





# Single nucleotide variations in ZBTB46 are associated with post-thrombolytic parenchymal haematoma

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Haemorrhagic transformation is a complication of recombinant tissue-plasminogen activator treatment. The most severe form, parenchymal haematoma, can result in neurological deterioration, disability, and death.

Our objective was to identify single nucleotide variations associated with a risk of parenchymal haematoma following thrombolytic therapy in patients with acute ischaemic stroke. A fixed-effect genome-wide meta-analysis was performed combining two-stage genome-wide association studies ( $n = 1904$ ). The discovery stage (three cohorts) comprised 1324 ischaemic stroke individuals, 5.4% of whom had a parenchymal haematoma. Genetic variants yielding a  $P$ -value  $< 0.05 \times 10^{-5}$  were analysed in the validation stage (six cohorts), formed by 580 ischaemic stroke patients with 12.1% haemorrhagic events. All participants received recombinant tissue-plasminogen activator; cases were parenchymal haematoma type 1 or 2 as defined by the European Cooperative Acute Stroke Study (ECASS) criteria. Genome-wide significant findings ( $P < 5 \times 10^{-8}$ ) were characterized by *in silico* functional annotation, gene expression, and DNA regulatory elements. We analysed 7 989 272 single nucleotide polymorphisms and identified a genome-wide association locus on chromosome 20 in the discovery cohort; functional annotation indicated that the ZBTB46 gene was driving the association for chromosome 20.

The top single nucleotide polymorphism was rs76484331 in the ZBTB46 gene [ $P = 2.49 \times 10^{-8}$ ; odds ratio (OR): 11.21; 95% confidence interval (CI): 4.82–26.55]. In the replication cohort ( $n = 580$ ), the rs76484331 polymorphism was associated with parenchymal haematoma ( $P = 0.01$ ), and the overall association after meta-analysis increased ( $P = 1.61 \times 10^{-8}$ ;

OR: 5.84; 95% CI: 3.16–10.76). ZBTB46 codes the zinc finger and BTB domain-containing protein 46 that acts as a transcription factor. *In silico* studies indicated that ZBTB46 is expressed in brain tissue by neurons and endothelial cells. Moreover, rs76484331 interacts with the promoter sites located at 20q13.

In conclusion, we identified single nucleotide variants in the ZBTB46 gene associated with a higher risk of parenchymal haematoma following recombinant tissue-plasminogen activator treatment.

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**Abbreviations:** AIS = acute ischaemic stroke; GWAS = genome-wide association studies; ICH = intracerebral haemorrhages; NIHSS = National Institutes of Health Stroke Score; PRS = polygenic risk score; rtPA = recombinant tissue-plasminogen activator; SNP = single nucleotide polymorphism

## Introduction

Despite the effectiveness of thrombolytic recombinant tissue-plasminogen activator (rtPA) treatment for acute ischaemic stroke (AIS), a 6- to 7-fold increased risk of intracerebral haemorrhage (ICH) remains a serious therapeutic limitation.<sup>1</sup> The most severe presentation of rtPA-induced intracerebral bleeding—

parenchymal haematoma—worsens the patient's outcome and increases the risk of 3-month mortality from 2.4 to 4.5 times.<sup>2</sup>

The damage on the blood vessel caused by ischaemia and reperfusion,<sup>3</sup> the breakdown of the blood-brain barrier,<sup>4</sup> and the coagulopathy produced by rtPA<sup>5</sup> result in blood extravasation within the ischaemic core. Despite the efforts to identify risk factors, such as older age, stroke severity, high blood pressure,

circulating glucose levels, leukoaraiosis, and prediction scores,<sup>2,6,7</sup> 6% of patients still suffer a parenchymal haematoma after thrombolysis.<sup>8</sup>

Part of the inter-individual variability in the response to AIS treatment could be explained by the genetic architecture of the patients. Previous results used a candidate gene approach that recognized the polymorphisms involved in the risk of parenchymal haematoma, which included, but were not limited to: alpha-2-macroglobulin (A2M), coagulation factor XII (FXII, F12),<sup>6</sup> and survivin (BIRC5).<sup>9</sup> However, the results of some of these findings need to be confirmed by replication in larger populations. To date, no genome-wide association studies (GWAS) have been published in this field. The hypothesis-free method has been able to find and replicate genetic variants and biological pathways associated with the risk of stroke,<sup>10</sup> and a similar success is expected when studying AIS phenotypes, such as parenchymal haematoma.

This study aimed to identify polymorphisms associated with parenchymal haematoma in AIS patients undergoing thrombolytic therapy through a GWAS approach.

## Materials and methods

### Study population

The study consisted of AIS patients admitted to an Emergency Department and treated with intravenous rtPA. Thrombolysis was performed within 4.5 h of symptom onset at a standard dose of 0.9 mg/kg/dose (10% bolus and 90% continuous 1-h infusion). Eligible participants were at least 18 years old, who suffered a neurological deficit, diagnosed by an expert neurologist, and confirmed by neuroimaging. The exclusion criteria were: patients with remote parenchymal haematoma (as different physiological mechanisms may be involved in this condition, e.g. cerebral microbleeds, amyloid angiopathy); cases in which information on the presence of haemorrhagic transformation was not available; onset to treatment time >4.5 h; and patients who had undergone endovascular therapy. Furthermore, an extreme phenotype approach was implemented, then we excluded haemorrhagic infarctions as previous studies did not show an association of haemorrhagic infarction types 1 and 2 with worsened outcomes.<sup>11</sup>

