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## Risk of Incident Dementia following Metformin Initiation compared with Non-initiation or Delay of Antidiabetic Medication Therapy

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### Abstract

**Purpose:** Emerging evidence suggests metformin compared to sulfonylurea is associated with an 8–10% lower risk for dementia. Guidelines recommend metformin as initial diabetes treatment, but there is still the question of treatment timing. Thus, the risk of dementia associated with initiating metformin compared with not initiating or delaying treatment was examined.

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**Data Access, Responsibility, and Analysis:** Dr. Scherrer and Ms. Salas had full access to VHA data. Dr. Dublin had full access to KPW data. Dr. Scherrer and Ms. Salas take responsibility for the integrity of the data and the accuracy of the data analysis.

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**Methods:** A retrospective cohort study (1996–2015) was conducted with electronic health records from Veteran Health Affairs (VHA; n=112,845) and Kaiser Permanente Washington (KPW; n=14,333) healthcare systems. Patients were age  $\geq$  50 years, had a hemoglobin A1c (HbA1c) between 6.5 and  $<$  9.5 mg/dL, and did not have dementia or fills for anti-diabetic medications before cohort entry. Initiators started metformin monotherapy and non-initiators used no anti-diabetic medications in the 6- months after the first qualifying HbA1c. The primary outcome was incident dementia. Propensity scores and inverse probability of treatment weighting (IPTW) controlled for confounding in Cox proportional hazards models.

**Results:** During a median follow-up of 6.2 years in VHA and 6.8 years in KPW, there were 7,547 new dementia cases in VHA and 1,090 in KPW. After IPTW, there was no association between initiation of metformin (vs. no initial treatment) and incident dementia in VHA (HR=1.04; 95%CI:0.95–1.13) or KPW (HR=0.81; 95%CI:0.51–1.28). Results did not differ by age, baseline HbA1c, or race.

**Conclusions:** Results do not support initiating metformin earlier to prevent cognitive decline and, thus, may dampen enthusiasm for metformin as a potential anti-dementia drug. Randomized clinical trials could help clarify the relationship between metformin and cognitive decline.

### Keywords

Diabetes; Dementia; Metformin; Pharmacoepidemiology

## INTRODUCTION

Metformin is the recommended first-line treatment for type 2 diabetes (T2D) because it is effective and has few serious side effects. T2D is associated with a 70% increased risk for dementia<sup>1</sup> and there is emerging evidence that the treatment of T2D with metformin may attenuate this risk. Several studies in animal models of dementia have found that metformin can prevent the buildup of amyloid plaques and delay the onset of memory deficits.<sup>2–6</sup> However, studies in human populations that compared initiation of metformin treatment with any other control group have had mixed results,<sup>7</sup> while others comparing initiation of metformin to initiation of sulfonylurea treatment suggest that metformin therapy may be associated with only a modest, 8–10% reduction in risk for dementia.<sup>8,9</sup> It is unclear whether findings from the epidemiologic studies reflect a potential benefit from metformin treatment, potential harm from sulfonylureas, or residual confounding. Moreover, sulfonylureas are no longer a preferred first-line treatment for T2D because of the availability of safer and potentially more effective alternatives.<sup>10</sup>

Among older adults with T2D, the decision to initiate glucose-lowering therapy is influenced by the expected benefits, which may depend on life expectancy and the presence of chronic illness, as well as the risks of hypoglycemia and other adverse drug effects.<sup>11</sup> A wide range of treatment goals based on hemoglobin A1c (HbA1c) levels have been endorsed. Because delayed initiation of treatment is not uncommon in older patients with T2D,<sup>12,13</sup> it is possible to conduct a natural experiment evaluating the risks for dementia associated with the initiation of metformin treatment compared with no initiation of any treatment within months of reaching a glycemic threshold. We tested the hypothesis that

the early initiation of metformin treatment is associated with a decreased risk of developing dementia in electronic health record (EHR) data from a large cohort of Veteran Health Affairs (VHA) patients and attempted to replicate findings in a sample of patients from a second healthcare system, Kaiser Permanente Washington (KPW). Second, we examined whether results differed by age group, race and baseline HbA1c.

## METHODS

A retrospective cohort study was conducted with EHR data obtained from the VHA (10/01/1999–09/30/2015, fiscal years (FY) 2000–2015) and KPW (01/01/1996–09/30/2015) healthcare systems. The VHA cohort included patients with encounters from a nationally distributed network of all VHA hospitals and outpatient clinics, and also utilized merged Medicare enrollment database and claims data, except for Medicare Part D pharmacy data because the latter were available for only part of the study period. KPW is an integrated healthcare system providing care to patients in the Pacific Northwest. EHR data included ICD-9-CM diagnosis codes (inpatient, outpatient, Medicare), prescription fills, vital signs, lab results and demographic information. KPW data also included enrollment information. The project was approved by the Institutional Review Boards of participating institutions.

### Cohort eligibility

Detailed sampling approaches are shown in Figures 1 and 2. Both sites created cohorts in a two-step process. Eligibility criteria were first applied to all HbA1c laboratory results between 6.5% and < 9.5% (blue boxes). We chose this range because these patients would be considered candidates for pharmacologic therapy, and we expected to see some variability in exposure status. The first HbA1c meeting eligibility criteria in blue boxes was considered the index HbA1c, and the date of that test was considered the “index HbA1c date”. The remaining eligibility criteria were applied to this index HbA1c (green boxes). The exposure period, or the period that defined patients as initiating metformin therapy and non-initiation, was the 6-month period after the index HbA1c. This timing for the exposure period is supported by prior literature on early or delayed metformin treatment,<sup>14</sup> and allowed for classification of patients into substantially different groups based on metformin use. Also, this exposure window balanced the threat of misclassification of initiators as non-initiators with too short of an exposure window with the threat of left truncation of early dementia cases with too long of an exposure window. The end date of this 6-month exposure period defined Time 0 (baseline or start of follow-up, which is HbA1c index date + 6-months).

