

## Review

## Overlapping evidence of innate immune dysfunction in psychotic and affective disorders

H.K. Hughes<sup>a,b</sup>, P. Ashwood<sup>a,\*</sup><sup>a</sup> Department of Medical Microbiology and Immunology, University of California Davis, Davis, CA, USA  
<sup>b</sup> MIND Institute, University of California Davis, Davis, CA, USA

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## ABSTRACT

Disturbances of the immune system and immune responses after activation are a common finding in neuropsychiatric disorders. Psychotic and affective disorders such as major depressive disorder (MDD), schizophrenia (SCZ) and bipolar disorder (BD) also share high rates of comorbidity with inflammatory and metabolic disorders. Evidence of elevated circulating inflammatory cytokines, altered numbers and function of immune cells, and evidence of neuroinflammation including activation of microglia in the brain have been found in patients with SCZ, BD and MDD. Often these findings correlate to psychological state at the time of measurement. However, significant variation exists across these studies in many aspects, creating challenges in identifying a specific signature of immune dysfunction in these disorders. Innate immune dysfunction, and alterations in monocytes, the critical sentinel cells of the innate immune system, have been seen repeatedly in all three of these disorders, with frequent overlap in findings. In this review, dysfunction specific to the innate arm of the immune system is compared for overlapping evidence across three major psychotic and affective disorders.

## 1. Introduction

The etiologies of neuropsychiatric disorders are largely unknown, however immune dysfunction and abnormalities have been noted for decades in these disorders and continue to be vigorously investigated as more evidence reveals the interplay of the immune and nervous systems. Evidence that the immune system plays a role in psychotic and affective disorders includes pathway analyses of data from genome-wide association studies (GWAS) that link genes involved in immune system signaling with schizophrenia (SCZ), bipolar disorder (BD) and major depressive disorder (MDD) (Network and Pathway Analysis Subgroup of Psychiatric Genomics, 2015). Prenatal infections confer an increased risk for psychiatric disorders in offspring (Brown, 2012; Canetta et al., 2014; Miller et al., 2013; Parboosingh et al., 2013) and these findings are supported by preclinical models of maternal infection (Meyer and Feldon, 2010; Smith et al., 2007). Results from cytokine studies indicate that immune dysfunction may occur in psychiatric patients. Within these studies, increases in inflammatory cytokines associated with monocytes and macrophages are seen with consistency across these disorders [reviewed in (Goldsmith et al., 2016)]. These findings have led to the hypothesis that myeloid cell dysfunction may be participating in the

pathogenesis of these disorders. This review provides an overview and comparison of abnormal innate immune findings in SCZ, BD and MDD, including similarities across all three disorders (Fig. 1).

Activation of the immune system is a highly regulated process involving two separate arms: the innate and adaptive arms. The innate immune system is the first line of defense intended to confer rapid and broad protection against invading pathogens by recognition of pathogen-associated molecular patterns (PAMPs) via toll-like receptors (TLRs) and other pattern recognition receptors (Mogensen, 2009). Cells of the innate immune system include cells of myeloid lineage such as neutrophils, monocytes, macrophages and dendritic cells, which play important roles in phagocytosis of pathogens, debris or dead and dying cells, and in antigen-presenting to the adaptive immune T cells (Chaplin, 2010). The adaptive arm of the immune system often develops unique specificity to pathogenic antigens and confers protective memory, however this process takes time and considerable energy/resources to develop after initial exposure to pathogens and coordination with cells of the innate arm. The adaptive immune system mainly consists of T and B cells, derived from lymphoid progenitors (Chaplin, 2010). Other components of the immune system include acute phase proteins, complement, antibodies, and cytokines which are signaling proteins produced in response to activation of

\* Corresponding author. Department of Microbiology and Immunology, the MIND Institute, 2805, 50th Street, Sacramento, CA, 95817, USA.  
 E-mail address: [pashwood@ucdavis.edu](mailto:pashwood@ucdavis.edu) (P. Ashwood).

both innate and adaptive immune cells, and exposure of other cells (such as epithelial cells) to PAMPs (Chaplin, 2010).

## 2. Inflammatory cytokines

Cytokines are the chemical messengers of the immune system, many with pleiotropic functions to enhance or inhibit the inflammatory processes of various immune cells. Identifying differences in circulating cytokines can offer a comparative snapshot of inflammatory and anti-inflammatory profiles between groups as well as during different states of disease. Over the past few decades, there have been dozens of studies measuring cytokines in plasma, serum and cerebral spinal fluid (CSF) in psychiatric disorders, with significant heterogeneity in results. Meta-analyses can help clarify which inflammatory patterns are most often seen across studies, with several recent meta-analyses coming to the consensus that elevations in circulating inflammatory cytokines associated with innate immune activation are seen in psychotic and affective disorders. In non-medicated first episode psychosis (FEP) patients, interleukin (IL)-1 $\beta$ , IL-6, tumor necrosis factor (TNF)- $\alpha$  and soluble IL-2 receptor (sIL-2R) were all significantly elevated (Upthegrove et al., 2014). Pillinger et al. also found increases in IL-6, IL-17, TNF- $\alpha$ , and interferon (IFN)- $\gamma$  in FEP patients (Pillinger et al., 2019). Increased transforming growth factor (TGF)- $\beta$  was noted, however there was significant heterogeneity within the patient population for this cytokine (Pillinger et al., 2019). In BD, significant elevations of inflammatory cytokines were found in manic patients compared to healthy controls, including TNF- $\alpha$ , soluble tumor necrosis factor receptor type 1 (sTNF-R1) and sIL-2R. This meta-analysis also found that manic patients had these elevations compared to the euthymic BD patients, indicating differences in inflammatory profiles based on clinical status (Munkholm et al., 2013). A separate meta-analysis from the same year found increased IL-4, TNF- $\alpha$ , and several soluble inflammatory receptors in both manic and euthymic BD patients compared to HC, along with a trend in increased IL-6 (Modabbernia et al., 2013). Increased IL-6 was often seen across studies, including a meta-analysis of cytokines measured in unmedicated MDD patients that found increased TNF- $\alpha$  and IL-6 in depressed patients compared to controls (Dowlati et al., 2010). Our own research recently identified increased plasma IL-6 in SCZ compared to healthy controls (Lesh et al., 2018), and expression of IL-6 mRNA in peripheral blood mononuclear cells (PBMC) has been proposed as a biomarker in SCZ (Chase et al., 2016).

