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## The physical frailty syndrome as a transition from homeostatic symphony to cacophony

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### Abstract

Frailty in aging marks a state of decreased reserves resulting in increased vulnerability to adverse outcomes when exposed to stressors. This Perspective synthesizes the evidence on the aging-related pathophysiology underpinning the clinical presentation of physical frailty as a phenotype of a clinical syndrome that is distinct from the cumulative-deficit-based frailty index. We focus on integrating the converging evidence on the conceptualization of physical frailty as a state, largely independent of chronic diseases, that emerges when the dysregulation of multiple interconnected physiological and biological systems crosses a threshold to critical dysfunction, severely compromising homeostasis. Our exegesis posits that the physiology underlying frailty is a critically dysregulated complex dynamical system. This conceptual framework implies that interventions such as physical activity that have multisystem effects are more promising to remedy frailty than interventions targeted at replenishing single systems. We then consider how this framework can drive future research to further understanding, prevention and treatment of frailty, which will likely preserve health and resilience in aging populations.

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Why do some older people die in the face of heatwaves and others do not? What has created the heightened risk of mortality from COVID-19 in older people? This vulnerability comes in part from the effects of chronic diseases and other health-related conditions that accumulate with increasing age. We hypothesize that it also results from what clinicians term ‘frailty’, a state of depleted reserve resulting in increased vulnerability to stressors that emerges during aging independently of any specific disease.

Definitions of frailty are abound. The two dominantly used are ‘phenotypic frailty’, where a validated clinical presentation marks a distinct clinical syndrome and pathophysiology<sup>1,2</sup>, and a ‘deficit accumulation model’ frailty index, which summarizes the presence of multiple clinically identified diseases, their clinical and laboratory manifestations and consequences, and risk factors into a composite index for risk prediction<sup>3,4</sup>. These two distinct conceptualizations both carry the same nomenclature and both predict high mortality and institutionalization risk, but they denote different theory, etiologies, measures and possibly processes, and identify considerably different populations and different targets of intervention<sup>5</sup>. Other definitions of frailty have integrated additional constructs, particularly cognitive frailty, as proposed by the International Association of Gerontology and Geriatrics/International Academy on Nutrition and Aging (IAGG/IANA)<sup>6,7</sup>. However, such integration has the potential to obscure meaningful differences, as exemplified by the observation that 22% of people with Alzheimer’s disease had no physical indicators of frailty<sup>8</sup>. This is reinforced by clinical encounters with older adults who are physically robust but cognitively frail and vice versa. Accordingly, we view other types of frailty, whether they are cognitive, emotional or psychosocial frailty, as important but distinct constructs that can be most fruitfully measured separately from each other and from phenotypic frailty. Both phenotypically identified frailty and the frailty index, finally, also link to other constructs in gerontology, notably, ‘allostasis’, ‘homeostasis’, ‘robustness’, ‘reserve’ and ‘resilience’. A thorough disambiguation of these related concepts is beyond our scope, but provisional definitions are given in Box 1 and considered below.

The syndrome of phenotypic frailty—henceforth termed ‘physical frailty’—is the focus of this Perspective. Clinical presentation of the phenotype denotes a distinctive high-risk clinical state that indicates decreased reserves and high vulnerability to stressors. The state is clinically recognizable through the presence of three or more of five key clinical signs and symptoms: weakness, slow walking speed, low physical activity, fatigue or exhaustion, and unintentional weight loss (Fig. 1 and Box 1)<sup>1,2</sup>. Prevalence in people 65 years and older varies across populations, with a predominant rate of 7–10% in community-dwelling older adults, which increases to over 25% in those over 85 years old<sup>1,2,9–11</sup>. The constellation of three or more criteria constitutes a diagnosis of frailty, which has been validated to predict adverse outcomes including death, disability, loss of independence, falls, hospitalization, diminished response to disease-targeted therapies, higher risk of adverse outcomes with surgery and delayed recovery from illness<sup>1,2,12,13</sup>. Consistent with a clinical syndrome<sup>2</sup>, the phenotype is linked to specific pathophysiology. Physical frailty often presents without clinical diseases or disability, but it can also co-occur with disease and disability<sup>1,2,14,15</sup> (Box 1). This is consistent with substantial research to disentangle multimorbidity, disability and frailty, which shows that these are distinct entities that can arise independently as well as

be causally related<sup>16</sup>. Risks of frailty onset or progression are especially high in the face of stressors<sup>1</sup>, so it is considered to be a state of heightened vulnerability.

In contrast, the deficit accumulation model is conceptually based on the clinical observation that a multiplicity of clinical problems in a patient creates aggregate risk of poor outcomes, such as mortality and institutionalization. This has been operationalized as a ‘frailty index’, which calculates the percentage of conditions or ‘deficits’ identified clinically, relative to the number assessed, including diseases, symptoms, signs, impairments, disabilities and measured functional limitations, social settings, physical activity, mental health, cognitive status, self-rated health and sometimes laboratory values<sup>3,4</sup>.

This article focuses on the pathophysiology of the syndrome of physical frailty, examining how the phenotype may emerge as a distinct state linked to severe dysregulation of key physiological and biological systems: the stress-response, metabolism and musculoskeletal systems. In healthy adults, multiple physiological systems function well and interact harmoniously in a complex dynamical system, as in a symphony, to maintain allostasis and homeostasis. However, as people age, individual physiological systems decline in their efficiency<sup>17</sup> and communication between cells and between systems deteriorates<sup>18</sup>. We hypothesize that this results in a cacophony of multisystem dysregulation, which eventually crosses a severity threshold and precipitates a state of highly diminished function and resilience, physical frailty.

This hypothesis rests on a conceptualization of the physiological and biological pathways underlying health and resilience as linked elements of a complex dynamical system (see Box 1 for a glossary of terms and Box 2 for a brief introduction), with severe dysregulation of this system underlying physical frailty. While complex dynamical systems may sound formidable to some, the key insight is simply that one’s physiological state results from numerous interacting components at different temporal and spatial scales (for example, genes, cells, organs) that create a whole unpredictably more than the parts<sup>19</sup>. For analogy, consider a clenched fist as a state of the hand: there is no doubt that this state is contributed by cells and molecules. Nonetheless, the most important insights into that fist are likely to come from the hand’s structure (five digits, opposable thumb, muscle–bone–motor neuron structure) and from insight into the teleological control at a higher level (anger, aggression, evolutionary uses of hands, and so on). Applying a similar logic to physical frailty, knowing the state of all the underlying biological components may not additively sum to the overall state of health or accurately infer the integrated capabilities of the higher-level organism. This example illustrates the importance of hierarchy in complex dynamical systems, where interactions among nested and parallel levels of composition (for example, cells, tissues, physiology) contribute essentially to the overall function.

Thinking on complex dynamical systems offers additional compelling conceptual framework elements for characterizing physical frailty. Specifically, we summarize below, in a stepwise progression, evidence that the pathophysiology of frailty meets criteria for critical dysregulation of the complex dynamical system necessary for homeostasis: (1) physiology is modularly organized in healthy organisms, and numerous modules are dysfunctional in physical frailty; (2) the dysfunction is particularly apparent in the ability of the systems and

modules to respond to stressors; (3) the dysfunction does not proceed independently in each system but rather is fundamentally about interactions among systems via feedback loops; (4) the impacts of the dysfunction are not linear but exhibit exponential and/or threshold effects; and (5) these dynamics can lead to critical transitions and abrupt shifts in physiological state. We then consider the biological hallmarks of aging<sup>18</sup> that could diffusely affect all functions of this complex dynamical system and may be affected by the physiological dysfunctions associated with the phenotype itself. We conclude by considering implications and next-stage research agendas.

