

# Evaluation of the Biological Activity of Folic Acid-Modified Paclitaxel-Loaded Gold Nanoparticles

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**Purpose:** Gold nanoparticles (AuNPs) with good physical and biological properties are often used in medicine, diagnostics, food, and similar industries. This paper explored an AuNPs drug delivery system that had good target selectivity for folate-receptor overexpressing cells to induce apoptosis.

**Methods:** A novel drug delivery system, Au@MPA-PEG-FA-PTX, was developed carrying paclitaxel (PTX) on folic acid (FA) and polyethylene glycol (PEG)-modified AuNPs. The nanomaterial was characterized by transmission electron microscopy (TEM), Fourier-transform infrared spectroscopy (FTIR), and ultraviolet-visible spectroscopy (UV-Vis). Also, the biological activity of the AuNPs drug delivery system was examined using the 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT) assay in HL-7702, Hela, SMMC-7721, and HCT-116 cells. Furthermore, apoptotic activity using annexin V-FITC, mitochondrial membrane potential (MMP), and reactive oxygen species (ROS) levels was estimated by flow cytometry and fluorescence microscopy.

**Results:** Au@MPA-PEG-FA-PTX exhibited a distinct core-shell structure with a controllable size of  $28 \pm 1$  nm. Also, the AuNPs maintained good dispersion and spherical shape uniformity before and after modification. The MTT assay revealed good antitumor activity of the Au@MPA-PEG-FA-PTX against the Hela, SMMC-7721, and HCT-116 cells, while Au@MPA-PEG-FA-PTX produced better pharmacological effects than PTX in isolation. Further mechanistic investigation revealed that effective internalization of AuNPs by folate-receptor overexpressing cancer cells induced cell apoptosis through excessive production of intracellular ROS.

**Conclusion:** The AuNPs drug delivery system showed good target selectivity for folate-receptor overexpressing cancer cells to induce target cell-specific apoptosis. These AuNPs may have great potential as theranostic agents such as in cancer.

**Keywords:** gold nanoparticles, folic acid, paclitaxel, drug delivery system, apoptosis

## Introduction

Gold nanoparticles (AuNPs), firstly used as a coloring agent, have been in use for a very long time. However, only in the last few decades, these were developed and utilized in various scientific fields, such as medicine, diagnostics, and food.<sup>1-4</sup> Owing to their good physical and chemical properties, including large surface area and excellent biocompatibility, AuNPs have been explored by a large number of researchers.<sup>5-7</sup> Especially, AuNPs have gained much attention as nanocarriers for efficient drug targeting and tracking.<sup>8-10</sup> Importantly, AuNPs can be effectively modified for specific targeting to reduce premature drug release.<sup>11-15</sup>

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Paclitaxel (PTX), approved by the US FDA in 1992, is a key drug to treat advanced cancer. Particularly, it has been effective against breast and ovarian cancer while also showing good apoptotic effects against other tumor cells.<sup>16–19</sup> Regrettably, PTX has many toxic side effects such as myelosuppression, neurotoxicity, cardiotoxicity, joint or muscle pain, liver toxicity, and renal toxicity.<sup>20–22</sup> Moreover, it is sparingly water-soluble and therefore has limited application as an intravenous injection.<sup>23</sup> Luckily, AuNPs with good water solubility and targeting can reduce the side effects of such drugs and improve their efficacy.<sup>24–26</sup>

Generally, drug administration can be divided into active and passive targeted drug delivery.<sup>27–30</sup> In active targeting, the drug carrier loaded with small targeting molecules selectively targets the tumor cells and thereby reduces the toxicity side effects.<sup>31,32</sup> For instance, folic acid (FA), a water-soluble vitamin, can bind to cell membrane folate receptors of the tumor cells.<sup>33</sup> Notably, these receptors are highly expressed on the tumor cells than normal cells.<sup>34</sup> Therefore, FA-modified drugs can selectively target the tumor cells.<sup>35</sup> For example, Zhang et al<sup>36</sup> presented a targeted nanoparticle drug delivery, which used polymeric nanoparticles-coated with FA a regioselectively modified alkyne-folate was employed as a model small-molecule ligand to realize the targeting of drug delivery. Besides, it can improve the water solubility of a poorly soluble drug, improving its reach to tumor cells and efficacy.<sup>37–42</sup>

