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# Air pollution and cardiovascular disease hospitalization – Are associations modified by greenness, temperature and humidity?

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# Abstract

**Background:** Studies have observed associations between long-term air pollution and cardiovascular disease hospitalization. Little is known, however, about effect modification of these associations by greenness, temperature and humidity.

**Methods:** We constructed an open cohort consisting of all fee-for-service Medicare beneficiaries, aged 65, living in the contiguous US from 2000 through 2016 (~63 million individuals). We assigned annual average  $PM_{2.5}$ ,  $NO_2$  and ozone zip code concentrations. Cox-equivalent Poisson models were used to estimate associations with first cardiovascular disease (CVD), coronary heart disease (CHD) and cerebrovascular disease (CBV) hospitalization.

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Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

Appendix A. Supplementary data

Supplementary data to this article can be found online at https://doi.org/10.1016/j.envint.2021.106715.

**Results:**  $PM_{2.5}$  and  $NO_2$  were both positively associated with CVD, CHD and CBV hospitalization, after adjustment for potential confounders. Associations were substantially stronger at the lower end of the exposure distributions. For CVD hospitalization, the hazard ratio (HR) of  $PM_{2.5}$  was 1.041 (1.038, 1.045) per IQR increase (4.0 µg/m<sup>3</sup>) in the full study population and 1.327 (1.305, 1.350) per IQR increase for a subgroup with annual exposures always below 10 µg/m<sup>3</sup> PM<sub>2.5</sub>. Ozone was only positively associated with CVD, CHD and CBV hospitalization for the low-exposure subgroup (<40 ppb). Associations of  $PM_{2.5}$  were stronger in areas with higher greenness, lower ozone and  $O_x$ , lower summer and winter temperature and lower summer and winter specific humidity.

**Conclusion:**  $PM_{2.5}$  and  $NO_2$  were positively associated with CVD, CHD and CBV hospitalization. Associations were more pronounced at low exposure levels. Associations of  $PM_{2.5}$  were stronger with higher greenness, lower ozone and  $O_x$ , lower temperature and lower specific humidity.

#### Keywords

 $PM_{2,5}$ ;  $NO_2$ ; Ozone;  $O_x$ ; Cardiovascular disease; Effect modification; Low-level air pollution

# 1. Introduction

Cardiovascular disease (CVD) is a leading cause of morbidity and mortality and produces immense economic burdens in the US [1]. About half of all US adults have a CVD [1]. Although the US death rate from CVD decreased from 2006 to 2016, in 2016 more than 840,000 people died from CVD [1].

Long-term exposure to air pollution, such as particulate matter less than 2.5  $\mu$ m (PM<sub>2.5</sub>) and nitrogen dioxide (NO<sub>2</sub>), has been consistently associated with CVD mortality [2,3]. Evidence for associations with long-term exposure to ozone is more limited [4], but several recent studies reported positive associations with CVD mortality [5–7]. Fewer studies have evaluated associations between long-term air pollution and CVD hospitalization. Several studies that evaluated effects of short-term exposure to air pollution on CVD, indicated that temperature and humidity could modify the effects of air pollution [8–12]. However, less is known about effect modification of temperature and humidity on long-term exposure to air pollution. Furthermore, there is some evidence that greenness, or quantity of surrounding residential vegetation, may modify effects of long-term exposure to air pollution [13–16].

Our aim was to evaluate associations of long-term exposure to  $PM_{2.5}$ ,  $NO_2$ , and ozone with CVD hospitalization in all fee-for-service Medicare beneficiaries in the contiguous US from 2000 through 2016 (~63 million individuals). To identify susceptible sub-populations, we looked at effect modification by demographic characteristics (e.g. sex, age) and other environmental exposures (e.g. temperature, humidity, and greenness). We further evaluated associations at pollutant levels below international regulations.

## 2. Methods

# 2.1. Study population

We derived data from the Medicare denominator and Medicare Provider Analysis and Review (MEDPAR) files to construct an open cohort consisting of all fee-for-service Medicare beneficiaries, aged 65 years, living in the contiguous US from January 1, 2000 through December 31, 2016 (~63 million individuals). Medicare is a national health insurance program in the US that provides health insurance for Americans aged 65 and older and for younger people with disability status. For each beneficiary, follow-up started on January 1st 2000 or January 1st of the year following entry into the cohort. Beneficiaries were followed until the first hospital admission for the outcome of interest, or until they died, were censored, or reached the end of the follow-up time.

