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Intersection of biology and therapeutics: type 2 targeted therapeutics for adult asthma

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Abstract

Asthma is a disease of reversible airflow obstruction characterised clinically by wheezing, shortness of breath, and coughing. Increases in airway type 2 cytokine activity, including interleukin-4 (IL-4), IL-5, and IL-13, are now established biological mechanisms in asthma. Inhaled corticosteroids have been the foundation for asthma treatment, in a large part because they decrease airway type 2 inflammation. However, inhaled or systemic corticosteroids are ineffective treatments in many patients with asthma and few treatment options exist for patients with steroid resistant asthma. Although mechanisms for corticosteroid refractory asthma are likely to be numerous, the development of a new class of biologic agents that target airway type 2 inflammation has provided a new model for treating some patients with corticosteroid refractory asthma. The objective of this Therapeutic paper is to summarise the new type 2 therapeutics, with an emphasis on the biological rationale and clinical efficacy of this new class of asthma therapeutics.

Introduction

Asthma is a chronic airway disease that inflicts between 300 million and 400 million people worldwide.¹ A diagnosis of asthma requires verifying the presence of reversible airflow obstruction,² which is accomplished by showing either airflow limitation that improves following bronchodilator administration or worsening airflow obstruction in the setting of airway provocation.³ The disease is characterised by coughing, shortness of breath,

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Declaration of interests

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chest tightness, and wheezing.⁴ These symptoms result from impaired airway inflammatory responses that cause mucus hypersecretion, bronchial hyperresponsiveness, and activation of airway granulocytes.⁵ Mouse models of asthma were used to identify pivotal roles for the cytokines interleukin-4 (IL-4), IL-5, and IL-13 in driving the pathophysiological features of allergic asthma.^{6,7-9} Because T-helper-2 (Th2) cells were believed to be the principle source of these signalling molecules they were originally named Th2 cytokines,¹⁰ but other cells, including innate lymphocytes, can also produce these proteins, and the research community has since migrated to the broader term of type 2 (or T2) cytokines. Confirming the pathological role of these factors in human asthma would take nearly 25 years as initial human trials of targeted therapies returned negative results.¹¹⁻¹³ In fact, establishing the efficacy of these cytokines for asthma in humans required a convergence of two concepts. First, that asthma was a complex heterogeneous disease, and second, that biologic therapies needed to target the population of asthma patients with elevated type 2 cytokine activity in their airways.¹⁴⁻¹⁶

Type 2-high asthma

Inspired by observations that allergic inflammation in mice was driven by Th2 cytokine activity^{8,9} and that these cytokines were measured at high concentrations in the lungs of patients with asthma,¹⁷⁻²⁰ multiple monoclonal antibodies were developed to inhibit type 2 inflammation. Unfortunately, the first clinical trials testing the inhibition of IL-5 (and IL-4) with these antibodies were profoundly disappointing.¹¹⁻¹³ Proving the efficacy of type 2 cytokine inhibition would have to wait until a new insight emerged, namely that Th2 inflammation was not a causal disease mechanism in all patients with asthma. Furthermore, multiple immune cells other than Th2 cells have been increasingly recognised as able to produce IL-4, IL-5 and IL-13, including several innate immune cells such as basophils, mast cells, and type 2 innate lymphoid cells,²¹⁻²⁴ with potentially differing regulatory mechanisms than those observed for adaptive immune Th2 cells (figure 1). This concept prompted the community to refer to these factors as type 2 cytokines and their downstream effects (or signatures) as type 2 inflammation. Additionally, measuring the protein concentrations of type 2 cytokines proved difficult, thus necessitating the need for downstream or associated biomarkers to identify the subgroup of patients with type 2-high asthma. Eosinophil cell counts in the blood and sputum, fraction exhaled nitric oxide (FeNO), periostin concentrations, and measurements of airway type 2 cytokine gene expression have now all been used successfully as surrogate biomarkers for airway type 2 inflammation.²⁵⁻²⁸ Through the use of these biomarkers, only a subset (40–70%) of asthma patients clearly show increases in airway type 2 inflammation (type 2-high), with the remaining subgroup demonstrating low to normal type 2 inflammatory measures (type 2-low).^{14,16,25,29-32}

By recognising that not all asthma is the same, studies of type 2 cytokine inhibition began to target patients with elevations in these type 2 biomarkers. For example, an anti-eosinophilic medication (anti-IL-5 monoclonal antibody) did not meet its primary or secondary endpoints in all-comers trials, but clinical efficacy became apparent when targeted to patients with increased blood and sputum eosinophil counts.^{33,34} This success was followed by studies of an anti-IL-13 antibody in which responses to therapy were greater in those patients

with elevated serum periostin and exhaled FeNO, than in those patients without these elevations.³¹ With this new realisation regarding the heterogeneity of asthma, multiple type 2 biologics were tested with eosinophil counts or other type 2 biomarkers as predictors of type 2-high asthma in patients that met criteria for severe asthma. The majority of these targeted trials proved efficacious and led to the development of a growing list of type 2 biologic agents. To date, there are four approved drugs that directly inhibit type 2 cytokines. Three of these agents, mepolizumab, benralizumab, and reslizumab target the IL-5 cytokine or its receptor (IL-5RA), whereas the fourth agent, dupilumab, targets IL-4RA, which is the primary signalling receptor for IL-4 and IL-13.

Omalizumab

Although patients and asthma physicians are excited about the type 2-targeted biologics, the first approved biologic for asthma (omalizumab) was in fact targeted to immunoglobulin E (IgE). Multiple reviews have discussed in detail the use of omalizumab as a treatment for asthma.^{35,36} Free or circulating IgE binds to high-affinity IgE receptors (FcεRI) expressed on the surface of basophils and mast cells, leading to their cellular activation. Omalizumab is a monoclonal antibody that binds to circulating IgE and inhibits the binding of IgE to FcεRI. The most consistent clinical benefit of this treatment is a reduction in asthma exacerbations. Importantly, the biomarkers initially used in the early omalizumab trials (IgE and the presence of specific IgE) have not been proven to be effective at predicting clinical response, and retrospective analysis suggests that type 2 biomarkers, including blood eosinophils and the amount of exhaled FeNO, are more effective.³⁷ Thus, although not initially thought of as a type 2-targeted drug, there is strong overlap with the type 2-high phenotype; yet the drug has never been studied in this population. In a pooled analysis of 25 randomised controlled trials (in patients who met total and specific IgE criteria only), omalizumab reduced the number of patients with asthma exacerbation from 26% in the placebo group to 16% in the omalizumab treatment group over 48 weeks.³⁸ Although the effect size is less than the benefit seen with anti-IL-5 or anti-IL-4RA therapies, some key differences in the trial designs need to be highlighted between the major omalizumab trials and those with the newer type 2 biologic agents.³⁹⁻⁴¹ Principally, the omalizumab trials did not exclusively restrict participation to those patients with eosinophilic asthma and the inclusion criteria for these trials did not include a requirement to have had an asthma exacerbation in the previous year. In fact, many of the registered trials for omalizumab were completed in patients who would not meet more recent definitions for severe asthma.^{42,43} Therefore, directly comparing the effect sizes between omalizumab versus the newer type 2 biologic agents is challenging.⁴⁴

