

Mutations Altering the Predicted Secondary Structure of a Chloroplast 5' Untranslated Region Affect Its Physical and Biochemical Properties as Well as Its Ability To Promote Translation of Reporter mRNAs Both in the *Chlamydomonas reinhardtii* Chloroplast and in *Escherichia coli*

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Random mutations were generated in the sequence for the 5' untranslated region (5'UTR) of the *Chlamydomonas reinhardtii* chloroplast *rps7* mRNA by PCR, the coding sequence for the mutant leaders fused upstream of the *lacZ'* reporter in pUC18, and transformed into *Escherichia coli*, and white colonies were selected. Twelve single base pair changes were found at different positions in the *rps7* 5'UTR in 207 white colonies examined. Seven of the 12 mutant leaders allowed accumulation of abundant *lacZ'* message. These mutant *rps7* leaders were ligated into an *aadA* expression cassette and transformed into the chloroplast of *C. reinhardtii* and into *E. coli*. In vivo spectinomycin-resistant growth rates and in vitro aminoglycoside adenyltransferase enzyme activity varied considerably between different mutants but were remarkably similar for a given mutant expressed in the *Chlamydomonas* chloroplast and in *E. coli*. The variable effect of the mutants on *aadA* reporter expression and their complete abolition of *lacZ'* reporter expression in *E. coli* suggests differences in the interaction between the 5'UTR of *rps7* and *aadA* or *lacZ'* coding regions. Several *rps7* 5'UTR mutations affected the predicted folding pattern of the 5'UTR by weakening the stability of stem structures. Site-directed secondary mutations generated to restore these structures in the second stem suppressed the loss of reporter activity caused by the original mutations. Additional site-directed mutations that were predicted to further strengthen (A-U→G-C) or weaken (G-C→A-U) the second stem of the *rps7* leader both resulted in reduced reporter expression. This genetic evidence combined with differences between mutant and wild-type UV melting profiles and RNase T1 protection gel shifts further indicate that the predicted wild-type folding pattern in the 5'UTR is likely to play an essential role in translation initiation.

Translational regulation plays a major role in controlling the expression of chloroplast-encoded genes (7, 13, 18, 26, 29). While the translation machinery of the chloroplast shares many functional characteristics with that of its prokaryotic relatives (14, 16), several differences are beginning to emerge with respect to the well-defined *Escherichia coli* model (2, 11, 27, 38). Interactions between evolutionarily conserved Shine-Dalgarno (SD) sequences (GGAGG) found in the vast majority of genes in *E. coli* 7 ± 2 nucleotides (nt) upstream of the initiator AUG codon and anti-SD sequences near the 3' end of the 16S rRNA (CCUCC) are known to be essential for translational initiation in this bacterium. Although the anti-SD sequences in the chloroplast 16S rRNAs are highly conserved, SD-like sequences in the leaders of chloroplast mRNAs vary significantly in location, size, and nucleotide composition or are absent altogether (3, 8, 31). Neither elimination of the variable putative SD sequences in chloroplast leaders by deletion mutagenesis (1, 19, 22, 32) or replacement mutagenesis (8, 21) nor insertion of canonical SD sequences (8) has significant effects on chloroplast gene expression, indicating that translation initiation in the chloroplast occurs largely in an SD-inde-

pendent manner. Surprisingly, the same chloroplast reporter constructs lacking SD sequences are also expressed efficiently in *E. coli* and addition of canonical SD sequences to the leaders of these constructs only modestly enhances translation of these mRNAs by the bacterial protein synthesizing system (8).

In contrast to the majority of *E. coli* mRNAs, chloroplast mRNAs contain fairly long, AU-rich 5' untranslated regions (5'UTRs) with little primary sequence conservation that appear to play a major role in the regulation of translation initiation (10, 13, 18). Analyses of representative chloroplast 5'UTRs, to determine likely secondary structure based on minimum energy models (42), predict that these leaders are highly folded, with several having large stem-loop structures directly upstream of the initiation codon (10, 18). Interactions between these structures and the translational apparatus of the chloroplast presumably allow for the regulation of translation initiation.

We have carried out a random PCR mutagenesis on the sequence for the 5'UTR of the chloroplast *rps7* gene from *Chlamydomonas reinhardtii* that specifies a protein of the small subunit of the chloroplast ribosome. The population of *rps7* mutant leaders was then fused to the *E. coli lacZ'* coding sequence in a pUC18 plasmid, and bacterial transformants that were unable to express β-galactosidase on X-Gal (5-bromo-4-chloro-3-indolyl-β-D-galactopyranoside) plates were identified as white colonies. Twelve of the 207 white colony mutants were found to have alterations in the coding sequence for the first 225 nt upstream of the initiation AUG codon of the *rps7*

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5'UTR. Seven *rps7* mutants that did not alter levels of *lacZ'* mRNA accumulation affected expression of the aminoglycoside acetyltransferase (AAD) reporter protein to various degrees in both the *C. reinhardtii* chloroplast and in *E. coli*. The marked difference between the effect of a given mutant *rps7* leader on translation of the *lacZ'* and *aadA* reporter mRNAs in *E. coli* suggests that interactions between the coding sequences and the 5'UTR sequence play a role in the regulation of translation initiation. Three of the seven mutants altered the predicted structure of the second stem-loop upstream of the AUG initiation codon. Complementary nucleotide changes made in these mutants to reconstitute this predicted wild-type stem-loop structure restored spectinomycin-resistant growth and AAD enzyme activity. Additionally, site-directed mutants with base pair changes that strengthened or weakened the second predicted stem-loop of the *rps7* 5'UTR decreased growth and AAD enzyme activity in the *C. reinhardtii* chloroplast. These data strongly suggest that the secondary structure of this *rps7* leader in vivo involves these predicted stem-loop structures that are essential for normal translational regulation.

Changes in the predicted secondary and tertiary structures of the mutant 5'UTRs were also supported by differences in the RNA melting-reannealing profiles and RNase T1 gel shift protection patterns from those of wild-type and suppressed mutant strains. The combined genetic and physical evidence supports the hypothesis that the wild-type *rps7* leader sequence resulting in the predicted folding pattern for the second stem-loop is essential for normal function.

MATERIALS AND METHODS

Strains and culture conditions. The *C. reinhardtii* *atpB* deletion mutant *ac-u-c-2-21* (5) was used as the recipient for chloroplast transformation. Strain CC-3277 carried the chloroplast reporter construct *rps7::aadA::rbcL* with the wild-type *rps7* leader (8). This strain and derived strains carrying *rps7* leader mutations fused to the *aadA* reporter construct (with CC prefix) and plasmids with the mutant chimeric constructs (with P prefix) described in this paper are available from Elizabeth Harris (*Chlamydomonas* Genetics Center, Duke University, Durham, N.C.). *C. reinhardtii* and *E. coli* strains were grown and harvested for transformation and biochemical and molecular analyses as described previously (8, 15).

Mutagenesis of the *rps7* chloroplast leader. The chloroplast *rps7::aadA::rbcL* reporter gene (P-655) was constructed in pUC18 as described previously (8). To generate loss-of-function mutants, random mutagenesis was conducted in vitro on the 625-nt fragment from P-655 upstream of the translation start site (AUG) in the chloroplast *rps7* construct from *C. reinhardtii*. This fragment was shown to contain the 266-nt 5'UTR by primer extension (data not shown) as well as the chloroplast promoter and an upstream transcriptional enhancer (8a). Mutagenic PCR mixtures (24, 41) included 10 mM Tris-HCl (pH 8.3), 50 mM KCl, 1.5 mM ZnCl₂, 62 μM deoxynucleoside triphosphates (dNTPs; a 20 μM concentration of each of three dNTPs and a 2 μM concentration of a fourth to generate a 10:1 nucleotide imbalance), 10 μl (0.1 ng/μl) of *rps7* 5' leader template, 30 pmol of each of the primers, 10 μl of 2-mercaptoethanol, 10 μl of dimethyl sulfoxide, 5 U of *Taq* polymerase, and distilled water to 200 μl. Thirty PCR cycles of 94°C for 1 min, 59°C for 2 min, and 72°C for 3 min were carried out.