In this study, a drug-loading system Au@MPA-PEG-FA-PTX was prepared and evaluated using MTT assay for targeting efficiency. FA modified AuNPs showed improved targeting in a series of cell experiments that estimated apoptosis, mitochondrial membrane potential (MMP), and the levels of intracellular ROS. The modified AuNPs produced better pharmacological effects than the PTX alone.

## Materials and Methods

### Materials

All reagents and solvents were purchased from commercial suppliers and used without further purification. These were as follows: tetrachloroauric acid trihydrate ( $\text{AuCl}_4\text{H}_7\text{O}_3$ ), mercaptopropionic acid (MPA), amino polyethylene glycol ( $\text{NH}_2\text{-PEG-NH}_2$ , PEG), folic acid (FA), paclitaxel (PTX), triethylamine (TEA), N-hydroxysuccinimide (NHS), ethanesulfonic acid (MES), ethylcarbodiimide hydrochloride (EDC), trypsin, fetal bovine serum (Invitrogen Corporation), 1640 medium (Gibco Invitrogen), tetramethylazolum blue (MTT), Hoechst 33342, propidium iodide

(PI), acridine orange/ethidium bromide (AO/EB), 2',7'-dichlorofluorescein diacetate ( $\text{H}_2\text{DCF-DA}$ ), annexin V-FITC, rhodamine 123 (Rh123), JC-1 (5,5',6,6'-tetrachloro-1,1',3,3'-tetraethyl-imidacarbocyanine iodide) and other organic fluorescent dyes (Sigma). The cell lines, HeLa (cervical cancer cell), QSG-7701 (normal liver cell), SMMC-7721 (liver cancer cells), and HCT-116 (colon cancer cells) were purchased from the Chinese Academy of Sciences Shanghai Cell Bank.

### Characterization

Transmission Electron Microscope (TEM): The morphology and size of AuNPs were analyzed by the JEOL JEM-200CX, TEM, operating up to 200 kV. Samples of the TEM were prepared by dropping nanoparticles solution on the surface of a copper grid coated with amorphous carbon film. Zeta potential measurement: Zeta potential measurements were done at 25 °C using Nano ZS90 Zeta potential analyzer (Brookhaven). The nanoparticles concentration was 1 mg/mL in deionized water. UV-Vis absorption spectra: UV-Vis absorption spectra in the region 800–200 nm and in the temperature range 300–20 K were recorded in digitized form at 0.5 nm intervals with a Varian Cary 300 BIO ultraviolet and visible spectrophotometry (UV-Vis) set at 0.5 nm/s scan speed, 1 s integration time, and 0.5 nm bandwidth. The samples were diluted to a specified concentration (absorbance value is less than 0.1) in the corresponding solvents (PBS, pH 7.0 in water, at room temperature). FTIR Spectroscopy: Fourier transform infrared (FTIR) spectra were measured with a NEXUS FTIR spectrometer (Thermo Nicolet Co., Santiago, MA, USA). Samples were placed in a Specac cell with  $\text{CaF}_2$  windows and a 0.05 mm spacer. Spectra in the range 500–4000  $\text{cm}^{-1}$  were registered with 256 scans at 2  $\text{cm}^{-1}$  resolution. Baseline correction was performed by subtracting the solvent + cell spectrum, after appropriate normalization. High content screening (HCS) analysis: The intracellular fluorescence intensity of samples was detected by high content screening (HCS) analysis (Thermo Scientific Cellomics ArrayScan VTI, Cellomics, Pittsburgh, PA). Briefly, after cells were seeded into 96-well plates at  $5 \times 10^5$  cells/well for 24 h, cells were treated with samples at specified concentration for specified times, and the cells were stained with different dyes for 30 min, then washed 3 times with PBS. And then the intracellular fluorescence intensity of the samples for 30 min was detected using HCS. The other instruments used in this study were as

follows: multi-function microplate reader (BioTek, USA); LCQDECA-XP mass spectrometer (Thermo); fluorescence spectrophotometer (Agilent, USA), and so on.

