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The Cancer Microbiome: Recent Highlights and Knowledge Gaps

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Abstract

The knowledge of the human microbiome is rapidly expanding and likely a critical factor in the initiation, progression and prognosis of multiple forms of cancer. In this review, we focus on recent investigations to discern putative causative microbial species and/or the microbiome composition and structure currently associated with pro-carcinogenesis and tumorigenesis at select body sites. We specifically highlight forms of cancer, gastrointestinal and non-gastrointestinal, that have significant bacterial associations and well-defined experimental evidence with the aim to generate directions for future experimental and translational investigations to develop a clearer understanding of the multifaceted mechanisms by which microbiota impact cancer formation.

Introduction

The community of bacteria, archaea, fungi, and viruses on and in various sites of the body constitutes the human microbiome. A flourishing literature now links microbiome composition to an assortment of diseases from inflammatory bowel disease to schizophrenia (1,2). Bacteria are an abundant component of the microbiome, particularly in the gut where bacteria are estimated to number $\sim 3.8 \times 10^{13}$ in total (3). Recent advances in our understanding of the mechanisms by which bacterial members of the microbiome may initiate and/or promote tumorigenesis throughout not just the gastrointestinal tract but also other organs will be the focus of review. Experimental models suggest that bacteria can foster the induction and/or development of tumor formation through multiple mechanisms: (i) direct DNA-damaging effects of bacterial toxins, (ii) bacterial metabolites (such as products of Western diet metabolism), (iii) inflammation driven by direct physical interaction with host cells, chronic infections, and invasive biofilm formation,

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and (iv) inhibition of anti-tumoral immune responses (Figure 1). Multiple theories have arisen regarding bacterial involvement in tumorigenesis, including the driver-passenger model including extension of the keystone hypothesis, the hit-and-run model, chronic dysbiosis, and alterations in the spatial distribution of the microbiome and/or barrier integrity in epithelial tissues (Figure 2). For the first, an individual or collection of driver pro-carcinogenic bacteria collaborate with microbiome passengers to promote tumorigenesis. In the subtly different keystone hypothesis, the key driver pathogen, even at minute abundances, enables subsequent colonization of putative collaborative, co-occurring passengers. For the hit-and run model, transient colonization and damage by a pro-carcinogenic bacterium is necessary and sufficient to drive tumorigenesis (4). An important note is, with the exception of *H. pylori*, no additional bacterial species to date has been identified as the causative agent of human tumorigenesis in the absence of a prior host cell genetic mutation. The common link between each model is the disruption of a healthy microbiome, termed dysbiosis. However, a well-defined healthy microbiome membership remains elusive due to significant person-to-person variation. Methods to analyze the composition of the microbiome continue to evolve rapidly with uneven application and ‘best practices’ uncertain. Culturing bacteria from stool samples and other patient tissues is valuable but also inconsistent amongst laboratories with a subset of bacteria remaining unculturable to this day. An early breakthrough in this field was the recognition of the utility of sequencing the 16S rRNA gene to study the human microbiota. Next generation sequencing now allows for increased sequence depth, which when combined with improved computational analyses, allow for in-depth phylogenetic and taxonomic analyses of the microbiome, even to the species level. Sequence detection of all microbial genomes (metagenomics) or transcripts (metatranscriptomics) within a sample have further advanced microbiome analyses. Together, identification of what microbes are present, what genes they contain, and their transcriptional profiles is now feasible in some instances, allowing characterization of both microbiome membership and function. Nonetheless, in tissues or samples with a low abundance of microbes, both accurate taxonomic and functional analyses are challenging. Recent large-scale studies encompassing multiple tumor types have sought: 1) to improve database and computational approaches (particularly to limit sample contaminant reads), thus, facilitating microbiome analyses across cancer cohorts; 2) to develop a deeper understanding of host variables confounding microbiome analyses; and 3) to struggle with the nuances and definition of comparator ‘healthy host’ microbiomes (5–9) (Table 1). Emerging metabolomics and proteomics methods may provide additional insights into the human microbiome. While each approach provides its own unique set of benefits and limitations, a more complete picture of microbiota complex communities and their impact on tumorigenesis will be realized by use of combined methods and incorporation of host cell responses (e.g., RNA-seq).

Thus, the microbiome—microbes and their genomes—presents a tantalizing research frontier for understanding cancer pathogenesis and to devise previously unimagined approaches to cancer prevention, diagnosis and therapy. Herein, we provide a perspective on recent investigations (a collection of literature highlights is found in Table 2) of putative microbiome, primarily bacterial, contributions to the pathogenesis of select gastrointestinal (GI) and non-GI cancers.

Gastrointestinal Cancers

Colorectal cancer

Colorectal cancer (CRC) is the second highest diagnosed cancer and ranks second in cancer-related deaths worldwide (10). The induction of oncogenes and suppression of tumor-suppressor genes in the large bowel results from a series of mutations and epigenetic changes over time, leading to the onset of tumor formation (11,12). Inherited genetic predisposition syndromes, such as familial adenomatous polyposis (FAP), Lynch syndrome, and Peutz-Jeghers syndrome, constitute a minority of CRC cases (11), with the heritability of CRC estimated to be between 12–35% (13). Therefore sporadic CRC developing from environmental stimuli constitutes the majority of cases (11). Lifestyle factors including obesity, diabetes, a Western diet, alcohol consumption, and smoking are recognized as CRC risk factors (11). Each of the aforementioned CRC risk factors alter the gut microbiome. These data, combined with the knowledge that individual strains of bacteria harbor the ability to contribute to tumorigenesis, provided strong support for investigations into the role of the gut microbiome in the initiation and progression of colorectal carcinogenesis (11,13).

Individual bacterial species or strains associated with CRC—Multiple models of bacteria-induced tumorigenesis have been theorized (*see* Introduction and Figure 1, 2), yet a defined sequence of bacteria-driven events in CRC and the fraction of CRC most clearly linked to bacterial species remain poorly defined (14). This lack of clarity suggests that multiple microbial and non-microbial mechanisms may contribute to CRC development. Despite this, specific bacterial strains have been linked to human CRC using a combination of human epidemiological studies that demonstrate plausible associations with tumorigenesis and animal models that demonstrate potential mechanisms (15,16).

Bacteroides fragilis is a commensal member of the human microbiota composing 0.1 – 0.5% of total gut bacteria (17). The majority of *B. fragilis* strains remain benign, however a subset of strains produces the zinc-dependent metalloprotease toxin, *Bacteroides fragilis* toxin (BFT) (17). Toxin-producing strains (enterotoxigenic *B. fragilis* [ETBF]), induce colon inflammation, which has been associated with diarrhea, inflammatory bowel disease, and CRC (17). ETBF stimulates carcinogenesis by activating host colonic epithelial cell (CEC) NF- κ B and STAT3 pathways while additionally recruiting procarcinogenic myeloid inflammation and inducing mucosal IL-17 production (17). BFT binds to CECs through an unknown receptor triggering E-cadherin cleavage resulting in increased barrier permeability, activation of CEC Wnt signaling, induction of c-Myc expression, and amplified CEC proliferation (17). ETBF modulates host gene expression through BFT, increasing chromatin accessibility. Genes impacted by BFT include upregulation of *CEACAM6*, that functions as a receptor for adherent-invasive *E. coli*, and downregulation of *MUC2*, the primary glycoprotein of colonic mucus (18). Combined with its cytoskeletal impact and ability of all *B. fragilis* to digest mucin, ETBF modifies colon barrier function and the CEC apical membrane. Additional alterations to the host genome stem from ETBF-induced genomic hypo- and hypermethylation of human CRC cell line genomes and tumors (18–20). While some results support an association between methylation changes and, for example, reduced expression of genes with known tumor-suppressive functions, direct, consistent linkage of

methylation changes to transcriptional changes remained uncertain and vary based on tumor type (18,20,21). Furthermore, *bft* has been identified more frequently in CRC patients versus controls in both the colon mucosa and in the stool (15). Together, these data lend support for ETBF to be a potent contributor to colorectal tumorigenesis.

Escherichia coli is another common gut commensal bacterium that is mainly considered benign. Harmful strains produce the genotoxin colibactin through a 50-kb hybrid polyketide-nonribosomal peptide synthase operon (*pks*⁺ *E. coli*) (14). Detailed studies have demonstrated colibactin causes DNA inter-strand crosslinks, DNA double-strand breaks, chromosomal aberrances, and cell cycle arrest in human cells *in vitro* (12). Despite the unstable nature of colibactin, specific DNA adducts are formed leading to cytotoxicity and mutations (22). The damage to DNA in combination with intestinal inflammation fosters tumorigenesis in mice (12). In clonal organoids injected with *pks*⁺ *E. coli*, a mutational signal was identified that was absent in organoids injected with an isogenic *pks*⁻ *E. coli* (14). These data provide evidence that colibactin can modify CEC DNA, which is required for CRC development (14). Despite this mutational signature being present in up to 16% of human cancer genomes and associated with *APC* mutation, predominantly in CRC, whether certain *pks*⁺ *E. coli* strains initiate CRC remains undefined (14). Ultimately, these results suggest *pks*⁺ *E. coli* modify host genetics to favor the initiation and propagation of tumorigenesis in the colon.

