

# Balancing Potential Benefits and Risks of Bruton Tyrosine Kinase Inhibitor Therapies in Multiple Sclerosis During the COVID-19 Pandemic

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## Abstract

Bruton tyrosine kinase inhibitors (BTKis) encompass a new class of therapeutics currently being evaluated for the treatment of multiple sclerosis (MS). Whether BTKis affect COVID-19 risk or severity or reduce vaccine efficacy are important but unanswered questions. Here, we provide an overview on BTKi mechanisms relevant to COVID-19 infection and vaccination and review preliminary data on BTKi use in patients with COVID-19. BTKis block B-cell receptor- and myeloid fragment crystallizable receptor-mediated signaling, thereby dampening B-cell activation, antibody class-switching, expansion, and cytokine production. Beyond antibodies, COVID-19 severity and vaccine efficacy appear largely linked to T-cell responses and interferon induction, processes not directly affected by BTKis. Given that B cells have clear roles in antigen presentation to T cells, however, it is possible that BTKis may indirectly interfere with beneficial or detrimental T-cell responses during COVID-19 infection or vaccination. In addition to these possible effects on generating a protective immune response, BTKis may attenuate the hyperinflammatory dysregulation often seen in severe cases of COVID-19 that evolves as a key risk factor in this disease. Currently available outcomes from BTKi-treated patients with COVID-19 are discussed. Clinical trials are currently underway to evaluate the safety and efficacy of BTKis in individuals with MS. Although limited data suggest a potential benefit of BTKis on outcomes for some COVID-19 patients, data from adequately powered, prospective and randomized clinical trials are lacking. Likewise, the specific effect of BTKis on the safety and efficacy of COVID-19 vaccines remains to be determined. Any potential unknown risks that BTKi therapy may present to the patient relative to COVID-19 infection, severity, and vaccine efficacy must be balanced with the importance of timely intervention to prevent or minimize MS progression.

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## Glossary

**BTK** = Bruton tyrosine kinase; **BTKi** = Bruton tyrosine kinase inhibitor; **CLL** = chronic lymphocytic leukemia; **DMT** = disease-modifying therapy; **Fc** = fragment crystallizable; **IFN** = interferon; **IL** = interleukin; **MS** = multiple sclerosis; **SARS-CoV-2** = severe acute respiratory syndrome coronavirus 2; **TLR** = Toll-like receptor; **XLA** = X-linked agammaglobulinemia.

Multiple sclerosis (MS) is a chronic, inflammatory disease characterized by progressive demyelination and degeneration of the CNS that can lead to profound disability.<sup>1</sup> Ongoing clinical studies of disease-modifying therapies (DMTs) are essential to address unmet needs in the treatment of MS. Unfortunately, the current COVID-19 pandemic has made enrollment in clinical trials and initiation of new therapies challenging for individuals with MS and health care professionals. In particular, Bruton tyrosine kinase inhibitors (BTKis) are a new therapeutic class currently being evaluated for efficacy to prevent relapses and/or chronic progression of MS. BTKis have a dual mechanism of action that targets aspects of both acute and chronic inflammation and thus may be of great benefit to people with either relapsing or primary progressive MS.<sup>2</sup> Hesitancy to enroll in BTKi clinical trials may exist due to unfamiliarity with the new therapeutic mechanism and how this might influence COVID-19 susceptibility, severity, or vaccine response. In this review, we discuss emerging perspectives regarding BTKis with respect to COVID-19, with the goal of educating health care professionals on how to make more informed decisions with each individual patient affected by MS.

## BTKis in the Treatment of MS

B and T lymphocytes play major roles in the MS inflammatory pathology. B cells are the source of antibody-producing plasma cells, provide both pro- and anti-inflammatory cytokines, and act as potent antigen-presenting cells in the activation and generation of T effector cells.<sup>3</sup> Bruton tyrosine kinase (BTK) is an enzyme required for B-cell receptor-mediated signaling and activation of B cells and fragment crystallizable (Fc) receptor signaling in myeloid lineage cells such as macrophages, monocytes, and neutrophils.<sup>4</sup> BTKis are being evaluated as novel and attractive therapeutic options for MS because of their potential to control development of relapses and possibly mitigate disease progression.<sup>5</sup> Currently, BTKis under investigation in patients with MS include evobrutinib (NCT04338022), tolebrutinib (NCT04410978, NCT04410991, NCT04411641, and NCT04458051), and fenebrutinib (NCT04586023, NCT04586010, and NCT04544449). Newer-generation BTKis appear to offer greater target selectivity, potentially reducing off-target effects compared with older BTKis.<sup>2</sup>

## BTK Signaling

Early clues regarding the role of BTK in the immune system arose from studies of individuals with X-linked agammaglobulinemia (XLA), a disease in which BTK is mutated and

nonfunctional.<sup>6</sup> In this illness, the lack of normal BTK function results in severely reduced circulating B cells and immunoglobulins.<sup>6</sup> It is now well established that BTK plays an essential role in B-cell activation, proliferation, and survival, as well as in signaling pathways of myeloid lineage cells.<sup>4,7</sup> In B cells, BTK is essential for B-cell receptor signaling and class switching, which in turn are required for antibody production, isotype diversity, and affinity maturation of humoral immunity.<sup>3,4</sup> In the myeloid cell lineage, BTK regulates inflammatory signaling via Fc receptors and Toll-like receptors (TLRs).<sup>4</sup>

