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## Applying stem cells and CRISPR engineering to uncover the etiology of schizophrenia

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### Abstract

Schizophrenia is a highly heritable, polygenic disorder. A growing list of common genetic variants have been associated with schizophrenia; there is a clear need to understand the role of these risk factors in the etiology of disease. The majority of these variants occur in non-coding regions of the genome, and are thought to regulate the expression of one or more genes in a cell type specific fashion. Recent advances in stem cell biology and molecular genetics have resulted in two invaluable advances: hiPSC technology makes possible the generation of donor-specific disease-relevant neural cell types, while CRISPR-based techniques can be applied to manipulate individual variants and/or their gene targets. New multiplexed gene manipulation and CRISPR screening techniques show great promise towards dissecting the complex interactions between the myriad disease-associated variants. This review outlines key advances in hiPSC and CRISPR technology, describing their applications and future potential in the field of schizophrenia research.

### INTRODUCTION

Schizophrenia is a common yet severe neuropsychiatric disorder impacting approximately 0.3% of the global population<sup>1</sup>. Twin-based studies have estimated its heritability to

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#### AUTHOR CONTRIBUTIONS

PMJD and KJB wrote the manuscript.

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be around 80–85%<sup>2,3</sup>. The polygenic genetic architecture of schizophrenia includes rare genetic variants of large impact (e.g. copy number variants (CNVs)), as well as hundreds of common variants of small effect (e.g. single nucleotide polymorphisms (SNPs))<sup>4–6</sup>. Although in isolation each SNP confers little disease risk, in aggregate a high polygenic risk score (PRS) substantially increases the risk of schizophrenia<sup>7</sup>. Most SNPs occur in non-coding portions of the genome<sup>8</sup>, and half (48.1%) colocalize variants linked to the expression of proximal gene targets in the brain (expression quantitative trait loci (eQTLs))<sup>9</sup>, although they frequently are thought to also regulate distal genes through *trans* mechanisms (such as the expression of diffusible factors)<sup>10</sup> or *cis* mechanisms involving chromatin folding.<sup>11</sup>

There is a critical need to understand the impact of the hundreds of variants linked to schizophrenia, towards uncovering novel pathways and mechanisms underlying schizophrenia risk, and thus potential targets for therapeutic interventions. Here we discuss the most recent developments in stem cell-based technology as a model for studying the mechanisms and pathology underlying schizophrenia, with a particular focus on the potential for unravelling the common genetic variation linked to this disease using these models. We outline the current capabilities of hiPSC technology and further detail the state of the CRISPR field. We discuss the existing limitations and future potential of methods to engineer the genome in a donor-specific fashion across the major cell types of the brain. Overall, we consider impact of functional genomic studies attempting to capture the breadth and complexity of the genetic risk architecture linked to schizophrenia.

## STEM CELLS AS A TOOL FOR STUDYING SCHIZOPHRENIA RISK

The advent of hiPSC technology resulted in a patient-specific platform to study development and disease in human cells of interest. hiPSC-derived brain cells can be used to identify disease-specific cellular phenotypes in patient derived lines<sup>12</sup>, as a platform for drug screening<sup>13</sup> and to uncover the impact of genes and variants linked to disease risk, onset, progression, and treatment response<sup>14</sup>. New large collections of patient-derived hiPSCs makes it possible to explore disease mechanisms in a cell-type-specific and donor-dependent manner.

It is now possible to generate all of the major cell types of the brain using hiPSCs, with most widely used protocols following one of two major approaches: i) recapitulation of the development signals that specify cell patterning in the developing human brain using morphogens and/or small molecules<sup>15,16</sup>, and ii) forced overexpression of one or more transcription factors critical to cell fate patterning<sup>17,18</sup>. These approaches permit the generation of many of the cell types implicated in schizophrenia pathophysiology, including glutamatergic<sup>15,17</sup>, GABAergic<sup>18,19</sup> and dopaminergic neurons<sup>20,21</sup>, astrocytes<sup>22,23</sup>, and microglia<sup>24</sup> (SEE FIGURE 1), with yields that vary across methodologies but that in some cases can exceed 95%<sup>17,25</sup>. Nonetheless, both cell type composition and functional maturity frequently vary between donors, and even hiPSC lines derived from the same donor, due to genetic background and stochastic differences between differentiations<sup>26</sup>. This inter- and intra-donor variability should be considered in the design and analysis of hiPSC-based studies. It is also worth noting that neurons derived from hiPSC lines typically adopt a

cellular identity and gene expression consistent with neurons in fetal tissue<sup>27</sup>, and so are often best suited to the study of the genetic risk during neurodevelopment.

