additional mediation and moderation effects with childhood trauma and key dopamine-regulating genes were examined. **Results.** An inverse relationship between nondisplaceable binding potential and subclinical symptoms was identified. D_{2/3} receptor availability in the left putamen fully mediated the association between traumatic childhood experiences and odd beliefs, that is, inclinations to see meaning in randomness and unfounded interpretations. Moreover, the effect of early adversity was moderated by a *DRD2* functional variant (rs1076560). The results link environmental and neurobiological influences in the striatum to the origination of psychosis spectrum symptomatology, consistent with the social defeat and diathesis–stress models. **Conclusions.** Adversity exposure

may affect the dopamine system as in association with biases in probabilistic reasoning, attributional style, and salience processing. The inverse relationship between D_{2/3} availability and symptomatology may be explained by endogenous dopamine occupying the receptor, postsynaptic compensatory mechanisms, and/or altered receptor sensitivity. This may also reflect a cognitively stabilizing mechanism in non-help-seeking individuals. Future research should comprehensively characterize molecular parameters of dopamine neurotransmission along the psychosis spectrum and according to subtype profiling.

Key words: dopamine/D2 receptor/PET/striatum/childhood trauma/psychosis spectrum

Introduction

The dopaminergic system is strongly involved in cognition, goal-directed behavior, and the etiology of neuropsychiatric disorders.^{1,2} The prominent dopamine (DA) hypothesis of schizophrenia postulates the illness is caused by modulations of dopaminergic neurotransmission.³ The role of DA in psychotic disorders is also substantiated by the mechanisms of antipsychotic medication, commonly D₂-like receptor (D₂, D₃, and D₄)

antagonism.⁴ As a complementary approach to post mortem studies,⁵ early molecular imaging intensely investigated D_2 -like receptor densities and pharmacokinetics, as enabled by the introduction and refinement of radiopharmaceuticals incorporating positron-emitting radionuclides.⁶ Recent studies in the field highlight the nigrostriatal pathway rather than the mesolimbic pathway as the locus of highest dysregulation of DA signaling,⁷ with its elevated synthesis and higher stimulated release^{8,9} being the most consistent findings. Moreover, correlations observed between DA synthesis and positive symptom severity^{10,11} further corroborate these associations.

In comparison with presynaptic DA signaling, the role of postsynaptic signaling in psychotic symptoms remains less clear.¹² Notably, some previous evidence¹³ and related conceptualizations^{14,15} accentuate the complex dynamics of pre- and postsynaptic dopaminergic neurotransmission. Whether DA imbalances are late manifestations of schizophrenia or reflective of a more generic mechanism shared by a broader psychosis phenotype remains debated. Some previous positron emission tomography (PET) studies point to heightened striatal DA synthesis capacity in individuals at high risk for psychosis¹⁶ and its progressive increase in transitioning from prodromes to psychotic episodes.¹⁷ This aligns with the psychosis continuum,^{18,19} suggesting gradable symptomology along a spectrum between severe and subclinical forms, such as psychotic-like experiences, schizotypal personality, or “at-risk” states.^{20–22} The existing evidence also suggests that baseline D_2 -like receptor availability may index inter-individual differences.²³ Nevertheless, definitive conclusions have been hindered by the lack of consensus regarding the structure of schizotypy and other psychosis-related phenomena.²⁴ Notably, even among patients with clinical psychosis, the substantial heterogeneity in treatment response and side effects suggests the existence of discrete dopaminergic subtypes.^{25,26} Therefore, more work is necessary to investigate the coinciding and discriminant aspects of these symptoms in the context of construct validity and trait-state dynamics, as well as to understand the link between neurobiology and the cognitive-perceptual dimensions.

Aberrant salience processing has been proposed to occur downstream in the pathway to psychotic symptoms.²⁷ This may underlie perceived reality distortion as a plausible mechanism linking altered dopaminergic neurotransmission to symptoms.²⁸ The putative causality path also includes interference with the dopaminergic system by early environmental triggers, with a prominent role of childhood adversities and trauma exposure, which may precipitate or exacerbate disorders.²⁹ Attributing salience to threatening environmental stimuli may cause a state of endogenous

sensitization at subsequent exposures, together with modulations in the brain neurocircuitry.³⁰ A meta-analysis and a review identified strong associations between schizotypy or schizophrenia and childhood adversity, observing that affected individuals were 2.01–4.15 times³¹ and 2.72 times³² more likely, respectively, to have a history of childhood trauma than unaffected controls. There is also evidence that genetically determined DA neurotransmission contributes to the propensity for unfounded beliefs and delusion-prone perception.³³ The inter-individual differences in D_2 -like receptor expression and its striatal availability have been linked to functional genetic variation in *DRD2* and other DA-regulating genes,³⁴ while the responses to early stressors may be subject to gene \times environment interactions.^{35,36}

First, this work investigates the hypothesis of an association between baseline postsynaptic $D_{2/3}$ receptor availability ($D_{2/3}$ R) within the dorsal striatum, as quantified by PET [¹¹C]-raclopride, and psychotic-like experiences in explicitly healthy individuals. In doing so, we also postulated that subtly orthogonal symptom measures may differ in how they relate to $D_{2/3}$ R availability. Second, we hypothesized that the latter mediates the relationship between childhood trauma exposure and psychotic-like experiences. In an exploratory analysis, we also examined possible interactions between $D_{2/3}$ R availability and early trauma with single nucleotide polymorphisms (SNPs) in key DA-regulating genes.

Methods

Participants

This study was part of a larger project investigating psychotic-like experiences³⁷ approved by the Ethics Committee Zurich (KEK-ZH-No. 2011-0423) and conducted in compliance with the Declaration of Helsinki. We recruited 24 healthy right-handed males of European Caucasian descent between 20 and 40 years of age. All participants gave written informed consent. Present or prior history of mental illness or substance abuse was ruled out with the Mini-International Neuropsychiatric Interview.³⁸ The measurements were performed between 4 and 5 PM in a dimly lit hospital room, where probands were asked to lie down comfortably without falling asleep.

