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Effect of co-administration of atelocollagen and hyaluronic acid on rotator cuff healing

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Background: This study aimed to evaluate the co-administration effect of atelocollagen combined with hyaluronic acid (HA) injections for treatment of full-thickness rotator cuff tear (RCT).

Methods: Eighty patients who underwent arthroscopic rotator cuff repair for full-thickness RCT from March 2018 to November 2019 were enrolled. The patients were randomly allocated to the following groups: combined atelocollagen and HA injection (group I, n=28), only HA injection (group II, n=26), and no injection (group III, n=26). Clinical outcomes were assessed at 3, 6, and 12 months after surgery using the American Shoulder and Elbow Surgeons score, visual analog scale pain score, functional scores (pain visual analog scale, function visual analog score), and range of motion. Magnetic resonance imaging was performed 12 months after surgery to evaluate rotator cuff integrity. **Results:** Preoperative demographic data and postoperative clinical outcomes did not differ significantly among the three groups (p>0.05). However, in group I, the number of steroid injections after surgery was significantly lower than that in the other groups (p=0.011). The retear rate on follow-up magnetic resonance imaging was significantly higher in group II (9.5%, n=2) and group III (13.6%, n=3) than in group I (0%) (p=0.021).

Conclusions: Co-administration of atelocollagen and HA improves healing of the rotator cuff and increases the integrity of the rotator cuff repair site. This study provides encouraging evidence for use of combined atelocollagen-HA injections to treat patients with full-thickness RCT.

Keywords: Shoulder; Rotator cuff; Full-thickness tear; Atelocollagen; Hyaluronic acid

INTRODUCTION

Rotator cuff tear (RCT) is a highly prevalent condition (approximately 20%) in the general population and causes significant impairment such as shoulder pain and dysfunction [1,2]. The incidence of RCT increases with age. Owing to recent advances in imaging and surgical techniques, rotator cuff repair generally

yields satisfactory clinical outcomes [3,4]. However, postoperative retear is a serious problem, with an incidence between 34.2% and 40% [5]. Postoperative retear of repaired rotator cuff tendons is a challenging problem in orthopedics. To prevent postoperative retear, the effects of various biological agents on tendon-to-bone healing have been investigated using animal models [1,6-9].

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Collagen is abundantly distributed as a structural and biologically active component of tissues, including skin, bones, and cartilage, especially in mammals, including humans (up to 25% of total protein) [7,10]. Collagen fibers play an important role in transmitting muscle power, dispersing energy, and preventing mechanical failure in connective tissues [11]. Atelocollagen obtained by protease or pepsin treatment can be used in humans due to its low immunogenicity; no telopeptides show collagen antigenicity [12]. In several animal models, administration of atelocollagen to the site of rotator cuff tendon tear has led from early progression to better tendon healing and remodeling stages based on immunohistochemistry or histopathological analyses [6,7]. Kim et al. [13] reported that atelocollagen injection could improve the functional outcome and integrity of tendons in human RCT.

Hyaluronic acid (HA) injection is used widely in patients with rotator cuff disease due to its anti-inflammatory and adhesion prevention activities [14]. In tendon-to-bone healing, inflammation can contribute to formation of fibrotic scar tissue interfaces rather than remodeling of normal tendon-bone insertion sites [15]. Because of this possibility, tendon-to-bone healing is difficult at the boundary between the tendon and bone in a state of inflammation. HA not only has an anti-inflammatory effect, but also plays an important role in promoting cell differentiation and growth, improving the expression of collagen I in tendon-derived cells, and contributes to many activities promoting tendon and bone healing [8,16,17]. Chou et al. [18] reported that HA is effective clinically in treatment of patients with RCT.

As each material targets a specific phase of tendon healing, a mixture of materials targeting different phases might have a synergistic effect. In recent years, some orthopedic fields have reported benefits of such synergistic therapeutic effects [1,19]. However, to date, there are no studies reporting the effect of co-administration of atelocollagen and HA in RCT treatment. Therefore, this study evaluated the efficacy of atelocollagen combined with HA injections versus HA injections alone for treatment of patients with full-thickness RCT. The hypothesis is that, after rotator cuff repair, co-administration of atelocollagen and HA results in better tendon-to-bone healing at the repair site and increases biomechanical strength.