#### 2.2. Outcome definition

Data on hospital admissions were obtained from the MEDPAR dataset. This administrative dataset contains all hospital admissions for Medicare fee-for-service beneficiaries from 2000 through 2016. Hospital admissions were defined by ICD-9 codes from 2000 through the third quarter of 2015 and then switched to ICD-10 codes. We looked at first hospital admissions with a primary discharge diagnosis of cardiovascular disease (ICD-9 codes: 390–459, ICD-10 codes: I00-I99), coronary heart disease (ICD-9 code: 410–414, ICD-10 codes: I20-I25), and cerebrovascular disease (ICD-9 codes: 430–438, ICD-10 codes: I60-I69), hereafter referred to as CVD, CHD, and CBV, respectively. We created separate cohorts for CVD, CHD, and CBV hospitalizations.

#### 2.3. Exposure assessment

Detailed information about air pollution models can be found elsewhere [17–19]. Briefly, annual  $PM_{2.5}$ ,  $NO_2$ , and ozone concentrations and summer ozone concentrations across the contiguous US for 2000–2016 were estimated based on predictions from well-validated spatio-temporal ensemble models [17–19]. Daily ambient  $PM_{2.5}$ , 1-hour daily maximum  $NO_2$  and ozone concentrations were estimated for each grid cell (1 km × 1 km) by combining predictions from three machine learning algorithms (random forest, gradient boosting, and neural network) in a geographically-weighted regression. The algorithms were based on multiple predictors, including satellite data, meteorological variables, land-use variables, and chemical transport model predictions. The overall cross-validated  $R^2$  for annual estimates was 0.89 for  $PM_{2.5}$ , 0.84 for  $NO_2$ , and 0.86 for ozone [17–19]. For each zip code, the annual average concentrations were estimated by averaging the estimations at grid cells whose centroids fall within the boundary of that ZIP code. Annual air pollution zip code level estimates were assigned to beneficiaries that live within that zip code.

#### 2.4. Covariates

The Medicare beneficiary file provides information about age at year of Medicare entry, year of entry, sex, race, Medicaid eligibility (a proxy for low socioeconomic status), and zip code of residence for all Medicare beneficiaries. As we lack information about individual-level SES (except Medicaid eligibility) and because SES has multiple dimensions (e.g. income,

occupation, education), we included multiple area-level SES variables. We linked zip codelevel SES variables derived from the US Census and American Community Survey: median home value, median household income, population density, percent Hispanic, percent Black, percent of the population with less than a high school degree, percent below the poverty level, and percent of owner-occupied housing units). Two county-level variables (% population that were ever smokers and mean BMI) were acquired from the nationwide Behavioural Risk Factor Surveillance System (BRFSS). BRFSS is the nation's premier system of surveys that collect information about health-related risk behaviours of US residents. US census (2000, 2009–2016) and BRFSS (2000–2011) variables were available for some years but not all. Temporal interpolation using a moving average algorithm within each zip code was performed for missing years, as described previously [20]. Further, we divided the US into 5 regions (Northeast, Southeast, Midwest, Southwest, and West) based on geographical position, climate, and cultural differences (Figure S1), similar to Shi et al. [21].

For each zip code, the Normalized Difference Vegetation Index (NDVI, an indicator of greenness) was estimated using satellite imagery. The NDVI is calculated as the ratio between the red and near infrared values, and ranges from – 1 to 1 [22]. Values close to 1 correspond to areas with complete coverage by live vegetation, values close to zero correspond to areas without much live vegetation (e.g. rocks, sand) and negative values correspond to water. We used Landsat 7 and Landsat 8 (Collection 1 Tier 1 DN values, representing scaled, calibrated at sensor radiance) images for the entire US from June 1, up to August 31 (summer), for each year (2000–2016). Landsat 7 and Landsat 8 images are generated every 16 days at 30 m resolution. Using Google Earth Engine (https://earthengine.google.com/), cloud-free Landsat composites were created for the US. We calculated the spatially weighted (cells that are partially included in a zip code are given a weight based on the overlap) mean summer NDVI for each zip code in the US for each year, after setting negative NDVI values to zero.

For each zip code for each year (2000–2016), annual (January-December), summer (June-August) and winter (December-February) daily maximum temperature and daily ambient specific humidity were estimated using data from the Gridded Surface Meteorological dataset [23]. The Gridded Surface Meteorological dataset provides daily surface fields of maximum temperature and daily ambient specific humidity (kg of water vapor / kg of dry air) at  $\sim 4$  km spatial resolution covering the contiguous US. We calculated the spatially weighted mean annual, summer and winter maximum temperature and daily ambient specific humidity, hereafter referred to as annual/summer/winter temperature and annual/summer/winter specific humidity. Correlations between zip-code level seasonal average temperature in different years were generally very strong (Pearson r > 0.90). This was also true for seasonal average specific humidity in different years.

We calculated the combined oxidant capacity  $(O_x)$  of NO<sub>2</sub> and ozone, using a redoxweighted average (i.e.  $O_x = [(1.07 \times NO_2) + (2.075 \times O_3)]/3.145)$  [24]. The spatial variation of greenness,  $O_x$ , summer and winter temperature and specific humidity is shown in Figure S2.