The Discovery Cohort ( $n=3217$ ) consisted of AIS patients recruited via hospital-based studies between 2003 and 2017. The participants were part of the Genetics of Early Neurological Instability After Ischaemic Stroke (GENISIS), Genetic contribution to Functional Outcome and Disability after Stroke (GODS) and the Genotyping Recurrence Risk of Stroke (GRECOS) studies. Participants in the Replication Stage ( $n=1172$ ) were enrolled through the effort of collaborative networks: The International Stroke Genetics Consortium (ISGC), the Spanish Stroke Genetics Consortium (Genestroke), the Spanish Stroke Research Network (INVICTUS plus), the Stroke Genetics Network (SiGN), the Genetic Study in Ischaemic Stroke Patients treated with tPA (Geno-tPA), BAse de Datos de ICTus del hospital del MAR (BASICMAR) (Stroke database of the Hospital del Mar), Leuven Stroke Genetics Study (LSGS), Helsinki 2000 Ischaemic Stroke Genetics Study and GENISIS study. In particular, the Replication Study was intentionally strengthened with parenchymal haematoma cases to ensure a sufficient number for conducting studies (see [Supplementary material](#) for more detailed information on these studies and patient recruitment).

### Clinical protocol

Demographic data, past medical history, cardiovascular risk factors, clinical examination, stroke severity assessed with the

National Institutes of Health Stroke Score (NIHSS) at initial evaluation, and treatment decisions were retrieved from the medical records. CT scans were obtained prior to thrombolytic administration (baseline), and 24 h after symptom onset (follow-up) or whenever a neurological deterioration was detected. Neurological deterioration was defined as an increase of at least 4 points in the NIHSS score. All brain images were reviewed by a radiologist or neuro-radiologist. The radiological, clinical and genetic evaluations were mutually blinded.

### Phenotype definition

The presence of haemorrhagic transformation was assessed in the follow-up CT scan and radiologically-classified according to the ECASS criteria<sup>12</sup> into haemorrhagic infarctions types 1 and 2 and parenchymal haematoma types 1 and 2. Parenchymal haematoma was defined as bleeding in <30% of the infarcted area with mild space-occupying effect (PH-1) or haematoma >30% of this area and a significant mass effect (PH-2). Symptomatic ICH was defined according to the SITS-MOST criteria as local PH-2 on the CT brain scan combined with an increase of  $\geq 4$  points in the NIHSS score within 22–36 h of treatment.<sup>13</sup>

### Standard protocol approvals, registrations and patient consents

An Institutional Review Board or Ethics Committee approved the study at each participating site. All patients or their relatives provided written informed consent.

### Genome-wide genotyping and imputation

DNA samples were genotyped on commercial arrays from Illumina ([Table 1](#)). Stringent quality controls were performed ([Supplementary material](#)), such as removing genetic variants based on the genotyping call rate (<97%), minor allele frequency (MAF <1%), significant deviations from the Hardy-Weinberg equilibrium ( $P = 1 \times 10^{-6}$ ), and identity by state to analyse relatedness among subjects. Studies genotyped on the same platforms were combined with the exception of the Finnish participants, resulting in one stratum for the Discovery and three strata for the Replication stages ([Supplementary Fig. 1](#)). Quality controls were applied again,<sup>14</sup> then phase haplotypes by stratum were estimated and imputation was performed using the Michigan Imputation Server Portal<sup>15</sup> based on the 1000 Genomes Phase 3v5 panel. The Finnish cohort was imputed separately due to their genetic background, which differs from other European populations.

### Association analysis and meta-analysis

We performed a Discovery case-control association analysis. Frequentist association test for each allele were performed in SNPTTEST v2.5.2<sup>16</sup>, under an additive genetic model adjusted for age, sex, three principal components ([Supplementary Fig. 2](#)), and clinical variables associated with parenchymal haematoma after a logistic regression: baseline NIHSS and diabetes ( $P < 0.05$ ). Polymorphisms that achieved genome-wide significance and nominal significance, defined as  $P < 5 \times 10^{-8}$  and  $P < 1 \times 10^{-5}$ , respectively, were selected for replication in new independent cohorts. Furthermore, to obtain enough cases, all participants of the replication study were combined into a single dataset. Any possible bias created by population substructure was then checked through analysis with and without adjustment for the principal components.

Subsequently, we examined the single nucleotide polymorphisms (SNPs) identified during the first stage using an association

Table 1 Cohorts included in the meta-analysis

Stage	Cohort	n	PH (%)	Location	Array
Discovery	GENISIS	1062	52 (4.9)	Spain	HumanCore ExomeChip
	GODS	234	14 (6)	Spain	HumanCore ExomeChip
	GRECOS	28	5 (17.9)	Spain	HumanCore ExomeChip
	<b>Overall</b>	<b>1324</b>	<b>71 (5.4)</b>	–	–
Replication <sup>a</sup>	Geno-tPA <sup>b</sup>	157	36 (22.9)	Spain	Omni 1.5 M
	BASICMAR <sup>b</sup>	91	8 (8.8)	Spain	Omni 5 M
	LSGS <sup>b</sup>	45	8 (17.8)	Belgium	Omni 5 M
	HELSINKI 2000 <sup>c</sup>	164	12 (7.3)	Finland	HumanCore ExomeChip
	GENISIS <sup>c</sup>	70	2 (2.9)	Finland	HumanCore ExomeChip
	GENISIS	53	4 (7.5)	Poland	HumanCore ExomeChip
	<b>Overall</b>	<b>580</b>	<b>70 (12.1)</b>	–	–
<b>Overall</b>	–	1904	141 (7.4)	–	–

BASICMAR = Base de Datos de Ictus del Hospital del Mar (Hospital del Mar Stroke Database); GENISIS = Genetics of Early Neurological Instability after Ischaemic Stroke study; Geno-tPA = Genetic study in ischaemic stroke patients treated with tPA; GODS = Genetic contribution to Functional Outcome and Disability after Stroke study; GRECOS = Genotyping Recurrence Risk of Stroke; HELSINKI 2000 = Helsinki 2000 Ischaemic Stroke Genetics Study; LSGS = Leuven Stroke Genetics Study; PH = parenchymal haematoma.