hiPSC lines generated from schizophrenia cases possess all of genetic variants sufficient to result in a disease state, even if these are not yet understood. The impact of these genetic backgrounds can be seen in a number of schizophrenia-relevant cellular phenotypes. Neurons derived from schizophrenia patient hiPSC lines exhibit reduced neuronal connectivity and expression of synaptic proteins, decreases in neurite number, alterations in gene expression, deficits in mitochondrial function and increased oxidative stress<sup>12,28–31</sup>. These findings are consistent with many of the cellular phenotypes seen in previous animal-based models of schizophrenia and neuropathological studies of postmortem brain tissue from schizophrenia patients. In the former, both genetic and non-genetic mouse models of schizophrenia have found reductions in dendritic spine density and reductions in dendritic arbor size in the cortex (reviewed in detail in<sup>32</sup>) as well as increases in measures of oxidative stress in cortical interneurons (reviewed in<sup>33</sup>). In the latter, pyramidal neurons in key regions of the frontal and temporal cortices exhibit reduced dendritic spine density and dendritic field size (reviewed in detail in<sup>32,34,35</sup>). The transcriptional signatures seen in NPCs and neurons generated from schizophrenia patient hiPSC lines converge with those seen in postmortem cortical tissue from schizophrenia patients<sup>26</sup>.

Despite methods to ensure reproducibility of results, such as double blinding, being common in hiPSC-based studies<sup>28,31,36</sup>, many utilise distinct patient cohorts, differentiation protocols, and methods of cellular phenotyping, limiting the generalizability of findings from one study to another. That being said, decreased levels of the synaptic protein PSD95 found in the first hiPSC-based study of schizophrenia were subsequently replicated using hiPSC neurons from independent cases and controls<sup>12,37</sup>; moreover, decreased synaptic puncta density have been reported in idiopathic schizophrenia hiPSC lines and those from patients with mutations in the schizophrenia-linked gene *DISC1*<sup>37,38,40,41</sup>. Increased oxidative stress was also broadly indicated across multiple studies<sup>27,29,39</sup>.

Nonetheless, the large genetic variation between individuals means that case and control cohort designs are underpowered to detect subtle phenotypes such as genome-wide differential gene expression and the molecular mechanisms underpinning cellular phenotypes<sup>26</sup>. The statistical power of hiPSC-based studies can be maximized by increasing the number of potential donors rather than clonal lines per donor, reducing intra-donor variation through the optimization of neuronal differentiation protocols, and reducing inter-donor heterogeneity by focusing on individuals with specific genetic variants<sup>42</sup>. This latter point can be stratified into approaches that focus on rare genetic variants with highly penetrant effects on cellular phenotypes, or those that focus on multiple common variants with low individual impact but high impact when combined (i.e. high polygenic risk)<sup>42</sup>. Both can be studied through patient-derived cell lines, though recent developments in genetic engineering have provided a means of directly inducing or modelling these variants without the requirement of a particular donor genetic background. Towards this, recent hiPSC-based studies apply genetic engineering to dissect individual and combinatorial disease risk factors in a single (isogenic) donor background, providing the opportunity to definitively resolve the causal impact of variants and genes on cellular phenotypes. Genetic

engineering has rapidly progressed from early work using zinc finger nucleases (ZFNs) and TALENs<sup>43</sup> to CRISPR-Cas9 based systems and their derivatives<sup>44</sup>, offering myriad strategies to study variants and genes with a high degree of experimental flexibility.