## Synthesis of AuNPs

156  $\mu\text{L}$  of 150 mM  $\text{HAuCl}_4$  was dissolved in 80 mL of triple-distilled water by boiling in the dark for 10 min. Then, sodium citrate solution (92 mg dissolved in 3 mL of triple-distilled water) was injected with stirring while boiling for 30 min. The mixture was cooled at room temperature (RT) and then centrifuged at 8000 rpm for 15 min to obtain the gold elemental solution. The centrifuged AuNPs were dissolved in 2 mL of triple-distilled water and stored at 4  $^\circ\text{C}$ .

## Synthesis of Au@MPA

The obtained AuNPs were uniformly dissolved in 50 mL of triple-distilled water in a 100 mL round bottom flask, and the pH was adjusted to 11. Then, the reaction with 3 mL of 0.01 M MPA ethanol solution was carried out for 2 h. Finally, the mixture was centrifuged at 9000 rpm for 5 min to obtain the MPA coated gold nanoparticles Au@MPA.

## Synthesis of Au@MPA-PEG

Au@MPA was uniformly dispersed in a 250 mL round bottom flask, and the solution pH was adjusted to 6.5 with MES (ethanesulfonic acid, 50 mM). Next, EDC (7 mg) and NHS (10.5 mg) were added and mixed by stirring for 30 min. Subsequently, PEG (20 mg) was added and the mixture was stirred for 24 h at RT. Lastly, the Au@MPA-PEG was obtained by centrifugation at 10,000 rpm for 5 min.

## Activation of FA

FA (250 mg) was dissolved in dimethyl sulfoxide (DMSO) (20 mL) in a 100 mL round bottom flask, and the mixture was sonicated for 45 min. Subsequently, NHS (225 mg) and dicyclohexylcarbodiimide (DCC) (125 mg) were added to activate the carboxyl group of the FA under nitrogen for 12 h. The mixture was filtered and transferred to a brown flask to store at 4  $^\circ\text{C}$  for further use.

## Synthesis of Au@MPA-PEG-FA

Au@MPA-PEG was added to 20 mL of triple-distilled water, and the mixture was uniformly dispersed in a 250 mL round bottom flask. Then, 1 mL of activated FA was added under  $\text{N}_2$  protection, while the flask was

maintained in a 45  $^\circ\text{C}$  oil bath for 5 min. After 6 h of the reaction (end of the reaction), the mixture was divided into 5 mL portions for dialysis in 2000 molecular weight dialysis bags against 500 mL triple-distilled water using a magnetic stirrer. Water used for dialysis was changed every 6 h for continuous dialysis for 48 h. After the dialysis, the product was purified by repeated centrifugation at 14,000 rpm for 5 min to obtain the final product Au@MPA-PEG-FA.

## Synthesis of Au@MPA-PEG-FA-PTX

Au@MPA-PEG-FA was dissolved in an appropriate amount of DMSO in a three-necked bottle. Then, 26 mg of PTX was added followed by dropwise addition of triethylamine (2 mg) for 12 h in a nitrogen protection environment. At the end of the reaction, the solution was centrifuged at 16,000 rpm for 10 min to obtain the final product Au@MPA-PEG-FA-PTX.

## Drug Release in vitro

5 mg Au@MPA-PEG-FA-PTX in triplicate were added in 5 mL phosphate buffered saline (PBS) (pH 5.0, 6.5, 7.4) to ultrasonic dissolve, respectively. The above three solutions were transferred to the three dialysis bags, which were immersed in 150 mL PBS with corresponding pH values (5.0, 6.5, 7.4). The PBS solution was stirred slowly at 37  $^\circ\text{C}$ , and the samples were taken out once at intervals (1 h, 2 h, 3 h, 4 h, 5 h, 6 h, 7 h, 8 h, 9 h, and 10 h), at the same time, the same amount corresponding to the fresh PBS was added. The absorbance values of the samples were measured using an ultraviolet spectrophotometer, and the cumulative release of the drug was calculated according to the standard curve.