The Gram-negative anaerobic oral commensal *Fusobacterium nucleatum* has been identified as a potential CRC biomarker in stool and is predominantly found in the tumor microenvironment (TME) (23). However, as with the previously described tumorigenic bacteria, the causality of *F. nucleatum* in CRC is uncertain. Surprisingly, *F. nucleatum* encodes limited canonical virulence factors and no currently described toxins (23). *F. nucleatum* includes four major subspecies: *F. nucleatum animalis*, *F. nucleatum nucleatum*, *F. nucleatum polymorphum*, *F. nucleatum vincentii*. To date, using repetitive inoculation, only select strains of *F. nucleatum* impact colon carcinogenesis in SPF *Apc*^{Min/+} mice (e.g., EAVG_002; 7_1 (24)). No strain has been found to induce colon tumors in germ-free mice (25). *F. nucleatum* utilizes the virulence factor FadA to bind to the extracellular domain of E-cadherin, which induces colon cancer cell proliferation through activation of host CEC Wnt/ β -catenin signaling in addition to TLR4-activated signaling to NF- κ B (23). In support of this mechanism, *FadA* gene expression in human CRC tissue is significantly upregulated compared to healthy tissue controls (26). *F. nucleatum* further induces a proinflammatory tumor-promoting microenvironment by expanding myeloid derived-immune cells. Interestingly, *F. nucleatum* also inhibits anti-tumor responses by Fap2 binding of the human TIGIT receptor, subsequently inhibiting the cytotoxic function of Natural Killer (NK) cells and other tumor-infiltrating lymphocytes (TILs) (23). Importantly, this interaction only occurs in human cells, as *F. nucleatum* does not bind to mouse TIGIT (27). It remains unclear as to whether this lack of murine TIGIT binding may partly explain the disconnect between the strong association studies with CRC in human cross-sectional cohorts vs. the relatively weak and variable tumorigenesis seen in mouse models. Finally, *F. nucleatum* was found to persist with its associated microbiome in distant liver metastases of CRC tumors (28), suggesting that *F. nucleatum* and its associated metastatic microbiota affects on anti-tumoral responses and could play a role in metastatic lesions (29). Taken

together, *F. nucleatum* appears to colonize and expand in the TME to promote CRC through impacts on the host immune response; well-defined *F. nucleatum* procarcinogenic virulence factors deserve more study.

Collectively, the list of putative tumorigenic bacteria and their connection to CRC continues to expand. Similar to *F. nucleatum*, *Streptococcus gallolyticus* has been shown to modify the TME. *S. gallolyticus* induces a proinflammatory state marked by high NF- κ B and IL-8 messenger RNA tissue expression while simultaneously recruiting TILs and myeloid cells that cause an immune-suppressive microenvironment promoting neoplasia (30). *Peptostreptococcus stomatis* and *P. anaerobius* are other candidate bacteria that have been shown to modulate the TME. *P. stomatis* contributes to acidity and hypoxia while *P. anaerobius* leads to ROS accumulation promoting bacterial colonization and cellular proliferation respectively (31,32).

Recently, a meta-analysis of CRC 16S rRNA amplicon sequence data revealed a limited consortium of bacteria, *B. fragilis*, *F. nucleatum*, *P. stomatis*, *Parvimonas micra* and *Gemella morbillorum*, was reliably associated with human CRC (15). Of this consortia all are members of the oral microbiome and were detected both in the oral cavity and tumors of CRC patients presenting a strong trend between CRC pathogenesis and the oral microbiome (15,33,34). Notably, periodontitis was found to generate oral microbiome reactive Th17 cells imprinted with gut tropism, which subsequently migrate to the inflamed gut. These translocated (mouth to gut) Th17 cells led to the development of colitis (35). The precise mechanism of translocation of oral bacteria to cancerous lesions, whether by descent through the digestive tract or by hematogenous routes as a result of chewing or dental hygiene and procedures, remains undefined. Furthermore, recent evidence suggests that at least some gut strains of *F. nucleatum* are identical to those found in the oral cavity in patients, and that hematogenous (tail vein injection) administration of *F. nucleatum* led to better gut colonization in mice compared to oral gavage (36). Whether the route of administration alters tumorigenesis in mouse models – let alone in patients - remains to be understood but provides another important area of consideration for future preventative measures. A continued exploration into the gut microbiome of CRC patients followed by experimental analyses is imperative to divulge key bacteria and their interactions that function to initiate and/or promote CRC.

The impact of the collective microbiome community on CRC—The collective microbiome community likely demonstrates, at a minimum, an equal and critical contribution vs. individual bacteria in influencing CRC. Immune activation through TLR and NOD-like receptor (NLR) signaling during dysbiosis results in low-level colon inflammation, a known common factor of all CRC (2). Furthermore, the diverse metabolites produced by the microbiome in response to host diet directly interface with CECs (Figure 1). Consumption of a Western diet, rich in red meats, processed foods, and low in dietary fiber is associated with an increased risk of CRC, potentiated by lower levels of beneficial short chain fatty acids (SCFAs) and increases in potentially deleterious metabolites such as secondary bile acids, hydrogen sulfide, and others. Fermenting bacteria produce SCFAs such as butyrate following the consumption of non-digestible carbohydrates (13). Butyrate elicits anti-inflammatory properties by downregulating pro-inflammatory cytokines, modulating

colonic T_{reg} cells, regulating gene expression through inhibition of histone deacetylases, and inducing apoptosis in CRC cell lines (2). In contrast to these anti-carcinogenic properties, butyrate induced proliferation of harvested MSH2-deficient CECs *in vitro* (37). These data suggest butyrate may require tight regulation to benefit the host, potentially depending on host cell genotype. The conversion of primary bile acids to secondary structures is dependent on the gut microbiome (13). A high abundance of secondary bile acids have the potential to induce oxidative DNA damage and stimulate colon tumor formation (13), despite these molecules providing numerous benefits. While the data describing the influence and subtle balance of the metabolites produced by the gut microbiome are far from comprehensive, they lend to the significance of the community composition in CRC.

Highly concentrated communities of bacteria that invade the inner, dense, typically sterile mucus layer of the colon, colonic biofilms, are assemblies that may provide continual microbial interaction with the host CECs (3). Mucus-invasive biofilms were present on over 50% of sporadic CRCs in both a US and a Malaysian cohort compared to <15% in healthy colonoscopy controls (15,38). Interestingly, colonic biofilms on histologically normal colonic tissues displayed increased STAT3 activation and a loss of E-cadherin in CECs (38). Three major microbiologically divergent colonic biofilms types were described in sporadic CRC patient samples: polymicrobial (Bacteroidetes, Lachnospiraceae), polymicrobial with Fusobacteria blooms, and Proteobacteria-dominant (15). Human colonic biofilms from both CRC patients and otherwise healthy controls were found to be directly tumorigenic in murine models (25), whereas biofilm-negative normal mucosal tissues were not tumorigenic; biofilm-positive colonic tissues may enable pro-carcinogenic bacteria adherent to the colonic epithelium to deliver virulence factors to CECs. Patients with FAP also harbored nearly ubiquitous mucus-invasive biofilms, although they were primarily composed of ETBF and *pks*⁺ *E. coli* (39). The compounding effects of a dual-pathogen ecosystem were tested in mouse and *in vitro* models, in which ETBF promoted mucin degradation that facilitated mucosal colonization of *pks*⁺ *E. coli* and led to a coordinated increase in tumorigenesis compared to either bacteria alone (39). In subsequent work, human colon biofilms were found to be directly tumorigenic in murine models (25). Together, these data suggest the structure and physical position of the bacterial community in the colon have a critical role in CRC tumorigenesis.

Gastric cancer

The recognition of *Helicobacter pylori* as causal to gastric cancer provides some of the most compelling evidence for the microbiome as a cancer promoter and a roadmap for demonstrating microbial causality in other malignancies (Figure 3, (40)). Gastric cancers of the intestinal type follow a pro-inflammatory trajectory (termed the Correa cascade), advancing from inflamed mucosa/gastritis to gastric atrophy, intestinal metaplasia, intraepithelial neoplasia, and, finally, gastric cancer. As reviewed by Engstrand and Graham, the earliest studies (late 1800's) that examined gastric cancer microflora identified Lactobacilli overgrowth initially presumed to contribute to cancer initiation itself; however, later studies demonstrated that the Lactobacilli and fungal overgrowth was, in fact, likely a bystander effect due to increase in pH (hypo- or achlorhydria), making these bystander organisms, thriving due to the more hospitable environment (41). In the 1980's, however,

H. pylori emerged as a potential cause of gastric cancer and is now recognized as a type I human carcinogen, with ~90% of gastric cancer cases worldwide considered attributable to *H. pylori* infection (42) and ~10% to Epstein-Barr virus infection. Additional microbial players are now being investigated subsequent to the advent of next-generation sequencing (see below).

H. pylori is a common gastric mucosa inhabitant, colonizing approximately 50% of individuals worldwide, and is typically acquired in childhood then persists for life unless treated. *H. pylori* colonization always induces chronic inflammation, and although most individuals are asymptomatic, this inflammation can cause numerous sequelae, including peptic ulcers (10% of infected individuals), gastric adenocarcinomas (1–3%), and mucosa-associated lymphoma (<0.1%) (43). In seminal studies in the early 1990's, prospective case-control studies revealed an association between anti-*H. pylori* antibodies in banked serum samples with increased risk of gastric cancer several years later (44,45). A recent meta-analysis established that clearance of *H. pylori* with antibiotics reduced the risk of incident gastric cancer from 3% to 1.6% in healthy, asymptomatic *H. pylori*-positive individuals over a range of 4–22 years follow-up (46). In a separate study, antibiotic clearance of *H. pylori* similarly reduced the risk of metachronous gastric cancer nearly in half, from 13.4% to 7.2% in *H. pylori*-positive patients with prior endoscopic resection of early gastric cancer or high-grade adenoma (47). As gastric tumors emerge, the gastric environment and TME are less hospitable to ongoing *H. pylori* colonization. Consistent with these observations, a recent study did not observe an enrichment of *H. pylori* in gastric tumors compared to adjacent normal tissue, providing support for the 'hit-and-run' model of bacterial-initiated tumorigenesis, albeit after likely protracted, chronic colonization as occurs in *H. pylori* gastritis in humans (8). Thus, absence of a bacterium at the time of tumor diagnosis does not exclude that a bacterium contributed to tumor pathogenesis.