Imaging Data Acquisition

[¹¹C]-Raclopride is a selective competitive D_2/D_3 antagonist ($K_D \sim 1$ –10 nM) from the benzamides family used for in vivo estimation of receptor availability.³⁹ The emission scans were acquired using a PET/CT scanner (Discovery STE and RX, GE Healthcare, Waukesha, WI, USA) operating in 3D mode, with an axial field of view of

15.3 cm. [¹¹C]-Raclopride was radio-synthesized on site according to the Good Manufacturing Practice and administered as a bolus infusion into an antecubital vein. The mean (\pm SD) injected radioactivity was 180.96 \pm 19.10 MBq. The dynamic scan started immediately after tracer application and continued for 60 min (31 frames: 4 \times 15 s, 8 \times 30 s, 9 \times 60 s, 2 \times 180 s, 8 \times 300 s). Emission data were reconstructed by filtered back projection, applying a 6-mm FWHM filter, with CT-based attenuation correction (120 kV/80 mA). For the coregistration, high-resolution T1-weighted anatomical images (TR, 8.2 ms; TE, 3.8 ms; flip angle, 8°; in-plane resolution, 1 \times 1 \times 1 mm³; FOV, 160 \times 240 mm²; 160 slices) were collected using a 3 T MRI system (Philips Achieva, Philips Medical Systems, Best, Netherlands) equipped with an 8-channel head coil.

Positron Emission Tomography Image Processing

PET image processing was performed using PMOD version 3.5 (PMOD Technologies Ltd., Zurich, Switzerland). Regional time-activity curves were derived and fitted to the simplified reference tissue model⁴⁰ with the cerebellum as a reference,⁴¹ thereby enabling the quantification of receptor kinetics without arterial sampling. Regional nondisplaceable binding potential (BP_{ND}) values, reflecting the ratio at the equilibrium of the bound radioligand to the nondisplaceable radioligand,⁴² were extracted from the bilateral putamen and caudate nuclei, showing the highest and most reliable uptake in response to [¹¹C]-raclopride.⁴³ The region definitions were based on the parcellation algorithm implemented in PMOD (Neuro-Tool) and the individually segmented anatomical MRI in Montreal Neurological Institute stereotactic space. The accuracy of parcellated regions-of-interest (ROIs) was verified and manually adjusted as necessary by a neuroscientist knowledgeable about striatal dissection.

Genotyping

Using the proteinase K method, genomic DNA was isolated from whole blood collected into EDTA tubes. Genotyping was performed with the Illumina Infinium PsychArray (Illumina, San Diego, CA, USA). Six widely studied polymorphic loci were chosen based on previous literature linking PET D₂-like receptor availability with genetic variation in DA regulation³⁴: rs1079597, rs6277, rs1076560, rs1799732 (*DRD2*), rs1800497 (*ANKK1*), and rs4680 (*COMT*).

Psychometric Measures

Psychotic-Like Experiences Psychotic-like experiences were measured with the 32-item Exceptional Experiences

Questionnaire-Revised (PAGE-R),^{37,44,45} which uses a five-point frequency Likert scale from 0 (*never*) to 4 (*very often*). Unlike many other instruments, the items are not based on clinical symptoms or their attenuated forms, but on reports of healthy individuals seeking counseling for their experiences.⁴⁵ Notably, the measure captures experiences not only associated with distress, but also those evoking neutral connotations or comfort (e.g., seeing meaning in coincidences). This relatively new instrument was cross-validated with other measures of positive symptomatology³⁷ and applied in previous brain imaging studies.⁴⁶ The analysis used the total score as well as three empirically derived scales for odd beliefs (OB), dissociative anomalous perceptions (DAP), and hallucinatory anomalous perceptions (HAP), with corresponding scale ranges of 0–128, 0–44, 0–28, and 0–36, respectively. Example items are provided in the [Supplementary Material](#).

Schizotypal Personality Traits For comparisons with an established measure of schizotypy, the 74-item Schizotypal Personality Questionnaire (SPQ)⁴⁷ was administered, using the total score and three higher-order factors: cognitive-perceptual (positive facet), interpersonal (negative facet), and disorganized.⁴⁸

Childhood Trauma Exposure Histories of childhood neglect and maltreatment were measured using the 28-item Childhood Trauma Questionnaire (CTQ).^{49–51} The analyses employed the total score, which reflects the general severity of exposure, ranging in our sample between 25 and 83, from “none or minimal” through “low-to-moderate” to “severe” (single case).

Statistical Analysis

First, Spearman’s rank correlation coefficients were used to assess the relationships between the D_{2/3}R availability, subclinical symptoms, childhood trauma exposure, and age.⁵² Second, to expand on the predictive value of BP_{ND} from four brain regions on the separate symptom measures (SPQ, PAGE-R), a dominance analysis^{53,54} was conducted within the linear regression framework using the “dominanceanalysis” R package.⁵⁵ This approach provides a statistical ranking of the importance of a predictor in the presence of multicollinearity (as observed in our striatal parcellation data), where relying on typical beta coefficients would be inadequate.^{54,56,57} Specifically, relative importance was derived from *R*² estimates for three hierarchical dominance types: complete (most strict, holding across all predictors and all possible regression subset models), conditional (the averaged additional contribution of a given model level is higher than that of another predictor), and general (greater average mean additional contribution across