#### 2.5. Statistical analysis

Our large-scale cohort (~63 million individuals) and the conventional Cox proportional hazard model led to computational challenges (e.g., inadequate memory size and lengthy computational time). To overcome these challenges, we applied a Cox-equivalent reparameterized Poisson approach [21]. The key aspect of this approach was to collapse the individual-level records to a high-dimensional space of features, while keeping the integrity of stratum units for analysis. All people that live within the same zip code in a specific year, with the same sex, race, Medicaid eligibility, 2-year categories of age at study entry and year of follow-up were aggregated and treated as one single grid cell in this high-dimensional space, because they belonged to the same stratum and as such were treated as interchangeable in the analysis. Using this method, we considerably reduced the data size.

Specifically, we fitted a stratified quasi-Poisson model to estimate associations of timevarying annual mean PM<sub>2.5</sub>, NO<sub>2</sub>, and ozone with the rate of first CVD, CHD, and CBV hospitalizations. The dependent variable was the count of outcome-related first hospitalizations in each follow-up year, calendar year, and zip code location within strata specified by individual characteristics [sex, race, Medicaid eligibility, and age at study entry (2-year categories)], using the corresponding total person-time of Medicare beneficiaries as the offset. By stratifying on individual characteristics (sex, race, Medicaid eligibility, 2-year categories of age at study entry and year of follow-up), we allowed for flexible strata-specific baseline rates. Mathematically, this stratified Poisson model is equivalent to a time-varying Cox proportional hazard model under an Anderson-Gill representation. To account for within zip code correlated observations across years, we applied an m-out-n bootstrap method using zip code units to calculate statistically robust confidence intervals (CIs). Details about the Cox-equivalent re-parameterized Poisson approach can be found elsewhere [21].

We *a priori* specified four models with increasing degrees of covariate adjustment. Model 1 included all three pollutants, calendar year, an offset for total person-time, and strata for all possible combinations of sex, race, Medicaid Eligibility age at study entry (2-year categories), and follow-up year. In Model 2 we additionally adjusted for all US census covariates. In Model 3 we included BRFSS covariates and in Model 4 we included region. We evaluated the shape of the exposure–response curves for each pollutant by adding natural splines with 2, 3 or 4 degrees of freedom. In addition, we estimated associations at pollutant levels below international regulations, by restricting analyses to individuals with annual exposures always below 10  $\mu$ g/m<sup>3</sup> for PM<sub>2.5</sub>, 20 ppb for NO<sub>2</sub>, and 40 ppb for ozone. In all models, all three pollutants were included simultaneously unless otherwise stated.

To evaluate whether associations of  $PM_{2.5}$ ,  $NO_2$ , and ozone were modified by greenness, temperature, and specific humidity, we performed stratified analyses. As there is some evidence that long-term summer and winter temperature are related to increased mortality in the US [25–27], we evaluated whether associations of air pollution were modified by summer and winter average temperature and summer and winter average specific humidity. As the literature suggests that health effects of greenness are stronger in urban areas [28], and types of green spaces likely differ between urban and rural areas, we also evaluated whether associations of  $PM_{2.5}$ ,  $NO_2$ , and ozone differed across strata of greenness in urban

areas (zip codes with a population density of  $1000 \text{ persons/miles}^2$ ). Further, we evaluated whether associations of PM<sub>2.5</sub> were modified by the combined oxidant capacity (O<sub>x</sub>) of NO<sub>2</sub> and ozone, by stratified analyses (model including only PM<sub>2.5</sub> and not NO<sub>2</sub> and ozone). Further, we performed stratified analyses to assess potential effect modification by sex (male, female), age (<75 years, 75–84 years, 85 years), race (white, black, other/unknown), Medicaid eligibility (as an indicator for SES), and region (Northeast, Southeast, Midwest, Southwest, West).

We assessed several sensitivity analyses to test whether our results were robust. We excluded individuals who had their first hospital admission within the first year of their follow-up and all records in the year 2000, to exclude potential prevalent cases. We ran single-exposure models and we included summer average ozone (June-August) instead of annual average ozone. To evaluate the impact of adjustment for potential confounders on effect modification by greenness, temperature and specific humidity for CVD hospitalization, we ran models including calendar year, region, an offset for total person-time, and strata for all possible combinations of sex, race, Medicaid Eligibility age at study entry (2-year categories), and follow-up year (excluding US census covariates and BRFSS covariates). Further, we performed effect modification analyses by annual average temperature and annual average specific humidity for CVD hospitalization. All hazard ratios (HRs) were expressed per IQR increase (based on the CVD cohort).

All analyses were conducted on the Harvard Research Computing Environment, which is supported by the Institute for Quantitative Social Science at Harvard University. We used R software (R Project for Statistical Computing) version 3.6.1 for our analyses.

