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Acute Myeloid Leukemia Alters Group 1 Innate Lymphoid Cell Differentiation from a Common Precursor

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Abstract

Natural killer (NK) cells are known to be developmentally blocked and functionally inhibited in patients with acute myeloid leukemia (AML), resulting in poor clinical outcomes. Here we demonstrate that while NK cells are inhibited, closely related type 1 innate lymphoid cells (ILC1s) are enriched in the bone marrow of leukemic mice and in AML patients. Since NK cells and ILC1s share a common precursor (ILCP), we asked if AML acts on the ILCP to alter developmental potential. A combination of *ex vivo* and *in vivo* studies revealed that AML skewing of the ILCP towards ILC1s and away from NK cells represented a major mechanism of ILC1 generation. This process was driven by AML-mediated activation of the aryl-hydrocarbon receptor (AHR), a key transcription factor in ILCs, as inhibition of AHR led to decreased numbers of ILC1s and increased NK cells in the presence of AML. These results demonstrate a mechanism of ILC developmental skewing in AML and support further pre-clinical study of AHR inhibition in restoring normal NK cell development and function in the setting of AML.

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AUTHOR CONTRIBUTIONS

M.R.L., J.H.Y, M.A.C. A.G.F, and B.L.M.B. conceived of the study, designed experiments, analyzed the data, and wrote the manuscript. M.R.L., K.W., E.A., N.S., P.K., A.P.N., C.W, and C.O. performed experiments, provided tissue, and/or obtained data. X.Z. and M.R.L. analyzed the data to determine statistical significance. All authors provided feedback and helped edit the manuscript.

DECLARATION OF INTERESTS

The authors declare no potential conflicts of interest.

Keywords

AML; ILC; Development; AHR; NK

INTRODUCTION

Acute myeloid leukemia (AML) is an aggressive and highly heterogeneous hematologic malignancy that despite decades of research has a 5-year survival rate of less than 30% (1). Natural killer (NK) cells are a type of innate lymphoid cell (ILC) that are known to possess potent anti-leukemic effects. However, our group and others have previously shown that AML is capable of inhibiting NK cell maturation and function (2–4). Utilizing AML mouse models, our group has previously demonstrated that murine NK cells fail to progress from stage 2 (CD27+CD11b⁻) to stage 3 (CD27+CD11b⁺) and that this developmental blockade is reversible (5). Chretien et al. recently demonstrated that AML patients with a more immature circulating NK cell phenotype, as determined by low killer immunoglobulin-like receptor (KIR) and CD57 expression, have worse clinical outcomes compared to patients with normal NK cell maturation (6). This suggests NK cell developmental status correlates with clinical outcomes in AML regardless of therapeutic treatment regimen.

Innate lymphoid cells (ILCs) comprise a heterogeneous family of non-T, non-B lymphocytes that share many phenotypic and functional features with antigen-specific T cells (7, 8). Unlike T cells, ILCs do not express T cell receptor genes, although they can express some germline-encoded antigen-specific receptors (7). Rather, ILCs typically respond in a non-antigen specific manner to signals such as decreased MHC class I expression or binding of ligands from the local microenvironment to activating or inhibitory receptors (9). The ILC family includes cytotoxic NK cells and non-cytotoxic “helper” ILC1s (Group 1), ILC2s (Group 2), and ILC3s (Group 3). Within the Group 1 ILC family, NK cells are defined by their expression of both eomesodermin (*EOMES*) and the T-box transcription factor TBET (*TBX21*), which drive expression of perforin/granzymes and interferon gamma (IFN γ), respectively, while ILC1s solely depend on TBET expression for development and function (10, 11). While ILC1s have been found to be important for the initial response to viral infections, including murine cytomegalovirus (MCMV), studies in solid tumor models have suggested that ILC1s promote tumor immune evasion and cancer progression (12–14). Whereas NK cells possess cytolytic functions and are potent immune surveyors, ILC1s are classically non-cytolytic and function instead to modulate the downstream immune response through secretion of cytokines such as IFN γ and tumor necrosis factor alpha (TNF α).

Studies in both mice and humans have demonstrated that NK cells and ILC1s develop from a common ILC precursor (ILCP), though the regulatory mechanisms that dictate lineage fate decisions are not yet clear (15–19). Prior mechanistic studies revealed that the NK cell developmental defect observed in AML patients results at least in part from AML-mediated activation of the aryl hydrocarbon receptor (AHR) pathway in developing NK cells (2). AHR is a ligand-activated transcription factor important in regulating genes involved in the metabolism of many endogenous ligands and environmental toxins as well as immune cell development (2, 20–22). Although AHR activation inhibits NK cell maturation, it is

required for the generation and function of ILC3 populations, the maintenance of murine liver-resident Group 1 ILCs, and also serves to inhibit ILC2 function (21, 23, 24). Thus, AHR plays an important role in regulating the development of multiple ILC family members under steady state conditions. Pathological activation of AHR in disease settings such as AML creates the possibility of disrupting this balance of ILCs and driving the development of non-cytolytic, immunomodulatory ILC subsets at the expense of cytotoxic NK cells. Indeed, inhibition of AHR restored normal NK cell maturation and enhanced NK cell mediated cytotoxicity against AML targets, suggesting AHR inhibition may have clinical efficacy in AML (2).

In this report, we discovered that the Group 1 ILC compartment, consisting of both ILC1s and NK cells, is skewed away from NK cells and towards an ILC1 lineage in the bone marrow of AML patients and in mouse models of AML. This developmental perturbation was dependent on AHR signaling, as administration of an AHR agonist recapitulated these findings by increasing the number of ILC1s formed, while inhibition of AHR signaling restored NK cells and inhibited ILC1 formation. In addition, we have observed some capacity for interconversion of human NK cells to ILC1s in pre-clinical xenograft AML mouse models, suggesting ILC1s may be promoted both from early ILC precursors as well as from later developmental stages of NK cells. Our data reveal a mechanistic basis for tumor-promotion by AHR in AML via interference with ILC development. These findings offer a new rationale to target the AHR pathway in AML to restore normal ILC homeostasis, favoring mature cytotoxic NK cells to improve clinical outcomes.

MATERIALS AND METHODS

Mice

All mouse studies were conducted under an approved protocol by the Ohio State University Institutional Animal Care and Use Committee (protocol # 2009A033-R3). BoyJ Ly5.1 (CD45.1) mice were obtained from Jackson Laboratory (RRID: IMSR_JAX:002014). C57BL/6 PTD/ITD spontaneous AML mice were previously generated and characterized by our group (25). NSG mice were obtained from Jackson Laboratory (RRID:IMSR_JAX:005557). For congenic transplant studies, CD45.1 congenic mice were sublethally irradiated (400 cGy) using whole body irradiation 4 hours before being adoptively transplanted with 10^6 splenic cells from leukemic CD45.2 PTD/ITD mice or vehicle control (PBS). Engraftment was validated 2 weeks later via peripheral cheek bleed, and leukemic burden was monitored through cell counting and FACS analysis (5). Mice were harvested 6–8 weeks post-injection and analyzed via FACS analysis.

For the NSG studies, mice were pretreated with busulfan (intraperitoneal, 25 mg/kg) 24 hours prior to IV injection of 2×10^6 MV411 cells and/or human ILC precursors. For FICZ studies, following adoptive transfer, some mice were injected IP with FICZ (3 μ g/mouse) daily for 4 weeks while the control arm received IP vehicle injections. All NSG studies were conducted utilizing at least 5 independent human blood donors. In all NSG experiments, mice received biweekly injections of rhIL-7 and rhIL-15 (500 ng/mouse each, NCI) (17, 26). Studies were conducted in both male and female mice at 8–15 weeks of age.

Tissue collection and isolation

All human tissue was obtained by protocols approved by the Ohio State University Internal Review Board (protocol #2009C0019). Fresh human peripheral blood (PB) was obtained from Versiti Blood Center of Wisconsin (Milwaukee, WI). AML patient samples were obtained through the Ohio State University Leukemia Tissue Bank. NK cells and ILCPs were obtained from negative enrichment followed by a magnetic CD117 positive selection (ILCP) or a CD16 depletion (for CD56^{bright} NK). Populations were then isolated by cell sorting with a BD Aria II to >98% purity as previously described (Figure S1) (2, 17). All studies utilized at least 5 independent donors. Mouse spleen, marrow, and blood were processed as previously described (5). Livers were homogenized using a GentleMACS dissociator (Miltenyi Biotec) and lymphocytes were isolated using a 60/40 Percoll gradient spin.

