

Climate change facilitated the early colonization of the Azores Archipelago during medieval times

Pedro M. Raposeiro^{a,b,1}, Armand Hernández^c, Sergi Pla-Rabes^d, Vítor Gonçalves^{a,b}, Roberto Bao^e, Alberto Sáez^f, Timothy Shanahan^g, Mario Benavente^c, Erik J. de Boer^f, Nora Richter^{h,i}, Verónica Gordonⁱ, Helena Marques^{a,b}, Pedro M. Sousa^{j,k}, Martín Souto^{a,b}, Miguel G. Matias^{l,m}, Nicole Aguiar^b, Cátia Pereira^{l,m}, Catarina Ritter^a, María Jesús Rubio^c, Marina Salcedo^b, David Vázquez-Loureiro^e, Olga Margalef^{d,f,n} Linda A. Amaral-Zettler^{h,i,o}, Ana Cristina Costa^{a,b}, Yongsong Huangⁱ, Jacqueline F. N. van Leeuwen^p, Pere Masqué^{q.r.s}, Ricardo Prego^t, Ana Carolina Ruiz-Fernández , Joan-Albert Sanchez-Cabeza , Ricardo Trigo^{k, v}, and Santiago Giralt^c

^aCentro de Investigação em Biodiversidade e Recursos Genéticos (CIBIO), Rede de Investigação em Biodiversidade e Biologia Evolutiva (InBIO) - Laboratório Associado, Pólo dos Açores, 9500-321 Ponta Delgada, Portugal; ^bFaculdade de Ciências e Tecnologia, Universidade dos Açores, 9500-321 Ponta Delgada, Portugal; Geosciences Barcelona (Geo3BCN-CSIC), Consejo Superior de Investigaciones Científicas, Lluís Solé i Sabarís s/n, 08028 Barcelona, Spain; d for Ecological Research and Forestry Applications (CREAF), Campus de Bellaterra, 08193 Cerdayola del Valles, Spain; ^eCentro de Investigacións Científicas Avanzadas (CICA), Facultade de Ciencias, Campus da Zapateira s/n, Universidade da Coruña, 15071 A Coruña, Spain; ^fDepartment de Dinàmica de la Terra i de l'Oceà, Facultat de Ciències de la Terra, Universitat de Barcelona, 08028 Barcelona, Spain; Department of Geosciences, University of Texas at Austin, Austin, TX 78712; hDepartment of Marine Microbiology & Biogeochemistry, Royal Netherlands Institute for Sea Research (NIOZ), 1790 AB Den Burg, The Netherlands; Department of Earth, Environmental and Planetary Sciences, Brown University, Providence, RI 02912; JInstituto Português do Mar e da Atmosfera, 1749-077 Lisboa, Portugal; ^kInstituto Dom Luiz, Faculdade de Ciências, Universidade de Lisboa, 1749-016 Lisboa, Portugal; ^lDepartmento of Biogegrafía y Cambio Global, Museo Nacional de Ciencias Naturales, CSIC, Madrid, Spain; "Biodiversity Research Chair, Mediterranean Institute for Agriculture, Environment and Development, Universidade de Évora, 7000-890 Évora, Portugal; "CSIC, Global Ecology Unit CREAF-CSIC-UAB, Cerdanyola del Valles, 08193 Catalonia, Spain; ^oDepartment of Freshwater and Marine Ecology, Institute for Biodiversity and Ecosystem Dynamics, University of Amsterdam, Amsterdam, The Netherlands; ^PInstitute of Plant Sciences and Oeschger Center for Climate Change Research, University of Bern, 3013 Bern, Switzerland; ^qInternational Atomic Energy Agency, 98000 Principality of Monaco, Monaco; ^rInstitute of Environmental Science and Technology and Physics Department, Universitat Autonoma de Barcelona, Bellaterra, 08193, Spain, School of Natural Sciences, Centre for Marine Ecosystems Research, Edith Cowan University, Joondalup, WA 6027, Australia; ^tDepartment of Oceanography, Marine Research Institute (CSIC), 36208 Vigo, Spain; ^uInstituto de Ciencias del Mar y Limnología, Unidad Académica Mazatlán, Universidad Nacional Autónoma de México, 82040 Mazatlán, Mexico; and ^vDepartamento de Meteorología, Universidade Federal do Rio de Janeiro, Rio de Janeiro, 21941-919, Brazil

Edited by Cathy Whitlock, Montana State University, Bozeman, MT, and approved August 27, 2021 (received for review May 10, 2021)

Humans have made such dramatic and permanent changes to Earth's landscapes that much of it is now substantially and irreversibly altered from its preanthropogenic state. Remote islands, until recently isolated from humans, offer insights into how these landscapes evolved in response to human-induced perturbations. However, little is known about when and how remote systems were colonized because archaeological data and historical records are scarce and incomplete. Here, we use a multiproxy approach to reconstruct the initial colonization and subsequent environmental impacts on the Azores Archipelago. Our reconstructions provide unambiguous evidence for widespread human disturbance of this archipelago starting between 700_{-60}^{+50} and 850_{-60}^{+60} Common Era (CE), ca. 700 y earlier than historical records suggest the onset of Portuguese settlement of the islands. Settlement proceeded in three phases, during which human pressure on the terrestrial and aquatic ecosystems grew steadily (i.e., through livestock introductions, logging, and fire), resulting in irreversible changes. Our climate models suggest that the initial colonization at the end of the early Middle Ages (500 to 900 CE) occurred in conjunction with anomalous northeasterly winds and warmer Northern Hemisphere temperatures. These climate conditions likely inhibited exploration from southern Europe and facilitated human settlers from the northeast Atlantic. These results are consistent with recent archaeological and genetic data suggesting that the Norse were most likely the earliest settlers on the islands.

paleolimnology | island colonization | biomarkers | climate simulations | ecosystem disruption