**VHA cohort selection (Figure 1).**—From a base sample of 2,311,519 patients age 50 years old with a yearly outpatient visit in FY00 and FY01, all patients with 1 HbA1c value between 6.5% to <9.5% in FY02–12 (n=558,1282) were selected. We chose 2012 to allow for 3 years of follow-up. Patients were subsequently eligible if they had 1 eligible HbA1c with a yearly visit in the two years prior (to ensure adequate baseline data), 1 visit in the period >6 months after an HbA1c, (to ensure continued contact with the VHA system), no ICD-9-CM codes or dementia medication fills (donepezil, rivastigmine, galantamine or memantine) within 2 years prior to an HbA1c, and no anti-diabetic medication fills, diabetes complications, or creatinine values contraindicating metformin use or indicating

kidney disease in the 1 year prior (n=130,569). The first HbA1c meeting the prior criteria was the index HbA1c. Patients were required to remain free of dementia and either initiate metformin monotherapy or remain free of diabetes treatment in the 6-month exposure period, and have > 90 days follow-up after Time 0 to allow accumulation of drug exposure (n=116,958). The > 90 days follow-up eligibility criteria means that patients must not have had the incident dementia outcome occur or be censored (as defined below) in the first 90 days following the start of follow-up. Patients with missing demographic data were excluded, resulting in an analytic sample of 112,845 VHA patients.

**KPW cohort selection (Figure 2).**—From a base sample of 402,579 patients enrolled at KPW in 1996 to 2012 and age ≥ 50 years old, there were 48,943 with 1 HbA1c between 6.5% to <9.5%. To ensure adequate availability of data, we required that a patient be enrolled in KPW for 2 years prior to and in the period >6 months after an HbA1c (n=40,590). All subsequent eligibility criteria were similar to those for VHA. Final analytic sample size for KPW was 14,333.

### Variable definitions

Detailed information on variable definitions are reported in Appendix A.

**Metformin use.**—Metformin use was measured with prescription fills from VHA and KPW pharmacies in the 6-month exposure period following the index HbA1c and up to Time 0 or baseline. Metformin initiators started metformin monotherapy as the initial treatment in the 6-month exposure period. Non-initiators did not initiate any anti-diabetes drug treatment in the 6-month exposure period (had no anti-diabetes drug fills). Patients initiating metformin monotherapy could have started other drugs later in the 6-month exposure period or at any time during follow-up. Non-initiators during the 6-month exposure period could have started metformin or another anti-diabetic medication after the 6-month exposure period during follow-up.

**Dementia.**—Incident dementia was defined as ≥ 2 diagnostic codes for dementia (see Appendix A) on two separate days in any 12-month period.

**Follow-up time.**—Follow-up was defined as days from Time 0 to dementia or censoring. VHA patients were censored at last available inpatient, outpatient, or Medicare claim. KPW patients were censored at disenrollment, death, or September 30, 2015.

**Covariates.**—All covariates were measured at the time of or prior to the index HbA1c; thus, all covariates occur prior to the 6-month exposure period and thus, prior to metformin initiation. Sociodemographic characteristics included age, race, gender, insurance type, neighborhood socioeconomic status (nSES) and marital status (only available for VHA). For KPW, due to the high rate of missing race, an ‘unknown’ category was included to retain patients for analyses. Health insurance type was defined as VHA-only insurance versus VHA plus other insurance for the VHA. This variable is a proxy for socioeconomic status and a control for access to care outside of the VHA, as it indicates whether additional forms of insurance other than what is already provided by the VHA were used. KPW defined

health insurance as having federal aid (Medicaid or Medicare) compared to commercial insurance. nSES was computed from zip code level census data and classified as low vs. high (Appendix A).<sup>15</sup> We controlled for HbA1c index year to adjust for the increasing prevalence of metformin prescribing in the latter half of the observation time.<sup>9</sup> Detection bias was addressed by adjusting for high (top 25<sup>th</sup> percentile) volume of health care use, which was based on the distribution of the average number of visits per month prior to HbA1c index.

Baseline creatinine was the most recent value available in the 12-months prior to HbA1c index date. Index HbA1c was categorized in 0.5% increments, ranging from 6.5 % to < 9.5% (e.g. 6.5–<7, 7–<7.5, etc.). Baseline chronic health conditions, psychiatric comorbidities, and medications were measured from the start of FY00 to HbA1c index for the VHA and during the 2 years prior to HbA1c index for KPW. Chronic physical and psychiatric health comorbidities were defined using ICD-9-CM diagnostic codes while obesity was defined using ICD-9-CM diagnostic codes and measured heights and weights (defined as body mass index  $\geq 30$  kg/m<sup>2</sup>) (Appendix A). Finally, sustained use ( $\geq 2$  fills in any 6-month period) of medications that may be associated with the risk of dementia (statins, anticholinergics, NSAIDs, antihypertensives) were measured using fills in the same time period as comorbidities.

### **Propensity scores (PS) and inverse probability of treatment weighting (IPTW)**

Baseline covariates and sociodemographic factors that may confound the association between initiating metformin and incident dementia were balanced across exposure groups using PS and IPTW. The PS was calculated using binary logistic regression, estimating the probability of metformin initiation versus no anti-diabetic medication initiation in the 6-month exposure period prior to start of follow-up given baseline covariates. Using the PS and marginal probability of exposure, which is the observed probability of metformin initiation vs. no anti-diabetic medication initiation, a stabilized weight for each patient was calculated.<sup>16,17</sup> Stabilizing weights reduces bias associated with extreme weights due to increased variance and retains the original sample size in the analysis, thereby preserving Type I error rate.<sup>18</sup> Stabilized weights should have a mean close to one and a maximum less than ten, thus, weights  $\geq 10$  were trimmed.<sup>19,20</sup> Adequate balance of baseline covariates between exposure groups after IPTW was assessed with the standardized mean difference (SMD%), where an SMD% < 10% was considered good balance.<sup>21</sup>