A population of the mutagenized 625-nt *rps7* fragments was inserted between the *lac* promoter and the *lacZ'* coding sequence by using *Xho*I and *Nco*I restriction sites in pUC18 and Amp^r transformants selected in *E. coli*. Recombinant *E. coli* strains containing mutant *rps7* fragments blocking expression of *lacZ'* mRNA were identified as white colonies by an X-Gal plate assay (33). The first 225 nt of the 266-nt A-T-rich *rps7* leader region from 207 white colony mutants were sequenced (A-T bases only) from double-stranded template by using the Sequenase II system (Amersham) to determine if specific alterations were introduced in this region. The 625-nt *rps7* fragments from the 12 mutants identified were then sequenced by using appropriate primers and the Perkin-Elmer Dye Terminator Cycle Sequencing System with AmpliTaq DNA polymerase on an ABI Prism DNA sequencer. The 625-nt *rps7* fragments containing mutations were reinserted into the chloroplast *aadA* expression vector (P-655), replacing the excised wild-type *rps7* fragment as described previously (8).

Selected mutant *rps7* leaders were subjected to site-directed PCR mutagenesis by using a Stratagene QuickChange site-directed mutagenesis kit. Primers for mutagenic amplification of the DNA fragments included the following: -36A→G (5'TCTATTTAACCGTTTAGTAAAT3'), -36A→C (5'TCTATTAAACCTTTAGTAAAT3'), -92A→G (5'GTCCTATTAAGCCATCAT

AAC3'), -65U→A (5'AATTGCTTATTAGGTATGAAAG3'), and -62U→A (5'GCTTATTGGAATGAAAGTTTG3'). To generate the mutant weakening the second stem-loop structure, primers with nucleotide changes at -63G→A and -64G→A (5'CTAAAATTGCTTTAATATGAAAGTTTG3') as well as at -91C→U and -90C→U (5'GTCCTATTAATAATTATACATAAC3') were used. To create the mutant strengthening the second stem-loop, we employed primers to generate nucleotide changes at -83U→C and -82A→G (5'AACCATCACACGACTAAATTG3') as well as at -69U→C and -68A→G (5'ACTAAAATTGCTCGTTTGGTATG3'). Mutant nucleotides are indicated in italics. The presence of the new mutations at position -36, of the second site mutations at positions -62, -65, and -92, and of the stem-weakening and -strengthening mutations in these *rps7* fragments was verified by DNA sequence analysis with the Sequenase II system, and the site-directed mutant fragments were cloned into the *aadA* expression vector P-655 as described above.

To determine whether alterations of G-C base pairs in the first 225 nt of the *rps7* leader or nucleotide changes elsewhere in the 400 nt upstream of the mutagenized fragment were blocking expression of the *lacZ'* reporter in the remaining white colony mutants, we sequenced the entire 625-nt fragment in 20 additional randomly selected isolates by using the ABI sequencer.

Transformation of the *aadA* reporter constructs with mutant *rps7* leaders into the *C. reinhardtii* chloroplast and into *E. coli*. The nonphotosynthetic *C. reinhardtii* strain CC-373 was transformed by the biolistic method (4) with DNA from a chimeric pUC18 plasmid containing the wild-type *atpB* gene fused to *aadA* reporter constructs with mutant *rps7* leaders (8). Photosynthetically competent transformants were selected on minimal HS medium as previously described (4, 5, 8). Homologous integration of the donor constructs at the *atpB* locus of the recipients' chloroplast genome restored the function of the single-copy *atpB* gene. Transformants homoplasmic for the donor *atpB* gene were obtained after relatively few cell generations on HS medium (5). The linked reporter constructs, which integrate into the adjacent inverted repeat sequence, copy correct at high frequency (4). Continued selection of the wild-type *rps7::aadA::rbcL* chloroplast transformants on spectinomycin resulted in cells homoplasmic for two copies of this insert, whereas three or four rounds of subcloning in the absence of spectinomycin were necessary to obtain isolates homoplasmic for two copies of the insert with mutant leaders. Once homoplasmic isolates were obtained, they appeared to be stable. Only a single transformant with each mutant reporter construct was analyzed since previous studies demonstrated that insertion of the *aadA* reporter at this site in the chloroplast genome yielded independent transformants with no significant variation in AAD expression (8). *E. coli* cells (XL1-Blue) were transformed by electroporation with the same mutant reporter constructs, and transformants were selected on Luria-Bertani (LB) plates containing 100 μg of ampicillin per ml by using standard techniques (33). Chimeric constructs in *E. coli* were maintained as multicopy plasmids under ampicillin selection at 100 μg/ml. A single *E. coli* transformant was analyzed for each mutant leader since individual transformants of the chimeric reporter construct display minimal variability in expression (8).

Northern and Southern analysis of the transformants and primer extension. DNA was isolated from transformed cells of *E. coli* (33) and *C. reinhardtii* (23) and digested with *Bam*HI. Chloroplast DNA fragments were separated on 0.9% agarose gels, transferred to a Magna NT nylon membrane (MSI Scientific) with a Stratagene blot apparatus, and probed with the cloned chloroplast *Bam*HI fragment 10 that covers the site of integration (8). RNA was extracted from *E. coli* and *C. reinhardtii* cells with 4 M guanidine isothiocyanate-25 mM sodium citrate (pH 7.0)-0.1 M 2-mercaptoethanol-0.05% Sarkosyl as described previously (6). RNA was separated on 1.1% agarose-2.2 M formaldehyde gels, blotted onto Magna NT membranes (MSI Scientific), and probed with an 0.81-kb *aadA* fragment or an 0.41-kb *lacZ'* fragment from the respective coding sequences. For each DNA and RNA sample, nucleic acid concentrations were measured spectrophotometrically and standardized to ensure equal loading of lanes. Stringent hybridization and washing conditions were used on both the DNA and RNA blots. The probes were labeled with [³²P]dATP (NEN) by using a random priming kit (Boehringer Mannheim). Blots were exposed to X-ray film (Kodak X OMAT-AR) at -70°C. RNA blots were also quantified with a Molecular Dynamics PhosphorImager. Primer extension analysis of the wild-type *rps7* 5'UTR was conducted to locate the transcription initiation site. These reactions employing a primer complementary to the amino-terminal end of the *aadA* coding sequence 5'-CGATCACCGCTTCCCTCAT-3' and 10 U of avian myeloblastosis virus reverse transcriptase were run at 42°C for 60 min. Reactions were displayed on both a 5% polyacrylamide gel with DNA size standards and by running them next to the corresponding DNA sequence on a 6% polyacrylamide-8 M urea sequencing gel as described before (34).

Growth and enzyme activity assays. Growth rates of 1.0-ml cultures of representative *C. reinhardtii* and *E. coli rps7::aadA* transformants with each *rps7* 5'UTR mutation were measured in 24-well microtiter plates (Falcon no. 3047) by the increase in A₇₄₀ or A₆₅₀, respectively, as described previously (8, 9). The AAD enzyme activity of these transformants was measured as the capacity to transfer α-³²P-labeled ATP to spectinomycin by binding the spectinomycin breakdown product to chromatography paper (8, 12). β-Galactosidase activity was assayed for the original *rps7::lacZ'* *E. coli* transformants by standard techniques (33).

Predicted RNA secondary structures. RNA secondary structures were predicted by using the MFOLD algorithm available online (42a). Several wild-type

