

RESEARCH ARTICLE

# Development and validation of an MRI-based radiomics nomogram for distinguishing Warthin's tumour from pleomorphic adenomas of the parotid gland

<sup>1</sup>Ying-mei Zheng, <sup>2</sup>Jiao Chen, <sup>3</sup>Qi Xu, <sup>1</sup>Wen-hui Zhao, <sup>1</sup>Xin-feng Wang, <sup>4</sup>Ming-gang Yuan, <sup>5</sup>Zong-jing Liu, <sup>3</sup>Zeng-jie Wu and <sup>3</sup>Cheng Dong

<sup>1</sup>Health Management Center, The Affiliated Hospital of Qingdao University, Qingdao, China; <sup>2</sup>Department of Radiology, Yantai Yuhuangding Hospital, Yantai, China; <sup>3</sup>Department of Radiology, The Affiliated Hospital of Qingdao University, Qingdao, China; <sup>4</sup>Department of Nuclear Medicine, Affiliated Qingdao Central Hospital, Qingdao University, Qingdao, China; <sup>5</sup>Department of Pediatric Hematology, The Affiliated Hospital of Qingdao University, Qingdao, China

**Objective:** Preoperative differentiation between parotid Warthin's tumor (WT) and pleomorphic adenoma (PMA) is crucial for treatment decisions. The purpose of this study was to establish and validate an MRI-based radiomics nomogram for preoperative differentiation between WT and PMA.

**Methods and materials:** A total of 127 patients with histological diagnosis of WT or PMA from two clinical centres were enrolled in training set ( $n = 75$ ; WT = 34, PMA = 41) and external test set ( $n = 52$ ; WT = 24, PMA = 28). Radiomics features were extracted from axial T1WI and fs-T2WI images. A radiomics signature was constructed, and a radiomics score (Rad-score) was calculated. A clinical factors model was built using demographics and MRI findings. A radiomics nomogram combining the independent clinical factors and Rad-score was constructed. The receiver operating characteristic analysis was used to assess the performance levels of the nomogram, radiomics signature and clinical model.

**Results:** The radiomics nomogram incorporating the age and radiomics signature showed favourable predictive value for differentiating parotid WT from PMA, with AUCs of 0.953 and 0.918 for the training set and test set, respectively.

**Conclusions:** The MRI-based radiomics nomogram had good performance in distinguishing parotid WT from PMA, which could optimize clinical decision-making.

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**Keywords:** Parotid neoplasms; Radiomics; Magnetic resonance imaging

## Introduction

Parotid gland pleomorphic adenoma (PMA) and Warthin's tumor (WT) are the two most common benign tumours of the parotid gland.<sup>1</sup>The biological behaviours of the two tumours are different, with the result that the treatment and prognosis also differ.<sup>2</sup> PMA is susceptible to malignant transformation and

recurrence, and superficial or total parotidectomy is, therefore, recommended.<sup>2</sup> However, malignant transformation and recurrence of WT seldom occurs, and tumorectomy is considered sufficient.<sup>2</sup> Therefore, the preoperative discrimination of WT from PMA is crucial for choosing the most appropriate surgical treatment for patients.

As a novel non-invasive technique, radiomics aims to extract quantitative and higher dimensional data from

Correspondence to: Dr Cheng Dong, E-mail: [derc007@sina.com](mailto:derc007@sina.com) ;

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The authors Ying-mei Zheng and Jiao Chen contributed equally to the work.

digital biomedical images, facilitating a full exploration of intratumoural information and changes.<sup>3,4</sup> Different imaging modalities, including CT, MRI, PET and ultrasound, can be used as the basis for extracting these quantitative data.<sup>4</sup> Previous studies have suggested that there is a strong correlation between these high dimensional data and tissue heterogeneity at the cellular level.<sup>5,6</sup> Radiomics has been widely used in oncology studies and applied successfully to differentiating between early and advanced stage diseases, differentiating benign and malignant tumours, predicting treatment outcome and assessing cancer genetics.<sup>7</sup> Radiomics has also been successfully applied to the assessment of head and neck tumours,<sup>8,9</sup> and previous studies have reported the value of texture analysis of CT or MR images for the discrimination of WT from PMA.<sup>10,11</sup> Compared with texture analysis, radiomics analysis can provide a more comprehensive description of the tumour by extracting many more statistical features. To the best of our knowledge, no study has focused on the value of radiomics for discriminating between WT and PMA. As a simple graphical representation of a statistical predictive model, nomogram uses biological and clinical variables to determine a model that generates a probability of a clinical event.<sup>12,13</sup> It has been widely used in radiomics studies.<sup>14,15,16</sup>

In this current study, we aimed to establish an MRI radiomics-based prediction nomogram for discriminating between WT and PMA, and then to assess its validity.