all model levels).^{53,54} To assess the robustness of results, bootstrapping with 5000 replicates was conducted, first, to determine the proportion of bootstrapped samples reproducing the observed effects between each pair of predictors and, second, to determine the average dominance estimates across all bootstrapped samples. Third, a mediation analysis tested $D_{2/3}R$ availability as a mediator, trauma as an independent variable, and sub-clinical symptoms as dependent variables following the procedures of Baron and Kenny.⁵⁸ The model tested our specific hypothesis of $D_{2/3}R$ availability accounting for the relation (and not just the strength of the relation) between predictor and outcome. The significance of indirect effects was examined using bootstrapping with 5000 replicates and 95% bias-corrected confidence intervals (CI), as implemented in the “mediation” R package for causal mediation analysis, following quasi-Bayesian estimation.⁵⁹ This method decomposes the effects of causally interpretable benchmarks instead of relying on typical regression coefficients using the product method. Specifically, the average causal mediation effect (ACME), average direct effect (ADE), and total effect (TE), that is, their sum, were computed. As causal mediation is based on a strong and directly untestable assumption that the mediator-outcome effect is not confounded (i.e., the sequential ignorability assumption), the main analysis was supplemented by a sensitivity analysis⁶⁰ using the *medsens* function. This introduces ρ , a parameter representing the correlation of error terms in the mediator and outcome models, and also compares the coefficient of determination between both models. Accordingly, the robustness of the mediation effect was determined by probing which levels of violation to the assumption would reverse or invalidate the conclusion from the main analysis. The mathematical foundation for the above methods of dominance and mediation/sensitivity analyses is detailed elsewhere.^{53,54,60,61}

Lastly, two regressions under an additive genetic model with normalization and adjustment for age were conducted to investigate possible interactions of individual SNPs with $D_{2/3}R$ availability (explaining subclinical symptoms) and with trauma load (explaining $D_{2/3}R$ availability). These exploratory analyses were limited to the variables from the primary imaging finding. Second-level statistics were calculated using R version 3.6.1 at a $P < .05$ threshold, with the Benjamini–Hochberg procedure used to control the false discovery rate (FDR).

Results

Descriptive Statistics

Table 1 provides the descriptive statistics, psychometric measures, [¹¹C]-raclopride BP_{ND} , and injection activities of the study sample.

Spearman's Rank Correlations

After FDR correction, there were positive significant bivariate correlations between BP_{ND} for all four striatal regions, except for the left putamen–right caudate pair. Similarly, all measures of symptomology were positively associated with the exception of the “Disorganized” facet of SPQ (which was correlated solely with SPQ total score). Additionally, we found negative associations between PAGE-R and BP_{ND} , which were nominally significant for the left putamen (with PAGE-R total score and OB). Finally, childhood trauma was significantly associated with PAGE-R OB (positively) and BP_{ND} in the left putamen (negatively) (figure 1 and figure S1).

Nondisplaceable Binding Potential ($D_{2/3}R$ Availability)

Figure 2 depicts the ROIs, corresponding BP_{ND} , and associations with subclinical symptoms. Overall, the dominance analysis indicated a prevailing impact of BP_{ND} in the left putamen across most symptom dimensions, with systematically assessed contributions from the remaining regions (Figure 2D). Reproducibility rates (RR) of $\geq 70\%$ indicate high confidence in an observed effect being present in a population,⁶² and robust complete dominance was found for the left putamen across all submodels in relation to both PAGE-R total (RR = 74%–79%) and PAGE-R OB (RR = 74%–81%). The proportions of variance (R^2) in BP_{ND} explained by PAGE-R total and PAGE-R OB were 0.188 (bs.E [bootstrapped estimate] = 0.201, bs.SE [bootstrapped standard error] = 0.121) and 0.179 (bs.E = 0.196, bs.SE = 0.097), respectively. An extended presentation of this analysis is provided in the Supplementary Material.

Mediation Analysis

A preliminary analysis using fitted regressions supported the mediational hypothesis in the path-tracing step, showing significant effects ($P < .05$) of CTQ on PAGE-R OB and both $D_{2/3}R$ availability in the left putamen and $D_{2/3}R$ availability on PAGE-R OB. Given the impact of outliers and high leverage points in mediation analyses,⁶³ data were checked for multivariate outliers using robust Mahalanobis distance (D). One dataset was clearly an outlier ($D > 8$) and was discarded from subsequent analyses. Bootstrapping revealed a significant effect via the mediator (ACME = 0.131, 95% CI [0.035–0.400], $P = .041$), a nonsignificant direct (unmediated) effect (ADE = 0.192, 95% CI [−0.082–0.420], $P = .14$), and a significant total effect (TE = 0.324, 95% CI [0.157–0.530], $P = .0004$). The proportion of the total effect mediated by $D_{2/3}R$ availability was 41% (95% CI [0.128–1.930], $P = .044$). As the effect became nonsignificant after the inclusion of the mediator, the results reflected a full mediation according to the classical framework.⁵⁸ Figure 3A depicts these key results. The sensitivity analysis indicated

Table 1. Demographic Characteristics and Study Measures

Characteristic/Measure (N = 24)	M	SD	Min.–Max.
Sex	24 m	–	–
Age (y)	27.38	5.17	21–38
Estimated IQ*	111.54	13.96	91–143
Education (formal years)	13.96	2.14	10–17
Body mass index (BMI)	23.81	2.26	18.52–27.78
Psychotic-like experiences			
PAGE-R total score	16.92	16.15	0–49
PAGE-R odd beliefs (OB)	7.25	6.09	0–18
PAGE-R dissociative anomalous perceptions (DAP)	1.96	2.96	0–12
PAGE-R hallucinatory anomalous perceptions (HAP)	2.92	3.11	0–9
Schizotypal traits			
SPQ total score	17.92	9.94	2–38
SPQ cognitive-perceptual schizotypy (positive)	8.08	6.07	0–22
SPQ interpersonal schizotypy (negative)	5.46	4.01	1–18
SPQ disorganized schizotypy (disorganized)	4.38	3.62	0–13
Childhood trauma exposure			
CTQ total score	38.83	13.24	25–83
[¹¹ C]-Raclopride injection activity (MBq)	180.96	19.10	155.59–222.28
[¹¹ C]-Raclopride BP _{ND} :			
Putamen left	2.990	0.279	2.504–3.740
Putamen right	2.970	0.261	2.418–3.604
Caudate left	2.127	0.256	1.678–2.746
Caudate right	2.064	0.272	1.471–2.799