<sup>a</sup>Contributing cohorts were not analysed by ancestry stratum due to the limited samples available.

<sup>b</sup>In the replication stage, in these cohorts, groups were pooled and imputed based on genotyping array.

study adjusted for age, sex, baseline NIHSS, genotyping platform and 10 principal components (Supplementary Fig. 3). A different number of principal components compared with the discovery cohort was required due to the different genetic background of the populations studied. To homogenize the replication cohort (Supplementary Fig. 3) we used this different number of principal components. This difference was mainly due to the Finnish population. Lastly, we conducted a fixed effect inverse-variance meta-analysis using METAL.<sup>17</sup> Standard error and genomic control options were applied. The genome-wide significance was set at  $P < 5 \times 10^{-8}$ . In addition, we performed gene-based analysis using the Multi-marker Analysis of GenoMic Annotation (MAGMA)<sup>18</sup>; the study included variants located within 2 kb of the gene at 3' and 5'UTR. Additionally we ran S-MultiXcan,<sup>19</sup> the multi-tissue tool of the S-PrediXcan software to confirm the MAGMA findings.

We performed GNOVA<sup>20</sup> analysis to evaluate the genetic architecture shared with other diseases. We tested genetic correlation using MEGASTROKE European analysis data<sup>10</sup> for the ischaemic stroke phenotypes. The sample sizes of the stroke subtypes were: for ischaemic stroke ( $n = 440\,328$ ), large artery atherosclerosis stroke ( $n = 301\,663$ ), cardioembolic stroke ( $n = 362\,661$ ), and small vessel stroke ( $n = 348\,946$ ). For ICH we used the summary statistics available from the ICH GWAS 2014<sup>21</sup> ( $n = 6965$ ) and its lobar ( $n = 1148$ ) and deep ( $n = 2075$ ) ICH subtypes. Additionally, we evaluated genetic correlation for the white matter hyperintensity (WMH) volume phenotype ( $n = 11\,226$ ) using published data.<sup>22</sup> All datasets were obtained from <http://cerebrovascularportal.org>, accessed 6 November 2020.

We generated a polygenic risk score (PRS) with the data from our study. We used the PRSice-2 software that runs logistic regressions to determine the  $P$ -threshold with the largest variance explained by the PRS, assessed as the increment in Nagelkerke's pseudo-R<sup>2</sup>.

### Bioinformatic functional analysis

After meta-analysis, novel SNPs significantly associated with parenchymal haematoma were examined to identify their biological function using publicly available online bioinformatic tools. We tested tissue-specific expression for the polymorphism-containing gene where the polymorphism is located through the Genotype-Tissue Expression (GTEx) Project,<sup>23</sup> BRAINEAC dataset<sup>24</sup> and by mapping the gene expression in brain tissue using the single

nuclei RNA sequencing expression browser.<sup>25</sup> In addition, we checked the effect of the top genetic variant over the gene expression levels in a 1 Mb window (eQTL, expression quantitative trait loci) in different tissues using the summary data-based Mendelian randomization and the heterogeneity in dependent instruments test (SMR and HEIDI),<sup>26</sup> the eQTL consortium,<sup>27</sup> and the GTEx project.<sup>23</sup> An extended eQTL analysis in vascular and brain tissues was performed as well. Interactions between the top SNPs with distal DNA regulatory elements and gene promoter sites were evaluated by Capture HiC Plotter<sup>28</sup> in the lymphoblastoid cell line GM12878 and by the RegulomeDB database.<sup>29</sup> Furthermore, previous associations of the most significant SNP and proxies ( $r^2 > 0.6$ ) with stroke, cardiovascular traits, and any genome-wide association studies were investigated with the cerebrovascular disease knowledge portal<sup>30</sup> and the PhenoScanner<sup>31</sup> web tool.

### Statistical analyses

Statistical analyses for clinical variables were performed using SPSS statistical package version 17.0 (IBM, Chicago, USA). Univariate analysis for case-controls was evaluated by  $\chi^2$  or Fisher's exact test. T-tests, Mann-Whitney U-tests or Kruskal-Wallis tests were used for continuous variables. Logistic regression was conducted with the forward stepwise method to select clinical variables, such as covariates in the association studies. Meta-analysis heterogeneity was calculated using Cochran's Q-test.

### Data availability

Any qualified investigator may request the summary statistics and dataset. Detailed methods are available in the [Supplementary material](#).

## Results

### Genome-wide association analysis and meta-analysis

Following quality controls and imputation, 1324 AIS patients treated with rt-PA met the inclusion criteria and 7989272 polymorphisms were tested (Supplementary Fig. 1). Parenchymal haematoma occurred in 5.4% ( $n = 71$ ) (Table 1), 2.4% ( $n = 32$ ) had PH-1 and 2.9% ( $n = 39$ ) had PH-2. Moreover, the incidence of



Table 2 Clinical findings and univariate analysis of the Discovery Study subjects