### **Primary Analysis**

All analyses were performed with SAS v9.4 (SAS Institute, Cary, NC) at a 2-tailed alpha=0.05. Analyses followed an intention-to-treat approach, where interest was given to the initial treatment effect of metformin initiation versus no anti-diabetes drug initiation in the 6-month exposure window. Unweighted bivariate analyses used chi-square tests for categorical variables, independent samples t-tests for continuous variables, and SMD% for effect size estimates for differences between groups. Cox proportional hazard models before and after IPTW calculated hazard ratios and 95% confidence intervals, overall and stratified by age. If residual bias existed after IPTW (i.e., SMD  $\geq 10\%$  after weighting), imbalanced covariates were added to final, weighted treatment effect models.<sup>19</sup> Effect modification

by age was tested with an interaction term of age group and metformin initiation versus no anti-diabetic initiation in overall models. Weighted models used robust, sandwich-type variance estimators to calculate confidence intervals and p-values.<sup>21</sup> The proportional hazard assumption was met for all models (p-value range: 0.10 to 0.90). Main results from VHA and KPW were combined using an inverse variance weighted fixed effects meta-analysis.<sup>22</sup>

## Secondary Analyses

Secondary analyses tested whether the association of metformin initiation compared to no anti-diabetic medication initiation was different by baseline HbA1c category or white versus African-American race. These analyses were limited to the VHA cohort because of small cell sizes due to the small number of dementia cases in KPW. Cox proportional hazard models were weighted to assure balance in each stratum. We considered effect modification to be present if there was a statistically significant interaction term ( $p < 0.05$ ) in overall models.

## RESULTS

Table 1 includes sample characteristics. Mean age of VHA patients was 62.6 years ( $\pm 9.0$ ) and the majority were male (96.8%), white (81.9%), and married (60.0%). Average age for KPW was 63.7 years ( $\pm 9.8$ ), most of the sample was white (82.1%), and about half were male. Average index HbA1c was 6.9 ( $\pm 0.5$ ) for VHA, and 7.1 ( $\pm 0.7$ ) for KPW.

Among VHA metformin initiators in the 6-month exposure period, 44.7% later used a sulfonylurea, 17.2% insulin and 7.8% another type of anti-diabetic drug. In KPW, these proportions were: 35.3% sulfonylurea, 20.3% insulin, and 0.7% other drugs. Among VHA drug non-initiators during the 6-month exposure period, 40.0% later used metformin, 25.7% a sulfonylurea, 9.1% insulin and 3.7% other drug. At KPW these proportions were 43.0% metformin, 33.5% sulfonylurea, 17.6% insulin, and 0.5% other drug. Among the non-initiators in the exposure period who later used metformin during follow-up, 80.0% in the VHA and 88.2% in KPW started metformin more than a year after the index HbA1c. Mean duration of metformin use for metformin initiators from initiation to end of follow-up was 1489 ( $\pm 1059$ ) days in VHA and 1680 ( $\pm 1322$ ) days in KPW. Among non-initiators, including those who never started metformin in follow-up, mean duration of metformin use was 462 ( $\pm 820$ ) days in VHA and 659 ( $\pm 1174$ ) in KPW.

The distribution of baseline covariates by treatment group is shown in Table 2. In the VHA, small differences were found in HbA1c index year for metformin initiators versus non-initiators ( $SMD < 10\%$ ) while in KPW there were large differences especially in the earlier and later index years. In both VHA and KPW, younger age, higher HbA1c, lower creatinine, and obesity were positively associated with metformin initiation while prevalence of ischemic heart disease, congestive heart failure and atrial fibrillation were negatively associated with metformin initiation. In the VHA, all psychiatric and substance use comorbidities were positively associated with metformin initiation while for KPW, only smoking was positively associated with initiation. Anticholinergic and NSAID medication use were positively associated with metformin initiation in the VHA while statin medication use was associated with initiation in KPW.



The overall median duration of follow up was 6.2 (IQR=4.0–8.5) years in VHA and 6.8 (IQR=3.8–10.8) years in KPW. Median follow-up time among metformin initiators was 6.4 (IQR=4.3–8.6) years in VHA and 5.7 (IQR=3.6–8.9) years in KPW. Median follow-up time for non-initiators was 6.1 (IQR=3.9–8.5) years in VHA and 7.0 (IQR=3.8–11.3) years in KPW. There were 7,547 new dementia cases at VHA and 1,090 at KPW during the follow-up period. The unadjusted incidence rate of dementia for metformin initiation compared with non-initiation in VHA was 8.2/1000PY and 11.4/1000PY respectively, and for KPW the incidence rates were 4.0/1000PY and 10.6/1000PY respectively (Table 3).

IPTW balanced all baseline covariates, both overall and in each age stratum, in the VHA (all SMD<10%). However, in KPW, index year, age group, insurance, HbA1c, creatinine, and obesity did not balance between exposure groups in the overall sample. There were also a number of other variables not balancing in analyses stratifying by age for KPW (see Appendix B). Thus, when carrying out statistical modeling of dementia risk in KPW, the non-balanced variables were included as additional adjustment variables in weighted models. In the VHA sample, stabilized weights ranged from 0.21 to 9.01 with a mean of 1.00 ( $\pm 0.38$ ). In KPW, after trimming six weights due to values >10, stabilized weights ranged from 0.14 to 9.86 with a mean of 0.98 ( $\pm 0.44$ ).

Results from Cox proportional hazard models are shown in Table 4. Age stratified results were not calculated for KPW because in some age strata, there were few dementia cases (<20) and/or a large imbalance for some covariates. After controlling for confounding in weighted model 2, metformin initiation versus non-initiation was not associated with dementia risk in the VHA (HR=1.04; 95%CI=0.95–1.13) or KPW (HR=0.81; 95%CI=0.51–1.28). In analyses stratified by age in the VHA, there was no association of metformin initiation versus non-initiation and dementia in any age stratum. Meta-analysis combining results from VHA and KPW yielded a HR of 1.02 (95%CI=0.90–1.16) comparing metformin initiation versus no initiation of anti-diabetic treatment.

Secondary analyses by HbA1c category and white versus African-American race are shown in Appendix C and Appendix D. All variables balanced and proportional hazards assumptions were met in each stratum. Results showed that there was no association between metformin initiation and incident dementia in any stratum and no differences in effect by baseline HbA1c or race.