## Methods and materials

### Patients

The institutional review board of two clinical centres approved this retrospective study and an institutional review board waiver was obtained. In the current study, all the enrolled patients with histological diagnosis of WT or PMA on surgically resected specimens were from two clinical centres. Consecutive patients presenting between January 2015 and June 2020 were identified from Centre 1, and those presenting between January 2013 and June 2020 were identified from Centre 2. These two patient groups were used to form a training set and external test set, respectively. The inclusion criteria were: 1) patients with histologically confirmed WT or PMA and complete clinical data; and 2) patients with an MRI examination including axial  $T_1$ -weighted imaging (T1WI) and fat-saturated  $T_2$ -weighted imaging (fs-T2WI) performed less than 7 days before surgical resection. The exclusion criteria included: (1) tumours with a maximum diameter <5mm, and (2) the existence of imaging artifacts making the images unsatisfactory for radiomics analysis. The exclusion criteria for a maximum tumor diameter at 5mm were set to minimize the influence of partial volume effects, which might distort the true tissue-specific image texture.<sup>10</sup> A total of 127 patients met the above criteria, with 75 being

enrolled in the training set (WT = 34, PMA = 41) and 52 in the external test set (WT = 24, PMA = 28). The clinical data of the patients included gender, age and smoking history.

### MRI acquisition

MRI was performed using one of two 3.0-T MRI scanners with a matched eight-channel phased-array coil (GE 3.0-Tesla Signa scanner, GE Healthcare, Milwaukee, WI, USA; Siemens 3.0-Tesla Skyra scanner, Siemens, Erlangen, Germany). All patients underwent axial T1WI and fs-T2WI sequences. The T1WI was acquired using the following parameters: echo time 11 ms; repetition time 420 or 500 ms; number of excitations 2; slice thickness 4 mm; slice spacing 1 mm; acquisition matrix  $320 \times 256$  or  $320 \times 203$ ; and field of view 22 cm. The parameters for the fs-T2WI sequence included: echo time 102 or 103 ms; repetition time 3600 or 3000 ms; number of excitations 2; slice thickness 4 mm; slice spacing 1 mm; acquisition matrix  $320 \times 256$  or  $320 \times 203$ ; field of view 22 cm.

### MRI feature evaluation and clinical model construction

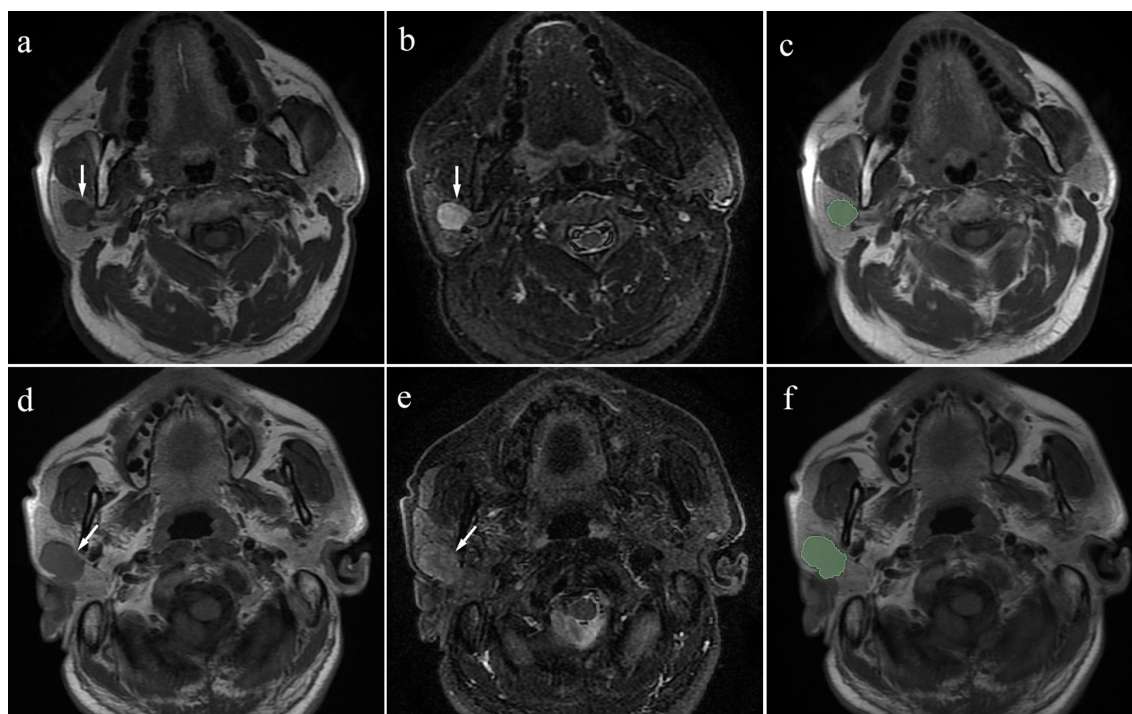
Two oral and maxillofacial radiologists with 8 and 12 years of experience who were blinded to the pathology results analysed the MRI features in consensus. The MRI features analysed were: (1) the maximum diameter of the tumour; (2) well-demarcated or poorly demarcated tumour margin; (3) heterogeneous appearance (10% of the tumour having a different signal<sup>17</sup>); (4) growth pattern (focal/ multifocal); (5) presence of cystic or necrotic regions (an area with hypointensity on T1WI and hyperintensity on fs-T2WI); (6) lobulated appearance of the tumour; and (7) parotid tail involvement. In case of multiple nodules within a single patient, the nodule with biggest volume was included in the analysis.

