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# Plasma zinc status and hyperinflammatory syndrome in hospitalized COVID-19 patients: An observational study

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#### ABSTRACT

Zinc deficiency is associated with impaired antiviral response, cytokine releasing syndrome (CRS), and acute respiratory distress syndrome. Notably, similar complications are being observed during severe SARS-CoV-2 infection.

We conducted a prospective, single-center, observational study in a tertiary university hospital (CUB-Hôpital Erasme, Brussels) to address the zinc status, the association between the plasma zinc concentration, development of CRS, and the clinical outcomes in PCR-confirmed and hospitalized COVID-19 patients.

One hundred and thirty-nine eligible patients were included between May 2020 and November 2020 (median age of 65 years [IQR = 54, 77]).

Our cohort's median plasma zinc concentration was 57  $\mu g/dL$  (interquartile range [IQR] = 45, 67) compared to 74  $\mu g/dL$  (IQR = 64, 84) in the retrospective non-COVID-19 control group (N = 1513; p < 0.001). Markedly, the absolute majority of COVID-19 patients (96%) were zinc deficient (<80  $\mu g/dL$ ).

The median zinc concentration was lower in patients with CRS compared to those without CRS (-5  $\mu$ g/dL; 95% CI = -10.5, 0.051; p = 0.048).

Among the tested outcomes, zinc concentration is significantly correlated with only the length of hospital stay (rho = -0.19; p=0.022), but not with mortality or morbidity. As such, our findings do not support the role of zinc as a robust prognostic marker among hospitalized COVID-19 patients who in our cohort presented a high prevalence of zinc deficiency. It might be more beneficial to explore the role of zinc as a biomarker for assessing the risk of developing a tissue-damaging CRS and predicting outcomes in patients diagnosed with COVID-19 at the early stage of the disease.

Abbreviations: ARDS, Acute respiratory distress syndrome; AUROC, Area under the receiver operating characteristic curve; BMI, Body mass index; cHIS, COVID-19-associated hyperinflammatory syndrome; CI, Confidence interval; CRS, Cytokine release syndrome; ICU, Intensive care unit; IQR, Interquartile range; SARS-CoV-2, severe acute respiratory syndrome coronavirus 2.

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### 1. Introduction

Severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) is associated with significant mortality and morbidity in a subgroup of patients who develop cytokine release syndrome (CRS) and the related acute respiratory distress syndrome (ARDS) [1,2].

The SARS-CoV-2 point of entry into human lung cells is considered to be Angiotensin-Converting Enzyme 2 (ACE2) receptor [3]. In human lungs, ACE2 receptors are expressed on the surface of surfactant secreting alveolar type II (AT2) cells and pulmonary macrophages [4-6]. AT2 cells, accounting for  $\sim$  5% of the human pneumocytes, facilitate the mechanical aspects of lung function by secreting surfactant to ensure the essential elasticity of the alveoli and gas exchange [7-9]. AT2 cells are also suggested to serve as the progenitor pool for the pneumocyte type I cells (~95% of pneumocytes), thereby supporting lung damage repair and protecting it from fibrosis [7–9]. The binding of SARS-CoV-2 to the ACE2 receptor via its spike protein is thought to disrupt ACE2 receptors and the corresponding AT2 cells [9]. Furthermore, ACE2 and another enzyme ACE are both critical effectors of the Renin-Angiotensin System (RAS). RAS exerts its inflammodulatory effects via triggering the synthesis of different angiotensin peptides through two counteracting axes, ACE2 and ACE. ACE2 can counteract the vasoconstrictive and proinflammatory impact of the ACE-AngiotensinII-AT1 receptor axis [9]. As such, SARS-CoV-2 infection leads to lung-damaging complications in a subset of patients, at least partly via targeting the AT2 cells, disrupting the RAS feedback loop, and unleashing Angiotensin II effects [9,10].

Zinc is the second most abundant common trace mineral in humans, yet  $\sim 20\%$  of the global population is estimated to suffer from different degrees of zinc deficiency [11]. Zinc has broad biological functions and has a pivotal role in the immune response [12]. Both ACE2 and ACE enzymatic activities are zinc-dependent, and zinc deficiency negatively affects their kinetics [10,13]. It has been hypothesized that zinc deficiency can contribute to ARDS by disrupting ACE2 activity [10]. Although zinc deficiency negatively affects both ACE2 and ACE, it may lead to more harmful consequences in patients with decreased ACE2 levels by mitigating the remaining ACE2 activity.