## Estimating the Antitumor Activity of AuNPs

The antitumor activity of the AuNPs was tested against HL-7702, Hela, SMMC-7721, and HCT-116 cells using a modified 3-(4,5-dimethyl-2-thiazolyl)-2,5-diphenyltetrazolium bromide (MTT) method.<sup>43</sup> AuNPs were tested in different concentrations (1, 3, 5, 10, 15, 30  $\mu\text{g}/\text{mL}$ ).

## Cell Apoptosis Assay: Annexin V-FITC Staining

Cell apoptosis was estimated by flow cytometry. Briefly, after 48 h of treatment with 3, 5, 10, 15  $\mu\text{g}/\text{mL}$  of Au@MPA-PEG-FA-PTX. Hela cells were stained with annexin V-FITC for 10 min in a cell incubator. Apoptotic cells were detected

by the FACSCalibur flow cytometer (Becton Dickinson & Co., Franklin Lakes, NJ). Data were analysed by using BD Cell Quest software. Percentages of live and apoptotic cells were determined on the basis of negative controls obtained by staining controls only with annexin V.

## Analysis of MMP

Hela cells treated with Au@MPA-PEG-FA-PTX (3, 5, 10, 15  $\mu\text{g}/\text{mL}$ ) for 24 h at 37 °C were incubated with 10  $\mu\text{g}/\text{mL}$  JC-1 for 10 min at 37 °C. The cells were subsequently analyzed with a FACSCalibur flow cytometer.

Hela cells treated with Au@MPA-PEG-FA-PTX (3, 5, 10, 15  $\mu\text{g}/\text{mL}$ ) for 24 h at 37 °C were incubated with 5  $\mu\text{g}/\text{mL}$  Rh123 for 25 min at 37 °C, and then Hoechst 33342 was added for 15 min to avoid light staining.

## Measurement of Reactive Oxygen Species (ROS)

The accumulation of intracellular ROS was estimated using 2',7'-dichlorofluorescein diacetate ( $\text{H}_2\text{DCF-DA}$ ). The Hela cells treated with Au@MPA-PEG-FA-PTX samples (3, 5, 15  $\mu\text{g}/\text{mL}$ ) for 24 h were incubated with 50  $\mu\text{M}$  of  $\text{H}_2\text{DCF-DA}$  for 25 min at 37 °C.

## The Antitumor Efficacy of Drug Delivery System in vivo

The animal experiment was carried out in accordance with the guidelines and approval of the Animal Welfare and Use Committees of Henan University to ensure animal welfare during experiments. Healthy female Balb/c mice of 5 weeks old were chosen for establishing a xenograft tumor model to evaluate the efficacy of the drug delivery system in vivo. Briefly, the mice were randomly divided into 3 groups with 5 mice in each group ( $n = 5$ ), and the xenograft tumor model was injected subcutaneously with CT-26 cells ( $5 \times 10^6$  cells in

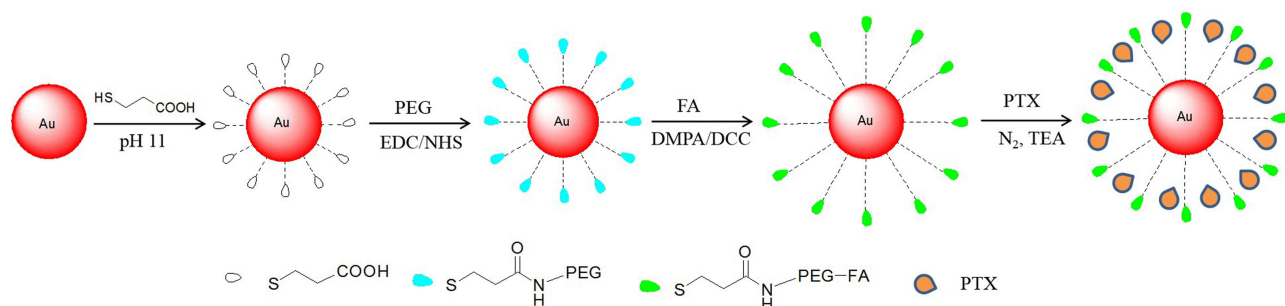
200  $\mu\text{L}$  normal saline). When the tumor was grown to 200  $\text{mm}^3$ , 200  $\mu\text{L}$  normal saline, free drug PTX (5 mg/kg) and the AuNPs drug delivery system (Au@MPA-PEG-FA-PTX, 5 mg/kg) were injected into the Balb/c mice on the 1st, 3rd, 5th, 7th, 9th, 11th, 13th and 15th day. The body weight of the mice and the size of the tumors were measured every other day. The formula for calculating the tumor volume is  $V = W^2 \times L / 2$ . Here, W refers to the shortest diameters of the tumor, L indicates the longest diameters of the tumor.