The Azores Archipelago (36.5° to 40°N, 24.5° to 31.5°W) is made up of nine volcanic islands in the North Atlantic (Fig. 1), and given their distance from the European coast (ca. 1,450 km), the colonization of these islands would only have been possible after the advent of ocean-worthy ships (1). Until recently, the consensus has been that the Azores were not colonized until the Portuguese arrived between 1427 Common Era (CE) (Santa Maria Island) and 1452 CE (Flores and Corvo Islands) (2-5), while searching for new routes to Asia (6). Historical documents from the first settlers note

the apparent pristine and undisturbed character of the islands (2, 3, 7). However, the presence of the Azores Archipelago on maps such as those of Pizzigani (1367 CE), the Medici-Laurentian (1370 CE), the Catalan (1375 CE), the Pinelli-Walckenaer (1384 CE), the Corbitis (ca. 1385 to 1410 CE) Atlas, as well as their listing in

Significance

We use a diverse set of lake and landscape proxy indicators to characterize initial human occupation and its impacts on the Azores Archipelago. The occupation of these islands began between 700 and 850 CE, 700 years earlier than suggested by documentary sources. These early occupations caused widespread ecological and landscape disturbance and raise doubts about the islands' presumed pristine nature during Portuguese arrival. The earliest explorers arrived at the end of the early Middle Ages, when temperatures were higher than average, and the westerly winds were weaker, facilitating arrivals to the archipelago from northeastern Europe and inhibiting exploration from southern Europe. This is consistent with archaeological and genetic research suggesting the Norse were the first to colonize the Azores Archipelago.

Author contributions: P.M.R., A.H., S.P.-R., V. Gonçalves, R.B., A.S., and S.G. designed research; P.M.R., A.H., S.P.-R., V. Gonçalves, R.B., A.S., T.S., M.B., E.J.d.B., N.R., V. Gordon, H.M., P.M.S., M. Souto, M.G.M., N.A., C.P., C.R., M.J.R., M. Salcedo, D.V.-L., O.M., L.A.A.-Z., A.C.C., R.T., and S.G. performed research; P.M.R., V. Gonçalves, R.B., A.S., Y.H., and S.G. contributed new reagents/analytic tools; P.M.R., A.H., S.P.-R., V. Gonçalves, R.B., A.S., T.S., M.B., E.J.d.B., N.R., V. Gordon, H.M., P.M.S., M. Souto, N.A., C.R., M.J.R., M. Salcedo, D.V.-L., L.A.A.-Z., A.C.C., Y.H., J.F.N.v.L., P.M., R.P., A.C.R.-F., J.-A.S.-C., R.T., and S.G. analyzed data; and P.M.R., A.H., S.P.-R., V. Gonçalves, R.B., A.S., T.S., and S.G. wrote the paper.

The authors declare no competing interest.

This article is a PNAS Direct Submission

¹To whom correspondence may be addressed. Email: pedro.mv.raposeiro@uac.pt.

This article contains supporting information online at https://www.pnas.org/lookup/suppl/ doi:10.1073/pnas.2108236118/-/DCSupplemental.

Published October 4, 2021.

the Libro del Conoscimiento (ca. 1380 CE), suggests that these remote islands were well-known before their official settlement recorded in Portuguese historical documents. This raises questions both about the timing of the first human arrivals to the islands and the pristine nature of these systems at that time.

To improve our understanding of the early colonization history and subsequent environmental impacts of early settlers on the Azores, we studied sediment cores from lakes on five islands in the archipelago (Fig. 1): Lake Caldeirão (Corvo Island: 39.7023° N, 31.1080° W; 400 m above sea level), Lake Funda (Flores Island: 39.4475° N, 31.1939° W; 360 m above sea level), Lake Peixinho (Pico Island: 38.4580° N, 28.3228° W; 870 m above sea level), Lake Ginjal (Terceira Island: 38.7216° N, 27.2206° W; 390 m above sea level), and Lake Azul (São Miguel Island: 37.7804° N, 25.4970° W; 260 m above sea level). Age models for each of the records were generated using a combination of ²¹⁰Pb, ¹³⁷Cs, and radiocarbon dating (Materials and Methods). The records vary in length, with the shortest records extending back to ~600 calibrated years B.P. (Azul, Ginjal), while others cover the last ~1,000 calibrated years B.P. (Funda), ~2,700 calibrated years B.P. (Peixinho), and the longest to ~3,800 calibrated years B.P. (Caldeirão). Only the last two cover the time range hypothesized for the Norse arrival in the Azores, but all records cover at least the last 600 y of historical human occupation. Collectively, these records provide integrative insights into the human settlement process and its environmental impacts across five different islands that span 600 km along a range of physiographic settings (i.e., altitude, area, orography, and hydrology) in the North Atlantic Ocean.

Lake sediments can provide robust, continuous, and high-resolution archives of environmental changes (8). Disentangling the effects of climate change and anthropogenic activities on the environment is, however, a major challenge because the signal of past anthropogenic activity is often difficult to differentiate from the impacts of climate variability. To overcome this challenge, we use fecal sterol biomarkers, coprostanol (5 β -cholestan-3 β -ol) and 5 β -stigmastanol, as well as coprophilous fungal spores (*Sporomiella*-type, *Sordaria*-type, and *Podospora*-type; *Materials and Methods*) to identify human activities related to the introduction of large herbivorous mammals (i.e., livestock) (9). Sterols are abundant in mammal feces, and

coprostanol is particularly abundant (~60%) in human feces and other omnivores (10, 11). Although we interpret coprostanol as an indicator for human activity, we cannot distinguish whether it was produced by humans or introduced omnivores. In contrast, feces from ruminants, such as cows and sheep, contain proportionally higher concentrations of 5β-stigmastanol (11, 12). Coprophilous fungi life cycles depend on herbivorous mammals as they ingest the spores during feeding and then are released in the dung where the fungi grow and sporulate (13). Thus, spores from coprophilous fungi are proxies for larger herbivores, which were not present on the Azores before humans introduced livestock (14, 15). Together, these proxies provide unequivocal evidence for the presence of humans and the introduction of ruminants to these oceanic islands. Since the earliest arrivals may not have had sufficient human or ruminant population densities to leave a significant imprint on lake records, we interpret these proxies as providing a minimum age for human arrival.