## CRISPR-BASED TECHNOLOGIES FACILITATE PRECISE ISOGENIC COMPARISONS OF CAUSAL FUNCTION

The rise of CRISPR-based platforms has been transformative for the study of disease-linked genetic variants *in vitro*. CRISPR-Cas9 can be targeted to a given sequence with great specificity, offering a higher degree of efficiency in editing, and capable of impacting multiple genes or loci simultaneously.<sup>45</sup>

The applications of CRISPR have grown from early guideRNA-targeted Cas9 nuclease-based gene knockouts (KO) of disease-relevant genes to newer methods that use Cas nickases or nuclease null mutants fused to effector domains to edit individual base pairs<sup>46</sup>, perform epigenetic modifications<sup>47</sup>, manipulate chromatin interactions<sup>48</sup>, directly activate or repress expression of target genes<sup>49</sup> and cleave RNA<sup>50</sup> (SEE FIGURE 2). The discovery of Cas9 orthologues with different PAM requirements permits greater flexibility in target sites for manipulation<sup>45</sup>, while systems such as RNA-targeting CasRX are capable of processing guideRNA arrays via a dedicated RNase domain<sup>50-52</sup>. Altogether, these many methods permit the high throughput assessment of gene and loci function<sup>53</sup>, critical for evaluating the sizable list of variants associated with complex polygenic disorders like schizophrenia. We discuss below two CRISPR-based platforms that offer great potential for studying common variants: direct editing of SNPs using CRISPR DNA editors to generate isogenic hiPSC lines, and perturbation of gene expression through CRISPR activation or inhibition (CRISPRa/i).

### i) DISSECTING COMMON VARIANTS USING DNA EDITING

CRISPR-Cas9-based genome editing offers a useful means of producing isogenic lines with specific genes and sequences removed. In the context of schizophrenia, this is useful for the study of large copy number variants and the function of genes associated with disease in an experimental system<sup>36</sup>. However, this form of genome editing lacks the precision or efficiency required to model the myriad common genetic variants at the level of individual base pairs. Towards this, CRISPR DNA editors offer a means to efficiently investigate the role of genetic variation in the etiology of disease at the resolution of individual SNPs.

CRISPR DNA editing is highly precise and offers the benefit of directly editing in the risk alleles for a given disease-associated locus, or conversely editing in non-risk alleles in hiPSC lines from patients with risk SNPs. It has previously been employed to determine the functional impact of common schizophrenia-associated variants: isogenic hiPSC lines have been generated for the schizophrenia-associated variant at rs4702, finding that even a single SNP can influence neuronal activity and morphology<sup>54</sup>. However, the use of edited hiPSC lines as a means of modelling all known schizophrenia-associated common variants is hindered by the time required to precisely target each individual SNP for editing. DNA editing also requires the knowledge of the specific SNP driving the association signal at

the locus, and fine mapping data is only rarely sufficient to establish a single candidate for editing<sup>55</sup>. These limitations can be partially addressed by prioritizing candidate SNPs which have strong evidence of being the causal variant and editing them in donor lines with varying degrees of polygenic risk to determine epistatic effects, focusing on SNPs which directly alter protein structure and/or highly penetrant *de novo* variants.

Overall, DNA editing techniques are highly useful for studying the precise impact of key variants linked to schizophrenia risk, but a faster and more scalable approach is often to simply manipulate the impact of known schizophrenia risk variants at the level of their gene targets.

## ii) EVALUATING GENE TARGETS USING CRISPRa/

When a single causal SNP is not after fine-mapping analysis of GWAS data, the identification of candidates for DNA editing experiments problematic. In schizophrenia, the GWAS signal appears to be largely driven by the eQTL signal<sup>56,57</sup>, and thus experimental models that assess the impact of altering the expression of proximal target genes may offer a valuable insight into the biological consequences of schizophrenia-associated genetic variation.

CRISPRa, CRISPRi, and CRISPR-based RNA cleavage can all be applied to model the impact of a given variant on the expression of specific genes in disease-relevant cell types. Studying gene targets directly bypasses the need for time-consuming generation of each DNA edit in a given hiPSC line individually. While schizophrenia associated genes were once investigated using siRNA-based knockdown<sup>58</sup>, CRISPR-based methods for manipulation of gene expression have higher specificity and adaptability. However, neither approach well models the impact of variants that alter complex gene splicing patterns rather than expression (splice QTLs). Furthermore, CRISPRa and CRISPRi-based methods lack the ability to precisely control the degree of perturbation in expression levels, in order to match the impact of the eQTL observed *in vivo*.