Cell Culture

Human ILCPs or CD56^{bright} NK cells were cultured as previously described from at least 5 independent donors (5, 17). The culture media for in vitro development experiments contained DMEM and F12 (2:1 ratio) supplemented with 1% antibiotic/antimycotic (Thermo Fisher Scientific), 20 mg/ml ascorbic acid, 24 μ M 2-ME, 0.05 mg/ml sodium selenite (Sigma-Aldrich), and 10% heat-inactivated human AB serum (Valley Biomedical). ILCPs were plated on OP9-DL1 murine stromal cells in media supplemented with 10 ng/mL human IL-7 \pm AML cells. For coculture experiments, ILCPs or NK cells were plated in 24-well plates on OP9-DL1 cells, and AML cell lines were added in a transwell insert (50,000 AML cells/well). Media were replaced, and AML cells were refreshed 2x per week (2). The AHR antagonist CH223191 was used at a concentration of 3 μ M (Selleckchem), and the AHR agonist 5,11-dihydroindolo[3,2-b]carbazole-6-carboxaldehyde (FICZ) was used at 30 nM (Millipore Sigma). Where indicated, TGF β was used at a concentration of 10 ng/mL (Miltenyi). For the switch culture experiment, ILCPs were isolated and plated as described above and treated for 2 weeks with either DMSO, FICZ, CH223191, or U937 AML cells cultured in transwells. After 2 weeks, treatment conditions changed as indicated to either remove FICZ or U937 cells for 2 more weeks. In some wells, removal of these conditions was followed with the addition of CH223191 for 2 weeks. As a control, some wells were cultured in the indicated conditions for 4 consecutive weeks prior to flow cytometric analysis. U937 and MV411 cell lines were obtained from the ATCC in the past 6 months and were used for experiments within 10 passages of thawing. ATCC validation utilizes short tandem repeat (STR) profiling. OP9-DL1 cells were obtained from the lab of Dr. J. Carlos Zuniga-Pflucker and were previously characterized, and validated (17, 26, 27). Mycoplasma testing was completed annually on cell lines.

Single Cell Clonal Assay

The single cell clonal assay was performed as previously described (17, 28). Briefly, bulk human ILCPs were sorted from the peripheral blood of 4 independent donors as described above using a BD Aria II in purity mode. Single ILCPs were then re-sorted into 96-well round bottom plates containing OP9-DL1 stromal cells using single cell mode. The outer perimeter of wells contained PBS to control evaporation. Cells were cultured at 37°C and

5% CO₂ in 10 ng/mL IL-7 and IL-15 for 4 weeks before being harvested. Bulk ILCPs from each donor were also cultured in parallel as a control. Additionally, cells were cultured in conditioned media from U937 cells diluted 1:1 with fresh culture media where indicated. Conditioned media was prepared by culturing U937 at 500,000 cells/mL for 72 hours before harvesting the media and filtering through a 0.45 µm syringe filter to remove residual AML cells. Media was changed twice weekly and clonal growth was monitored using light microscopy starting after week 2 to select clones with successful growth to analyze. During flow analysis, any hCD45+Lin-CD56+CD94+ cells were included in the analysis.

Flow Cytometry

All experiments were analyzed with an LSR II or Fortessa flow cytometer (BD Bioscience), followed by FlowJo software analysis (BD Bioscience). Nonspecific staining was minimized by using the appropriate isotype controls. Antibodies and phenotypes used can be found in Tables S1 and S2. Cells were stimulated with PMA/Ionomycin in the presence of Monensin/Brefeldin A for 4 hours before being stained for surface and intracellular markers and analyzed by flow cytometry. All flow analyses contained a viability dye to exclude dead cells.

Chromatin Immunoprecipitation and Quantitative Real Time PCR (qPCR)

Chromatin immunoprecipitation (ChIP) was performed against AHR, or rabbit monoclonal isotype control (Cell Signaling), as per the manufacturer's instructions (Pierce Magnetic ChIP). The presence of binding to the *EOMES* promoter was determined by using 2 primer sets targeting different regions containing AHR binding motifs. Binding to the *IFNG* promoter was determined using a commercially available primer set (Cell Signaling) per the manufacturer instructions. qPCR was conducted using PowerUP SYBR Green master mix (Applied Biosystems) according to the manufacturer instructions and was analyzed on a ViiA 7 qPCR instrument (Life Technologies). Fold enrichment was determined through the equation $2^{-([\text{Ct AHR ChIP}] - [\text{Ct Isotype ChIP}])}$.

The primer sequences used for the *EOMES* promoter are as follows:

Forward Primer 1: 5'-TGAAAAGGGCAGAAAGGCG-3', Reverse
Primer 1: 5'-GAAAGCAGGAGGTGGAACTAACC-3', Forward Primer
2: 5'-TCATTACGAAACAGGGCAGGTG-3', Reverse Primer 2: 5'-
CGGTGTCTACGGAGATTTATTGCG-3'.

Statistical Analysis

For experiments with independent observations, two sample t-tests or analysis of variance were used for comparisons with two or multiple groups, respectively. For experiments with correlated data, linear mixed effects models were used for analyses to take account of the correlation among measurements being taken from the same mouse or patient. Bonferroni correction was used to correct for multiple comparisons. Error bars represent \pm SEM unless otherwise noted. SAS 9.4 (SAS Institute Inc., NC) was used for analysis.

RESULTS

AML skews Group 1 ILCs away from NK and towards ILC1s in a tissue specific manner

To determine whether AML is capable of disrupting Group 1 ILC homeostasis, we utilized an established mouse model of AML involving adoptive transfer of primary leukemic murine cells from *Mll-PTD;Flt3-ITD* double knock-in mice (CD45.2+) into immunocompetent congenic hosts (CD45.1+) (5, 25). Murine ILC1s have been well characterized, with several validated surface markers identified that distinguish ILC1s from NK cells, including CD200R and CD49a, in addition to specific transcription factor expression (11, 12). Using this model, we identified altered proportions of CD45.1+ ILC1s and NK cells within the Group 1 ILC compartment (identified as Lin-NK1.1+NKp46+Tbet+ lymphocytes) specifically in the bone marrow and liver of leukemic mice relative to controls, though no significant differences were observed in the blood or spleen (Figure 1A, B). Further analysis revealed increased absolute numbers of ILC1s in the bone marrow and liver of leukemic mice compared to littermate controls (Figure 1C). These ILC1s expressed Tbet, CD200R, CD49a, heterogeneous levels of CD127, and lacked expression of Eomes and CD49b (DX5) (data not shown). These observations were also recapitulated when directly assessing *Mll-PTD;Flt3-ITD* double knock-in mice (Figure S2A, B). Additionally, we observed decreased percentages of NK cells among total Group 1 ILCs in the bone marrow and liver of these mice. Interestingly, unlike the relative percentages, the absolute numbers of NK cells were decreased in the bone marrow while they were increased in the livers of leukemic mice (Figure 1C). We also assessed expression of the proliferation marker Ki67 in bone marrow NK cells and ILC1s in both leukemic mice and controls. We observed that both NK cells and ILC1s in leukemic mice had significantly higher expression of Ki67 compared to nonleukemic controls (Figure S2C). Among leukemic mice, NK cells had higher expression of Ki67 compared to ILC1s. We also observed that the Ki67 levels of NK cells were higher in both WT and leukemic mice compared with ILC1s.

Upon functional evaluation, the ILC1s isolated from the bone marrow of leukemic mice produced less IFN γ compared to bone marrow NK cells. Although NK cells retained the ability to secrete IFN γ in the setting of AML on a per cell basis, the decrease in absolute number of marrow NK cells in addition to expansion of ILC1s resulted in an overall decrease in IFN γ levels in the leukemic mice relative to WT control mice (Figure 1D). In the liver, we observed suppression of IFN γ production of both NK cells and ILC1s in the setting of AML (Figure 1E). We also observed lower production of IFN γ in ILC1s relative to NK cells even in WT mice. Of note, we did not observe significant TNF α production among bone marrow Group 1 ILCs in the leukemic mice or WT control mice (Figure S2D). Overall, these findings suggest that AML is capable of promoting the expansion of ILC1s in a tissue-specific manner while inhibiting NK cell numbers in the bone marrow. This shift in Group 1 ILC cell populations was also accompanied by a decrease in overall Group 1 ILC IFN γ production in the bone marrow and liver.