In addition to fecal sterols, and to assess the role of human settlement on landscape degradation and ecological disruption, we also used a complementary set of proxy-based indicators to simultaneously investigate human impacts on terrestrial and aquatic environments. Variations in pollen, plant macrofossil, charcoal particles, and polycyclic aromatic hydrocarbons (PAH) provide indicators of past vegetation change and fire disturbance (8, 16, 17). In addition, major and trace element variations were used to assess changes in soil erosion (18). Similarly, bulk and isotopic measurements of organic carbon and nitrogen reflect changes in terrestrial and aquatic inputs (18). Distributions of fossil diatoms and chironomids were used as indicators of ecological changes in the lake and catchment ecosystems (19, 20). Finally, to better understand the climate conditions under which the early colonization of the archipelago occurred (850 CE), we use outputs from the Community Earth System Model (CESM-CAM5 CN) Last Millennium Ensemble (LME) transient simulation (21).

Although ecological indicators of disturbance can be impacted by both anthropogenic and natural drivers, we argue that the changes observed in our records are distinctly different from the response to natural forcings. In records from Lake Caveiro (Pico Island) and Lake Rasa (Flores Island) that span the mid-Holocene

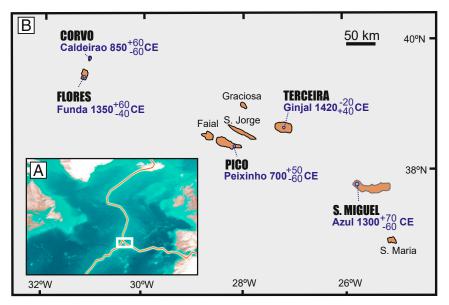


Fig. 1. (A) Inset: Location of the Azores Archipelago in the North Atlantic. Red lines, triple junction between North American, the Eurasian, and the Nubian plates. (B) Large figure: Distribution of the islands in the Western Group (Corvo and Flores Islands), Central Group (São Jorge, Faial, Graciosa, Terceira, and Pico Islands), and Eastern Group (São Miguel and Santa Maria Islands). Islands and lakes from which sediment records have been studied are indicated. The dates for each lake correspond to the first appearance of unequivocal evidence of human activities (see Results and Discussion for further details).

(~6,000 y and ~3,000 y long, respectively), episodic increases in fire occur, presumably as a result of lightning ignition or volcanic eruptions (22). However, the terrestrial and aquatic ecosystem response to these events, reconstructed through pollen and diatom proxies, is generally small, or in the case of eruptions, where impacts can be significant, the recovery is relatively rapid (22, 23). By contrast, the alteration of natural drivers had lasting impacts, mainly because native forests had little history of fire and little resilience to the intensity of burning. This longer-term context for ecosystem variability demonstrates the relative resilience of these oceanic island systems to natural climate change and highlights the distinct impacts of human influences.

Results and Discussion

Using fecal biomarkers, we identified four phases related to the presence of human activity in the sediment core records (Fig. 2). During Phase I (500 to 700 CE), human activities are not detected

in any of the records. Phase II is defined by the first appearance of 5β -stigmastanol between 700 to 1070 CE. Phase III is defined by the first appearance of coprostanol in the sediment record after 1070 CE and notable changes within the catchment areas, including increased fire activity and soil erosion. Finally, coinciding with the official Portuguese arrival to the archipelago (1427 to 1452 CE), Phase IV is defined by additional changes in the proxy records, such as a decline in forested areas and lake eutrophication, that are still visible in the present-day landscape.

The lack of fecal biomarkers during Phase I suggests that humans and ruminants were absent in the lake catchment areas before \sim 700 CE. Like most of the oceanic islands of Macaronesia, except for the Canary Islands, the Azores Archipelago was devoid of nonvolant mammals and larger birds prior to the arrival of humans (15, 24). Pyrolytic PAHs and macrocharcoal display relatively stable and low background levels during this period (accumulation rates of 1.34 \pm 109 ng cm⁻² y⁻¹; 0.3 \pm 0.1 particles cm⁻² y⁻¹, respectively),

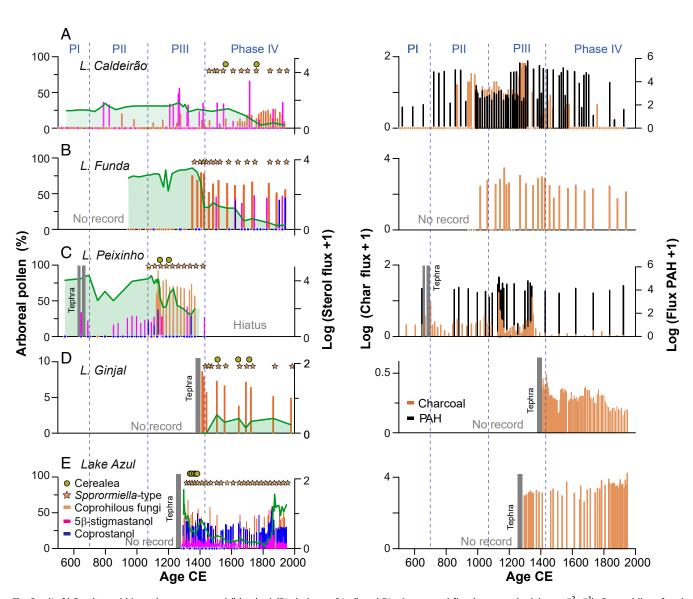


Fig. 2. (Left) Fecal sterol biomarkers coprostanol (blue bar) (5β-cholestan-3β-ol) and 5β-stigmastanol flux (magenta bar) (ng cm⁻² y⁻¹), Coprophilous fungi flux (orange bar) (spores cm⁻² y⁻¹), Arboreal pollen (%; green line and silhouette), presence of Cerealea pollen (yellow dot) and Sporormiella-type fungi (star). (Right) Total pyrolytic PAHs flux (black bar) (ng cm⁻² y⁻¹) and charcoal flux (orange bar) (particles cm⁻² y⁻¹). Western Group, (A) Lake Caldeirão (Corvo Island) and (B) Lake Funda (Flores Island); Central Group, (C) Lake Peixinho (Pico Island) and (D) Lake Ginjal (Terceira Island); and Eastern Group, (E) Lake Azul (São Miguel Island). Phases: I, absence of fecal biomarkers; II, first appearance of coprostanol (5β-cholestan-3β-ol); IV, official Portuguese arrival to Azores Archipelago. Gray bars represent tephra layers.