Moving forward, pooled CRISPR screens make it increasingly straightforward to manipulate large numbers of genes in parallel, alone and in combination. A broad selection of genes can be targeted in arrayed (“sets of genes”) or pooled (“CRISPR library”) formats, and then analyzed at the population levels or at single cell resolution, respectively.

## COMBINATORIAL PERTURBATION OF COMPLEX GENETIC RISK

The study of the interactions between genetic is critical towards understanding the etiology of schizophrenia. A “sets of genes” approach, whereby a selection of disease-associated genes, potentially with a shared biological function, are analyzed in combination can reveal unexpected outcomes of combinatorial perturbations. Towards this, we recently queried the impact of four schizophrenia risk genes individually and in combination, in order to assess additive interactions between the genes<sup>54</sup> (SEE FIGURE 3). Perturbing schizophrenia genes together resulted in a synergistic impact on expression of genes relating to synaptic function and others linked to rare and common variant genes for psychiatric disorders like schizophrenia and bipolar disorder. This finding suggests that common and rare genetic

variants convey biological effects that converge on specific pathways and genes, while raising the question as to the extent that these findings are generalizable beyond the set of four genes chosen for perturbation.

This approach is useful for dissecting phenotypes arising from specific subsets of eQTLs in detail. This method can be adapted with ease to a wide variety of phenotyping techniques, including morphology, synaptic density, neuronal activity and bulk RNAseq, due to perturbations occurring population-wide within cell cultures rather than on the level of individual cells. Nonetheless, this approach is constrained by the number of guideRNA and shRNA vectors that can be simultaneously delivered into a target cell. Towards this, new Cas12 and CasRX systems have guideRNA array cleavage capabilities, reducing the size of multiplexed guideRNA scaffolds<sup>50,52</sup>, and so could potentially increase the number of simultaneous gene perturbations possible in a given cell. Moreover, novel CRISPRa and CRISPRi systems utilise different guideRNA scaffold sequences, making possible new bi-directional, combinatorial perturbations of genes using CRISPRa and CRISPRi in the same cell.

Future extensions of this approach could be applied across pathways and cell types, further deciphering the additive impact of risk variants in schizophrenia pathophysiology, and potentially informing improved calculations of PRS. Moving forward, CRISPR screens offer a means of bypassing the time constraints associated with “set of genes” experimental design, scaling up the number of genes that can be simultaneously perturbed.

## HIGH THROUGHPUT EVALUATION OF COMPLEX GENETIC RISK USING CRISPR SCREENS

Pooled CRISPR screens can greatly expand the number of variants or genes that can feasibly be examined. These approaches use pooled guideRNA libraries to transduce a population of cells, typically with a low multiplicity of infection (MOI) such that each cell receives one guideRNA only. Each cell undergoes a perturbation at a different locus, and the impact of this perturbation on one or more phenotypes can be evaluated by determining the relative prevalence of each guideRNA among bulk populations of cells using next generation sequencing. Phenotyping methods relying on assessments of guideRNA prevalence were originally limited to simple readouts based on cell frequencies such as proliferation, survival, and reporter gene expression (so-called “grow or glow”)<sup>59</sup>. However, new single cell RNA sequencing strategies can identify the guideRNA within a given cell, together with its transcriptome, by capturing individual cells in droplets containing barcoded beads that recognize scaffolding sequences on the guideRNA transcript<sup>60–62</sup>.

One of these techniques, ECCITE-seq, further combines direct guideRNA capture and transcriptome information with cell surface protein detection<sup>63</sup>. Antibody-mediated cell hashing permits sequencing of cells from multiple samples simultaneously, expanding the number of samples that can be analysed, but the detection of cell surface markers also offers a means to dissect subpopulations of cells from mixed cultures (SEE FIGURE 4). Moreover, the use of direct guideRNA capture allows multiple guideRNAs to be detected

within a single cell in protocols with an increased MOI, thus providing a means of dissecting combinatorial perturbations.