Mechanistically, inflammation is hypothesized to drive *H. pylori*-associated cancer, as *H. pylori* does not contain any directly genotoxic virulence factors. Rather, *H. pylori* induces the recruitment of neutrophils and macrophages, which, in turn, produce ROS and nitrogen species (RNS). As recently reviewed by Kidane, inflammation-mediated ROS/RNS can directly trigger single-strand DNA breaks (SSB) and/or induce the NF- κ B pro-inflammatory pathway that can trigger double-strand DNA breaks (DSB) (48). *H. pylori* virulence factors (*CagA*, *VacA*) may influence the degree of stomach inflammation, and thus strain differences as well as polymorphisms in host inflammatory cascades influence risk of *H. pylori*-associated gastric cancer (43). For example, *H. pylori* peptidoglycans delivered to host cells via the *cag* pathogenicity island type IV secretion system are recognized by host Nod1, activating NF- κ B signaling; mice deficient in Nod1 are more susceptible to *H. pylori* colonization compared to wild-type mice (43). Co-infection with Epstein-Barr Virus (EBV) may also exacerbate *H. pylori*-associated gastric cancer in ~10% of cases (41). Finally, the pro-inflammatory responses induced by *H. pylori*, including IL-1 β and TNF- α , inhibit stomach parietal cell acid secretion; the resulting hypochlorhydria may promote secondary bacterial overgrowth that may modify chronic gastric inflammation, consistent with the earliest associations between benign Lactobacilli overgrowth and gastric cancer (43).

Although *H. pylori* is the strongest risk factor for gastric cancer, recent next-generation sequencing studies identified oral microbes - similar to those seen in CRC and HNSCC - with gastric cancer (49). Support for a role of oral microbes in gastric cancer also comes from a meta-analysis demonstrating a potential link between tooth loss (a marker for periodontal disease) and risk of gastric cancer (50), although this linkage remains controversial and needs validation. Other studies highlight additional, but inconsistent, microbes putatively contributory to gastric cancer; thus, a meta-analysis of the taxa and functional predictions from published 16S rRNA sequencing data may be of value to assess associations with gastric cancer.

In summary, gastric cancer and *H. pylori* currently represent the strongest link between a single bacterium and cancer causality (Figure 3, (40)), with prospective data demonstrating that *H. pylori* precedes tumorigenesis, while clearance of the organism with antibiotics reduces rates of gastric cancer. While other microbes may influence this tumorigenesis process, the dozens of other microbes associated with gastric cancer from 16S rRNA gene sequencing studies - including the enrichment of oral microbes - are inconsistent, lack clear mechanisms and prospective studies to demonstrate causality. Indeed, the lessons from the early observations of enrichment of *Lactobacillus* in gastric cancer represent an important cautionary tale regarding tumorigenesis associations vs. causality.

Pancreatic Cancer

Pancreatic ductal adenocarcinoma (PDAC) cancer is lethal, with few patients surviving 5 years after diagnosis, in part, because diagnosis most often occurs at an advanced stage of disease. Thus, novel approaches to early diagnosis and treatment are being sought, including exploration of gut and tumor microbiota. The normal human pancreas tissue may harbor a microbiota, both bacterial and possibly fungal, as well as produce antimicrobial peptides (10% of the proteins in exocrine pancreatic fluid) suggesting the pancreas modulates both its intrinsic microbiota and that in the duodenal lumen and gut (51). The mouth, duodenum and gut microbiota all likely seed the pancreatic microbiota. Exactly how the human pancreatic microbiota modulates pancreatic function, immunologic homeostasis and/or susceptibility to pancreatic disease remains unknown.

As with CRC, oral microbiota have been associated with PDAC in 16S rRNA microarray and sequencing studies as well as by detection of plasma antibodies to oral bacteria (51–53). These results are consistent with the epidemiologic association of PDAC with periodontitis. Further, in intraductal papillary mucinous neoplasms (IPMNs), increased intracystic bacterial copy numbers enriched in oral bacteria taxa and inflammatory signals (IL-1 β) associate with IPMNs with high-grade dysplasia or cancer compared to non-IPMN pancreatic cystic neoplasms suggesting that oral bacteria, likely through chronic inflammation, contribute to PDAC pathogenesis. Putative contributing oral microbiota members include bacteria with positive (e.g., *Porphyromonas gingivalis*), negative (e.g., *Neisseria elongata*, *Streptococcus mitis*) and variable (e.g., *Fusobacterium nucleatum*) associations with PDAC, as well as associations with more complex consortia of oral bacterial species (51). Studies of fecal microbiota in patients with PDAC display lower α -diversity (within sample diversity) and phyla shifts vs healthy individuals, a result consistent

with a wide range of microbiota comparisons in disease vs health (51,54). Nonetheless, inter-individual variability of the fecal microbiome among PDAC patients, similar to healthy individuals, is high and preliminary observations found little concordance between PDAC-associated microbial signals and the microbiota in pre-cancerous lesions, suggesting limited utility for use in early detection of pancreatic neoplasia (54).

Informative translational studies of the microbiota in the PDAC tumor bed combined with pre-clinical studies in mouse models have emerged. Overall, in short-term survivors of PDAC (the vast majority of patients), the microbiome assessment of tumor resection samples supports dominance of the phyla Proteobacteria, Firmicutes and Bacteroidetes. Among the Proteobacteria, Enterobacteriaceae (family), *Pseudomonas* (genus) and *Elizabethkingia* (genus) appear notable and, in part, likely represent translocation from the gut into the pancreas where Proteobacteria may flourish (55–57). In one murine study, a pro-tumorigenic role for the species *Bifidobacterium pseudolongum* (phylum Actinobacteria) is highlighted (56), contrasting with work in non-pancreas tumors suggesting that *B. pseudolongum* or *B. longum* promote anti-tumorigenic mechanisms (58,59). Using preclinical mouse models, both germ-free mice and antibiotic-treated mice display limited PDAC growth, strongly supporting that the intratumoral and/or fecal microbiota can promote PDAC progression. Mechanistically, murine models support that the intratumoral microbiota promote PDAC progression through innate- and adaptive-immunosuppression mechanisms whereas microbial ablation with antibiotics fosters T-cell proliferation and immune activation including tumor responsiveness to checkpoint inhibitor therapy (56). The intratumoral bacteria (likely Proteobacteria) may foster PDAC therapeutic resistance by metabolizing gemcitabine, a common PDAC chemotherapeutic, to an inactive form (55). Consistent with these observations, retrospective clinical data suggest that co-incident antibiotic therapy may improve outcomes in PDAC patients treated with gemcitabine (55–57,60–62). In marked contrast, in a study focused on examining the infrequent long term PDAC survivors (LTS; survival >5 years), Riquelme et al (57) presented, using multiple approaches, compelling data that distinct intratumoral microbiome features, increased α -diversity and a consortium of the genera *Saccharopolyspora* (phylum Actinobacteria), *Pseudoxanthomona* (phylum Proteobacteria), *Streptomyces* (phylum Actinobacteria) and species *Bacillus clausii* (phylum Firmicutes) are highly predictive of LTS and, again, likely act through modulation of the tumor microenvironment. Further, PDAC LTS has been linked to identical circulating and intratumoral T-cell clones reactive to both high-quality tumor neoantigens and infectious disease-derived sequences consistent with neoantigen molecular mimicry, suggesting the hypothesis that an individual's exposure to particular microbes over time may serve, in part, to prime an effective neoantigen-specific immune response as PDAC outgrowth emerges (63).

Collectively, the assessment of the PDAC microbiome at the time of PDAC therapy initiation may offer insight into prognosis and help design experimental studies to improve patient survival through modulation of the pancreatic and/or gut microbiome. Longitudinal and family studies of microbiota characteristics and onset of PDAC might further enhance the understanding of microbiota contributions to PDAC derived, to date, only from cross-sectional studies.

Non-Gastrointestinal Cancers

Lung cancer

The lung, with the largest mucosal surface area in the body, displays a complex microbiota molded by both intrinsic (e.g., upper vs lower lobe) and extrinsic environmental factors (e.g., air-borne microbes, smoking, oral microbiome). Lung cancer is a highly heterogeneous tumor and largely cross-sectional studies provide evidence of lung cancer-associated microbiome dysbiosis but with highly variable results (64,65). One study employing both primary lung tissue samples and a validation cohort from The Cancer Genome Atlas (TCGA) suggested Proteobacteria overall increase in the lung cancer microbiome whereas increased *Acidovorax* (phylum Proteobacteria) abundance was specifically found in squamous cell carcinoma with TP53 mutations in smokers, suggesting microbiome-gene and microbiome-exposure interactions (66). Two recent studies provide pivotal data on the local lung microbial and immune mechanisms contributing to lung cancer whereas data supporting gut-lung axis mechanisms are lacking. Jin and colleagues (67) used the KP murine model of lung adenocarcinoma (LUAD), driven by an activating point mutation of *Kras* and loss of *p53*, to clearly demonstrate that an increased lung bacterial load, and even a limited bacterial consortium or bacterial molecules, accelerate LUAD by activating a myeloid cell-lung-resident $\gamma\delta$ -T cell amplification loop that drives pro-carcinogenic inflammation and tumor cell proliferation through IL-17 and polymorphonuclear cell-mediated mechanisms. In a prospective study, Tsay and colleagues (68) tackled the human lung microbiota of Stages I-III A vs Stages III B-IV lung cancer providing evidence that, independent of disease stage, a lower airway microbiota enriched for oral commensals (e.g., *Streptococcus*, *Prevotella*, *Veillonella*, termed SPT, supraglottic predominant taxa, pneumotype) associated with a worse prognosis as well as upregulation of inflammatory cancer-related pathways (e.g., ERK/MAPK, PI3K/AKT). As proof-of-concept, using the KP mouse model, addition of *V. parvula* alone to the lung microbiota accelerated LUAD progression. It is hoped these results will provide translational approaches to improve the lethality of lung cancer.