## Effect of BTKis on Antimicrobial Host Defense in General

BTKis vary in their risk of infections. Over half of patients taking ibrutinib or acalabrutinib (first- and second-generation BTKis, respectively) experience an infectious event of any grade.<sup>8,9</sup> These 2 BTKis have been associated with serious fungal infections such as aspergillosis in patients with cancer.<sup>10,11</sup> By comparison, later-generation BTKis such as fenebrutinib and evobrutinib show less frequent infectious events. Among fenebrutinib-treated individuals with rheumatoid arthritis, 8%–15% of patients had any infection.<sup>12</sup> Among evobrutinib-treated individuals with MS, 19%–33% of patients had any infection.<sup>13</sup> This pattern of results may be due to pharmacologic differences that allow for later generation BTKis to have greater target selectivity.<sup>2,14</sup> In addition, much of the data regarding general infections with BTKis are from studies of individuals with malignancies, who may have higher risks of infection even in the absence of therapy. Nonetheless, it should be recognized that, by their mechanism of action, all BTKis have at least a theoretical risk of raising vulnerability to infection.

## COVID-19 Pathogenesis and Risk of Severe Infection

COVID-19 is driven by the novel severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2), a nonretroviral RNA virus that binds to angiotensin-converting enzyme 2 on airway epithelium and other tissues where these receptors are most abundant.<sup>15,16</sup> SARS-CoV-2 infection triggers innate immune responses that are largely evoked by recognition of viral RNA via TLRs, prompting interferon (IFN) induction and macrophage activation.<sup>15</sup> SARS-CoV-2 infection also triggers adaptive immune responses including B-cell activation, leading to generation of neutralizing antibodies and robust CD4<sup>+</sup>

T-cell responses that, in turn, govern activation of CD8<sup>+</sup> T cell–mediated immunity.<sup>17–19</sup> However, in COVID-19 infections, these immune responses can also become aberrant, increasing the risk for severe outcomes.

If early immune responses fail to control the infection (e.g., viral-specific T cells and IFN production),<sup>20</sup> a profound expression of proinflammatory cytokines from injured lung tissue and immune cells can ensue (e.g., hyperinflammatory syndrome, often termed “cytokine storm”).<sup>15,21</sup> This uncontrolled inflammatory signaling triggers massive monocyte, neutrophil, and macrophage infiltration into the lungs, corresponding with edema and diminished oxygenation.<sup>15,16</sup> The hyperinflammatory milieu leads to severe acute respiratory distress often requiring mechanical ventilation, and pulmonary or multiorgan failure is the main cause of mortality.<sup>15,16</sup> In addition, patients with COVID-19 frequently present with lymphopenia, particularly reduced CD4<sup>+</sup> and CD8<sup>+</sup> T cells, that often correlates with greater disease severity (Table 1).<sup>22</sup> Severe cases of COVID-19 also exhibit CD4<sup>+</sup> and CD8<sup>+</sup> T-cell functional exhaustion, reduced IFN $\gamma$  response,<sup>23,24</sup> and higher levels of interleukin (IL)-6, virus-specific antibodies, and neutrophils compared with mild or moderate cases.<sup>21,24</sup> Individuals recovering from COVID-19 have CD4<sup>+</sup> and CD8<sup>+</sup> T cells that primarily produce IFN $\gamma$ ,<sup>25</sup> as well as increases in circulating T follicular helper cells, suggesting that these factors may be biomarkers of a protective or convalescent immune response.<sup>19,25</sup>

The prevailing evidence to date suggests that the key risk factors for developing severe COVID-19 disease are demographics (e.g., older age, socioeconomic status, and race/ethnicity factors) and certain comorbidities such as hypertension, diabetes, and obesity.<sup>16,26</sup> MS does not appear to be an independent risk factor for COVID-19 infection or disease severity.<sup>27,28</sup> However, the effect of DMTs that attenuate B- and T-cell responses is not well understood at present and could in theory blunt protective immunity against COVID-19 disease.

## BTKi Mechanisms of Action and COVID-19 Pathology

Identifying parallels between known BTKi mechanisms of action and current knowledge about COVID-19 pathogenesis may be helpful in supporting clinical decision making during the COVID-19 pandemic. Given that knowledge regarding COVID-19 pathology is limited and evolving rapidly, the following information is provided solely to inform the reader on general themes at present, without specific recommendations based on this knowledge.

### Viral Clearance

T cells are crucial to controlling and clearing viral infections.<sup>29</sup> As reviewed above and in Table 1, mounting evidence

suggests that T-cell dynamics may be central to clearance of COVID-19 infections. Existing data do not show any direct overlap of T cells with BTKi mechanisms in COVID-19 pathology. T cells generally show very low levels of BTK expression; accordingly, individuals with XLA who lack BTK have normal T-cell counts and function.<sup>30</sup> However, because B and T cells engage in bidirectional modulation, BTKis may indirectly influence T cell–mediated responses. For example, B cells serve an important antigen-presenting role for T cells and generate significant levels of IL-6 and other cytokines that influence T-cell response and polarization (Figure 1).<sup>31</sup> Thus, it is plausible that interruption of B-cell activation by BTKis could attenuate either beneficial or detrimental T-cell responses in COVID-19.