Eosinophils and IL-5 inhibition

Eosinophils are granulocytes that release a variety of proinflammatory mediators, including proinflammatory cytokines following activation, major basic protein, eosinophil peroxidase, eosinophil cationic protein, eosinophil-derived neurotoxin, and galactin-10 or Charcot-Leyden crystals (figure 1).⁷ Some patients with asthma show increases in airway eosinophilia, which has been appreciated for over 100 years.^{45,46} This observation prompted a considerable amount of research focused on understanding the role of

eosinophils as mediators of asthmatic disease. There is now an understanding that these granulocytes instigate airway dysfunction through degranulation and the release of reactive oxygen species that promote airway epithelial-barrier dysfunction (figure 1).⁴⁷ Although traditionally characterised as innate cells, new findings also suggest that eosinophils are directly involved as pivotal orchestrators of the type 2 immune response.⁴⁸ Together these studies support a crucial role for eosinophils as drivers of type 2 immune-inflammatory responses in asthma.

IL-5 is required for eosinophil maturation, survival, and the translocation of these cells from the bone marrow into the systemic circulation. Therefore, inhibiting IL-5 signalling was an obvious therapeutic target in asthma. IL-5 signals via an IL-5 specific receptor, IL5RA, and a signal-transducing β receptor that is shared with the cytokines IL-3 and granulocyte-macrophage colony-stimulating factor (GM-CSF).⁴⁹ When used in patients with evidence of eosinophilic inflammation, medications that inhibit binding of the ligand to its IL5RA receptor reduce systemic eosinophil counts, decrease basement membrane thickening, reduced airway tissue remodelling,⁵⁰ and might promote airway mucus plug formation.⁵¹

The first of these agents to be tested was the IL-5 ligand-directed IgG1 antibody, mepolizumab. As previously noted, the initial mepolizumab trials were disappointing, questioning the role of eosinophils as active mediators of asthmatic disease.^{11,12} However, the results were statistically significant when mepolizumab was directed to biomarker-defined eosinophilic asthma.^{30,33,34,52,53} The initial trial targeted patients with eosinophilic asthma using sputum eosinophil cell counts greater than 3%,³³ and the pivotal DREAM study³⁰ used a combination of sputum eosinophil percentages (>3%) or cell count in the blood (300 cells per μ L) to define eosinophilic asthma. However, counting sputum cells is technically challenging and subsequent phase 3 trials used more convenient blood eosinophil cell measurements. Specifically, in the first phase 3 clinical trial, mepolizumab (Subcutaneous, 100 mg every 4 weeks) met its primary outcome by decreasing asthma exacerbation by 53% when compared with placebo in patients with blood eosinophil counts of more than 150 cells per μ L at screening or 300 cells per μ L or higher during the previous year (figure 2A). Small but significant improvements in forced expiratory volume in 1 s (FEV₁) of 98 mL (figure 2B) and an asthma control questionnaire-5 result of 0.42 (which did not reach a clinically significant difference) were also noted.⁵³ Using similar eosinophilic inclusion criteria, in a study of patients who were dependent on systemic corticosteroids, mepolizumab treatment also improved the likelihood of decreasing systemic-oral-prednisone dosing, with patients given mepolizumab showing a 2.39 greater increase in reducing oral prednisone treatment compared with patients given placebo.⁵² Impressively, despite this corticosteroid dose reduction, patients given mepolizumab also achieved better lung function and improved asthma control questionnaire scores when compared with placebo.⁵²

The success of mepolizumab was duplicated with reslizumab, a similar anti-IL-5 monoclonal antibody (IgG4). The inclusion criteria for the reslizumab trials differed slightly from the mepolizumab studies with the inclusion of patients on a medium-to-high dose of inhaled corticosteroids and a slightly higher blood-eosinophil threshold of 400 cells per μ L

or more. Despite these differences, reslizumab decreased asthma exacerbation by 54% when given intravenously (3 mg/kg every 4 weeks),⁵⁴ with similar improvements in FEV₁ (120 mL) and symptom scores (asthma control questionnaire-7 score of 0-25) when compared with placebo (figure 2A, B).⁵⁴

The third IL-5 pathway inhibitor to show clinical efficacy was benralizumab. Unlike mepolizumab or reslizumab, benralizumab is an IgG1 monoclonal antibody targeting the α subunit of the IL-5 receptor. One unique aspect of benralizumab is that it lacks a fucose molecule in the constant segment (Fc fragment) of the monoclonal antibody. This afucosylation results in the enhanced affinity of benralizumab for the human Fc γ receptor that is expressed on cytotoxic cells, such as natural killer cells, macrophages, and neutrophils.⁵⁵ As such, benralizumab has a unique capacity to induce antibody-mediated cellular toxicity resulting in prolonged eosinophil depletion when compared with monoclonal antibodies that directly bind to a ligand. Pharmacodynamically, this characteristic means that benralizumab can be dosed at 30 mg every 4 weeks for the first 3 months and then every 8 weeks thereafter. However, despite these theoretical benefits, the clinical efficacy of benralizumab was similar to that observed in the ligand-antibody trials (figure 2A, B). Specifically, in patients with eosinophilic asthma (defined by ≥ 300 cells per μ L), benralizumab decreased asthma exacerbation by 28% in one trial and 51% in the other when compared with placebo.^{56,57} Small improvements in FEV₁ (159 mL, 116 mL) (figure 2B) and symptoms were also shown. In addition, in an oral corticosteroid reduction trial in which patients were only required to have blood eosinophil counts of 150 cells per μ L or more, patients treated with benralizumab were able to decrease oral-prednisone doses by 75% compared with 25% in the placebo group.⁵⁸ The percentage of patients able to decrease oral prednisone dose by more than half after starting benralizumab (48%) was greater than the fraction of patients on mepolizumab (37%).^{52,58} However, the clinical or statistical meaning of this difference is difficult to compare.