Applied to hiPSC-derived neurons and glia, ECCITE-seq can dissect the impact of genetic variants at scale. ECCITE-seq and other CRISPR screens are for the future study of complex polygenic disorders owing to their scalability and the expanding number of cellular phenotyping applications available.

## FUTURE APPLICATIONS

The two approaches outlined above offer diverging strategies for studying the common genetic variation underlying schizophrenia risk. The “sets of genes” approach is best suited for detailed dissection of the interactions between risk genes, particularly their convergent pathways. This represents a platform to query all the known variants linked to a particular polygenic disorder simultaneously, serving as an important platform for drug screening and/or personalized medicine. CRISPR screening technologies also make it possible to study the breadth of genetic variation linked to schizophrenia at scale. Moreover, new applications increase the flexibility of high throughput CRISPR screens to study the impact of variants and genes on transcriptomics, epigenomics, and cellular phenotypes in parallel, uncovering biologically-relevant phenotypes and pathways underlying schizophrenia.

## CONCLUSIONS

The recent explosion in CRISPR-based techniques for the manipulation of the genome has provided numerous valuable tools for the study of common genetic variants in the context of schizophrenia, and the continual diversification and improvement of hiPSC-derived neural differentiation protocols has offered an ideal platform to deploy them in. DNA editing is a valuable technique for establishing isogenic lines for the study of key SNPs, while CRISPRa and CRISPRi are a flexible method for modeling the impact and interactions of disease linked eQTLs. Exciting new CRISPR screening techniques such as ECCITE-seq provide a scalable means of studying these eQTLs in bulk and may provide the way forward in capturing the true diversity of genetic variation linked to schizophrenia and the pathophysiology arising from it. These techniques may also be key in future studies of other psychiatric and neurological disorders with complex genetic etiologies.