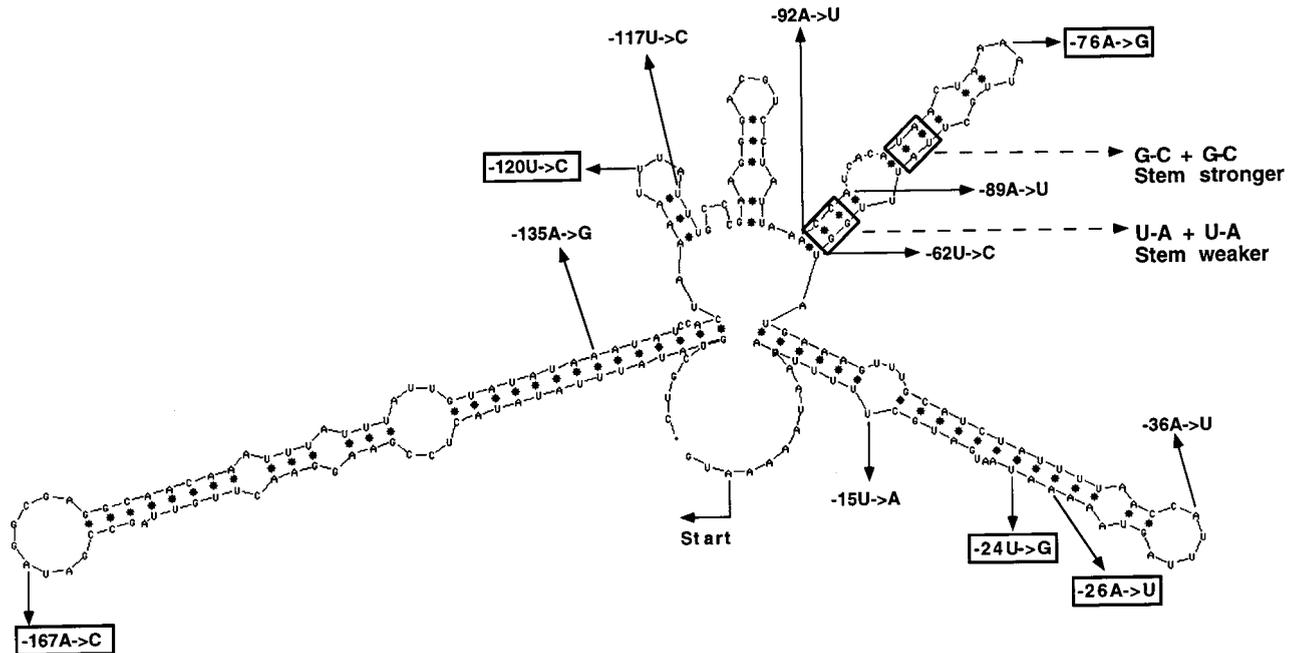


FIG. 1. MFOLD prediction of partial secondary structure at 25°C of the first 225 nt proximal to the ATG initiation codon of the 5'UTR from the wild-type chloroplast *rps7* gene of *C. reinhardtii*. The location and base pair changes of the 12 randomly induced mutations blocking expression of the *lacZ'* reporter. Mutations that fail to accumulate normal levels of *lacZ'* reporter mRNA are boxed, whereas those specifically affecting translation of *lacZ'* mRNA are not boxed. The position of the site-directed mutants strengthening and weakening the second predicted stem-loop are indicated by boxes and dashed arrows.

rps7 structures were examined; they included the 625-nt upstream sequence that was mutagenized and is transcribed in *E. coli*, the 266-nt full-length 5'UTR, and the truncated 225-nt 5'UTR sequence. Additionally, the 266-nt 5'UTR sequence upstream of the *aadA* or the *lacZ'* reporter sequence was examined for alteration of the predicted stem-loop structures by association with the downstream reporter RNA. In all of the constructs described above, the predicted wild-type secondary structure of the first five stem-loops corresponding to the 225 nt proximal to the AUG initiation codon (Fig. 1) are maintained. The MFOLD analyses were conducted at 25°C with 5% suboptimality in 1 M NaCl (default conditions). Additional predicted structures examined at 37°C displayed a similar global folding pattern with alterations in local stem-loop structures expected, given the increase in available free energy.

UV melting-reannealing profile analyses. RNA was synthesized in vitro from an SKII+ plasmid with the T7 promoter upstream of sequences corresponding to (i) the 266-nt wild-type *rps7* 5'UTR (P-833), (ii) three of the mutant 5'UTRs affecting the second predicted stem (-62U→C [P-848], -89A→U [P-849], and -92A→U [P-850]), (iii) three of the 5'UTRs with the corresponding suppressor double mutants (-62U→C and -92A→G [P-851], -89A→U and -65U→A [P-852], and -92A→U and -62U→A [P-853]), (iv) 5'UTRs with the three mutants at nucleotide position -36 (A→C [P-854]), A→G [P-855], and A→U [P-856]), and (v) 5'UTRs with the second stem-strengthening (double G-C [P-857]) and weakening (double A-U [P-858]) mutants. Each of the 20-ml reaction mixtures contained 4 mM each NTP, 25 mM MgCl₂, 25 mM NaCl, 50 mM Tris-HCl (pH 8.1), 20 mM dithiothreitol, 50 nM T7 plasmid promoter template DNA, and 100 nM T7 RNA polymerase as described previously (22). Transcription reaction mixtures were extracted with phenol-chloroform and run over an 8 M urea-8% (wt/vol) polyacrylamide gel, excised, and electroeluted. The *A*₂₆₀ was measured over a 20 to 80°C range at 2°C increments changing at 1°C/min on a Shimadzu TCC-260 spectrophotometer. Melting and reannealing profiles were obtained, and derivative values were determined to identify regions of varied hyperchromicity and changes in the temperature of the transition from primarily secondary structure to primarily tertiary structure unfolding (22).

RNase T1 protection gel shift assays. Radiolabeled RNA leaders corresponding to the wild-type and mutant *rps7* 5'UTRs were synthesized in 20-μl reaction mixtures containing 1 μg of the linearized plasmid RNA synthesis templates as described above in 40 mM Tris-HCl (pH 7.5)-6 mM MgCl₂-2 mM Spermidine (Sigma)-10 mM dithiothreitol-20 U of RNasin (Promega)-50 μCi of [^α-³²P]UTP (DuPont NEN)-12 μM nonradiolabeled UTP-an 0.3 mM concentration each of ATP, CTP, and GTP-20 U of T7 RNA polymerase for 1 h at 37°C. Five units of RNase-free DNase I (Sigma) was added, and the reaction mixtures were incubated for an additional 10 min at 37°C. RNAs were labeled to specific activities of 1 × 10⁹ to 2 × 10⁹ cpm/μg. The reaction mixtures were then

extracted with phenol-chloroform and separated from unincorporated nucleotides on Sephadex G-25 spun columns as described previously (17).

Proteins used in the gel mobility retardation assays were obtained from a CC-400 (cell-wall-free) *C. reinhardtii* strain grown as described above and gently broken in a Yeda press (15). The preparation was enriched for chloroplasts by centrifugation over a 10 to 80% Percoll gradient (40), and nucleic acid binding proteins were separated by heparin-Actigel chromatography as described previously (17).

For the RNase T1 gel mobility shift assays, 50-μl reaction mixtures containing approximately 5 ng of the [³²P]UTP-labeled RNA, 2.5 μg of yeast total RNA (to eliminate nonspecific binding), and 7 μg of the pooled heparin-Actigel protein extracts were incubated for 30 min at 25°C, treated with 10 U of RNase T1 (BRL) for 10 min, and electrophoresed on a 5% native polyacrylamide gel in 1× Tris-borate-EDTA TBE buffer. RNAs and protein-retarded RNA-protein bands were visualized by autoradiography as described before (17). RNA-protein binding competition experiments were conducted over a 0- to 100-fold range as described previously (17).

RESULTS

Isolation of random mutations in the chloroplast *rps7* leader in *E. coli*. To identify regions of the 266-nt 5'UTR of the chloroplast *rps7* gene from *C. reinhardtii* necessary for the initiation of translation, random PCR mutagenesis was conducted on the 625-nt wild-type fragment upstream of the AUG initiation codon. The population of amplified molecules was then purified on a 0.9% agarose gel and ligated into a pUC18 vector between the *lac* promoter and the *lacZ'* coding sequence. These constructs were transformed into *E. coli* XL1-Blue competent cells, and ampicillin-resistant colonies were selected. Transformed isolates unable to produce β-galactosidase were identified as white colonies. Partial sequencing (A and T bases only) of the first 225 nt of the *rps7* fragment upstream of the translation start site (greater than 70% A+T) from 207 of these white colonies identified 12 single base changes within the first 167 nt, each at a different position (Fig. 1). These changes were confirmed to be the only alterations

TABLE 1. Function of the mutant *rps7*::reporter constructs in *E. coli*

Transformant	Nucleotide change	<i>lacZ'</i> expression		<i>aadA</i> expression		
		mRNA ^a	βGal ^b	mRNA ^a	Spec ^c growth ^{c,d}	AAD ^{d,e}
P-780	-15U→A	77	4.9 ± 0.2	86	13 ± 2.4	11 ± 3.6
P-781	-24U→G	1	2.6 ± 0.3	2	ND ^f	ND
P-782	-26A→U	3	2.3 ± 0.2	ND	ND	ND
P-783	-36A→U	90	5.5 ± 0.5	91	7 ± 2.1	5 ± 2.8
P-784	-62U→C	98	4.2 ± 0.3	93	71 ± 1.8	66 ± 9.5
P-785	-76A→G	1	2.2 ± 0.1	ND	ND	ND
P-786	-89A→U	82	4.7 ± 0.3	94	33 ± 2.5	41 ± 6.9
P-787	-92A→U	93	3.8 ± 0.4	96	21 ± 1.4	29 ± 6.0
P-788	-117U→C	100	5.2 ± 0.5	99	72 ± 3.0	77 ± 11.1
P-789	-120U→C	2	2.4 ± 0.2	1	ND	ND
P-790	-135A→G	91	4.0 ± 0.4	100	92 ± 2.7	97 ± 8.8
P-791	-167A→C	2	2.6 ± 0.3	ND	ND	ND

^a mRNA accumulation = percentage of mutant with highest value.