Given the broad biological functions of zinc, it is no surprise that multiple mechanisms other than RAS-related ones have been proposed through which zinc can affect SARS-CoV-2 and the related immune response. We and others have recently reviewed such evidence [14–16]. Indeed, precedent evidence suggests that zinc deficiency can also be associated with lung-damaging complications such as ARDS [17–20] as well as an impaired antiviral response [11,12,16,21,22]. For instance, zinc deficiency is associated with excessive TNF- $\alpha$  and IL-6 activity [23,24], factors that have a significant role in CRS [25]. Yet, the zinc status and its association with SARS-CoV-2 needs better clinical elucidation.

Herein, we designed a study to determine the zinc status, explored the association between the plasma zinc concentration, the development of CRS, and the clinical outcomes in hospitalized COVID-19 patients.

### 2. Methods

We conducted a prospective, single-center, observational study in a tertiary university hospital (CUB-Hôpital Erasme, Brussels) between May 2020 and November 2020.

### 2.1. Study participants

Consecutive hospitalized adult patients with PCR-confirmed SARS-CoV-2 infection were enrolled after obtaining informed consent. We performed clinical and laboratory assessments within 72 h of admission. Age, gender, body mass index (BMI), smoking status, medical history, and vital parameters were recorded at the time of inclusion. An atomic absorption spectroscopy technique was used to measure zinc concentrations in the plasma [26].

The severity of COVID-19 was assessed daily during the course of hospitalization using the WHO 10-point system [27]. Individual inflammatory parameters were assessed and summed on the day of patients' study inclusion according to an additive six-point clinical scale developed and validated by Webb et al. [28] to determine the presence and severity of COVID-19-associated hyperinflammatory syndrome (cHIS) [28]. As part of this study, we independently evaluated the relevance of the cHIS scoring system and determined the best cut-off in our cohort

We defined clinical outcome as the length of hospitalization, the incidence of mechanical ventilation (including invasive and non-invasive ventilation), and mortality. We recorded the clinical outcomes with a follow-up of 90 days from hospital admission.

Additionally, 1513 non-COVID-19 adult patients, whose plasma zinc concentrations were previously measured in our hospital, were randomly selected (regardless of gender or comorbidity during 2018) to constitute the control group. Only age and plasma zinc concentrations were accessible for these patients.

### 2.2. Statistical analysis

Data were analyzed using Stata version 13 (StataCorp., USA). The distribution of both groups was assessed using percentages and proportions.

cHIS score was validated using the area under the receiver operating characteristic curve (AUROC) for discrimination and Hosmer-Lemeshow goodness of fit test for calibration. A cHIS cut-off, which would correspond to a CRS, was calculated using Youden's J statistic. The association of plasma zinc concentration and CRS was then investigated using a Mann-Whitney  $\boldsymbol{U}$  test.

The association between outcome and plasma zinc concentration was controlled with a spearman correlation test for the length of stay and logistic regression for both mechanical ventilation and mortality.

The plasma zinc levels were compared between the COVID-19 cohort and control group applying a Mann-Whitney U test, Kruskal-Wallis test followed by Dunn's post hoc test and age-adjusted Logistic regression. The variable age was further included in the model since age could be a confounder [29].

The p < 0.05 was set to define the significance level followed by a Bonferroni adjustment when required.

### 3. Results

### 3.1. Baseline characteristics and patients' outcomes

One hundred and thirty-nine eligible patients were included in the COVID-19 cohort. The patient characteristics and laboratory assessments are summarized in Table 1 and Fig. 1.

The majority of patients were male (91/139, 65%), and the median age of the cohort was 65 years (IQR = 54, 77). Ninety-six patients (69%) had two or more comorbidities. The median BMI was 27 kg/m² and 93/135 (69%) patients had a BMI > 25 kg/m², among which 41/135 (30%) patients were obese (BMI > 30 kg/m²). The median time from symptoms onset to inclusion was eight days (IQR, 6 to 12).