## DISCUSSION

In two large integrated health systems with robust electronic health and pharmacy dispensing data, we observed no difference in the risk of incident dementia between patients who initiated metformin compared with those who did not start any anti-diabetic medication within 6-months after an eligible HbA1c. Although previous studies have indicated that initiation of metformin compared to a sulfonylurea is associated with a lower risk for dementia,<sup>8,9,23</sup> our results extend this research by addressing a more relevant clinical question of whether metformin initiation compared to treatment delay is associated with risk for dementia. These findings did not differ by age, race, or baseline HbA1c. In fact, there was no association of metformin initiation versus non-initiation and dementia in any age

stratum, suggesting that in older adults, the early initiation of metformin therapy for T2D is unlikely to substantially reduce the risk of dementia. Also, while there were substantial differences in index HbA1c between groups, there were no differences in treatment effect by index HbA1c. A previous study reported that early metformin treatment, defined as a prescription fill within 6-months of diabetes onset, compared to delayed metformin treatment was associated with a greater likelihood of good glycemic control, more weight loss and lower risk of treatment intensification.<sup>14</sup> If poor glycemic control results in a higher risk of dementia, we would expect delayed initiation of treatment for T2D to be associated with a greater risk for dementia. The current results and our previous findings demonstrating no change in the association after controlling for average monthly glycemic burden and hypoglycemic events after metformin initiation<sup>9,23</sup> suggest that any potential relationship between metformin and dementia risk is unlikely to be explained by glycemic control.

Because our goals did not include contrasting metformin to sulfonylurea initiation, it is not possible to directly compare the current study to previous research indicating metformin compared to sulfonylurea is associated with lower risk of dementia<sup>8,9,23</sup>. However, our previous studies taken together with the present results encourage speculation that sulfonylurea could be a risk factor for dementia. Other possibilities include unmeasured confounding associated with starting a medication versus delaying. Patients who seek medication as a preferred treatment as compared to delaying medication, potentially in exchange for lifestyle interventions or due to poor self-care, could differ on factors associated with incident dementia.

Strengths of our study include the use of high quality electronic health data for patients who receive all types of care within the same system. This reduces the loss of information which can occur when patients seek care from providers in different health networks and see different providers as insurance coverage changes. The merged VHA-Medicare data is a unique resource for tracking Veterans healthcare, and VHA is the only national health care system in the United States. To our knowledge, this is the first study to focus on the most clinically relevant question, that is, how dementia risk relates to timing of metformin initiation. Our design allowed for proper temporal ordering of exposure and outcome and we controlled for a large number of confounding variables. Last, the long observation period gave us better ability to detect rare outcomes, i.e. incident dementia.

Our study should be interpreted in light of several limitations. Because we relied on claims data to identify dementia, it is possible that some patients with dementia were missed and that others with qualifying diagnosis codes did not have a confirmed dementia diagnosis. If such misclassification were non-differential by treatment group, we would expect our results to be biased toward the null. Differential misclassification may have occurred if early initiators of metformin had increased contact with the healthcare system and were more likely to have their dementia recognized than patients not starting any medication; the resulting bias may have obscured a protective effect of early treatment. Although we controlled for many potential confounding variables, unmeasured confounding is possible and may impact our findings. Some of the subgroups defined by age, race and baseline HbA1c were small, which may have contributed to broader confidence intervals and



limited our ability to detect effect modification. Lastly, our results may not generalize to demographic groups and geographic regions not represented in the current study.

### Conclusions:

To our knowledge, our study is the first to examine the relationship between earlier initiation of metformin (vs. not initiating treatment) and the risk of dementia. Based on our findings, currently there is not compelling evidence to suggest that patients or physicians should consider starting metformin earlier than they otherwise would have with the goal of delaying cognitive decline. There is now a substantial body of literature including many observational studies examining the association between metformin use and dementia, using varied study designs and methods that have produced mixed results. It is suggested that if there is still enthusiasm about metformin's potential to prevent dementia, the best next step would be a randomized clinical trial.

### Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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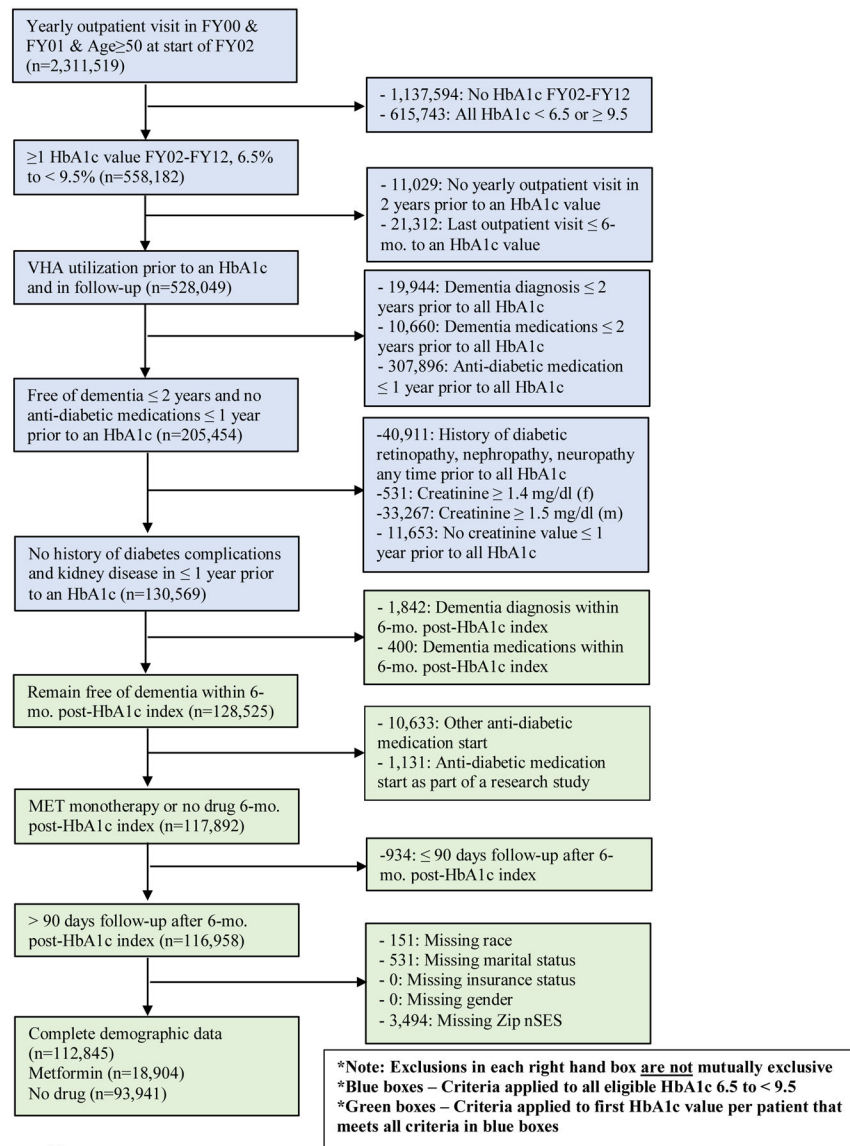
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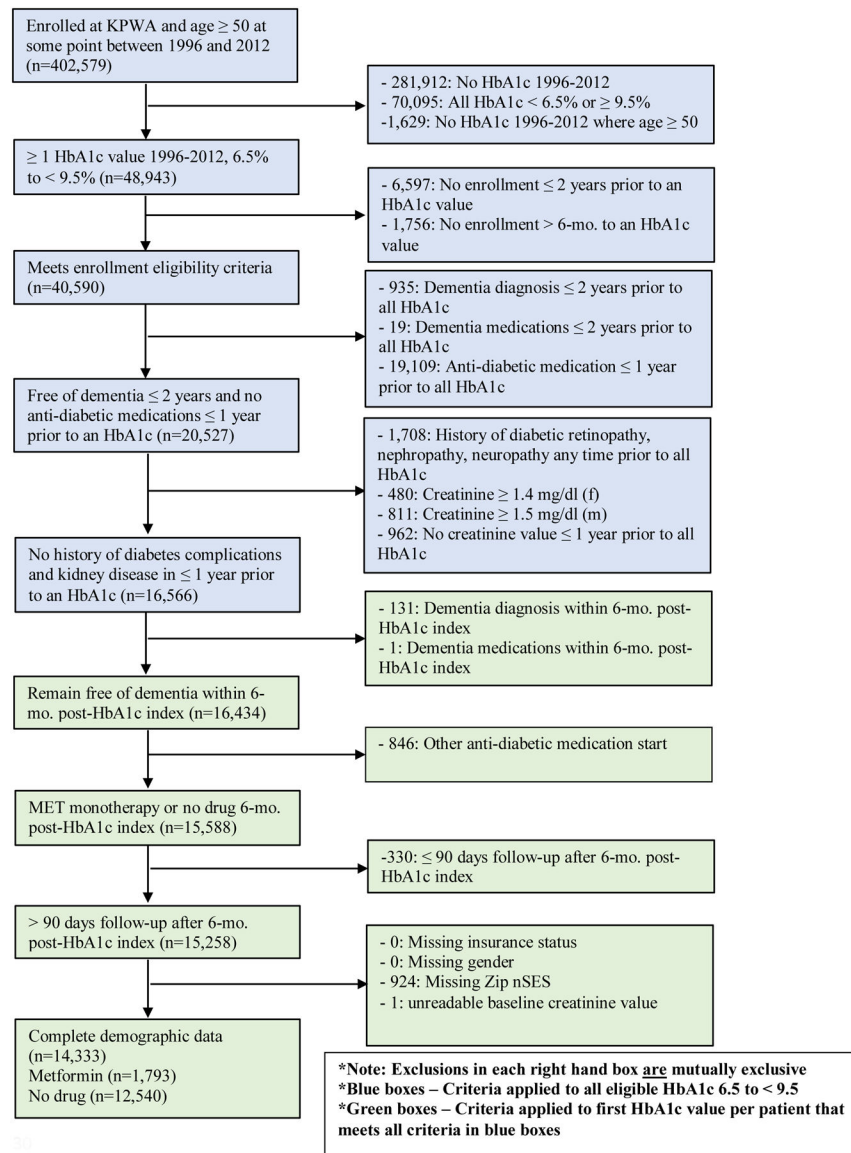
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**KEY POINTS**

- Metformin vs. sulfonylurea treatment in patients with diabetes lowers dementia risk but timing of first line treatment may be important.
- A retrospective cohort study in Veterans Health Administration and Kaiser Permanente data tested whether initiating metformin compared to not initiating or delaying treatment reduces dementia risk.
- Starting metformin early in the course of diabetes compared to not initiating or delaying anti-diabetic treatment was not associated with dementia.
- In the context of existing studies indicating metformin vs. sulfonylurea use is associated with lower risk for dementia, our results raise the possibility that sulfonylurea is a risk factor for cognitive decline.



**Figure 1.**  
Veterans Health Administration eligibility criteria



**Figure 2.**  
Kaiser Permanente Washington State eligibility criteria

**Table 1.**

Characteristics of patients 50 years old and free of dementia with an HbA1c between 6.5 and < 9.5 from the Veterans Health Administration (VHA; FY2002 to FY2012) and Kaiser Permanente Washington (KPW; 1996–2012) health care systems<sup>a</sup>

