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# **Nucleocapsid vaccine elicits spike-independent SARS-CoV-2 protective immunity**

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# **Abstract**

Severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) is responsible for the COVID-19 pandemic. Neutralizing antibodies target the receptor binding domain of the spike (S) protein, a focus of successful vaccine efforts. Concerns have arisen that S-specific vaccine immunity may fail to neutralize emerging variants. We show that vaccination with a human adenovirus type 5 vector expressing the SARS-CoV-2 nucleocapsid (N) protein can establish protective immunity, defined by reduced weight loss and viral load, in both Syrian hamsters and K18-hACE2 mice. Challenge of vaccinated mice was associated with rapid N-specific T cell recall responses in the respiratory mucosa. This study supports the rationale for including additional viral antigens in SARS-CoV-2 vaccines, even if they are not a target of neutralizing antibodies, to broaden epitope coverage and immune effector mechanisms.

# **Introduction**

Vaccine candidates targeting SARS-CoV-2 S protein, which is essential for cell entry, were designed based on viral sequences reported in January 2020. Studies of the highly efficacious Pfizer/BioNTech and Moderna mRNA vaccines show a strong correlation between the establishment of neutralizing antibodies (NAb) and protection from disease

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(1, 2). As the virus continues to spread globally, variants have emerged that partly evade neutralization by vaccine elicited S antibodies (3), which may require continued vaccine adaptation and boosting.

T cells contribute to immunity against respiratory pathogens, including serological variants of influenza virus(4). T cells may target conserved epitopes that have an unfavorable fitness barrier to mutation (5). Unlike NAb, T cell immunity is not limited to surface antigens and immunodominant epitopes vary considerably between individuals due to recognition in the context of genetically diverse MHC molecules. Accordingly, T cell immunity may be less vulnerable to immune selection pressure and viral escape mutations. We immunized mice and hamsters against SARS-CoV-2-N to address whether protection could be conferred independently of spike-specific responses.

## **Materials and Methods**

## **Hamster immunizations and SARS-CoV-2 infection**

Male Syrian hamsters (Charles River Laboratories) were maintained in a BSL-2 containment facility under specific-pathogen-free conditions at the University of Minnesota in accordance with the Institutional Animal Care and Use Committees guidelines.

Eighteen- to nineteen-week-old hamsters were anesthetized with isoflurane and immunized using a single intravenous (IV) dose of  $1.8 \times 10^{4}11$  viral particles of either a replicationdeficient (E1 and E3 deleted) human adenovirus type 5 (Ad5) vectors expressing SARS-CoV-2-N (Ad5-N) or a control Ad-5 vector (Ad5-NULL). Ad5 vectors were constructed as described (6). Hamsters were moved to BSL-3 containment for SARS-CoV-2 challenge studies, anesthetized with isoflurane and challenged intranasally (IN) with a total of  $6.75\times10^{6}$  PFU in a 100ul volume of either the 2019-nCoV/USA\_WA1/2020 (WA) strain or the B.1.1.7 variant SARS-CoV- 2/human/USA/CA\_CDC\_5574/2020 (provided by World Reference Center for Emerging Viruses and Arboviruses at the University of Texas Medical Branch). Animals were weighed daily and terminated thirteen days after the challenge. Hamsters challenged for determining viral titers were euthanized three days after challenge.

#### **Mouse immunizations, T cell depletion, and SARS-CoV-2 infection**

Six- to ten-week-old female C57Bl/6 or hemizygous K18.hACE2 (K18) mice (stock no. 034860, Jackson Laboratories) were maintained in specific-pathogen-free conditions at the University of Minnesota in accordance with the Institutional Animal Care and Use Committees guidelines (7). Littermates were immunized IV with  $5\times10^{4}10$  viral particles of Ad5-N vector or Ad5-Null.

Immunized K18 mice were challenged with SARS-CoV-2 in the BSL-3 containment facility. For depletion experiments, mice received intraperitoneal injections of 300ug of both CD4 (GK1.5) and CD8b (Lyt 3.2) or isotype controls (all from InVivoMab) on days 2, 3, 5, and 6 before challenge. Animals were anesthetized with ketamine/xylazine, laid supine, and challenged IN with 300 PFU of WA SARS-CoV-2 in a 30 ul volume. Infected animals were monitored daily for health, weight loss and morbidity and were deemed terminal if they reached 75% of starting weight. All weight loss experiments were terminated 13 days after

infection. Mice assigned for flow cytometry analysis and the concurrent determination of viral titers were euthanized four days after challenge.