METHODS

This study was approved by the Institutional Review Board of Hallym University College of Medicine, Dongtan Sacred Heart Hospital (IRB No. 2019-12-012). All patients provided informed consent before participating in this study.

Study Design

We performed a prospective randomized controlled trial to determine whether the co-administration effects of atelocollagen and HA increased rotator cuff healing and improved clinical results. An independent investigator of the patient information prepared and sealed an opaque envelope containing the group number. Just before surgery, we randomly categorized patients into three groups: atelocollagen with HA group (group I), only HA group (group II), and without atelocollagen and HA group (group III) as the control. The patients were blinded to the treatment they received. We used 3 mL of atelocollagen (3% Collapleo; BNC Korea, Daegu, Korea) and 3 mL of HA (Guardix-SP; Genewel, Dongsung Company, Seongnam, Korea) in this study. A total of 110 patients was evaluated for participation in the study. Of these, 30 (27%) were excluded for the following reasons: screen failure (n=28) and opted not to undergo surgery (n=2). Finally, a total of 80 patients (group I: 28 patients, group II: 26 patients, and group III: 26 patients) participated in this study. All patients enrolled in this study were operated upon by a single surgeon (JYJ) between March 2018 and November 2019.

Sample Size

A power analysis was performed with clinical outcomes of pain visual analog scale (PVAS) score, function visual analog score (FVAS), and American Shoulder and Elbow Surgeons (ASES) score [20]. With these parameters, the study required 20 patients per group to achieve a power of 0.80 and a significance level of 5%. The expected dropout rate was 15%.

Patient Selection

Patients scheduled to undergo arthroscopic rotator cuff repair were enrolled. The inclusion criteria were (1) full-thickness RCT viewed by magnetic resonance imaging (MRI), (2) complete rotator cuff repair (type I repair) covering the entire original footprint of the greater tuberosity [21], and (3) arthroscopic suture-bridge rotator cuff repair [22]. The exclusion criteria were (1) previous rotator cuff repair on the same side, (2) moderate to severe degenerative glenohumeral arthritic changes (≥ Hamada grade 3), (3) history of rheumatoid arthritis or infectious arthritis, and (4) massive RCT (tear size: >5 cm on the basis of the greatest dimension of the tendon tear). The recruitment period began in March 2018 and was completed in November 2019. A total of 80 patients was enrolled in this study. Follow-up loss occurred in six patients in group I, five in group II, and four in group III. Ultimately, 65 patients were available for evaluation at the last follow-up. The flow diagram shows the patient grouping and the structure of the clinical study (Fig. 1). Preoperative de-

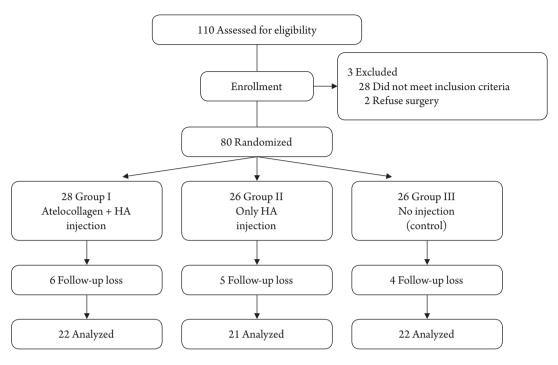


Fig. 1. Consolidated Standards of Reporting Trials (CONSORT) guidelines flowchart. HA: hyaluronic acid.

Table 1. Demographics data

Variable	Group I	Group II	Group III	p-value
No. of patients	22	21	22	0.518
Sex (male:female)	10:12	9:12	12:10	0.607
Affected shoulder (right:left)	17:5	15:6	14:8	0.432
Mean age (yr)	$58.8 \pm 5.4 (44-77)$	$60.4 \pm 6.5 \ (48-79)$	$58.4 \pm 6.5 (48 - 82)$	0.167
Mean follow-up duration (mo)	12.1 ± 1.5 (11–13)	$12.3 \pm 1.6 (11-14)$	$11.8 \pm 0.8 (11-12)$	0.550

Values are presented as number or mean±standard deviation (range).

mographic data did not differ among the three groups (Table 1).