Note: BP_{ND}, nondisplaceable binding potential; CTQ, Childhood Trauma Questionnaire; PAGE-R, Exceptional Experiences Questionnaire-Revised; M, mean; SD, standard deviation; SPQ, Schizotypal Personality Questionnaire.

*Premorbid intelligence was estimated by extrapolating IQ values from the Multiple-Choice Vocabulary Intelligence Test.

The specific tracer activity was M = 32.58 GBq/μmol, SD = 11.04. This value was an estimation based on standard values acquired for quality control. Within the setup, the specific activity was not measured directly.

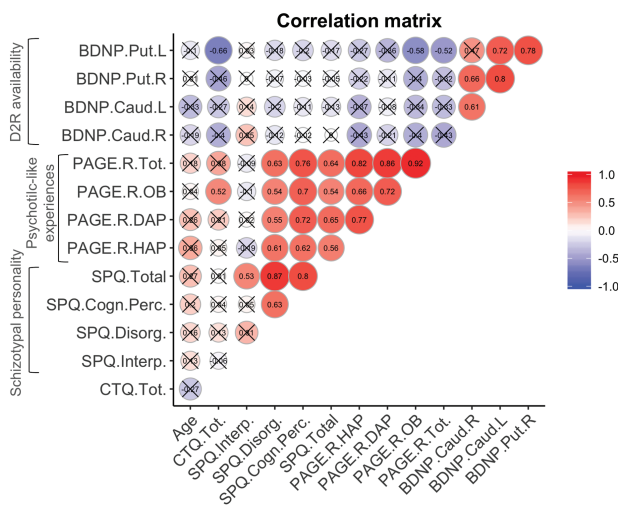


Fig. 1. Correlation matrix. The results were filtered for significance at FDR-corrected $P < .05$ (uncrossed circles). BDNP, [¹¹C]-raclopride BP_{ND}; Caud, caudate; CTQ, Childhood Trauma Questionnaire; PAGE.R, Exceptional Experiences Questionnaire-Revised; Put, putamen; SPQ, Schizotypal Personality Questionnaire.

that the ρ value at which ACME reached 0 was approximately -0.45 , indicating the robustness of the mediation estimate to violations of the sequential ignorability assumption.⁶⁴ The potentially omitted total variance from

unobserved confounders in the mediator-outcome relationship was estimated as 28% ($\sqrt{0.078}$).

Interactions with Dopamine-Regulating Genes

After FDR correction, the interaction between SNP rs1076560 and childhood trauma exposure remained significant in predicting D_{2/3}R availability (estimate = 0.483, $P_{\text{uncorr.}} = .004$, $P_{\text{corr.}} = .048$). Specifically, this effect increased with the presence of risk allele A (1 × estimate for CA genotypes, 2 × estimate for AA genotypes).

The [Supplementary Material](#) provides further details on the methods and results.

Discussion

Dopamine, Subclinical Symptoms, and Trauma

This study examined the associations among the psychotic-like symptoms, early traumatic experience, and striatal [¹¹C]-raclopride BP_{ND}. As the key finding intersecting these measures, postsynaptic D_{2/3}R availability in the left putamen was a (full) mediator of the effect of trauma exposure on having odd beliefs. This aligns with the view that early stressful experiences may impair or sensitize the DA system later in life²⁹ and a finding linking childhood adversity to left basal ganglia function during reward-related processing.⁶⁵ The laterality