Overall, these findings support the clinical efficacy of all three IL-5 pathway antagonists as they showed similar effect sizes in the primary outcome for reducing asthma exacerbation (table 1). Small improvements in FEV₁, asthma symptoms, and quality of life were also seen, as was a reduction in systemic corticosteroid dependency.^{52,56-58} However, reslizumab in subcutaneous form did not show a reduction in systemic corticosteroid dependency (NCT02501629). Although the improvements in FEV₁ were statistically significant when compared with placebo, the overall improvement of between 98 mL and 159 mL was relatively modest. Conversely, these medications show consistent and relatively robust effects on asthma exacerbations, with overall decreases of 35–55% when compared with the placebo group (figure 2A, B; table 1). Importantly, the effect of anti-IL-5 therapy on asthma exacerbation was sustained even after multiple years of treatment.^{59,60}

Adverse effects of IL-5 inhibition

The most severe adverse reaction observed with the IL-5 inhibitors was anaphylaxis. This reaction was more common with the intravenously administered reslizumab than the other IL-5 antagonists and occurred in 0.3% of patients⁶¹—the US Food and Drug Administration (FDA) has given the drug a black box warning in this regard (table 2). This frequency is

similar to that seen with the subcutaneous anti-IgE monoclonal antibody (omalizumab).⁶² Hypersensitivity reactions were slightly less frequent with the subcutaneous medications of mepolizumab and benralizumab, but such events did occur, and prescribers should be able to manage anaphylaxis and hypersensitivity while administering these medications.^{60,63}

An unexpected observation was that two serious herpes zoster infections occurred in patients given mepolizumab, but none in the participants given placebo (table 2). The association between herpes zoster infections and mepolizumab treatment has been observed in subsequent observational studies, but uncertainty remains regarding the clinical impact of IL-5 inhibition on the rates of these infections.⁶⁰ Due to this uncertainty, herpes zoster vaccination might be considered in patients with a high risk of infection, but uniform vaccinations before initiating anti-IL-5 medications is not yet standard practice.

Eosinophils are commonly elevated in helminth infections⁶⁴ and although the essential role of eosinophils in the elimination of different types of parasites remains controversial, one concern of IL-5 inhibition is the potential to increase the risk of these infections. These infections, however, rarely occur in the high-income countries in which these therapies are tested, and in initial studies patients were screened to exclude participants with a parasitic infection.⁵³ As such, the potential risk has not been confirmed. However, the risk remains, and caution is advisable in countries where parasites are endemic.

Two additional hypothetical concerns arise when treating patients with IL-5 inhibitors. First, an inverse relationship exists between blood and mucosal eosinophil cell counts and colon cancer risk.^{65,66} These findings suggest that decreasing eosinophil numbers might increase the risk of certain mucosal cancers. Second, eosinophils have a crucial role in the maintenance of adipose tissue metabolism,^{23,67} and decreasing eosinophil numbers in this tissue leads to obesity and metabolic dysfunction.^{67,68} Therefore, prolonged inhibition of eosinophils could lead to obesity and metabolic dysfunction, including insulin resistance. These complications could potentially be of greater concern with benralizumab as this treatment has a prolonged effect on eosinophil depletion; however, this therapy does not appear to completely eliminate tissue eosinophilia (table 2).^{69,70} Furthermore, using fewer systemic corticosteroids in patients treated with these biologics could be speculated to also limit further weight gain. Certainly, long-term followup studies are needed in patients treated with anti-IL-5 therapies to address these potential concerns.

IL-4RA inhibition

The cytokines, IL-4 and IL-13, are complementary both in their biologic roles and in their signalling machinery. Namely, the primary receptor for IL-4 is IL-4RA, which upon binding with IL-4, complexes with the common γ -chain (γ_c) to signal via intracellular JAK1 or JAK3 pathways (type 1 receptor).⁷¹ IL-13 also uses the IL-4RA receptor through a heterodimerisation with IL-13RA1 that signals via JAK1, JAK2, and TYK2 (type 2 receptor).^{71,72} Thus, blocking IL-4RA inhibits the primary signalling pathways of both IL-4 and IL-13.⁷² Both cytokines promote B lymphocyte class switching from IgM antibodies to IgE antibodies,⁷³ induce airway smooth-muscle hyper-reactivity,^{8,73} and promote eosinophilic chemotaxis through expression of vascular cell adhesion molecule-1

(VCAM-1)⁷⁴ and numerous eosinophilic chemokines (figure 1). However, IL-4 is essential for promoting the differentiation of Th2 cells from T0 lymphocytes,⁷⁵ and IL-13 is a prominent driver of the airway epithelial transformations that occur in asthma.⁸ Specifically, both IL-4 and IL-13 can promote goblet cell metaplasia, mucus production, subepithelial fibrosis, and basement membrane thickening in conjunction with, or independent of, IL-4 (figure 1).^{6,76-78}

Because of the strong animal data supporting the role of IL-13 in driving asthma pathogenesis and the success of the anti-IL-5 medications, IL-13 inhibition was reasonably assumed to prove efficacious in asthma. Unfortunately, clinical trials that selectively targeted IL-13 did not show consistent efficacy, supporting the broader importance of both IL-4 and IL-13 in asthma.^{31,79-85} Subsequently, IL-4RA became a target as it is a dual receptor for IL-4 and IL-13.

Efforts to block the IL-4 and IL-13 signalling axis with the IL-4RA inhibitors, pitrakinra and AMG 317, were initially done in all-comers trials and this unstratified approach did not show clinical efficacy (table 1).^{13,86,87} However, 3 years later with the added insight of asthma heterogeneity, and secondary analysis showing the efficacy of pitrakinra in patients with eosinophilic asthma,⁸⁶ a new IL-4RA antibody was tested in an initial proof-of-concept phase 2A trial.⁸⁸ In this study, 104 patients on medium-to-high dose combination therapy (inhaled corticosteroids and long-acting β agonists) with blood eosinophil counts of 300 cells per μ L or higher, or sputum eosinophils of 2% or more, were randomly assigned to drug versus placebo groups. Following a 4-week stable treatment phase, background medication was successively withdrawn, with the primary endpoint being loss of asthma control. Dupilumab treatment led to an 87% reduction in loss of asthma control compared with placebo, and improved FEV₁ and asthma symptoms despite withdrawal of background medications. This proof-of-concept study was followed by a phase 2B study of dupilumab at 200 mg or 300 mg doses given subcutaneously at 2-week or 4-week intervals. The prespecified analysis plan in this trial subdivided patients into eosinophilic (blood eosinophils \geq 300 cells per μ L) and non-eosinophilic (<300 cells per μ L) subgroups,⁸⁹ and the primary endpoint of improvement in lung function was measured at 12 weeks in the eosinophil-high subgroup. The trial met its primary endpoint, improved FEV₁ at 12 weeks in both eosinophil-low and eosinophil-high patients, and maintained this improvement at 6 months while decreasing severe exacerbations in both subgroups at 6 months.⁸⁹ However, the treatment effect size for asthma exacerbations and FEV₁ was larger in eosinophil high patients compared with eosinophil low patients.⁸⁹ A phase 3 follow-up trial confirmed these findings, with large effect sizes seen for reducing asthma exacerbations and improving FEV₁ measurements in patients with blood eosinophil counts of 300 cells per μ L or higher, and gradually diminishing responses over the follow-up period (52 weeks) in patients with lower blood eosinophil cell counts.⁹⁰ Specifically, asthma exacerbations decreased by 48% in all patients treated with dupilumab at 200 mg and 46% in those treated with 300 mg subcutaneously, and FEV₁ increased by 140 mL (200 mg dose) and 130 mL (300 mg dose).⁹⁰ In patients with blood eosinophil counts of 300 cells per μ L or higher, the overall effect size was larger, with an exacerbation reduction of 66% and 67% (figure 2A) and FEV₁ improvement of 210 mL and 240 mL at the 200 mg and 300 mg doses (figure 2B; table 1). Conversely, no reduction in asthma exacerbation or improvement in FEV₁