^b βGal activity = mean ± standard error of β-galactosidase activity as determined by spectrophotometric analysis of three independent cultures for whole-cell extracts adjusted to eliminate background levels (33).

^c Spec^c growth = mean ± standard error of the second derivative of the time to half-maximal inhibition ($t_{1/2}$) for all concentrations of spectinomycin (8) for three independent cultures.

^d Values are standardized to those of *E. coli* transformants with the wild-type *rps7* reporter construct as 100%.

^e AAD activity = mean ± standard error for three trials measured in microcuries per minute per microgram of S100 protein (8).

^f ND, not determined.

present by determining the sequence of the entire 625-nt fragment mutagenized for each of the 12 mutants. A quantitative analysis of β-galactosidase activity revealed that the 12 white colony mutant strains had less than 6% of the enzyme activity level found in *E. coli* transformants with the wild-type *rps7* 5'UTR fused to *lacZ'* (Table 1). RNA blot analysis demonstrated that 7 of the 12 mutants accumulated abundant *rps7*::*lacZ'* mRNA (Fig. 2). These same seven mutants also accumulated abundant *rps7*::*aadA* mRNA (Fig. 2). Sequence analysis of the entire 625-nt *rps7* fragment from 20 additional randomly selected white colony mutants with no A or T alterations in the first 225 nt revealed no further nucleotide changes.

Functional analysis of the mutant *rps7* leaders using the *aadA* reporter construct in chloroplast transformants of *C. reinhardtii* and in *E. coli*. The DNA sequences encoding the seven mutant *rps7* leaders that allowed normal or near-normal accumulation of *lacZ'* mRNA in *E. coli* were subcloned into the *C. reinhardtii* chloroplast transformation cassette *rps7*::*aadA*::*rbcL* linked to a wild-type *atpB* gene, replacing the wild-type *rps7* leader sequence. These chimeric plasmids were transformed into the *C. reinhardtii* recipient strain CC-373, an *atpB* partial deletion mutant, to complement the photosynthetic defect in this strain (4, 8). Homologous integration of the donor DNA fragment introduces the *aadA* reporter construct into one copy of the inverted repeat adjacent to the single-copy

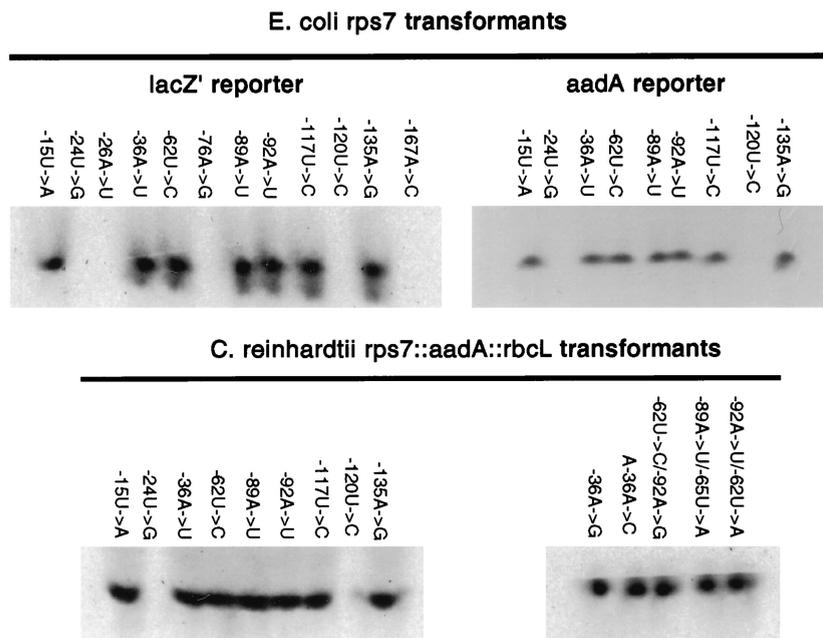


FIG. 2. RNA blots of *E. coli* transformants and *C. reinhardtii* chloroplast transformants carrying the *rps7*::reporter constructs. *E. coli* blots were probed with a 0.41-kb *lacZ'* and a 0.81-kb *aadA* fragment from the respective coding regions. *C. reinhardtii* blots were probed with the same 0.81-kb *aadA* fragment.

TABLE 2. Function of the mutant *rps7::aadA::rbcL* reporter constructs in the chloroplast of *C. reinhardtii*

Transformant	Nucleotide change	<i>aadA</i> expression		
		mRNA ^a	Spec ^r growth ^{b,c}	AAD activity ^{c,d}
CC-3684	-15U→A	100	17 ± 1.9	19 ± 3.2
CC-3685	-24U→G	2	ND ^e	ND
CC-3686	-36A→U	88	13 ± 2.2	14 ± 4.3
CC-3687	-62U→C	97	63 ± 2.0	58 ± 6.9
CC-3688	-89A→U	94	26 ± 2.7	30 ± 3.4
CC-3689	-92A→U	100	25 ± 4.6	23 ± 3.8
CC-3690	-117U→C	94	70 ± 2.4	67 ± 7.2
CC-3691	-120U→C	3	ND	ND
CC-3692	-135A→G	93	98 ± 3.5	95 ± 7.1

^a mRNA accumulation = percentage of mutant with highest value.

^b Spec^r growth = mean ± standard error of the second derivative of the time to half-maximal inhibition ($t_{1/2}$) for all concentrations of spectinomycin (8) for three independent cultures.

^c Values are standardized to those of *C. reinhardtii* chloroplast transformants with the wild-type *rps7* reporter construct as 100%.

^d AAD activity = mean ± standard error for three trials measured in microcuries per minute per microgram of S100 protein (8).

^e ND, not determined.

atpB gene, and the reporter sequence then copy corrects and segregates to homoplasmy to yield progeny with one copy of the wild-type *atpB* gene and two copies of the *rps7::aadA::rbcL* construct. Homoplasmy was confirmed by digesting total DNA from each subclone of the *C. reinhardtii* chloroplast transformants as well as the CC-373 recipient strain with *Bam*HI and probing with the cloned chloroplast *Bam*HI fragment 10 spanning this region (8). The *rps7* leaders with the -24U→G and -120U→C mutations that failed to accumulate *lacZ'* mRNA in *E. coli* were similarly ligated into the *C. reinhardtii* transformation cassette, transformed into the chloroplast, and subcloned to homoplasmy. This set of nine mutant *rps7::aadA* constructs ligated into pUC18 by using *Xho*I and *Nco*I restriction sites was also transformed into *E. coli* for analysis of reporter gene expression.

Accumulation of the *aadA* reporter mRNA was analyzed for all nine mutant reporter constructs expressed in *E. coli* (Table 1) and in the chloroplast of *C. reinhardtii* (Table 2). Those mutant *rps7* leaders that supported accumulation of the *lacZ'* or *aadA* reporter mRNA in *E. coli* also permitted accumulation of *aadA* mRNA at high levels in the chloroplast. Similarly, the *rps7* leader mutants with the -24U→G and -120U→C alterations that failed to accumulate *lacZ'* mRNA in *E. coli* also failed to accumulate *aadA* mRNA in *C. reinhardtii* when fused to this reporter construct.

Assay of *aadA* reporter activity. The homoplasmic chloroplast transformants with mutant *rps7* leaders fused to the *aadA* reporter were analyzed for their ability to express the AAD protein by two independent tests. In vivo growth rates for each of the mutant strains were determined with spectinomycin concentrations ranging from 0 to 500 µg/ml as described previously (8). We showed previously that spectinomycin-resistant growth was directly correlated with the level of AAD protein activity (8). The spectinomycin-resistant growth rates for chloroplast transformants with the seven *rps7* leader mutants that accumulated normal levels of *aadA* mRNA varied from 13 to 98% that of the control strain with the wild-type *rps7* leader (Table 2). The activity of the AAD reporter enzyme in the *aadA* transformants was measured in cell extracts (8, 12) as microcuries of ³²P-labeled product bound and was found to be linear over the 40-min assay period up to 100 µg of S100 protein per ml. The in vitro enzyme activity of chloroplast

transformants carrying the *rps7* mutants was highly correlated with their respective spectinomycin-resistant growth rates (Table 2). In both assays, the -36A→U mutation was the most deleterious change and the -135A→G mutation was the least detrimental.