## **Physical frailty is an emergent state of a compromised complex dynamical system**

We present here evidence that physical frailty in aging emerges as a compromised state of a dysregulated complex dynamical system. This evidence is presented progressively in terms of the criteria for complex dynamical systems: the modular systems and subsystems which function both independently and in joint feedforward and feedback regulation that characterizes such systems and is critical for managing allostasis and homeostasis; the evidence that such core regulatory systems associated with physical frailty co-regulate each other and their aggregate dysfunction is associated with the phenotype of frailty; that dysregulation of these multiple systems is made visible when challenged; that past a threshold of aggregate physiologic dysfunction, frailty emerges as a state of lower function of the whole organism, and the association is nonlinear; and that there is a point of no return beyond which function at a lower state is no longer compatible with life.

### **A healthy organism is composed of modular systems whose function is abnormal when people are physically frail.**

A healthy organism is composed of systems, or modules, with largely independent functions. The function of most systems deteriorates with age<sup>17,18</sup>. Among the full panoply, there is a core set of systems and subsystems that are critical for managing homeostasis and which have also been shown to function at abnormal levels when people are physically frail<sup>20</sup>. These systems include the metabolic, musculoskeletal and stress-response systems (Fig. 1). We summarize briefly here evidence of these associations.

Physical frailty prevalence and incidence have been linked to altered energy metabolism through both metabolic systems, including glucose–insulin dynamics<sup>21</sup>, glucose intolerance<sup>22</sup>, insulin resistance<sup>23</sup> and alterations in energy-regulatory hormones such as leptin, ghrelin and adiponectin<sup>24–27</sup>, and alterations in musculoskeletal system function, including the efficiency of energy utilization<sup>28</sup> and mitochondrial energy production and mitochondrial copy number<sup>29,30</sup>. Notably, across these systems, both energy production and utilization are abnormal in those who are physically frail.

The aggregate stress-response system and its subsystems are also abnormal in physical frailty. Specifically, inflammation is consistently associated with being frail, including significant associations with elevated levels of inflammatory mediators such as C-reactive protein, interleukin (IL)-6 and white blood cells including macrophages and neutrophils,

among others<sup>31–35</sup>, in a broad pattern of chronic, low-grade inflammation<sup>36–39</sup>. Indicators of autonomic nervous system dysregulation in frailty include diminished second-to-second heart rate variability<sup>40–42</sup> and compromised orthostatic<sup>43</sup> and cardiac<sup>44</sup> control. Physical frailty is also associated with dysregulation of functions of the hypothalamic–pituitary–adrenal (HPA) axis, including higher levels and blunted diurnal variation of salivary cortisol<sup>45,46</sup> and lower levels of the adrenal androgen DHEAS<sup>47,48</sup>. Together, these studies characterize frail individuals as less able to finely tune responses to environmental variation. While the systems identified here are neither definitive nor exclusive, they likely form a hub of dominant pathophysiological characteristics in the physically frail.

### **Experimental evidence of impaired physiological responsiveness of key systems in the frail.**

The abnormal levels of biomarkers in the three systems above is notable but does not offer formal evidence of the clinical vulnerability to stressors in frail older adults. Complex systems theory predicts that compromised dynamical functioning of systems may not be apparent in a resting or non-stressed state but emerges clearly under conditions of stress or the need to adapt. Stimulus–response experiments provide a particularly compelling method to elucidate and characterize response under conditions of stress<sup>49</sup>.

We summarize here five experiments exposing community-dwelling older adults to minor physiological stressors in the systems described above (Fig. 1) and the resulting physiological responses in phenotypically nonfrail, prefrail and frail individuals. Although the first four experiments represent pilot research, taken together, the parallelism of the stress–response findings is notable (Fig. 2) and suggestive of an ensemble role. The first three were conducted in a single cohort of women 85–94 years of age participating in substudies of the Women’s Health and Aging Study (WHAS) II.

**Metabolic system and glucose metabolism.**—Women without diabetes were administered a standard oral glucose tolerance test (OGTT;  $n = 73$ ) (Fig. 2a). There was little distinction in mean baseline glucose or insulin levels by frailty status. Following stress challenge, physically frail women showed an exaggerated rise in both measures, together with prolonged responses, in comparison to prefrail and nonfrail women, when adjusting for age<sup>21</sup>. Overall, the mean peak glucose level was increased by more than 30% in the frail group versus the other two groups, and the mean peak insulin level was increased by 75%. Further, there was a dysregulated response of the appetite-stimulating hormone ghrelin in the OGTT, with physically frail women maintaining lower levels throughout the 2-hour experiment in comparison to nonfrail women<sup>24</sup>. Notably, only 27% of all study participants had a normal fasting glucose level ( $<100$  mg dl<sup>-1</sup>), whereas 48% had prediabetes (2-hour glucose  $<140$  mg dl<sup>-1</sup>) and 25% had undiagnosed diabetes. Dysregulation of glucose appeared to be the norm among these women, but the response to challenge was markedly more dysregulated among the frail subset.

**Skeletal muscle system.**—Women ( $n = 30$ ) engaged in isometric exercise of the dominant lower extremity for 30 seconds within a magnetic resonance spectroscopy imaging unit (Fig. 2b). Oxidative phosphorylation in buffering ATP levels in skeletal muscle was

assessed: the phosphocreatine (PCr) recovery kinetics were slower among frail women ( $189 \pm 20$  seconds) than among prefrail ( $152 \pm 23$  seconds) and nonfrail ( $132 \pm 32$  seconds) women<sup>50</sup>. Thus, the frail and prefrail groups had PCr recovery that was 57 and 20 seconds slower, respectively, than for the nonfrail group. A recently published study shows rapid energetic decline in the exercised skeletal muscle of frail compared to nonfrail older adults, further demonstrating stress-induced energy dysfunction in frailty<sup>51</sup>.

**Stress-response system, HPA axis.**—A standard adrenocorticotrophic hormone (ACTH) stimulation test with 250  $\mu$ g ACTH was performed in 51 women who were not taking corticosteroids (Fig. 2c). Dehydroepiandrosterone (DHEA) responses were examined at 0, 30, 60 and 120 minutes after administration of ACTH. Pre- and post-ACTH stimulated DHEA levels did not differ statistically by frailty status; however, the dose–response curves suggested divergence after stimulation, with a more exaggerated DHEA response with increasing physical frailty and stepwise increased dysregulation across nonfrail, prefrail and frail individuals, in line with progressively inadequate negative feedback<sup>52</sup>.

**Stress-response system, innate immune system and active immunity.**—In male and female volunteers aged 70 years and older ( $n = 71$ , including some individuals from WHAS II), frailty was associated with significantly impaired response to trivalent inactivated influenza vaccine, as measured by vaccine-induced strain-specific hemagglutinin-inactivating (HI) antibody titers, when adjusting for age (Fig. 2d). Rates of influenza-like illness (ILI) and laboratory-confirmed influenza infection showed stepwise increases from nonfrail to prefrail to physically frail individuals<sup>53</sup>. Thus, frailty status may identify those less likely to respond to influenza vaccination and at higher risk of seasonal influenza and its complications despite vaccination.