was seen in patients with blood eosinophil counts of less than 150 cells per μL .⁹⁰ As with mepolizumab and benralizumab, dupilumab has also been shown to enable patients on systemic glucocorticoids to decrease their corticosteroid dose, with a 70% reduction of oral corticosteroids in patients treated with dupilumab compared with a 42% decrease in patients treated with placebo.⁹¹ Unlike the steroid-sparing trials for mepolizumab and benralizumab,^{52,58} dupilumab did not require blood eosinophilia as an inclusion criteria. However, patients with blood eosinophil counts of 300 cells per μL or higher at baseline were over two times as likely to reduce their corticosteroid dose by 50% than those participants with lower eosinophil numbers. Although the differences in trial design and inclusion criteria (primarily related to eosinophil counts) make directly comparing the effects on corticosteroid reduction difficult, the overall decrease in oral prednisone by 70% for patients on dupilumab was similar to the decrease observed with benralizumab (75%).^{52,58,91}

Adverse effects of IL-4RA inhibition

Dupilumab is relatively well tolerated and the most common adverse events are injection site reactions. In addition, treatment with dupilumab increased the frequency of a poorly characterised conjunctivitis (about 10%) in the atopic dermatitis studies, an effect not yet seen in the asthma trials.⁹² As with the anti-IL-5 monoclonal antibodies, there was a reported increase in herpes-related events, and IL-4RA inhibition also increased blood eosinophil counts after treatment, peaking at 1–2 months and typically falling back to baseline values by 3 months. The biological mechanism and clinical relevance of this increase remains unknown, but a few patients did develop eosinophil counts higher than 5000 cells per μL , and several cases of eosinophilic granulomatosis with polyangiitis have also been reported (table 2).⁹³ Current recommendations are to exclude patients with blood eosinophil counts greater than 1500 cells per μL at baseline. Finally, similar to the anti-IL-5 medications, theoretical concerns exist regarding increased risk of parasitic infections and potential increases in obesity and metabolic dysfunction. There is a dose effect with dupilumab, and the higher 300 mg dose is associated with a higher frequency of adverse events. Thus, the lower 200 mg dupilumab dose is recommended for the majority of patients with moderate-to-severe asthma, and 300 mg is reserved for patients with systemic corticosteroid-dependent asthma or with comorbid conditions responsive to dupilumab, such as atopic dermatitis or nasal polyposis.

Comparing clinical efficacy and differing trial designs of phase 3 trials

Comparing clinical efficacy between medications requires a randomised blinded trial design directly testing the medications in a head-to-head analysis. To our knowledge, no such trial has been done and would probably require large numbers of patients. Furthermore, because study populations and analysis plans differed greatly between the type 2 biologic clinical trials, directly comparing the treatment effect sizes for each drug is difficult.⁹⁴ For example, the threshold to discriminate eosinophilic from non-eosinophilic asthma differed substantially between the trials. The phase 3 mepolizumab asthma exacerbation trial required patients to have a blood eosinophil count of more than 150 cells per μL at the time of enrolment or 300 cells per μL in the past year,⁵³ whereas the reslizumab trials

required patients to show one blood eosinophil count of 400 cells per μL or higher over a 2–4 week screening period.⁵⁴ Alternatively, the phase 3 benralizumab and dupilumab studies enrolled patients with eosinophilic and non-eosinophilic asthma, and these studies used a cutoff of 300 cells per μL or higher in the blood to discriminate eosinophilic from non-eosinophilic subgroups.⁵⁷ The dupilumab trials did not exclude patients with eosinophil blood counts of less than 150 cells per μL , even though efficacy was only seen at concentrations of greater than 150 cells per μL . Furthermore, although all the trials used a similar primary outcome that measured clinical asthma exacerbations (defined as a treating physician electing to administer systemic corticosteroids for at least 3 days, or an emergency department visit, or hospitalisation for asthma), each of the trials included slight modifications to the inclusion criteria. Specifically, mepolizumab and benralizumab required a history of at least two asthma exacerbations requiring systemic corticosteroid treatment in the past year,⁵³ whereas reslizumab and dupilumab required at least one exacerbation treated with systemic corticosteroids in the past year. The anti-IL-5 trials primarily enrolled patients with severe asthma on a high dose of inhaled corticosteroids, whereas dupilumab was tested in a slightly less severe population in patients on both medium and high doses of this treatment.⁹⁰ These minor differences are important because restricting inclusion criteria to patients with more severe asthma improves study power to detect differences in clinical outcomes between the drug and placebo. The phase 2B and phase 3 dupilumab trials also excluded patients who were taking systemic corticosteroids before study enrolment.⁹⁰ Not surprisingly, these protocol variations resulted in robust differences in the exacerbation rates in the placebo group. The highest placebo exacerbation rate occurred in the reslizumab trials at 1.8 clinical asthma exacerbations per year, followed by the mepolizumab studies at 1.7 clinical asthma exacerbations per year, the benralizumab studies at 1.3 clinical asthma exacerbations per year (in the eosinophil-high subgroup), and the lowest rate in the dupilumab studies at 1.1 clinical asthma exacerbations per year (in the eosinophil-high subgroup).^{53,54,57,90} These relatively large differences in placebo exacerbation rates amplify the complexity in comparing clinical efficacy across the type 2 biologic agents.