Goldsmith et al. performed a meta-analysis of blood cytokines across SCZ, BD and MDD, and included differences between acutely versus

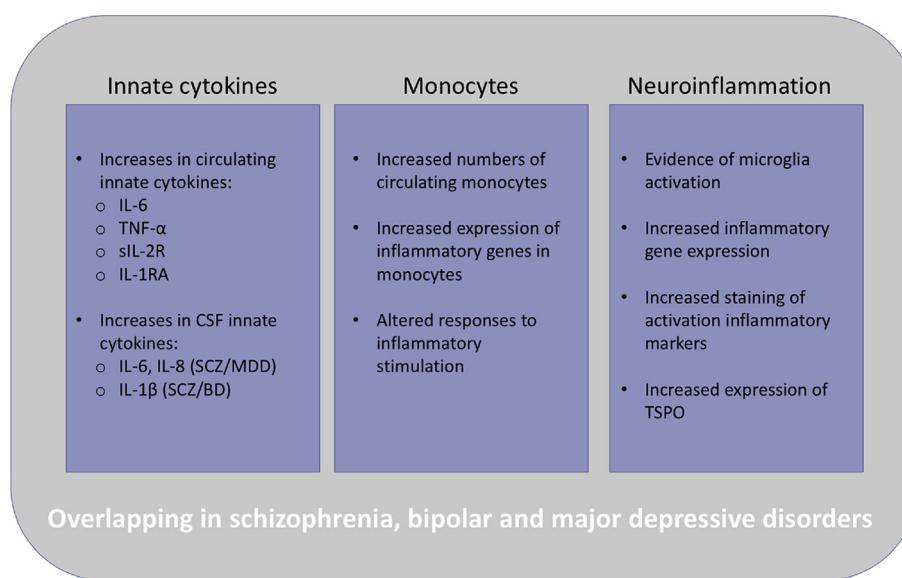
chronically ill patients. Their findings suggest a common dysfunction in inflammatory pathways in all three disorders, which potentially mediates aspects of their pathophysiology. Significant elevations of IL-6, TNF- $\alpha$ , sIL-2R and IL-1RA were found during acute phases of all three disorders compared to healthy controls. IL-6 was elevated in chronically ill patients across disorders, and IL-1 $\beta$  and sIL-2R was increased in chronic SCZ and euthymic BD. They also identified reductions in inflammatory cytokines including IL-6 after treatment of acute episodes (Goldsmith et al., 2016). In addition to blood levels of cytokines across these disorders, a meta-analysis of CSF cytokines found similar results, with increases in IL-1 $\beta$  in SCZ and BD, and both IL-6 and IL-8 in SCZ and MDD, however correlations to clinical status were not included in this meta-analysis (Wang and Miller, 2018). The role of these inflammatory cytokines in these disorders is yet unknown, however, it is noteworthy that cytokine-based immunotherapy for unrelated disorders such as hepatitis C have been associated with episodes of psychosis and depression, and adjunctive treatment with anti-inflammatory medications such as NSAIDS is associated with improved clinical scores in SCZ (Miller and Buckley, 2016; Nitta et al., 2013), therefore, elevations in inflammatory cytokines may be contributing to psychopathology of these disorders.

## 3. Myeloid cell dysfunction

Inflammatory cytokines such as IL-1 $\beta$ , TNF- $\alpha$ , and IL-6 are associated with innate immune activation. These cytokines are known to induce fever and IL-6 is a major mediator of acute phase protein production in the liver. Large amounts of these cytokines are produced by activated innate immune cells including monocytes/macrophages during acute phase responses to pathogens. The consistent elevations of these cytokines seen in these disorders may suggest chronic activation or dysfunction in this subset of cells (Arango Duque and Descoteaux, 2014).