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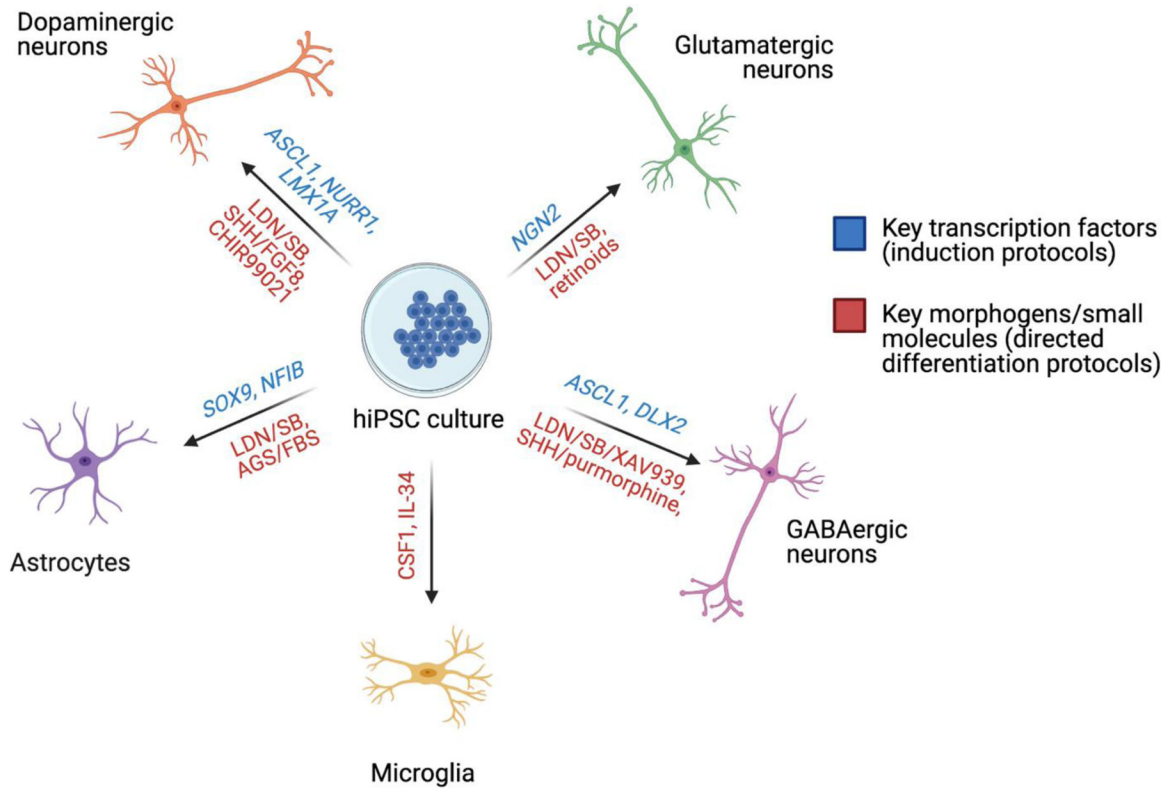
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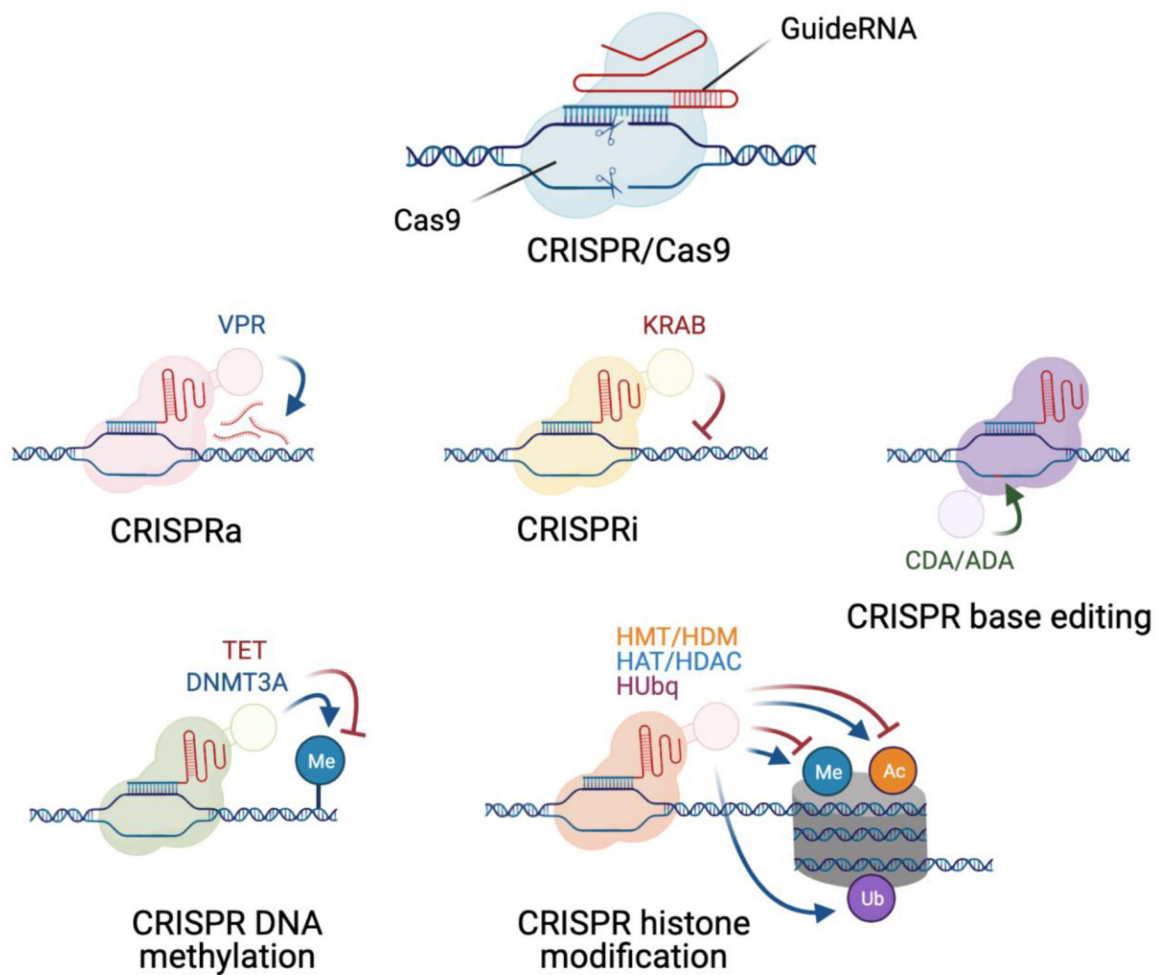