Positive seroconversion to SARS-CoV-2–specific antibodies is another component of the immune response to COVID-19 infection. BTKis reduce circulating antibodies by inhibiting B-cell activation, which is required for the development of antibody-producing plasmablasts and memory B cells.<sup>32</sup> Notably, however, the highest levels of neutralizing antibodies may be found in patients with the most severe cases of COVID-19,<sup>33,34</sup> indicating that antibody responses alone may not be sufficient to clear the virus or allow for recovery from COVID-19 infection. Finally, it is plausible that BTKis may attenuate the ability of myeloid cells to function in antigen presentation to T cells,<sup>7,35</sup> which could affect T-cell activation. Whether the overall effect of such interference on viral clearance or hyperinflammatory syndrome would be beneficial or detrimental is unknown.

### Hyperinflammatory Syndrome

The hyperinflammatory response in COVID-19 shares biological characteristics with macrophage activation syndrome, an immunologic process for which BTK is required.<sup>36</sup> BTK plays an active role in the polarization of macrophages to a proinflammatory phenotype by regulating transcription factors such as nuclear factor- $\kappa$ B (Figure 2).<sup>37</sup> By blocking TLR-dependent nuclear factor- $\kappa$ B activation in macrophages, BTKis dampen cytokine production from these cells.<sup>38</sup> During severe COVID-19, the heightened levels of IL-1 $\beta$  indicate the development of NLRP3 inflammasomes, which may drive disease severity.<sup>39</sup> BTKis can attenuate such inflammasome-mediated IL-1 $\beta$  production.<sup>40</sup> BTK is also required for leukocyte migration to the infection site<sup>41</sup>; thus, BTKis may attenuate the influx of neutrophils to the lungs during hyperinflammatory syndrome of COVID-19. An observational trial is currently investigating the association of BTK and hyperinflammation in COVID-19 (RESPOND; NCT04394884). This trial will evaluate hospitalized patients with COVID-19 who receive a BTKi as a COVID-19 treatment. Analysis of inflammatory pathways will be performed before and after BTKi treatment to understand the mechanism by which BTKis may attenuate hyperinflammation in COVID-19.

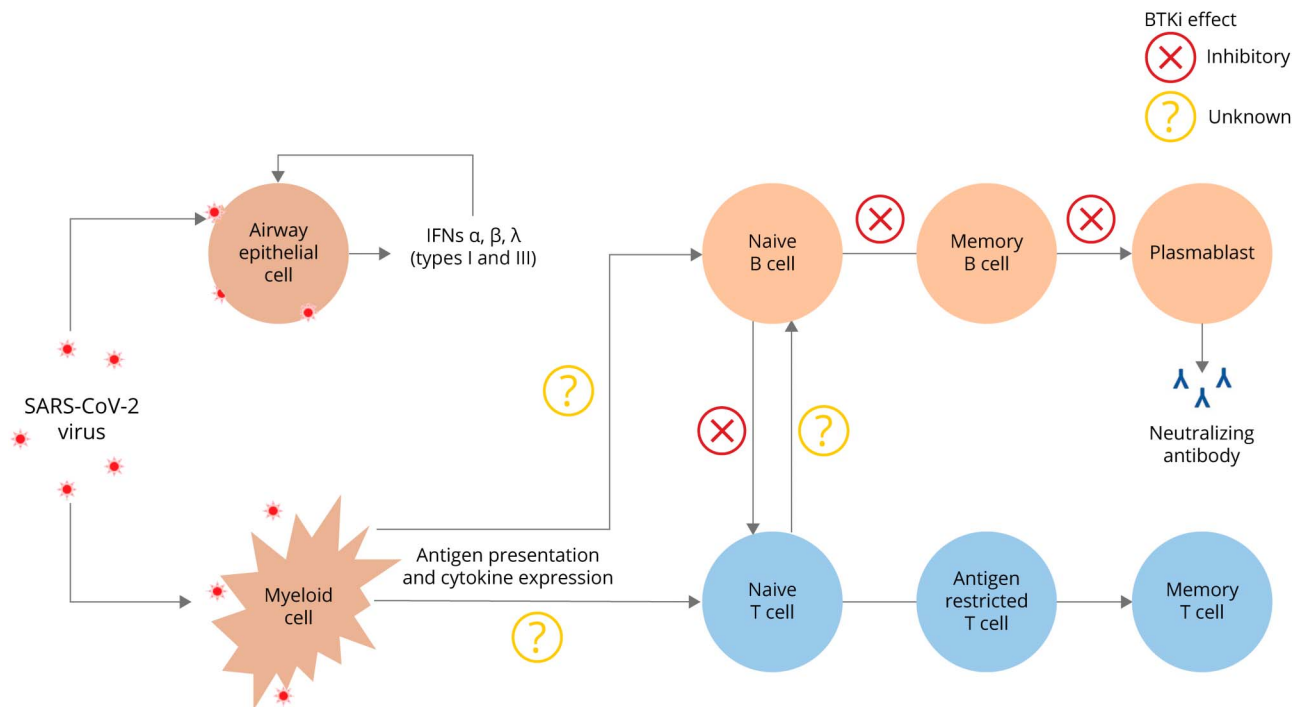
**Table 1** Blood Lymphocyte Findings in the Context of COVID-19 Infection