Each of the nine mutant *rps7::aadA::rbcL* constructs was also transformed into *E. coli* XL1-Blue cells. Comparable in vivo growth assays in spectinomycin at concentrations ranging from 0 to 100 µg/ml and in vitro enzyme activity assays (Table 1) were conducted as described before (8). A clear correlation between diminished spectinomycin-resistant growth and AAD enzyme activity for each of the *rps7* leader mutants is apparent in the *E. coli* as well as the *C. reinhardtii* chloroplast transformants. In both organisms, the -36A→U mutant is the most impaired and the -135A→G mutant is the least affected compared to the wild type (compare Tables 1 and 2). We found that *rps7* leader mutations that result in the apparent loss of *lacZ'* expression in *E. coli* (white colonies on X-Gal plates, <6% of the enzyme activity of the construct with the wild-type *rps7* leader) can permit widely varying levels of *aadA* reporter expression in both *E. coli* and the *C. reinhardtii* chloroplast (<10% to >90% of the *aadA* construct with the wild-type *rps7* leader). Differences in interactions of the mutant *rps7* leaders with the respective *lacZ'* and *aadA* coding sequences in *E. coli* might explain these differences in reporter gene expression, either by causing alterations in the global folding of the mRNA or by promoting the differential ability of the mRNAs to recruit factors to the translation preinitiation complex.

Generation and analysis of site-directed alterations in the mutant *rps7* leaders. We used site-directed mutagenesis to determine whether nucleotide changes in four of the mutant *rps7* leaders could restore their substantially reduced function. Second site -92A→G, -65U→A, and -62U→A alterations in the leader were tested for their ability to complement respectively the -62U→C, -89A→U, and -92A→U mutants that are predicted to reduce pairing in the second stem-loop (Fig. 3A). In each case, the alterations were designed to restore the putative wild-type *rps7* secondary stem structure as is represented for the -62U→C mutation (Fig. 3B) and -92A→G second site complementary nucleotide change (Fig. 3C). Since the -36A→U mutant falls in a predicted loop (Fig. 1), we generated -36A→G and -36A→C mutant constructs to examine the effects of all 4 nt at this position. Each of the three *rps7::aadA::rbcL* constructs containing both the original mutation and the complementary second site change, as well as the -36A→G and -36A→C alterations, was transformed into the chloroplast of *C. reinhardtii* and subcloned to homoplasmy, and spectinomycin-resistant growth and AAD reporter enzyme activity were determined on individual representative isolates (Table 3). While -36A→G and -36A→C mutations failed to restore wild-type levels of *aadA* expression, the *rps7* leader with the -36A→G alteration, a purine-for-purine replacement, is at least twice as competent at promoting translation as those with either the -36A→U or the -36A→C substitutions. Clearly, the wild-type sequence at nucleotide -36 is necessary for full expression of the *rps7* leader.

The -92A→G and -62U→A second site mutations fall on opposite sides of the second extended stem structure predicted for the wild-type *rps7* leader (Fig. 1). The presence of both mutations restores normal *rps7* leader function, resulting in spectinomycin-resistant growth and AAD enzyme activity levels only moderately below those of the wild-type *rps7* construct in the chloroplast *aadA* transformants (Table 3). The -65U→A second site mutation falls in the middle of the second predicted extended stem (Fig. 3A) and almost fully restores the loss of activity caused by the -89A→U alteration.

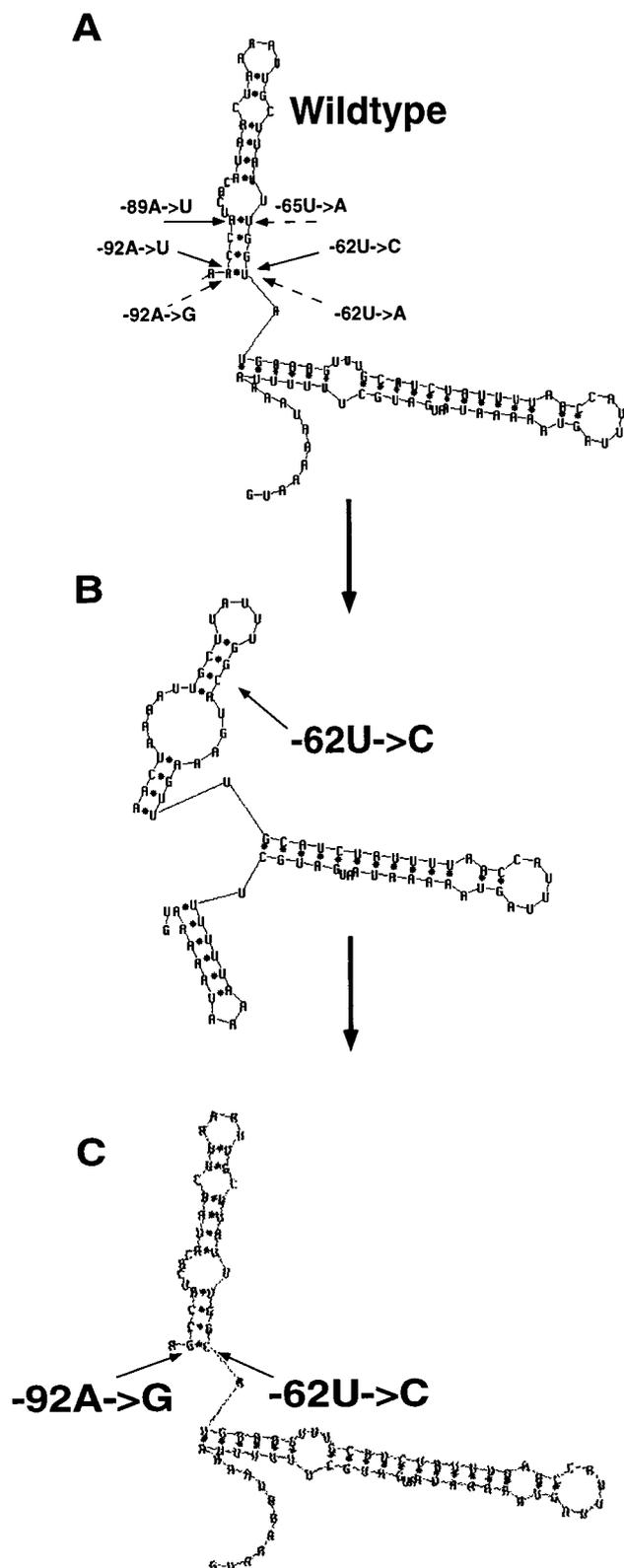


FIG. 3. MFOLD prediction of the partial secondary structure at 25°C of the first two stem-loop structures proximal to the AUG initiation codon of the *rps7* 5'UTR. (A) The wild-type *rps7* 5'UTR with three of the original random mutations, $-62\text{U}\rightarrow\text{C}$, $-89\text{A}\rightarrow\text{U}$, and $-92\text{A}\rightarrow\text{U}$ (solid arrows), and the complementary $-92\text{A}\rightarrow\text{G}$, $-65\text{U}\rightarrow\text{A}$, and $-62\text{U}\rightarrow\text{A}$ second site mutations (dashed arrows) designed to restore the second stem-loop structure are indicated. (B) The partial secondary structure of the *rps7* 5'UTR with the $-62\text{U}\rightarrow\text{C}$ mutation.

The effects of secondary mutations were not examined in the $-15\text{U}\rightarrow\text{A}$ mutant, which falls in a predicted bulge, and the upstream $-117\text{U}\rightarrow\text{C}$ and $-135\text{A}\rightarrow\text{G}$ mutants that displayed *aadA* reporter expression closest to that of the wild type.

The same *rps7* 5'UTR constructs with the five site-directed mutations were also examined in *E. coli* by using both the *aadA* and *lacZ'* reporters. A quantitative analysis of representative *E. coli* transformants with each of these mutations revealed that the alterations at the -36 position ($-36\text{A}\rightarrow\text{G}$ and $-36\text{A}\rightarrow\text{C}$) failed to restore expression for either reporter (expression $< 10\%$ of transformants with the wild-type *rps7* 5'UTR for each reporter), whereas the three transformants carrying second site mutations designed to reform predicted stem-loop structures expressed both of the reporters at or near wild-type levels (Table 3).