# 3. Results

The full cohort consisted of 63,009,173 Medicare beneficiaries living in the contiguous US in 2000–2016. The vast majority of our cohort was white, between 65 and 74 years of age at study entry and not eligible for Medicaid (Table 1). We observed about 18.6 million first CVD hospital admissions, of which approximately 35% were CHD hospital admissions and 30% were CBV hospital admissions. The median follow-up period was 5 years for the CVD cohort, and slightly longer for the CHD and CBV cohort (Table 1). We observed the highest PM<sub>2.5</sub> concentrations in the Southeast and Midwest of the US, while ozone levels were highest in the Southwest and West (Fig. 1). The highest NO<sub>2</sub> concentrations were observed in urban areas. The variation (median/IQR) was largest for NO<sub>2</sub> and lowest for ozone (Table S1). Descriptive statistics of the low-level cohort are shown in Table S2. PM<sub>2.5</sub> and NO<sub>2</sub> were moderately positively correlated (Pearson r = 0.43), ozone was very weakly negatively correlated with PM<sub>2.5</sub> (Pearson r = -0.03) and NO<sub>2</sub> (Pearson r = -0.12, Figure S3).

In our minimally adjusted model,  $PM_{2.5}$  and ozone were positively associated with CVD, CHD, and CBV hospitalization (Figure S4). After adjustment for potential confounders, associations of  $PM_{2.5}$  attenuated but remained while associations of ozone became negative (Table 2). NO<sub>2</sub> was inversely associated with CVD, CHD, and CBV hospitalization in our minimally adjusted model. After adjustment for potential confounders, associations of NO<sub>2</sub> were positive. Associations of  $PM_{2.5}$  and NO<sub>2</sub> were linear to supra-linear, while associations

with ozone were positive at the low end of the distribution (Figure S5). Associations were substantially stronger for subgroups of the study population with annual exposures always below 10  $\mu$ g/m<sup>3</sup> for PM<sub>2.5</sub> and with annual exposures always below 20 ppb for NO<sub>2</sub> (Table 2). For ozone, we observed strong positive associations with all outcomes for individuals with ozone exposure always below 40 ppb. When restricting analyses to individuals in the low-level NO<sub>2</sub> subgroup, associations of PM<sub>2.5</sub> were fairly similar to associations of PM<sub>2.5</sub> in the full cohort (Table S3). This also applies to associations of NO<sub>2</sub> in the low-level PM<sub>2.5</sub> subgroup. In the low-level subgroup of ozone, associations of PM<sub>2.5</sub> were also substantially stronger, while NO<sub>2</sub> was not associated with the outcomes.

Associations with  $PM_{2.5}$ ,  $NO_2$ , and ozone were modified by greenness, temperature, and specific humidity (Fig. 2). In general, we observed stronger associations of  $PM_{2.5}$  with lower summer and winter temperature and lower summer and winter specific humidity. Associations of  $PM_{2.5}$  were similar in the lowest and middle greenness tertile and strongest in the highest greenness tertile. For  $NO_2$  and ozone, patterns of effect modification were less clear. In general, the strongest associations of  $NO_2$  were observed with lower temperature and specific humidity, but we also observed negative associations in the highest summer and winter temperature tertiles. For ozone, associations were generally negative, except for associations in the lowest temperature and specific humidity tertiles. In urban areas, associations of  $PM_{2.5}$  and  $NO_2$  were strongest in the highest greenness tertile (Table S4). Stratified analyses by  $O_x$  showed that associations of  $PM_{2.5}$  were stronger with lower  $O_x$ concentrations (Table S5).

Effect modification by demographics was most pronounced for Medicaid eligibility, race, and region (Fig. 3). Associations of  $PM_{2.5}$  were weaker for individuals eligible for Medicaid compared to individuals not eligible for Medicaid. For NO<sub>2</sub>, we found positive associations for individuals not eligible for Medicaid but not for individuals that were eligible. For  $PM_{2.5}$ , we observed stronger associations for white individuals, while for NO<sub>2</sub> associations were slightly stronger for black individuals. We found no or positive associations of ozone in the northern regions (Northeast, Midwest, and West), and negative associations in the southern regions (Southeast and Southwest).

Single-exposure models showed stronger associations for  $PM_{2.5}$  and especially  $NO_2$  compared to models including all three pollutants (Table S6). In the multi-pollutant models, associations of ozone were similar to associations of ozone in single-exposure models. Associations of all three pollutants were similar in sensitivity analyses including summer ozone instead of annual average ozone. Associations were robust to exclusion of potential prevalent cases. For  $PM_{2.5}$ , patterns of effect modification by greenness, temperature and specific humidity were similar in models adjusted for area-level SES indicators compared to models not adjusted for area-level SES indicators (Table S7). For  $NO_2$  and ozone, associations in strata of greenness, temperature and specific humidity differed between models, in line with differences in associations between Model 1 and Model 4 in the full population (Figure S4). For CVD hospitalization, patterns of effect modification by annual temperature and annual specific humidity were similar to patterns effect modification by summer and winter temperature and summer and winter specific humidity, respectively (Table S8).