The median worst daily WHO severity score was 4 (IQR = 4, 6). Forty-two patients (30%) required mechanical ventilation or deceased during their hospitalization (WHO score > 5, severe COVID-19) [27].

Nineteen patients (14%) were admitted to the intensive care unit (ICU) during their hospital stay. Twenty patients (14%) deceased within 90 days of admission, of whom seven (35%) had been admitted to the ICU.

### 3.2. Zinc status and its association with patients' clinical outcomes

The median plasma zinc concentration in our cohort was 57  $\mu$ g/dL (IQR = 45, 67) compared to a median of 74  $\mu$ g/dL (IQR = 64, 84) in the

**Table 1**Clinical and laboratory characteristics of 139 PCR-confirmed and hospitalized COVID-19 patients.

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Clinical and laboratory characteristics of study cohort ( $N = 139$ ).	No. Available	Value
Age (yr), median [IQR]	139	65 [54–77]
Gender - N (%)	139	
Female		48 (34)
Male		91 (65)
BMI (kg/m <sup>2</sup> ), median [IQR]	135	27,2
		[23–48]
Overweight (BMI $\geq$ 25)		52 (38)
Obese (BMI $\geq$ 30)		41 (30)
Smoking status, N (%)		. (,
Never		97 (70)
Former		35 (25)
Current		7 (5)
Number of coexisting conditions, N (%)	139	` '
0		5 (4)
1		19 (14)
2		19 (14)
>2		96 (69)
Coexisting conditions, N (%)	139	
Hypertension		90 (65)
Diabetes		50 (36)
Hypercholesterolemia		54 (39)
Obstructive sleep apnea syndrome		11 (8)
Obstructive lung diseases		25 (18)
Coronary artery disease		19 (14)
Congestive heart failure		23 (16)
Atrial fibrillation		14 (10)
Chronic liver disease		4 (3)
Chronic kidney disease		31 (22)
Malign neoplasm		9 (6)
Immune suppression		25 (18)
Neurological nonvascular		12 (9)
Stroke		8 (6)
Days with symptoms before inclusion, median	131	8 [6-12]
[IQR]		
Days of hospitalization before inclusion, median	139	1 [1-2]
[IQR]		
COVID-19 medical therapy before inclusion	139	108 (78)
Hydroxychloroquine		9 (6)
Dexamethasone		99 (71)
Severity of COVID-19 (worst WHO score)	139	
Median WHO score [IQR]		4 [4–6]
With score > 5 (severe disease), N (%)		42 (30)
cHIS score at inclusion	134	
Median [IQR]		2 [1–2]
With cHIS score ≧2, N (%)		74 (55)
Plasma zinc (Normal range: [80–120 µg/dL])	139	
Median level [IQR]		57 [45–67]
With zinc deficiency, N (%)		133 (96)

**Abreviations:** IQR = interquartile range, CI = confidence interval, OR = odds ratio.

control group. A Mann-Whitney  $\it U$  test confirms that this difference is significant ( $\it p < 0.001$ ).

Markedly, the absolute majority of COVID-19 inpatients (96%) were zinc deficient (<80  $\mu$ g/dL).

We observed that the plasma zinc concentration is weakly but significantly correlated (rho = -0.19; p=0.022) with the length of hospital stay (Fig. 2). However, the plasma zinc concentration was not significantly associated with the risk of mortality or morbidity (Tables 2a and 2b). Still, the median plasma zinc levels were systematically lower in mechanically ventilated compared to non-mechanically ventilated COVID-19 cases (-5.23  $\mu$ g/dL; 95% confidence interval [CI] = -10.57, 0.13; p=0.058), and in dead compared to surviving participants (-6. 68  $\mu$ g/dL; 95% CI = -13.68, 0.33; p=0.065).

## 3.3. External validation of the cHIS score as the measure of inflammatory status

The AUROC for mechanical ventilation and mortality was 0.687 and

0.674, respectively, which indicates a lack of discrimination capacity (AUC  $\leq$  0.7) [30]. Nevertheless, according to the results of the Hosmer-Lemeshow goodness of fit test [30], the score demonstrated a good calibration (p-value > 0.05), with p-values of 0.681 and 0.649 for mechanical ventilation and mortality, respectively.