The clinical data and MRI features of the training set were compared between WT and PMA using univariate analysis. The significant variables identified in the univariate analysis were input into a multiple logistic regression analysis to identify independent factors for building a clinical model.

### Tumour segmentation and radiomics feature extraction

The segmentation of the tumours was performed by two radiologists who manually delineated regions of interest (ROIs) defining the tumour contour in a slice-by-slice manner on T1WI and fs-T2WI using 3D Slicer software (v.4.10.2, <https://www.slicer.org>; Figure 1). The segmentation data were then input into 3D Slicer to extract the radiomics features.

Eight feature groups (a total of 851 radiomics features), including shape (14 features), first-order statistics (18 features), gray level dependence matrix (GLDM, 14 features), gray level co-occurrence matrix (GLCM, 24 features), gray level run length matrix (GLRLM, 16 features), gray level size zone matrix (GLSZM, 16 features), neighbouring gray tone difference matrix



**Figure 1** a:  $T_1$ -weighted image; b: fat-saturated  $T_2$  weighted image) Case 1: Pleomorphic adenoma in a 63-year-old male. A mass can be seen in the right parotid (arrow). (c) Manual segmentation of the mass. (d, e) (d:  $T_1$ -weighted image; e: fat-saturated  $T_2$  weighted image) Case 2: Warthin's tumour in a 51-year-old male. A mass can be seen in the right parotid (arrow). (f) Manual segmentation of the mass.

(NGTDM, five features) and wavelet features (744 features), were extracted from the ROIs for each MRI sequence using 3D Slicer. The definitions of feature groups were supplied in “Supplementary Material 1”. The details and formulas for these features are shown both in the supplementary materials of a previous radiomics study<sup>18</sup> and at <https://pyradiomics.readthedocs.io/en/latest/features.html>.

A total of 1702 radiomics features were finally extracted from the T1WI and fs-T2WI sequences of each

subject. Details of the feature extraction are provided in Supplementary Table 1. Considering different protocol parameters from different MRI scanners, a number of preprocessing procedures were applied. The “ $\mu \pm 3\sigma$ ” method was used to correct for the effects of different MR scanners and acquisition protocols and normalize the image intensities.<sup>19</sup> Meanwhile, “N4ITK” bias field correction was applied to correct the intensity non-uniformities caused by the inhomogeneity of the scanner's magnetic field during image acquisition.<sup>20</sup>

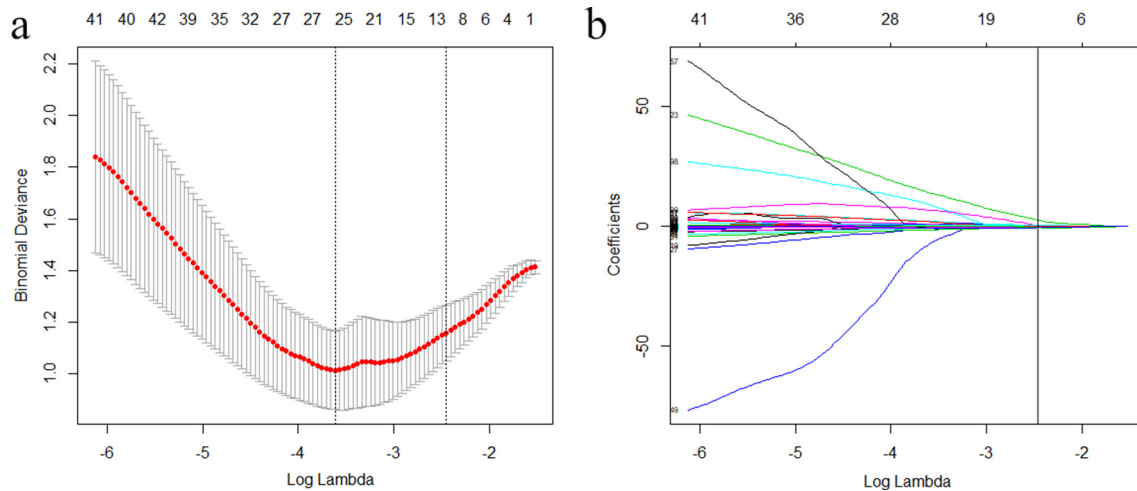
**Table 1** Clinical factors of the training and test sets