	Total (n = 1324)	PH		P	OR (95% CI)
		Absence (n = 1253)	Presence (n = 71)		
Sex, male (%)	733 (55.4)	692 (55.2)	41 (57.7)	0.7	1.11 (0.68–1.79)
AF (%)	361 (27.3)	336 (26.9)	25 (35.2)	0.13	1.48 (0.89–2.44)
Diabetes (%)	329 (24.8)	303 (24.2)	26 (36.6)	0.02 <sup>†</sup>	1.81 (1.10–2.99)
HTN (%)	867 (65.8)	817 (65.5)	50 (71.4)	0.31	1.32 (0.78–2.24)
ST (%)	374 (34.5)	356 (34.7)	18 (31)	0.57	0.85 (0.48–1.50)
TOAST (%)					
CE	556 (43.4)	518 (42.6)	38 (55.1)	0.04 <sup>†</sup>	1.65 (1.02–2.69)
LAA	219 (17.1)	215 (17.7)	4 (5.9)	0.01 <sup>†</sup>	0.29 (0.07–0.79)
SVO	56 (4.4)	55 (4.5)	1 (1.5)	0.36	0.31 (0.01–1.89)
OT	24 (1.9)	23 (1.9)	1 (1.5)	1	0.77 (0.02–4.91)
UND	426 (33.3)	402 (33.1)	24 (35.3)	0.71	1.1 (0.6–1.8)
Age, years (IQR)	76 (65–82)	75 (65–82)	77 (70–82)	0.28	
Baseline NIHSS (IQR)	12 (7–18)	11 (7–18)	18 (14–22)	<0.001 <sup>†</sup>	
Glucose, mg/dl (IQR)	120 (103–147)	119 (103–146)	130 (105–168)	0.18	
OTT, min (IQR) <sup>a</sup>	130 (90–180)	128 (90–180)	140 (90–180)	0.54	
SBP, mmHg (IQR)	155 (138–172)	154 (138–172)	158 (144–173)	0.36	
DBP, mmHg (IQR)	82 (71–98)	81 (71–97)	85 (70–112)	0.34	

For categorical variables, frequencies were described as percentages. For continuous variables, the median values and interquartile range (IQR) were calculated. AF = atrial fibrillation; CE = cardioembolism; DBP = diastolic blood pressure; HTN = hypertension; LAA = large artery atherosclerosis; OT = other aetiology; OTT = time from onset to treatment; PH = parenchymal haematoma; SBP = systolic blood pressure; ST = statins; SVO = small vessel occlusion; TOAST = Trial of Org 1072 in Acute Stroke Treatment; UND = undetermined aetiology.

<sup>†</sup>P-value < 0.05. P-values after logistic regression: diabetes: P = 0.04; cardioembolism aetiology: P = 0.29; baseline NIHSS: P =  $4.8 \times 10^{-9}$ .

<sup>a</sup>Time from onset to treatment was available in 788 participants.

Table 3 Clinical characteristics of the replication cohort

	Total (n = 580)	PH (%)		P	OR (95% CI)
		Absence (n = 510)	Presence (n = 70)		
Sex, male (%)	287 (49.5)	256 (50.2)	31 (44.3)	0.35	0.79 (0.48–1.30)
CE (%)	286 (49.8)	239 (47.3)	47 (68.1)	0.001 <sup>†</sup>	2.38 (1.39–4.06)
Age, years (IQR)	71 (60–78)	70 (59–78)	74 (68–80)	0.004 <sup>†</sup>	
Diabetes, yes (%)	42 (12.9)	35 (12.7)	7 (13.7)	0.84	1.09 (0.46–2.61)
Baseline NIHSS (IQR)	10 (6–17)	9 (5–15)	16 (11–19)	<0.001 <sup>†</sup>	

For categorical variables, frequencies were described as percentages. For continuous variables, median values and interquartile range (IQR) were calculated. CE = cardioembolism aetiology by trial of Org 1072 in Acute Stroke Treatment classification; PH = parenchymal haematoma.

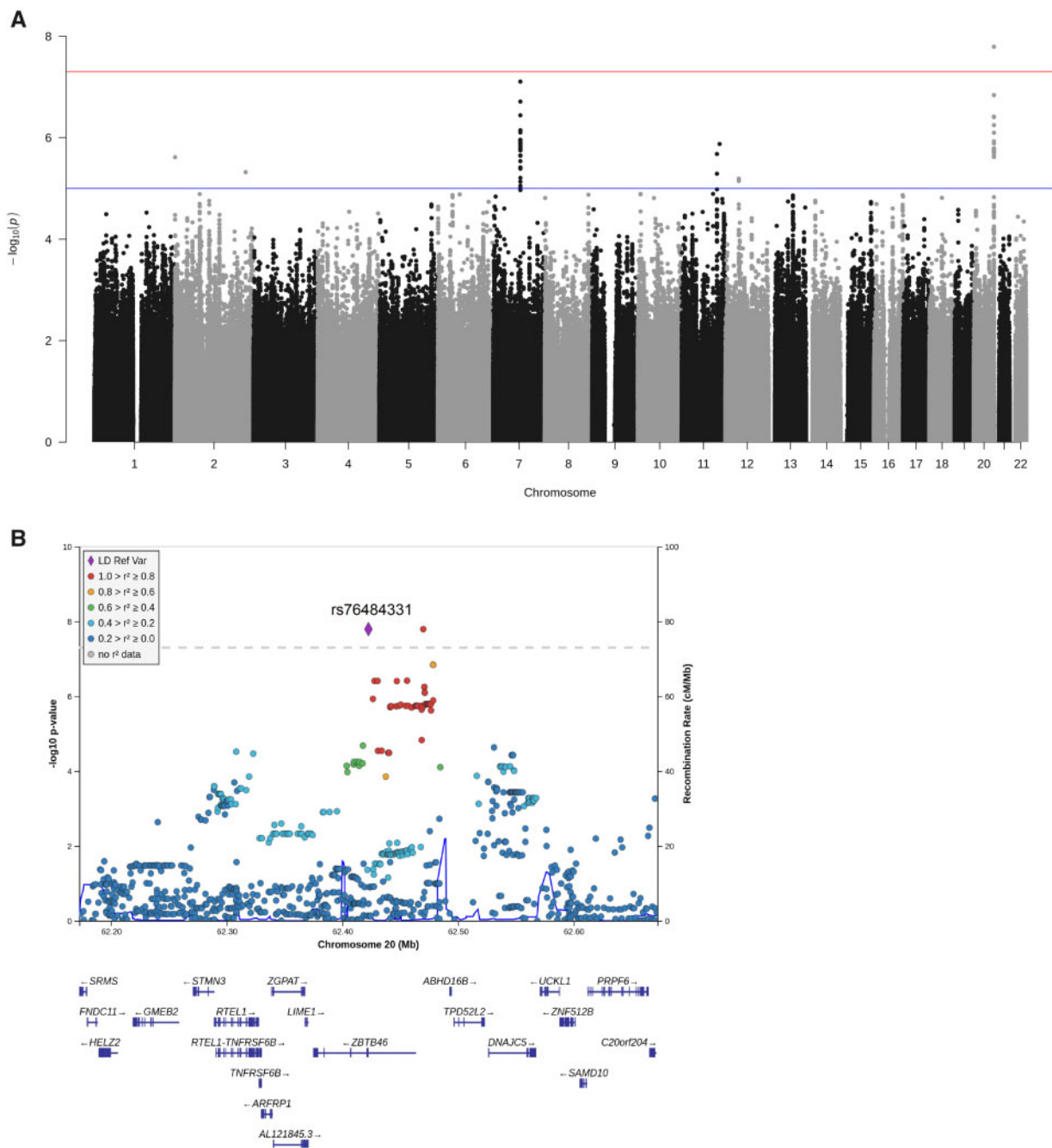
<sup>†</sup>P-value < 0.05.