# 4. Discussion

We found positive associations of  $PM_{2.5}$  and  $NO_2$  with cardiovascular disease, coronary heart disease, and cerebrovascular disease hospitalization. Our results are in line with previous studies about  $PM_{2.5}$  and CVD incidence [29–31]. Evidence for associations of  $NO_2$  with CVD incidence is mixed [30,32,33]. Both pollutants may induce oxidative stress, vascular dysfunction, and autonomic nervous system imbalance, thereby contributing to the development of CVD [34,35]. Associations of  $PM_{2.5}$  and  $NO_2$  were substantially stronger at the lower end of the exposure distribution. This is consistent with other studies that evaluated associations of low level  $PM_{2.5}$  with mortality [20,36,37].

We observed supra-linear associations of  $PM_{2.5}$  and  $NO_2$  with all three outcomes. The supra-linear curves could be due to changes in composition of the air pollution mixture across the air pollution distribution or a potential saturation effect [36,38]. An increase in measurement error at high concentrations could also have affected the shape of the association. This seems unlikely, given the strong performance of the  $PM_{2.5}$  and  $NO_2$  models at high concentrations [18,19]. However, our zip code level exposures were assessed by averaging the model estimations. In urban zip codes, there may be more variation in air pollution concentrations due to the presence of multiple sources, which may result in an increase in measurement error compared to more rural zip codes. This is especially true for  $NO_2$ , as  $NO_2$  is primarily emitted by local traffic and has a shorter atmospheric lifetime than  $PM_{2.5}$  [18]. However, urban zip codes generally cover much smaller geographic areas than rural zip codes.

In the full study population, ozone was very weakly negatively associated with CVD, CHD, and CBV hospitalization. A previously published study reported a positive association of ozone with CVD hospitalization in the Southeast of the US [29]. Other studies about effects of long-term exposure to ozone on CVD mortality in North America also showed positive associations [5–7]. However, recent reviews of associations of ozone reported no associations with all-cause mortality (HR 0.97, 95% CI: 0.93, 1.02 per 10  $\mu$ g/m<sup>3</sup>) and cardiovascular mortality (HR = 1.01, 95% CI: 0.99, 1.03 per 10  $\mu$ g/m<sup>3</sup>) [4,39]. Further, only a limited number of studies evaluated the shape of the exposure–response curve [39]. We found positive associations of ozone with CVD, CHD, and CBV hospitalizations in northern regions of the US and in subgroups of individuals that were exposed to levels always below 40 ppb. In zip codes with high ozone levels, personal exposure may be affected by adaptive strategies and ozone alerts. Ozone is generally higher in rural areas, where access to health care may be limited which could have affected the associations.

Patterns of effect modification by greenness, temperature, specific humidity and oxidant capacity are likely due to a combination of differences in air pollution composition and population characteristics. Stronger associations for  $PM_{2.5}$  were seen in areas with higher greenness. In urban areas, associations of  $PM_{2.5}$  and  $NO_2$  were also stronger with higher greenness. Several studies have showed that greenness was associated with better health outcomes, especially in urban areas [28,40], and therefore may reduce susceptibility to air pollution. However, evidence about effects of greenness on CVD is mixed [28].

Two studies that evaluated effect modification by greenness showed no or weaker effects of air pollution on CVD mortality in green areas compared to less green areas [13,14]. Kioumourtzoglou et al., on the other hand, showed stronger associations between  $PM_{2.5}$  and all-cause mortality with increasing greenness in US cities [15]. The supra-linear curve may have affected the strength of the association of NO<sub>2</sub> across greenness tertiles, as NO<sub>2</sub> concentrations were lower with increasing greenness (Table S9). However, we did not observe this trend for  $PM_{2.5}$ .  $PM_{2.5}$  is a mixture of particles from various sources, such as traffic emissions, biomass burning and organic dust, and the composition might differ between tertiles of greenness, which could affect the toxicity of  $PM_{2.5}$ . Further, the spatial contrast in NO<sub>2</sub> and  $PM_{2.5}$  might be limited in (non-green) urban areas, which makes it hard to capture effects of both pollutants.