Clinical factors	Training set (n = 75)			Test set (n = 52)		
	WT (n = 34)	PMA (n = 41)	P1	WT (n = 24)	PMA (n = 28)	P2
Gender (M/F)	25/9	18/23	0.010	23/1	12/16	<0.001
Age, year	59.21 ± 11.66	44.27 ± 13.80	<0.001	53.04 ± 13.87	43.57 ± 10.54	0.007
Smoking history (absent /present)	16/18	29/12	0.037	5/19	24/4	<0.001
Maximum diameter, mm	25.22 ± 6.05	26.43 ± 8.84	0.483	27.75 ± 11.86	26.79 ± 10.47	0.756
Margin (well defined/ill defined)	27/7	33/8	0.908	19/5	23/5	1.000
Heterogeneous appearance (absent /present)	14/20	24/17	0.134	15/9	15/13	0.516
Growth pattern (focal/ multifocal)	25/9	39/2	0.021	19/5	27/1	0.132
Cystic or necrotic areas (absent /present)	15/19	24/17	0.213	11/13	19/9	0.109
Lobulated appearance (absent /present)	20/14	14/27	0.033	18/6	13/15	0.036
Parotid tail involvement (absent /present)	13/21	26/15	0.030	4/20	22/6	<0.001

F: female; M: male; PMA: pleomorphic adenoma; WT: Warthin's tumour.

Numerical data are presented as mean ± standard deviation, categorical data as numbers (n).

P1: the  $P$ -value of comparison between WT and PMA in training set; P2: the  $P$ -value of comparison between WT and PMA in test set.



**Figure 2** Selection of radiomics features via the least absolute shrinkage and selection operator (LASSO) regression algorithm. (a) Tuning parameter ( $\lambda$ ) selection in LASSO model used tenfold cross-validation via 1-standard error criterion. The optimal values of the LASSO tuning parameter ( $\lambda$ ) are indicated by the dotted vertical lines (the right one), and a value  $\lambda$  of 0.086 was chosen. (b) LASSO coefficient profiles of the 952 radiomics features. A coefficient profile plot was generated versus the selected  $\log \lambda$  value using tenfold cross-validation. Twelve radiomics features with non-zero coefficients were finally selected.

Resampling (voxel size =  $1 \times 1 \times 1 \text{ mm}^3$ ) was performed to ensure the conservation of scales and directions when deriving the 3D features.<sup>21</sup> Z-score normalization was also performed as preprocessing steps for data to guarantee the repeatability of the results.

The intraobserver and interobserver reliabilities of the radiomics features were estimated using 20 randomly chosen images. To evaluate interobserver reliability, the tumour segmentation was independently performed by two radiologists (Readers 1 and 2) over the same period. Reader one then repeated the same procedure at a 2-week interval to evaluate intraobserver reliability. Reader one then performed the remaining image segmentations. The agreement was evaluated using the intraclass correlation coefficient (ICC). Features with ICCs  $> 0.75$  were considered to show good agreement

and were selected for further analysis, whereas features with ICCs  $\leq 0.75$  were excluded.

#### Feature selection and development of the radiomics signature

Two-step feature selection methods were used to minimize overfitting<sup>22</sup> and identify the features that were most effective for discriminating between WT and PMA. One-way analysis of variance (ANOVA) was first performed to select statistically significant features for the training set. The selected features were then input into a least absolute shrinkage and selection operator (LASSO) regression algorithm<sup>23</sup> to identify the most effective features (with non-zero coefficients) for discriminating between WT and PMA. The tuning