symptomatic ICH was 1.4% (n = 18). The symptomatic ICH was associated with in-hospital mortality, disability and mortality at 3 months (P < 0.001); significantly, PH-1 and PH-2 haemorrhages excluding symptomatic ICH were also associated with disability [P = 0.007; odds ratio (OR): 7.19; 95% confidence interval (CI): 3.18–16.25] and mortality at 3 months (P =  $4.48 \times 10^{-8}$ ; OR: 6.05; 95% CI: 3.22–11.36) (Supplementary Table 1). The univariate analysis showed that diabetes, cardioembolism aetiology and baseline NIHSS appeared to be associated with parenchymal haematoma risk (P = 0.02; P = 0.04; P =  $1.79 \times 10^{-10}$ ). After logistic regression, only diabetes and baseline NIHSS were significantly associated with parenchymal haematoma and used for the GWAS adjustment (Supplementary Table 2). The clinical characteristics are summarized in Table 2.

The Manhattan plot obtained is presented in Supplementary Fig. 4. We did not observe overall inflation of P-values (genomic inflation factor  $\lambda = 1.018$ ) (Supplementary Fig. 4). The discovery analysis identified one polymorphism that reached genome-wide significance: rs76484331 located on chromosome 20, in intron 1 of ZBTB46, (P =  $2.49 \times 10^{-8}$ ; OR: 11.31; 95% CI: 4.82–26.55). Furthermore, 182 SNPs were selected for the follow-up stage based

on the P-value cut-off  $1 \times 10^{-5}$ , including 43 polymorphisms at the ZBTB46 gene (Supplementary Table 3).

Replication was performed in 580 AIS patients. The prevalence of parenchymal haematoma was 12.1% (n = 70); PH-1 developed in 6.4% (n = 37) and PH-2 in 5.7% (n = 33). The higher occurrence of bleeding events can be attributed to the Genot-PA project, a study designed to understand the genetic variability of thrombolytic response. The number of parenchymal haematoma samples included in this study was larger than expected. Age, sex and NIHSS were required as minimum clinical covariates (Table 3). Cardioembolism aetiology stroke was not included as a covariate because it was not significant after logistic regression. Moreover, 10 principal components accounted for the GWAS population substructure adjustment. The genome-wide significant SNP rs76484331 (P <  $5 \times 10^{-8}$ ) selected from the discovery phase was significant in the validation cohort (P = 0.01). When we included cardioembolism aetiology stroke as a covariable the results did not change significantly (Supplementary Table 4). Additionally, we analysed 182 polymorphisms with nominally significant P-values (P <  $1 \times 10^{-5}$ ), obtaining the lowest P-value at rs1962779 on chromosome 7 (P =  $3.34 \times 10^{-3}$ ). Three of these SNPs could not be



**Figure 1** Genome-wide association meta-analysis of parenchymal haematoma. SNPs were represented by dots and were plotted based on their GWAS meta-analysis  $P$ -values. (A) Manhattan plot of genome-wide association meta-analysis. The red line shows genome-wide significance ( $P < 5 \times 10^{-8}$ ) and the blue line represents the suggestive association significance threshold ( $P < 1 \times 10^{-5}$ ). Results were adjusted for age, sex, baseline NIHSS, diabetes, and principal components. (B) Regional association plot centred on rs76484331 (ZBTB46). The regional plot was drawn using LocusZoom software v0.4.8. Linkage disequilibrium with the top SNP is represented by the coloured dots. Genes were characterized by horizontal lines. The ZNF512B gene was omitted.

included in the analysis because of failed imputation quality controls (Supplementary Table 3).