Acknowledging these limitations, a reasonable interpretation of the data is that any clinical differences between these biologic agents are likely to be small. For example, the effect sizes for exacerbation reduction and improvements in FEV_1 are related to starting eosinophil numbers, with a greater reduction in asthma exacerbations in the dupilumab trials (approximately 60%) than reductions of 40–50% in anti-IL-5 and anti-IL-5RA studies in patients with eosinophil counts of 300 cells per μL or more (figure 2A). Despite these differences, the confidence interval for the reduction in asthma exacerbations overlaps in all phase 3 type 2 biologic trials. Improvements in FEV_1 are comparably higher in the dupilumab studies in patients with eosinophilic asthma, with a similar overlap in confidence intervals. Specifically, in patients with eosinophil counts of 300 cells per μL or higher, dupilumab improved FEV_1 by 210–260 mL compared with 98–159 mL in the IL-5 inhibitor trials, which is an overall difference of about 100 mL (figure 2B).^{53,54,56,57,90}

Fevipirant

Although it is not a monoclonal antibody, fevipirant is an oral medication that blocks the binding of prostaglandin D2 to its receptor, the chemoattractant receptor-homologous

molecule expressed on Th2 cells (CRTH2 or PTGDR2). As the name implies, this receptor is commonly expressed on Th2 cells, and would therefore be expected to work in patients with type 2-high asthma. However, clinical trials of fevipiprant have shown mixed results, with the most consistent finding showing a small improvement in FEV₁ measurements when compared with placebo.^{95,96} This response was similar to the effect size seen with montelukast, a leukotriene receptor antagonist.⁹⁵ As an oral medication fevipiprant is relatively easy to administer, but additional data are needed to assess the added benefit of fevipiprant over other available asthma medications.

Biomarkers of treatment response

Blood eosinophil counts are a predictor of response for each of the type 2 biologic agents.^{54,90,97,98} Patients with blood eosinophil counts of 300 cells per μL or higher have approximately a 50% reduction in asthma exacerbation when treated with either anti-IL-5 or anti-IL-4RA therapies, whereas the clinical benefit in patients with blood eosinophil counts of less than 300 cells per μL is considerably reduced.^{90,98} However, blood eosinophilia does not uniformly predict treatment response, and not all patients with elevations in type 2 biomarkers respond to these biologic agents. For example, the benralizumab and dupilumab trials enrolled patients with eosinophilic asthma and non-eosinophilic asthma, and both studies showed decreased asthma exacerbation in patients with blood eosinophil counts between 0 cells per μL and 300 cells per μL .^{56,57,90} In the benralizumab studies, inconsistent improvements were seen in those patients with blood eosinophil counts of less than 300 cells per μL across the two pivotal trials, and the patients with blood eosinophil counts below this threshold were not subdivided further. By contrast, clinically significant responses to IL-4RA antibodies were consistently observed in patients with blood eosinophil counts between 150 cells per μL and 300 cells per μL (but not with <150 cells per μL). These responses were greater in patients who also had elevated concentrations of FeNO (>24 parts per billion; ppb), although dupilumab did not benefit patients with FeNO measurements of less than 25 ppb and eosinophil counts of less than 150 cells per μL .⁹⁰ Thus, considering both FeNO values and blood eosinophil cell counts could conceivably improve the ability to predict response patterns.

Improved targeting of type 2 biologics will probably require additional biomarkers beyond those currently available. For example, eosinophils are key regulators of glucose homeostasis²³ and changes in nutrition or intermittent fasting can change blood eosinophil counts.⁹⁹⁻¹⁰¹ Blood eosinophil counts are poor biomarkers for type 2 airway inflammation in patients with obesity, suggesting that these patients might benefit from IL-5 inhibition even when blood eosinophil counts remain low.^{25,102} Finally, although blood eosinophilia is an effective predictive biomarker for treatment response (before starting treatment), it is inadequate as a monitoring biomarker to distinguish medication responders from non-responders after starting treatment. As such, there are no biomarker-based rules to identify and stop these medications in patients with a low likelihood of benefitting after treatment has been started.

Indications for treatment

The American Thoracic Society and the European Respiratory Society's definition of severe asthma is the presence of poor asthma control despite maximal treatment with high doses of inhaled corticosteroids and one additional controller medication.^{42,103} The relatively small percentage of patients with severe asthma (5–10%) contributes to the majority of asthma-associated healthcare costs.¹⁰⁴ The cost of health care for each patient helps to justify the high expense of these new biologic treatments. Furthermore, use of blood eosinophil cell counts and FeNO values as biomarkers has initiated a more precision medicine-based approach to asthma treatment. Namely, asthma control and asthma exacerbations are likely to improve in patients with frequent asthma exacerbations and high blood eosinophil cell counts after starting on a type 2 biologic therapy. Despite this biomarker driven approach, the reduced cost to health care by preventing a single asthma exacerbation needs to be weighed against the current market value of the type 2 biologic.¹⁰⁵ Thus, more precise biomarkers are needed before more cost-effective therapeutics become available.

New therapies for severe non-eosinophilic or type 2-low asthma

Treatment options for patients with type 2-low severe asthma remain limited, and aggressive efforts to identify non-type 2 treatment options remain scarce. Multiple cytokines with roles that overlap with the prototypical type 2 cytokines have been tested in asthma with mixed results. An early phase 2B trial with an inhibitor of the epithelial cell-derived cytokine thymic stromal lymphopoietin (TSLP) have been positive, with tezepelumab decreasing asthma exacerbation by 60–70%.¹⁰⁶ By contrast, inhibitors of IL-33, a member of the IL-1 cytokine family that has a role in promoting type 2 innate lymphoid cell activation, showed some clinical efficacy but was inferior in a head-to-head analysis with dupilumab.¹⁰⁷ Thus, tezepelumab and IL-33 inhibitors might prove efficacious in broader patient populations that include people with type 2-high and type 2-low asthma.

The prominence of old age (>50 years old)²⁵ and obesity are among the phenotypic features of severe asthma^{108,109} and raise the possibility that the systemic inflammation associated with ageing, obesity, and metabolic dysfunction could have effects on the airway to worsen asthma. Recent work supports this hypothesis and patients with asthma with elevated IL-6 concentrations in the plasma (IL-6-high asthma) show lower lung function and increased asthma exacerbation than patients with low amounts of IL-6 (IL-6-low asthma).¹¹⁰ Targeting this systemic IL-6 inflammation has shown efficacy in the treatment of cardiovascular diseases¹¹¹ and a similar benefit might plausibly exist in patients with IL-6-high asthma.

Another interesting molecular endotype is the observation that many patients with asthma have impairments in the resolution of inflammation. Traditionally, asthma has been described as a disease of chronic airway inflammation,² but little attention has been dedicated to understanding how different types of inflammation (type 2 and others) are restored back to homeostatic concentrations. Recent work has shown that in severe asthma these mechanisms of inflammation resolution might be impaired¹¹²⁻¹¹⁴ and treatments that restore inflammation resolution pathways to homeostatic concentrations might be beneficial.