**Stress-response system, autonomic nervous system function.**—Irish participants aged 60 years and older ( $n = 442$ ) underwent a lying-to-standing orthostatic blood pressure (BP) test with concurrent BP monitoring by finger cuff. Physical frailty prevalence was enriched among those experiencing orthostatic hypotension (initial criterion) in response to the test (14.1% versus 5.4% for nonfrail individuals)<sup>54</sup>.

Accordingly, both the static (see above) and dynamic response capacity of parallel physiological systems have been linked to physical frailty, with systems that may appear normal in steady state demonstrating dysregulation when challenged. These findings support the concept of physical frailty as a state characterized by compromised responsiveness to stress or stimulus in affected systems (Fig. 3). This is consistent with complex systems theory and also may contribute to understanding of the high aging-related vulnerability of some older adults to stressors such as COVID-19 infection.

### **Weakened interactions and feedback between systems underpinning physiological vulnerability of frailty.**

A notable aspect of the three physiological systems dominantly dysregulated in physical frailty is that their functions interact with those of the other systems in feedforward or feedback effects<sup>55–57</sup>. A healthy state involves the systems in Fig. 1 interacting with

each other optimally<sup>58–62</sup>. For example, immune system-generated cytokines drive a robust response to an infection through inflammatory cytokines and shut that same response down through anti-inflammatory cytokines<sup>63</sup>. However, if the inflammatory signaling continues chronically, in a feedforward way, as is observed in physical frailty, it impacts other systems and tissues with results including altered HPA axis activity and energy metabolism by promotion of insulin resistance and glucose intolerance as well as decreased mitochondrial energy production, altered red blood cell formation and skeletal muscle decline<sup>58,64,65</sup>. Both inflammatory signaling and skeletal muscle inactivity amplify cortisol, the product of the HPA axis, to more rapidly catabolize skeletal muscle. Cortisol normally tamps down inflammatory signaling<sup>66</sup>. However, in the face of chronicity and lowered ability to block inflammatory signaling, cortisol can impact energy metabolism via insulin resistance<sup>64,66,67</sup>. These examples offer support for dysregulation of the feedback loops between core systems associated with the physically frail state and for the networked nature of physiological dysregulation, in line with a complex dynamical system (Boxes 1 and 2). These observations also support dysregulated communication and information processing as a core feature of physical frailty.

There is further evidence that feedback relationships among these systems are altered as a consequence of cumulative stress over the life course, called allostatic load, compromising the ability of the integrated physiological systems to adapt, termed allostasis<sup>57</sup>. Physical frailty may be a more severe state of allostatic compromise<sup>68,69</sup>, propelled by aging processes as well as stressors. However, we should be cautious in interpreting the dysregulated links between systems as automatically implying a cascade of dysregulation. Contrary to the widespread assumption that aging is a purely detrimental process, there are many aspects of aging—notably, much of immunosenescence—that represent adaptations either to other detrimental processes or to changing needs at different ages<sup>70,71</sup>. This raises the speculation that the frail state might itself be adaptive, a way of staving off death or overt disease states.

### **Evidence for nonlinearity in the relationship between the number of dysregulated systems and frailty.**

The dynamics of complex systems generally render them nonlinear (Box 1), where the multiple inputs act non-additively, exhibiting synergistic or antagonistic effects. If physical frailty is a state that results from a threshold level of dysregulation of the complex dynamical system of human homeostasis, the transition from a state of standard functioning to a critically dysregulated state would theoretically be expected to be nonlinear. That is, as the number of systems malfunctioning increases, the risk of frailty escalates nonlinearly.

The preliminary evidence is consistent with theory. A population-based study of women 70–80 years of age evaluated the hypotheses that dysregulation of multiple physiological systems is associated with the risk of frailty, that no single system explains this and that the strength of association accelerates in a nonlinear fashion with increasing numbers of dysregulated systems<sup>72</sup>. Assessing eight markers from different physiological systems independently related to physical frailty ((inflammation (IL-6 > 4.6 pg ml<sup>-1</sup>), anemia (hemoglobin < 12 g dl<sup>-1</sup>), the endocrine system (insulin-like growth factor (IGF)-1 < 74.3

$\mu\text{g l}^{-1}$ , DHEAS  $< 0.215 \mu\text{g ml}^{-1}$ , hemoglobin A1C  $> 6.5\%$ ), micronutrient deficiencies (2), adiposity (triceps skinfold thickness  $< 17 \text{ mm}$ ) and slow fine motor speed ( $> 31.9 \text{ s}$ ), physical frailty was found to be associated with an increased number of impaired system markers, independently of associations with individual biomarkers. The odds ratio for those with 1–2 abnormal systems was 4.8 (compared to those with no abnormal systems), increasing to 11- and 26-fold-increased risk, respectively, for those with 3–4 and 5 or more systems at abnormal levels (95% confidence intervals exclude 1). Comorbid diseases were associated with physical frailty, independently of the number of abnormal systems. Commensurately, there was a nonlinear increase in frailty prevalence with increasing numbers of abnormal physiological systems (Fig. 4). These findings from the WHAS I and II study<sup>72</sup> have recently been replicated in an additional population from Quebec<sup>69</sup>.

The fact that multisystem decrements, not any subgroups, were significantly associated with frailty indicates that a multiplicity of physiological abnormalities is what is of import, more than any one specific system, in physical frailty. This speaks to the diffuseness or distributed nature of the underlying process, a key prediction for an emergent property in a complex system, and offers a theoretical basis for the null findings from numerous single-hormone replacement trials<sup>73–75</sup>: replacing a defective part does not solve the problem of the dysregulated whole. In summary, the combination of multisystem dysregulation and nonlinearity in the relationship with physical frailty supports complex dynamical system dysregulation as a distinct pathophysiology associated with the clinical presentation.

### **Evidence for critical transitions in physiology and in clinical severity.**

Critical transitions are abrupt changes in the state of complex dynamical systems resulting from the internal dynamics of the system (Boxes 1 and 2). The above findings on nonlinearity support the hypothesis that frailty results from a critical level of dysregulation of a complex dynamical system, resulting in a critical transition to a new emergent state<sup>76–78</sup>, in this case one of lower function. This is consistent with prior reports that individual senescent processes display quasilinear properties of decline across the life course but their aggregate effect is nonlinear<sup>79</sup>. There are at least three potential types of critical transitions associated with physical frailty: transition to a physiologically vulnerable state, transition to a clinically apparent phenotype and transition to disability and death. Figure 3 conceptually exemplifies the sequence of critical transitions due to age-related progressive deterioration in physiological integrity that results in an impaired ability to respond to stressors and in distinct clinical states (for example, prefrail and frail states, death). The above data on stimulus–response experiments (Fig. 2) and on nonlinearity (Fig. 4) agree with this critical transition theory.

As in Fig. 3, there are critical age-related transitions in physiological integrity that underlie transitions in clinical states. Within clinical states, there is also evidence of transition dynamics. For example, there is a hierarchy in the emergence of criteria in the phenotype of physical frailty (Fig. 1), starting with muscle weakness, slowness and low physical activity; exhaustion (or fatigue) and weight loss are generally the tipping points for the onset of physical frailty<sup>80</sup>. The critical transition to physical frailty portends a compromised stress response (Fig. 2) and risk for adverse outcomes.