AML cells are capable of skewing human ILCP development away from NK cells and towards ILC1s

We next sought to determine the mechanism(s) by which AML induces expansion of ILC1 populations. Because we previously observed disruption of an NK cell precursor population in the peripheral blood of AML patients (5), and because all ILCs, including NK cells, stem from common ILCPs present in the circulation and in tissues (17, 18), we hypothesized that AML actively drives ILCP development away from NK cells and towards ILC1s. It is also possible that ILC1 expansion in AML results from conversion of mature NK cells to ILC1s. To determine whether either or both of these processes occur in AML, we isolated Lin-NKp80-CD294-KLRG1-NKp44-CD94-CD16-CD117+ ILCPs and Lin-CD56+CD94+CD16- NK cells (hereafter referred to as CD56^{bright} NK cells, refer to Figure S1 for sorting strategy) from the peripheral blood of healthy donors as previously described (5, 17). We then cultured these cells for 4 weeks with IL-7 on OP9-DL1 stromal cells, conditions that support the development of human ILC subsets *in vitro* (17, 26), either alone or with the AML cell lines MV411 or U937 separated by transwells (Figure 2A). As shown in Figure 2B,C we observed a significant increase in the proportion of Lin-CD56+CD94+TBET+EOMES- ILC1s and a concomitant decrease in Lin-CD56+CD94+TBET+EOMES+ NK cells in the conditions with AML cells relative to controls. The capacity for ILCPs to generate ILC1s was significantly greater than the capacity for CD56^{bright} NK cells to directly convert into ILC1s *in vitro*. To more definitively determine whether AML is capable of skewing ILCP development towards an ILC1, we performed single cell clonal assays on human ILCPs with AML conditioned media from U937 cells or control conditions for 4 weeks. Our overall cloning efficiency was 10–15%. Of the clones that successfully grew, we analyzed those containing group 1 ILCs (hCD45+Lin-CD56+CD94+, n=20 untreated clones, n=21 AML conditioned media treated clones) and saw a significant increase in ILC1 formation along with a concomitant reduction in NK potential in the AML conditioned media treated ILCPs relative to controls (Figure 2D,E and Figure S3A). Taken together, these data suggest that in the setting of AML co-culture, ILC1 expansion occurs mainly as a result of skewed ILCP development rather than from conversion of NK cells.

Subsequently, we conducted similar experiments *in vivo* by isolating fresh human healthy donor blood ILCPs and CD56^{bright} NK cells and adoptively transferring them with or without the AML cell line MV411 into NSG mice followed by intraperitoneal injections of recombinant human IL-7 and IL-15 (Figure 3A). Of note, the U937 cell line progressed too rapidly *in vivo* to allow for assessment of ILC development, even at low inoculating doses (average survival ~14 days, data not shown). After 4 weeks, we evaluated the bone marrow and liver compartments of these mice for the presence of Lin-hCD45+CD56+CD94+TBET^{hi}EOMES^{Lo/-} ILC1s and Lin-hCD45+CD56+CD94+TBET+EOMES+ NK cells. While there were too few human cells to analyze in the livers of NSG mice in the presence or absence of AML, we observed that the bone marrow of mice co-injected with AML cells contained increased proportions of ILC1s derived from both ILCPs and NK cells compared to mice that were not co-transplanted with MV411 cells (Figure 3B,C). Functionally, we observed suppression of IFN γ secretion in the ILCP-derived ILC1s and NK cells in the setting of AML using our *in vivo* NSG

model, similar to what was observed in the congenic AML mouse model. We also detected a concomitant suppression of TNF α production using this humanized model (Figure 3D). These *in vivo* data suggest that AML cells are capable of diverting both ILCPs, and to a lesser extent CD56^{bright} NK cells, towards an ILC1 lineage with an overall suppressive effect on type 1 cytokine production.

AML-derived AHR agonists are capable of promoting ILC1 generation

Our previous work has shown that soluble AML-derived AHR ligands can inhibit NK cell development from NK cell precursors through aberrant activation of the AHR pathway (2). Others have shown that AHR signaling is required for maintenance of liver resident NK cells, a tissue with active AHR signaling under normal homeostatic conditions (23). To determine whether AHR is capable of promoting development of ILC1s *in vitro*, we isolated human ILCPs and CD56^{bright} NK cells from the peripheral blood of normal donors and cultured these cells on OP9-DL1 stromal cells along with IL-7 with either an AHR agonist (FICZ), an AHR inhibitor (CH223191), or vehicle control (DMSO). We also tested whether antagonism of AHR in the presence of the AML cell lines U937 or MV411 suppresses ILC1 generation. We found that activation of the AHR pathway by treating with FICZ reduced EOMES expression yet maintained TBET expression relative to controls. Furthermore, inhibition of AHR with CH223191 (AHRi) resulted in higher expression of EOMES along with co-expression of TBET (Figure 4A, B). Co-culture of ILCPs with U937 (Figure 4A,B) or MV411 cells (Figure S3B,C), which we have previously shown to secrete AHR ligands (2), in transwell recapitulated the results from the FICZ-treated conditions, demonstrating an increase in both ILC1 frequency (Figure 4B) and absolute counts (Figure S4A). This was reversed upon treatment with the AHRi. In contrast to what occurred when culturing ILCPs, CD56^{bright} NK cells co-cultured with AML or FICZ did not show significant conversion to ILC1s *in vitro*.

We also tested whether AHR was capable of directly targeting the *EOMES* or *IFNG* promoters by performing chromatin immunoprecipitation (ChIP) on NK cells from the peripheral blood of 4 donors treated for 4 hours with AML conditioned media (from U937) using a monoclonal AHR antibody or isotype control, a time point previously validated by CYP1A1 expression to indicate AHR pathway activation (2). We then performed qPCR with two primer sets targeting separate regions of the *EOMES* promoter containing putative AHR binding motifs (5'-GCGTG-3' or 5'-CACGC-3') as well as a commercially available primer set targeting the *IFNG* promoter (Cell Signaling Technologies). We detected amplification of all primer sets tested, albeit we detected different binding affinity of AHR to the two *EOMES* promoter binding motifs (Figure 4C). These data demonstrate AHR is capable of directly binding the promoters of both *EOMES* and *IFNG* in human NK cells.

To more directly assess whether AHR blockade is capable of reversing ILCP skewing towards ILC1s, we performed a switch culture experiment with ILCPs by culturing the cells in either control conditions, FICZ, or with U937 cells cultured in transwells for 2 weeks. After 2 weeks, some wells were then switched to remove FICZ or U937 for 2 additional weeks, and where indicated, an AHRi was added. We observed that the addition of an AHRi could restore NK cell numbers compared with cells cultured in the same condition for 4

consecutive weeks or cells not receiving an AHRi following removal of FICZ or U937 (Figure 4D). This observation suggests that targeted AHR blockade can restore NK cell development from ILCPs even after being exposed to AHR-activating conditions.

Additionally, due to the established role of TGF β in promoting ILC1-like phenotypes in cancer, we asked whether modulation of AHR activity in the presence of TGF β activation affected the ability of ILCPs to differentiate into NK cells or ILC1s. After 4 weeks we observed that culturing of ILCPs with TGF β completely abolished acquisition of EOMES. This effect was irrespective of modulation of AHR activity with a pharmacological AHR agonist or AHR inhibitor (Figure S4B). When assessed for functionality, the addition of TGF β to the culture resulted in profound suppression of both IFN γ and TNF α production in the resulting ILC1s and NK cells which was not restored when co-treated with an AHRi (Figure S4C).