Analysis of site-directed mutants designed to weaken or strengthen pairing in the predicted second stem-loop. To determine the effects of mutations that either weaken or strengthen the second predicted stem without disrupting the predicted global folding pattern of the *rps7* 5'UTR, we generated two sets of site-directed mutants. We replaced two predicted A-U pairs with G-C pairs to make the stem stronger ($\text{A}_{-68}\text{-U}_{-82}\rightarrow\text{G}_{-68}\text{-C}_{-82}$ and $\text{U}_{-69}\text{-A}_{-83}\rightarrow\text{G}_{-69}\text{-C}_{-83}$) and replaced two predicted G-C pairs with A-U pairs to make the stem weaker ($\text{G}_{-63}\text{-C}_{-91}\rightarrow\text{A}_{-63}\text{-U}_{-91}$ and $\text{G}_{-64}\text{-C}_{-90}\rightarrow\text{A}_{-64}\text{-U}_{-90}$) (Fig. 1). 5'UTRs with both of these sets of alterations were placed upstream of the *aadA* reporter in P-655 and transformed into the chloroplast of *C. reinhardtii*. Homoplasmic transformants were analyzed by using the same growth and AAD enzyme activity assays described above. Either strengthening or weakening the stem beyond its predicted wild-type configuration had a deleterious effect on the translational activity of the 5'UTR. The stem-strengthening mutant with two adjacent (A-U \rightarrow G-C) pairs created in the second stem-loop had a calculated increase in available free energy ($\Delta\Delta G = -4.8 \text{ kcal mol}^{-1}$) and displayed spectinomycin-resistant growth at only 57% and AAD enzyme activity at only 61% of that of the wild type. The stem-weakening mutant with two adjacent (G-C \rightarrow A-U) pairs had a calculated decrease in available free energy ($\Delta\Delta G = +3.9 \text{ kcal mol}^{-1}$) and displayed spectinomycin-resistant growth at only 73% and AAD enzyme activity at only 63% of that of the wild type (Table 4).

Melting-reannealing profile analyses of *rps7* 5'UTRs from the wild type, mutants, and designed suppressors. Melting and reannealing profiles of the wild-type and 11 mutant 266-nt *rps7* 5'UTR RNAs were examined for both the hyperchromicity (peak of the derivative curve) and the temperature at which the transition from predominantly tertiary to predominantly secondary structure melting occurs. Changes in these parameters are indicative of differences in the global folding structure between the wild-type and the mutant RNAs (22). Recovery of the wild-type melting-reannealing profile in the double mutant suppressors that were designed to restore predicted stem structures would provide further evidence that the predicted secondary structure is valid. Our melting-reannealing data indicate an alteration of wild-type secondary and tertiary folding in each of the original mutants and a near-wild-type recovery in the designed second-site suppressors (Fig. 4). The $-89\text{A}\rightarrow\text{U}$

(C) The partial secondary structure of the *rps7* 5'UTR with the $-62\text{U}\rightarrow\text{C}$ $-92\text{A}\rightarrow\text{G}$ double mutation. The other two single and double mutant combinations shown in panel A display similar alterations in the size of the first two predicted stem-loop structures as in the $-62\text{U}\rightarrow\text{C}$ mutant in panel B and the same restoration of the predicted wild-type secondary structure by the double mutant suppressor in panel C that displays normal reporter expression.

TABLE 3. Function of second-site alterations of the mutant *rps7* reporter constructs in the chloroplast of *C. reinhardtii* and in *E. coli*

<i>C. reinhardtii</i> strain	<i>E. coli</i> transformant	Nucleotide change(s)	<i>C. reinhardtii</i>			<i>E. coli</i>	
			<i>aadA</i> mRNA ^a	Spec ^r growth ^{b,c}	AAD ^{c,d}	βGal ^e	AAD ^{c,d}
CC-3693	P-792	-36A→G	83	32 ± 2.5	41 ± 4.5	4.7 ± 0.3	9 ± 1.2
CC-3694	P-793	-36A→C	100	8 ± 2.2	15 ± 3.3	4.1 ± 0.2	4 ± 0.8
CC-3695	P-794	-62U→C, -92A→G	96	79 ± 2.8	86 ± 8.7	76 ± 6.6	80 ± 6.7
CC-3696	P-795	-89A→U, -65U→A	89	104 ± 3.0	99 ± 9.2	92 ± 8.4	88 ± 5.5
CC-3697	P-796	-92A→U, -62U→A	90	93 ± 2.4	85 ± 8.9	87 ± 7.0	75 ± 9.4

^a mRNA accumulation = percentage of mutant with highest value.

^b Spec^r growth = mean ± standard error of the second derivative of the time to half-maximal inhibition ($t_{1/2}$) for all concentrations of spectinomycin (8) for three independent cultures.

^c Values are standardized to those of *C. reinhardtii* chloroplast or *E. coli* transformants with the wild-type *rps7* reporter construct as 100%.

^d AAD activity = mean ± standard error for three trials measured in microcuries per minute per microgram of S100 protein (8).

^e βGal activity = mean ± standard error of β-galactosidase activity as determined by spectrophotometric analysis of three independent cultures for whole-cell extracts adjusted to eliminate background levels (33).

mutant melting profile in Fig. 4A displays a shift in the derivative curve indicative of the transition from tertiary to secondary melting at 42°C instead of 50°C, suggesting a less-stable tertiary structure. The hyperchromicity is also decreased, indicating a difference in either the individual secondary structure elements or a difference in their interactions. The temperature of transition for the -92A→U and -62U→C mutants is similar to that of the wild type, but the hyperchromicity is again greatly reduced (Fig. 4B and C). The -36 substitution mutants fall in two structural populations (A or G and C or U) in terms of the temperature of transition from predominantly tertiary to predominantly secondary melting (Fig. 4D).

Analysis of the UV melting-reannealing profiles from the mutants predicted to strengthen or weaken the second stem demonstrates an apparent conservation of the overall tertiary structure, as indicated by the consistent temperature of transition from secondary to tertiary unfolding at 50°C. Differences in the hyperchromicity of the mutants are consistent with the expected strengthening or weakening of secondary-structure elements in the predicted second-stem structure. The derivative peak is increased for the stem-strengthening mutant with adjacent (A-U→G-C) changes, indicating increased overall secondary structure, and the derivative peak is decreased and broadened for the stem-weakening mutant with adjacent (G-C→A-U) changes, indicating decreased secondary structure (Fig. 4E). Unfortunately, the large size of the RNA leaders makes determining any specific secondary structures from the melting profiles impossible since interactions between the folding of stem-loops generates $2^n + 1$ (n = the number of stem-loops) possible interactions.

RNase T1 protection gel mobility shift assays. 5'UTRs from the wild type, the -89A→U mutant, and the -89A→U

-65U→A double mutant were incubated with heparin-Acti-gel-purified chloroplast proteins from *C. reinhardtii* and run on native 5% polyacrylamide gels with and without T1 RNase digestion (Fig. 5). The assay examined the migration of the 5'UTR RNA alone, RNA plus enriched proteins, and RNA plus enriched proteins plus T1 RNase. A single up-shifted band is seen in the wild-type, the -89A→U mutant, and the -89A→U -65U→A double mutant lanes when combined with the enriched proteins. When T1 RNase is added, a single shifted-protected band migrating slightly faster is visible in the wild type and the double mutant. In contrast, two shifted-protected bands are seen in the -89A→U mutant, one in the same position as that in the wild type and the double mutant and one migrating much more rapidly through the gel. An assay with labeled mutant RNA and cold wild-type RNA in the presence of T1 RNase revealed that the higher of the two shifted-protected bands in the mutant was competed off at a lower RNA concentration than the lower band.

DISCUSSION

cis-acting mutations in the 5'UTR of the chloroplast *rps7* leader that block expression of the *lacZ'* reporter gene in *E. coli* (<6% of the β-galactosidase activity produced by the wild-type *rps7* leader) show the same wide range of the *aadA* reporter activity (10% to >90% of the wild-type *rps7* leader value) when examined in either *E. coli* or in the chloroplast of *C. reinhardtii*. One possible explanation for the variance between the expression levels of the *lacZ'* and *aadA* reporters is feedback regulation by their protein products, as has been previously observed in other systems (27). Association of a 5'UTR and its protein product might block or promote the

TABLE 4. Effect of mutations predicted to strengthen or weaken the second stem of the *rps7* 5'UTR on the expression of the *aadA* reporter constructs in the *C. reinhardtii* chloroplast

<i>C. reinhardtii</i> strain	<i>E. coli</i> plasmid	Changes in paired bases in second stem	<i>C. reinhardtii</i> chloroplast	
			Spec ^r growth ^{a,b}	AAD ^{b,c}
CC-3721	P-802	G ₋₆₃ -C ₋₉₁ →A ₋₆₃ -U ₋₉₁ G ₋₆₄ -C ₋₉₀ →A ₋₆₄ -U ₋₉₀	73 ± 1.9	63 ± 7.0
CC-3722	P-803	A ₋₆₈ -U ₋₈₂ →G ₋₆₈ -C ₋₈₂ U ₋₆₉ -A ₋₈₃ →G ₋₆₉ -C ₋₈₃	57 ± 2.6	61 ± 8.3

^a Spec^r growth = mean ± standard error of the second derivative of the time to half-maximal inhibition ($t_{1/2}$) for all concentrations of spectinomycin (8) for three independent cultures.