Breast Cancer

In 2014, demonstration of a breast microbiome, potentially sourced from the skin, mouth and/or gut, emerged. Highly variable studies suggest that, while breast cancer patients display dysbiosis at the genus and species level, the phyla Proteobacteria, Firmicutes and Bacteroidetes are prominent in both healthy breast and breast cancer tissues; however, *Lactobacilli* (Phylum Firmicutes) abundance may be lower in breast cancer tissues. To date, limited and inconsistent taxa differences appear to distinguish tumor and adjacent normal tissue microbiota and benign vs malignant tumors although breast cancer subtypes may possess distinct microbial signatures (69). Bacterial regulation of estrogen bioavailability or induction of DNA damage in the breast are proposed to mediate carcinogenesis. In contrast, increased *Fusobacterium nucleatum* gDNA in breast cancer tissues has been associated with breast tissue Gal-GalNAc levels that increase with breast cancer progression; results consistent with the known binding of Fap2, a *F. nucleatum* adherence protein, to Gal-GalNAc. In a murine model, Fap2-sufficient, but not -deficient, *F. nucleatum* colonized orthotopic breast cancer and suppressed tumor-infiltrating T cells to promote tumor growth

and metastasis (70). Whether the gut microbiome impacts breast cancer biology is unknown. However, Parida and colleagues (71) bioinformatically identified increased *Bacteroides fragilis*, a common colon anaerobe, in both benign and malignant breast tumor tissue. Using murine models, both breast intraductal or colon colonization with toxin-producing (ETBF, see CRC section), but not non-toxin-producing, *B. fragilis* promoted breast tumor growth and metastatic progression involving β -catenin and Notch1 pathways. Notably, breast tissue cells exposed to the *B. fragilis* toxin retained pro-carcinogenic memory, potentially increasing disease risk. The breast and/or gut microbiome in breast cancer patients may help stratify breast cancer risk and/or response to therapy.

Head and neck squamous cell carcinoma

The majority of head and neck cancers arise from mucosal membrane squamous epithelial cells [termed head and neck squamous cell carcinomas (HNSCC)]. HNSCC encompasses a broad number of malignancies, including cancers of the oral cavity, pharynx (including nasopharyngeal, oropharyngeal, and hypopharyngeal), larynx, paranasal sinuses and nasal cavity, and salivary glands. Multiple general and tumor type-specific risk factors for HNSCC exist including Human papillomavirus (HPV) type 16 (primarily in oropharyngeal cancers), Epstein-Barr virus (EBV) (nasopharyngeal and salivary gland cancers), preserved or salted foods (nasopharyngeal cancers), radiation (salivary gland cancers), poor oral hygiene (i.e., periodontitis in oral cavity cancers) along with tobacco and alcohol products (linked to >75% of all HNSCC cases), occupational exposures (e.g., asbestos, pesticides, and industrial solvents in multiple HNSCC types), and host genetics (www.cancer.gov). Bacteria may play a direct (e.g., periodontal disease) or indirect (e.g., alcohol metabolism) role in the tumorigenesis pathways of many of these risk factors. Approximately 15% of HNSCC lack known risk factors, spurring increased interest in the role for novel bacteria or groups of bacteria in the etiology of HNSCC.

The oral microbiome is a diverse community of over 750 species (Human Oral Microbiome Database, homd.org), many of them anaerobes, that form complex multi-species biofilms on both tooth surfaces and on oral cavity mucosal membranes. Within a healthy individual, the oral microbiome is largely stable. Left unchecked through poor dental hygiene, however, periodontal biofilms can trigger disease resulting in tooth decay, tooth loss, and potentially oral cavity cancers. Distinct oral microbial niches (>25) and sampling tools exist, from buccal swabs to oral rinses to tumor biopsies, which markedly complicates microbiome-based analyses.

Most studies analyzing the microbiome and HNSCC have focused on oral cavity squamous cell carcinomas (OSCC). Using both sequencing- and culture-based methods, OSCC biopsies appear to harbor dozens of both intratumoral and surface biofilm-associated bacterial species not found in healthy oral biopsy samples from the same patients [see review by Minarovits (72)], and include several species also found at higher abundances in CRC tissues compared to normal colon tissues: *Fusobacterium nucleatum*, *Fusobacterium periodonticum*, *Gemella morbillorum*, and *Peptostreptococcus stomatis* (72). Bacterial biofilms found on OSCCs also harbored a higher overall abundance of total anaerobic and aerobic bacteria by colony forming units (CFU), which parallels data from the colon

where CRC-associated mucus-invasive biofilms are thicker and harbor more bacteria than paired normal tissues (38). However, inconsistencies between studies exist and each studied cohort was relatively small. In a larger study of oral rinse samples from 197 OSCC patients compared to 52 healthy individuals, the Fusobacteria phylum again strongly associated with OSCC, increasing from a relative abundance (RA) of 2.98% in healthy controls to 7.92% RA in stage 4 OSCC alongside decreases in Bacteroidetes and Actinobacteria phyla. At the species level, this trend was largely driven by *Fusobacterium periodonticum* (~1% RA in healthy tissues to 2% RA, stage 1; 3% RA, stage 2 and 3; and 5% RA, stage 4 OSCC) (73). Four other bacteria also increased alongside tumor stage in the oral rinse samples: *Parvimonas micra* (also increased in CRC-associated tissues), *Streptococcus constellatus*, *Haemophilus influenzae*, and *Filifactor alocis* (73). A meta-analysis of *Fusobacterium* in swab and/or tissue samples from 13 HNSCC studies found the *Fusobacterium* genus consistently enriched in tumor sites compared to non-tumor controls with *F. nucleatum* the most abundant *Fusobacterium* sp. detected, followed by *F. naviforme*, *F. periodonticum*, and others (74).

Only a single large prospective study exists to date for HNSCC, which did not validate the above organisms, although all HNSCC were included (not solely OSCC) (75). In this nested case-control study of >100,000 patients within the American Cancer Society Prevention Study II Nutrition Cohort (CPS-II) and the Prostate, Lung, Colorectal, and Ovarian Cancer Screening Trial (PLCO), 129 new cases of HNSCC were identified over an average of 3.9 years of follow-up. Prediagnostic, baseline oral mouthwash samples were examined by 454 pyrosequencing of the 16S rRNA V3-V4 region. HNSCC cases associated with more tobacco usage, alcohol consumption and HPV-16 carriage compared to controls, consistent with prior data. The oral microbiome β -diversity was not different between the total HNSCC cases or specific cancer types compared to controls (75). Surprisingly, the strongest trends observed were for protective bacteria against HNSCC. The genera *Corynebacterium* (phylum Actinobacteria) and *Kingella* (phylum Proteobacteria) were independently associated with reduced HNSCC risk. The protective effects of *Corynebacterium* and *Kingella* remained even after excluding current smokers or HPV-16-positive patients. Results remained similar by age, sex, and alcohol usage. Divided by cancer sub-type, the genera *Corynebacterium*, *Kingella*, *Neisseria*, *Abiotrophia*, *Capnocytophaga*, and species *Kingella dentificans* and *Streptococcus sanguinins* were associated with reduced risk of larynx cancer; *Actinomyces oris* and *Veillonella denticariosi* with reduced risk of pharynx cancer; and *Parvimonas micra* and *Neisseria siccas* with reduced risk of oral cavity cancer. The only bacteria positively associated with HNSCC were phylum Actinobacteria (HNSCC overall) and Actinomyces (oral cavity cancer). The microbial associations in this prospective study were strongest in patients with a history of tobacco usage and in those who developed larynx cancer, considered biologically plausible because larynx cancer is the HNSCC most strongly associated with tobacco usage, and *Corynebacterium* and *Kingella* may neutralize several toxicants found in cigarette smoke. Thus, amongst tobacco users, having *Corynebacterium* and/or *Kingella* spp. may be particularly protective against larynx cancer. However, the average time from sample collection to HNSCC detection in this cohort (3.9 years) may be insufficient to truly demonstrate potential microbial modulators of cancer. Replication studies are needed.

Despite the absence of a clear ‘smoking gun’ microbial trigger for HNSCC, at a mechanistic level, oral bacteria may facilitate tumorigenesis via both specific metabolic activities and broad inflammatory properties. For example, oral bacteria facilitate the metabolism of alcohol into its carcinogenic by-product, acetaldehyde, at levels that can induce DNA damage and enhance epithelial cell proliferation (76). The genus *Neisseria* is particularly adept at alcohol dehydrogenase activity, whereas *Lactobacillus* metabolizes acetaldehyde to relatively non-toxic forms. Interestingly, alcohol consumption associated with increased *Neisseria* RA and decreased *Lactobacillus* RA in oral wash samples from a large >1,000-person cohort (76). However, *Neisseria* have not been elevated in OSCC patient samples, either in comparison to paired normal control biopsies from the same patient or in oral wash samples from cancer-free individuals (72). This disconnect between the alcohol-associated microbiome and the OSCC-associated microbiome suggests that early microbial drivers or potential contributors to OSCC (i.e., mediators of alcohol-induced damage) may be lost by the time the tumor has overtly formed (hit-and-run model).

Alternatively, oral bacteria may promote tumorigenesis via inflammation induction. Using the functional prediction tool PICRUSt, Al-Hebshi et al proposed that OSCC tissues harbor an “inflammatory bacteriome” characterized by enrichment of genes for bacterial mobility, flagellar assembly, bacterial chemotaxis, and LPS synthesis, while control samples were enriched for more homeostatic genes such as amino acid biosynthesis, DNA repair, purine metabolism, ribosome biogenesis, and glycolysis/gluconeogenesis (77). Similarly, the link between periodontal disease and HNSCC revolves around pro-inflammatory mechanisms. Although links between periodontal disease and OSCC are inconsistent, in studies using clinical measurements of periodontal disease, a 4–10-fold higher risk of HNSCC associated with severe periodontitis (78). Periodontal disease has also variably been linked to total cancer risk, as well as specifically lung, PDAC, and CRC (78). Mechanistically, the link between periodontal disease and cancer has primarily focused on the pro-inflammatory bacteria *F. nucleatum* and *Porphyromonas gingivalis*. *P. gingivalis* is a keystone periodontal disease pathogen that may inhibit apoptosis and enhance proliferation pathways via increased JAK/STAT signaling, suppression of Bcl2, altering p53 pathway cyclins, and/or alteration of DC-SIGN (78).