Reference	Population	Findings
<b>Xu B, Fan CY, Wang AL, et al. <i>J Infect</i> 2020;81:e51-e60.</b>	<ul style="list-style-type: none"> <li>• 187 hospitalized patients with COVID-19</li> </ul>	<ul style="list-style-type: none"> <li>• CD3<sup>+</sup> T cells, CD4<sup>+</sup> T cells, CD8<sup>+</sup> T cells, and NK cells reduced to ≈50% of normal values</li> <li>• Patients with lower lymphocyte levels had a greater risk of death</li> <li>• “Warning” values predictive of death were observed: <ul style="list-style-type: none"> <li>○ Total lymphocytes: 559 cells/μL</li> <li>○ CD3<sup>+</sup> T cells: 235 cells/μL</li> <li>○ CD4<sup>+</sup> T cells: 104 cells/μL</li> <li>○ CD8<sup>+</sup> T cells: 85 cells/μL</li> <li>○ B cells: 82 cells/μL</li> </ul> </li> </ul>
<b>Liao M, Liu Y, Yuan J, et al. <i>Nat Med</i> 2020;26:842-844.</b>	<ul style="list-style-type: none"> <li>• 13 patients with COVID-19 (3 moderate, 2 severe, and 8 critical)</li> </ul>	<ul style="list-style-type: none"> <li>• Patients with severe and critical infections had lower CD8<sup>+</sup> T cells and greater proliferating T-cell proportions compared with patients who had moderate infections</li> </ul>
<b>Diao B, Wang C, Tan Y, et al. <i>Front Immunol</i> 2020;11:827.</b>	<ul style="list-style-type: none"> <li>• 522 patients with laboratory-confirmed COVID-19</li> <li>• 40 healthy controls</li> </ul>	<ul style="list-style-type: none"> <li>• Patients who developed severe or critical disease and those who died had significant reductions in total T cells, CD4<sup>+</sup> T cells, and CD8<sup>+</sup> T cells compared with patients who developed mild to moderate disease</li> <li>• Total T cells, CD4<sup>+</sup> T cells, and CD8<sup>+</sup> T cells were significantly lower in patients requiring ICU admission vs non-ICU patients</li> <li>• Compared with healthy controls, patients with COVID-19 had significantly higher levels of PD-1 expression on T cells; greater increases were associated with more severe disease</li> </ul>
<b>Zheng C, Kar I, Chen CK, et al. <i>CNS Drugs</i> 2020;34:879-896.</b>	<ul style="list-style-type: none"> <li>• 16 patients with COVID-19 (10 mild and 6 severe)</li> </ul>	<ul style="list-style-type: none"> <li>• Severe disease was associated with lower expression of IFN<math>\gamma</math> and tumor necrosis factor-<math>\alpha</math> in CD4<sup>+</sup> T cells vs mild disease</li> <li>• Severe disease had greater frequency of exhaustion markers (PD-1, CTLA-4, and TIGIT) in CD8<sup>+</sup> T cells vs patients with mild disease or healthy controls</li> </ul>
<b>Sekine T, Perez-Potti A, Rivera-Ballesteros O, et al. <i>Cell</i> 2020;183:158-168.e14.</b>	<ul style="list-style-type: none"> <li>• 206 patients with COVID-19</li> </ul>	<ul style="list-style-type: none"> <li>• CD4<sup>+</sup> T cells and CD8<sup>+</sup> T cells were very low in patients with moderate to severe disease, with greater PD-1 expression<sup>a</sup>; CD8<sup>+</sup> T cells showed extremely high expression of activation marker CD38<sup>+</sup></li> <li>• CD4<sup>+</sup> T cells were skewed toward a circulating Tfh phenotype in recovered patients</li> <li>• Appearance of stem-like memory T cells was associated with recovery</li> <li>• More recovering patients showed memory T-cell responses without antibody responses vs those who showed both</li> </ul>
<b>Weiskopf D, Schmitz KS, Raadsen MP, et al. <i>Sci Immunol</i> 2020;5(48).</b>	<ul style="list-style-type: none"> <li>• 10 patients with COVID-19 admitted to the ICU</li> <li>• 10 healthy controls</li> </ul>	<ul style="list-style-type: none"> <li>• Patients with COVID-19 had reduced CD3<sup>+</sup> T cells and an increased CD4<sup>+</sup>:CD8<sup>+</sup> ratio in the peripheral blood compared with healthy controls</li> <li>• SARS-CoV-2-specific CD4<sup>+</sup> T cells were typically a central memory phenotype, whereas CD8<sup>+</sup> T cells tended to be the effector phenotype</li> </ul>
<b>June JA, Han HX, Lee WS, et al. <i>Nat Med</i> 2020;26:1428-1434.</b>	<ul style="list-style-type: none"> <li>• 41 patients who recovered from COVID-19</li> <li>• 27 healthy controls</li> </ul>	<ul style="list-style-type: none"> <li>• After infection resolved, patients consistently showed S-specific antibodies, memory B cells, and circulating Tfh cells</li> </ul>
<b>Peng Y, Mentzer A, Liu G, et al. <i>Nat Immunol</i> 2020;21:1336-1345.</b>	<ul style="list-style-type: none"> <li>• 42 patients who recovered from COVID-19 (28 mild and 14 severe)</li> <li>• 16 healthy controls</li> </ul>	<ul style="list-style-type: none"> <li>• Broad, strong SARS-CoV-2-specific CD4<sup>+</sup> and CD8<sup>+</sup> T-cell responses were observed in the majority of recovering patients</li> <li>• There was a greater CD8<sup>+</sup> T-cell response vs CD4<sup>+</sup> T-cell response in patients with mild disease</li> </ul>
<b>Mazzoni A, Salvati L, Maggi L, et al. <i>J Clin Invest</i> 2020;130:4694-4703.</b>	<ul style="list-style-type: none"> <li>• 30 patients with COVID-19</li> <li>• 30 healthy controls</li> </ul>	<ul style="list-style-type: none"> <li>• Compared with healthy controls, patients with COVID-19 demonstrated <ul style="list-style-type: none"> <li>○ Increased neutrophils</li> <li>○ Reduced cytotoxic T cells</li> <li>○ Increased senescent cytotoxic T cells (indicating exhaustion)</li> <li>○ Reduced T cells, B cells, and NK cells</li> </ul> </li> <li>• More pronounced increases in neutrophils and reductions in lymphocytes were observed in patients admitted to the ICU vs non-ICU patients</li> </ul>
<b>Hadjadj J, Yatim N, Barnabei L, et al. <i>Science</i>. 2020;369:718-724.</b>	<ul style="list-style-type: none"> <li>• 50 patients with COVID-19 (mild to severe)</li> <li>• 18 healthy controls</li> </ul>	<ul style="list-style-type: none"> <li>• Expression level of PD-1 on CD4<sup>+</sup> T cells and CD8<sup>+</sup> T cells was associated with disease severity</li> <li>• High IFN response in mild to moderate cases, diminished in severe cases; low type I IFN observed before clinical worsening</li> </ul>