## Results and Discussion

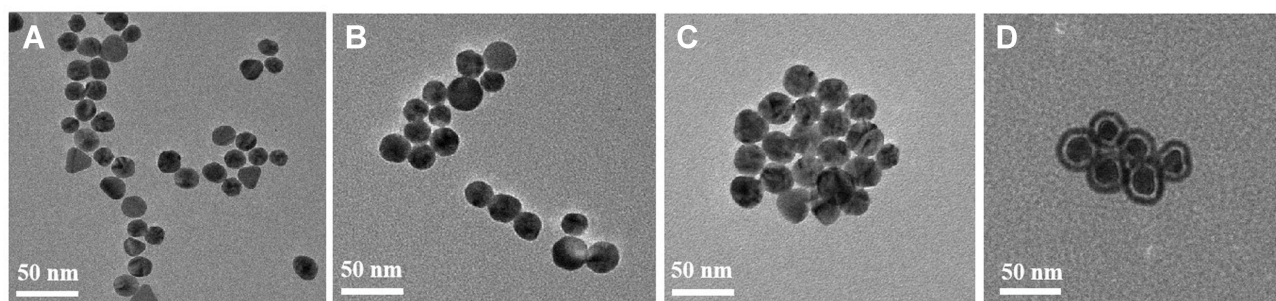
### Preparation and Characterization of AuNPs

First, we prepared the water-soluble AuNP@MPA (Scheme 1). Figure 1A depicts the morphology of AuNP@MPA particles by TEM. The shape of AuNP@MPA was almost spherical having a compact size of  $18 \pm 1$  nm. Next, AuNP@MPA-PEG and AuNP@MPA-PEG-FA were prepared (Scheme 1). Furthermore, AuNP@MPA-PEG-FA was loaded with the anti-tumor drug PTX to obtain the final product Au@MPA-PEG-FA-PTX with excellent aqueous stability. Also, the morphology of these particles was analyzed by TEM (Figure 1B–D). Morphologically, AuNP@MPA-PEG-FA and AuNP@MPA were almost similar, and the average diameter of AuNP@MPA-PEG-FA particles was  $28 \pm 1$  nm. TEM revealed that after the modification, Au@MPA-PEG-FA-PTX particles showed a distinct core-shell structure indicating the successful modification (Figure 1D).

Besides, we tested the change in charge value of these AuNPs. Figure 2 shows that the unmodified AuNPs (Au@MPA) have a negative charge (MPA with negative charge because of the presence of sulfhydryl), and the charge value changed from  $-25$  to  $+22$  after FA modification (FA with positive charge), and then to  $+31$  after PTX loading (PTX with negative charge). This change in charge indicates that the AuNPs were successfully modified.<sup>44,45</sup>