In summary, HNSCC are associated with numerous oral microbiome changes that vary from pre-cancer to the cancer stage. Risk factors (e.g., alcohol consumption and periodontal disease) induce early microbial changes, although data are lacking on whether these bacteria, a mixture of oral microbes led by the *Fusobacterium* genus, directly impact human cancer initiation. However, these associations may be merely bystander associations. The only prospective cohort study yielded no species identified as HNSCC risk factors, rather *Corynebacterium* and *Kingella* appeared protective. Prospective cohorts in other study populations would be invaluable. A meta-analysis of all 16S rRNA or metagenomic studies in HNSCC examining all potential bacteria (not solely *Fusobacterium*) would be beneficial.

Genitourinary cancers

Cancers of the genitourinary (GU) tract (i.e., adrenal, bladder, kidney, penile, prostate, and testicular cancer) have limited – but growing - data suggesting a role of the microbiome in

disease etiology. Urine, previously assumed to be a sterile fluid, is now known to contain a limited but variable microbiome that may impact GU cancers. The urinary microbiome is proposed as a source of pro-inflammatory bacteria that reflux to, for example, the prostate or kidney. The urinary microbiome varies by sex, potentially contributing to the higher rates of several GU cancers in men (bladder, renal, and prostate) compared to women; as men harbor more Actinobacteria including *Corynebacterium* and *Propionibacterium* in healthy urine samples, whereas women tend to harbor more *Lactobacillales* (79).

A microbial role in bladder cancer has been evident for decades, as infection with the parasitic flatworm *Schistosoma haematobium* is associated with very high rates of bladder cancer in endemic areas, including the Middle East and Africa, particularly before effective treatments for schistosomiasis were developed (80). Inflammation triggered by the embedding of parasitic eggs into the bladder wall may be the primary disease mechanism. The resident bacterial microbiome may still contribute, however, as even in *Schistosoma*-positive cases, multiple genera including *Fusobacterium*, *Bacteroides*, *Veillonella*, *Aerococcus* and *Facklamia* were enriched in patients with bladder cancer compared to those with only *Schistosoma* infection or no infection (81). Additionally, in the US population where *Schistosoma* is not endemic, a history of three or more urinary tract infections (UTIs) is an established risk factor for bladder cancer (82). The vast majority (>70%) of UTIs are *E. coli*, with preliminary data suggesting ~20% of UTI patients harbor DNA-damaging pks+ *E. coli* (see CRC section). While diverse genera are reported as enriched in bladder cancers, taxa overlap between studies is limited suggesting further study is needed (reviewed in (80)).

Studies in men with prostate cancer similarly report differentially abundant microbes encompassing broad genera, with little overlap between studies with the exception of *Bacteroides* and *Streptococcus* [reviewed in Table 3 in Nicoliar et al (80)]. However, these studies were performed on diverse samples (urine, rectal swabs, or stool samples). In one of the largest studies to date, urine obtained from men with and without prostate cancer did not reveal broad clustering of cancer vs. non-cancer patients, although, 6 largely uropathogenic bacteria (capable of inducing inflammation) were enriched in a subset of prostate cancer cases (*Streptococcus anginosus*, *Anaerococcus lactolyticus*, *Anaerococcus obesiensis*, *Actinobaculum schaalii*, *Varibaculum cambriense*, *Propionimicrobium lymphophilum*) (83). In mouse models, uropathogens (e.g., *E. coli*) lead to long-lasting inflammation even once the pathogen subsides to lower levels, suggesting a plausible mechanism for infection-associated cancers (79). Epithelium damage in bacterial-induced prostatitis may lead to impaired antimicrobial defenses, potentiating a feed-forward cycle of recurrent bacterial infections and epithelial damage resulting in chronic inflammation (84).

The microbiome of kidney (renal cell) cancer (RCC) is the least well-studied of the GU cancers. However, a history of UTIs of the bladder or kidney in a US population associated with increased risk for RCC, particularly in men who smoked. Complex interactions between bacteria and other epidemiological risk factors in RCC may exist (85).

Use of the microbiome in prevention and therapy of cancer

The growing associations between the microbiome and various cancers offer an opportunity to develop screening modalities that may target cancer prevention and treatment. Current cancer diagnosis usually requires invasive techniques (e.g., colonoscopy for CRC, biopsies of potential tumorigenic tissue) (11). Other less invasive tests including, blood tests, urine tests, imaging, fecal immuno-chemical tests, and/or multi-target stool DNA tests, provide alternative but less accurate diagnosis (4,11). Early cancer detection is key to patient outcomes. Exploiting defined microbial signatures specific to individual cancer types may enhance accurate early and less invasive methods of diagnosis. Currently, no microbial screening tools exist outside of *H. pylori* for gastric cancer, but the ever-increasing investigations provide promise for future development.

Microbial therapeutics—The concept of targeting microbes in cancer originates from the removal of *H. pylori* as a treatment for gastric cancer (Figure 3, (13)). Therefore, targeting the microbiome for other cancers may influence therapeutic outcomes as well as provide an unparalleled opportunity to develop microbial-targeted treatments. Microbiota manipulation is an intense research area (86). Dietary intervention, prebiotics, synbiotics, and probiotics that enrich or provide beneficial bacteria in the gut are currently under investigation to prevent or improve therapy (2). Naturally-occurring or engineered probiotic bacteria may outcompete detrimental species through colonization displacement and niche exclusion or by producing therapeutic molecules *in situ* (86). The complete replacement or restoration of a patient's microbiome through fecal microbiota transfer (FMT) is another area of focused research. Nonetheless, human FMT has resulted in patient infections with enteric as well as multiple drug-resistant bacteria leading to patient deaths due to lack of quality control and/or use of well-defined microbial products (87). Removal of pro-carcinogenic members of the microbiota through narrow-spectrum antibiotics, monoclonal antibody therapy, or species-specific bacteriophages, provides putative direct therapeutic approaches to microbial modulation (13). To date, antibiotics have been primarily utilized as a tool to discern the contribution of the microbiota to tumorigenesis in various murine disease models (88). In human studies, only associations, not causal links, between antibiotic exposure and onset of cancers have been reported. Further, the potential impact of antibiotic exposure on cancer pathogenesis likely varies by route of administration, the timing of exposure and antibiotic class with even cancer-specific impacts (89). Vaccination against the microbial virulence factors involved in tumorigenesis, such as toxins or adhesion factors, or the specific bacteria themselves has the potential to elicit an immuno-modulatory benefit. Lastly, designing therapeutic molecules to scavenge or negate tumorigenic molecules produced by the microbiome (e.g., preventing DNA modifications) may emerge. Despite numerous theoretical approaches for microbiota therapy, the application of these ideas remains in their infancy. However, clinical trials are ongoing for numerous types of cancers and diseases suggesting live microbial therapeutics might become available as a treatment option (86).

Conclusions

Survivability of every type of cancer described in this review can be attributed to the magnitude of disease progression when first detected. While many factors propel cancer

progression, the state of the microbiome is now entertained as a harbinger, cause and/or promoter of disease. Microbial signals in cancer are likely highly confounded by the heterogeneity of cancer combined with observations that single bacterial species-oncogene interactions modify cancer biology (20,66), making well-defined cancer microbiome ‘signatures’ difficult to classify or detect. Herein, we focused on how specific bacterial microbiome members as well as the microbiome community structure impact cancer progression. We delineated mechanisms, when described, by which the production of harmful microbial molecules, microbe-driven host immune responses and/or microbe-triggered changes in the host cell function and/or genome may contribute to cancer biology. Beyond viruses, *H. pylori*, and schistosomiasis, microbiota causality in cancer is not yet established, in part, due to the absence of longitudinal microbiome studies antecedent to human cancer. Further investigations to understand microbiome transitions in cancer emergence and oncogenic mechanisms may direct development of targeted screening modalities and microbial-based treatments with the vital objective of enhancing patient care and outcomes. The ever-increasing importance of the microbiome in a multitude of human cancers heralds boundless research opportunities to inform and direct new standards of cancer clinical practice.

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Statement of Significance

Emerging and, for some cancers, strong experimental and translational data support the contribution of the microbiome to cancer biology and disease progression. Disrupting microbiome features and pathways contributing to cancer may provide new approaches to improving cancer outcomes in patients.