#### **Intravascular labeling, cell isolation, and flow cytometry**

To discriminate extravascular cells from intravascular cells, mice were injected IV with BV605-conjugated anti-CD8a Ab, sacrificed after 3 min, and tissues were harvested (8). Mouse lungs were mechanically minced into small pieces and further dissociated in PBS using gentleMACS tubes. For SARS CoV-2 challenge studies, dissociated tissue was split equally into two parts, one for quantification of SARS CoV-2 viral titers and the other for isolation of lymphocytes for flow cytometric analyses. Isolation of cells for flow cytometric analysis was performed as previously described (9). Cells were stained with Abs to CD8a (53-6.7), CD62L (MEL-14), Ly6C (HK1.4), CD44 (IM7), CD69 (H1.2F3), and CD103 (M290) (all from BD Biosciences, Tonbo Biosciences, BioLegend, or Affymetrix eBiosciences) and H2- $D^b/N_{219-227}$  MHC class I tetramers (made in-house) and ghost dye 780 (Tonbo Biosciences). For monomer preparation, the  $N_{219-227}$  peptide sequence was LALLLLDRL. Stained samples were acquired on LSRII or LSR Fortessa flow cytometers (BD Biosciences) and analyzed with FlowJo software (BD Biosciences). Cells were gated on singlets, live lymphocytes, CD8 T cells, and/or tetramer-specific cells as indicated.

#### **Hamster and mouse lung lysate preparation**

Hamsters and mice were euthanized 3 and 4 days after SARS-CoV-2 infection, respectively. Lungs were harvested from hamsters, weighed, and homogenized in PBS using gentleMACS M tubes and a gentleMACS dissociator on the protein setting. Mouse lungs were weighed and processed as described above before being further homogenized in PBS using gentleMACS M tubes (9). Tubes were centrifuged to pellet debris. The supernatants were collected, aliquoted, and stored at −80°C.

### **Cell Culture and Plaque Assays**

Vero E6 cells (ATCC) were maintained in DMEM with 10% FBS and 1% penicillinstreptomycin. Infections were carried out in an infection medium (DMEM with 1% penicillin-streptomycin, 1% Non-Essential Amino Acids, 10mM HEPES, and 2% FBS). The plaque assay was adapted from Mendoza et al. (10). Ten-fold dilutions of the homogenized lung samples were adsorbed to Vero E6 cells. The cells were incubated for 1 hour at 37°C in a 5%  $CO<sub>2</sub>$  incubator, rocking every 10 minutes. Cells were washed with PBS and overlaid with a carboxymethylcellulose (CMC) overlay media (MEM, 1.5% CMC) and incubated at  $37^{\circ}$ C in a 5% CO<sub>2</sub> incubator for 3 days. The overlay media was removed, cells were washed with PBS, and fixed for 1 hour with 4% paraformaldehyde at room temperature. The fixative was discarded, and the cells were stained with crystal violet (0.5%) for 5–15 minutes. Cells were washed with distilled water 2–4 times, blotted dry, and plaques were enumerated.

## **Results**

### **Ad5-N vaccine confers protection against SARS-CoV-2 infection**

Outbred Syrian hamsters are permissive to SARS-CoV-2 infection. We vaccinated hamsters IV with Ad5-N, which expresses the N sequence derived from WA, or a control Ad5

vector lacking a SARS-CoV2 sequence, Ad5-NULL. 7–8 weeks later, animals were challenged IN with  $6.8\times10^4$  PFU WA SARS-CoV-2. Vaccination reduced weight loss (Fig. 1A, B). Vaccinated hamsters were also challenged with WA or a variant strain B.1.1.7, which contains two amino acid substitutions in N that do not occur within the  $N_{219-227}$ immunodominant epitope that we previously defined in C57Bl/6 mice(6). Vaccination elicited a significant 30-fold (WA) and 12-fold (B.1.1.7) reduction in median lung viral titer three days following challenge, though the latter was not significant. We next immunized transgenic K18 mice that are highly susceptible to SARS-CoV-2 (11). 30 days following IV vaccination with Ad5-N or Ad5-NULL, K18 mice were challenged with 300 PFU SARS-CoV-2. Ad5-N vaccinated mice experienced significantly reduced weight loss (Fig. 1D, E) and mortality, with 75% surviving challenge vs. 0% in the Ad5-NULL vaccinated group (Fig. 1F).

### **Memory T cells respond to SARS-CoV-2 challenge**

Vaccination established SARS-CoV-2-N<sub>219–227</sub>-specific memory CD8 T cells that persisted in lung, airways, and spleen from 40 to 86 days, and increased in the lung draining mediastinal lymph node (Fig. 2A) (12). We examined whether T cells in vaccinated mice participated in the response four days after WA SARS-CoV-2 challenge using a combined antibody-mediated CD8b and CD4 depletion strategy (Fig 2B, 2C). Vaccinated mice showed a ~3.8-log10 reduction in lung viral load (Fig. 2D). Combined CD8b and CD4 depletion prior to challenge partially abrogated protection, although T cell depletion was not absolute, (Fig. 2B) and residual  $N_{219-227}$  -specific CD8 T cells were still observed in vaccinated mice (Fig. 2C).