We also performed studies in NSG mice to assess the direct impact of AHR activation *in vivo*. Adoptive transfer of human ILCPs into NSG mice followed by intraperitoneal injections of IL-7, IL-15, and FICZ resulted in significant downregulation of EOMES with retention of TBET expression among the Lin-hCD45+CD56+CD94+ cells in the bone marrow (Figure 5A,B). Adoptive transfer and subsequent *in vivo* treatment of CD56^{bright} human NK cells under the same conditions led to a small increase in the EOMES^{Lo/-};TBET+ population which failed to reach statistical significance (Figure 5B,C). Interestingly, while we observed an overall suppressive effect on cytokine production when AML cells were co-transferred along with ILCP populations, we observed an overall stimulatory trend in the mice treated with FICZ relative to controls for both IFN γ and TNF α (Figure 5D). This suggests AML cells utilize additional pathways in addition to AHR to create the functional and phenotypic profiles observed. Overall, these findings show that AHR signaling is capable of skewing ILCP differentiation away from NK cells and toward ILC1s both *in vitro* and *in vivo*.

Group 1 ILCs are dysregulated in the bone marrow of AML patients

After validating skewing towards an ILC1 lineage in the setting of AML using our *in vivo* and *ex vivo* models, we sought to determine whether we could identify an analogous ILC1-like population in primary untreated AML patient bone marrow samples. Recent reports suggest human ILC1s are likely found within the CD56^{bright} population (29, 30). Upon surveying the ILC compartment, we observed a significant decrease in EOMES expression and a concomitant increase in TBET expression among the CD56^{bright} population relative to normal marrow controls (Figure 6A,B). In contrast, we observed no significant changes in EOMES or TBET expression in the more mature CD56^{dim} (Lin-CD56+CD94+/-CD16+) NK cell population. Taken together, these findings suggest that in the marrow of AML patients, there is skewing of Group 1 ILCs away from NK cells and towards an ILC1-like cell, similar to the observations in the murine model of AML and in our *in vitro* and *in vivo* human experimental systems.

DISCUSSION

The role of ILCs in normal physiology and pathophysiology is a burgeoning area in the field. Despite this, the role of non-NK ILCs in controlling or promoting cancer progression is a relatively understudied area to date, especially in hematologic malignancies. NK cells are important immune surveyors that slow progression of multiple cancer types, including AML (9, 31, 32). In contrast, the role of the closely related ILC1 subset is currently being dissected in several cancer types. Recent reports have demonstrated that ILCs typically only detected in tissues at steady state can be detected in the circulation and in tissues in the setting of AML and other diseases where they are normally not found (33, 34). The mechanisms by which this occurs is currently not completely understood. In this study, we identified tissue specific expansion of ILC1s in AML mice. Through a combination of *ex vivo* and *in vivo* modeling utilizing human cells, we also showed that ILC1s are expanded *in vitro* and in AML patients. We demonstrated that *ex vivo* or *in vivo* exposure of ILCPs to AML or AHR agonists increased production of ILC1s. We also showed that AML is capable of skewing human ILCP development towards ILC1s and away from NK cells at the clonal level. In contrast, when starting with normal healthy donor CD56^{bright} NK cells, we observed no increase in ILC1s generated *in vitro* and only a mild increase in ILC1s *in vivo* that did not reach statistical significance. These observations lead us to conclude that skewing of ILCP development towards ILC1s and away from NK cells represents a major mechanism leading to localized increases in ILC1 production in AML and likely accounting at least in part to the loss of peripheral CD56^{bright} NK cells in AML patients that we observed previously (5). We also found that pharmacologic AHR blockade was capable of restoring ILCP-derived NK cell levels even after exposure to pharmacologic AHR agonists or exposure to AML cells, suggesting AHR inhibition may be a beneficial treatment option in AML by restoring the functional NK cell pool. Finally, we identified skewing of Group 1 ILCs in the bone marrow of primary human AML patient samples towards an ILC1-like phenotype, as was observed in our experimental models. To the best of our knowledge, this is the first demonstration of AHR driving ILC1 generation and skewing human ILCPs towards the ILC1 lineage at the expense of NK cell differentiation in the setting of malignancy.

Functionally, we observed suppression of IFN γ production in our congenic AML mouse model as well as in our humanized NSG model, which also showed concomitant suppression of TNF α . While we did not observe differences in IFN γ production among bone marrow NK cells in leukemic mice, we did observe a significant decrease in IFN γ production from the total Group 1 ILC compartment in the bone marrow, resulting from loss of the total number of NK cells and a concomitant expansion of hypofunctional ILC1s. In contrast, we observed suppression of IFN γ production in both NK cells and ILC1s in the liver of leukemic mice, underscoring the importance of the tissue microenvironment in determining immune cell function.

Decreased amounts of type 1 cytokines could reduce the downstream immune response required for effective control of AML blasts, as IFN γ is associated with enhancing immune responses and TNF α possesses both pro- and anti-cancer effects (35, 36). Others have demonstrated that ILC1s are capable of limiting T cell responses and secrete lower amounts

of T cell chemoattractants than NK cells (9, 37). T cell suppression in AML has been documented, suggesting our findings may contribute to this dysfunction (38). This effect could promote tumor growth through inhibition of the adaptive immune system by subsets of the innate immune system. Furthermore, loss of mature NK cells eliminates a mechanism of immune surveillance that is vital for controlling cancer progression. Indeed, we have previously demonstrated a decrease in cytotoxic potential among NK cells in AML (5). Thus, this developmental shunting towards ILC1s may serve as a mechanism of immune evasion in AML through a decrease in direct NK cell-mediated killing. We also noted differences in proliferation, as determined by Ki67 expression, of NK cells and ILC1s in the bone marrow compartment of leukemic mice compared to nonleukemic controls. Among leukemic mice, NK cells had higher expression of Ki67 compared to ILC1s, suggesting the increase in ILC1s observed in leukemic mice is not solely due to increased proliferation of ILC1s over NK cells. It is possible these increases in Ki67 expression are indicative of faster cell turnover in the setting of AML. Thus, ILC1 expansion in AML could be the result of both skewed ILC development in addition to increased cell death of mature NK cells relative to ILC1s. The effects of AML on NK and ILC1 turnover will be the subject of further investigation.

The functional differences observed between direct AHR agonism with FICZ (which resulted in increased IFN γ and TNF α production), and exposure to AML cells (which led to suppression of these cytokines) suggest that AML may utilize pathways in addition to AHR to alter the function of Group 1 ILCs. The observed stimulatory effects of FICZ on mature NK cells are consistent with previous reports (39). While FICZ is a high affinity AHR agonist, other molecules that act as AHR ligands, such as the tryptophan degradation product kynurenine or the compound TCDD, have been shown to elicit different downstream immune phenotypes compared to FICZ (40–43). In addition, a subset of AML patients have overactive indoleamine 2,3-dioxygenase 1 (IDO1), the first enzyme involved in the tryptophan degradation pathway leading to kynurenine production. These patients have worse clinical outcomes than patients with normal activity, presumably due to increased signaling through the AHR pathway leading to immune dysregulation (44, 45). Thus, kynurenine may be a particularly relevant AHR agonist to assess using these models. Indeed, testing the effects of different AHR ligands will be an area of future investigation. It is possible these differences are due at least in part to differential activation of downstream effector molecules such as microRNAs like miR-29b (2, 5), which in turn lead to regulation of target genes such as *EOMES*. Notably, ILCPs do not express *EOMES* and the ability to secrete IFN γ is not detected until cells commit to the group 1 ILC lineage (26, 46). These observations suggest AHR directly or indirectly prevents acquisition of *EOMES* rather than suppressing *EOMES* after it is expressed. In support of this hypothesis, we did not observe decreased *EOMES* expression upon direct pharmacological AHR agonism in mature NK cells ex vivo, which have already acquired *EOMES* expression. Our ChIP results demonstrate that AHR is capable of directly binding the promoters of *EOMES* and *IFNG*, although the downstream consequences of this binding on expression levels remain to be determined.