Next, we aimed to identify a cHIS cut-off corresponding to CRS to define a binary (low- and high-risk for poor outcome) patient group regarding inflammatory status. Our data corroborate with the cut-off suggested by Webb et al. [28] demonstrating the best performance (Youden's J statistic closest to one) at a threshold of  $\geq 2[31]$ .

In our cohort, 74/134 (55%) patients had a cHIS score  $\geq$  2. Among patients with cHIS score  $\geq$  2, greater proportions of the patients needed mechanical ventilation (30/74, 40%) compared to patients with cHIS scores < 2 (11/60, 18%, p=0.006). Likewise, a higher mortality was observed (16/74, 22%) in the patient group with cHIS score  $\geq$  2 vs. cHIS scores < 2 (4/60, 7%, p=0.013).

Although our results support the association of CRS with severe COVID-19 and mortality, unlike Webb et al., we could not demonstrate an excellent discrimination capacity of the cHIS score in our cohort [28]. In contrast to that study, most of our patients (108/139, 78%) had received immunomodulatory therapies just before the laboratory evaluation as part of our standard of care and based upon WHO guidelines and findings of the Recovery trial [32]. Of note, Dexamethasone, part of our standard of care, reduces CRS and improves the outcome while inevitably lowers the cHIS score [32]. The cHIS score is probably more appropriate to identify high-risk patients in ambulatory practice or among the hypoxemic and dexamethasone-naïve patients.

### 3.4. Association of plasma zinc concentration and cHIS score

We were not able to show a significant association between plasma zinc levels and the development of CRS (defined as cHIS score  $\geqq$  2) in our cohort

The median concentration of plasma zinc was lower in patients with CRS compared to those without CRS (-5  $\mu$ g/dL; 95% CI = -10.5, 0.051; p = 0.048). However, with stringent and conservative Bonferroni adjustment, the results were not statistically significant.

### 4. Discussion and limitations of the study

The small sample size is the major limitation. Additionally, as the majority of the patients were zinc deficient, no room was left to compare zinc deficient and non-existing zinc sufficient patients. This could be partly attributable to the fact that the median age of our patient cohort is 65 years, which overlaps with the population at risk for zinc deficiency [33]. Moreover, our selection criteria included inpatients with PCR-confirmed SARS-Cov-2, which excluded ambulatory patients and those who did not require hospitalization. It is increasingly known that exacerbation and severity of illness begin within one week of symptom onset [34,35]. Therefore, it is not surprising that the median time from symptom onset to inclusion was eight days in our cohort. We thus realize that our selection criteria led to the enrichment of patients with more severe disease and at higher risk of poor outcomes, especially the elderly group.

Moreover, we compared the plasma zinc concentrations of COVID-19 patients with a retrospective control group of 1513 non-COVID-19 patients, selected regardless of gender or comorbidity during 2018. Except for age and plasma zinc concentration, we did not have access to complete individual data.

Correspondingly, the median age of patients in the COVID-19 cohort was higher (65 years) than the control population (49 years). However, we found that after applying age-adjusted logistic regression, the zinc concentrations and COVID-19 still remained in a negative relationship (OR = 0.92; 95% CI = 0.91, 0.94; p < 0.001). Additionally, we stratified plasma zinc levels according to the mutually existing age groups in the COVID-19 cohort and the non-COVID19 control group (30–44, 45–59,

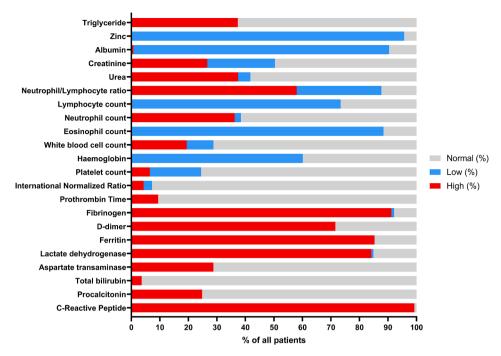
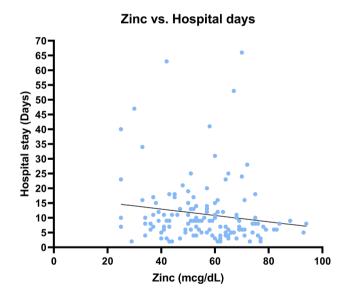


Fig. 1. Laboratory results are displayed as the percentage of deficient- versus sufficient patients in a cohort of 139 PCR confirmed and hospitalized COVID-19 patients.