Clinical Assessments

All patients underwent clinical evaluations at 3 months, 6 months, and 1 year after surgery. Clinical outcomes were assessed using preoperative and postoperative PVAS score, FVAS score, and ASES score [20]. A physician assistant also clinically examined the patients using a goniometer to determine active range of motion in forward flexion, abduction, and external rotation with the arm at the side. Internal rotation, based on the location of the highest vertebral level reached by the thumb behind the back, was measured. Shoulder ROM was measured with patients seated. All clinical data were collected by a clinical researcher who was blinded to the current study. At the 3-month follow-up, intra-articular steroid injection was administered to patients with shoulder pain and limitation of active motion in at least two directions (abduction and forward flexion < 120°, external rotation

 $< 30^{\circ}$, or internal rotation < L1) [23].

Radiologic Assessment

Radiological assessment was completed the day before surgery and 12 months postoperatively using the 3.0-T MRI scanner (Verio; Siemens Medical Solutions, Erlangen, Germany) with an eight-channel shoulder coil. Oblique coronal, oblique sagittal, and transverse views of T2-weighted images on MRI were used to classify postoperative cuff integrity into five categories according to Sugaya et al. [24]. We defined retear as Sugaya classifications types IV and V. Repair integrity was assessed by a blinded musculoskeletal trained radiologist. The radiologist conducted a second assessment on the same images two weeks after the first without knowing the previous results.

Operative Technique

All surgeries were performed by a single surgeon (JYJ). All pro-

cedures were performed with patients in the lateral decubitus position under general anesthesia with interscalene block. Diagnostic assessment of the glenohumeral intraarticular joint was performed using a 30° arthroscope via the posterior portal. The arthroscope was inserted into the subacromial space, and the tear pattern and size of the rotator cuff were observed. The surgery also included subacromial space debridement and release with any additional bone work (acromioplasty) and treatment of biceps tendon if necessary. All rotator cuff repairs were performed according to the double-row transosseous equivalent approach introduced in 2009 [25]. Arthroscopy confirmed complete rotator cuff repair (type I repair) covering the entire original footprint of the greater tuberosity (Fig. 2). After rotator cuff repair, an 18-gauge spinal needle was inserted and positioned on the repaired cuff. After draining water in the subacromial space through negative pressure of the cannula, atelocollagen or HA was injected through a spinal needle in a dry condition (Fig. 3) [26].

Postoperative Rehabilitation

All patients used an abduction brace for immobilization for 6 weeks after surgery. Passive ROM exercises were started after 6 weeks. Active assisted ROM exercises were started once full passive ROM was recovered. Strengthening exercises were started between 10- and 12-week post-surgery and continued for approximately 3 to 6 months.

Statistical Analysis

Differences in baseline and follow-up assessment among the three treatment groups were tested using one-way analysis of variance. The level of statistical significance was set at p < 0.05. Statistical analyses were performed using IBM SPSS ver. 21 (IBM Corp., Armonk, NY, USA) and SAS 9.4 (SAS Institute Inc., Cary, NC,

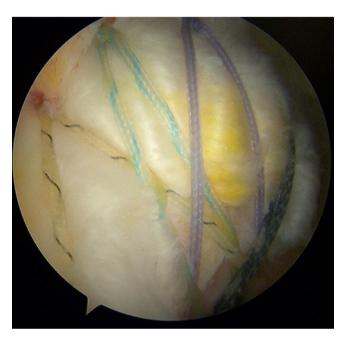


Fig. 3. Arthroscopic photo of rotator cuff tendon repaired with a double-row transosseous technique (right shoulder, posterolateral portal; viewing portal).