**Scheme 1** Schematic representation of the synthesis of Au@MPA-PEG-FA-PTX.

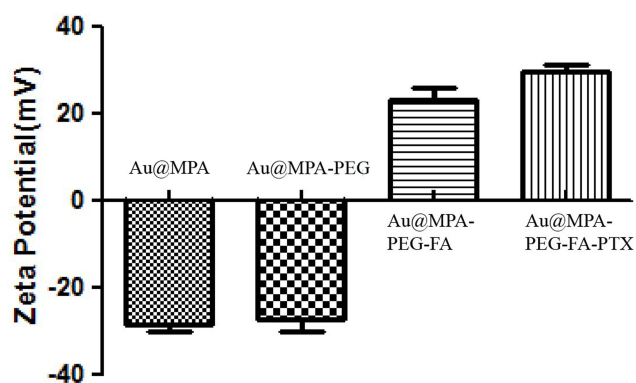


**Figure 1** TEM images of AuNPs before and after modification. (A) Au@MPA; (B) Au@MPA-PEG; (C) Au@MPA-PEG-FA; (D) Au@MPA-PEG-FA-PTX.

Meanwhile, we also tested the optical properties of the AuNPs. UV-vis spectra shown in [Figure S1](#) suggest that the unmodified AuNPs have an absorption peak at 520 nm. However, surface modification of the AuNPs by FA and PEG led to a blue shift, showing an absorption peak at 680 nm. [Figure S2](#) shows the FTIR spectra of AuNPs. After the modification of AuNPs, a peak corresponding to the amide bond appeared at  $1640\text{ cm}^{-1}$ , while the other peak at  $3100\text{ cm}^{-1}$  for vibrational stretching of the benzene ring indicated the successful loading of PTX.

## Drug Release in vitro

The pH value is known to affect the drug release. The controlled release patterns and pH dependence of the rate of PTX release from Au@MPA-PEG-FA-PTX particles are illustrated by the cumulative drug release data presented in [Figure 3](#). The release of PTX from Au@MPA-PEG-FA-PTX nanoparticles was strongly affected by pH value. The PTX releases from Au@MPA-PEG-FA-PTX nanoparticles in the first 2 h were 30% and 50% of the total drug at pH 6.5 and 5.0, respectively. And the rate of PTX release from Au@MPA-PEG-FA-PTX nanoparticles increased along with the extension of the stirring



**Figure 2** Change in Zeta value of AuNPs before and after modification.

time. The rate of PTX release from Au@MPA-PEG-FA-PTX nanoparticles increased at 6 h were 75% and 95% of the total drug at pH 6.5 and 5.0, respectively. However, in intestinal-fluid-mimicking medium (pH 7.4), PTX release over 10 h was 62%. This indicates that the pH value is the main key factor affecting PTX release from Au@MPA-PEG-FA-PTX nanoparticles.