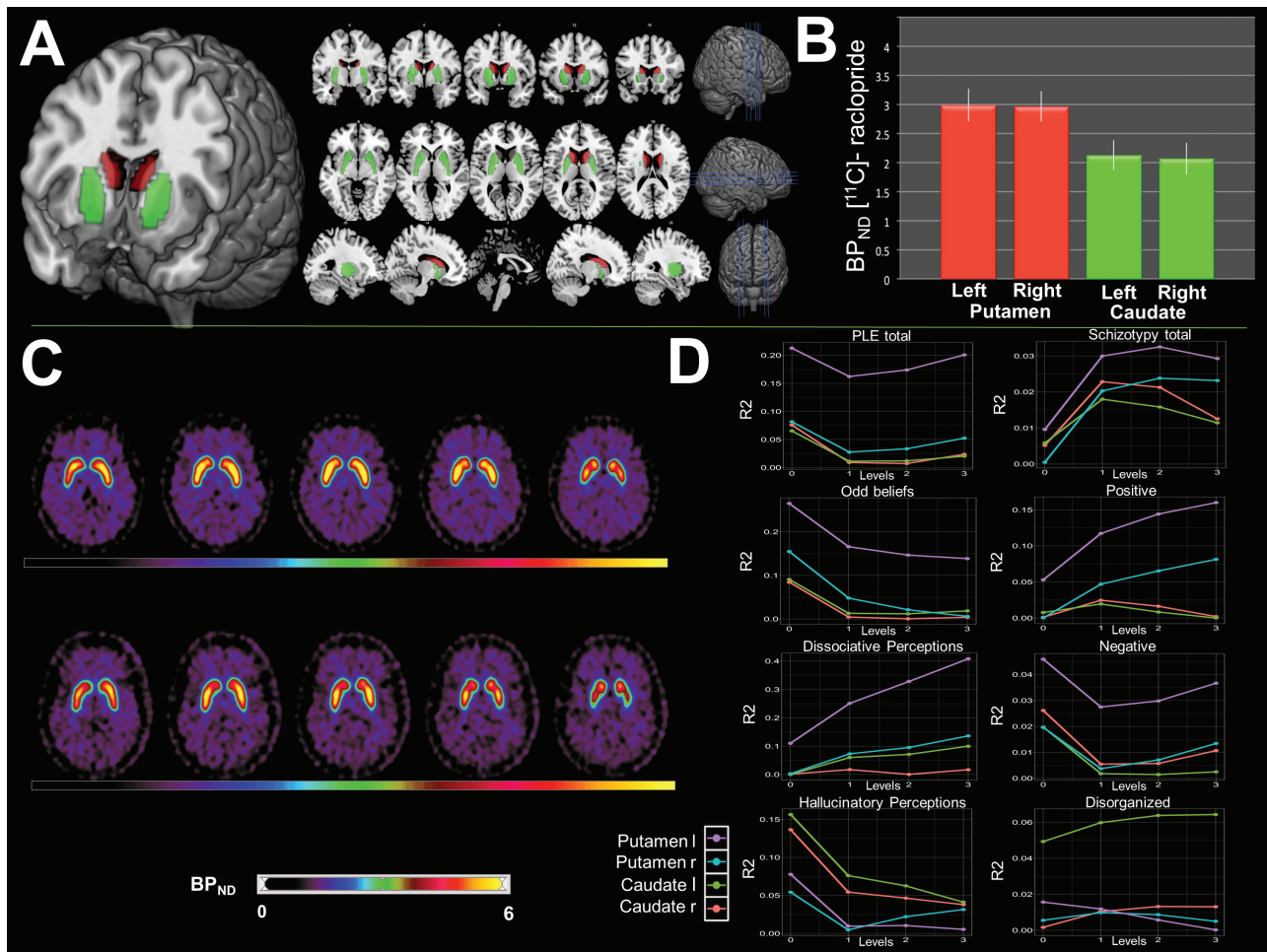


Fig. 2. [^{11}C]-Raclopride PET results. (A) Placement of the anatomical regions-of-interest. (B) Mean (\pm SD) BP_{ND} . (C) PET images from two representative subjects (aged 22 and 21) with high and low striatal BP_{ND} (upper and lower panels, respectively). (D) Conditional dominance of BP_{ND} in relation to psychotic-like experiences (PLE) PAGE-R, left column) and schizotypy (SPQ, right column). Plots depict the explained variance (R^2 , y -axis) as a function of predictors in the model from level “0” (a single predictor) to “3” (all four predictors) (x -axis).

aspect may reflect asymmetrical projections of dopaminergic neurons.⁶⁶ Considerably, the exposure to stressors in animal models exerts well-documented interference with DA neurotransmission in association with glucocorticoid secretion.^{67–69} These observations support the social defeat hypothesis, implying detrimental environmental influences and a prolonged subordinate position as risk factors for psychotic disorders.⁷⁰ Such adversities may cause biases in cognitive schemas towards more bizarre and delusion-like interpretations.⁷¹ Aberrant DA signaling presumably renders coincidental incidents as salient events, which can eventually become hardwired as DA-dependent psychotic beliefs.²⁷ Notably, the phenomena involved in our mediation model were odd beliefs, manifesting as biases in probabilistic reasoning, atypical attributional style, and altered theory of mind.⁷² The present findings extend our previous conclusions from large cohorts, which indicated that the pathway connecting childhood trauma with psychotic-like experiences

is through subjective stress appraisal.⁷³ Additionally, we found that early trauma was associated with having odd beliefs alone or together with perceptual anomalies.^{73,74} Collectively, psychosocial adversities, including neglect and abuse, are a plausible vulnerability factor for symptoms even below diagnostic cut-off points, in association with DA neurotransmission.

Associations with Postsynaptic $D_{2/3}R$ Availability

While the positive link between childhood trauma load and psychosis spectrum is corroborated by previous evidence,^{73,75,76} the negative coupling of $D_{2/3}R$ availability with trauma and symptoms is seemingly at odds with the theory of DA hyperactivity, at least in its over-simplistic version. We observed a low $D_{2/3}R$ availability for high psychotic-like experiences across all four striatal regions, with the strongest effects in the left putamen and somewhat weaker effects in the right caudate. An early study