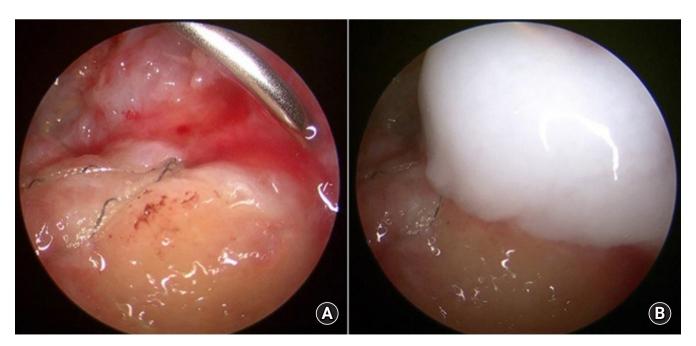


Fig. 2. (A) Arthroscopic photo of an 18-gauge spinal needle positioned on the repaired cuff after repair (left shoulder, posterolateral portal; viewing portal). (B) Arthroscopic photo after injection of atelocollagen (left shoulder).

USA). The intraclass correlation coefficient (ICC) was used to assess intra-observer reliability of detection of postoperative cuff integrity. We considered an ICC of 0.7 or higher to indicate good or excellent reliability [27].

RESULTS

Demographic Data

Age, sex, and follow-up period were evaluated as demographic factors. No significant differences were observed in the demographic characteristics of the three groups. Table 1 summarizes the epidemiologic factors of the three groups.

Clinical Outcomes

PVAS, FVAS, ASES scores, and ROM degrees were assessed as clinical factors at 3 months, 6 months, and 1 year postoperatively. There was no significant difference in functional clinical scores among the three groups after surgery (Tables 2–4). However, in most of the clinical outcomes (PVAS, FVAS, ASES scores, and ROM) evaluated at 3 months after surgery, group I (atelocolla-

gen+HA) showed better results than the other groups (Table 2). Moreover, the number of post-surgery steroid injections was significantly lower in group I (atelocollagen + HA) than in the other groups (p = 0.011) (Table 5).

MRI Outcomes

The overall mean time between surgery and MRI was 11.7 months (range, 11-13 months). In groups II and III, retear in two and three patients occurred, respectively; however, in group I (atelocollagen+HA), no retear occurred (Table 6). The retear rate was higher in groups II and III, and the difference was statistically significant (p=0.021).

DISCUSSION

This study demonstrates that administration of atelocollagen with HA is beneficial for rotator cuff healing. In the patient group administered atelocollagen together with HA, no patient had a retear in the repaired cuff, whereas patients in the other two groups (group II: two patients, group III: three patients) showed

Table 2. Comparison of 3-month postoperative clinical outcomes

Variable	Group I	Group II	Group III	p-value
Score				
PVAS	$3.91 \pm 1.83 (2-9)$	$4.21 \pm 2.15 (2-9)$	$4.41 \pm 1.85 (2-9)$	0.071
FVAS	$6.63 \pm 1.90 (4-9)$	$6.50 \pm 1.90 (3-9)$	$6.02 \pm 1.63 \ (4-9)$	0.899
ASES	$55.89 \pm 17.40 (32 - 85)$	$52.86 \pm 15.40 \ (38-80)$	54.72 ± 17.77 (30–88)	0.138
Range of motion (°)				
Forward elevation	$129.83 \pm 23.51 \ (90-160)$	125.53 ± 23.51 (80–160)	$114.64 \pm 22.40 \ (80-160)$	0.081
External rotation on the side	24.16 ± 14.54 (10-60)	$22.12 \pm 10.54 (10-60)$	20.14 ± 11.55 (10-60)	0.451
Internal rotation at the back	$10.79 \pm 4.54 (8-18)$	$11.59 \pm 4.51 \ (8-18)$	11.06 ± 3.60 (8-19)	0.236
Abduction	$87.24 \pm 27.11 (40 - 140)$	82.24 ± 25.10 (40–140)	$72.86 \pm 26.54 (40 - 130)$	0.231

Values are presented as mean±standard deviation (range).

PVAS: pain visual analog scale, FVAS: function visual analog score, ASES: American Shoulder and Elbow Surgeons.