In addition to AHR agonists, leukemic blasts have been shown to secrete several cytokines, such as IL-1 β , GM-CSF, IL-6, IL-8, TNF α , and TGF β that could synergize with

AHR signaling to produce the functional and phenotypic profiles observed (47–50). In particular, IL-1 β has been heavily implicated in promoting plasticity of mature ILC subsets (51). Furthermore, previous studies in solid tumors have demonstrated TGF β -dependent conversion of NK cells to ILC1s intratumorally, leading to poor tumor control (13, 14). However, we did not observe significant conversion from NK cells to ILC1s in the setting of AML. We tested the effects of TGF β on ILCPs using our co-culture model and observed complete failure of the cells to acquire EOMES expression along with concomitant functional suppression of type 1 cytokines. These findings, together with our additional cloning experiments and in vivo modeling, suggest that AHR activation likely plays a role in promoting ILC1s, while additional factors (including potentially TGF β) may play a role in inhibiting mature ILC function. Testing the synergistic effects of these cytokines with AHR activation in our models may better recapitulate the observations observed in AML patients. In addition to cytokine signaling, it is also possible that AML may directly modulate other key factors driving NK cell differentiation, including NOTCH (28, 52) or WNT (53) signaling pathways which could contribute to this phenotype.

Our observations of increased ILC1s in AML support a growing body of evidence that ILC1 phenotypes can be induced in the disease setting. In addition to fibrosarcoma mouse models (13), increased ILC1s have also been found in patients and mice with nonalcoholic fatty liver disease (NAFLD) (54) as well as in some murine models of infection (55). In contrast to these previous reports, which demonstrate conversion from mature NK cells to ILC1s as the dominant mechanism, our findings support that increased ILC1s in AML is primarily driven by skewed development of ILC precursors. In addition, previous work by Salome et al. described an ILC1-like population functionally inhibited in the peripheral blood of AML patients (29), however the mechanism by which this expansion occurs is not known. While we did not observe significant levels of ILC1s in the blood of leukemic mice, further work dissecting the localization and function of ILC1s in AML is clearly warranted. Our in vivo models and mechanistic insight into how ILC1s are likely promoted in AML provide a tool to elucidate additional mechanisms of evasion and what role ILC1s play in promoting disease progression.

The results from our studies reveal ILCP developmental skewing away from NK cells and towards ILC1s as a key mechanism for ILC1 expansion in AML. We show this developmental skewing is driven by AHR activation, and thus our data provide a novel rationale for targeting the AHR pathway for additional pre-clinical development as a potential therapy in AML. Our findings have broad implications for the importance of ILCP differentiation in tumor immune evasion and targeting of this developmental axis for the treatment of AML and other cancers. With the identification of ILC1 promotion, we further provide a novel perspective for the study of this phenomenon in other cancer types, and possibly other states of NK cell deregulation.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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KEY POINTS

1. ILC1s are enriched in the bone marrow of AML patients and leukemic mice
2. AML promotes ILC1s in part via AHR-mediated skewing of ILC precursor development

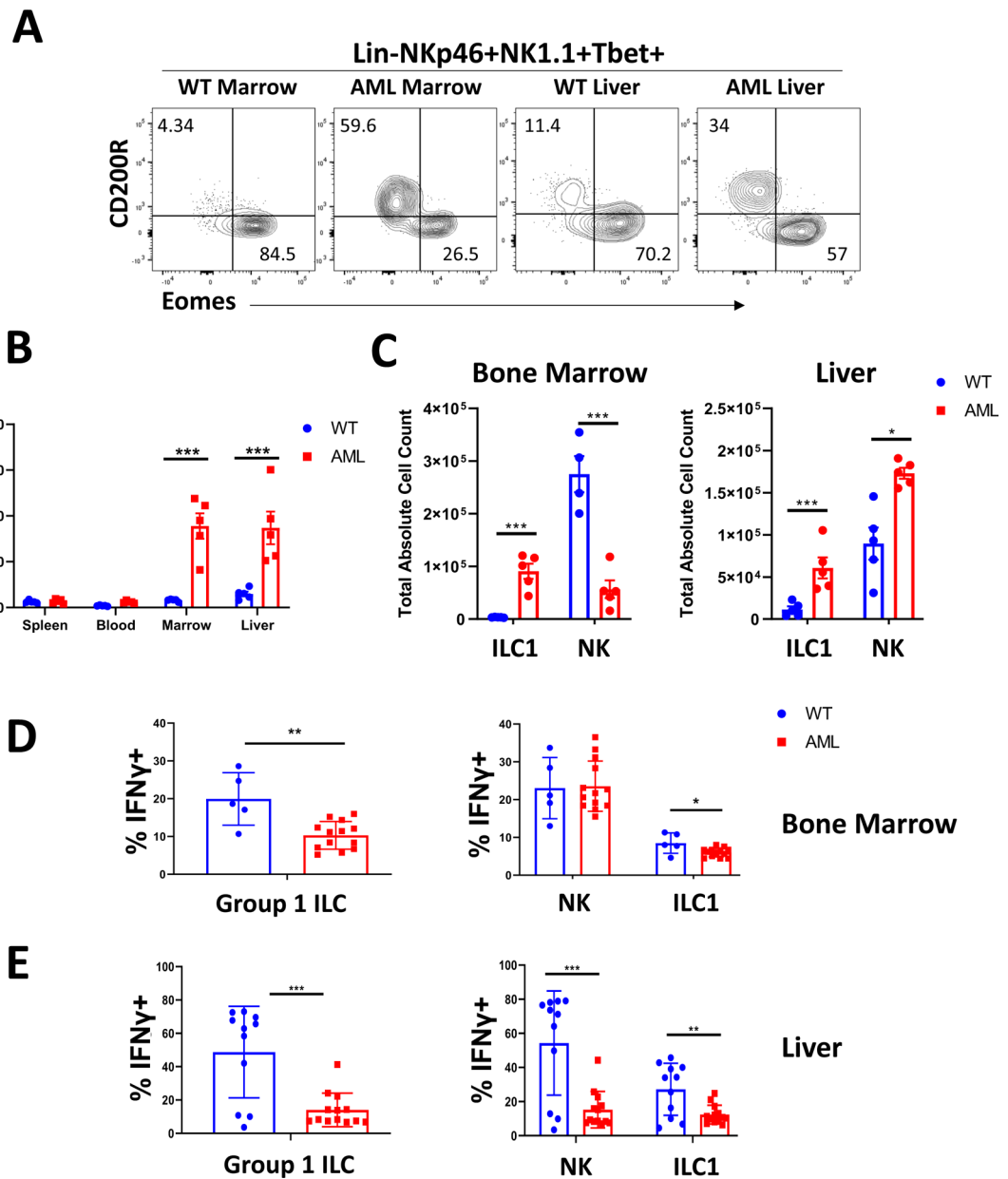


Figure 1: ILC1s are expanded in the marrow and liver of leukemic mice
 A) Representative flow plots of Eomes vs CD200R expression among Lin-NKp46+NK1.1+Tbet+ cells in the liver and bone marrow of WT and transplant leukemic mice.
 B) Quantification of ILC1 frequency (among total Lin- cells) across spleen, blood, bone marrow, and liver.
 C) Absolute counts for ILC1s and NK cells in the bone marrow and liver for WT and transplant leukemic mice.
 n=5 WT, n=5 AML, *p<0.05, ***p<0.001. Error bars represent ±SD.
 D) Representative flow plots and summary data of IFNγ expression for NK cells and ILC1s in the marrow of WT and transplant leukemic mice.

E) Summary data of IFN γ production among liver Group 1 ILCs. Data points were pooled from two independent experiments.

n=5 (BM) or 11 (Liver) WT, n=13 AML, *p<0.05, **p<0.01. Error bars represent \pm SD.