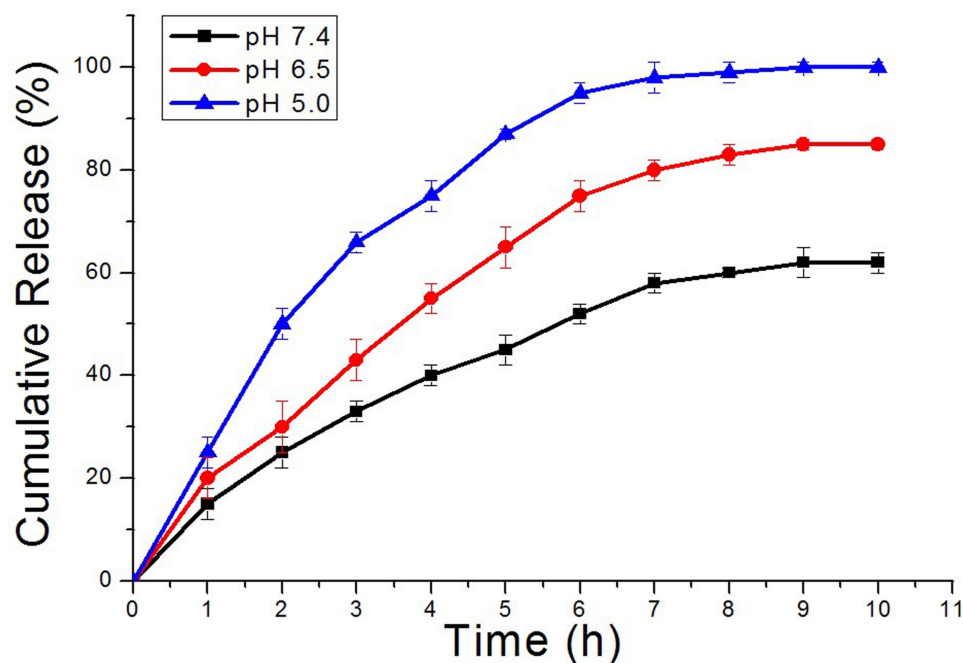
## Cytotoxicity Test

Next, we performed the MTT assay to evaluate the cytotoxicity of AuNPs ([Table S1](#)). We found that the antitumor activity of the unmodified AuNPs was relatively low, and the  $IC_{50}$  decreased with an increase in the length of the modified chain. After PTX loading, the antitumor activity of AuNPs increased significantly. FA and PEG-modified Au@MPA-PEG-FA-PTX showed high cytotoxicity against HCT-116, HeLa and SMMC-7721 cells. Also, to check the specific targeting of the FA modified Au@MPA-PEG-FA-PTX, a competition was performed with free FA. We found that free FA reduced the cytotoxicity of Au@MPA-PEG-FA-PTX, indicating a receptor binding competition from FA, which reduced the binding of FA modified Au@MPA-PEG-FA-PTX.<sup>46,47</sup>