Finally, the evidence to date raises the question of whether the most severe manifestations of physical frailty are a critical transition point to further decline and death. The evidence is that there is a sharp escalation in risk of adverse outcomes of disability and death when individuals manifest the phenotype of physical frailty<sup>1</sup> (Fig. 1), and meeting all five physical frailty criteria signals the beginning of a transition toward a point of no return, beyond which the process becomes irreversible and death becomes imminent<sup>81</sup>.

## Potential drivers of the complex system dysregulation underlying physical frailty

The evidence that multiple systems are dysregulated in parallel in physical frailty raises the question of whether there is a shared biological driver of this parallel dysregulation and the aggregate effects. Figure 1 describes a conceptual framework in which molecular changes drive physiological changes in energy, musculoskeletal and stress-response systems. It is plausible that cellular and molecular hallmarks of aging may contribute to physical frailty, including genomic instability, telomere attrition, epigenetic alterations, proteostasis loss, dysregulated nutrient sensing, mitochondrial dysfunction, cellular senescence, stem cell exhaustion and altered intercellular communication<sup>18</sup>. The altered dynamical physiological systems point to at least three of these hallmarks, intercellular communication, cellular senescence and mitochondrial dysfunction, as potential drivers of physical frailty. For cellular senescence, this plausibility references a common feature of physical frailty: high levels of inflammatory mediators (chronic inflammation), which are known to be heavily secreted from senescent cell types<sup>82</sup>. There is also direct evidence that cellular senescence and mitochondrial function have a role in physical frailty. Senescent cells injected into younger mice accelerate a trajectory toward physical frailty, while senolytic treatment reverses this<sup>83</sup>. Evidence of mitochondrial energy production deficits exists in both humans and a mouse model of frailty<sup>28,84</sup>. In humans, PCr recovery time, a measure of mitochondrial oxidative phosphorylation, is reduced<sup>50,51</sup>. In a mouse model of frailty derived through chronic inflammation, skeletal muscle ATP kinetics are impaired and decreased mitochondrial degradation in skeletal muscle has been identified<sup>28,85</sup>. Finally, indirect evidence comes from studies demonstrating that mitochondrial dysfunction is highly related to glucose intolerance at a tissue level<sup>86</sup>, which is associated in humans with physical frailty<sup>21,51</sup>.

Looking through a different lens at the data already presented, there is now early evidence suggesting that aging-related energy dysregulation is an underlying driver of generalized physiological dysregulation and the emergence of a frail state. Consider that the phenotype of physical frailty is associated with compromise in cellular repletion of energy (Fig. 2b)<sup>50</sup>, with dysregulated glucose metabolism affecting energy availability, insulin resistance and glucose regulation (Fig. 2a), and with energy intake via the impact of ghrelin on appetite regulation<sup>21,24</sup>. Skeletal muscle energetic depletion and catabolite accumulation have been shown to be a determinant of fatigue, or exhaustion, a hallmark manifestation of physical frailty<sup>1,20,87</sup>, and sarcopenia is associated with decreased efficiency of muscular energy utilization<sup>28,88</sup>. Additionally, frail older adults exhibit dysregulation of the resting metabolic rate, with greater variance than those who are not frail or prefrail<sup>89</sup>. Further, in

a recent study in frail mice, cellular energetics involving mitochondrial energy production and oxidative stress were found to be central to the stress response<sup>28,90</sup>. Mitochondrial dysfunctions were shown to alter the HPA axis, sympathetic adrenal–medullary activation and catecholamine levels, the inflammatory cytokine IL-6, circulating metabolites and hippocampal gene expression responses to stress<sup>91</sup>. In older adults, there are also strong associations of altered mitochondrial function and gene expression with physical frailty<sup>29,30</sup>. Finally, the a priori hypothesis about the phenotype of frailty itself was that the five criteria for frailty constituted a set of markers connected in a vicious cycle of apparent dysregulated energetics<sup>1,92</sup>.

On the basis of this evidence, we hypothesize that not only do energetics underlie the healthy functioning of the human organism but energetic imbalance is a key driver of physical frailty—and of the clinical vicious cycle of the phenotype of frailty and its adverse outcomes (Fig. 1). Living organisms can be viewed as thermodynamic machines efficiently exchanging energy with their environment. Eventually, the energy exchange and utilization become critically suboptimal, owing to aging, stress and disuse. The less the energy flows through the system (due to decreased activity), the greater the discord between structure and function, as well as between the organism and its environment. With energetic imbalance, the system shrinks and is driven to a frail state, with a severely compromised ability to withstand stressors.

Notably, if energy can explain the simultaneous and parallel dysregulations and diminished interactions within and between systems, physical activity could be considered as a model intervention to prevent the frayed complex dynamical system underlying frailty<sup>93</sup>, as it upregulates all related systems and increases the energy flow through the ‘entire’ organism, making the thermodynamics of life favorable for thriving. Walter Bortz puts this beautifully: “every cell, every organ, every system of the body is beholden to the energetic imperative. We become what we do. Frailty is what happens when we don’t”<sup>94</sup>.

## Discussion

We have summarized the above evidence supporting the thesis that physical frailty arises from critical dysregulation of a complex dynamical system, whose primary components are highlighted in Fig. 1, and that it is the outcome of a critical transition to a distinct state of suboptimal functioning and high risk when stressed. This framework changes the search for successful prevention or treatment. First, critical transitions necessitate early action, before the transition is imminent. Second, this approach can explain why replenishment of a single-system deficit has not been fruitful in frailty prevention and treatment of frailty (which may require, instead, to be most effective) interventions to tune and optimize physiology as a whole. Aggregate multisystem fitness to maintain homeostasis and resilience and to prevent physical frailty likely requires macro-level interventions that are non-reductionist—for example, interventions to improve physical activity or social engagement; the latter, apart from contributing to psychological well-being, also can increase physical and cognitive activity<sup>95–97</sup>. Physical activity improves function at every level of Fig. 1, including the elements of the phenotype and each of the core systems in the hub of physiological frailty, and upregulates mitochondrial function, simultaneously modulating multiple interconnected

regulatory systems<sup>93</sup>. There is strong evidence that frailty is both prevented and ameliorated by physical activity, with or without a Mediterranean diet or increased protein intake<sup>98–101</sup>. These model interventions to date are nonpharmacological, behavioral ones, emphasizing the potential for prevention through a complex systems approach.

Two other major types of intervention are used to ameliorate frailty: individually tailored geriatric care models and pharmacological interventions. Individually tailored multicomponent geriatric care models prescribe interventions based on a patient's specific impairments. The results so far have been mixed<sup>87,102</sup>. Pharmacological interventions, on the other hand, have been focused on single systems with two primary targets: inflammation and anabolic hormones. There is no direct evidence of efficacy of pharmacological interventions on physical frailty beyond phenotypic components such as muscle strength, body weight and fatigue<sup>103</sup>. Interventions designed to target the phenotypic components of frailty or a single-system-focused one deficit/one therapy model in the case of hormonal therapy will likely be ineffective in alleviating the root cause(s) of frailty<sup>104,105</sup>. Rather, pharmacology to improve multiple systems simultaneously and/or individually tailored pharmacology via precision medicine to personalize how equilibrium is restored may be required. Until that time, direct clinical intervention needs to better manage frail older adults through minimizing aggravating factors such as polypharmacy, environmental hazards (for example, fall prevention) and discontinuities of care while optimizing health- and resilience-producing behaviors such as physical activity. For all potential frailty and functional performance assessments, it is critically important to assess these outcome measures in both observational and interventional clinical trials.