Derived from myeloid precursors in bone marrow, circulating monocytes are sentinels tasked with patrolling their environment and during inflammatory events they are recruited to tissues where they join tissue-resident macrophages (Dey et al., 2015). Macrophages have high phenotypic plasticity, and can be polarized in vitro to classical inflammatory "M1" phenotype through stimulation with LPS plus IFN- $\gamma$  or TNF- $\alpha$ . Through stimulation with IL-4 and IL-13, the M1 phenotype is inhibited and cells skew to the alternatively activated M2 phenotype, of which there are several sub-phenotypes. These cells can also lie somewhere along the spectrum between phenotypes, and depending on the local milieu, macrophages can interconvert between phenotypes. When activated, M1 macrophages are IL-12 $^{hi}$ IL-23 $^{hi}$ IL-10 $^{lo}$  and produce high

**Fig. 1.** Overview of the innate immune findings that overlap in schizophrenia and psychotic disorder, bipolar disorder, and major depressive disorder. Inflammatory immune findings are common in neuropsychiatric disorders and have been under investigation for decades. This review discusses findings specific to innate immunity and monocyte/microglia activation and dysfunction. Specific alterations of the innate arm of the immune system overlap in psychotic and affective disorders, and may suggest common pathophysiological pathways. However, these findings are not always consistent and state of the disorder (i.e. acute episodes versus chronic illness) may be influencing immunological outcomes. Inconsistencies may also be due to treatments such as antidepressant and antipsychotic medications.



levels of proinflammatory cytokines including IL-1 $\beta$ , IL-6, IL-8 and TNF- $\alpha$ , and participate in Th1 cell polarization. M2 macrophages are IL-12<sup>lo</sup>IL-23<sup>lo</sup>IL-10<sup>hi</sup>TGF- $\beta$ <sup>hi</sup> and produce anti-inflammatory IL-10 which can suppress an M1 phenotype (Italiani and Boraschi, 2014).

Altered cell concentrations and responses attributed to innate immune cells have often been seen in neuropsychiatric disorders (summarized in Table 1). For example, increased circulating monocytes have been seen in SCZ and FEP (Drexhage et al., 2011a; Orhan et al., 2018; Rothermundt et al., 1998; Zorrilla et al., 1996), and recent meta-analysis identified significantly elevated neutrophil/lymphocyte and monocyte/lymphocyte ratios in non-affective psychosis patients compared to health controls, indicating the presence of systemic inflammation (Mazza et al., 2019). During acute psychotic episodes, monocytes were increased in CSF and found to occasionally aggregate with lymphocytes, indicating upregulation of adhesion molecules or functional costimulatory interactions occurring between cells (Nikkilä et al., 2001). Increased monocytes have also been seen in MDD (Seidel et al., 1996) and BD (Barbosa et al., 2014), however, results have not always been as consistent and some studies found increases in only non-classical monocytes (Hasselmann et al., 2018), decreases in monocytes or no differences compared to healthy controls (Drexhage et al., 2011b; Torres et al., 2009).

Altered expression of inflammatory genes have been seen in monocytes from patients with BD (Padmos et al., 2008), and in a later study that included SCZ patients they found a high “inflammatory set point” established in monocytes from both SCZ and BD patients, with elevated expression of subsets of genes shared across disorders. BD monocytes also had dysregulation in motility/adhesion factors (Drexhage et al., 2010). These researchers later identified increases in inflammatory genes during mood episodes compared to euthymic states, suggesting that inflammation in BD may be dependent on state (Becking et al., 2015). Gene expression of monocytes from MDD patients were found to have enrichment for several inflammatory and chemotaxis mediators (Carvalho et al., 2014), and more recent investigations of MDD monocytes found that inflammatory gene expression increased with age and were associated with a decrease in T regulatory cells, which are critical for immune regulation after activation (Grosse et al., 2015, 2016). Brambilla et al. measured gene expression in PBMC and found elevated M1 markers *il6* and *ccl3* in BD compared to HC and SCZ, indicative of inflammatory monocytes in the bipolar group only. Markers of M2 or alternatively activated monocytes were reduced (Brambilla et al., 2014). A pre-clinical model of psychotic disorders that found differences in cytokine production of bone marrow derived macrophages (BMDM) from offspring of dams treated with polyI:C during gestation. BMDM were stimulated to skew to either an M1 or M2 phenotype, and produced increased inflammatory cytokines and reduced anti-inflammatory cytokines under each condition compared to macrophages from control animals (Onore et al., 2014). Similar activated M1 phenotypes in PBMC stimulated with LPS were seen in a non-human primate model of maternal immune activation (MIA) (Rose et al., 2017).

Not all studies investigating monocytes/macrophages in these disorders had elevated inflammatory phenotypes or responses. When characterizing gene expression specifically from monocyte-derived macrophages (MDMs) in SCZ patients, Ormel et al. did not find differences in phenotype or function of these cells. They found only one gene involved in purinergic signaling with reduced expression in SCZ (Ormel et al., 2017). A significant reduction in inflammatory responses in stimulated MDMs was seen in late stage BD compared to early stage BD and healthy controls, specifically a reduction in IL-1 $\beta$ , IL-6 and IL-10 (Ascoli et al., 2019). Previous investigations by this group found increased production of IL-1 $\beta$  and TNF- $\alpha$  in monocytes from the human monocyte cell line U-937 when exposed to serum from manic and depressive BD patients compared to controls and euthymic BD (Ferrari et al., 2018). They also identified increased circulating molecules that signal cell stress and tissue damage (damage-associated molecular patterns, DAMPs) in serum of BD patients (Stertz et al., 2015). High levels of DAMPs are