**Table 2** Radiomics feature selection results

Variables	Sequences	Radiomics feature name
A	T1WI	Correlation. GLCM. Wavelet HHL
B	fs-T2WI	Imc2. GLCM. Wavelet LLH
C	fs-T2WI	Kurtosis. First order. Original
D	fs-T2WI	Kurtosis. First order. Wavelet HLL
E	fs-T2WI	Correlation. GLCM. Wavelet LLH
F	fs-T2WI	Size Zone Non-Uniformity Normalized. GLSZM. Wavelet LLH
G	fs-T2WI	Cluster Shade. GLCM. Wavelet HLH
H	fs-T2WI	Cluster Shade. GLCM. Wavelet HHL
I	fs-T2WI	MCC. GLCM. Wavelet HHL
J	fs-T2WI	Cluster Shade. GLCM. Wavelet LLL
K	fs-T2WI	Gray Level Non-Uniformity Normalized. GLRLM. Wavelet LLL
L	fs-T2WI	Gray Level Non-Uniformity Normalized. GLSZM. Wavelet LLL

GLCM: Gray level co-occurrence matrix; GLSZM: Gray level size zone matrix; GLRLM: gray level run length matrix; T1WI:  $T_1$ -weighted images; fs-T2WI: fat-saturated  $T_2$  weighted images.



**Table 3** The results of Rad-score and Nomo-score in the training and test sets

	Training set (n = 75)		P1	Test set (n = 52)		P2
	WT (n = 34)	PMA (n = 41)		WT (n = 24)	PMA (n = 28)	
Rad-score	-0.457 ± 0.632	0.757 ± 0.530	<0.001	-0.395 ± 0.685	0.750 ± 0.605	<0.001
Nomo-score	-3.229 ± 2.927	2.866 ± 2.248	<0.001	-2.424 ± 2.627	2.900 ± 2.735	<0.001

Nomo-score: nomogram score.; PMA: pleomorphic adenoma; Rad-score: radiomics score; WT: Warthin's tumour.

Numerical data are presented as mean ± standard deviation, categorical data as numbers (n).

P1: the *P*-value of comparison between WT and PMA in training set; P2: the *P*-value of comparison between WT and PMA in test set.

regularization parameter  $\lambda$ , which controls the strength of regularization (a method commonly used for alleviating overfitting in machine learning<sup>24,25</sup>), was chosen using 10-fold cross-validation via the one-standard error of the minimum criteria (the 1-SE criteria, a simpler model). A radiomics signature was constructed based on the finally selected features, and a radiomics score (Rad-score) was generated for each patient using a linear combination of the values of the selected features weighted by their corresponding non-zero coefficients.

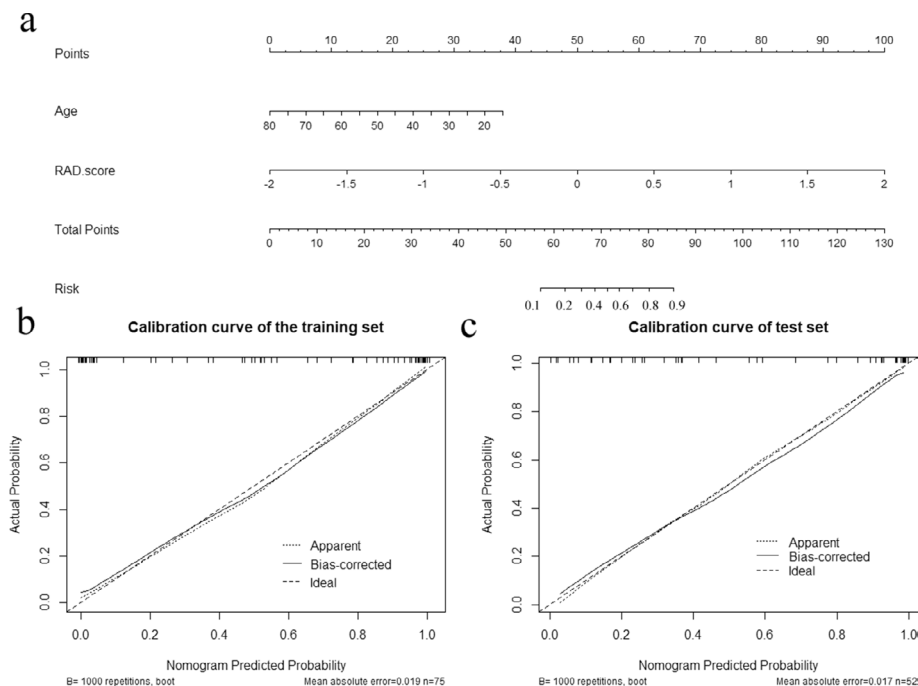
#### Radiomics nomogram construction

A radiomics nomogram incorporating the Rad-score and independent clinical factors was constructed using multivariate logistic regression. A radiomics nomogram score (Nomo-score) was then generated for each patient using the Rad-score and independent clinical factors. To investigate the performance characteristics of the