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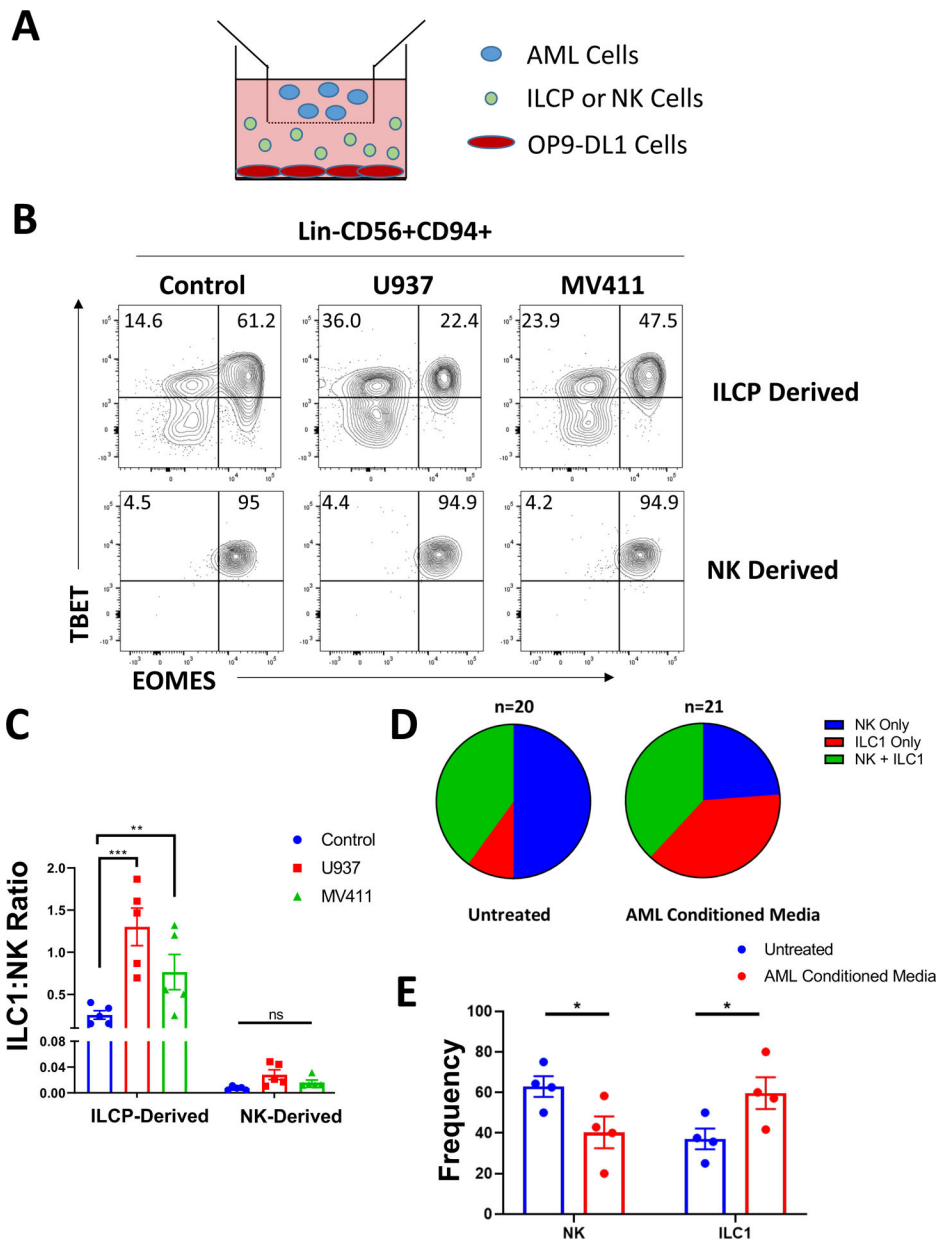


Figure 2: AML coculture skews ILC precursor development to promote an ILC1 phenotype *in vitro*

A) Schematic of coculture experiments. ILCPs (Lin-NKp80-CD294-KLRG1-NKp44-CD94-CD16-CD117+) or CD56^{bright} NK cells (Lin-CD56+CD94+CD16-) were isolated from normal peripheral blood of 5 independent donors and cultured on OP9-DL1 cells for 4 weeks ± the AML cell lines U937 or MV411 cultured in transwells. Isolated populations were distributed evenly between conditions following initial cell sorting.

B) Post-culture analysis of TBET and EOMES expression among Lin-CD56+CD94+ cells derived from ILCP or CD56^{bright} NK cells.

C) Summary of ILC1:NK ratios comparing control culture conditions to AML coculture. n=5, **p<0.01, ***p<0.001. Error bars represent ±SEM.

D) Pooled summary of clonal assay data from ILCP clones isolated from the peripheral blood of 4 independent donors. For analysis, any clone containing ILC1 (hCD45+Lin-CD56+CD94+TBET+EOMES-) and/or NK cells (hCD45+Lin-CD56+CD94+TBET+EOMES+) were included in the analysis.

E) Summary of NK cell and ILC1 frequency from single ILCP clones grouped by donor for either untreated cells or those treated with AML conditioned media produced from U937 cells.

n=4 biological donors, *p<0.05. Error bars represent \pm SEM.

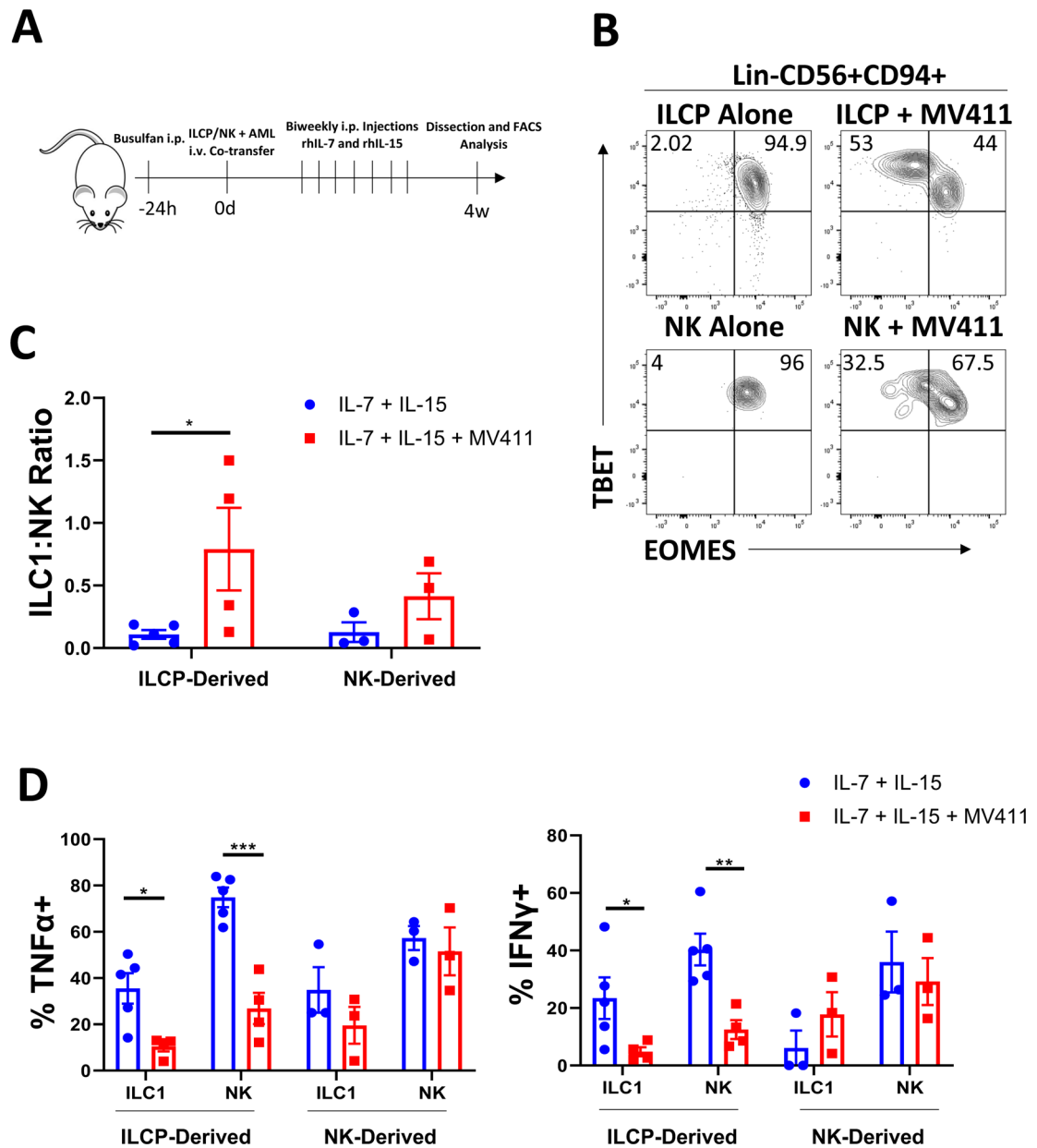


Figure 3: *In vivo* co-injection of AML with ILC precursors promotes an ILC1 phenotype

A) Schematic of *in vivo* studies. ILCPs (Lin-NKp80-CD294-KLRG1-NKp44-CD94-CD16-CD117+) and CD56^{bright} NK cells (Lin-CD56+CD94+CD16-) were isolated from normal peripheral blood of 5 independent donors and adoptively transferred into NSG mice ±MV411 cells followed by biweekly injections of IL-7 and IL-15 for 4 weeks. At harvest, bone marrow was harvested and analyzed via flow cytometry for Group 1 human ILCs.