Decreases in summer and winter temperature and specific humidity were associated with stronger PM2 5 associations and to a lesser extent NO2 associations. Our results are in contrast with a study that reported stronger associations between long-term PM2.5 and mortality in cities with high temperatures in the US [15]. However, several studies reported stronger associations of short-term exposure to air pollution with cardiovascular hospitalization on cold winter days [10,12,41–43]. Moreover, some studies showed stronger associations for short-term air pollution with decreasing humidity [10,44]. As there is considerable overlap between summer and winter temperature and specific humidity, it is difficult to disentangle the impact of each modifier on the associations. Exposure to cold and dry air might impact the cardiovascular system and therefore increases susceptibility to air pollution exposure. Dry air could dry the mucosal surface [45] and in turn impair the airway clearance processes that help to protect the lungs [46,47]. However, effects of long-term exposure to warm and cold temperatures and high and low humidity levels on the cardiovascular system are not yet well understood. Temperature and specific humidity also play a role in composition of the air pollution mixture. A possible explanation for the stronger associations is that in more humid conditions, the size of particles may increase by moisture absorption [48]. This might impact the effect of the air pollution mixture as smaller particles penetrate deeper into the lungs and could enter the bloodstream [49]. Warm temperatures and sunlight intensity may accelerate photochemical aging of particles which affects the chemical properties of the air pollution mixture [50,51]. Secondary aerosols formed by photochemical formation may be less toxic than their precursors. Furthermore, the impact of home heating emissions (from coal and wood burning) on the air composition is likely stronger in the lowest summer and winter temperature tertiles. We also note that in the lowest temperature and specific humidity tertiles, ozone concentrations were generally lowest (Table S9). Hence, the supra-linear associations of ozone may have affected the effect modification pattern.

We found substantially stronger associations of  $PM_{2.5}$  in the low-level ozone subgroup and also observed stronger associations of  $PM_{2.5}$  with lower  $O_x$  concentrations. A Canadian study found no clear pattern of effect modification by  $O_x$  or ozone of the associations between  $PM_{2.5}$  and cardiovascular disease mortality [52]. Positive (significant) associations of  $PM_{2.5}$  were found in the highest and lowest tertiles of  $O_x$  and ozone, but not in the middle tertiles [52]. Another study in Canada showed positive associations of  $PM_{2.5}$  with mortality in the high  $O_x$  group and negative (insignificant) associations in the low  $O_x$  group

[53]. We have no clear explanation for the stronger associations of  $PM_{2.5}$  with lower  $O_x$  and ozone concentrations in our study, but note that associations of ozone with CVD mortality were positive at lower levels but not across the full distribution range. Little is known about exposure–response curves of  $O_x$ .

The stronger associations of PM2.5 for white individuals and NO2 for black individuals could indicate differences in susceptibility to both pollutants. The difference could also be due to variations in exposure levels and air pollution composition, as black individuals generally live in more urban areas. The weak or null associations of  $PM_{2.5}$  and  $NO_2$ for individuals eligible for Medicaid (an indicator of a low SES) is in contrast to other studies that generally found stronger associations with decreasing SES [15,30]. We speculate that Medicaid eligible individuals might have higher rates of pre-existing conditions and are more susceptible to other risk factors which could attenuate the associations of air pollution, as differences in incidence rates across the exposure distribution might be limited. Associations of PM2.5 and NO2 by region were in line with results of effect modification by temperature and humidity; associations were generally stronger in the Northeast and Midwest (regions with low temperatures and specific humidity) and weaker in the Southeast (a region with high temperatures and specific humidity). Further, we note that in the Southeast, isoprene and monoterpene emissions from trees during warm conditions are likely higher compared to other regions [19,53]. Isoprene and monoterpene may play a role in the formation of aerosols and in turn affect the air pollution mixture [54,55], which may have resulted in the absence of associations of PM2.5 in the Southeast. Associations of ozone by region, were in line with the exposure-response curves, with positive associations in regions with low ozone levels and vice versa.

This study has several strengths. Our cohort consists of approximately 63 million Medicare FFS beneficiaries living in the contiguous US. The large cohort made it possible to perform stratified analyses by demographics, greenness, temperature and specific humidity. We included PM<sub>2.5</sub>, NO<sub>2</sub>, and ozone simultaneously in our models, to estimate associations for each exposure while accounting for the other exposures. Use of Medicare data also allowed us to have a fairly representative sample of individuals aged 65 + years across the US. However, we note that the Medicare FFS population does not include all Medicare beneficiaries. The portion of Medicare FFS beneficiaries in the total Medicare population differed over time and by region. Medicare-fee-for-service beneficiaries may have switched to Medicare managed care plan and back during our follow-up period, which could have resulted in some missed cases in our data, as we have no information on Medicare-HMO hospitalization claims. Our study also has some limitations. Exposures were assigned on zip code level which resulted in some measurement error. We believe this measurement error was likely non-differential and would only bias the associations towards the null. We were unable to adjust for individual-level SES (other than Medicaid eligibility) and lifestyle factors, such as income, education, smoking and BMI, which may have resulted in an overestimation of the associations. However, a previously published study reported no associations between air pollutants and either smoking or body mass index in the Medicare Current Beneficiary Survey, a representative subsample of Medicare enrollees [20]. We included various zip code SES factors, that are likely related to individual SES.