**Table 1**  
Summary of myeloid cell findings in SCZ, BD and MDD.

| Numbers of myeloid cells     |  |  |  |
|------------------------------|--|--|--|
| Author/Year                  | Subjects   | Methods  | Findings   |
| Zorrilla et al.<br>(1996)    | 92 SCZ   | Automated cell counter   | ↑ total leukocytes   |
|                              | 94 HC  |  | ↑ total numbers of monocytes and granulocytes  |
| Seidel et al.<br>(1996)      | 33 MDD   | Automated cell counter   | ↑ total leukocytes   |
|                              | 44 HC  |  | ↑ total numbers of monocytes and granulocytes (mainly neutrophils). Monocytes remained elevated over time in patients with more severe MDD |
| Rothermundt<br>et al. (1998) | 44 acutely ill SCZ                                     | Flow cytometry on whole blood  | ↑ absolute and relative monocyte numbers   |
|                              | 44 HC  |  |  |
| Nikkilä et al.<br>(2001)     | 30 SCZ with acute psychosis                            | Papanicolaou stain of fixed CSF slides   | ↑ percentage of macrophages/monocytes, with occasional aggregation with lymphocytes consistent with increased activation                   |
|                              | 46 HC  |  |  |
| Torres et al.<br>(2009)      | 8 SCZ  | Flow cytometry on PBMC   | ↓ percentage of CD14 $^{+}$ monocyte in SCZ.   |
|                              | 7 BD   |  | No relative difference in CD14 $^{+}$ monocytes numbers in BD  |
| Drexhage et al.<br>(2011a)   | 26 SCZ with acute psychosis                            | Flow cytometry on PBMC   | ↑ percentage of monocytes  |
|                              | 26 HC  |  |  |
| Drexhage et al.<br>(2011b)   | 38 BD  | Flow cytometry on PBMC   | No differences in percentage of monocytes  |
|                              | 22 HC  |  |  |
| Barbosa et al.<br>(2014)     | 21 euthymic BD   | Flow cytometry on PBMC   | ↑ percentage of CD14 $^{+}$ monocytes  |
|                              | 21 HC  |  |  |
| Orhan et al.<br>(2018)       | 42 FEP   | Automated cell counter   | ↑ blood monocyte numbers   |
|                              | 22 HC  |  |  |
| Hasselmann<br>et al. (2018)  | 35 MDD   | Flow cytometry on PBMC   | ↓ frequency of classical CD14 $^{++}$ /CD16 $^{-}$ monocytes   |
|                              | 35 HC  |  | ↑ frequency and absolute numbers of non-classical CD14 $^{++}$ /CD16 $^{++}$ monocytes   |
| Mazza et al.<br>(2019)       | 8 observational studies involving FEP and SCZ patients | Meta-analysis that identified differences in WBC ratios in patients compared to HC | ↑ neutrophil/lymphocyte ratio (NLR)  |
|                              |  |  | ↑ monocyte/lymphocyte ratios (MLR)   |

#### Gene and protein expression in monocytes/macrophages

| Author/Year             | Subjects                                   | Methods   | Findings  |
|-------------------------|--|---|---|
| Padmos et al.<br>(2008) | 42 BD<br>25 HC<br>54 BD offspring<br>70 HC | Affymetrix microarray and q-PCR on mRNA from pooled patient CD14 $^{+}$ monocytes | ↑ inflammatory and activated immune signature in monocytes of BD compared to HC. Positive signature was predictive of later onset of mood disorder in unrelated offspring cohort. Downregulation of inflammatory genes seen in monocytes from lithium and antipsychotic treated patients. |

(continued on next page)

**Table 1 (continued)**

| Numbers of myeloid cells |   |   |   |
|--------------------------|---|---|---|
| Author/Year              | Subjects  | Methods   | Findings  |
| Torres et al. (2009)     | 8 SCZ<br>7 BD<br>7 HC                                 | Flow cytometry on PBMC  | ↓ DARPP-32 expression in CD14 <sup>+</sup> monocytes of BD  |
| Drexhage et al. (2010)   | 27 SCZ<br>56 BD                                       | Affymetrix microarray and q-PCR on mRNA from pooled patient CD14 <sup>+</sup> monocytes                           | Strong overlap in inflammatory gene expression in monocytes of SCZ and BD.<br>Cluster analysis identified three subsets of inflammatory genes in monocytes, two of which were shared between SCZ and BD, and one in which was upregulated in BD but downregulated in SCZ. |
| Carvalho et al. (2014)   | 47 MDD<br>42 HC                                       | RTq-PCR of 47 genes on mRNA from purified CD14 <sup>+</sup> monocytes   | ↑ inflammatory and chemotactic gene expression in MDD monocytes.<br>Extensive overlap with clusters previously identified in BD and SCZ.  |
| Brambilla et al. (2014)  | 20 SCZ<br>20 BD<br>20 HC                              | RT-qPCR of 25 immune genes on PBMC mRNA   | ↑ expression of M1 markers<br>↓ expression of M2 markers in PBMC  |
| Becking et al. (2015)    | 82 euthymic BD<br>8 BD with mood episode<br>69 HC     | RTq-PCR of 35 inflammatory genes on mRNA from purified CD14 <sup>+</sup> monocytes                                | ↑ inflammatory gene expression in monocytes during mood episodes.<br>Study limited by small sample size of episodic patients.   |
| Grosse et al. (2015)     | 56 MDD<br>57 HC                                       | RTq-PCR of 38 inflammatory/immune activation genes on mRNA from purified CD14 <sup>+</sup> monocytes              | Inflammatory gene expression in monocytes only ↑ in patients ≥ 28 years.  |
| Ormel et al. (2017)      | 15 SCZ<br>15 HC                                       | RT-qPCR of 24 genes on mRNA from 7-day cultured then stimulated CD14 <sup>+</sup> monocytes isolated from PBMC    | No differences in inflammatory gene expression of monocyte-derived macrophages, however <i>P2RX7</i> expression involved in purinergic signaling was significantly reduced after LPS stimulation.   |
| Ferrari et al. (2018)    | 5 manic BD<br>5 depressed BD<br>8 euthymic BD<br>5 HC | Monocyte cell line cultured with serum from BD patients and HC  | ↑ monocyte production of IL-1β and TNF-α when exposed to serum from patients experiencing manic or depressive episodes.   |
| Hasselmann et al. (2018) | 35 MDD<br>35 HC                                       | RT q-PCR on mRNA from CD14 <sup>+</sup> monocytes   | ↓ expression of steroid signaling genes <i>GR</i> and <i>GILZ</i> in CD14 <sup>+</sup> monocytes  |
| Ascoli et al. (2019)     | 9 early-stage BD<br>9 late-stage BD<br>10 HC          | Stages of BD determined by FAST scoring. MDM obtained from PBMC adherence isolation and 7-day culture with M-CSF. | ↓ inflammatory responses in M1 and M2 MDM in late-stage BD compared to early-stage BD and HC, suggesting progressive  |