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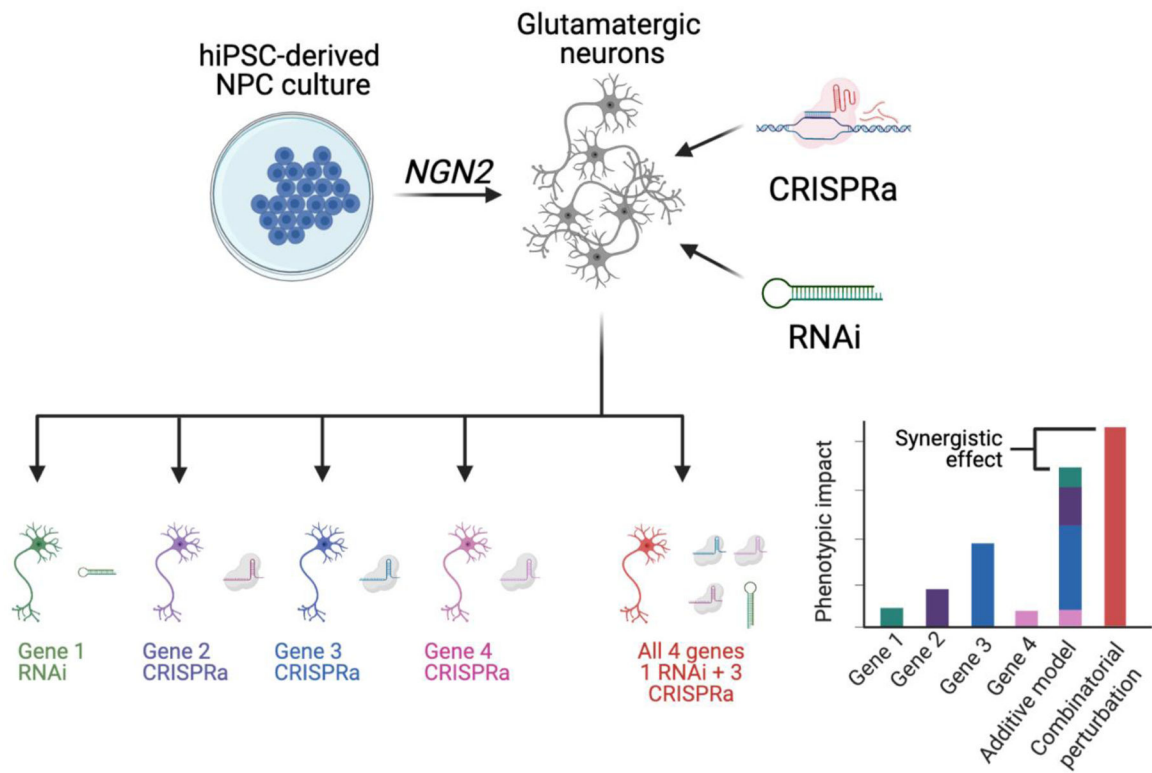
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**FIGURE 1:** Key directed differentiation and reprogramming techniques for the generation of neural cell types relevant to schizophrenia disease modeling. AGS = astrocyte growth supplement; FBS = fetal bovine serum; LDN = LDN193189; SB = SB431542; SHH = sonic hedgehog. Info from refs<sup>16,18–25</sup>.