B) Representative flow plots of TBET and EOMES expression for human Lin-CD56⁺CD94⁺ cells derived from ILCPs or CD56^{bright} NK cells ±MV411 cells.

C) Summary of ILC1:NK ratios comparing control conditions to AML co-injection.

D) Summary data of TNF α and IFN γ expression determined by flow cytometry from ILCP- or NK-derived cells and ILC1s from control or AML conditions.

n=3–5 (some mice excluded due to poor engraftment), *p<0.05, **p<0.01, ***p<0.001.

Error bars represent \pm SEM.

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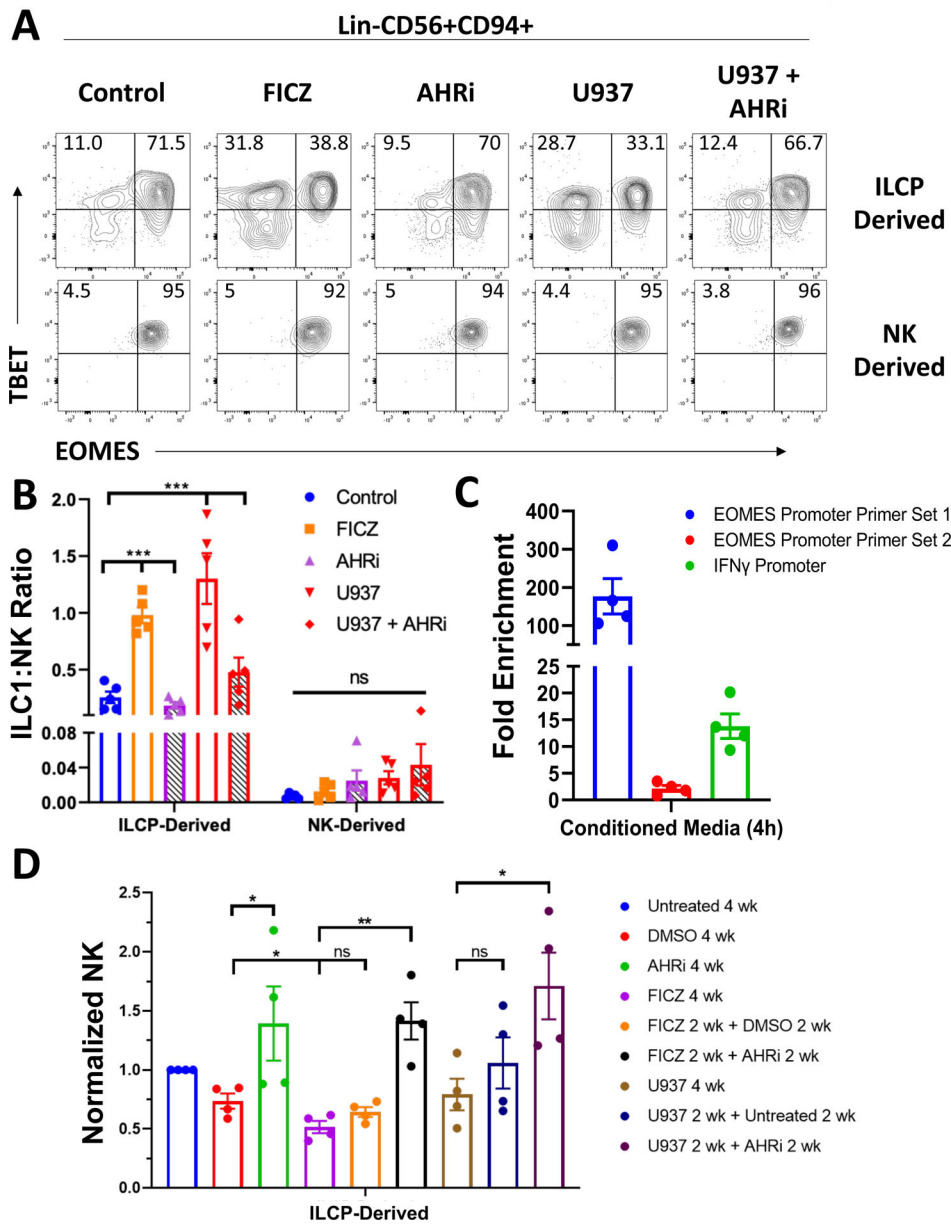


Figure 4: *In vitro* AHR activation promotes an ILC1 phenotype in AML

A) Post-culture analysis of TBET and EOMES expression among Lin-CD56+CD94+ cells. ILCPs (Lin-NKp80-CD294-KLRG1-NKp44-CD94-CD16-CD117+) and CD56^{bright} NK cells (Lin-CD56+CD94+CD16-) were isolated from normal peripheral blood of 5 independent donors and cultured with IL-7 on OP9-DL1 cells for 4 weeks. Culture conditions included: 1) treatment with the AHR agonist FICZ (30 nM), 2) the AHR inhibitor (AHRi) CH223191 (3 μ M), or 3) the AML cell line U937 (\pm AHRi). U937 were co-cultured in transwells. Isolated populations were distributed evenly between conditions following initial cell sorting.

B) Summary data of ILC1:NK ratios across all conditions for ILCP- and NK-derived Group 1 ILCs. ANOVA was used to compare AHR agonism and AHR inhibition to control conditions for the indicated comparisons.

n=5, *p<0.05, ***p<0.001. Error bars represent \pm SEM. ANOVA was used for analysis in B).

C) qPCR results of AHR ChIP pull down from peripheral blood NK cells of n=4 biological donors treated for 4 hours with AML conditioned media (from U937 cells). Fold enrichment was calculated through the equation $2^{-([\text{Ct AHR ChIP}] - [\text{Ct Isotype ChIP}])}$. Two primer sets targeting different regions of the *EOMES* promoter containing AHR binding motifs were tested as well as a commercially available primer set targeting the *IFNG* promoter (Cell Signaling Technologies).

D) Summary data of normalized NK counts for the ILCP switch culture assay. ILCPs were isolated from the peripheral blood of 4 independent donors and cultured on OP9-DL1 stromal cells with IL-7 for a total of 4 weeks. Cells were either cultured for 4 consecutive weeks in the indicated conditions or had a change in treatment after 2 weeks as indicated. n=4, *p<0.05, **p<0.01. Error bars represent \pm SEM.