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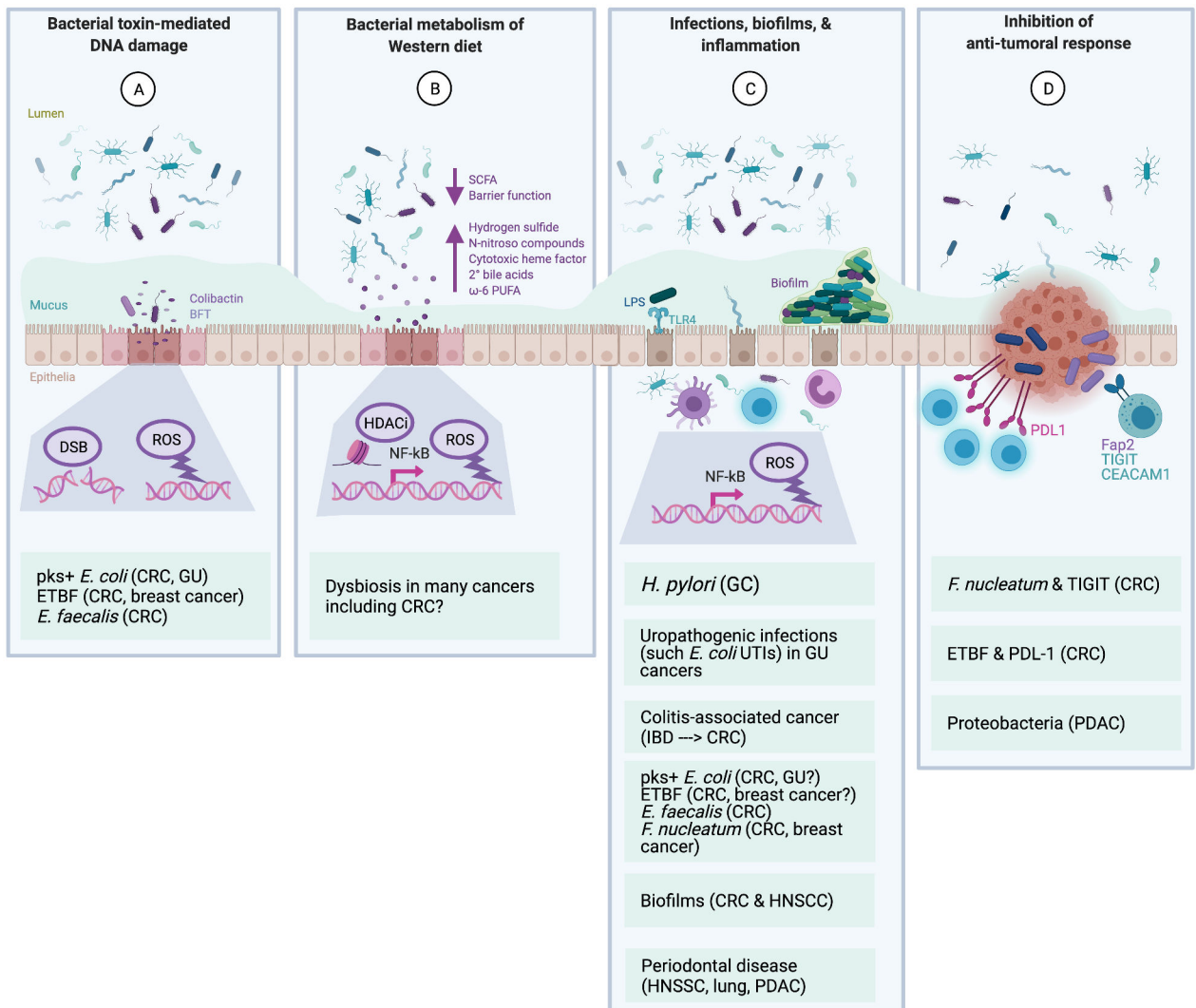


Figure 1. Examples of bacterial mechanisms of tumorigenesis.

Bacteria have been proposed to contribute to the initiation and/or progression of tumorigenesis via both direct and indirect mechanisms. Many bacteria have overlapping mechanisms that also intersect with lifestyle and host genetic factors. **A, Direct DNA-damaging effects of bacterial toxins.** The colibactin genotoxin produced by *pks+* *Escherichia coli* induces double-strand DNA breaks (DSB) and other forms of DNA damage that result in a unique mutational signature that is found both *in vitro* and *in vivo* in CRC. Certain *Enterococcus faecalis* strains produce superoxide that can damage host DNA. The BFT toxin from enterotoxigenic *Bacteroides fragilis* (ETBF) induces ROS via induction of host spermine oxidase in epithelial cells. **B, Bacterial metabolism of a Western diet.** The microbiota play a critical role in the metabolism of host dietary components. Consumption of a Western diet is associated with increased risk of numerous cancers, likely due to decreased bacterial production of beneficial SCFAs (due to lower fiber levels in diet), decreased barrier function (such as thinner or more permeable mucus in the colon), and increases in numerous pro-carcinogenic metabolites produced by bacteria including hydrogen sulfide, N-nitroso compounds, cytotoxic heme factor, secondary bile

acids, and ω -6 PUFAs. These factors lead to ROS production (DNA damage) and HDAC inhibition (altered pro-inflammatory signaling). **C, Infections, biofilms, and inflammation.** Chronic/recurring bacterial infections and/or invasive bacterial biofilms may promote tumorigenesis via broad, indirect mechanisms related to inflammation and immune response activation through NF- κ B transcription, including DNA-damaging ROS production from innate immune cells (e.g., neutrophils and macrophages) and mutations in the pre-cancer cells that accrue due to excessive proliferation. Many cytokines are involved in these pro-tumorigenic inflammatory processes, including IL-6 and IL-17. *Helicobacter pylori*, the most well-documented case of a bacterium causing cancer, is thought to cause GC not through any direct toxicogenic mechanisms, but rather through the induction of such chronic inflammatory responses. Similarly, UTI's are associated with subsequent increased risk of bladder and kidney cancers. IBD, which has a strong microbial component, is the poster child of colitis-associated CRC. Many of the genotoxic bacteria (from panel A) as well as oral bacteria (such as *Fusobacterium nucleatum*) may promote inflammation as well. At least some polymicrobial biofilms are also highly pro-inflammatory and may play a role in both HNSCC, where these oral biofilms are known as dental plaque, and CRC, in which mucus-invasive biofilms in the colon are highly prevalent on both hereditary and sporadic CRCs compared to healthy controls. Finally, periodontal disease, which is often linked to oral biofilms, has been associated at the epidemiological level with a number of cancers, including HNSCC, lung cancer, and PDAC. **D, Inhibition of anti-tumoral immune responses.** Relatively new data suggest that bacteria can inhibit local NK- and T cell-mediated killing of tumor cells. Notable examples include *F. nucleatum*, which can bind NK and T cell TIGIT receptors via the *F. nucleatum* adherence factor, Fap2, and ETBF induction of PD-L1 on tumor and/or immune cells in animal models of CRC. Other mechanisms have not yet been fully delineated, yet strongly suggest additional immune modulatory roles, such as Proteobacteria in PDAC. **Abbreviations - BFT:** *B. fragilis* toxin; **CRC:** colorectal cancer; **DSB:** double strand breaks; **ETBF:** enterotoxigenic *B. fragilis*; **Fap2:** *Fusobacterium* adherence protein 2; **GC:** gastric cancer; **GU:** genitourinary; **HDACi:** histone deacetylase inhibitor; **HNSCC:** head and neck squamous cell carcinoma; **IBD:** inflammatory bowel disease; **LPS:** lipopolysaccharide; **NF κ B:** Nuclear factor kappa B; **NK:** Natural killer cells; **PDAC:** pancreatic ductal adenocarcinoma; **PD-L1:** Programmed death ligand 1; **PUFA:** polyunsaturated fatty acids; **ROS:** reactive oxygen species; **SCFA:** short chain fatty acids; **TIGIT:** T cell immunoreceptor with immunoglobulin (Ig) and immunoreceptor tyrosine-based inhibitory motif (ITIM) domains; **TLR4:** Toll-like receptor 4; **UTI:** urinary tract infection

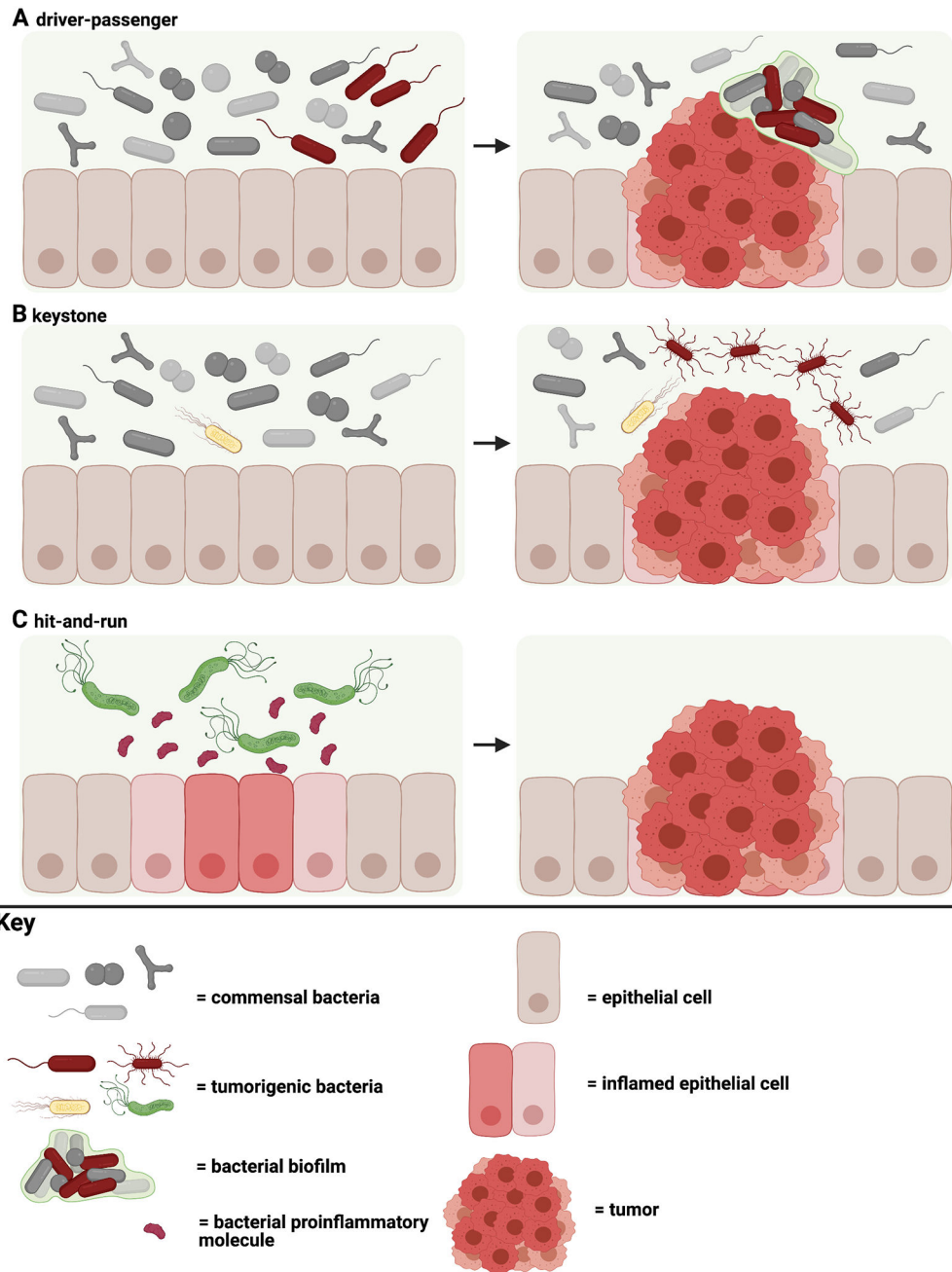


Figure 2. Theories of bacterial involvement in tumorigenesis.