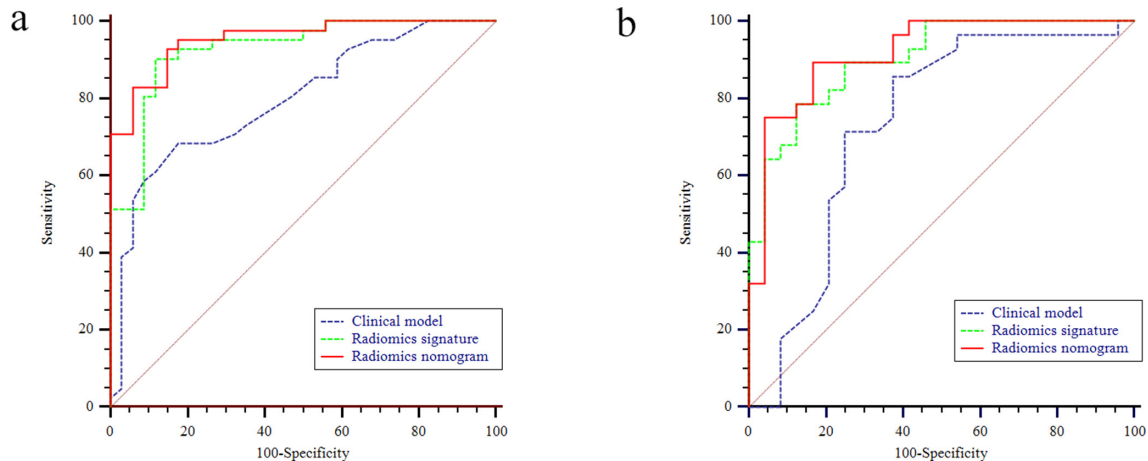
radiomics nomogram, calibration curves were graphically generated for both the training and test sets.

#### The discrimination performance of the different models

The area under the curve (AUC) of the receiver operating characteristics (ROC) curve was calculated for both the training set and test set, to assess the discrimination performance of the clinical model, radiomics signature, and radiomics nomogram. The sensitivity, specificity and accuracy of the three models were also calculated. Decision curve analysis (DCA) was conducted to estimate the clinical utility of the three models by quantifying their net benefits at different threshold probabilities when applied to the test set.



**Figure 3** Developed radiomics nomogram and calibration curves for the radiomics nomogram. (a) Age and Rad-score were used for building the radiomics nomogram. Calibration curves for the radiomics nomogram in the training (b) and test (c) sets. Calibration curves indicate the goodness of fit of the nomogram. The 45° straight line represents the perfect match between the actual (Y-axis) and nomogram-predicted (X-axis) probabilities. A closer distance between two curves indicates higher accuracy



**Figure 4** The receiver operating characteristic (ROC) curves of the clinical model, the radiomics signature and the radiomics nomogram in the training (a) and test (b) sets, respectively.

*Statistical analysis*

SPSS v.17.0 (SPSS Inc., Chicago, IL, USA) and R statistical software (v.3.3.3; <https://www.r-project.org>) were used for statistical analysis. A two-sided *P*-value of <0.05 was considered significant. The chi-square test and Fisher’s exact test were used for qualitative data, and the independent samples *t*-test was used for quantitative data. The R packages used were: (1) the “pROC” package for the ROC curves, (2) the “rms” package for the nomograms and calibration curves, (3) the “glmnet” package for LASSO logistic regression, and (4) the “rmda” package to perform the DCA. All the packages can be downloaded at <https://cran.r-project.org/web/packages/>.

**Results**

*Clinical model construction*

The characteristics of the patients in the training and test sets are detailed in Table 1. In the training set, gender, age, smoking history, growth pattern, lobulated appearance and parotid tail involvement showed significant differences between the WT and PMA groups. After multiple logistic regression analysis, only age (*p* <

0.001, odds ratio = 0.910, CI, 0.866 to 0.955) remained an independent predictor in the clinical model.