**Table 1 (continued)**

| Numbers of myeloid cells |          |         |   |
|--------------------------|----------|---------|---|
| Author/Year              | Subjects | Methods | Findings  |
|                          |          |         | Skewed to M1/M2 with IFNγ + LPS or IL-4 respectively. |

SCZ = schizophrenia; HC = healthy control; MDD = major depressive disorder; CSF = cerebral spinal fluid; BD = bipolar disorder; FEP = first episode psychosis; WBC = white blood cells; q-PCR = quantitative polymerase chain reaction; RT = reverse transcriptase; mRNA = messenger RNA; M1 = classically activated phenotype; M2 = alternatively activated phenotype; LPS = lipopolysaccharide; IL-1β = interleukin 1-beta; TNF-α = tumor necrosis factor - alpha; IFNγ = interferon gamma; IL-4 = interleukin 4; FAST = Functioning Assessment Short Test; M-CSF = macrophage colony-stimulating factor; MDM = monocyte-derived macrophages.

associated with inflammatory diseases and can augment monocyte responses *in vivo* (Piccinini and Midwood, 2010) however, some DAMPs are capable of signaling through TLRs and activating NFkB, and exposure to circulating DAMPs could also be leading to the dampened responses seen in monocytes when cultured with TLR agonists away from the endogenous milieu. For example, mitochondrial DAMPs have been found to induce endotoxin tolerance, a state of impaired inflammatory responses to LPS in monocytes (Ascoli et al., 2019; Fernández-Ruiz et al., 2014; Hernandez-Jimenez et al., 2017; Piccinini and Midwood, 2010). Due to the variable results seen in monocytes/macrophages across these disorders, more studies examining the phenotype and function of these cells are needed.

#### 4. Neuroinflammation

Considered the innate phagocytic immune cells of the brain, the microglia are the guardians and “gardeners” of the brain, essential for the developing architecture of the brain and responsible for responding quickly to danger signals and invaders (reviewed in (Hughes, 2012) (Li and Barres, 2018)]. These cells share functional similarities with monocytes, however, they are sourced differently. Colonized early during development, several fate-mapping studies show that microglia are derived from yolk sac progenitors (Ginhoux and Guilliams, 2016; Gomez Perdigero et al., 2015). As neurogenesis nears completion, the microglia remove neuronal precursor cells through phagocytosis, regulating the size of the neuronal precursor pool (Cunningham et al., 2013). Microglia activation is required to some degree during brain development (Cunningham et al., 2013), however, excessive activation can reduce neuronal connectivity and chronic activation may affect brain volume and is associated with neurodegenerative diseases (Smith et al., 2012).

Microglia also regulate numbers of developing neurons by inducing cell death through respiratory bursts (Marin-Teva et al., 2004). Conversely, these cells also produce trophic factors such as microglia-derived insulin-like growth factor (IGF-) 1 and brain-derived neurotrophic factor (BDNF) that are important for brain homeostasis, neuronal function and survival (Ferrini and De Koninck, 2013). They are critically involved in the maturation of synapses and in remodeling/pruning of immature synapses through constant monitoring of the local environment and synapses by extending and retracting their ramified processes and making contact with synapses and neighboring astrocytes and neurons (Nimmerjahn et al., 2005; Wake et al., 2009). Similar to their peripheral macrophage counterparts, microglia display phenotypic plasticity and when activated under inflammatory conditions can produce inflammatory cytokines, including IL-1β, IL-6 and TNF-α (Smith et al., 2012). However, new insights into the heterogeneity of microglia from transcriptomic studies indicate they may have increased diversity and do not necessarily follow the phenotypic skewing of M1/M2 macrophages, both during homeostatic and activated conditions compared to their peripheral counterparts (Ransohoff, 2016). In fact,

both M1 and M2 markers are present on microglia during neurodevelopment and throughout adulthood, indicating they are not fully committed to either pro versus anti-inflammatory, or “resting” versus “activated” phenotypes (Crain et al., 2013; Ransohoff, 2016).