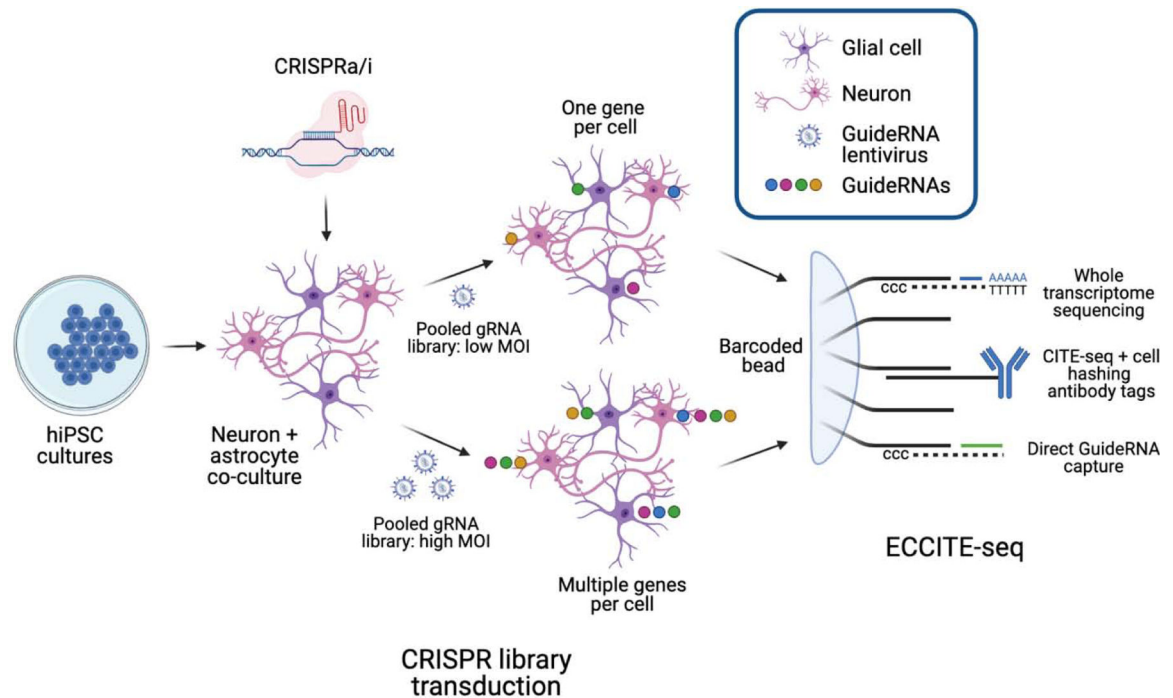
**FIGURE 2:**

Examples of key CRISPR effectors useful for probing schizophrenia-relevant mechanisms in hiPSC-derived neural cultures: the initial CRISPR/Cas9 targeted DNA cleavage system, CRISPRa, CRISPRi, CRISPR DNA editing systems, CRISPR DNA methylation and CRISPR histone modification. VPR = VP64-p65-Rta; KRAB = Krüppel associated box; CDA = cytidine deaminase; ADA = adenosine deaminase; TET = ten-eleven translocation methylcytosine dioxygenase; DNMT3A = DNA methyltransferase 3A; HMT = histone methyltransferase; HDM = histone demethylase; HAT = histone acetyltransferase; HDAC = histone deacetylase; HUbq = histone ubiquitinase. Info from refs<sup>46–49,64</sup>.



**FIGURE 3:**

Example of “sets of genes” experimental approach for studying eQTL function and synergy following combinatorial CRISPRa/RNAi in glutamatergic neurons. The synergistic effect for a given combination of perturbations is calculated by summing the impacts of individual perturbations on a particular phenotype and comparing them to the actual measured impact of combinatorial perturbation. Figure partially adapted from ref<sup>54</sup>.

**FIGURE 4:**

Two potential methods for using ECCITE-seq to determine eQTL function. Mixed neural cultures constitutively expressing CRISPR effectors are transduced with lentiviral guideRNA libraries targeting known eQTL genes. ECCITE-seq can be subsequently used to concatenate global gene expression data, cell identity data and guideRNA expression data in individual cells. Cultures infected with guideRNA libraries at a low MOI can be used to determine the impact of individual perturbations, while high MOI cultures can be used to investigate combinatorial perturbations and synergistic effects. ECCITE-seq schematic adapted from ref<sup>63</sup>.