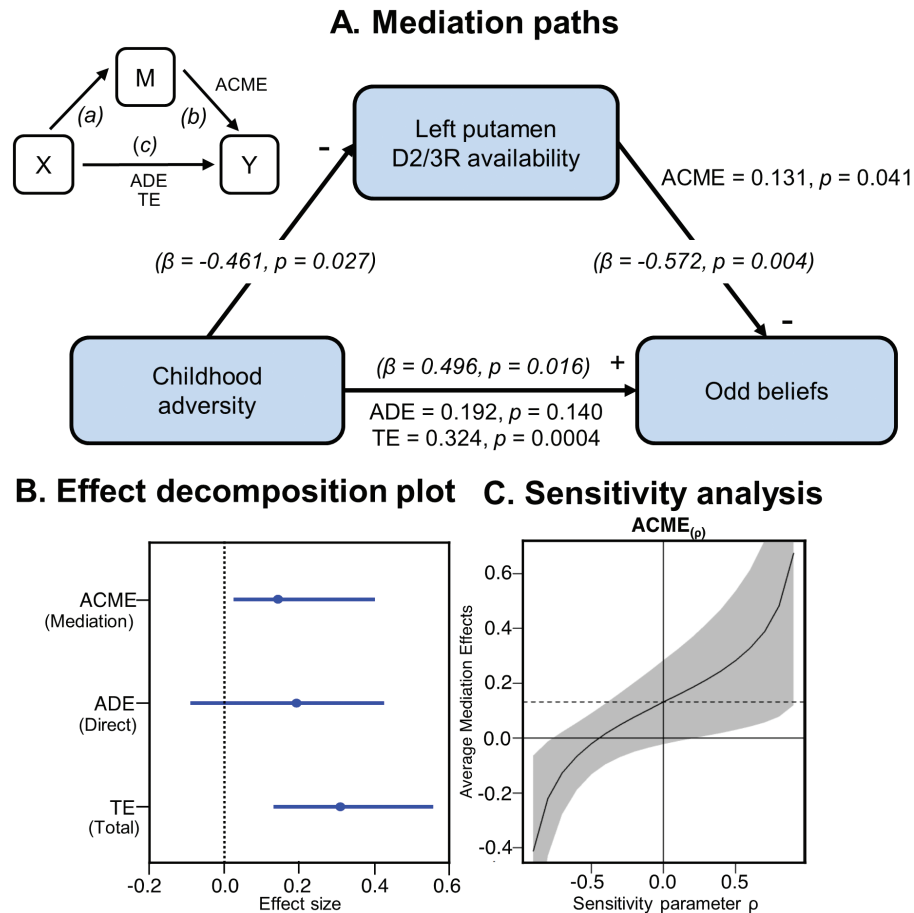


Fig. 3. Mediation analysis of childhood adversity, left putamen D_{2/3}R availability, and odd beliefs. (A) Mediation path diagram with decomposition effects: ACME, average causal mediation effects; ADE, average direct effects; TE, total effects. Additional standardized regression coefficients (β) and corresponding P -values for each path are in brackets. (B) Bootstrap confidence intervals for the three effects. (C) Sensitivity analysis plot for ACME. The solid black curve corresponds to the estimated ACME at different increments of ρ (95% CI), and the dashed line marks the point at which sequential ignorability holds at $\rho = 0$.

using [¹²³I]IBZM (benzamide) single-photon emission computed tomography (SPECT) reported the same negative relationship between psychoticism and D_{2/3}R availability in the basal ganglia.⁷⁷ This finding was interpreted as compensatory down-regulation of postsynaptic receptor activity, through decreased receptor number or sensitivity. However, psychoticism is the least clear-cut of Eysenck's personality dimensions, linking rather weakly related mental functions of overinclusive thinking, non-conformism, and impulsive tendencies.⁷⁸ Two subsequent [¹¹C]-raclopride PET studies independently confirmed the negative relationship between striatal D_{2/3}R availability and detachment (i.e., social avoidance and withdrawal) partially defines the negative facet of schizotypy.^{79,80} In contrast, a positive relationship was previously identified between the "Disorganized" facet of schizotypy and right striatal D_{2/3}R availability, as measured by the [¹²³I]IBZM SPECT.⁸¹ The aforementioned compensative postsynaptic mechanisms may account for our results, commensurate with the proposition that these may be responses that normalize the primary insult of elevated

dopamine synthesis.⁸² Indeed, an elegant within-subject study with healthy individuals found an inverse correlation between the striatal endogenous DA synthesis rate and D_{2/3}R binding, as measured with l-[β -¹¹C]DOPA and [¹¹C]-raclopride radiotracers, respectively.¹³ This effect may involve the phasic and tonic components of subcortical DA release, one representing direct neuron firing, with the other setting the background level of DA stimulation and regulating responsiveness to DA for autoreceptor and postsynaptic sites.⁸³

Another explanation of our findings is putative competition between the injected radioligand and endogenous DA at D_{2/3}R sites. Accordingly, the lower binding may reflect a higher receptor occupancy masking actual DA activity. Together with different sensitivities of radioligands to endogenous DA, this mechanism might have contributed to the discrepancy between the PET results using spiperone (butyrophenone derivative) (e.g., [¹¹C]-methylspiperone) and benzamide family tracers (e.g., [¹¹C]-raclopride), which tend to show higher receptor densities and no elevation or more inconsistent results,⁸⁴

respectively. Our choice of [^{11}C]-raclopride was dictated by its high selectivity and being the standard ligand for $\text{D}_{2/3}\text{R}$ imaging. However, D_2 agonists (e.g., endogenous DA) and antagonists (e.g., raclopride, antipsychotics) also differ in the way they bind between two affinity states, i.e., D_2^{Low} and D_2^{High} , with the latter being involved in activating the second-messenger cascade. Based on findings from animal models, psychotic symptoms may be linked to a higher proportion of D_2 receptors configured in the high-affinity state.⁸⁵ Such an effect was preliminarily demonstrated for the putamen, in the absence of total baseline receptor differences between schizophrenia patients and controls.⁸⁶ Given that 8%–21% of striatal D_2 receptors may be occupied at baseline by endogenous DA, which preferentially competes for binding at D_2^{High} sites, while [^{11}C]-raclopride seems non-preferential,⁸⁷ the functionally significant affinity state might have been partially obscured by this mechanism in our results.