Neuroinflammation has been proposed to be involved in the pathogenesis of psychotic and affective disorders, however the evidence of microglia activation among these disorders is somewhat inconclusive. Increased inflammatory gene expression and staining of activation and neuroinflammatory markers such as HLA-DR, c-FOS, iNOS, IL-1 $\beta$ , IL-6, IL-1R, TNF- $\alpha$ , and NF- $\kappa$ B expression have been seen in the frontal and prefrontal cortex of psychotic and affective disorders (Bayer et al., 1999; Dean et al., 2010; Fillman et al., 2013; Rao et al., 2010). Increased density of MHC-II+ microglia were also identified in post-mortem prefrontal cortex of brains from SCZ (Fillman et al., 2013), and a recent meta-analysis of 41 studies identifying alterations in cell density found a significant increase in microglia density in schizophrenia (van Kesteren et al., 2017). Increased expression of SERPINA3, known to be produced by macrophages and reactive astroglia, and immune-associated IFITM were consistently seen across SCZ studies (Trepanier et al., 2016). However, patterns of reduced numbers and density of glial cells and neurons have also been identified in schizophrenia and mood disorder post-mortem tissue, with differences in density dependent on the region examined (Ongür et al., 1998; Rajkowska et al., 1999, 2001) and were sometimes specific to type of disorder (Hamidi et al., 2004). Other studies found no differences in microglia density or activation (Hercher et al., 2014; Sneeboer et al., 2019). As a whole, these studies are inconclusive as to whether neuroinflammation is occurring consistently across these disorders, and could, in part, be due to heterogeneity across disorders and variability in study designs, including disparate brain regions and cortical layers studied, differing methods of measurement, medications and/or stage of disorder. *In vivo* studies using positron emission tomography (PET) imaging can further help elucidate activation of the immune system in the CNS.

Activated microglia upregulate expression of the 18 kDa translocator protein (TSPO) previously known as the peripheral benzodiazepine receptor. TSPO is normally expressed in low levels in the healthy brain, therefore identifying increased expression of TSPO via PET imaging can correlate to the extent of microglial activation in the living brain. TSPO radioligands developed for *in vivo* visualization via PET scan determine if this protein is upregulated in glial cells and is potentially an important biomarker of neuroinflammation (Venneti et al., 2013), however, consistency in regions of interest studies, radiotracers used, and outcome measures have been lacking thus far in psychotic and affective disorders (Marques et al., 2019). Although some studies have indicated that TSPO expression is increased in various brain regions of SCZ, BD and MDD patients (Bloomfield et al., 2016; Doorduin et al., 2009; Haarman et al., 2014; Holmes et al., 2018; Richards et al., 2018; Setiawan et al., 2015, 2018; van Berckel et al., 2008), other studies have not seen this same phenomenon (summarized in Table 2) (Collste et al., 2017; Coughlin et al., 2016; Di Biase et al., 2017; Hafizi et al., 2017; Kenk et al., 2015; Notter et al., 2017; van der Doef et al., 2016). Different outcome measures such as binding potential (BP) versus total distribution volume ( $V_T$ ) could explain inconsistencies seen across studies. A recent meta-analysis looked at TSPO studies solely in SCZ/psychosis patients. They chose to exclude any studies not specifically reporting  $V_T$  values as outcome measure. This resulted in reporting five studies in which they concluded that total distribution volume of TSPO is reduced in this disorder, and medication does not appear to be contributing to this reduction (Plavén-Sigray et al., 2018). A separate meta-analysis came to the conclusion that elevations in TSPO were clearly evident when outcome measure was binding potential (BP), but not so when  $V_T$  was the outcome measure.  $V_T$  also resulted in more variability across studies, and the authors suggest that future research follow both measurement approaches for more accurate comparison (Marques et al., 2019). These inconsistencies reported across studies may also be due to variations in the type of radiotracer used. First generation TSPO radiotracers exhibit

high levels of non-specific binding and low signal-to-noise ratio. Newer radiotracers are promising, however they can have variable binding based on the polymorphism in the gene that encodes TSPO, therefore some patients with the low-affinity polymorphism should be omitted from studies otherwise there is a risk for false negative readings (Venneti et al., 2013). Timing of study after diagnosis could contribute to variability, for example some studies measured TSPO at “early onset” while others measured chronic disease in patients years after diagnosis. Medication use may also influence outcomes, as antipsychotics are known to influence expression of TSPO (Danovich et al., 2008).