Covariates, mean(±sd) or n(%) <sup>b</sup>	VHA (n=112,845)	KPW (n=14,333)
<i>Index year</i>		
1996–1998	-	3653 (25.5)
1999–2000	-	1629 (11.4)
2001–2002	-	1457 (10.2)
2002–2004	13479 (11.9)	-
2003–2004	-	1539 (10.7)
2005–2006	36461 (32.3)	1941 (13.5)
2007–2008	26859 (23.8)	1639 (11.4)
2009–2012	-	2475 (17.3)
2009–2010	22676 (20.1)	-
2011–2012	13370 (11.9)	-
<i>Sociodemographic-related</i>		
Age (y)	62.6 (±9.0)	63.7 (±9.8)
Age category		
50–64 y	65532 (58.1)	8232 (57.4)
65–74 y	33167 (29.4)	3711 (25.9)
75 y	14146 (12.5)	2390 (16.7)
Male gender	109220 (96.8)	6971 (48.6)
Race		
White	92425 (81.9)	10542 (73.6)
Black	18206 (16.1)	695 (4.9)
Asian	--	1183 (8.3)
Other	2214 (2.0)	415 (2.9)
Unknown	--	1498 (10.5)
Race (excluding unknown)		
White	--	10542 (82.1)
Black	--	695 (5.4)
Asian	--	1183 (9.2)
Other	--	415 (3.2)
Missing race data	0 (0)	1498 (10.5)
Married	68404 (60.6)	-
Low nSES <sup>c</sup>	56422 (50.0)	7065 (49.3)
VHA only insurance	47440 (42.0)	-
Medicaid/Medicare	-	6060 (42.3)



Covariates, mean( $\pm$ sd) or n(%) <sup>b</sup>	VHA (n=112,845)	KPW (n=14,333)
High healthcare utilization	28211 (25.0)	3559 (24.8)
<i>Diabetes-related</i>		
HbA1c value	6.9 ( $\pm$ 0.5)	7.1 ( $\pm$ 0.7)
HbA1c category		
6.5 to < 7.0	80255 (71.1)	8028 (56.0)
7.0 to < 7.5	19204 (17.0)	2996 (20.9)
7.5 to < 8.0	7048 (6.3)	1488 (10.4)
8.0 to < 8.5	3237 (2.9)	881 (6.2)
8.5 to < 9.0	1920 (1.7)	569 (4.0)
9.0 to < 9.5	1181 (1.1)	371 (2.6)
Creatinine value	1.0 ( $\pm$ 0.2)	0.9 ( $\pm$ 0.2)
<i>Other comorbidities</i>		
Obesity	60277 (53.4)	6370 (44.4)
Hypertension	101308 (89.8)	7708 (53.8)
Hyperlipidemia	96157 (85.2)	4563 (31.8)
Stroke	7319 (6.5)	244 (1.7)
Ischemic heart disease	54731 (48.5)	2283 (15.9)
Congestive heart failure	19594 (17.4)	859 (6.0)
Atrial fibrillation	16219 (14.4)	939 (6.6)
Traumatic brain injury	6074 (5.4)	163 (1.1)
Vitamin B <sub>12</sub> deficiency	5390 (4.8)	119 (0.8)
<i>Psychiatric and substance comorbidities</i>		
Depression	26943 (23.9)	543 (3.8)
PTSD	17198 (15.2)	41 (0.3)
Other anxiety <sup>d</sup>	13253 (11.7)	337 (2.4)
Bipolar disorder	7187 (6.4)	168 (1.2)
Schizophrenia	5662 (5.0)	31 (0.2)
Nicotine abuse/dependence	56414 (50.0)	2182 (15.2)
Alcohol abuse/dependence	15627 (13.9)	241 (1.7)
Illicit drug abuse/dependence	7889 (7.0)	66 (0.5)
<i>Other medications<sup>e</sup></i>		
Statins	77221 (68.4)	3699 (25.8)
Anticholinergic drugs	45758 (40.6)	3170 (22.1)
NSAIDs	57696 (51.1)	2729 (19.0)
Antihypertensive drugs	98087 (86.9)	8875 (61.9)

<sup>a</sup>FY = fiscal year; HbA1c = hemoglobin A1c; nSES = neighborhood socioeconomic status; NSAID = nonsteroidal anti-inflammatory drug; PTSD = posttraumatic stress disorder.

<sup>b</sup>VHA measures covariates from start of FY00 to index HbA1c while KPW measures from 2 years prior and up to index HbA1c.

<sup>c</sup>Low nSES = top 50th percentile of factor score from 7 measures obtained from 2009–2013 5-year census estimates from the American Community Survey.

<sup>d</sup>Other anxiety disorders = panic disorder, obsessive-compulsive disorder, social phobia, generalized anxiety disorder, anxiety NOS.

<sup>e</sup>Other medications associated with dementia = sustained use on or before index HbA1c ( 2 fills in a 6-mo period).

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**Table 2.**

Characteristics of patients 50 years old and free of dementia with an HbA1c between 6.5 and < 9.5 from the Veterans Health Administration (VHA; FY2002 to FY2012) and Kaiser Permanente Washington (KPW; 1996–2012) health care systems, by metformin initiation status in 6-months after HbA1c index, before inverse probability of treatment weighting<sup>a</sup>

Covariates, mean(±sd) or n(%) <sup>b</sup>	VHA (n=112,845)				KPW (n=14,333)			
	Non-initiators (n=93,941)	MET Initiators (n=18,904)	p-value	SMD % <sup>c</sup>	Non-initiators (n=12,540)	MET Initiators (n=1,793)	p-value	SMD % <sup>c</sup>
<i>Index_year</i>			<.0001				<.0001	
1996–1998	-	-	-	-	3526 (28.1)	127 (7.1)	-	-57.5%
1999–2000	-	-	-	-	1529 (12.2)	100 (5.6)	-	-23.4%
2001–2002	-	-	-	-	1323 (10.6)	134 (7.5)	-	-10.8%
2002–2004	11591 (12.3)	1888 (10.0)		-7.5%	-	-		-
2003–2004	-	-		-	1320 (10.5)	219 (12.2)		5.3%
2005–2006	30475 (32.4)	5986 (31.7)		-1.7%	1643 (13.1)	298 (16.6)		9.9%
2007–2008	21953 (23.4)	4906 (26.0)		6.0%	1291 (10.3)	348 (19.4)		25.8%
2009–2012	-	-		-	1908 (15.2)	567 (31.6)		39.5%
2009–2010	18739 (20.0)	3937 (20.8)		2.2%	-	-		-
2011–2012	11183 (11.9)	2187 (11.6)		-1.0%	-	-		-
<i>Sociodemographic-related</i>								
Age (y)	63.2 (±9.1)	59.5 (±7.8)	<.0001	-43.5%	64.3 (±9.9)	59.9 (±7.8)	<.0001	-49.0%
Age category								
50–64 y	51741 (55.1)	13791 (73.0)		37.9%	6896 (55.0)	1336 (74.5)		41.7%
65–74 y	29114 (31.0)	4053 (21.4)	<.0001	-21.9%	3360 (26.8)	351 (19.6)	<.0001	-17.2%
75 y	13086 (13.9)	1060 (5.6)		-28.3%	2284 (18.2)	106 (5.9)		-38.5%
Male gender	90990 (96.9)	18230 (96.4)	.003	-2.4%	6093 (48.6)	878 (49.0)	.764	0.8%
Race								
White	76735 (81.7)	15690 (83.0)		3.5%	9229 (73.6)	1313 (73.2)		-0.8%
Black	15336 (16.3)	2870 (15.2)	.0001	-3.1%	616 (4.9)	79 (4.4)		-2.4%
Asian	-	-		-	1039 (8.3)	144 (8.0)	.611	-0.9%
Other	1870 (2.0)	344 (1.8)		-1.3%	362 (2.9)	53 (3.0)		0.4%