Finally, microbial imbalances (dysbiosis) of the asthmatic airway have been implicated as a possible mechanism of disease in some patients. Initial trials testing the use of antibiotics (specifically macrolide antibiotics) for the treatment of asthma were ineffective,^{115,116} but the AMAZES trial¹¹⁷ showed that azithromycin reduced asthma exacerbations in adult patients with both eosinophilic and non-eosinophilic asthma. These findings raise the possibility that some patients could benefit from antibiotic treatment.^{117,118} Unfortunately, no biomarker exists to identify responders from non-responders in terms of antibiotics treatment and alterations in microbial dysbiosis is unlikely to be specific for the type 2 pathway. Therefore, considerable debate remains regarding the appropriate approach for the use of antibiotics in asthma.¹¹⁹

Future directions and remaining controversies

Although the results of the clinical trials do not provide evidence that inhibition of IL-5 is superior or inferior to inhibiting IL-4RA, there are clues that heterogeneity in type 2 inflammatory-immune processes might eventually define pathobiological subgroups of patients with type 2 asthma who respond better to inhibition of one pathway versus the other. For example, airway eosinophilia can be induced by activation of Th2 cells or type 2 innate lymphoid cells. Type 2 innate lymphoid cells generate considerably more IL-5 and IL-13 than IL-4, whereas Th2-driven processes are likely to have elevations in IL-4, IL-5, and IL-13 (figure 1). Thus, if the type 2 subtype is related to type 2 innate lymphoid cells, then inhibiting IL-5 alone could be sufficient to improve disease outcomes. Conversely, in Th2-driven processes (as in allergic asthma phenotypes), inhibiting IL-4 and IL-13 could be more important for improving disease outcomes.¹²⁰ These differences might explain some of the observed differences in response patterns to IL-4RA versus IL-5 pathway-targeted therapies. For example, IL-4RA targeted therapies (and IL-13) inhibit the late asthmatic response (bronchoconstriction that recurs 3–4 h after the initial allergen challenge), whereas mepolizumab, an anti-IL-5 monoclonal antibody, was found to be ineffective against this response despite a large reduction in blood eosinophils.^{11,87,121} By contrast, post-hoc analyses of anti-IL-5 trials have suggested that despite similar starting eosinophil counts, both reslizumab and benralizumab are more effective in patients whose asthma developed in adulthood (>40 years for reslizumab and >18 years for benralizumab) or in those individuals with nasal polyps, which are subgroups of asthma that show lower blood IgE concentrations.^{97,122-124} In addition, although traditionally viewed as a granulocyte with minimal immunological activity, eosinophils and eosinophil-derived proteins, such as Charcot-Leyden crystals, could possibly initiate or amplify an airway type 2 immune response and have a pivotal role in airway mucus formation in some patients.^{48,51} Thus, in patients with asthma where eosinophils are the key orchestrators of the type 2 immune response, or function as key propagators of airway mucus plugging, IL-5 inhibition might be superior to IL-4RA inhibition.⁵¹ Targeted and mechanistic comparison studies could help to distinguish these potential pathobiological differences.

Intriguingly, anti-IL-5 agents have been disappointing as treatments for eosinophilic oesophagitis and atopic dermatitis (NCT03055195),¹²⁵ and two small studies have shown some efficacy for nasal polyposis.^{126,127} Conversely, the blockade of IL-4RA signalling is a highly effective therapy for atopic dermatitis,¹²⁸ is FDA-approved for treating nasal

polyposis (dupilumab),^{129,130} and IL-4RA has also shown promise as a therapeutic target for eosinophilic oesophagitis.¹³¹ These studies all support immunological differences among these diseases (or subgroups), all of which are considered to have type 2 inflammatory processes that might explain the differences in clinical response patterns. Thus, although the overall efficacy is similar, the different biological or clinical characteristics of these diseases might eventually be used to better identify the most appropriate treatment for patients from the two drug classes (IL-5 and IL-4RA inhibitors). However, better biomarkers are required to match patients to the most effective treatment.

The fundamental goal of asthma research is to find a cure. Multiple biological defects are likely to contribute to the initiation and maintenance of abnormal increases in airway type 2 inflammation. Therefore, developing an asthma cure will require a deeper understanding of how airway type 2 inflammation develops and persists in airway tissue. For example, work investigating airway sputum gene expression has shown that categorising patients into type 2-high and type 2-low asthma is too simplistic.²² Some patients show uniform and robust elevations in multiple airway type 2 gene expression networks compared with other asthmatics. These increases occur despite treatment with systemic or inhaled corticosteroids and these so-called type 2 ultra-high patients show unique clinical features such as older age (>50 years old at time of study), reduced lung function, and elevations in airway genes specific for CD11b and IRF4 double-positive type 2 dendritic cells.^{22,25,132,133} These immunological findings suggest that the immune senescence that occurs during ageing could explain the increase in asthma severity seen in older patients.^{108,134} Multiple other biological pathways probably have similar roles and a better understanding is needed for how type 2 inflammation develops in lung tissue.⁴

Although these new type 2 biologic agents have fundamentally changed the lives of many patients with severe asthma, questions remain regarding key clinical issues for patient care. What is the long-term safety of these medications? Are these medications disease modifying so that patients could eventually be taken off these medications? Do certain subgroups of patients respond preferentially to the different type 2 biologic agents? Will similar efficacy be observed in children? Will guidelines for their use evolve? Answers to these questions require a continued focus on identifying and understanding the molecular mechanisms that contribute to the pathogenesis of human asthma. Furthermore, the growing list of type 2 therapies will require the development of new and improved biomarkers to direct patients to medications with the highest likelihood of success.⁴

Conclusion

The emergence of type 2 biologics for the treatment of severe asthma is a welcomed and much needed advance in the management of patients with asthma. Although a cure for asthma remains elusive, many patients with severe asthma show a robust and sustained response to this new class of medication. Critical needs remain regarding better biomarkers to identify patients that are most likely to respond to these drugs and a deeper understanding for how airway type 2 inflammation develops in airway tissue. Few treatment options exist for patients with type 2-low asthma and developing new medications for this patient subgroup is essential.

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Search strategy and selection criteria

We evaluated the biological target and clinical efficacy of type 2 monoclonal antibodies in asthma. References for this Review were identified through searches of PubMed for articles published between Jan 1, 1950, and Oct 31, 2019 (last searched Nov 7, 2019). The search terms “Asthma/drug therapy” [MeSH], “Antibodies, monoclonal/therapeutic use” [MeSH], “Clinical Trial” [publication type], “Eosinophilia/drug therapy” [MeSH], “Asthma/immunology” [MeSH], “Th2 Cells/immunology” [MeSH], and “asthma and type-2 inflammation” [MeSH] were used and applied no language restrictions. A total of 577 items were found.