If the psychosis continuum holds true, our findings contradict numerous previous results within the clinical spectrum linking higher D_2 -like receptor availability to psychotic disorders.^{88–90} Still, other studies have detected no difference between drug-naïve schizophrenia patients and healthy controls,^{91–94} reporting positive correlations with unspecific symptoms⁸⁶ or no correlations,^{92,94} but also negative correlations with positive symptoms.⁹⁵ A recent high-quality meta-analysis of striatal DA function in schizophrenia highlights augmented DA synthesis and release capacity as the most robust pathological characteristics.⁹⁶ However, the same meta-analysis based on 34 studies involving 485 schizophrenia cases and 485 controls indicated no standardized mean difference, but significant heterogeneity across studies assessing D_2/D_3 receptor availabilities, DA transporter availabilities, and synaptic DA levels was found. This work concludes that these three parameters may be dependent on patient subgroups,⁹⁶ consistent with previous observations.⁹⁷ Notably, the proposed inverted-U-shaped function between DA availability and D_2 receptor status may reflect balanced versus unbalanced cognition performance.⁹⁸ Within this possible variability spectrum, our findings indicate the higher incidence and degree of positive symptoms in the presence of lower postsynaptic $\text{D}_{2/3}\text{R}$ availability. As a novel proposed interpretation, postsynaptic downregulation may reflect a cognitively stabilizing mechanism, specific to overtly healthy individuals with psychotic-like experiences. Interestingly, cognitive flexibility and stability were shown to be mediated by D_2 receptor signalling.^{99,100} Paradoxically, some of these experiences, particularly odd beliefs, are not necessarily burdensome, but may be valued positively and enhance well-being.⁷² They may constitute responses to a load of other symptoms and distressful experiences, possibly linked to excessive dopaminergic tone. Indeed, a cognition mode similar to odd beliefs was found to decrease

stress under perceptual ambiguity¹⁰¹ and reinstate a sense of agency under a lack of control.¹⁰²

Further considerations relate to spatial striatal subdivisions. Higher [^{11}C]-raclopride BP_{ND} values in the putamen relative to the caudate are consistent with the D_2 receptor distribution in the human brain.^{43,103,104} The spot in the left putamen is consonant with case reports of psychosis triggered by an infarction in this area,¹⁰⁵ but also with an intriguing resolution of psychotic symptoms after a local hemorrhage.¹⁰⁶ It suggests that an organic disruption in this region may induce a dramatic, possibly causative change in symptomology. Furthermore, the loss of left putamen volume was identified as a correlate of delusions¹⁰⁷ and a risk stratifier and predictor of symptom development in individuals at-risk for psychosis.¹⁰⁸ Other studies have reported abnormalities in the putamen in association with schizotypy or psychosis without laterality effects. For example, aberrant signal circuitry in the putamen was correlated with delusions and dependent on the salience network.¹⁰⁹ Intriguingly, bilateral putamen enlargement was associated with schizotypal personality disorder, which has been interpreted as a mechanism mitigating the development of overt psychosis.¹¹⁰ These contradictory volumetric findings may be resolved by integrating the status of D_2 -like receptors, such as the availability of D_2^{High} versus total binding sites or levels of endogenous DA.^{111,112} Notably, the striatum is strategically positioned within the cortico-striato-thalamic re-entrant circuit. Therefore, any structural or functional change in this region *per se* may cause atypical corticostriatal signaling, subserving cognition, emotion, and behavior and their (in-)coherent functioning.^{113,114} Our findings can also be interpreted within the role of striatal DA neuron populations for coding reward prediction error,¹¹⁵ aberrations of which can result in the allocation of attention, which may further drive associative learning and contribute to the formation of delusional beliefs.¹¹⁶

Gene × Environment Interaction

This research also reveals some insights into a polymorphism in *DRD2* (rs1076560; C>A). This intronic SNP is involved in regulating pre-postsynaptic DA signaling balance¹¹⁷ and has been linked to a range of schizophrenia-related endophenotypes in a meta-analysis.¹¹⁸ The possible mechanism underlying our result is a coupling of A alleles with a reduced short (D_2S) to long variant (D_2L) expression ratio. Both D_2S and D_2L autoreceptors¹¹⁷ can differentially modulate the GABA-dependent inhibition of DA release.¹¹⁹ Our finding aligns with the diathesis-stress model¹²⁰ and observed interactions with either rs1076560 or DA risk allele load, for anxiety state and trait,¹²¹ parenting style,¹²² and childhood trauma.¹²³ SNP rs1076560 was also found to interact with the binding of [^{123}I]IBZM

in the putamen to affect the degree of schizotypy.¹²⁴ In consideration of cortico-thalamic input, D₂ receptor density, and neuroplasticity, both genetic and environmental mechanisms may interact locally in the putamen in a way that merits further investigation.

Differentiated Phenotyping

More differentiated phenotyping may better capture symptoms in relation to neurobiology. Despite high positive correlations between SPQ and PAGE-R, only the latter was significantly associated with PET parameters and trauma. Schizotypal traits and psychotic-like experiences are among the phenotypic indicators of schizotypy, conceived as a latent proneness to psychosis or attenuated symptoms.¹²⁵ Both reflect a trait-state specificity, with either more time-invariant or transient features.¹²⁶ The awareness of the measured phenotype, conceptual clarity, and a future consensus⁷⁸ are important, because diverse assessments might have contributed to mixed findings.¹²⁷ Such an observation was noted for the mentioned association between detachment measured by the Karolinska Scales of Personality and D_{2/3}R binding, which did not generalize to other measures of detachment, despite their positive correlations.⁷⁹ Additionally, the sensitivity of [¹¹C]-raclopride to cognitive states may result in different binding in dependency of the expectation to PET scanning.¹²⁸ Importantly, psychotic-like experiences represent a societal issue, with prevalence rates between 5% and 27%.^{19,129} There is evidence for a rather epi-phenomenological nature of these signs; for 80% and 20% of individuals, they remit and become persistent, respectively, with 7% surpassing the threshold for a clinically relevant psychotic disorder.¹³⁰