Covariates, mean(±sd) or n(%) <sup>b</sup>	VHA (n=112,845)				KPW (n=14,333)			
	Non-initiators (n=93,941)	MET Initiators (n=18,904)	p-value	SMD % <sup>c</sup>	Non-initiators (n=12,540)	MET Initiators (n=1,793)	p-value	SMD % <sup>c</sup>
Unknown	-	-		-	1294 (10.3)	204 (11.4)		3.4%
Married	57601 (61.3)	10803 (57.2)	<.0001	-8.5%	-	-		-
Low nSES <sup>d</sup>	46677 (49.7)	9745 (51.6)	<.0001	3.7%	6116 (48.8)	949 (52.9)	.001	8.3%
VHA only insurance	37858 (40.3)	9582 (50.7)	<.0001	21.0%	-	-		-
Medicaid/Medicare	-	-		-	5573 (44.4)	487 (27.2)	<.0001	-36.6%
High healthcare utilization	23108 (24.6)	5103 (27.0)	<.0001	5.5%	3177 (25.3)	382 (21.3)	.0002	-9.5%
<u>Diabetes-related</u>								
HbA1c value	6.8 (±0.4)	7.2 (±0.7)	<.0001	72.5%	7.0 (±0.6)	7.7 (±0.8)	<.0001	97.6%
HbA1c category								
6.5 to < 7.0	72042 (76.7)	8213 (43.5)		-72.2%	7623 (60.8)	405 (22.6)		-84.0%
7.0 to < 7.5	14162 (15.1)	5042 (26.7)		28.8%	2641 (21.1)	355 (19.8)		-3.1%
7.5 to < 8.0	4396 (4.7)	2652 (14.0)	<.0001	32.5%	1163 (9.3)	325 (18.1)	<.0001	26.0%
8.0 to < 8.5	1789 (1.9)	1448 (7.7)		27.2%	557 (4.4)	324 (18.1)		44.2%
8.5 to < 9.0	990 (1.1)	930 (4.9)		22.9%	356 (2.8)	213 (11.9)		35.2%
9.0 to < 9.5	562 (0.6)	619 (3.3)		19.5%	200 (1.6)	171 (9.5)		35.2%
Creatinine value	1.1 (±0.2)	1.0 (±0.2)	<.0001	-18.9%	1.0 (±0.2)	0.9 (±0.2)	<.0001	-26.4%
<u>Other comorbidities</u>								
Obesity	47925 (51.0)	12352 (65.3)	<.0001	29.4%	5313 (42.4)	1057 (58.9)	<.0001	33.6%
Hypertension	84366 (89.8)	16942 (89.6)	.441	-0.6%	6684 (53.3)	1024 (57.1)	.003	7.7%
Hyperlipidemia	80162 (85.3)	15995 (84.6)	.011	-2.0%	3890 (31.0)	673 (37.5)	<.0001	13.8%
Stroke	6232 (6.6)	1087 (5.8)	<.0001	-3.7%	216 (1.7)	28 (1.6)	.622	-1.3%
Ischemic heart disease	46389 (49.4)	8342 (44.1)	<.0001	-10.5%	2074 (16.5)	209 (11.7)	<.0001	-14.1%
Congestive heart failure	16873 (18.0)	2721 (14.4)	<.0001	-9.7%	803 (6.4)	56 (3.1)	<.0001	-15.5%
Atrial fibrillation	14167 (15.1)	2052 (10.9)	<.0001	-12.6%	864 (6.9)	75 (4.2)	<.0001	-11.9%
Traumatic brain injury	5053 (5.4)	1021 (5.4)	.902	0.1%	144 (1.2)	19 (1.1)	.740	-0.9%
Vitamin B <sub>12</sub> deficiency	4657 (5.0)	733 (3.9)	<.0001	-5.3%	100 (0.8)	19 (1.1)	.252	2.7%
<u>Psychiatric and substance comorbidities</u>								