Table 3. Comparison of 6-month postoperative clinical outcomes

Variable	Group I	Group II	Group III	p-value
Score				
PVAS	$2.09 \pm 2.09 (1-6)$	$1.98 \pm 1.50 (1-7)$	$2.18 \pm 1.91 (1-7)$	0.761
FVAS	$7.03 \pm 1.55 (4-9)$	$7.56 \pm 1.42 (5-9)$	$7.16 \pm 2.02 (4-9)$	0.194
ASES	$78.21 \pm 18.51 (42-95)$	$73.37 \pm 18.19 (40 - 88)$	$70.37 \pm 15.19 (40-88)$	0.150
Range of motion (°)				
Forward elevation	141.44 ± 19.97 (100–170)	132.68 ± 19.75 (110–170)	135.68 ± 16.55 (100–170)	0.216
External rotation on the side	34.41 ± 15.61 (20-80)	$28.12 \pm 16.30 \ (20-70)$	$30.12 \pm 16.30 \ (20-70)$	0.121
Internal rotation at the back	$8.75 \pm 3.13 \ (4-18)$	$9.17 \pm 3.42 (4-17)$	$10.17 \pm 4.12 (6-17)$	0.475
Abduction	$112.48 \pm 28.46 (60-170)$	$105.37 \pm 32.49 (50-170)$	$110.37 \pm 27.49 (50-170)$	0.232

Values are presented as mean±standard deviation (range).

PVAS: pain visual analog scale, FVAS: function visual analog score, ASES: American Shoulder and Elbow Surgeons.

Table 4. Comparison of 1-year postoperative clinical outcomes

Variable	Group I	Group II	Group III	p-value	
Score					
PVAS	$1.14 \pm 1.45 \; (0-4)$	$1.02 \pm 1.32 \ (0-4)$	$1.12 \pm 1.02 (0-5)$	0.638	
FVAS	8.87 ± 1.16 (6–9)	$8.90 \pm 1.02 (5-9)$	$8.51 \pm 1.02 (5-9)$	0.876	
ASES	$86.32 \pm 13.46 (54-95)$	82.70 ± 12.96 (51-88)	81.93 ± 12.96 (52–88)	0.193	
Range of motion (°)					
Forward elevation	155.35 ± 13.52 (120–170)	154.07 ± 15.26 (120–170)	$152.07 \pm 18.26 (120 - 170)$	0.679	
External rotation on the side	$49.07 \pm 13.69 (30-80)$	47.78 ± 15.53 (30–70)	$48.18 \pm 15.13 (30-70)$	0.680	
Internal rotation at the back	$7.51 \pm 2.64 \ (4-16)$	$7.52 \pm 3.02 (3-16)$	$7.22 \pm 2.82 (3-16)$	0.991	
Abduction	140.23 ± 28.16 (60–170)	$146.30 \pm 23.23 (50-170)$	$143.30 \pm 23.23 (50-170)$	0.312	

Values are presented as mean±standard deviation (range).

PVAS: pain visual analog scale, FVAS: function visual analog score, ASES: American Shoulder and Elbow Surgeons.

Table 5. Comparison of the number of steroid injections at 3 months postoperative

Steroid injection	Group I (n = 22)	Group II (n=21)	Group III (n=22)	p-value
Number	13	17	19	0.011

Table 6. Rate of retear by group

Retear	Group I $(n=22)$	Group II $(n=21)$	Group III $(n=22)$	p-value
No. of retear patients (%)	0	2 (9.5)	3 (13.6)	0.021

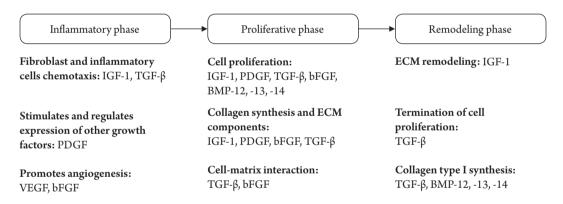


Fig. 4. Schematic diagram showing the three-phase process of tendon-to-bone healing. IGF-1: insulin-like growth factor, TGF-β: transforming growth factor beta, PDGF: platelet-derived growth factor, VEGF: vascular endothelial growth factor, bFGF: basic fibroblast growth factor, BMP: bone morphogenetic protein, ECM: extracellular matrix.

retear, indicating a significant difference in the healing rate. However, there was no significant difference in clinical and functional results among the three groups.

The prevalence of symptomatic and asymptomatic RCT increases as we age. The pain and functional restrictions of the patients also increase [28]. Although improvement in symptoms can be seen after arthroscopic rotator cuff repair, the healing failure (retear) rate can be as high as 94% [5,29]. Reducing such retear rates is a challenge for orthopedic surgeons, and several studies are underway [1,7,8,28,30].