The meta-analysis of both stages revealed one locus on chromosome 20q13 that reached genome-wide significance in association with parenchymal haematoma: rs76484331 ( $P = 1.61 \times 10^{-8}$ ; OR: 5.84, 95% CI: 3.16–10.76; heterogeneity  $P = 0.03$ ), formed by 43 polymorphisms that exceeded the nominal significance cut-off ( $P < 1 \times 10^{-5}$ ) (Fig. 1). Moreover, we found five loci (overall 45 SNPs) in syntrophin gamma 2 (SNTG2), RUN and FYVE domain-containing protein 4 (RUFY4), semaphorin-3A (SEMA3A), Down syndrome

cell adhesion molecule-like protein 1 (DSCAML1), and PDZ domain-containing ring finger 4 (PDZRN4) that reached  $P$ -values  $< 1 \times 10^{-5}$ . All the top SNPs had a consistent direction of effect (Table 4); the best association obtained was for SEMA3A, which addressed a  $P$ -value close to the significance cut-off point ( $P = 7.85 \times 10^{-8}$ ; OR: 2.43; 95% CI: 1.76–3.37; heterogeneity  $P = 0.25$ ).

On the other hand, the gene-based analysis computed one statistically significant gene associated with our phenotype: chromosome 20 open reading frame 181 (C20orf181;  $P = 0.05/18647$ ;  $P < 2.68 \times 10^{-6}$ ) (Supplementary Table 5), located at 20q13.33. In addition, ZBTB46

Table 4 Independent leading SNPs and the most significant associations with parenchymal haematoma in the meta-analysis

SNP	CHR	Position (bp)	Location	Gene	EA/NEA	No. variants	EAF	Stage	OR (95% CI)	P
rs77557904	2	1047076	Intronic	SNTG2	G/C	1	0.06	Meta-analysis	3.82 (2.19–6.68)	$2.43 \times 10^{-6}$
								Discovery	6.56 (3.10–13.89)	$8.62 \times 10^{-7}$
								Replication	2.01 (0.88–4.59)	0.1
rs112541215	2	218916291	Intronic	RUFY4	A/T	1	0.08	Meta-analysis	3.01 (1.88–4.84)	$4.79 \times 10^{-6\text{§}}$
								Discovery	4.67 (2.43–8.96)	$3.76 \times 10^{-6}$
								Replication	1.90 (0.96–3.74)	0.07
rs1962779	7	83837734	Intronic	SEMA3A	C/G	40	0.19	Meta-analysis	2.43 (1.76–3.37)	$7.85 \times 10^{-8\text{§}}$
								Discovery	2.94 (1.87–4.61)	$2.77 \times 10^{-6}$
								Replication	2.00 (1.26–3.18)	$3.34 \times 10^{-3}$
rs4356265	11	117301818	Intronic	DSCAML1	T/C	1	0.11	Meta-analysis	2.75 (1.83–4.15)	$1.33 \times 10^{-6\text{§}}$
								Discovery	3.92 (2.23–6.88)	$1.89 \times 10^{-6}$
								Replication	1.87 (1.03–3.38)	0.04
rs564865745	12	41626444	Intronic	PDZRN4	G/A	57	0.05	Meta-analysis	4.23 (2.37–7.54)	$1.03 \times 10^{-6}$
								Discovery	9.89 (4.20–23.28)	$1.56 \times 10^{-7}$
								Replication	2.12 (0.97–4.62)	0.06
rs76484331	20	62422504	Intronic	ZBTB46	A/C	43	0.1	Meta-analysis	5.84 (3.16–10.76)	$1.61 \times 10^{-8*}$
								Discovery	11.31 (4.82–26.55)	$2.49 \times 10^{-8*}$
								Replication	2.97 (1.24–7.09)	0.01

CHR = chromosome; EA = effect allele; EAF = effect allele frequency; No. variants = number of variants reaching  $P > 1 \times 10^{-5}$ ; NEA = non-effect allele. Only independent SNPs ( $r^2 < 0.1$ , within a 1 Mb window) with a P-value  $< 1 \times 10^{-5}$  are shown. Alleles and chromosomal positions were identified on the basis of the 1000 Genomes Phase 3 Project. Location was described following the ANNOVAR system.

\*P-value  $< 5 \times 10^{-8}$ .

§Heterogeneity P-value  $> 0.05$ .

showed a P-value of 0.0035. S-MultiXcan using GTEx<sup>23</sup> v8 data confirmed the results for ZBTB46 ( $P = 3.16 \times 10^{-4}$ ); however, the C20orf181 transcript was not covered by the 22 313 transcripts evaluated with S-MultiXcan and it could not be analysed (Supplementary Table 6).

Genetic correlation analysis revealed a shared genetic background of parenchymal haematoma and deep ICH, lobar ICH and WMH ( $P < 9.2 \times 10^{-3}$ ) (Supplementary Table 7). After Bonferroni correction, only lobar ICH was significantly associated with parenchymal haematoma.

The best-fit for the PRS estimation of disability after 3 months using the genetic data from the discovery cohort was observed for a threshold of  $P = 0.00530005$ , pseudo-R<sup>2</sup> = 0.0684721, and composed of 3506 SNPs. A significant association was observed with parenchymal haematoma and mortality after 3 months, but no association was found with in-hospital mortality (Supplementary Tables 8 and 9). The most significant association was with disability after 3 months [modified Rankin Score (mRS) 0–2 versus 3–6;  $P = 1.5 \times 10^{-6}$ ]. Interestingly, in a multivariable logistic regression for disability after 3 months the PRS remained significant in the logistic regression after inclusion of the clinical variables: baseline NIHSS, sex and age (Supplementary Table 10).