IN challenge increased granzyme  $B + N_{219-227}$ -specific CD8 T cells in the pulmonary mucosa (Fig. 2E). Total  $N_{219-227}$ -specific CD8 T cells substantially increased in both lung parenchyma (IVneg, defined by the absence of intravascular anti-CD8a staining, as previously described (8)) and draining mediastinal lymph node, moderately decreased in spleen, and substantially decreased in the lung vasculature (IVpos, Fig. 2F). These data indicate that vaccine-elicited memory CD8 T cells underwent rapid reactivation and migration to the site of viral challenge, and that T cells may have contributed to viral control.

# **Discussion**

The rapidity and success of SARS-CoV-2 vaccine development and deployment is unprecedented. Most strategies, including all vaccines licensed for emergency use in the USA, immunize only against the viral spike. Spike is a logical choice because it contains the receptor binding domain that is the main target of NAb. Nevertheless, SARS-CoV-2 is likely to become endemic. Viral variants have emerged that reduce vaccine-elicited NAb efficacy (12), suggesting partial escape, and SARS-CoV-2 may continue to evolve with or without selection pressure from increased global immunity. While vaccination strategies often rely on NAbs for efficacy, engaging other arms of the immune system, such as cellular immune memory, have been shown to offer protection against viral infections and/or reduce the threshold of NAbs needed for protection (13, 14). This study provides evidence in

rodents that immunological memory to additional antigens could provide protection, which may involve memory T cells and other non-neutralizing effector mechanisms. It appears likely that viral evolution will necessitate vaccine evolution and booster immunizations that address emergent variants. This study supports the rationale for including additional viral antigens into future vaccine candidates to broaden epitope diversity and protection mechanisms while limiting opportunities for viral escape.

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Matchett et al. Page 6

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# **Key points:**

**1.** Vaccine elicited N-specific immunity confers protection against SARS-CoV-2

**2.** Memory CD8 T cells respond rapidly upon intranasal SARS-CoV-2 challenge

Matchett et al. Page 8



**Figure 1. Ad5-N vaccine confers protection against SARS-CoV-2 infection in Syrian hamsters and K18 mice.**

**A**) Median and **B**) peak weight change after vaccinated hamsters were challenged 7–8 weeks later with WA SARS-CoV-2. **C**) Lung viral titers three days post-challenge with WA (*left*) or B.1.1.7 (right) SARS-CoV-2. **D**) Median and **E**) peak weight change (dashed lines represent humane endpoint), and **F**) survival of vaccinated K18 mice following IN WA SARS-CoV-2 challenge. Bars denote median and interquartile range \*,  $P < 0.05$ ; \*\*,  $P < 0.01$ ; \*\*\*,  $P <$ 0.001. Individual comparisons were analyzed using two-sided Mann–Whitney tests (**A-E**) using Bonferroni-Dunn correction for multiple comparisons (**A, D**) and survival by the log-rank test (**F**).

Matchett et al. Page 9



#### **Figure 2. Memory T cells respond to SARS-CoV-2 challenge.**

**A**) Number of  $N_{219-227}$ -specific CD8 T cells 40 to 86 days after Ad5-N vaccination. **B**) Percent CD4 and CD8 T cells among IVneg lung or total splenocytes in isotype or T cell depleted Ad5-N vaccinated mice. **C**) Total N219–227-specific CD8 T cells in IVneg lung or MedLN of Ad5-N vaccinated mice following T cell depletion. **D**) Four days after WA SARS-CoV-2 challenge, viral titers in lungs of naive and Ad5-N vaccinated K18 mice  $\pm$  T cell depletion (*left*) and viral titers plotted against N<sub>219–227</sub>-specific CD8 T cells within IVneg lung (*right*). E) Abundance of granzyme B+ N<sub>219–227</sub>-specific CD8 T cells in IVneg lung or mediastinal LN and **F**) of total N<sub>219-227</sub>-specific CD8 T cells within indicated compartments immediately prior (baseline) and four days after challenge. Bars denote median and interquartile range. Flow plots were gated on lymphocytes, single cells,

Matchett et al. Page 10

live, CD8a IVneg cells followed by the gates indicated in each panel, except in (**D**) where IVpos cells were also independently evaluated\*,  $P < 0.05$ ; \*\*,  $P < 0.01$ ; \*\*\*,  $P < 0.001$  as determined by a two-sided Mann–Whitney test (**A-F**).