# 5. Conclusion

Long-term exposure to  $PM_{2.5}$  and  $NO_2$  were associated with an increased risk of cardiovascular disease, coronary heart disease and cerebrovascular disease hospitalization in a nationwide study in the US. Associations were substantially stronger at low exposure levels. Ozone was only associated with an increased risk for all outcomes at low exposure levels. Associations of  $PM_{2.5}$  were stronger with higher greenness levels, lower ozone and  $O_x$ , lower summer and winter temperature and lower summer and winter specific humidity.

## Supplementary Material

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The spatial variation of the mean annual  $PM_{2.5}$ ,  $NO_2$  and ozone concentration per zip code in the contiguous US (year = 2010).

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#### Fig. 2.

Associations of PM2.5, NO2 and ozone with cardiovascular disease (CVD), coronary heart disease (CHD) and cerebrovascular disease (CBV) hospitalization in stratified analyses by tertiles of NDVI, summer temperature (summer temp), winter temperature (winter temp), summer specific humidity (summer humidity) and winter specific humidity (winter humidity) <sup>a, b</sup>. <sup>a</sup> Associations are expressed per IQR increase (IQR PM<sub>2.5</sub> =  $4.0 \,\mu\text{g/m}^3$ , IQR NO2 = 13.9 ppb, IQR Ozone = 4.4 ppb) of the cardiovascular disease hospitalization cohort. Models included PM2.5, NO2 and ozone and were adjusted for calendar year, US census covariates, BRFSS covariates, US regions, an offset for total person-time and strata for all possible combinations of sex, race, Medicaid Eligibility, age at study entry (2-year categories), and follow-up year. <sup>b</sup> To define strata, we used the following quantiles (q33.3, q66.7) for the CVD cohort: summer temp (°C): 28.2, 31.7; winter temp (°C): 4.8, 13.1; summer humidity (g of water vapor / kg of dry air): 10.9, 13.4; winter humidity (g of water vapor / kg of dry air): 2.7, 4.1; NDVI: 0.41, 0.60. For the CHD cohort: summer temp (°C): 28.2, 31.7; winter temp (°C): 4.8, 13.1; summer humidity (g of water vapor / kg of dry air): 11.0, 13.4; winter humidity (g of water vapor / kg of dry air): 2.7, 4.1; NDVI: 0.42, 0.60. For the CBV cohort: summer temp (°C): 28.2, 31.7; winter temp (°C): 4.8, 13.1; summer humidity (g of water vapor / kg of dry air): 11.0, 13.4, winter humidity (g of water vapor / kg of dry air): 2.7, 4.1; NDVI: 0.42, 0.60.

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#### Fig. 3.

Associations of  $PM_{2.5}$ ,  $NO_2$  and ozone with cardiovascular disease, coronary heart disease and cerebrovascular disease hospitalization in stratified analyses by age (65–74, 75–84, 85 + years), Medicaid eligibility (not eligible, eligible), race (White, Black, unknown/other), US region (Midwest, Northeast, Southeast, Southwest and West) and sex (male, female) <sup>a</sup>. <sup>a</sup> Associations are expressed per IQR increase (IQR  $PM_{2.5} = 4.0 \ \mu g/m^3$ , IQR  $NO_2 = 13.9 \ ppb$ , IQR Ozone = 4.4 ppb) of the cardiovascular disease hospitalization cohort. Models included  $PM_{2.5}$ ,  $NO_2$  and ozone and were adjusted for calendar year, US census covariates, BRFSS covariates, US regions, an offset for total person-time and strata for all possible combinations of sex, race, Medicaid Eligibility, age at study entry (2-year categories), and follow-up year.

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Descriptive statistics of all US Medicare fee-for-service beneficiaries (n = 63,009,173) from 2000 through 2016 and in subsets of the study population including only Medicare beneficiaries with low exposure levels  $a^{a,b}$ .