**Fig. 2.** Correlation curve illustrating the reverse association (weak) between zinc plasma concentration and the length of hospital stay in confirmed COVID-19 inpatients (rho = -0.19; p = 0.022).

**Table 2a**Outcomes (defined as length of stay, mechanical ventilation ICU admission or deased) at 90-days for 139 PCR confirmed, hospitalized COVID-19 patients.

Outcomes at 90-days (N = 139)	Value
Length of hospital stay (days), median [IQR]	8 [5–13]
Mechanical ventilation, N (%)	42 (30)
ICU admission, N (%)	19 (14)
Deceased, N (%)	20 (14)

**Abreviations:** IQR = interquartile range, CI = confidence interval, OR = odds ratio.

**Table 2b** Association of outcomes and plasma zinc level.

Association of outcomes and plasma zinc level ( $N=139$ )	Value
Lenght of hospital stay and zinc level	Correlation coefficien (95% CI) = -0.19 (-0.35–0.03), <i>p</i> = 0.022*
Mechanical ventilation and zinc level	OR (95% CI) = 0.98 (0.95–1.00), $p$ = 0.058**
Mortality and zinc level	OR (95% CI) = 0.97 (0.94–1.00), $p$ = 0.065**

<sup>\*</sup> Spearman correlation

and 60 + years old). The median plasma zinc concentration in all three age-stratified groups of the COVID-19 cohort was significantly lower than in the corresponding control groups according to multiple comparisons across all age-stratified groups (55, 59, 57 µg/dL vs. 73, 73, 73 µg/dL, Kruskal-Wallis test followed by Dunn's post hoc test: p=0.0006, p<0.001 and p<0.001 respectively).

### 5. Conclusions

We report that an absolute majority of hospitalized COVID-19 patients are zinc deficient. We find a weak (reverse) correlation between plasma zinc concentration and the length of hospital stay, but not with mortality or morbidity. We independently validated cHIS as a score of COVID-19 severity, but we found no significant association between zinc plasma concentration and cHIS among patients who are almost all zinc deficient. As such, our findings do not support the role of zinc as a robust prognostic factor among hospitalized COVID-19 patients. We recommend zinc to be measured prospectively in a larger, non-COVID-19 population to assess the incidence of the disease and tissue-damaging CRS occurrence and related outcomes in zinc-deficient versus zinc-sufficient individuals.

Future studies should include both individuals who are freshly diagnosed with COVID-19 and ambulatory COVID-19 patients. As such, the prevalence of zinc deficiency can be determined at the disease onset,

<sup>\*\*</sup> Logistic regression

and the differential characteristics of those with hypozincemia can be unraveled. Additionally, consecutive zinc measurements throughout disease development can enable a more detailed analysis of the correlation between zinc levels, disease progression, and outcomes.

### 6. Ethics approval and consent to participate

Informed consent was obtained from all participants. The study was approved by the ethics committee of Erasme Hospital, EC identifier P2020/261.

### 7. Availability of data and materials

The datasets used and/or analysed during the current study are available from the corresponding author on reasonable request.

### CRediT authorship contribution statement

Gil Verschelden: Writing — original draft, Conceptualization, Methodology, Investigation, Data curation, Formal analysis. Maxim Noeparast: Writing — original draft, Conceptualization, Methodology, Formal analysis, Validation. Maryam Noparast: Writing — review & editing, Methodology, Formal analysis, Validation. Mathijs Christiaan Goossens: Writing — review & editing, Methodology, Formal analysis, Validation. Maïlis Lauwers: Resources. Frédéric Cotton: Resources. Charlotte Michel: Resources. Cleo Goyvaerts: Writing — review & editing, Methodology. Maya Hites: Writing — review & editing, Supervision.

### **Declaration of Competing Interest**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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