^b Values are standardized to those of *C. reinhardtii* chloroplast with the wild-type *rps7* reporter construct as 100%.

^c AAD activity = mean ± standard error for three trials measured in microcuries per minute per microgram of S100 protein (8).

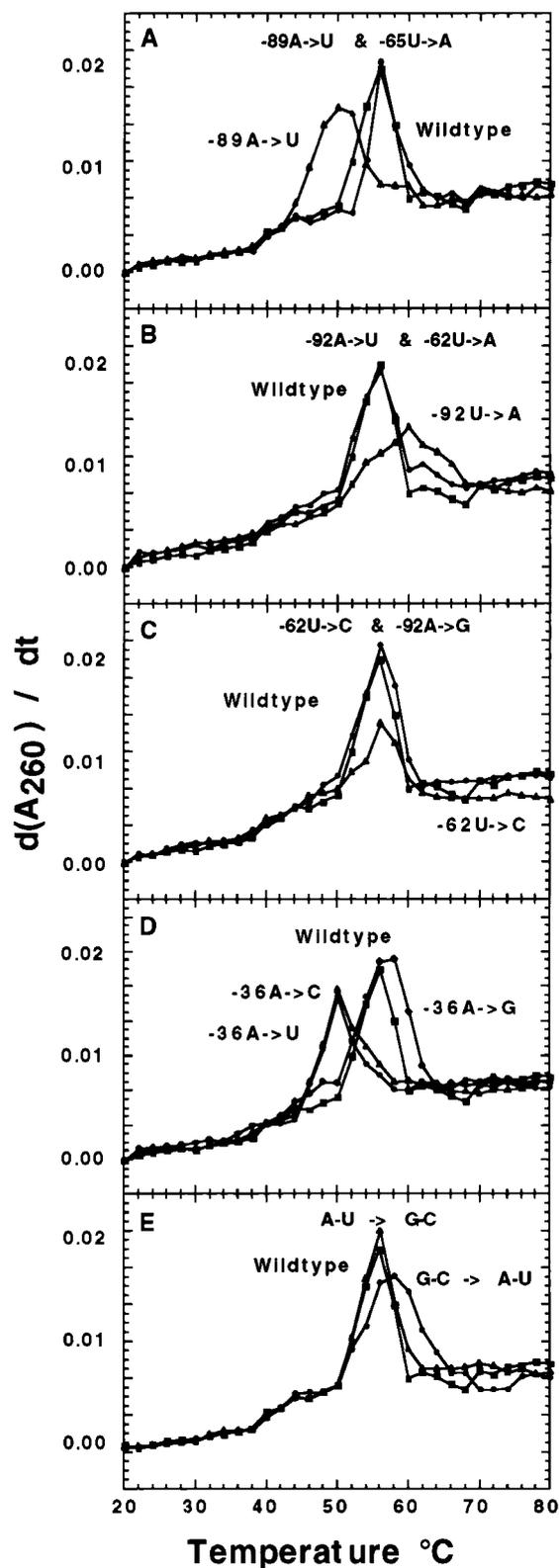


FIG. 4. First derivative UV melting profiles of the wild-type and mutant *C. reinhardtii* chloroplast *rps7* 5'UTR RNAs. Each of the RNAs was transcribed in vitro as detailed in Materials and Methods. The representative melting profiles at A_{260} were carried out in 10 mM HEPES buffer (pH 7.5) containing 5 mM $MgSO_4$ and 100 mM NH_4Cl . Melting profiles for each genotype measured at A_{280} in the pH 7.5 buffer and at A_{260} and A_{280} in 10 mM morpholineethanesulfonic acid buffer (pH 5.5) containing 5 mM $MgSO_4$ and 100 mM NH_4Cl were

initiation of translation, as has been observed in the regulation of *E. coli* ribosomal protein expression (38). This seems an unlikely possibility since neither of the reporter proteins is known to have nucleic acid binding activity. A more likely possibility is that some structure or sequence within the coding region of the mRNA participates in the formation of the active element in translation initiation. This element might be located either within the coding region itself, as has been demonstrated with chloramphenicol acetyltransferase (28), or else involve interactions between the 5'UTR and the coding sequence to yield a conformation required for translation initiation. Our finding that these leader mutations have similar effects on gene expression both in *E. coli* and the chloroplast of *C. reinhardtii* suggests either that the sequences affected are important recognition regions in translation initiation in both organisms or that they present binding sites for *trans*-acting factors required for initiation common to both.

The 12 individual nucleotide alterations found in the 266-nt *rps7* 5'UTR among 207 white colony mutants screened map at different sites within the first 167 nt upstream of the initiation codon, but the 7 which alter mRNA translation are restricted to the first 135 nt. Since these mutations were identified by sequencing only A and T residues in the first 225 nt of the 625-nt mutagenized fragment which is 70% A+T rich, we recognized that our screen might have missed changes in other important residues. However, when we sequenced the entire 625-nt fragment from 20 of the remaining 195 white colony mutants, we found no additional changes. This localization of mutants affecting translation to the first 135 nt of the 266-nt 5'UTR suggests that structures proximal to the initiation codon may be most crucial for translation initiation (Fig. 1). While the entire 625-nt fragment is transcribed in *E. coli* from the endogenous plasmid promoter, no mutations were isolated in the upstream 400-nt region that folds independently as determined by using the MFOLD algorithm, suggesting that this remaining upstream sequence may not function in translation.

Our analysis of changes in the predicted folding pattern generated by the 225-nt *rps7* leader mutations reveals the following. (i) Each of the five mutations that prevent accumulation of the reporter mRNA in *E. coli* and *C. reinhardtii* chloroplasts either falls in or creates a predicted loop structure (Fig. 1). This might indicate sensitivity of this region of the mRNA in the mutants to RNase activity. (ii) The three mutations localized to the predicted second stem-loop affect the overall size of the first two stem-loop structures and reduce the level of *aadA* and *lacZ'* reporter expression. These nucleotide alterations can be suppressed by complementary mutations also in the second stem-loop that reconstitute the predicted wild-type folding pattern of the first two stem-loop structures (Fig. 3A), supporting the validity of the original predicted folding pattern. (iii) The free energy of each of the mutant *rps7* 5'UTR folding patterns is fairly similar, differing by less than 4%, arguing that gross thermodynamic changes do not account for the observed differences in translation of the reporter mRNAs.

Several recent studies provide insights into the possible

similar. Reannealing profiles under the conditions described above for the wild-type and 11 mutant *rps7* 5'UTR RNAs were essentially superimposable with the melting profiles. (A to C) Symbols: ■, wild type; ▲, the original mutant; ●, the double mutant with the second site suppressor. (D) Symbols: ■, wild type; ●, -36 A→G mutant; ▲, -36 A→C mutant; ◆, -36 A→U mutant. (E) Symbols: ■, wild type; ●, the $G_{-63}-C_{-91} \rightarrow A_{-63}-U_{-91}$ and $G_{-64}-C_{-90} \rightarrow A_{-64}-U_{-90}$ stem-weakened mutant; ▲, the $A_{-68}-U_{-82} \rightarrow G_{-68}-C_{-82}$ and $U_{-69}-A_{-83} \rightarrow G_{-69}-C_{-83}$ stem-strengthened mutant.

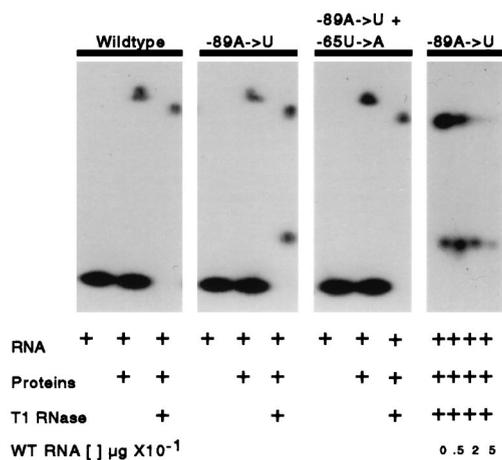


FIG. 5. An RNase T1 protection gel mobility shift assay was conducted on a 5% polyacrylamide native gel with mutant and wild-type forms of the *rps7* 5'UTR and chloroplast-enriched nucleic acid binding proteins. The 266-nt RNA from the wild type, the -89A→U mutant, and the -89A→U -65U→A double mutant with the second site suppressor were analyzed. The presence of RNAs, proteins, and T1 RNase in each lane is indicated. The labeled mutant 5'UTR RNA (5 ng) was also competed with increasing concentrations (over a 0- to 100-fold range) of unlabeled wild-type 5'UTR RNA.

mechanisms responsible for translational regulation of chloroplast gene expression (8, 17, 20, 35, 37). Since SD-like sequences in chloroplast mRNAs appear to be dispensable for translation initiation and other primary sequence motifs known to be involved in translation initiation in *E. coli* such as the downstream box are also absent, other structures within the long, AU-rich chloroplast leaders likely interact with *trans*-acting factors to facilitate association of the mRNA with the small subunit of the chloroplast ribosome. The highly ordered secondary structures predicted for the 5'UTRs from the majority of chloroplast mRNAs suggest that they may play a major role in controlling translation initiation.