Covariates, mean(±sd) or n(%) <sup>b</sup>	VHA (n=112,845)				KPW (n=14,333)			
	Non-initiators (n=93,941)	MET Initiators (n=18,904)	p-value	SMD % <sup>c</sup>	Non-initiators (n=12,540)	MET Initiators (n=1,793)	p-value	SMD % <sup>c</sup>
Depression	21398 (22.8)	5545 (29.3)	<.0001	15.0%	472 (3.8)	71 (4.0)	.685	1.0%
PTSD	13381 (14.2)	3817 (20.2)	<.0001	15.8%	32 (0.3)	9 (0.5)	.067	4.0%
Other anxiety <sup>e</sup>	10757 (11.5)	2496 (13.2)	<.0001	5.3%	287 (2.3)	50 (2.8)	.191	3.2%
Bipolar disorder	5608 (6.0)	1579 (8.4)	<.0001	9.3%	139 (1.1)	29 (1.6)	.061	4.4%
Schizophrenia	4523 (4.8)	1139 (6.0)	<.0001	5.4%	25 (0.2)	6 (0.3)	.249	2.6%
Nicotine dependence/smoker	46163 (49.1)	10251 (54.2)	<.0001	10.2%	1862 (14.8)	320 (17.8)	.001	8.1%
Alcohol abuse/dependence	12432 (13.2)	3195 (16.9)	<.0001	10.3%	214 (1.7)	27 (1.5)	.536	-1.6%
Illicit drug abuse/dependence	6218 (6.6)	1671 (8.8)	<.0001	8.3%	55 (0.4)	11 (0.6)	.306	2.4%
<i>Other medications<sup>f</sup></i>								
Statins	64341 (68.5)	12880 (68.1)	.335	-0.8%	3168 (25.3)	531 (29.6)	<.0001	9.8%
Anticholinergic drugs	37148 (39.5)	8610 (45.6)	<.0001	12.2%	2776 (22.1)	394 (22.0)	.876	-0.4%
NSAIDs	46725 (49.7)	10971 (58.0)	<.0001	16.7%	2389 (19.1)	340 (19.0)	.929	-0.2%
Antihypertensive drugs	81594 (86.9)	16493 (87.3)	.147	1.2%	7731 (61.6)	1144 (63.8)	.079	4.5%

<sup>a</sup>FY = fiscal year; MET=metformin; HbA1c = hemoglobin A1c; nSES = neighborhood socioeconomic status; NSAID = nonsteroidal anti-inflammatory drug; PTSD = posttraumatic stress disorder.

<sup>b</sup>VHA measures covariates from start of FY00 to index HbA1c while KPW measures from 2 years prior and up to index HbA1c.

<sup>c</sup>SMD% = standardized mean difference percentage comparing metformin initiation to non-initiation; SMD% >10 was considered a large difference.

<sup>d</sup>low nSES = top 50th percentile of factor score from 7 measures obtained from 2009–2013 5-year census estimates from the American Community Survey.

<sup>e</sup>Other anxiety disorders = panic disorder, obsessive-compulsive disorder, social phobia, generalized anxiety disorder, anxiety

<sup>f</sup>Other medications associated with dementia = sustained use on or before index HbA1c ( 2 fills in a 6-mo period).

**Table 3.**

Dementia incidence rates (cumulative % and per 1000 person-years (PY)), overall and by age for patients 50 years old in VHA and KPW who did vs. did not initiate metformin within 6-months after HbA1c index

Age group	VHA (n=112,845)			KPW (n=14,333)		
	Total n	Dementia events, n(%)	Incidence rate per 1000PY	Total n	Dementia events, n(%)	Incidence rate per 1000PY
All ages						
Overall	112,845	7,547 (6.7%)	10.8/1000PY	14,333	1,090 (7.6%)	9.9/1000PY
Non-initiators	93,941	6,561 (7.0%)	11.4/1000PY	12,540	1,044 (8.3%)	10.6/1000PY
MET Initiators	18,904	986 (5.2%)	8.2/1000PY	1,793	46 (2.6%)	4.0/1000PY
Age 50-64						
Overall	65,532	1,608 (2.5%)	3.8/1000PY	8,232	127 (1.5%)	2.0/1000PY
Non-initiators	51,741	1,282 (2.5%)	3.8/1000PY	6,896	114 (1.7%)	2.1/1000PY
MET Initiators	13,791	326 (2.4%)	3.6/1000PY	1,336	13 (1.0%)	1.5/1000PY
Age 65-74						
Overall	33,167	3,330 (10.0%)	16.6/1000PY	3,711	406 (10.9%)	13.2/1000PY
Non-initiators	29,114	2,875 (9.9%)	16.4/1000PY	3,360	389 (11.6%)	13.7/1000PY
MET Initiators	4,053	455 (11.2%)	18.1/1000PY	351	17 (4.8%)	7.1/1000PY
Age 75						
Overall	14,146	2,609 (18.4%)	36.3/1000PY	2,390	557 (23.3%)	34.9/1000PY
Non-initiators	13,086	2,404 (18.4%)	36.2/1000PY	2,284	541 (23.7%)	35.2/1000PY
Initiators	1,060	205 (19.3%)	37.3/1000PY	106	16 (15.1%)	26.0/1000PY

VHA = Veterans Health Administration; KPW = Kaiser Permanente Washington; PY=person-years; MET=metformin



Results from Cox proportional hazards models estimating the association of metformin initiation vs. no anti-diabetic medication initiation and incident dementia, overall and stratified by age for patients 50 years old

**Table 4.**

Age group	Model 1 – Crude <sup>1</sup> MET initiation vs. non-initiation		Model 2–Weighted MET initiation vs. non-initiation	
	VA patients (n=112,845)	KPW patients <sup>2</sup> (n=14,333)	VA patients <sup>3</sup> (n=112,845)	KPW patients <sup>2,4</sup> (n=14,333)
All ages	<b>0.72 (0.67–0.77)</b>	<b>0.46 (0.34–0.62)</b>	1.04 (0.95–1.13)	0.81 (0.51–1.28)
Age 50–64 years	0.95 (0.85–1.08)	-	0.98 (0.84–1.13)	-
Age 65–74 years	1.09 (0.98–1.20)	-	1.06 (0.94–1.20)	-
Age 75 years	1.02 (0.89–1.18)	-	1.05 (0.89–1.25)	-
<i>MET*Age, p-value</i> <sup>5</sup>	<i>p=0.233</i>	-	<i>p=0.642</i>	-

Note: VHA= Veterans Health Administration; KPW=Kaiser Permanente Washington; MET=Metformin; HR=hazard ratio; CI=confidence interval

<sup>1</sup>Unweighted data

<sup>2</sup>KPW: No age-stratified results due to small number of dementia cases

<sup>3</sup>Inverse probability of treatment weighted data with robust, sandwich-type variance estimators

<sup>4</sup>KPW: Weighted model + non-balanced variables (age, Index year, federal insurance, baseline HbA1c, baseline creatinine, obesity)

<sup>5</sup>Test interaction of Metformin\*Age – assess whether age specific hazard ratios are different

Bold text indicates statistically significant hazard ratio