A successful RCT repair requires tendon-to-bone healing of

the repaired cuff [31]. To date, various approaches have been attempted to improve tendon-to-bone healing processes after rotator cuff repair. Tendon-to-bone healing occurs through a three-phase repair process of inflammation, proliferation, and remodeling [32] (Fig. 4). In the final remodeling step, scar tissue is formed, remodeling type III collagen into type I collagen [33]. Application of a type I atelocollagen product to the rotator cuff tendon tear site in a rabbit model improved tendon healing and allowed earlier progression to the remodeling phase, as observed by immunohistochemistry. Histological and biomechanical studies in the rabbit model showed better results in the group that

used atelocollagen to repair the rotator cuff tendon [7]. In a study by Kim et al. [13], atelocollagen injection on human rotator cuff tendon tear was shown to improve the functional outcome and integrity of the tendon in intra-tendinous RCTs. Therefore, they suggested that type I atelocollagen injection is an optional treatment to delay tear progression and reduce tear size.

Previous investigations have reported that the collagen patch stimulates collagen formation and tissue healing and improves blood vessels, aiding in cuff healing [30,34]. They introduced a bio-inducible collagen patch as a safe and effective treatment for cuff-healing of arthroscopic rotator cuff repair. However, several previously reported studies have cited several weaknesses, such as modeling in animals, small sample size, no control group, or studies involving only partial RCT [7,13,30].

Elevated inflammation can be the cause of ultimate tendon degeneration and inferior tendon tissue quality, resulting in poor tendon-to-bone healing [35]. In the elevated inflammatory condition, tendon-to-bone healing is very difficult at the interface between the tendon and bone. HA acts as a target for the inflammatory and proliferative phases in the three-phase tendon-to-bone healing process. The anti-inflammatory effects of HA accelerate tendon-to-bone healing in rotator cuff repair [1,36]. Moreover, HA is thought to play a key role in promoting cell differentiation and growth and can enhance collagen I expression in tendon-derived cells [17]. HA also enhances biomechanical strength in an animal model by increasing chondroid formation and tendon maturation at the tendon-bone interface [8]. Viscosupplementation and elastic properties of HA also contribute to tendon healing by protecting the repaired cuff.

Several previous studies have shown that various biologic agents such as atelocollagen and HA play an important role in rotator cuff tendon healing [1,7,8,13,28]. However, a single biologic agent has limited effects in improving the healing of rotator cuff repair. HA was injected into the repaired rotator cuff in an animal model, and there was no significant difference in biomechanical load to failure between the HA group and control group [37]. Thus, the basic concept used in this study was potential co-administration effect of these biological agents [38]. To date, there has been a limited number of clinical studies evaluating the effects of co-administration of a combined biologic in repaired rotator cuff tendon.

One of the strengths of our study was its randomized and blinded design. This study is the first clinical trial to investigate the effect of combined application of atelocollagen and HA on the healing of human repaired rotator cuff tendon. Further, all surgeries were performed by a single surgeon owing to the relatively small number of patients. We used MRI images in all patients to accurately assess the integrity of repaired tendon. Finally, we studied the same surgical technique and only those patients who complete repair (type I) of the greater tuberosity footprint.

This study had several limitations. First, this study has structural weakness. Since there is no group using only atelocollagen, it is difficult to rule out the effect of atelocollagen on cuff healing. Further study is needed of only an atelocollagen group. Second, the mean follow-up period was short. Therefore, further research with long-term follow-up of a minimum period of 2 years is needed. Third, the sample size was relatively small. We did not include patients who did not undergo complete repair due to large-sized tears. Finally, in this study, histological analysis of the healed cuff was not performed. The histological structure of the repaired tendon-to-bone interface is different from that of normal tendon insertion [39]; therefore, further studies including histological analysis between groups are needed.

Co-administration of atelocollagen and HA improved healing of the rotator cuff and increased the integrity of the rotator cuff repair site. This study provides encouraging evidence for use of combined atelocollagen-HA injections in treatment of patients with full-thickness RCT.

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