*Feature selection and development of the radiomics signature*

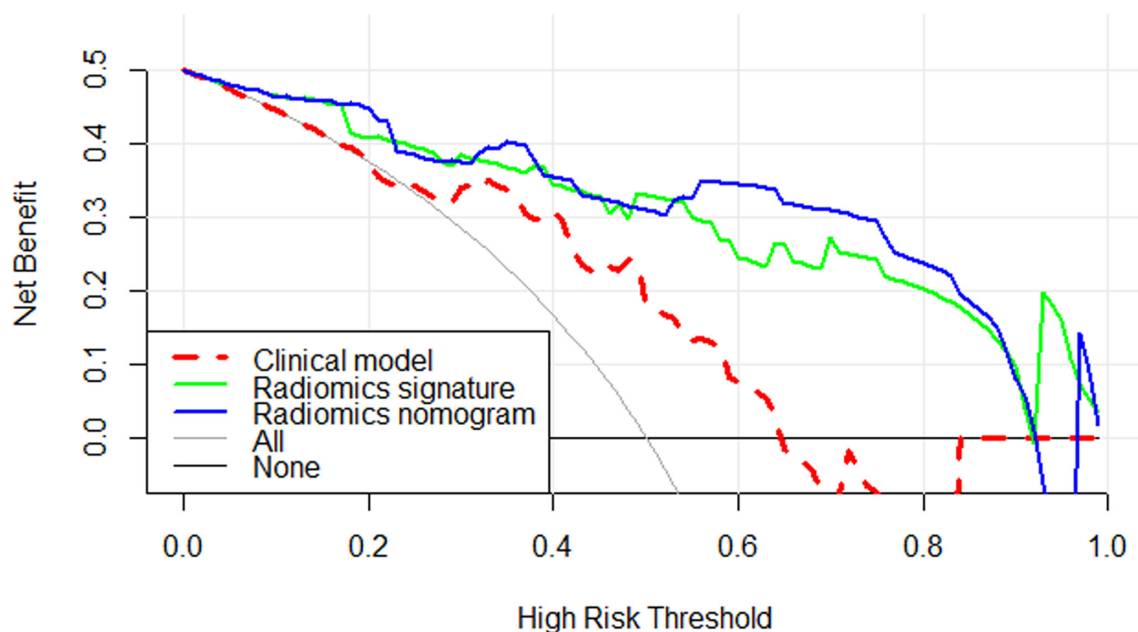
In total, 1521 features with satisfactory inter- and intraobserver agreements were included in further analysis (Supplementary Table 2). A total of 952 features showed significant differences between WT and PMA based on a one-way ANOVA applied to the training set. After application of the LASSO regression model, 12 features with non-zero coefficients were finally selected to build the radiomics signature with an optimal regulation weight  $\lambda$  of 0.086 under the 1-SE criterion (Figure 2, Table 2). The Rad-score was calculated using the following formula: Rad-score =  $-1.467 - (A \times 0.459) + (B \times 2.509) - (C \times 0.179) + (D \times 0.467) + (E \times 0.144) - (F \times 0.131) + (G \times 0.029) - (H \times 0.075) + (I \times 0.067) - (J \times 0.136) - (K \times 0.568) - (L \times 0.534)$ . The variables A to L represent the selected radiomics features. There was a significant difference in the Rad-score between WT and PMA in the both training and test sets (Table 3).

**Table 4** Diagnostic performance of the clinical model, the radiomics signature and the radiomics nomogram

Model	AUC (95% CI)	Sensitivity %*	Specificity %*	Accuracy %*
<b>Training set (n = 75)</b>				
Clinical model	0.797 (0.689 to 0.881)	68.29 (28/41)	82.35 (28/34)	74.67 (56/75)
Radiomics signature	0.926 (0.842 to 0.974)	90.24 (37/41)	88.24 (30/34)	89.33 (67/75)
Radiomics nomogram	0.953 (0.878 to 0.989)	92.68 (38/41)	85.29 (29/34)	89.33 (67/75)
<b>Test set (n = 52)</b>				
Clinical model	0.734 (0.593 to 0.847)	85.71 (24/28)	62.50 (15/24)	75.00 (39/52)
Radiomics signature	0.902 (0.787 to 0.967)	78.57 (22/28)	87.50 (21/24)	82.69 (43/52)
Radiomics nomogram	0.918 (0.808 to 0.976)	89.29 (25/28)	83.33 (20/24)	86.54 (45/52)

CI : confidence interval ; AUC : area under the curve

\*Numbers in parentheses were used to calculate percentages



**Figure 5** Decision curve analysis for three models. The y-axis indicates the net benefit; x-axis indicates threshold probability. The red-dotted line, green line and blue line represent net benefit of the clinical model, the radiomics signature and the radiomics nomogram, respectively. The radiomics nomogram had a higher overall net benefit in differentiating WT from PMA than the clinical model and simple diagnoses such as all PMA (gray line) or all WT patients (black line) across the full range of threshold probabilities at which a patient would be diagnosed as PMA. WT = Warthin's tumour; PMA = Pleomorphic adenoma.

#### Radiomics nomogram construction

The radiomics nomogram was constructed incorporating age and Rad-score (Figure 3a). The Nomo-score for this nomogram was calculated using the following formula:  $\text{Nomo-score} = 3.942 + (\text{Rad-score} \times 3.898) - (\text{Age} \times 0.091)$ . The Nomo-score showed a significant difference between WT and PMA in both the training and test sets (Table 3), while the calibration curves showed that the radiomics nomogram had good calibration in both the training and test sets (Figure 3b and c).