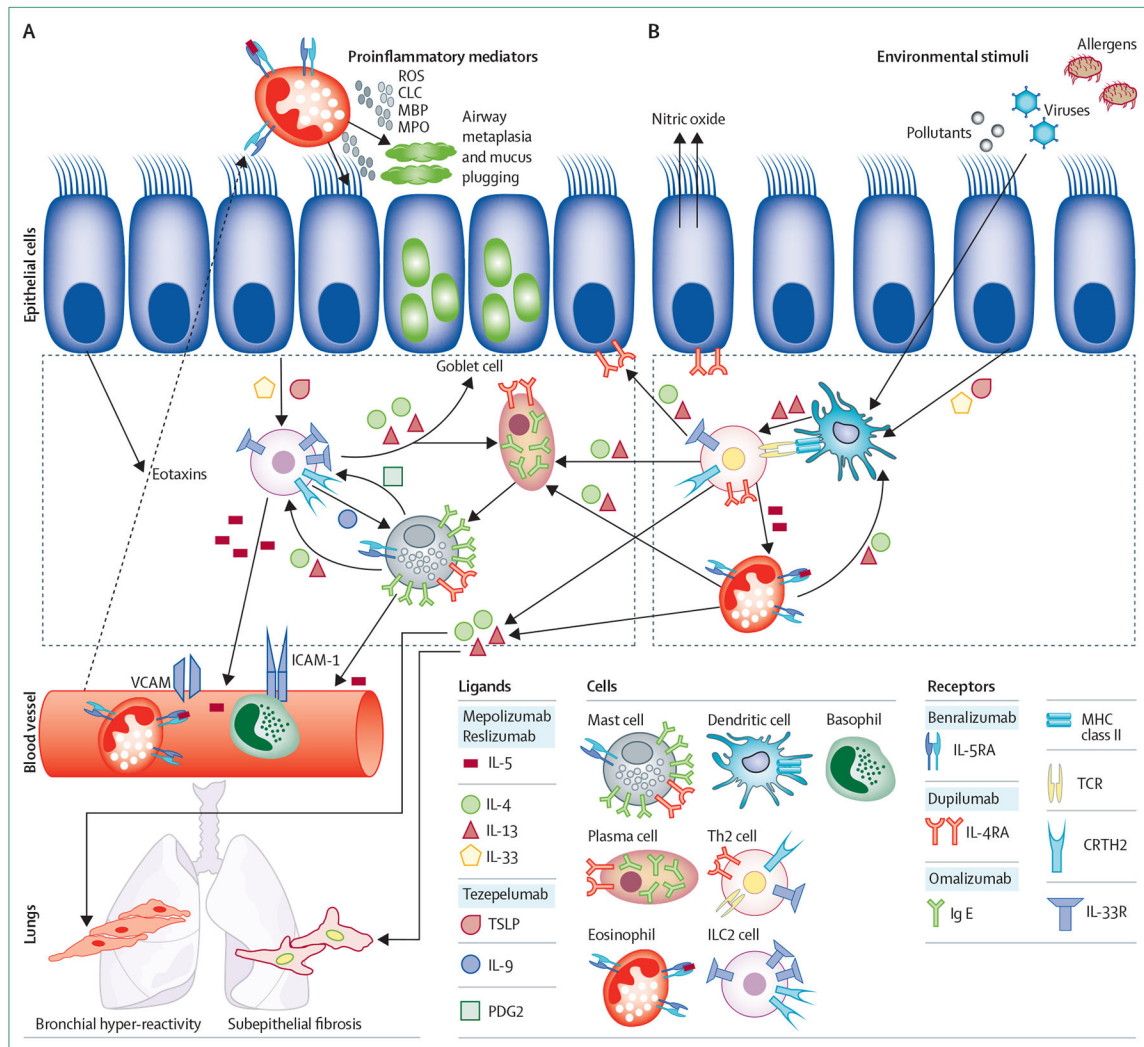


Figure 1: ILC2s and Th2 cells are key activators of airway type-2 inflammation

The type 2 cytokines are responsible for the key pathological features of asthma, including goblet cell metaplasia, mucus plugging, bronchial hyper-reactivity, and airway eosinophilia. The type 2 immune cascade is initiated by epithelial cell exposure to environmental stimuli (ie, allergens, viruses, and pollutants). Epithelial cells secrete eotaxins that promote chemotaxis of eosinophils, basophils, and T-helper-2 (Th2) cells. (A) The role of the group 2 Innate lymphoid cell (ILC2) in driving the type 2 immune response. ILC2 cells are activated through the epithelial production of IL-33 and TSLP, and in this state secrete large amounts of type 2 cytokines (IL-4, IL-5, and IL-13). ILC2 cells induce mast cell proliferation via IL-9 and assist plasma cell class switching to immunoglobulin E (IgE) through the release of IL-4 and IL-13. (B) The role of Th2 cells as propagators of the type 2 immune response. Dendritic cells process and present antigens leading to the production of type 2 cytokines by Th2 cells. ROS=reactive oxygen species. CLC=charcot-leyden crystals. MBP=myelin basic protein. MPO=myeloperoxidase.