A theme has emerged that neuroinflammation may be associated with acute psychotic or affective episodes, and the significant variability seen across studies might be due in part to differences in disease states at time of study. For example, in post-mortem studies identifying microglia activation in schizophrenia and affective disorder, increased microglial cell density was seen only in those who had committed suicide (Steiner et al., 2008b), and ionized calcium-binding adapter molecule 1 (IBA-1) staining showed increased numbers of primed microglia with upregulated gene expression of IBA-1 and MCP-1 compared to non-suicidal subjects (Torres-Platas et al., 2014). Suicide was also associated with increased density of IBA-1 positive activated microglia in the ventral portion of the prefrontal cortex, and density was greater at or near blood vessels in this region of the brain in those who died from suicide (Schnieder et al., 2014). S100B, a protein that acts as a DAMP involved in inflammatory processes, was seen elevated in post-mortem SCZ brain tissue depending on paranoid versus residual state (Steiner et al., 2008a). Higher density of HLA-DR+ microglia was also seen in paranoid versus residual states of SCZ (Busse et al., 2012). A recent case-control study compared TSPO BP in unmedicated MDD patients experiencing a major depressive episode (MDE) compared to healthy controls. They found increased TSPO binding in patients with suicidal ideations, compared to healthy controls and patients without suicidal thoughts. This increase was found to be significant within the anterior cingulate cortex (ACC), with BP in this region and the insula correlating with severity of depression (Holmes et al., 2018). Setiawan et al. also found that unmedicated MDD patients experiencing MDE had elevated gray matter TSPO density in the prefrontal cortex (PFC), ACC and insula, and scores from the Hamilton Depression rating Scale (HDRS) correlated significantly with TSPO  $V_T$  in the ACC (Setiawan et al., 2015). TSPO  $V_T$  was also increased in two additional studies of untreated MDD patients, compared to treated MDD patients and healthy controls (Richards et al., 2018) with longer durations of unmedicated illness showing greater increases in TSPO  $V_T$  (Setiawan et al., 2018). TSPO  $V_T$  was not significantly different in any brain regions of interest when evaluating patients with milder symptoms of depression (Hannestad et al., 2013). In one study of SCZ patients that saw no significant differences in TSPO, cortical binding was still found to be correlated to positive symptoms of psychosis and duration of illness (Takano et al., 2010). Most of these studies are limited by the small patient population and may have variability due to non-specific binding however they do provide some evidence that alterations in immune function are taking place in these disorders and further evaluation of TSPO expression in various brain regions, especially when correlated to clinical features, is warranted.

## 5. Conclusion

Decades of research have made clear that some level of immune dysfunction likely plays a role in neuropsychiatric disorders. Unfortunately, due to the high variability across studies, there is little consensus as to the nature and origin of this dysfunction. We summarized recent research indicating evidence of innate immune dysfunction, as the inflammatory cytokine network most often seen in SCZ, BD and MDD reflect activation of innate immune cells such as monocytes and macrophages. There is some evidence, both in post-mortem brain tissue as well as in living PET studies that neuroinflammation and activated microglia may be present during active states of these disorders. However, many of

**Table 2**

Summary of TSPO PET studies in SCZ, BD and MDD.

| Reference                  | N=                                       | Clinical details  | Ligand                        | OM                     | Regions of Interest (ROI)  | Findings   |
|----------------------------|--|---|-------------------------------|------------------------|--|--|
| van Berckel et al. (2008)  | 10<br>SCZ<br>10 HC                       | Recent onset  | [ <sup>11</sup> C](R)-PK11195 | BP <sub>p</sub>        | Whole brain (total gray area)  | ↑BP <sub>p</sub> in total gray area  |
| Doorduin et al. (2009)     | 7 SCZ<br>8 HC                            | Current psychotic episode   | [ <sup>11</sup> C](R)-PK11195 | BP                     | frontal, occipital, temporal and parietal CTX, basal ganglia, HC, CB, thalamus, pons, brain stem   | ↑BP in HC  |
| Takano et al. (2010)       | 14<br>SCZ<br>14 HC                       | Chronically ill   | [ <sup>11</sup> C]<br>DAA1106 | BP <sub>ND</sub>       | mpFC, DLPFC, lateral temporal CTX, parietal and occipital CTX, thalamus, striatum, CB, ACC and PCC   | No differences in BP <sub>ND</sub> . Medial, frontal, temporal and occipital CTX BP <sub>ND</sub> correlated with positive PANSS scores. BP <sub>ND</sub> in total cortical regions correlated with duration of illness. |
| Hannestad et al. (2013)    | 10<br>MDD<br>10 HC                       | Mild-to moderately depressed patients in current MDE                      | [ <sup>11</sup> C]<br>PBR28   | V <sub>T</sub>         | Frontal, temporal, parietal, and occipital CTX, caudate, putamen, thalamus, CB and white matter  | No differences in V <sub>T</sub> , no correlations with depression severity.   |
| Haarman et al. (2014)      | 14 BD<br>11 HC                           | Medicated, euthymic BD (except one)                                       | [ <sup>11</sup> C](R)-PK11195 | BP                     | HC, DLPFC, temporal parietal, frontal and occipital CTX, ACC, PCC, CB, basal ganglia.  | ↑ BP in right HC   |
| Setiawan et al. (2015)     | 20<br>MDD<br>20 HC                       | Moderate to severe MDD in current MDE, unmedicated                        | [ <sup>18</sup> F]FEPPA       | V <sub>T</sub>         | PFC, ACC, insula   | ↑ V <sub>T</sub> in all ROIs examined, correlated with severity of MDE (especially in ACC)   |
| Kenk et al. (2015)         | 16<br>SCZ<br>27 HC                       | Chronically ill and on antipsychotics                                     | [ <sup>18</sup> F]FEPPA       | V <sub>T</sub>         | HC, mPFC, DLPFC, temporal CTX, striatum, corpus callosum, cingulum, superior longitudinal fasciculus, posterior limb of the internal capsule | No differences seen, no correlations with clinical measures, length of illness, or MRI volumes   |
| Bloomfield et al. (2016)   | 14<br>UHR<br>14 HC<br>14<br>SCZ<br>14 HC | Two separate cohorts: 1) unmedicated UHR; 2) SCZ taking antipsychotics    | [ <sup>11</sup> C]<br>PBR28   | V <sub>T</sub> and DVR | total gray matter, frontal and temporal lobes, CB, brain stem  | ↑ DVR in total gray matter, frontal and temporal gray matter in both. UHR DVR in total gray matter correlated with clinical severity scores on CAARMS.   |
| Coughlin et al. (2016)     | 12<br>SCZ<br>14 HC                       | Recent onset  | [ <sup>11</sup> C]DPA-713     | V <sub>T</sub>         | HC, amygdala and 6 cortical regions: insula, cingulate, parietal, frontal, temporal and occipital CTX  | No differences in V <sub>T</sub> . ↑ IL-6 in CSF and plasma, CSF IL-6 levels correlated with plasma levels.  |
| van der Doef et al. (2016) | 19 PD<br>17 HC                           | Recent onset  | [ <sup>11</sup> C](R)-PK11195 | BP <sub>ND</sub>       | frontal, temporal and parietal CTX, striatum, and thalamus   | No differences in BP <sub>ND</sub>   |
| Hafizi et al. (2017)       | 19<br>FEP<br>20 HC                       | Unmedicated FEP, 14 anti-psychotic naïve                                  | [ <sup>18</sup> F]FEPPA       | V <sub>T</sub> and DVR | DLPFC, HC, mPFC, temporal CTX, total gray matter and whole brain   | No differences in V <sub>T</sub> or DVR  |
| Collste et al. (2017)      | 16<br>FEP<br>16 HC                       | Drug naïve FEP  | [ <sup>11</sup> C]<br>PBR28   | V <sub>T</sub>         | Gray matter, frontal and temporal CTX, HC, white matter  | ↓ V <sub>T</sub> in all ROIs except white matter   |
| Di Biase et al. (2017)     | 10<br>UHR<br>33<br>SCZ<br>27 HC          | UHR, 15 recent onset and 18 chronically ill SCZ. Medicated vs unmedicated | [ <sup>11</sup> C](R)-PK11195 | BP                     | Dorsal frontal, orbital frontal, and medial temporal CTX, thalamus, insula, ACC  | No differences in BP across groups   |
| Holmes et al. (2018)       | 14<br>MDD<br>13 HC                       | Unmedicated, 9 MDD were suicidal  | [ <sup>11</sup> C](R)-PK11195 | BP <sub>ND</sub>       | ACC, PFC and insula  | ↑BP <sub>ND</sub> in ACC   |
| Setiawan et al. (2018)     | 50<br>MDD<br>30 HC                       | Current MDE. 25 were untreated greater than 10 years.                     | [ <sup>18</sup> F]FEPPA       | V <sub>T</sub>         | ACC, PFC and insula  | ↑ V <sub>T</sub> in ACC, PFC and insula associated with duration of untreated illness  |
| Richards et al. (2018)     | 18<br>MDD<br>20 HC                       | Current MDE, 12 un-medicated  | [ <sup>11</sup> C]<br>PBR28   | V <sub>T</sub>         | ACC and sgPFC  | Trend for ↑ V <sub>T</sub> in unmedicated ACC and sgPFC  |
| Notter et al. (2017)       | 12<br>SCZ<br>14 HC                       | Recent onset  | [ <sup>11</sup> C]DPA-713     | V <sub>T</sub>         | MFG  | ↓ V <sub>T</sub> in MFG  |