Abbreviations: COVID-19 = coronavirus disease 2019; CTLA-4 = cytotoxic T-lymphocyte associated 4; ICU = intensive care unit; IFN = interferon; NK = natural killer; PD-1 = programmed death 1; SARS-CoV-2 = severe acute respiratory syndrome coronavirus 2; Tfh = T follicular helper; TIGIT = T-cell immunoglobulin and ITIM domain.

<sup>a</sup> PD-1 was interpreted as an activation marker (along with multiple inhibitory receptors) in this study, whereas other studies.<sup>23</sup> interpreted it as an exhaustion marker.

**Figure 1** Theoretical Effects of BTKis on Immune Response to SARS-CoV-2 Infection or Vaccines



Early SARS-CoV-2 infection predominantly targets airway epithelial cells. Myeloid cells, including antigen-presenting cells, respond early in the course of infection, conditioning downstream responses of lymphocytes. Inhibition of BTK may affect multiple points of the immune response. BTKis prevent the development of naive B cells to memory B cells or plasmablasts, which are required for antibody generation. Antigen presentation and cytokine signaling among myeloid cells, B cells, and T cells may be altered or inhibited by BTK. BTK = Bruton tyrosine kinase; BTKi = Bruton tyrosine kinase inhibitor; IFN = interferon; SARS-CoV-2 = severe acute respiratory syndrome coronavirus 2.

## Evidence of the Effect of BTKis on COVID-19 Risk

### Preclinical Evidence

Data are limited regarding whether BTKis might increase susceptibility to or modify clearance in COVID-19 infection. Some anecdotal evidence suggests that BTKis might be protective against lung injury and hyperinflammation associated with COVID-19. For example, studies in mice have shown that BTKis may have therapeutic benefit in viral infections such as Epstein-Barr, HIV, and influenza A, as well as bacteremia or pulmonary infections.<sup>42-44</sup> Such benefit may be due to blunting of cytokine responses to microbial components associated with sepsis (e.g., lipopolysaccharides), rather than promotion of the clearance of the pathogens themselves.<sup>42</sup> In mice experimentally infected with influenza A virus, blocking BTK with ibrutinib increases survival, reverses weight loss, and reduces inflammation-associated tissue changes in the lungs.<sup>44</sup>