reflecting the low frequency of natural fires in the lake catchments. Furthermore, the plant macrofossils and pollen data indicate that the islands were densely forested with *Juniperus brevifolia* and *Ilex perado* in codominance with *Myrsine africana* shrubs and mosses, which cover branches of trees and shrubs in this environment (see refs. 25 and 26 and *SI Appendix*, Figs. S1–S6). The maritime climate of the islands would have contributed to a stable forest composition (22, 23). Environmental conditions within the lake systems were also relatively stable, with lake organic matter dominated by allochthonous sources and diatom communities of mostly oligo/mesotrophic taxa, indicating stable and relatively low aquatic productivity (*SI Appendix*, Figs. S1–S5 and S7).

The beginning of Phase II is defined by the first appearances of fecal biomarkers such as 5β -stigmastanol at ca. 700 ± 60 CE in the Lake Peixinho sedimentary record ($50 \text{ ng cm}^{-2} \text{ y}^{-1}$ Pico Island, Central Island Group) and at 850 ± 60 CE in Lake Caldeirão ($69 \text{ ng cm}^{-2} \text{ y}^{-1}$ Corvo Island, Western Island Group). These biomarkers provide the most direct evidence, likely introduced livestock (e.g., cattle, sheep, goats, and pigs), to date for the first human activities on the islands (Fig. 2). Furthermore, given the distances between these two islands ($\sim 260 \text{ km}$), the near synchronous appearance of the fecal markers in these two lake systems suggests that, within chronological uncertainties, the arrival of early human settlers was nearly synchronous across the archipelago.

The sudden and synchronous appearance of fecal biomarkers in the records on the distant Pico and Corvo Islands contrasts with the lack of fecal biomarkers at Flores Island until 1300 CE, although this island is only ca. 30 km south of (and visible from) Corvo Island. One possible explanation could be hydrological differences. In contrast to Flores Island, neither Pico nor Corvo Island have a well-developed surface hydrological system with permanent streams that transport freshwater from the highlands to the shore. Consequently, highland lakes from Pico and Corvo Island may have been the primary source of freshwater when the first settlements were established, while they were probably less important when Flores Island was first occupied. In addition, the patterns of human land use for volcanic islands usually follow an altitudinal stratification resulting from a combination of a generally uneven orography and variation of bioclimatic conditions with altitude (27, 28). This appears to be the case for the Azores Archipelago islands in historical records (29) and could have also played a role during the early colonization of these islands, with the first settlers only occupying and/or exploiting the islands' highlands when strictly necessary.

Livestock fecal sterols are continuously present from 950₋₆₀ +50 CE onwards in Lake Peixinho, although they show a more punctuated presence in Lake Caldeirão (Fig. 2). The simultaneous increase of pyrolytic PAHs and macrocharcoal suggest that slash-and-burn techniques were used to create suitable pastures for livestock close to the lake shores. This interpretation is reinforced by the influx of arboreal plant macrofossils in Lake Caldeirão (*SI Appendix*, Fig. S1) and pollen in Lake Peixinho (*SI Appendix*, Fig. S3), which show a sudden decline in juniper forests and an expansion of grasses (Poaceae) at that time. Proxy-based indicators in lake sediments suggest that the initial appearance of humans/livestock on the islands (Phase II; Fig. 2) was quickly followed by large-scale landscape modifications and the introduction of large ruminants, presumably associated with the establishment of permanent settlements.

The introduction of livestock and the practice of slash-and-burn agriculture had significant ecological impacts on aquatic systems in the Azores Archipelago, as has been observed for other island systems (30). The rise in the dominance of mesotrophic tychoplanktonic diatoms in Lake Peixinho, together with the presence of profundal and low oxygen tolerance associated chironomid taxa, and the decrease from 2.8 ± 0.4 ‰ to 1.9 ± 0.4 ‰ in δ^{15} N values, indicates a rise in lake trophic state (*SI Appendix*, Fig. S3). However, impacts on lake ecology appear to be site dependent, with similar paleolimnological proxy indicators remaining relatively unchanged

in Lake Caldeirão at this time, perhaps because local settlements were either small or temporary.

The first appearance of coprostanol occurs at the beginning of Phase III at ca. 1070 CE in Lake Peixinho (8.4 ng cm⁻² y⁻¹ Pico Island) and at 1280 CE in Lake Azul (6.5 ng cm⁻² y⁻¹ São Miguel Island) (Fig. 2). Lake sediments of Pico, Corvo, Flores, and São Miguel Islands all show a sharp drop in arboreal pollen and a drastic increase of Juniperus leaf influx, in conjunction with an increase in 5β-stigmastanol, coprophilous fungi, pyrolytic PAH, and charcoal particles (Fig. 2). Taken together, this suggests that as human population pressure increased, deforestation intensified to clear space for agriculture and livestock. The first appearance of Secale cereale pollen grains ca. 1150 CE in Pico, ca. 1300 CE in São Miguel, and ca. 1550 CE in Corvo, as well as Plantago spp. in Pico (ca. 1170 CE) and Corvo (ca. 1390 CE), corroborates this interpretation (SI Appendix, Figs. S1-S5). These records provide unequivocal evidence of substantial human occupation and are associated with unprecedented changes in the catchments and the lakes over the last 1,500 y. The intensification of human activities also resulted in an ecological regime shift in Lakes Caldeirão, Funda, and Peixinho as evidenced by accelerated sedimentation rates, higher concentrations of terrigenous elements (Ti, Fe, Mn), and an increase in the relative abundance of aerophilic diatoms of allochthonous origin (SI Appendix, Figs. S1-S5). Increased erosion and runoff from the catchment modified the supply of dissolved organic matter to the lakes, increased nutrient availability, altered aquatic communities, and drastically increased lake productivity. A decrease in sediment total organic carbon/total nitrogen ratios at this time indicates a transition toward more lacustrine-dominated organic matter in association with higher nutrient levels (SI Appendix, Fig. S7).