OM = outcome measure; HC = Healthy control; SCZ = schizophrenia; MDD = major depressive disorder; MDE = major depressive episode; BD = bipolar disorder; UHR = ultra-high risk of psychosis; PD = psychotic disorder; FEP = first episode psychosis; CHR = clinical high risk; CTX = cortex; HC = hippocampus; CB = cerebellum; PFC = prefrontal cortex; mPFC = medial prefrontal cortex; DLPFC = dorsolateral prefrontal cortex; ACC = anterior cingulate cortex; BP = binding potential (-p plasma, ND; BP<sub>p</sub> = specific binding over plasma; BP<sub>ND</sub> = specific binding over non-displaceable binding; V<sub>T</sub> = total volume of distribution; DVR = distribution volume ratio; ACC = anterior cingulate cortex; PCC = posterior cingulate cortex; sgPFC = subgenual prefrontal cortex; MFG = mid frontal gyrus; PANSS = The Positive and Negative Syndrome Scale; CAARMS = Comprehensive Assessment of the At-Risk Mental States.

these studies had conflicting or inconclusive results, complicating the overall picture of the degree of immune dysfunction present in SCZ, BD and MDD.

There are many potential confounders to take into account when comparing across these studies, including methodological variation and small sample sizes. Patients groups in these studies were highly heterogeneous and age and gender differences could also account for differing immune responses. The immune system differs in males versus females,

for example, women are more prone to certain autoimmune disorders (Klein and Flanagan, 2016) and age can be a significant confounder in immune studies, as immune responses are more robust in younger persons and diminish with age (Montecino-Rodriguez et al., 2013). Substance use and addiction are highly comorbid in these populations (Ross and Peselow, 2012; Sheidow et al., 2012) and may influence immunological results. For example, serum from schizophrenic smokers increased T cell proliferation and expression of activation markers and

costimulatory molecules compared to serum from schizophrenic non-smokers (Herberth et al., 2010). Disease comorbidity need also be taken into account as metabolic disturbances are higher in psychiatric disorders, including higher prevalence of obesity, diabetes and metabolic disorders. These increases in inflammatory disorders could be due to medication or inherent to condition (SayuriYamagata et al., 2017; Ventriglio et al., 2015). Overall, studies with larger yet less heterogenous cohorts, ideally with medication-naïve patients and that follow similar methodology across studies can help clarify the role of immune dysfunction in these disorders.

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## Declaration of competing interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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