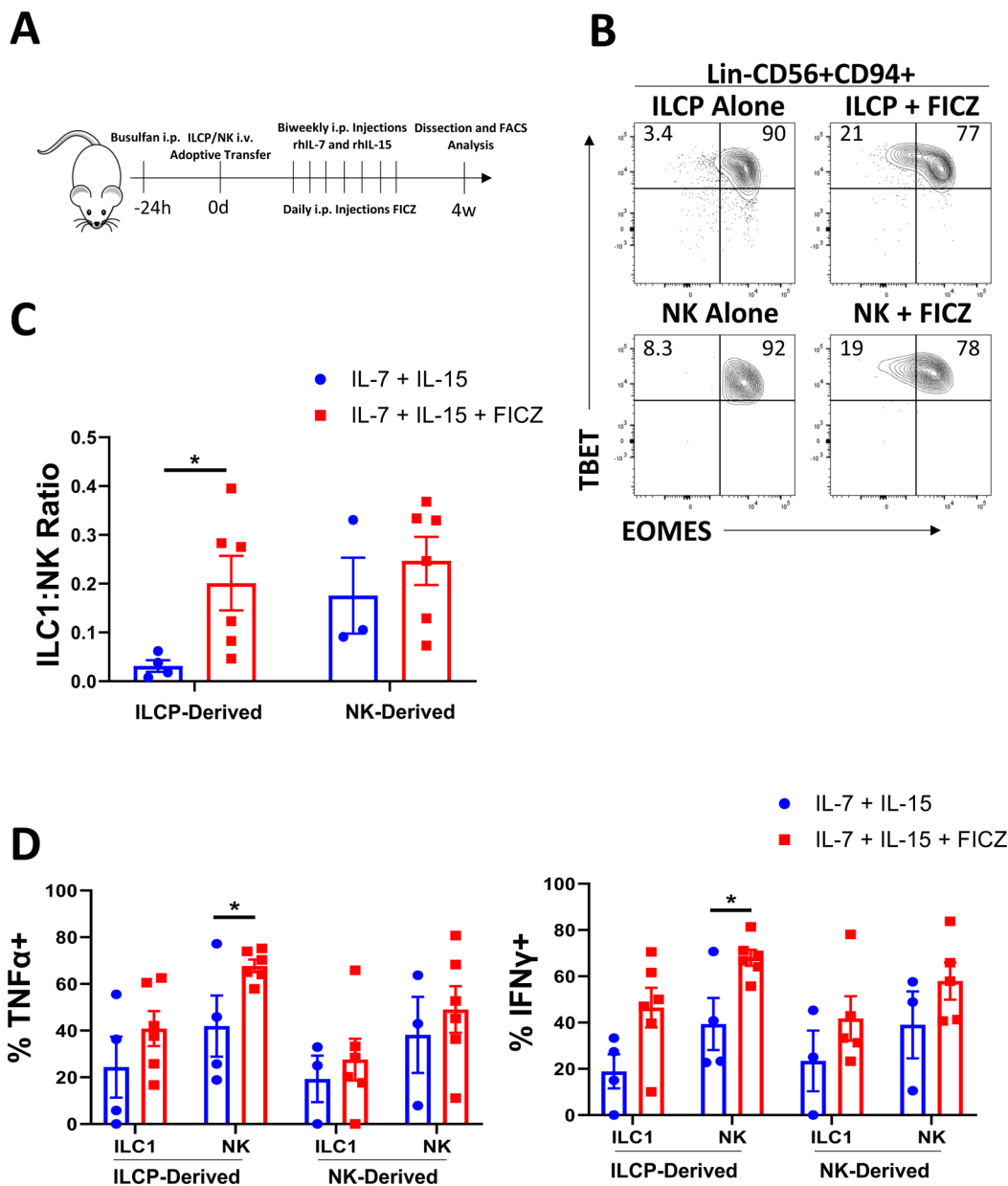


Figure 5: AHR agonism promotes an ILC1 phenotype *in vivo*

A) Schematic of *in vivo* studies. ILCPs (Lin-NKp80-CD294-KLRG1-NKp44-CD94-CD16-CD117+) and CD56^{bright} NK cells (Lin-CD56+CD94+CD16-) were isolated from normal peripheral blood of 5 independent donors and adoptively transferred into NSG mice ± daily I.P. injections of FICZ (3 μg/mouse) or vehicle with biweekly injections of IL-7 and IL-15 for 4 weeks. At harvest, bone marrow was harvested and analyzed via flow cytometry for Group 1 human ILCs.

B) Representative flow plots of TBET and EOMES expression for human Lin-CD56+CD94+ cells derived from ILCPs or CD56^{bright} NK cells ±FICZ.

C) Summary of ILC1:NK ratios comparing control conditions to FICZ-treated mice.

D) Summary data of TNF α and IFN γ expression determined by flow cytometry from ILCP- or CD56^{bright}-derived NK cells and ILC1s from control or AML conditions. n=3–5 (some mice excluded due to poor engraftment), *p<0.05. Error bars represent \pm SEM.

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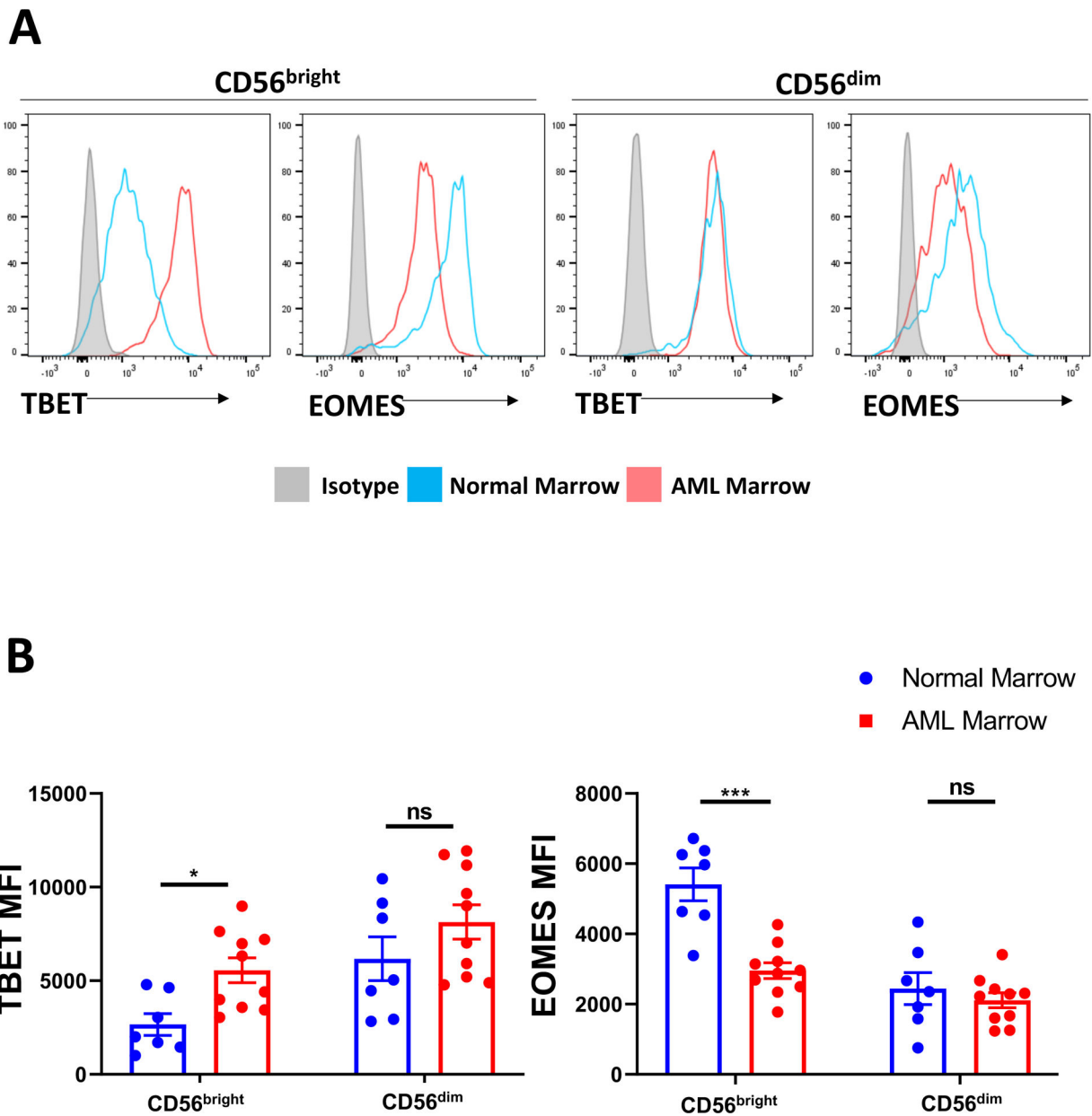


Figure 6: Group 1 ILCs are dysregulated in the marrow of AML patients

A) Representative flow plots comparing TBET and EOMES expression in CD56^{bright} (Lin-CD56+CD94+CD16⁻) or CD56^{dim} (Lin-CD56+CD94+/-CD16⁺) between AML and normal bone marrow.

B) Summary data for TBET and EOMES expression in CD56^{bright} and CD56^{dim} populations across all donors analyzed.

n=7 normal donors, n=10 AML donors, *p<0.05, **p<0.01, ***p<0.001, error bars represent ±SEM. MFI data were log transformed prior to statistical analysis.