Cognitive Level and Transition to Clinical Manifestation

Beyond neurobiological implications, the cognition and clinical ramifications also merit discussion. Odd beliefs refer to a mental scaffolding for appraising the world, conferring cognitive-perceptual schemas defying factual knowledge or a conventional understanding of reality.^{131,132} They involve both representational content and assumed veracity,¹³³ remaining self-evident for the holder despite being unauthenticated. These may include beliefs in meaningful coincidences, supernatural phenomena, or bizarre irrational thoughts. Existing research literature recognizes the role of cognitive biases for peculiar belief formation and maintenance.¹³⁴ This may involve biases in jumping to conclusions (i.e., assigning meta-cognitive evidence to observations and experiences),¹³⁵ hypersalience of evidence-hypothesis matches,¹³⁶ or intolerance of ambiguity.¹³⁷ In experimental settings, delusional ideation has been associated with endorsing interpretations despite disconfirmatory evidence and demonstrated in at

least one nonclinical population.¹³⁸ In agreement with our study noting the effect of early stress exposure, one prevalent view situates belief formation at the interface between cognitive and affective information processing.^{139,140} While the cognitive and learning principles are probably involved in the described mechanisms, compared with more modular systems (such as memory or attention), beliefs refer to much more distributed cognitive processes. Therefore, no complete account of beliefs exists,¹⁴¹ and more research is needed. On the functional level and in consideration of our study sample dealing with anomalous experiences, top-down meaning-making mechanisms seem a very plausible driver of belief formation seeking to reconcile uncertainty, pre-existing beliefs, and self-identity.

Psychotic-like experiences are associated with a heightened risk of developing a psychotic disorder in the future.^{19,142} At the same time, some positive (but not negative) features of schizotypy have been linked to psychological well-being.¹⁴³ Numerous researchers also point to personal¹⁴⁴ and environmental¹⁴⁵ resources moderating or mitigating symptom deterioration. Without further empirical data, it is difficult to determine whether similar DA-related downregulation mechanisms rendering deviant experiences more tolerant may operate in clinical psychoses. We speculate this may be the case for certain subgroups according to some latent factors. In addition to D₂-like receptor availability, corticostriatal inhibitory and excitatory influences, which seem to co-vary with positive symptomology,¹⁴⁶ may be the biological factors underlying such clinical differentiation. Notably, phenomena such as supersensitivity psychosis indicate the dynamic and compensatory nature of D₂-like receptors, which are currently not fully understood.¹⁴⁷ The transition to clinical manifestation also touches upon the fundamental question of how psychotic-like experiences are positioned within developmental psychopathology. While substantial evidence supports behavioral, cognitive, and neurobiological overlaps across the psychosis spectrum,^{125,148} recent findings suggest that schizotypy and even more psychotic-like experiences, may share less of a genetic basis with schizophrenia than previously thought.¹⁴⁹ Additionally, some brain morphological findings, such as a tendency to have a thicker prefrontal cortex, may reflect putative protective mechanisms.¹⁵⁰ In this context, our data complement these intriguing findings, focusing on a direct differential molecular effect of DA neurotransmission.

Study Limitations

These findings are based on male participants, precluding examination of the link between ovarian hormone cycles and DA¹⁵¹, the known sex-dependent variability in striatal DA release,¹⁵² and D_{2/3}R binding characteristics.¹⁵³

Moreover, the retrospective measures used may be susceptible to memory bias. Nevertheless, self-reported abuse and trauma tend to be stable across time, independent of current symptomology, and may be reliably collected from healthy individuals and patients.¹⁵⁴ The magnitude of symptoms spanned low to medium values of the measures utilized, suggesting we did not explore more pronounced symptomology. However, this somewhat limited range is as expected because we screened for explicitly healthy individuals and stronger symptoms might correspond to clinical portions of the spectrum. The results are presented in terms of statistical significance, which may not fully overlap with clinical relevance, understood as the impact on clinical practice.¹⁵⁵ Nevertheless, differences between participants with high and low D_{2/3}R availability in the putamen in our sample reached nearly 40%, which seems to be a considerable level. While the sample size is within the range typical for PET studies, given the costs and exposure to radioactivity, this remains a limitation. Furthermore, the genetic component of this work requires replication in a larger sample and should be considered exploratory. Lastly, we could not quantify the relative contribution of D₃ receptor binding. However, the expression of D₃ receptors and their binding in the dorsal striatum are low.¹⁵⁶ Future studies may combine PET tracers acting pre- and postsynaptically, targeting the affinity states of D₂ receptors (e.g., with long-lived [¹⁸F]-agonist ligands), and involve the radiolabeled DA precursor, depletion of endogenous DA, or pharmacological/task-related challenges. Despite these limitations, this study is a rare effort integrating in vivo measurements of DA with other multilevel factors to elucidate the symptoms at the healthy end of the psychosis spectrum.

Conclusions

These results present an updated proposition on psychotic-like symptom origination. Accordingly, childhood adversity may modulate DA signaling in the putamen, with effects on cognitive-perceptual aberrance linked to a biological predisposition. Although emerging below clinical thresholds, these processes may inform our knowledge of the disease phenotype, adaptive/protective factors, and the scientific understanding of unfounded beliefs.

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Conflict of Interest Statement

Dr Walitza has received royalties from Thieme Hogrefe, Kohlhammer, Springer, and Beltz and lecture honoraria from Opopharma in the last 5 years. Her research has been supported by the Swiss National Science Foundation (SNSF), several EU FP7s, HSM High Specialized Medicine of the Canton Zurich, Switzerland, Bfarm Germany, the Hartmann Müller Foundation, the Olga Mayenfisch Foundation, and the Gertrud Thalmann Foundation. Dr Falkai has been an honorary speaker for Janssen-Cilag, AstraZeneca, Eli Lilly, Bristol-Myers-Squibb, Lundbeck, Pfizer, Bayer Vital, SmithKline Beecham, Wyeth, and Essex. During the last 5 years, but not currently, he was a member of the advisory boards of Janssen-Cilag, AstraZeneca, Eli Lilly, and Lundbeck. All the other authors declare no biomedical financial interests or potential conflicts of interest.

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