More broadly, understanding frailty as the outcome of both life course stressors resulting in allostatic load<sup>57</sup> and age-related dysregulation of our complex dynamical symphony could offer a paradigm shift in thinking. The concepts in Fig. 3 may offer a way to work in reverse to understand the fabric of health and robustness and, then, forward to understand how the fraying of this fabric is initially compensated by resilience but progression results in transition to a state of frailty. Conceptualizing frailty—and health—as arising from our intertwined dynamical physiology is a prototypically gerontological approach, taking a holistic view of the well-being of older adults and using a wide arsenal to promote physical, mental and emotional health, meaning and quality of life. The existing work is still early, however. We briefly indicate here the research needs deriving from this framework.

### **Furthering the current evidence.**

The evidence on stress-response experiments cited above largely resulted from pilot studies. Confirmatory studies are needed that elicit responses in multiple systems within individuals and implement finer repeated measurement of response curves over longer recovery times, with a sufficient number of participants to allow the parameters governing fitness and interactions of the physiological ensemble to be related to frailty. Studies of multisystem dynamics could then probe the incremental effects of combined dysregulations, characterizing the physiotype and phenotype of physical frailty that jointly make up the clinical syndrome.

Further, longitudinal research is needed to elucidate the implications of changes in physiological fitness for frailty incidence and progression—particularly addressing multisystem function. The evidence for nonlinearity reported above is intriguing but not definitive. Studies are needed that deliberately elicit measures in the collection of physiological systems and subsystems that have been most strongly implicated in frailty (as opposed to using available measures in an existing study) and that do so in sufficient breadth and depth that critical inflections in the relationship of dysregulation burden with frailty risk can be detected.

### High-priority research frontiers.

Assuming accomplishment of the next-stage goals described above, can we determine whether there is a specific biological driver of the multisystem physiological dysregulation of physical frailty that could be targeted with prevention or treatment strategies? Given evidence already developed, energy metabolism and/or dysregulated activation of the innate immune system require further study. Second, development of frailty-related physiological measures that better predict impending critical transitions, including to prefrailty, may accelerate the creation of effective primary and secondary prevention, as well as treatment, strategies. Third, research is needed to better distinguish physical frailty and chronic disease. The likelihood that these coexist is high, as, even if not etiologically related, both increase in prevalence with age. Further, some chronic diseases such as congestive heart failure may have features of fatigue, weakness and low activity, thus resembling physical frailty phenotypic criteria. The term ‘secondary frailty’ has been used to describe such an overlapping phenotype, in contrast to ‘primary frailty’, which denotes a unique age-related clinical entity with a distinct pathophysiology<sup>106</sup>. Such secondary frailty appears to be a consequence of catabolic chronic diseases<sup>107</sup>. Future studies of frailty in persons with disease need to demonstrate that differences in function and risk between frail and nonfrail individuals do not merely reflect the severity of disease-specific pathology. It may be, then, that continued refinement of the clinical phenotype to distinguish it clearly from signs and symptoms of other specific diseases will be needed. Further, in the case of secondary frailty, it remains to be determined whether interventions targeting physical frailty-related multisystem dysregulation are more or less effective than treatment of disease-specific etiology. Finally, intervention strategies to create health in aging and prevent frailty could well build on concepts of compromised homeostasis, robustness and resiliency, as well as physical frailty, to contribute to the development of a more unified theory of aging and health. We hypothesize that robustness, resilience and frailty reflect different points on a continuum of physiological fitness and reserve—robustness to the effects of moderate stressors, typically seen in healthy younger adults; resilience, or a temporary impairment followed by recovery, seen in less healthy younger adults and healthier older adults; and frailty, seen in older adults whose physiological fitness and reserve have been depleted past a critical threshold, leaving them vulnerable to sustained adverse outcomes. This suggests an ordering: frailty implies lack of resilience and robustness; conversely, robustness implies resilience and nonfrailty. This theory as to a continuum remains to be demonstrated.

The findings presented here may have implications beyond frailty, suggesting that an architecture of aging can be developed using the blueprint of complex systems. This

enterprise would be analogous to that of the Santa Fe Institute and its network of researchers who have been pursuing a revolution in science based on complex systems thinking<sup>108</sup>. We believe that such an approach may ultimately unify a multitude of aging concepts including frailty, homeostenosis and allostasis together with robustness, resilience and health, as well as reveal novel insights, suggest new avenues for research and launch a paradigm shift for the optimization of health.

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**Box 1 |****A glossary of key terminology****Adaptation.**

A change in the structure or function of an organism that increases the fitness of the organism for survival and/or reproduction. This is a fundamental attribute of a complex system: that it can change its rules of operation in response to a changing environment.

**Allostasis.**

The concept of allostasis is based on the premise that the goal of regulation is not to achieve a constant interior milieu (as in homeostasis) but to continually adjust the milieu to promote survival and reproduction. Allostatic load is the cost of maintaining stability when the organism is repeatedly confronted with stressful situations<sup>109</sup>.

**Complex system.**

See Box 2 for a detailed characterization of complex systems.

**Critical transition.**

A type of emergent property of a complex system. It is a sharp or abrupt change in the state of a system when the control settings of the system change minimally. An example is the phase transition of water from a liquid state to a solid state involving a small amount of change in ambient temperature around 273.15 K.

**Dynamical system.**

A system whose state changes over time.

**Emergence.**

Occurrence of new, unexpected phenomena in a complex system. Typically, the emergent phenomena occur at a higher spatial or temporal scale than the scale at which the system components are interacting<sup>110</sup>.

**Frailty cumulative deficit index.**

Increased vulnerability to adverse outcomes and mortality is conceptually measured as arising from the accumulation of health deficits. Health deficit accumulation is operationalized in a frailty index wherein the deficits can include any set of symptoms, signs, medical illnesses, polypharmacy, cognitive impairment, functional impairment, and poor mobility and balance (or even laboratory biomarkers). Frailty index is calculated as the proportion of health deficits present in a given individual<sup>3</sup>.

**Frailty phenotype (physical frailty).**

A clinical syndrome with a distinct phenotype associated with decreased reserve and high vulnerability to stressors and with risk of adverse outcomes including mortality. As a state distinct from the diseases and disability present, the phenotype appears to be associated with a distinct pathophysiology resulting from cumulative declines in multiple physiological systems, especially altered stress-response, metabolic and musculoskeletal

systems, and with underlying biological drivers. The physical frailty phenotype is the clinical presentation of the syndrome and is defined by presence of three of five of the following criteria: weakness, slowness, low physical activity, exhaustion (or fatigue) and unintentional weight loss (0, robust; 1–2, prefrail; 3, frail)<sup>1,2</sup>.

**Homeostenosis.**

Age-related decrease in the amount of physiological reserve that is available for responding to stressors, as a result of which older adults become vulnerable to the impact of stressors<sup>111</sup>.