## Functional analysis

The GTEx and BRAINEAC portal revealed gene expression of ZBTB46 in different tissues, with the highest expression detected in the brain, specifically in the region of the cerebellum (Supplementary Figs 5 and 6). Besides, single nuclei RNA sequencing confirmed ZBTB46 RNA expression in neurons and endothelial cells (Fig. 2). Furthermore, the eQTL study showed a nominal association of rs76484331 with expression levels of ZBTB46 in blood tissue ( $P = 2.2 \times 10^{-6}$ ) (Supplementary Table 11); similarly, interactions between rs76484331 and promoter sites at the ZBTB46 gene were evidenced by Capture HiC Plotter (Supplementary Fig. 7). The RegulomeDB classified rs76484331 as transcription factor-

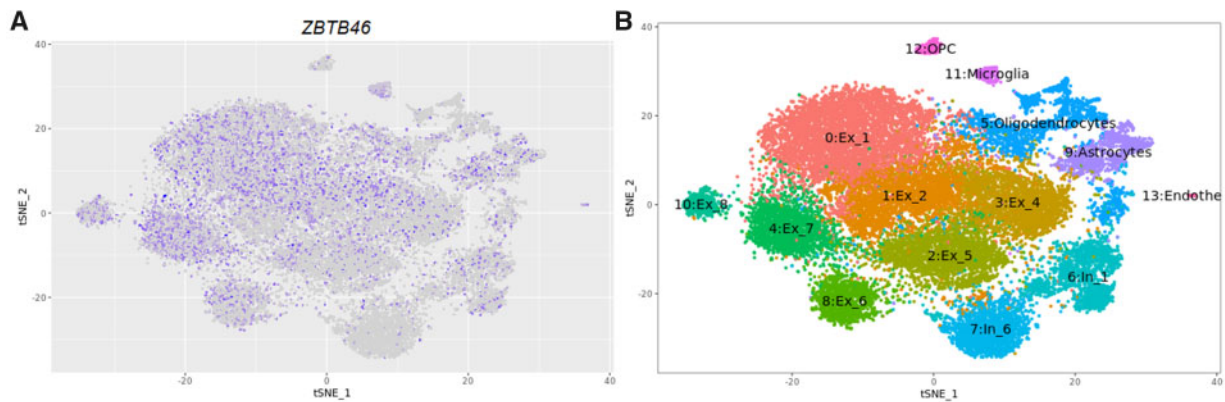
binding or DNase peak, chromatin states included quiescent action in blood cells and weak transcription in the brain (Supplementary Table 12). However, rs76484331 did not affect a single gene exclusively. We observed additional cis-eQTL and promoter sites located in the near window of 1 Mb associated with the presence of the polymorphism including Lck interacting transmembrane adaptor 1 (LIME1) and DnaJ heat shock protein family (Hsp40) member C5 (DNAJC5), further interactions are detailed in Supplementary Table 13 and Supplementary Fig. 7.

Traits that have been reported as being associated with rs76484331 include cardiomyopathy, blood pressure, and coronary atherosclerosis. Moreover, using the cerebrovascular disease knowledge portal (<https://cerebrovascularportal.org/>), we could not find any association with haemorrhagic stroke and ischaemic stroke subtypes (Supplementary Table 14). Additionally, we did not find evidence of association within rs76484331 and hypertension or blood pressure levels in our cohort (Supplementary Tables 15 and 16).

## Discussion

This study found a genome-wide polymorphism associated with parenchymal haematoma in an intron of ZBTB46. The SNP, rs7648433, was initially identified in 1324 patients and further validation was sought in 580 participants; both stages included AIS treated with intravenous thrombolysis.

ZBTB46 is a member of the Poxvirus and Zinc Finger and Krüppel-type (POK) protein family that contains a POZ domain for protein-protein interactions and zinc fingers for DNA binding.<sup>32</sup> The POK proteins act as transcription factors, facilitating the recruitment of co-repressors to promoter regions<sup>33</sup> and play a role in the development of haematopoietic,<sup>34</sup> dendritic,<sup>35</sup> and endothelial cells,<sup>35</sup> and in lymphocyte differentiation during the immune response.<sup>36</sup> No studies have associated ZBTB46 with ischaemic or haemorrhagic stroke; however, involvement in stroke



**Figure 2** RNA-seq expression of ZBTB46 in the brain. (A) The T-distributed stochastic neighbour embedding (tSNE) plots represent 26 331 nuclei and clusters. ZBTB46 gene expression levels are represented by colour: high expression is presented in purple and low expression in grey. (B) Clusters are identified by cell type: excitatory neurons (Ex), inhibitory neurons (In), oligodendrocytes, microglia, oligodendrocyte precursor cells (OPC), and endothelial cells. Data were extracted from the web-based application <http://ngi.pub/snuclRNA-seq/>.

mechanisms, such as shear stress and atherosclerosis, has been reported previously.<sup>37</sup> Moreover, ZBTB46 has also been linked with prostate cancer<sup>38</sup> and multiple sclerosis.<sup>39</sup>

The polymorphisms identified lay within intronic regions, portions of gene that are spliced out prior to protein translation. Introns are part of the non-coding variants that represent >90% of all the hits identified by GWAS in complex diseases. Finding the causality of these SNPs could be challenging; however, these genome regions may regulate gene expression.<sup>40</sup> We tested whether the GWAS significant polymorphism could influence other neighbouring genes using *in silico* analysis tools. Considering the importance of the integrity of the blood–brain barrier in the pathophysiology of haemorrhagic events after thrombolysis, we emphasized the SNP effect on gene expression in brain, blood and blood vessel tissues.

We found a nominal association between rs7648433 and ZBTB46 gene expression reported in arterial vessel tissue; in addition, ZBTB46 RNA expression was identified in endothelial cells and neurons in brain tissue. Moreover, cis-eQTL of rs7648433 within a neighbouring region of 1 Mb identified six differential gene expressions in blood tissue. None of the cis-eQTL genes identified have been previously associated with cerebrovascular traits.