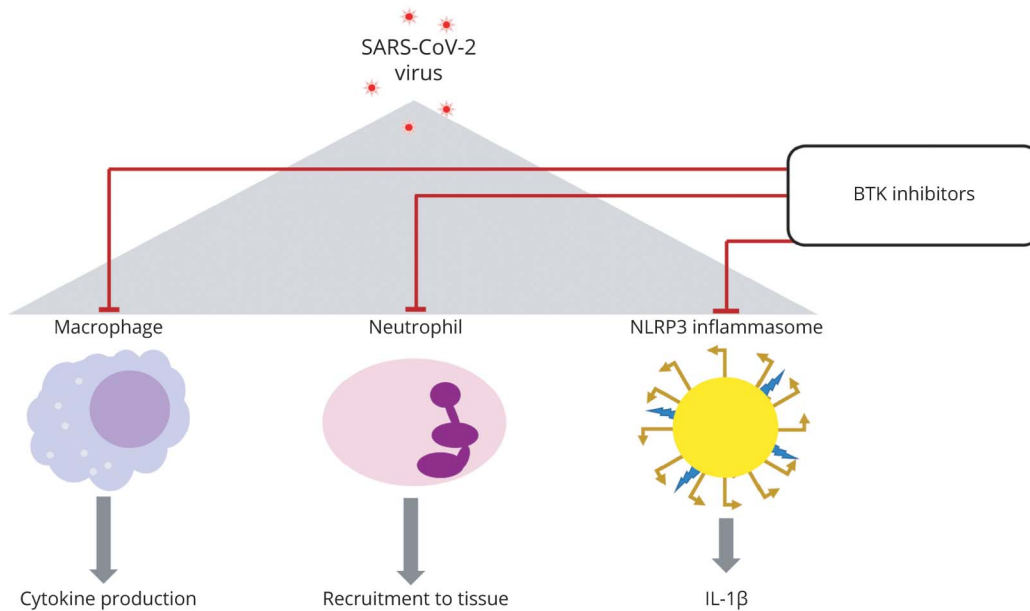
### Clinical Evidence

Studies reporting COVID-19 outcomes in BTKi-treated patients are summarized in Table 2. Overall, these studies indicate potential benefit or no effect on COVID-19 outcomes from ibrutinib, acalabrutinib, or zanubrutinib. Promising results from a small study of individuals treated with

acalabrutinib for COVID-19<sup>45</sup> prompted its formal assessment as a specific therapy for COVID-19 in the CALAVI clinical trials (NCT04380688 and NCT04346199). Unfortunately, these trials failed to meet their primary endpoints, as acalabrutinib did not increase the number of patients remaining alive and free from respiratory failure.<sup>46</sup> Other BTKis are also being assessed in clinical trials as potential treatments for COVID-19 (Table 3).

On its surface, it may seem counterintuitive that BTKis, which inhibit B-cell activation and antibody production, could potentially be beneficial in COVID-19. However, several clinical observations provide plausibility for this concept. First, reports of individuals with XLA who contract COVID-19 do not indicate that they have any greater risk of severe outcomes than individuals with normal B-cell function.<sup>47,48</sup> Second, COVID-19 convalescent plasma treatment, which contains a rich source of virus-specific antibodies, does not reduce mortality in patients with COVID-19 whose infections were advanced to the point of requiring mechanical ventilation.<sup>49</sup> Third, patients with the most severe cases of COVID-19 often have the highest titers of specific antibodies.<sup>33,34</sup> Collectively, these findings suggest that B-cell function and antibody generation may have secondary roles compared with T cells and IFNs in protective immunity against COVID-19.

**Figure 2** Theoretical Overlap of COVID-19 Hyperinflammation and BTKi Mechanisms



During COVID-19 hyperinflammation, which occurs in severe cases, infected airway epithelium and macrophages produce profuse amounts of cytokines, including those that chemoattract and activate granulocytes as well as Th1- and Th17-polarized T cells. In turn, neutrophils are recruited in massive quantities to the lungs, and the NLRP3 inflammasome becomes activated, increasing IL-1 $\beta$ . The result of this successive cytokine storm and inflammatory infiltration is acute and chronic tissue damage of lungs, kidneys, and other target organs. BTKis may attenuate or inhibit all these hyperinflammatory aspects. BTKi = Bruton tyrosine kinase inhibitor; COVID-19, coronavirus disease 2019; IL = interleukin; SARS-CoV-2, severe acute respiratory syndrome coronavirus 2; Th, T helper cell.

Much caution should be exercised in interpreting the limited anecdotal information available. The pharmacologic differences of BTKis and context of disease treated (e.g., chronic lymphocytic leukemia [CLL] vs MS) must be considered when evaluating their potential effects in COVID-19, as BTKis can induce different off-target effects that could affect differential outcomes in COVID-19 infection. The patient populations demonstrating potential beneficial effects must be considered as well, as individuals with distinct comorbidities may experience nonidentical risks or benefits.

## Emerging Insights Regarding the Effect of BTKis on COVID-19 Vaccination

Vaccines deliver protection by inducing innate and adaptive immune responses, involving CD4<sup>+</sup> and CD8<sup>+</sup> T cells, IFNs, B cells, and antibodies.<sup>50</sup> Virus-specific neutralizing antibodies have generally been considered the primary components conferring vaccine-induced viral protection. In the case of SARS-CoV-2, concordant evidence (reviewed above) suggests that T-cell and IFN responses may be just as critical if not paramount in protection.<sup>51</sup> Likewise, protection against severe outcomes in other SARS-CoV infections has also been associated with strong T-cell and IFN $\gamma$  responses.<sup>29,52</sup> Furthermore, as discussed above, severe COVID-19 outcomes often occur despite high antibody titers, indicating that antibody development alone is insufficient to control or clear

SARS-CoV-2 infection.<sup>33,34</sup> Collectively, these data suggest that COVID-19 vaccines that produce antigen-specific T cell-mediated immunity and IFNs, in addition to neutralizing antibodies, may yield the greatest protective efficacy.<sup>29,51,53</sup>

Several mRNA vaccines for COVID-19 have been developed that produce robust T-cell and IFN responses, in addition to SARS-CoV-2-specific antibodies. Both the mRNA-1273<sup>54</sup> (Moderna/NIH) and BNT162b2<sup>55</sup> (Pfizer/BioNTech) vaccines induced expansion of CD4<sup>+</sup> and CD8<sup>+</sup> T cells skewed toward a T-helper 1 phenotype, and both vaccines elicited strong IFN $\gamma$  responses.