**Modularity.**

A system is modular if it is made up of building blocks, each of which may also be considered to be an independent subsystem. Modularity is a hallmark of complex systems, which tend to be hierarchical (for example, genes, cells, tissues, organs, humans, families, societies)<sup>112</sup>.

**Network.**

A system composed of highly interconnected, interacting components. Abstractly, networks can be represented in a graph whose nodes (vertices) denote the elements of the system and whose edges (links) denote the interaction among the elements.

**Nonlinearity.**

In linear systems, the response changes in a manner proportional to the change in input, whereas in a nonlinear system the response can increase or decrease disproportionately depending on the magnitude of the input. Input can be an external perturbation of the system or an internal change in the components of the system. Correspondingly, the magnitude of an input is the magnitude of the external perturbation or change in internal components (for example, the intensity of a physical activity, concentration of an enzyme in the cell). Linear systems are superimposable in the sense that the responses elicited by two different inputs acting simultaneously are additive, whereas in nonlinear systems the multiple inputs act non-additively, for example, exhibiting synergy or dysynergy.

**Reserve.**

Physiological reserve can be quantified by a weighted average of the maximum work capacity minus the basal work output (basal work output is the work required to maintain homeostasis under minimal stress conditions) of each physiological subsystem involved in stress response<sup>113</sup>.

**Resilience.**

The ability of a system to recover from a stressor of sufficiently large magnitude that the system is pushed into a state far from its original equilibrium state and ultimately retains its essential identity and function<sup>114</sup>.

**Robustness.**

The ability of a complex system to maintain its structure and function intact (phenotypic stability) in the face of internal and external perturbations<sup>114</sup>.

**System.**

A collection of interacting elements that forms an integrated whole. A physiological system is delineated and distinguished from its surroundings by motifs such as function (for example, immune system) and structure (for example, mitochondria). Used here synonymously with ‘module’.

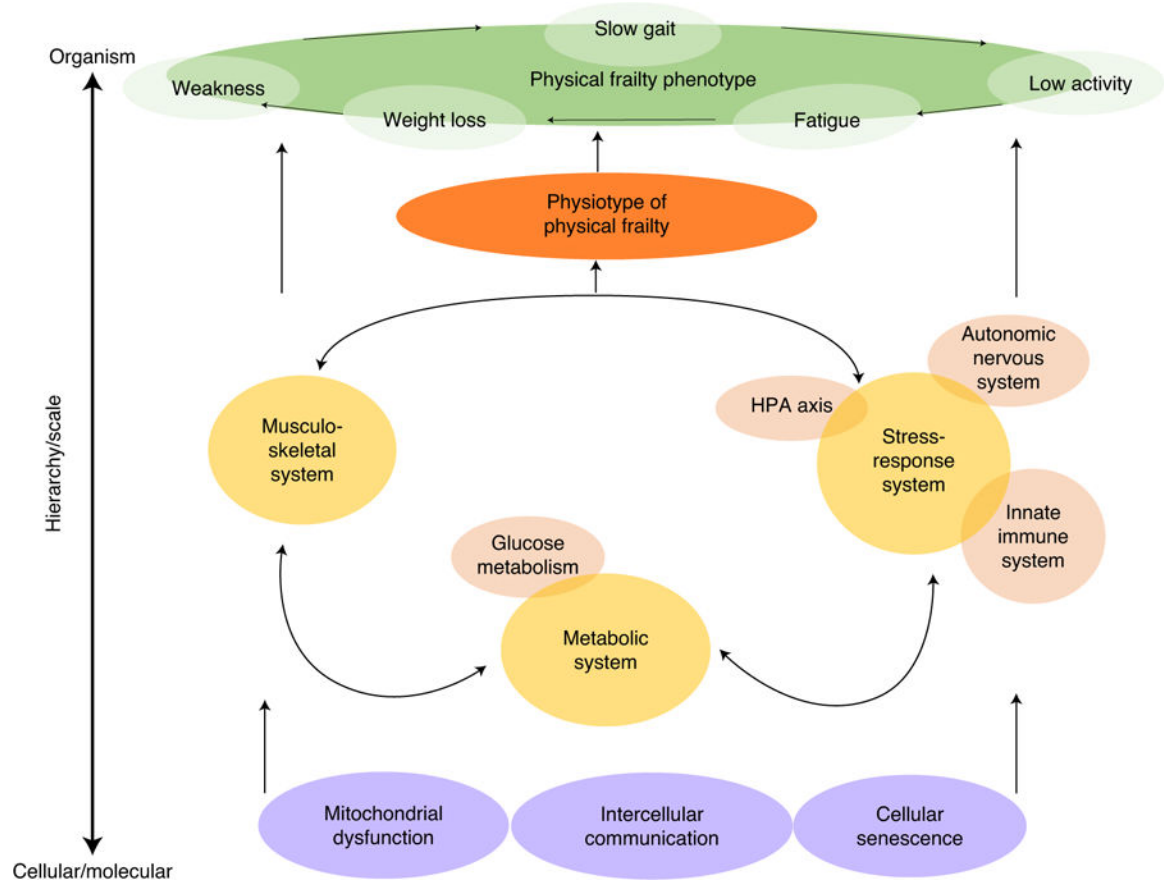
**Box 2 |****A brief introduction to complex biological systems**

Herbert Simon<sup>19</sup> defines a complex system as “one that is made up of a large number of parts that interact in a non-simple way. In such systems, the whole is more than the sum of the parts, not in an ultimate metaphysical sense, but in an important pragmatic sense that, given the properties of the parts and the laws of interactions, it is not a trivial matter to infer the properties of the whole.”

Complex systems are often adaptive, reorganizing their internal structure without the intervention of an external agent. The evolution of biological complex systems is fundamentally driven by the demand for robustness to uncertain environments<sup>115–117</sup>, which results in hierarchical structures (for example, genetic mutations, cellular oxidation, glucose metabolism, muscle function and clinical manifestation) and modular organization at every level of the hierarchy (for example, at the physiological level there are energy, skeletal muscle and stress-response systems). The modular architectures are composed of elaborate hierarchies of protocols and layers of feedback regulation<sup>112</sup>.