The CESM Last Millennium simulations for this time interval suggest that the intensification of anthropogenic pressures on local ecosystems occurred during a period of enhanced aridity partly due to the predominance of positive phases of the North Atlantic Oscillation and East Atlantic pattern (NAO+/EA+) (SI Appendix). Combined positive NAO and positive EA phases (SI Appendix, Fig. S10) resulted in lower-than-average temperatures over Iceland, Greenland, and North Africa and higher-than-average temperatures in the British Isles, Scandinavia, and eastern North Atlantic (including the Azores Archipelago). Warmer and drier conditions at this time in the Azores (SI Appendix, Fig. S8) might have forced the inhabitants to exploit less accessible lakes located in the central and highland areas of islands, such as on Flores Island, to aid in their survival, leading to an increase in disturbance indicators in their sediment records.

Phase IV began with the historically documented arrival of the Portuguese to the archipelago between 1430 and 1450 CE and consolidated the profound ecological transformation of terrestrial and lacustrine ecosystems initiated during the previous phase (Fig. 2 and *SI Appendix*, Figs. S1–S5). The steady decline of native arboreal pollen favored the appearance of grass meadows mostly dominated by Poaceae. The continuous presence of coprophilous dung fungal spores of *Sporormiella*-type in the sedimentary records evidence the intensification of human activities including forest burning, cereal cultivation, and animal husbandry, as recorded in Portuguese historical documents (2, 15). In contrast to previous intervals, this further intensification of human activities often resulted in irreversible changes to lake trophic states. Increased catchment erosion resulted in enhanced delivery of nutrients to most lakes, leading to increased eutrophication, as indicated by a larger abundance of eutrophic diatom taxa and the development of a more permanent anoxic hypolimnion as evidenced by a reduction in chironomid abundances (SI Appendix, Figs. S1-S5). Successive introductions of fish in the fishless lakes of the Azores after 1790 CE triggered a set of top-down (predation on zooplankton and chironomids) and bottom-up (sediment resuspension) controls, promoting a further shift toward eutrophic conditions (31, 32).

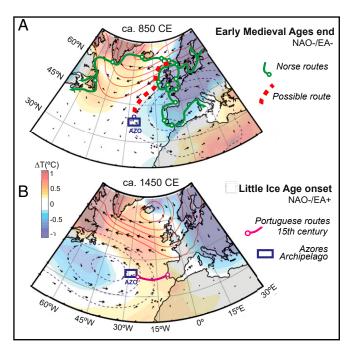


Fig. 3. North Atlantic average anomalies for MSLP (blue/red lines), 2 m temperature (shading), and 925 hPa horizontal wind (vectors) during the 850 to 1500 CE period. (A) Average anomalies for MSLP (blue/red lines), 2 m temperature (shading), and 925 hPa horizontal wind (vectors) during NAO⁻/EA⁻ prevailing conditions. Green line, Norse maritime routes during the ninth to eleventh century. Blue rectangle, location of the Azores Archipelago (AZO). Dotted orange, a possible route of Norse reaching the Azores Archipelago. (B) Average anomalies for MSLP (blue/red lines), 2 m temperature (shading), and 925 hPa horizontal wind (vectors) during NAO⁻/EA⁺ prevailing conditions. Magenta line, Portuguese maritime routes during fifteenth century. Blue rectangle, Azores Archipelago location.

The arrival of the Portuguese to the Azores occurred during the Little Ice Age [LIA; 1300 to 1850 CE (33)]. Simulations with CESM indicate that this interval was marked by a more dominant NAO⁻/EA⁺ atmospheric winter configuration, resulting in a tendency toward more humid and colder-than-average climate conditions on the Azores Archipelago (*SI Appendix*, Fig. S11). The shift to wetter conditions is evident in the aquatic diatom records, particularly in the deeper lake systems (i.e., Lakes Funda and Azul). Despite the evidence for milder climate conditions at this time, disturbance indicators still increase, demonstrating the severity of the impacts of Portuguese settlement. However, the shift in climate conditions likely also enhanced surficial runoff, exacerbating the anthropogenic effects on the freshwater ecosystems.

Who First Colonized the Azores?

Our reconstructions offer unambiguous evidence for the pre-Portuguese settlement of the Azores Archipelago and suggests that people first occupied the islands as early as the early Middle Ages (EMA; 500 to 900 CE). This finding builds upon other studies suggesting that the Portuguese may not have been the first inhabitants of the islands. Previous work on lake sediments from Lake Azul on São Miguel Island, using pollen, charcoal, and dung fungi as proxy-based indicators, demonstrated that rye pollen together with spores from coprophilous fungi (Sordaria, Sporormiella, Cercophora, Podospora) were continuously present after 1287 CE and were interpreted as evidence of early cereal cultivation and livestock farming, respectively (25). Our current study extends the timing of the earliest occupation by humans back by an additional 500 y. Other recent data support our evidence for initial occupation in the EMA. For example, a recent radiocarbon date 903 to 1036 CE (1033 \pm 28 y B.P. uncalibrated) on house-mouse

(*Mus musculus*) bones collected at a fossil site on Madeira Island (34) and colonization dates of 910 to 1185 CE for this species established by molecular dating methods using mitochondrial DNA (mtDNA) D-loop sequences (35) suggest that explorers had accidentally introduced this alien species on several Macaronesian islands by this time (Azores, Madeira, and the Canary Islands). Although controversial, radiocarbon dating of organic matter embedded in silica cement that partially filled a putative humanmade trachytic rock bowl from Terceira Island yielded an age of 1020 to 1160 CE (950 \pm 30 calibrated years B.P., 2- σ) (36). These studies are consistent with the first appearance of fecal biomarkers in our records (Fig. 4).