*The discrimination performance of the different models:* The discrimination performance of each model is shown in Table 4. The ROC curves of each model for both training and test sets are shown in Figure 4. For both training and test sets, the radiomics nomogram had better discrimination performance and a higher AUC than the clinical model ( $p < 0.001$  in the training set;  $p = 0.009$  in the test set).

The DCA of the three models (Figure 5) indicates that for the differentiation of WT from PMA, the radiomics nomogram had a higher overall net benefit than the other models across the majority of the range of reasonable threshold probabilities. Therefore, we believe that the radiomics nomogram developed in our study could be a reliable clinical diagnostic tool **for discriminating between WT and PMA.**

#### Discussion

The differentiation between WT and PMA is necessary because of their different biological behaviours and treatments. In the current study, we developed an MRI radiomics-based prediction nomogram for discriminating between WT and PMA. Our results show that this preoperative MRI-based radiomics nomogram had excellent performance for differentiating WT from PMA in both the training and test sets, with AUCs of 0.953 and 0.918, respectively. Furthermore, DCA showed that this nomogram was clinically useful.

It can be challenging to distinguish between WT and PMA on the basis of traditional CT and MR imaging, because the imaging characteristics of these two tumours are generally very similar.<sup>26</sup> Our results showed that there were no significant differences between WT and PMA in regard to tumour size, margin, heterogeneous appearance and cystic or necrotic formation. Only a lobulated appearance was more common in the WT groups. Previous studies have reported that some demographic features are associated with WT, such as male predominance, higher incidence in the fifth and sixth decades of life and a smoking history.<sup>1,27,28</sup> Furthermore, WT has a tendency to show multiplicity, bilaterality and parotid tail involvement.<sup>1,29</sup> Our results are similar to those of previous studies, with the multiple logistic regression analysis showing age to be an independent predictor of WT. Our clinical model demonstrated that a parotid tumour in an old age patient was more likely to be WT than PMA.

Fine needle aspiration (FNA) biopsy has been increasingly used to obtain tissue for pathological analysis of parotid tumours.<sup>27</sup> However, some disadvantages of FNA have been reported, such as facial nerve palsy and high rates of insufficient diagnostic aspirations.<sup>30–32</sup> Recently, diffusion-weighted imaging (DWI) has been used to evaluate parotid gland tumours, with some studies demonstrating that DWI is of value for distinguishing PMA from WT.<sup>2,27,33,34</sup> However, analyses in areas of tumour-related cyst formation, necrosis and haemorrhage were excluded from these studies. In the current study, the tumour segmentations included the entire tumour volume, without excluding any tumour areas.

Radiomics can non-invasively extract a high throughput of quantitative information from traditional medical images, making it possible to evaluate the internal textures of tumours that cannot be captured by visual assessment. Some previous studies incorporating radiomics or radiomics nomograms have reported good predictive values for the differential diagnosis of tumours.<sup>15,16,35–37</sup> However, at the time of writing, only texture analysis has been applied to the diagnosis of parotid gland tumour. A previous study demonstrated that MRI texture analysis based on T1WI could be used to distinguish WT from PMA.<sup>10</sup> Al Ajmi *et al*<sup>11</sup> also found that multienergy CT texture analysis could quantitatively discriminate WT from PMA, with accuracy, sensitivity and specificity of 93%, 92% and 94%, respectively. In the current study, the radiomics nomogram model we created provided a comprehensive description of the tumours by extracting more statistical features than used in conventional texture analysis. Our results showed that this radiomics nomogram combining age

and radiomics signature had good preoperative prediction performance. Additionally, we used an external validation method to validate the constructed nomogram in the current study, which is a stronger design for evaluating model performance.<sup>38</sup>

Some limitations of this study should be noted. First, the retrospective design of this study brings the potential for selection bias. A second limitation is the relatively small sample of external test data. Multicentre studies with larger samples are needed to further validate this nomogram. Third, the radiomics signature built in this study was only based on non-contrast-enhanced MRI. Further advanced MRI acquisitions such as contrast-enhanced T1WI or DWI are needed to achieve a high-level of evidence for clinical application.

## Conclusion

In conclusion, we developed and validated a radiomics nomogram incorporating a radiomics signature and age. This nomogram is an effective tool to preoperatively and non-invasively distinguish WT from PMA and could optimize clinical decision-making.

## Ethical Standards and Patient Consent

We declare that all human have been approved by the Institutional Review Board of the Affiliated Hospital of Qingdao University and have therefore been performed in accordance with the ethical standards laid down in the 1964 Declaration of Helsinki and its later amendments. We declare that all patients gave informed consent prior to inclusion in this study.

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