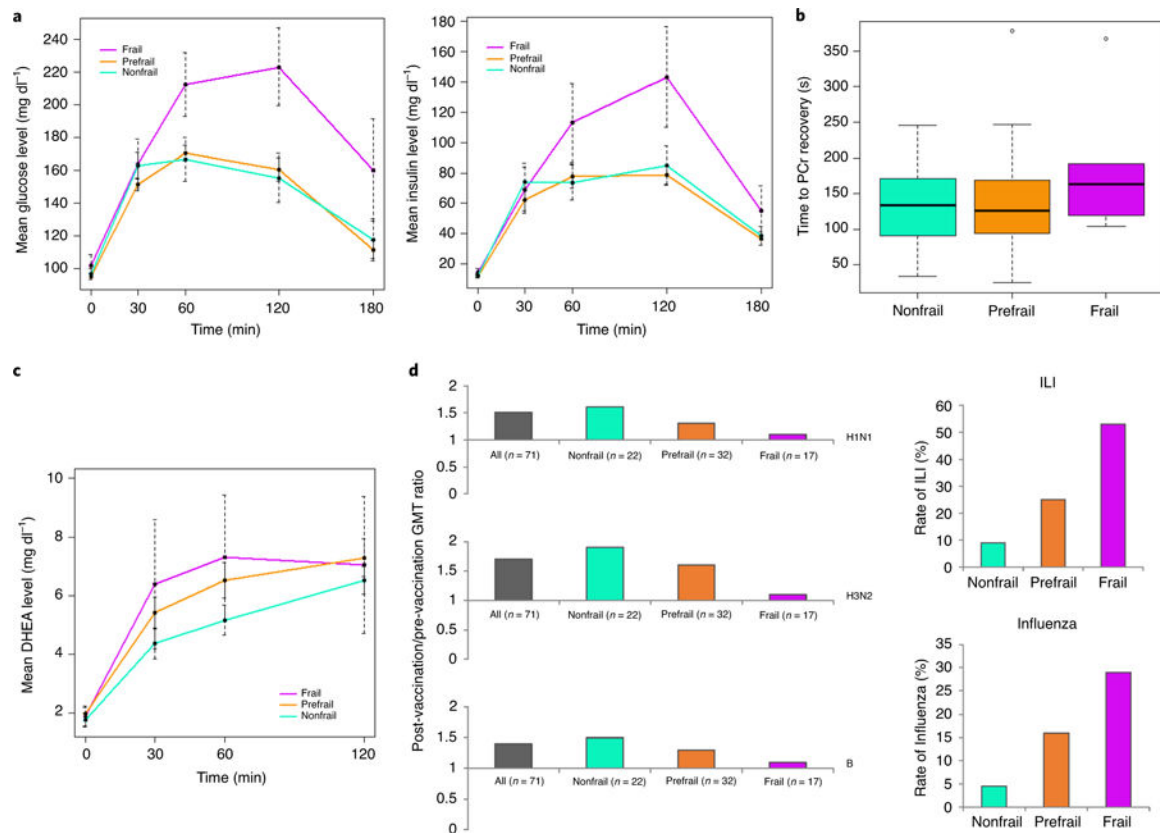
There are interactions between the modules within and across the levels of the hierarchy, although within-level interactions are generally much stronger. Diminution of system function and weakened interactions between systems are likely to be revealed when the organism is challenged. Because the interactions are nonlinear, the behavior of the system as a whole cannot be predicted from its structural composition. This is known as ‘emergence’—a manifestation of radically novel behavior at a higher level of the hierarchy that is unpredictable and non-deducible from lower-level organization. An important type of emergence is critical transitions (for example, water–ice or water–steam phase transitions), where the system changes abruptly and a new state of function emerges<sup>67,118</sup>.

Methodologically, the complex systems toolbox is by now packed with methods to analyze networks, dynamics, emergence and many other features<sup>118–120</sup>. One of the most striking features of complex systems is their translatability: principles that apply in one system tend to apply in many others, such that economists, climate scientists, physicists, ecologists and even literary theorists find they have much to learn from each other. Complex systems theory is standard fare in many fields but rare among biologists. This is surprising, as many of the canonical examples of complex systems come from biology; for example, cellular dynamics, the immune system, neural networks and ecosystems<sup>119,121</sup>. This is likely because the mathematical formalism is challenging and requires integration across distinct areas of biological discovery. Complex system frameworks would result in very different predictions than traditional models of signaling pathways and direct physiological effects of single systems.



**Fig. 1 | A hierarchical, multiscale representation of the physiological dysregulation and likely biological drivers of physical frailty.**

The schema depicts the clinical syndrome of physical frailty as an emergent property, at the highest level of the hierarchy, underlain by physiological modules (systems) at a smaller scale and cellular/molecular modules (systems) at an even smaller scale. Gold circles represent the three major physiological modules (systems) with the most evidence of interactions and the most evidence of a relationship with frailty. Orange ovals represent submodules (subsystems) within these three larger modules. Stressors from age-related biological changes at the cellular/molecular scale, represented in purple ovals, likely underlie dysregulation of the physiological modules represented above, which also interact with each other. The aggregate physiotype of dysregulation (dark orange oval) is associated with both the phenotype of physical frailty, in the top oval, and the vulnerability associated with its state. Adapted from ref. <sup>116</sup>.

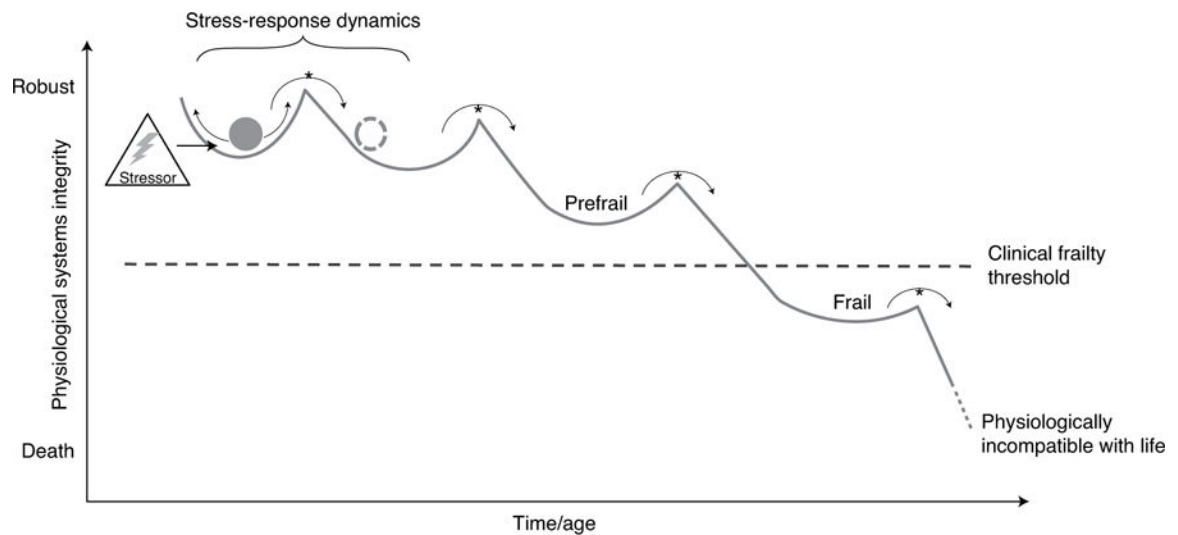


**Fig. 2 | Stimulus–response experiments in older adults measuring physiological response to minor stressors in community-dwelling older adults who were characterized as nonfrail, prefrail or frail.**