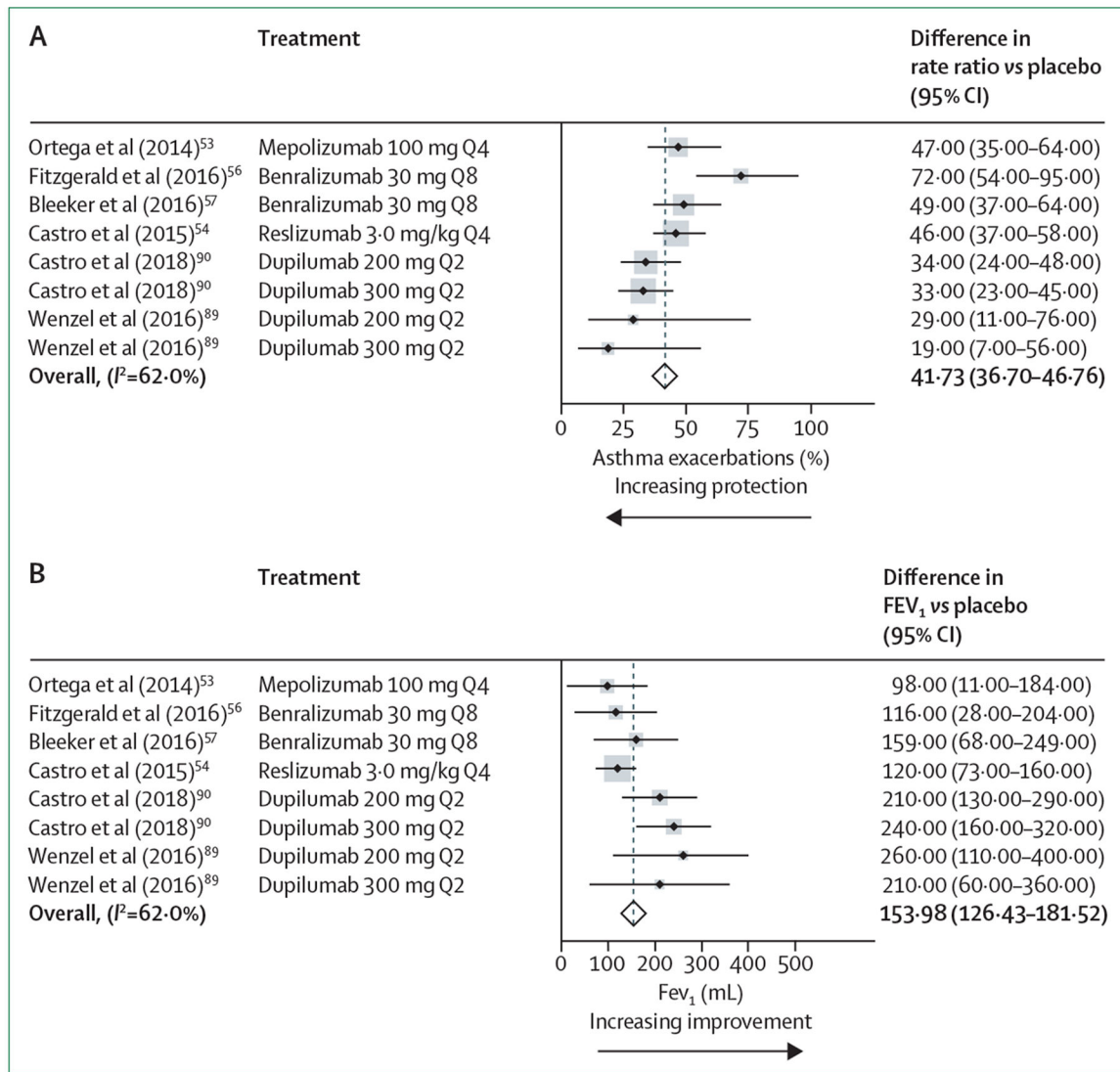


Figure 2: Forest plots showing the effect size of type 2 biologic agents in patients with eosinophilic asthma

(A) Effect of biologic agents on asthma exacerbation. (B) Effect of biologic agents on forced expiratory volumes in 1 s (FEV₁). The standardised mean difference (dashed line) and 95% CI for the combined treatment effects are shown. Q2=dose every 2 weeks. Q4=dose every 4 weeks. Q8=dose every 8 weeks.

Table 1:

Type 2 biologic medications for severe asthma

	Target	Dose	Primary treatment group	Primary benefits	Stage of development
Mepolizumab (GlaxoSmithKline, Brentford, UK)	IL-5	Subcutaneous, 100 mg, Q4 weeks	Severe eosinophilic asthma (150 cells per μ L at screening or 300 cells per μ L in past year)	Considerable improvement in asthma exacerbations and symptoms; mild improvement in FEV ₁ and steroid sparing	FDA approved for severe eosinophilic asthma
Reslizumab (Teva Pharmaceuticals, Petah Tikva, Israel)	IL-5	Intravenous, 3-0 mg/kg, Q4 weeks	Moderate to severe eosinophilic asthma (400 cells per μ L)	Considerable improvement in asthma exacerbations; mild improvement in FEV ₁ and symptoms	FDA approved for severe eosinophilic asthma
Benralizumab (MedImmune, Gaithersburg, USA; and AstraZeneca, Cambridge, UK)	IL-5RA	Subcutaneous, 30 mg, Q8 weeks	Severe eosinophilic asthma (300 cells per μ L)	Considerable improvement in asthma exacerbations; mild improvement in FEV ₁ and steroid sparing	FDA approved for severe eosinophilic asthma
Lebrikizumab (Genentech, San Francisco, USA; and Roche, Basel, Switzerland)	IL-13	Subcutaneous, 38–125 mg, Q4 weeks	Severe asthma with periostin concentrations > 50 ng/mL or blood eosinophils > 300 cells per μ L	Mild improvement in asthma exacerbations	No longer in development for asthma
Pitrakinra (Amgen, Thousand Oaks, USA)	IL-4RA	Subcutaneous, 25 mg once a day or 60 mg nebulised twice a day	Atopic asthma	Modest efficacy in allergen challenge model	No longer in development for asthma
Dupilumab (Regeneron, Tarrytown, USA; and Sanofi, Paris, France)	IL-4RA	Subcutaneous, 200 or 300 mg, Q4 weeks	Moderate to severe eosinophilic asthma (>300 cells per μ L)	Considerable improvement in asthma exacerbations, FEV ₁ , and symptoms; mild improvement in steroid sparing	FDA approved for moderate to severe eosinophil asthma or oral corticosteroid-dependent asthma
Tezepelumab (Amgen, and MedImmune)	TSLP	Subcutaneous, 70 mg Q4 weeks, 210 mg Q4 weeks, or 280 mg Q2 weeks	Moderate to severe asthma	Considerable improvement in asthma exacerbations; mild improvement in FEV ₁ and symptoms	Ongoing phase 3 trial
REGN3500 (Regeneron)	IL-33	Subcutaneous, dose to be determined	Moderate to severe eosinophilic asthma (300 cells per μ L)	Mild improvement in loss of asthma control and FEV ₁	Recently completed phase 2B trial

FEV₁=forced expiratory volumes in 1 s. FDA=US Food and Drug Administration. Q2=every 2 weeks. Q4=every 4 weeks. Q8=every 8 weeks.

Table 2:

Risk for type 2 therapeutics

	Observed risks	Hypothetical risks
Mepolizumab	Herpes zoster	Parasitic infections, malignancy, obesity or metabolic dysfunction
Reslizumab	Anaphylaxis	Parasitic infections, malignancy, obesity or metabolic dysfunction
Benralizumab	Prolonged decrease in eosinophil counts	Parasitic infections, malignancy, obesity or metabolic dysfunction
Dupilumab	Eosinophilia, conjunctivitis	Parasitic infections, obesity or metabolic dysfunction, eosinophilic granulomatosis with polyangiitis

All medications report low and similar frequencies for injection site reactions (2–10%) and hypersensitivity reactions (<1–3%).

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