Studies on a diverse set of chloroplast-encoded genes have identified higher-order RNA structure as an essential determinant in translational control. Multiple putative secondary structures have been detected by RNA mapping techniques in the relatively short (74-nt) 5'UTR from the chloroplast *atpH* mRNA of *Euglena gracilis* (1). Many of these structures mask the supposed ribosome binding site on the mRNA thought to be necessary for association with the ribosome. Deletion analysis has identified several small (3- to 8-nt) *cis* elements, distinct from SD sequences, in the chloroplast *psbA* mRNA of tobacco plants that associate specifically with *trans*-acting factors or with the ribosome to facilitate translation of a downstream reporter in an *in vitro* system (19). Two of these *cis* elements were proposed to bind cooperatively to the 16S rRNA and thereby position a third element for association of the message with specific *trans* factors. Deletion analysis has also identified a putative stem-loop structure in the 5'UTR of *psbA* mRNA in *C. reinhardtii* that is reported to modulate expression of the downstream D1 coding region in chloroplast transformants (25). However, since mutants lacking the loop sequence reduce accumulation of both the D1 protein and *psbA* mRNA, one cannot determine whether the region deleted is involved directly in translational regulation (29). In a similar study, two putative binding domains for a postulated initiation complex were identified in the 362-nt 5'UTR of *petD* mRNA from *C. reinhardtii*, 210 to 161 and 51 to 33 nt upstream of the initiation codon (32). These domains are thought to

form a specific stem-loop structure and to facilitate the association of *cis* elements with *trans* factors or to associate directly with the ribosome. The ability of the 5'UTR to assume the correct higher-order structure appears to be crucial for initiation in each of these systems.

A detailed examination of the determinants of translation initiation in the mRNA of the *C. reinhardtii* chloroplast-encoded *psbC* gene has been carried out. Chloroplast mutations in *C. reinhardtii* that perturb translation of the *psbC* mRNA have been shown to alter the inverted repeat sequence coding for a predicted 97-nt stem-loop in the 5'UTR of this gene (30). The nonphotosynthetic mutant FuD34 enhances the base pairing and elevates the stability of this predicted stem structure by insertion of two adjacent T residues and deletion of a C residue 5 nt away. In contrast, the F34sul mutant, which suppresses a nuclear mutant F34 that blocks translation of the *psbC* mRNA, weakens the predicted stem by a T→A change. The behavior of these two *cis* mutants suggests that decreasing the free energy of this extended stem beyond that of the wild-type configuration reduces translation whereas increasing its free energy may alleviate the need for a nucleus-encoded *trans*-acting protein for translation initiation. Deletion analysis of the extended stem-loop structure of the *psbC* 5'UTR fused to an *aadA* reporter clearly indicates that specific interactions between this stem-loop structure and nucleus-encoded *trans* factors are critical for normal translation initiation of the downstream coding region (39, 40). Two models proposing that the secondary structure of the 5'UTR plays a major role in determining the level of protein expression from chloroplast-encoded genes both invoke *trans* factor association with wild-type stem-loop structures in the 5'UTR as a prerequisite for translation initiation on chloroplast ribosomes (39).

The foregoing results with the *psbC* leader mutations are consistent with our observations regarding the functional significance of the predicted stems in the *rps7* leader if one assumes that the stability of the wild-type *rps7* 5'UTR is optimal. We have examined this directly by altering the stability of the predicted second stem-loop by replacing two adjacent A-U pairs with G-C pairs, making the stem more stable, and also by replacing two adjacent G-C pairs with A-U pairs making the stem less stable. Both the increase and the decrease of the local free energy of the predicted stem generated by these changes decrease the translational activity by about one-third, suggesting that the wild-type second stem-loop structure is necessary for optimal translation.

The folding, or unfolding, of RNAs can be visualized as occurring in two phases. Base pairing occurs to produce complex secondary structures, including stems, loops, mismatches, and bulges. Computer algorithms can reasonably predict these structures, which can be tested by using site-directed mutagenesis (42). This is followed by formation of tertiary structure in which loop-loop or other long-range interactions occur. Such tertiary interactions might occur between the unpaired components of the secondary structure, but these interactions will not necessarily produce the most thermodynamically stable final RNA structure since disassociation or recombination of some secondary structure elements leading to a more stable tertiary structure may occur. Thus, the algorithms that predict secondary structure may fail to reveal the true configuration of the RNA even at the secondary structure level despite their clear energy-minimizing parameters (42).

Our analyses of UV melting-reannealing profiles of the *rps7* 5'UTRs reveal a loss of the wild-type folding in each of the second stem mutants. Restoration of the wild-type structure was found in the double mutants with complementary second site alterations designed to restore base pairing in the pre-

dicted second stem-loop. Hyperchromicity is decreased in all three mutants, and in the $-89A \rightarrow U$ mutant, the temperature at which the transition from tertiary to secondary structure unfolding occurs is significantly diminished, indicating a much less stable tertiary folding pattern (22, 36). Analyses of *rps7* 5'UTRs with each of the nucleotides at position -36 indicate two distinct types of folding patterns, with the wild type and the $-36A \rightarrow G$ mutant each more stable in tertiary structure than the $-36A \rightarrow U$ or the $-36A \rightarrow C$ mutant. The increased hyperchromicity of the $A-U \rightarrow G-C$ mutant with the strengthened stem and the decreased hyperchromicity and broader peak of the $G-C \rightarrow A-U$ mutant with the weakened stem support the predicted increase and decrease of the local free energy of the second stem-loop in the *rps7* 5'UTR. In all of the cases described above, disruption of the normal melting-reannealing profile in the mutants is consistent with the predicted changes in the secondary structure of the mutant leaders and with the decrease of their biological activities.

Data from the gel mobility shift RNase T1 protection assay conducted on the wild-type, the $-89A \rightarrow U$ mutant, and the $-89A \rightarrow U -65U \rightarrow A$ double mutant suppressor are consistent with the changes in folding indicated by the genetic and melting-reannealing profile analyses (Fig. 5). In the absence of RNase T1, the wild-type, mutant, and double mutant suppressor RNA samples show only a single up-shifted protein-protected band. However, when RNase T1 is added, the wild-type and double mutant suppressor display a single slightly lower up-shifted band protected by the protein, whereas the $-89A \rightarrow U$ mutant RNA sample shows a second up-shifted band that migrates much more rapidly through the native gel. Differences in protein association with the folded mutant in the wild-type or double mutant suppressor 5'UTR RNA could explain this difference in T1 sensitivity. An alteration in the binding of a specific protein or several proteins protecting the RNA might be responsible, assuming that the *rps7* 5'UTR can exist in two folding conformations. A change from 99% binding in one RNA conformation and 1% binding in a second in wild-type or the double mutant suppressor conformation (lower shift not visible in Fig. 5) to 50% binding in each conformation in the $-89A \rightarrow U$ mutant might occur. Competition experiments between the wild-type and $-89A \rightarrow U$ mutant RNAs for binding with the enriched nucleic acid binding proteins indicate that the complex in the higher band is likely the same in both the wild type and the mutant (Fig. 5). In contrast, the RNA in the lower band seen in the mutant may be binding a different set of proteins or may bind a subset of the same proteins in a different conformation with a stronger affinity and hence may have less of its structure protected from RNase digestion.

The restoration of a near-wild-type UV melting-reannealing profile in each of the double mutant suppressors and restoration of the gel mobility shift protection pattern in the $-89A \rightarrow U -65U \rightarrow A$ double mutant is consistent with the observed restoration of normal growth rate and enzyme activity and strongly supports the biological relevance of the predicted folding pattern for the first two stem-loop domains of the *rps7* leader.

Our generation of *rps7* 5'UTR mutants that display diminished spectinomycin-resistant growth when placed upstream of the *aadA* reporter will make possible a suppressor screen in both *E. coli* and in the chloroplast of *C. reinhardtii* to identify other relevant *cis*-acting sequences as well as genes encoding *trans*-acting proteins.

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