Regulation of gene expression by ZBTB46 is mediated through binding of the zinc finger domain to a chromatin complex.<sup>41</sup> The POK proteins act over a large and assorted group of genes, which includes transcription factors, RNA processing factors, chromatin regulators, kinases, peptidases, ubiquitin ligases, and phosphatases.<sup>42</sup> The current study exhibited an interaction among rs7648433, and several promoter sites located in chromosome 20, including ZBTB46. Moreover, the gene-based analysis identified the open read frame C20orf181, located at 231 bp from the upstream region of ZBTB46; open read frames can mediate the protein synthesis through the translation regulation of genes.<sup>43</sup>

Our results suggest the modulation of genetic targets affects the parenchymal haematoma risk and could influence the response to rtPA therapy. On the other hand, rs76484331 has been associated with cardiomyopathies and systolic blood pressure levels in the UK Biobank (UKBB) project. Polymorphism pleiotropy could highlight the biological effect of the variant; however, replications should be performed in independent cohorts and a secondary analysis is needed in order to clarify this. In addition, ZBTB46 plays a role in keeping cells in a quiescent state (G0–G1)<sup>37</sup> and could be activated through several proteins or events like the Toll-like receptor proteins (TLR)<sup>42</sup> or disturbed vessel flow.<sup>37</sup> Little is

known about how ZBTB46 responds under ischaemic conditions and with the data available, we are not able to determine which molecular process is modified in the parenchymal haematoma risk by rs76484331 or ZBTB46.

Our study also revealed five loci that reached a nominal association with parenchymal haematoma; the second most significant locus, SEMA3A, is related to the vascular permeability of the blood–brain barrier and brain damage after cerebral ischaemia in murine models.<sup>44</sup> Moreover, SEMA3A is expressed by the ischaemic brain and the ischaemic core during reperfusion.<sup>45</sup> It seems that the genetics of SEMA3A could modulate the response to ischaemia and promote the onset of bleeding events. Studies with larger sample sizes are required to determine whether this GWAS hit is associated with the onset of parenchymal haematoma.

Interestingly, genetic correlation analysis revealed a shared genetic correlation of parenchymal haematoma with several traits, such as lobar and deep ICH or WMH. These findings indicate that ICH genetic risk factors could play a role in the risk of parenchymal haematoma; however, further studies are needed to confirm this hypothesis.

The consequences of symptomatic ICH on the stroke outcome at 3 months and in-hospital mortality<sup>13</sup> are well established. In our cohort the occurrence of PH-1 and non-symptomatic PH-2 also had a damaging effect on disability after stroke. In view of this, efforts to translate causal variants and genes to clinical practice should be encouraged. This is supported by our results with the PRS generated using the data from the genetic analysis of parenchymal haematoma. The PRS was associated in the independent replication cohort with parenchymal haematoma, disability and mortality after 3 months. In the case of disability, it remains significantly associated after logistic regression indicating a potential use in clinical practice as a possible biomarker or as something to be explored in further studies looking for drug targets.

The GWAS findings could feasibly allow the identification of new drug targets or the repurposing of existing drug components used for other diseases that address the newly-identified causal genes or pathways; for example, the identification of IL23R polymorphisms associated with ankylosing spondylitis<sup>46</sup> generated the repositioning of secukinumab, an anti-IL-17A monoclonal antibody involved in the IL23 pathway. Secukinumab is widely used in the treatment of psoriasis and psoriatic arthritis and is currently used successfully in ankylosing spondylitis too.<sup>47</sup> Another strategy for translating discoveries from GWAS includes the development of tools (e.g. risk scores) for measuring the individual



predisposition to a trait. The Geno-tPA score, based on clinical characteristics and two polymorphisms, demonstrated its prediction capability of bleeding events in patients undergoing thrombolysis alone or in combination with mechanical thrombectomy.<sup>6,48</sup> The implementation of predictive scores could help physicians in decision-making, avoiding treatment delays, adjusting the drug dose, or implementing additional therapies.

We performed the first GWAS of the haemorrhagic transformation risk after thrombolysis, based on a relatively small sample size in comparison with large-scale GWAS. To address this issue, petechial bleeding cases were excluded, thus through an extreme phenotyping study approach, we aimed at increasing the variant effect sizes<sup>49</sup> to detect parenchymal haematoma-associated polymorphisms. Furthermore, to reduce false-positive results due to low-frequency variants, we removed extremely large beta estimates,<sup>50</sup> applied a stringent filter of minor allele frequency and evaluated the presence of alleles in order to guarantee a total of at least 25 alleles<sup>51</sup> in each stage.

The limitations of the study included not being able to analyse the Finnish cohort because of the small number of cases available. The Finnish population is considered genetically isolated<sup>52</sup> and its genetic population structure could generate a bias; therefore, we attempted to reduce this limitation by including a sufficient number of principal components in the association study.<sup>53</sup> Based on isolation by distance, we used 10 eigenvectors in our analysis. Another limitation is the absence of patients treated with mechanical thrombectomy, a treatment that is currently available for certain AIS patients.<sup>54</sup> Moreover, our results cannot be extrapolated to non-European populations. Further studies are required to validate our findings in diverse populations and patients who underwent mechanical recanalization.

In summary, our findings identified a previously unreported polymorphism in the ZBTB46 gene associated with the development of parenchymal haematoma following rtPA treatment. Besides, suggestive loci were identified, which require confirmation in future independent studies. Further functional studies are required to clarify the genetic mechanism involved in the bleeding risk of thrombolysis.

## Web resources

The data used for the analyses described in this manuscript were obtained from the GTEx Portal ([gtexportal.org](http://gtexportal.org)) on 20 June 2019<sup>28</sup>; the CHiCP online tool (<https://www.chicp.org>) on 29 October 2019; and the Cerebrovascular Disease Knowledge Portal ([cerebrovascularportal.org](http://cerebrovascularportal.org)) on 20 June 2019.

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## Competing interests

The authors report no competing interests.

## Supplementary material

Supplementary material is available at *Brain* online.

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