Pilot studies in **a–c** were conducted in community-dwelling women 85–94 years of age in WHAS II. The study in **d** was of male and female volunteers ages 70 and older, including WHAS II participants. **a**, Glucose (left) and insulin (right) dynamics during OGTT by physical frailty status; data are shown as the mean  $\pm$  standard error (s.e.; error bars) for glucose and insulin values at 0, 30, 60, 120 and 180 minutes after administration of a glucose load of 75 g by frailty status. The *P* values for comparisons of the area under the curve (AUC) were 0.82 (prefrail versus nonfrail) and 0.02 (frail versus nonfrail) for glucose and 0.26 (nonfrail versus prefrail) and 0.27 (nonfrail versus frail) for insulin<sup>33</sup>. Panel reproduced from ref. <sup>21</sup>. **b**, Time to 95% recovery of PCr levels after mild exercise, calculated as  $3/k$ , where  $k$  is the rate constant of the monoexponential fit. The *P* values for comparisons of the group means in this pilot were 0.57 (prefrail versus nonfrail) and 0.22 (frail versus nonfrail), likely owing to the sample size of 30 (ref. <sup>50</sup>). Panel reproduced from ref. <sup>50</sup>. **c**, DHEA response to ACTH stimulation test by frailty status. Data are shown as the mean  $\pm$  s.e. (error bars) for DHEA values at 0, 30, 60 and 120 minutes after administration of 250  $\mu$ g ACTH. The *P* value for a global test of difference in mean by frailty status was 0.86 (ref. <sup>63</sup>) in this pilot study of 51 women. Panel reproduced from ref. <sup>52</sup>. **d**, Response to influenza vaccination in people 70 years and older; data are shown as the geometric mean HI antibody titer (GMT) ratios to H1N1, H3N2 and B strains in all study participants and by frailty status (left) and the rate of ILI and laboratory-confirmed influenza infection (right) during the post-vaccination season. The *P* values for the GMT ratios (0.04, 0.01 and 0.05

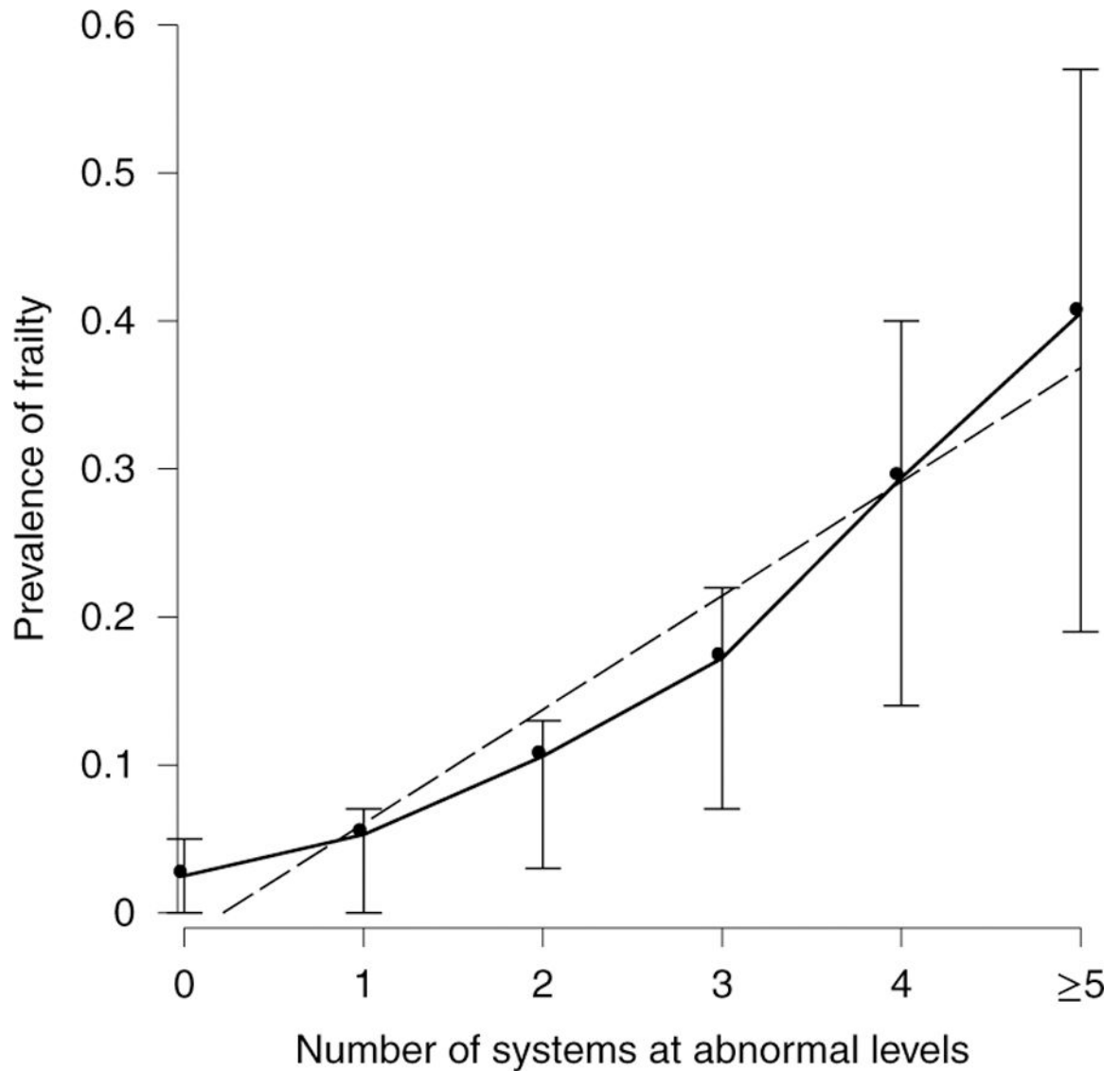


for H1N1, H3N2 and B strains, respectively) were obtained from linear regression for a stepwise trend of decrease from nonfrail to prefrail to physically frail individuals, adjusted for age; the corresponding *P* values for ILI (0.005) and influenza infection (0.03) rates were obtained from logistic regression analysis for a stepwise trend of increase from nonfrail to prefrail to frail individuals, adjusted for age<sup>53</sup>. Panel reproduced from ref. <sup>53</sup>. Physical frailty criteria: 0, nonfrail; 1–2, prefrail; 3–5, frail<sup>1</sup>.



**Fig. 3 |. Hypothesized natural history of frailty: deterioration of physiological integrity in response to repeated stressors and natural aging.**

The physiological integrity of the system is defined by the capacity to maintain a healthy equilibrium in the face of stressors. The rolling ball represents stress-response dynamics. The level of physiological integrity is theorized to be a function of the reserves represented by both the depth of each bowl and the radius of the curvature, with greater depth and curvature representing greater reserve and resilience to stressors. Time to recovery after a stressor is a measure of this resilience (for example, see Fig. 2a for glucose recovery curves in the OGTT showing that frail older adults have a much slower time to recovery). Both episodic (for example, stroke, fall) and chronic (for example, chronic inflammation) insults are hypothesized to decrease the integrity of the system, thus degrading the ability to return to equilibrium and to respond to subsequent stressors. Progression of frailty consists of a series of critical transitions (denoted by asterisks) between states of equilibrium of decreasing integrity; at a particular critical transition point, the system becomes overwhelmed and can no longer harness the resources needed to maintain integrity, resulting in the clinical phenotype of physical frailty. Reproduced from ref. <sup>7</sup>.



**Fig. 4 | Nonlinear increase in the prevalence of physical frailty by number of dysregulated physiological systems at baseline among women aged 70–79 years participating in the WHAS I and II studies ( $n = 704$ ).**

The filled dots connected by the solid line segments are prevalence estimates corresponding to the number of dysregulated systems, based on a generalized linear model with a binomial family distribution for being frail (versus nonfrail) and identity link while treating the number of dysregulated systems as dummy variables. The vertical bars represent 95% confidence intervals for the prevalence estimates. When fitting a quadratic equation to the curve, the quadratic term was statistically significant, at  $P = 0.027$ . The dashed line shows that a linear model does not fit the increase in prevalence of physical frailty. Adapted from ref. <sup>72</sup>.