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Covariate	Category	Full cohort	Low-level PM <sub>2.5</sub>	Low-level NO <sub>2</sub>	Low-level ozone
		N (%)	N (%)	N (%)	N (%)
Number of hospitalizations	CVD	18,610,833 (29.5)	3,755,279 (17.6)	6,860,947 (25.1)	8,362,303 (29.6)
	CHD	6,607,687 (10.5)	1,323,950 (6.3)	2,561,172 (9.4)	2,914,271 (10.7)
	CBV	5,551,735 (8.8)	985,934 (4.7)	1,933,203 (7.1)	2,340,200 (8.7)
Total person years (median per person)	) CVD	401,315,016 (5)	99,144,014 (3)	152,544,344 (4)	146,294,509 (4)
	CHD	448,888,035 (6)	105,830,022 (4)	167,032,565 (5)	158,088,646 (4)
	CBV	460,574,345 (6)	107,822,290 (4)	171,669,892 (5)	160,960,817 (4)
	Demographic cl	haracteristics at stud	y entry		
Sex	Female	34,725,534 (55.1)	11,110,862 (52.1)	14,518,670 (53.1)	15,417,275 (54.6)
Age entry	65–74 years	48,240,802 (76.6)	18,721,927 (87.8)	23,089,599 (84.4)	21,313,724 (75.5)
	75–84 years	10,819,118 (17.2)	1,850,369 (8.7)	3,085,751 (11.3)	4,877,852 (17.3)
	85 + years	3,949,253 (6.3)	740,483 (3.5)	1,183,791 (4.3)	2,043,443 (7.2)
Race	White	53,262,938 (84.5)	18,252,212 (85.6)	24,220,341 (88.5)	23,265,492 (82.4)
	Black	5,511,612 (8.7)	1,290,529 (6.1)	1,849,345~(6.8)	2,696,881 (9.6)
	Other/unknown	4,234,623 (6.7)	1,770,038 (8.3)	1,289,455 (4.7)	2,272,646 (8.0)
Medicaid eligibility	Not eligible	55,164,043 (87.5)	19,003,470 (89.2)	24,236,200 (88.6)	24,551,536 (87.0)
	Eligible	7,845,130 (12.5)	2,309,309 (10.8)	3,122,941 (11.4)	3,683,483 (13.0)
Region	Midwest	15,254,270 (24.2)	3,444,574 (16.1)	6,238,743 (22.8)	10,118,066 (35.8)
	Northeast	13,880,261 (22.0)	4,461,736 (20.9)	3,539,969 (12.9)	8,319,138 (29.5)
	Southeast	17,454,647 (27.7)	5,406,735 (25.4)	10,923,504 $(39.9)$	4,437,350 (15.7)
	Southwest	6,616,448 (10.5)	2,566,482 (12.0)	3,428,315 (12.5)	1,625,681 (5.8)
	West	9,803,547 (15.6)	5,433,252 (25.5)	3,228,610 (11.8)	3,734,784 (13.2)

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beneficiaries with exposure levels always below 20 ppb NO2 were included (for CVD n = 27,359,141; for CHD n = 27,123,026; for CBV n = 27,073,535). For ozone, Medicare beneficiaries with exposure

b Demographic characteristics in subsets of the study population including only Medicare beneficiaries with low exposure levels are given for the CVD subsets.

levels always below 40 ppb ozone were included (for CVD n = 28,235,019; for CHD n = 27,220,654; for CBV n = 26,992,359).

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# Table 2

cerebrovascular disease hospitalization (CBV), in all US Medicare fee-for-service beneficiaries (Full, n =63,009,173) 2000–2016 and in subsets of Associations of PM<sub>2..5</sub>, NO<sub>2</sub>, and ozone with cardiovascular disease hospitalization (CVD), coronary heart disease hospitalization (CHD), and the study population including only Medicare beneficiaries with low exposure levels (Low-exposure)  $a^{a,b}$ .

Exposure (IQR)	CVD hospitalization		CHD hospitalization		CBV hospitalization	
	Full	Low-exposure	Full	Low-exposure	Full	Low-exposure
	HR (95% CI)	HR (95% CI)	HR (95% CI)	HR (95% CI)	HR (95% CI)	HR (95% CI)
PM <sub>2.5</sub> (4.0 μg/m <sup>3</sup> )	1.041 (1.038, 1.045)	1.327 (1.305, 1.350)	1.035 (1.030, 1.040)	1.346 (1.321, 1.372)	1.071 (1.066, 1.076)	1.405 (1.380, 1.430)
NO 2 (13.9 ppb)	1.033 (1.028, 1.037)	1.226 (1.210, 1.242)	1.023 (1.017, 1.029)	1.209 (1.19, 1.229)	1.025 (1.020, 1.031)	1.237 (1.215, 1.259)
Ozone (4.4 ppb)	0.992 ( $0.990$ , $0.994$ )	1.375 (1.359, 1.391)	0.997 (0.994, 1.001)	1.46 (1.437, 1.482)	$0.990\ (0.988,\ 0.993)$	1.407 (1.389, 1.426)

beneficiaries with exposure levels always below 20 ppb NO2 were included (for CVD n = 27,359,141; for CHD n = 27,123,026; for CBV n = 27,073,535). For ozone, Medicare beneficiaries with exposure ,045,932). For NO2, Medicare levels always below 40 ppb ozone were included (for CVD n = 28,235,019; for CHD n = 27,220,654; for CBV n = 26,992,359).

b Associations are expressed per IQR increase of the cardiovascular disease hospitalization cohort. Models included PM2.5, NO2 and ozone and were adjusted for calendar year, US census covariates, BRFSS covariates, US regions, an offset for total person-time and strata for all possible combinations of sex, race, Medicaid Eligibility, age at study entry (2-year categories), and follow-up year.