The response to COVID-19 vaccinations in patients treated with BTKis has not yet been studied. However, insights may be drawn from other vaccine responses in individuals taking BTKis and in those with XLA who are BTK-deficient. Most of the available data are from ibrutinib-treated patients with CLL; these data are sparse and have mixed outcomes. In a study of 19 such patients, 26% seroconverted to at least 1 strain of influenza following routine vaccination,<sup>56</sup> but another study of 14 patients reported that only 1 individual seroconverted.<sup>57</sup> In response to a pneumococcal conjugate vaccine, none of the 4 ibrutinib-treated patients mounted an adequate immune response (defined as  $\geq 2$ -fold increase in specific immunoglobulin G titer), in contrast to all 4 of the untreated patients.<sup>58</sup> Ibrutinib- or acalabrutinib-treated patients with CLL also exhibited an attenuated de novo serologic response to a recombinant hepatitis B vaccination compared with untreated patients.<sup>59</sup> Of interest, in this same

**Table 2** Evidence of Possible Protective Effect of BTKis in COVID-19

Reference	Population	Treatment	Outcome
Roschewski M, Lionakis MS, Sharman JP, et al. <i>Sci Immunol</i> 2020;5.	• 19 patients with COVID-19, hospitalized for hypoxemia	• Acalabrutinib (for COVID-19)	<ul style="list-style-type: none"> <li>• The majority of patients (12/19) had improved oxygenation within 1–3 days of acalabrutinib initiation</li> <li>• 4 of 8 patients were removed from mechanical ventilation</li> <li>• 2 patients died</li> </ul>
Treon S, Castillo J, Skarbnik A, et al. <i>Blood</i> 2020;135:1912-1915.	• 6 patients with WM and COVID-19	• Ibrutinib (for WM)	<ul style="list-style-type: none"> <li>• Patients receiving recommended dose (420 mg/d) experienced no dyspnea and did not require hospitalization</li> <li>• 1 patient on a reduced dose (140 mg/d) experienced progressive dyspnea and hypoxia requiring hospitalization; the dose was increased after 11 days to 420 mg/d, after which the patient experienced rapidly increased oxygenation</li> </ul>
Scarfo L, Chatzikonstantinou T, Rigolin G, et al. <i>Leukemia</i> 2020;34:2354-2363.	• 190 patients with CLL and COVID-19	<ul style="list-style-type: none"> <li>• BTKi (for CLL): <ul style="list-style-type: none"> <li>○ Ibrutinib (n = 39)</li> <li>○ Acalabrutinib (n = 4)</li> <li>○ Zanubrutinib (n = 1)</li> </ul> </li> <li>• Other treatment for WM (n = 21)</li> <li>• Not currently treated (n = 125)</li> </ul>	<ul style="list-style-type: none"> <li>• The hospitalization rate for severe COVID-19 was lower in patients taking ibrutinib than patients taking any other CLL therapies or no therapy (OR, 0.44; 95% CI, 0.20–0.96; <math>p &lt; 0.05</math>)</li> </ul>
Mato A, Roeker L, Lamanna N, et al. <i>Blood</i> 2020;136:1134-1143.	• 198 patients with CLL and COVID-19	<ul style="list-style-type: none"> <li>• BTKi monotherapy or combination therapy (for CLL): <ul style="list-style-type: none"> <li>○ Ibrutinib (n = 43)</li> <li>○ Acalabrutinib (n = 9)</li> <li>○ Zanubrutinib (n = 2)</li> <li>○ Ibrutinib + anti-CD20 (n = 6)</li> <li>○ Acalabrutinib + anti-CD20 (n = 1)</li> <li>○ BTKi + venetoclax (n = 2)</li> <li>○ BTKi + venetoclax + anti-CD20 (n = 1)</li> <li>○ BTKi + PI3Ki + anti-CD20 (n = 3)</li> <li>○ BTKi + fludarabine + pembrolizumab (n = 1)</li> </ul> </li> <li>• Other treatment (n = 22)</li> <li>• Watch and wait (n = 108)</li> </ul>	<ul style="list-style-type: none"> <li>• Similar survival in patients receiving BTKi treatment vs patients not receiving BTKi treatment at the time of COVID-19 diagnosis (HR, 0.73, 95% CI, 0.43–1.26, <math>p = 0.27</math>)</li> </ul>
Lin A, Cuttita M, Ison M, Gordon L. <i>Eur J Haematol</i> 2020; Sep 20:10.1002/jha2.98.	• 1 patient with CLL and COVID-19	• Ibrutinib (for CLL)	<ul style="list-style-type: none"> <li>• Patient was hospitalized, and dose of ibrutinib raised from 420 mg/d to 560 mg/d</li> <li>• After 28 days, the patient was discharged to rehabilitation, and ibrutinib was reduced back to 420 mg/d</li> <li>• Following 2 weeks of rehabilitation, the patient recovered and was discharged home and has resumed his prior normal state of health</li> </ul>
Thibaud S, Tremblay D, Bhalla S, Zimmerman B, Sigel K, Gabrilove J. <i>Br J Haematol</i> 2020;190:e73-e76.	• 8 patients with CLL and COVID-19	<ul style="list-style-type: none"> <li>• Ibrutinib (for CLL, n = 7) <ul style="list-style-type: none"> <li>○ 5/7 had treatment withheld upon COVID-19 diagnosis</li> </ul> </li> <li>• Acalabrutinib (for CLL, n = 1) <ul style="list-style-type: none"> <li>○ Patient had treatment withheld upon COVID-19 diagnosis</li> </ul> </li> </ul>	<ul style="list-style-type: none"> <li>• 2/5 patients who had ibrutinib treatment withheld died</li> <li>• All other patients had mild-to-moderate disease</li> <li>• 2 patients who continued with ibrutinib treatment experienced reduced hospital stays and minimal oxygen requirements and have since recovered</li> </ul>