A, The driver-passenger model where a single or group of tumorigenic bacteria recruit or coordinate with members of the microbiome to promote tumorigenesis. This model embraces the concept of the impact of a community of microbes on tumorigenesis. **B**, The keystone hypothesis states that the presence of a single tumorigenic bacterium even at minimal abundance enables the colonization of additional collaborative pro-carcinogenic bacteria. **C**, The hit-and-run model is described as temporary colonization and damage by a tumorigenic bacterium that results in tumorigenesis. Additional potential pro-tumorigenic

models include chronic dysbiosis and biofilm-mediated changes in tissue function, both extensions of the ‘driver-passenger’ model.

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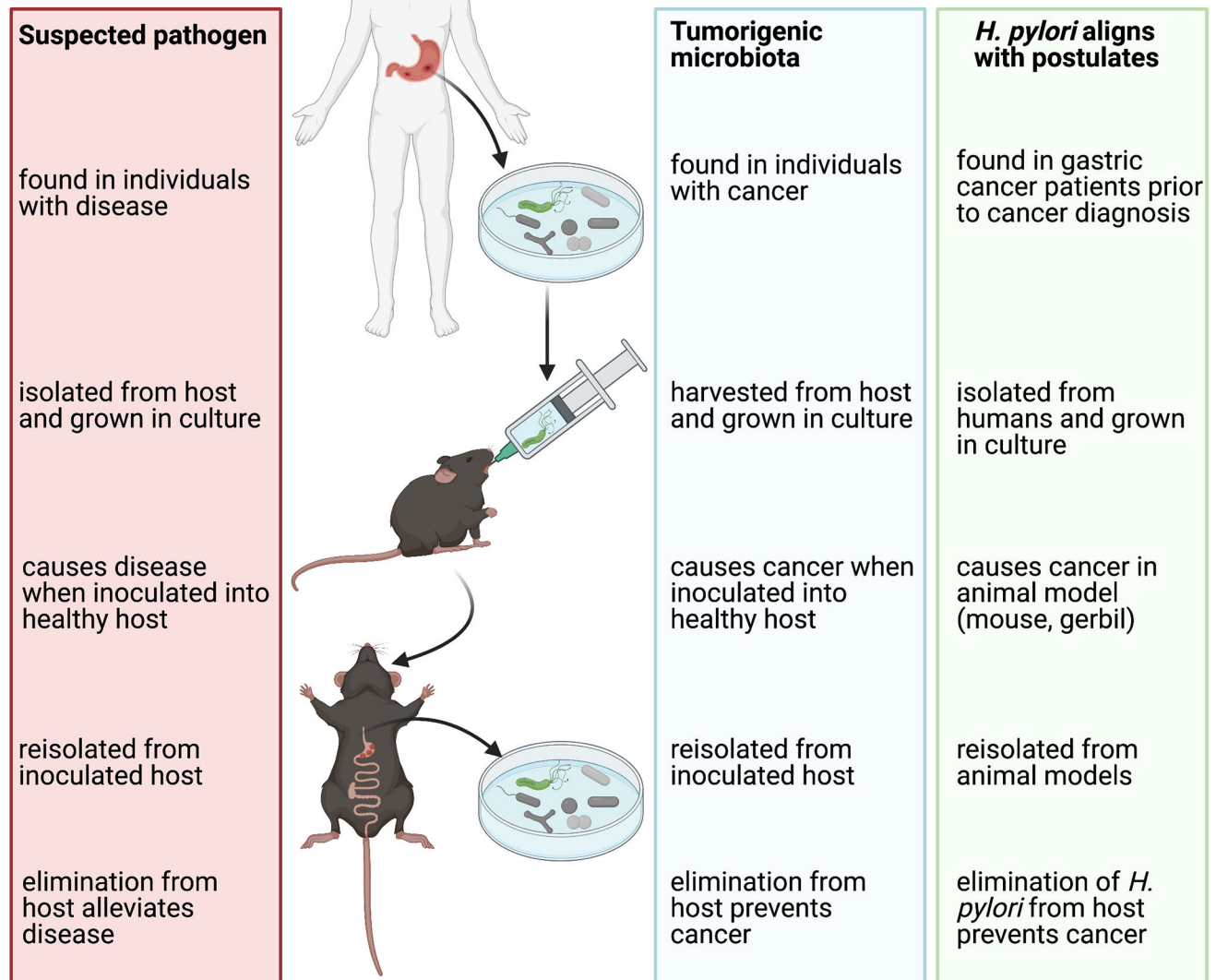
Koch's postulates**Microbiota-associated postulates**

Figure 3: Application of Koch's postulates to tumorigenic bacteria.

The identification of *Helicobacter pylori* in humans and then gastric cancer patients and the ability of this bacterium to be harvested, transferred, replicate disease in a healthy host and be reisolated from the inoculated host aligns directly with Koch's postulates. A critical criterion for causality requires that elimination or control of the microbe or community then reduces disease onset, a criterion also met by *H. pylori*. The application of Koch's postulates to *H. pylori* as a cancer promoter provides a roadmap for microbiota-associated postulates of tumorigenesis. However, in cancer to date, most microbiota association studies are cross-sectional, rather than prospective and longitudinal, and, thus, causality is unable to be defined as this requires demonstration that the microbe or community is present antecedent to tumor onset. Furthermore, the majority of animal models utilized to investigate causality are genetically modified and thus do not fulfill the requirement as a "healthy host." An additional consideration for investigators in studies of the microbiota

and cancer is the use of germ-free vs specific pathogen-free models which, in limited direct comparisons to date, can yield differing results (24,25,91,92). Collectively, available studies more aptly suggest that the cancer microbiome contributes to cancer progression, not initiation. Future studies should carefully analyze whether the results suggest cancer initiation and/or progression, two distinct frameworks in which the microbiome may well contribute to cancer pathogenesis. Adapted from Finlay et al (40).

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Table 1:

Summary of recent large-scale microbiome papers relevant to cancer.

Reference	Study Type	Study Goal	Population Studied (N) & Specimen Type(s)	Data & Tumor Types	Major Findings	Comment
Poore GD et al (8)	Cross-sectional	To define unique blood and/or tissue microbial signatures within & between major cancers	The Cancer Genome Atlas (TCGA), 33 cancers from treatment-naïve patients (N=10,183 patients, 17,625 samples) and blood samples analyzed. Details on TCGA sample acquisition & processing in (90). Study included an independent validation cohort (UCSD, N=169) analyzing plasma samples.	Data: Whole genome & whole transcriptome sequencing using polyA-selected RNAseq data. Tumors*: adrenocortical, AML, bladder, brain/GBM, breast, cervical, cholangiocarcinoma, colon, esophageal, gastric, head and neck, kidney, liver, lymphoid, lung, melanoma, mesothelioma, ovarian, pancreas, pheochromocytoma/paraganglioma, prostate, rectum, sarcoma, testicular, thyroid, thymoma, uterine,	Microbial communities defined at the genus level, carefully normalized & subjected to machine learning pipelines appeared to distinguish between cancer types.	Employed stringent computational removal of predicted contaminating sequences from published data, discarding up to 92.3% of total sequence data in some analyses. Serial analyses raised concern that microbial diagnostics may lack sufficient sensitivity to detect early stage cancers.
Nejman D et al (9)	Cross-sectional	To define the bacterial microbiome of select cancers	7 cancers from 4 countries (Israel, USA, Italy, Netherlands) (N=1010 tumors & 516 mostly normal adjacent tissues; 811 negative controls). See Table S1 in Nejman et al (9).	Data: Real-time qPCR using universal bacterial primers complemented by immunohistochemistry & RNA fluorescence in situ hybridization using snap frozen & formalin-fixed paraffin-embedded samples. Tumors: breast, lung, melanoma, pancreas, ovary, bone, glioblastoma multiforme	Each tumor type (breast, lung, ovary, pancreas, melanoma, bone, brain) has a distinct microbiome composition.	Breast cancer displayed the most rich & diverse microbiome. Intratumoral bacteria were mostly intracellular in cancer & immune cells.
Byrd AL et al (6)	Prospective recruitment of 1000 healthy men & women, longitudinal sampling of ~50% of cohort.	To define the microbiome associations with host factors & lifestyle parameters in healthy individuals and then begin to test associations with non-GI cancers.	Milieu Intérieur cohort (N=946 healthy French individuals, 1359 gut microbiome samples). Non-GI cancer published cohorts (N=5 cohorts; 283 cancer patients, 375 samples)	Data: Shotgun metagenomic sequencing. In the analyses, comparative literature-derived data from non-GI tumors (melanoma, lung, kidney) was used but no primary tumor data included.	In healthy individuals, identified sex & age as key variables in microbiome composition; in non-GI cancers suggested global microbiome shifts vs healthy individuals.	This paper provides data to define variables important to consider in microbiota studies. Presents the Genome Taxonomy Database (GTDB), a resource for microbiome research aligned with rich clinical metadata.
Vujkovic-Cvijin I et al. (5)	Cross-sectional	To determine key exposures determining human gut microbiome heterogeneity	American Gut cohort, the largest publically available human gut bacterial microbiota dataset (N variable by subgroup & analysis, see Table 1 in paper;	Data: V4 hypervariability region of the 16S rRNA gene. Tumors: A subset of enrollees reported 'cancer' on the study questionnaire but, in this study, the diagnosis of 'cancer' had limited to no impact on the presented analyses. Tumors were not directly analyzed.	Alcohol consumption & bowel movement quality unexpectedly strong sources of gut microbiome variance	This paper is not cancer-specific but presents important information to consider in future work. The authors propose rigorous matching of exposures between controls vs disease to