Genetic characterization of modern Macaronesian Mus musculus populations present in the Azores shows that this species followed a complex colonization history from multiple geographical origins (37), with two of the mitochondrial D-loop sequences indicating an origin in northern Europe (Denmark, Norway, Iceland, Ireland, Sweden, Finland, and the Faroe Islands) (38). The observation that northern European mice contribute significantly to the Azorean mouse gene pool suggests that they were among the earliest populations introduced to the island. This strongly suggests that they arrived with the earliest settlers, from northern Europe, in the EMA. An early discovery of the Macaronesian islands by the Norse from northern Europe also provides a plausible explanation for the presence of the archipelago on maps before the official Portuguese discovery. In fact, Corvo Island appears as Corvis Marinis (Marine Raven Island) in the Medici Atlas (1370 CE), suggesting that northern people discovered it since these northern explorers usually used ravens to help them locate landfalls when far out at sea (39).

To better understand the climatic and oceanic conditions under which this early arrival may have occurred, we examined climate model simulations for the 850 to 1850 CE period using the CESM-CAM5_CN from the LME (21). According to this climate model simulation, the end of the EMA period was associated with a predominance of NAO⁻/EA⁻ phases (40, 41), with warmer than-average decadal climate conditions in the north Atlantic sector (Fig. 3). This prevailing NAO/EA combination resulted in a Mean

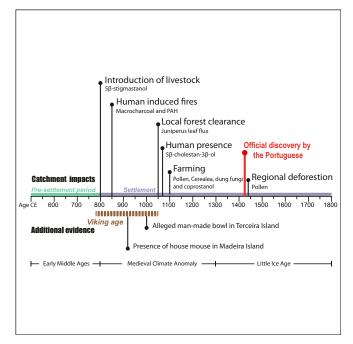


Fig. 4. Summary of evidence for earlier human activities and the timing of the Portuguese arrival in the Azorean Archipelago between 500 to 1800 CE.

Sea Level Pressure (MSLP) dipole with severely weakened westerly winds over all the North Atlantic (25° to 65° N) and an enhanced northerly wind component following the N-S western European margin, from Scandinavia to the Iberian Peninsula (Fig. 3 and SI *Appendix*, Fig. S11). The weakening of the westerlies associated with anomalous NE winds would have facilitated the arrival of Norse explorers to the archipelago, while hindering more meridional explorers from reaching these islands. At that time, the Norse started to colonize North Atlantic islands, with settlements in the Faroe Islands (ca. 800 CE), Iceland (ca. 870 CE), Greenland (ca. 1000 CE), and Newfoundland (ca. 1000 CE) (42, 43). Therefore, they had the knowledge and navigational skills required to sail in open ocean waters and are the most likely candidates to have reached the Azores Archipelago during this period. The lack of historical records prevents us from concluding whether their arrival on the Azores Archipelago was intentional (very unlikely, as the first known maps detailing the approximate location of the islands were drawn 500 y later) or accidental (more probable as storms and anomalous NE winds might have sporadically pushed ships out of their common sailing routes).

The EMA's atmospheric configuration is different from what was typical of the time period when the Portuguese officially colonized the Azores. Between 1430 and 1450 CE, the multidecadal dominance of the NAO-/EA+ phases led to weakened westerlies with prevailing SE winds that favored navigation between southern Europe and the Azores Archipelago, while pushing northern explorers toward the American continent (Fig. 3). This particular NAO/EA combination at the onset of the LIA triggered an MSLP dipole with higher-than-usual MSLP values over Iceland and lower-than-usual MSLP values over the central Atlantic. These MSLP anomalies gave rise to a southern migration of an enhanced westerlies belt (<30° N), resulting in strongly weakened westerlies between 35° and 60° N (SI Appendix). Therefore, the two main colonization pulses were facilitated by weakened westerlies due to an NAO⁻ phase predominance, whereas the (negative or positive) EA pattern phase likely played a key role in determining who (Norse or Portuguese) and when (ninth or fifteenth centuries, respectively) the first explorers reached and settled the Azores Archipelago.

The results of this study suggest that early settlers from northern Europe not only reached the Azores several hundreds of years before the Portuguese but that their settlements were extensive enough to be evident in fecal biomarker records in sites throughout the archipelago. Furthermore, these early settlements led to profound environmental and ecological disturbance (8). These findings are in conflict with the reports of early Portuguese sailors, who described the Azores as heavily forested and pristine. Given the much more extensive environmental degradation which accompanied Portuguese arrival, it may be that comparatively unaltered conditions of the islands appeared undisturbed to the first Portuguese settlers. This highlights the challenge in relying on the historical record to identify relative states of ecosystems or landscape disturbance (8). Another question raised by the data are the persistence of fecal biomarkers in the lake records up to the time of Portuguese arrival, when there are no reports of human occupation

 J. Adam, J. Ronnby, "The consequences of new warships-From medieval to modern and our dialectical relationship with things" in On War on Board-Archaeological and Historical Perspectives on Early Modern Maritime Violence and Warfare, J. Ronnby, Ed. (Södertörns högskola, 2019), pp. 163–198.

- 2. G. Frutuoso, Livro Quarto das Saudades da Terra (Instituto Cultural de Ponta Delgada, 1981).
- 3. G. Frutuoso, *Livro Sexto das Saudades da Terra* (Instituto, 1978).
- 4. G. Frutuoso, Livro Terceiro das Saudades da Terra (Instituto, 1983).
- A. T. Matos, "Povoamento e colonização dos Açores" in Portugal No Mundo, L. de Albuquerque, Ed. (Alfa, 1989), pp. 176–188.
- A. de F. de Meneses, Os Açores e os Impérios séculos XV a XX. Arquipélago História XIII, 205–218 (2009).
- E. Dias et al., "Espécies florestais das ilhas-Açores" in Árvores e Florestas de Portugal,
 J. S. Silva, Ed. (Público, Comunicação Social, SA/ Fundação Luso-Americana/ Liga para a Protecção da Natureza., 2007), pp. 199–254.

or introduced ruminants (2, 3). Such long-lasting occupations should be evident in the archaeological record. More work on this possibility is needed in the future.