Abbreviations: BTKi = Bruton tyrosine kinase inhibitor; CLL = chronic lymphocytic leukemia; COVID-19 = coronavirus disease 2019; HR = hazard ratio; OR = odds ratio; WM = Waldenström macroglobulinemia.

study, patients treated with BTKis did not have reduced serologic memory (recall) responses to recombinant zoster vaccine antigens. This is an important distinction, as it

suggests that BTKis may not reduce or eliminate vaccine-induced protective immune memory previously established before initiating BTKi therapy. Another point to consider is

**Table 3** BTKis Currently in Clinical Trials for Treating COVID-19

BTKi	Name	Clinical trial identifier
Ibrutinib	Ibrutinib for the Treatment of COVID-19 in Patients Requiring Hospitalization	NCT04439006
Abivertinib	Study of the Efficacy and Safety of STI-5656 (Abivertinib Maleate) in Subjects Hospitalized With COVID-19 (SOC)	NCT04440007
Zanubrutinib	COVID-19 Infection and Pulmonary Distress Treatment With Zanubrutinib in Hospitalized Participants	NCT04382586

Abbreviations: BTKi = Bruton tyrosine kinase inhibitor; COVID-19 = coronavirus disease 2019; SOC = standard of care.

the potential for vaccine responses to be impaired in context of higher doses of BTKi used for treating malignancies. It is plausible that lower doses, which may be used for treating autoimmune diseases, may not result in the same impairment.

Individuals lacking BTK activity due to XLA show different responses depending on the vaccine type. For example, tetanus vaccinations elicited similar responses in individuals with XLA compared with those without XLA.<sup>60</sup> The response to H1N1 influenza vaccine is normal in both dendritic and T cells from individuals with XLA, as demonstrated by in vitro and in vivo studies.<sup>61,62</sup> In response to polio vaccinations, however, dendritic cells from individuals with XLA show severely reduced type I and III IFN.<sup>62</sup>

Although it remains to be tested, BTKis are likely to attenuate direct B cell-mediated responses to COVID-19 vaccines, given that they reduce the proliferation, differentiation, and class switching required for antibody production.<sup>7</sup>

## Summary and Concluding Remarks

MS remains an insidious and disabling disease, for which there is no cure to date. Individuals with MS do not appear to be at a higher risk of contracting COVID-19 or experiencing greater severity of COVID-19, due to having MS alone. BTKis are emerging as new therapeutic options for individuals with MS. The ability of BTKis to target inflammation via 2 routes, B-cell activation/proliferation and myeloid-cell antigen-presentation/cytokine production, represents a novel mechanism for treating inflammation in MS that arises through both humoral- and cell-mediated immunopathogenesis. BTKis do not directly affect T cells or IFN responses, which are immunologic factors that appear to be major drivers of COVID-19 host defense and resolution. However, BTKis may influence crosstalk between B and T cells, which could influence clearance of the SARS-CoV-2 virus or severity of disease outcomes. Limited evidence exists for COVID-19 disease outcomes in patients taking BTKis, and such evidence is mostly from individuals with cancer who may have altered immune functions. The potential effects of BTKis on COVID-19 vaccines have not yet been systematically studied.

One point remains certain: Early diagnosis and immediate treatment with an effective therapeutic regimen is strongly associated with better outcomes for individuals with MS.<sup>63</sup> Delaying such treatment can have significant negative consequences to the long-term accumulation of irreversible disability. Increased exposure to COVID-19, advanced age, and certain comorbidities remain the primary risk factors for any individual to contract COVID-19. Careful consideration of these factors, along with status of disease progression and treatment goals, may be helpful to minimize individual risk of COVID-19. In all cases, the possible risks of COVID-19 should be weighed against the risk of serious complications from MS when considering any treatment or vaccination. Finally, although COVID-19 may be monopolizing the attention of medical professionals everywhere

at this time, efforts to find new and improved therapeutic solutions that offer enhanced quality of life for individuals living with MS remain tremendously important even amidst the pandemic.

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<b>Jacqueline A. Nicholas, MD, MPH</b>	Riverside Methodist Hospital, Columbus, OH	Drafting/revision of the manuscript for content, including medical writing for content; major role in the acquisition of data; study concept or design; and analysis or interpretation of data
<b>Michael R. Yeaman, PhD</b>	University of California, Los Angeles (UCLA)	Drafting/revision of the manuscript for content, including medical writing for content; major role in the acquisition of data; study concept or design; and analysis or interpretation of data



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