Reference	Study Type	Study Goal	Population Studied (N) & Specimen Type(s)	Data & Tumor Types	Major Findings	Comment
			N=4038–5878, negative controls)			address contribution of microbiota to human disease.
Dohlman AB (7)	Cross-sectional	To define the prevalence of cancer tissue-resident microbiota in GI cancers within the TCGA	TCGA, GI cancer samples (N=3689) as well as TCGA paired normal and blood samples; Duke Hospital healthy plasma samples.	Data: Whole genome sequencing; validation using original TCGA tissue (N=5 CRC samples) Tumors: oropharyngeal, esophageal, GI and colorectal.	Removed sequencing contaminant reads equi-prevalent across sample types to provide a public database of curated, decontaminated microbiomes from GI cancers termed The Cancer Microbiome Atlas (TCMA).	A potentially useful new resource for GI cancer-associated microbiome research utilizing computational removal of predicted contamination from the published data.

Abbreviations: AML, acute myeloid leukemia; GBM, glioblastoma multiforme; GI, gastrointestinal; CRC, colorectal cancer; N/A, not applicable; UCSD, University of California, San Diego

* Tumor types may include more than one histopathology subtype.

Table 2:

Recent Literature Highlights in Cancer Microbiome Research

Reference	Study Type	Study Goal	Human Population Studied (N)	Major Findings
<i>GI Cancers</i>				
<i>Colorectal Cancer</i>				
Dejea CM et al (39)	Mouse model & human samples	To study the role of biofilm formation in the progression of hereditary colon cancer.	5 FAP patients, 1 juvenile polyposis syndrome patient	Biofilms containing co-colonization with ETBF and <i>pkst⁺ E. coli</i> promotes carcinogenesis through mucus degradation enabling <i>pkst⁺ E. coli</i> adherence and subsequent DNA damage as well as IL-17 induction by both bacteria.
Kitamoto S et al (35)	Mouse model	To investigate how periodontal inflammation exacerbates gut inflammation	N/A	Oral pathobionts and oral pathobiont-reactive Th17 translocate to the gut and cause development of colitis.
Pleguezlos-Manzano C et al (14)	Organoid & human samples	To identify mutagenic characteristics of <i>pkst⁺ E. coli</i>	5786 cancer genomes	Revealed a distinct mutational signal in organoids injected with <i>pkst⁺ E. coli</i> that was detected in a subset of predominantly CRC human cancer genomes.
Wilson MR et al (22)	Cell lines & mouse model	To determine the molecular mechanism of the genotoxic effects of colibactin	N/A	Colibactin alkylates DNA <i>in vitro</i> and the metabolite was identified in mice colonized with <i>pkst⁺ E. coli</i> .
<i>Pancreas</i>				
Geller LT et al (55)	Mouse model & human samples	To study impact of microbes on PDAC chemotherapy	113 human PDAC samples	<i>Mouse model:</i> Bacteria, likely Gammaproteobacteria, metabolize the chemotherapeutic drug gemcitabine via long isoform of cytidine deaminase conferring gemcitabine resistance; <i>Human samples:</i> PDACs contain Gammaproteobacteria populations.
Pushalkar S et al (56)	Mouse model & human samples	To define PDAC microbiome-mediated immune mechanisms of oncogenesis	Fecal samples (N=32 patients with PDAC; N=31 healthy volunteers); Pancreas tissue samples (N=5 healthy or PDAC patients each)	<i>Mouse model:</i> The PDAC microbiome promotes disease progression through innate immune & T-cell intratumoral immunosuppressive mechanisms that can enable response to checkpoint-based immunotherapy. <i>Human samples:</i> Proteobacteria are prominent in PDAC tissues. Comparison of patients with both gut & PDAC microbiome analysis suggest increase translocation of Proteobacteria to the pancreas.
Riquelme E et al (57)	Mouse model & human samples	To identify microbiome mechanisms contributing to long-term survival in PDAC patients.	PDAC tissues from short-term survivors (STS) (N=22 primary cohort, 10 validation cohort) & long-term survivors (LTS) (N=21 primary cohort, 15 validation cohort); stools from PDAC STS, LTS-no disease & healthy controls (N=8–17/group)	<i>Mouse model:</i> Human-to-mouse FMT from STS, LTS or controls differentially modulated the tumor microbiome, TME and tumor progression, mirroring patient outcomes. <i>Human samples:</i> STS and LTS PDAC patients display distinct tumor microbiomes with LTS PDAC enriched in Proteobacteria, Actinobacteria and <i>Bacillus clausii</i> .
<i>Gastric</i>				
Choi IJ et al (47)	Human samples	To determine whether antibiotic clearance of <i>H. pylori</i> can prevent development of metachronous gastric cancer	Prospective clinical trial of 470 patients who had prior endoscopic resection of early gastric cancer or high-grade adenoma and received either	<i>H. pylori</i> antibiotic clearance reduced the incidence of metachronous gastric cancer by nearly 50% (13.4% vs. 7.2% treatment vs. placebo) and improved gastric corpus atrophy.

Reference	Study Type	Study Goal	Human Population Studied (N)	Major Findings
			antibiotics (to clear <i>H. pylori</i>) or placebo	
<i>Non-GI Cancers</i>				
<i>Lung</i>				
Greathouse KL et al (66)	Human samples	To define the microbiome associations of lung cancer vs patient-matched normal lung tissues.	Retrospective analysis of prospective National Cancer Institute-Maryland study; N=106 matched pairs of lung tumor and non-tumor tissues. Includes a TCGA-derived validation cohort.	Identified microbiome-gene and microbiome-exposure interactions in squamous cell carcinoma lung cancer tissues. Specifically, enrichment of <i>Acidovorax spp.</i> in smoking-associated squamous cell carcinoma lung cancers with TP53 mutations.
Jin C et al (67)	Mouse model	To identify the contribution of the local lung microbiota to lung cancer development	N/A	Local lung microbiota promotes lung cancer development in KP mice. Local lung dysbiosis induces tumor-promoting inflammation attributable to $\gamma\delta$ -T17 cells and myeloid cells.
Tsay J-C J et al (68)	Mouse model & human samples	To define human microbial signatures associated with lung cancer prognosis & disease mechanisms.	N=83 prospectively enrolled lung cancer patients	<i>Human samples:</i> A lower airway microbiota signature enriched with oral commensals associated with worse lung cancer prognosis. <i>Human samples & mouse model:</i> Lung cancer dysbiosis was associated with upregulation of IL-17, PI3K-AKT, MAPK and ERK pathways as well as IL-6/IL-8. <i>Veillonella parvula</i> was the most abundant taxon driving the association.
<i>Breast</i>				
Parhi L et al (70)	Mouse model & human samples	To investigate the contribution of <i>Fusobacterium nucleatum</i> to breast cancer development.	N=50 FFPE breast cancer samples with N=30 matched adjacent non-tumor tissues	<i>Human samples:</i> Gal-GalNAc levels are increased in breast cancer samples. Using 16S rRNA amplicon sequencing, ~30% of breast cancer samples displayed increased <i>F. nucleatum</i> reads. <i>Mouse model:</i> IV inoculation of <i>F. nucleatum</i> into an orthotopic breast cancer model resulted in Fap2-mediated <i>F. nucleatum</i> tumor colonization and enhanced tumor growth inhibited by antibiotics.
Parida S et al (71)	Mouse model & human samples	To investigate the breast microbiome	Utilized available human datasets comparing benign & malignant breast tumors as well as nipple aspirate fluids of breast cancer survivors & healthy volunteers	<i>Human data:</i> Meta-analysis of breast cancer microbiome studies identified <i>Bacteroides fragilis</i> in breast tumor tissues. <i>Mouse model:</i> Gut or breast intraductal colonization with a toxin-producing molecular subset of <i>B. fragilis</i> (ETBF) induced growth and metastasis of breast cancer cells potentially mediated by β -catenin and Notch1 signaling.
<i>Head & Neck</i>				
Hayes RB et al (64)	Human samples	To define whether changes in the oral microbiome precede HNSCC	Oral rinse samples from 383 patients from the CPS-II and PLCO studies, including 129 incident cases of HNSCC and 254 controls	The strongest microbial associations identified were protective effects of <i>Kingella</i> and <i>Corynebacterium</i> genera in larynx cancer and smokers, a biologically plausible mechanism due to the cigarette toxin-neutralizing capabilities of these taxa.
<i>Genitourinary</i>				
Shrestha E et al (83)	Human samples	To define whether the urinary microbiome is associated with prostate cancer	Urine samples from 135 men with or without prostate cancer	Total prostate cancer cases did not cluster differently from controls; however, a cluster of cases harbored a striking flora containing 6 pro-inflammatory bacteria suggesting possible subsets of prostate cancer that may be driven by the urinary microbiome.

Abbreviations: CRC, colorectal cancer; ETBF, enterotoxigenic *Bacteroides fragilis*; FAP, familial adenomatous polyposis; Fap2, Fusobacterium adherence protein 2; FFPE, formalin-fixed paraffin-embedded; FMT, fecal microbiota transplantation; GI, gastrointestinal; KP, mice bearing *Kras* mutation and *p53* loss; N/A, not applicable; PDAC, pancreatic ductal adenocarcinoma; TCGA, The Cancer Genome Atlas; TME, tumor immune microenvironment.

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