Materials and Methods

Coring campaigns were conducted in September 2011 (Lake Azul), July 2015 (Lake Peixinho), June 2017 (Lakes Funda and Caldeirão), and August 2018 (Lake Ginjal) to retrieve the complete sedimentary infill using a UWITEC piston corer installed on a UWITEC floating platform. Cores were sealed entirely in the field and transported to Geociencias Barcelona (Geo3BCN-CSIC, Barcelona, Spain). They were split longitudinally, imaged with a high-resolution CCD (charge-coupled device) camera, and their elemental chemical composition determined every 2 mm using an Avaatech X-ray fluorescence (XRF) continuous core scanner at the University of Barcelona. Cores were subsampled regularly to assess the content of pollen and other nonpalynological remains, micro, and macrocharcoal, chironomids, diatoms, bulk organic matter composition (TOC and TN), isotope signatures (6^{13} C and δ^{15} N), mineralogical composition, and sterol and stanol analyses. Reference *SI Appendix* for further details of the methodologies and sampling intervals employed to characterize these proxies.

To understand the climate conditions under which changes in occupation and disturbance occurred, we use results from the LME using CESM-CAM5_CN. We selected this model as it provides simulations using transient forcing mechanisms and according to its spatiotemporal resolution (2° horizontal and monthly) and the available climate variables (MSLP, horizontal wind at the 925 hPa level, 2 m air temperature, and precipitation). We acknowledge that these simulations start only at 850 CE, but we are unaware of any similar simulations extending back to the previous century when our data suggest that first occupation of the Azores occurred (i.e., 700 to 850 CE). Thus, we use the earliest available period of simulation (850 to 900 CE) to characterize the conditions under which the initial colonization occurred. Given the small changes in forcing applied in the transient simulations during these two centuries (700 to 900 CE), we are confident that this should be a relatively close approximation to the interval of interest. Further details related to the CESM simulations are detailed in *SI Appendix*.

The chronological framework for the records was built using 4 ^{210}Pb and 3 ^{137}Cs profiles and 40 accelerator mass spectrometry (AMS) ^{14}C dates. The statistical analyses of the proxy-based indicators and the age–depth model for every record, integrating ^{210}Pb and ^{137}Cs profiles and the radiocarbon dating on plant macrofossil remains, and pollen concentrates, were carried out using the version 2.3.9 of the R Clam package (44–46). This package automatically calibrated all radiocarbon dates at 2- σ using the IntCal20 calibration curve (47).

Data Availability. The datasets have been made available on PANGAEA (https://doi.pangaea.de/10.1594/PANGAEA.933710; https://doi.pangaea.de/10.1594/PANGAEA.933712; https://doi.pangaea.de/10.1594/PANGAEA.933730; https://doi.pangaea.de/10.1594/PANGAEA.933730; https://doi.pangaea.de/10.1594/PANGAEA.933440).

ACKNOWLEDGMENTS. This research is funded by Fundação para a Ciência e Tecnologia (DL57/2016/ ICETA/EEC2018/25) grant, DISCOVERAZORES research project (PTDC/CTA-AMB/28511/2017), the Spanish Ministry of Economy and Competitiveness research projects PaleoNAO (CGL2010-15767), RapidNAO (CGL2013-40608-R), and PaleoModes (CGL2016-75281-C2), and by the Luso-American Foundation. This work is contributing to the Institut de Ciència i Tecnologia Ambiental (ICTA) - Universitat Autonoma de Barcelona "Unit of Excellence" Maria de Maetzu (CEX2019-000940-M) grant. The International Atomic Energy Agency is grateful for the support provided to its Environment Laboratories by the Government of the Principality of Monaco.

- S. Nogué et al., The human dimension of biodiversity changes on islands. Science 372, 488–491 (2021).
- R. M. D'Anjou, R. S. Bradley, N. L. Balascio, D. B. Finkelstein, Climate impacts on human settlement and agricultural activities in northern Norway revealed through sediment biogeochemistry. Proc. Natl. Acad. Sci. U.S.A. 109, 20332–20337 (2012).
- C. G. Daughton, Real-time estimation of small-area populations with human biomarkers in sewage. Sci. Total Environ. 414, 6–21 (2012).
- R. Leeming, A. Ball, N. Ashbolt, P. Nichols, Using faecal sterols from humans and animals to distinguish faecal pollution in receiving waters. Water Res. 30, 2893–2900 (1996).
- I. D. Bull, M. J. Lockheart, M. M. Elhmmali, D. J. Roberts, R. P. Evershed, The origin of faeces by means of biomarker detection. *Environ. Int.* 27, 647–654 (2002).
- O. K. Davis, Spores of the dung fungus Sporormiella: Increased abundance in historic sediments and before Pleistocene megafaunal extinction. Quat. Res. 28, 290–294 (1987).

- 14. A. G. Baker, S. A. Bhagwat, K. J. Willis, Do dung fungal spores make a good proxy for past distribution of large herbivores? Quat. Sci. Rev. 62, 21-31 (2013).
- 15. J. M. Moreira, Alguns aspectos de intervenção humana na evolução da paisagem da ilha de S. Miguel (Açores) (Serviço Nacional de Parques, Reservas e Conservação da Natureza, 1987).
- 16. J. P. Smol, H. J. B. Birks, W. M. Last, R. S. Bradlev, K. Alverson, Tracking Environmental Change Using Lake Sediments Terrestrial, Algal, and Siliceous Indicators (Kluwer Academic Publishers, 2005).
- 17. E. H. Denis et al.. Polycyclic aromatic hydrocarbons (PAHs) in lake sediments record historic fire events: Validation using HPLC-fluorescence detection. Org. Geochem. 45, 7-17 (2012)
- 18. W. M. Last, J. P. Smol, Tracking Environmental Change Using Lake Sediments-Physical and Geochemical Methods (Kluwer Academic Publishers, 2002).
- 19. P. M. Raposeiro, A. Sáez, S. Giralt, A. C. Costa, V. Gonçalves, Causes of spatial distribution of subfossil diatom and chironomid assemblages in surface sediments of a remote deep island lake. Hydrobiologia 815, 141-163 (2018).
- 20. D. Vázquez-Loureiro et al., Diatom-inferred ecological responses of an oceanic lake system to volcanism and anthropogenic perturbations since 1290 CE. Palaeogeogr. Palaeoclimatol. Palaeoecol. 534, 109285 (2019).
- 21. B. L. Otto-Bliesner et al., Climate variability and change since 850 C.E.: An ensemble approach with the community earth system model (CESM). Bull. Am. Meteorol. Soc. **97**, 735–754 (2016).
- 22. S. E. Connor et al., The ecological impact of oceanic island colonization-A palaeoecological perspective from the Azores. J. Biogeogr. 39, 1007–1023 (2012).
- 23. S. Biörck et al., A Holocene lacustrine record in the central North Atlantic: Proxies for volcanic activitym short-term NAO mode variability, and long-term precipitation changes, Ouat, Sci. Rev. 25, 9-32 (2006).
- 24. M. Masseti, Mammals of the Macaronesian islands (the Azores, Madeira, the Canary and Cape Verde islands): Redefinition of the ecological equilibrium. Mammalia 74, 3-34 (2010)
- 25. V. Rull et al., Vegetation and landscape dynamics under natural and anthropogenic forcing on the Azores islands: A 700-year pollen record from the São Miguel Island. Quat. Sci. Rev. 159, 155-168 (2017).
- 26. J. F. N. van Leeuwen et al., Native or introduced? Fossil pollen and spores may say. An example from the Azores island. NeoBiota 6, 27-34 (2005).
- 27. W. D. Gosling et al., Human occupation and ecosystem change on Upolu (Samoa) during the Holocene. J. Biogeogr. 47, 600-614 (2020).
- 28. D. Kennett, A. Anderson, M. Prebble, E. Conte, J. Southon, Prehistoric human impacts on Rapa, French Polynesia. Antiquity 80, 340-354 (2006).
- 29. R. Fernandes, P. Pinho, The distinctive nature of spatial development on small islands. Prog. Plann. 112, 1-18 (2017).
- 30. D. B. McWethy et al., Rapid landscape transformation in South Island, New Zealand, following initial Polynesian settlement. Proc. Natl. Acad. Sci. 107, 21343-21348 (2010).

- 31. P. M. Raposeiro et al., Impact of the historical introduction of exotic fishes on the chironomid community of Lake Azul (Azores islands). Palaeogeogr. Palaeoclimatol. Palaeoecol. 466, 77-88 (2017).
- 32. T. Skov et al., Using invertebrate remains and pigments in the sediment to infer changes in trophic structure after fish introduction in Lake Fogo: A crater lake in the Azores. Hydrobiologia 654, 13-25 (2010).
- 33. M. Oliva et al., The Little Ice Age in Iberian mountains. Earth Sci. Rev. 177, 175-208 (2018).
- 34. J. C. Rando, H. Pieper, J. A. Alcover, Radiocarbon evidence for the presence of mice on Madeira Island (North Atlantic) one millennium ago. Proc. Biol. Sci. 281, 20133126 (2014).
- 35. D. W. Förster et al., Molecular insights into the colonization and chromosomal diversification of Madeiran house mice. Mol. Ecol. 18, 4477-4494 (2009)
- 36. A. F. Rodrigues, N. O. Martins, N. Ribeiro, A. Joaquinito, Early Atlantic navigation: Pre-Portuguese presence in the Azores islands. Archaeol. Discov. 03, 104-113 (2015).
- 37. S. I. Gabriel, M. L. Mathias, J. B. Searle, Of mice and the 'Age of Discovery': The complex history of colonization of the Azorean archipelago by the house mouse (Mus musculus) as revealed by mitochondrial DNA variation. J. Evol. Biol. 28, 130-145 (2015).
- 38. C. Santos et al., Genetic structure and origin of peopling in the Azores islands (Portugal): The view from mtDNA. Ann. Hum. Genet. 67, 433-456 (2003).
- 39. J. E. Kelley Jr, Non-Mediterranean influences that shaped the Atlantic in the early Portolan charts, Imago Mundi. Int. J. Hist. Cartogr. 31, 18-35 (1979).
- 40. J. Mellado-Cano, D. Barriopedro, R. García-Herrera, R. M. Trigo, A. Hernández, Examining the North Atlantic Oscillation, East Atlantic pattern, and jet variability since 1865. J. Clim. 32, 6285-6298 (2019).
- 41. P. Ortega et al., A model-tested North Atlantic Oscillation reconstruction for the past millennium. Nature 523, 71-74 (2015).
- 42. A. J. Dugmore, C. Keller, T. H. McGovern, Norse Greenland settlement: Reflections on climate change, trade, and the contrasting fates of human settlements in the North Atlantic Islands. Arctic Anthropol. 44, 12-36 (2007).
- 43. S. Brink, N. Price, The Viking World (Routledge Taylor and Francis Group, 2018).
- 44. M. Blaauw, Methods and code for "classical" age-modelling of radiocarbon sequences. Quat. Geochronol. 5, 512-518 (2010).
- 45. M. Blaauw (2020). clam: Classical Age-Depth Modelling of Cores from Deposits. R package version 2.3.9. https://CRAN.R-project.org/package=clam. Accessed 16 August 2020.
- 46. R Core Team (2020). R: A language and environment for statistical computing. R Foundation for Statistical Computing, Vienna, Austria. https://www.R-project.org/. Accessed 16 August 2020.
- 47. P. J. Reimer et al., The IntCal13 Northern Hemisphere radiocarbon age calibration curve (0-55 cal kBP). Radiocarbon 62, 725-757 (2020).

PNAS | 7 of 7