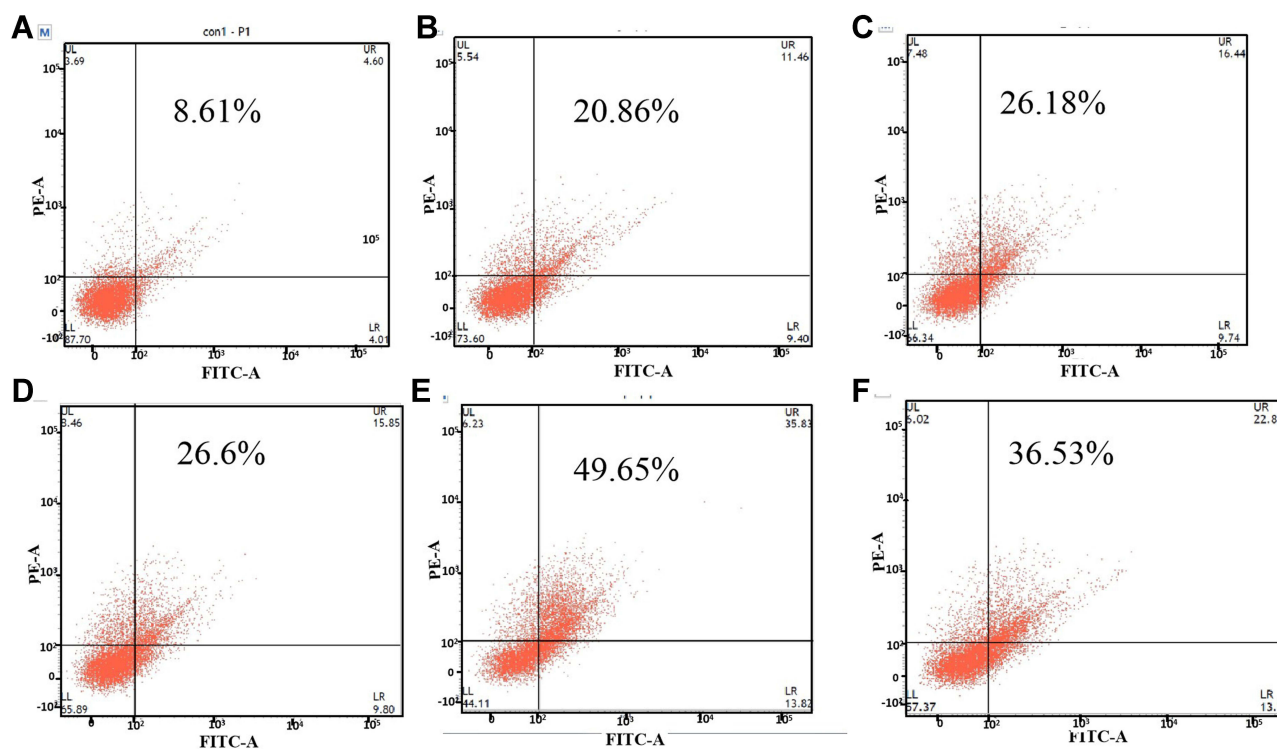
## Apoptosis Study

Annexin V-FITC can be used to detect the early stages of apoptosis.<sup>48,49</sup> The apoptotic capability of Au@MPA-PEG-FA-PTX was evaluated using annexin V-FITC staining by flow cytometry ([Figure 4](#)). We found that the apoptotic rates of 3, 5, 10, and 15  $\mu\text{g/mL}$  AuNP@MPA-PEG-FA-PTX were 20.86%, 26.18%, 26.6%, and 49.65%, respectively. The apoptotic rate of 15  $\mu\text{g/mL}$  PTX alone was 36.53%, which is lower than that of drug-loaded AuNPs, indicating improved apoptotic activity of AuNP@MPA-PEG-FA-PTX.

Next, we examined the cell apoptosis using high content screening (HCS) by AO/EB staining.<sup>50</sup> AO (green) gets inserted into the DNA despite an intact cell membrane, while EB (orange-red) can only be embedded



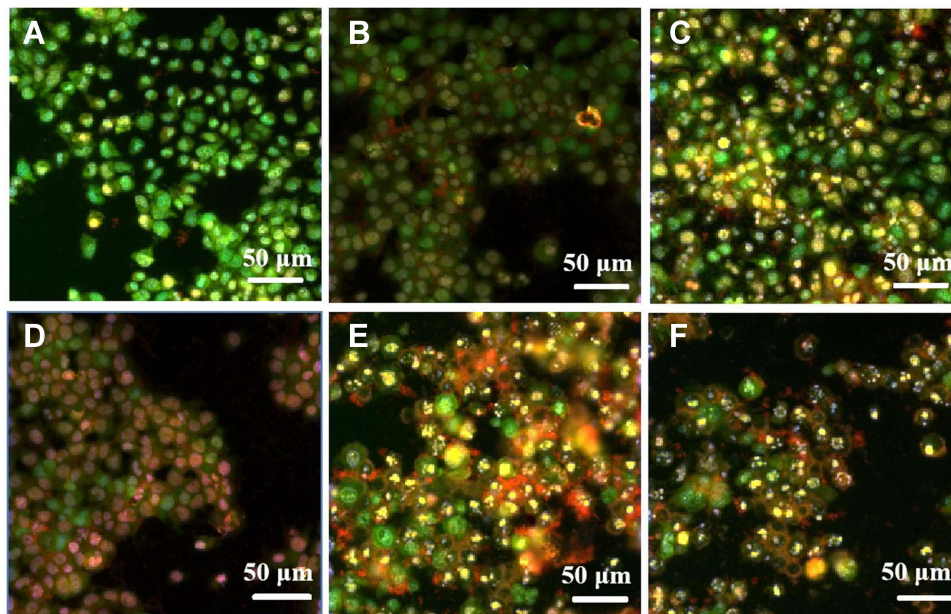
**Figure 3** In vitro PTX release profiles from Au@MPA-PEG-FA-PTX with different loading contents in phosphate-buffered saline (PBS, pH 5.0, 6.5, and 7.4). The release was performed under gentle stirring and at 37 °C.



**Figure 4** Apoptosis analysis in HeLa cells treated with Au@MPA-PEG-FA-PTX and PTX. (A) Control; (B–E) Au@MPA-PEG-FA-PTX (3, 5, 10, 15 µg/mL); (F) PTX: 15 µg/mL.

through the damaged (porous) cell membrane. As shown in Figure 5, in the high concentration Au@MPA-PEG-FA-PTX group, a decrease in the green fluorescence, while an

increase in the orange fluorescence indicated increased apoptosis and a damaged cell membrane.<sup>51</sup> This was also consistent with flow cytometry results.

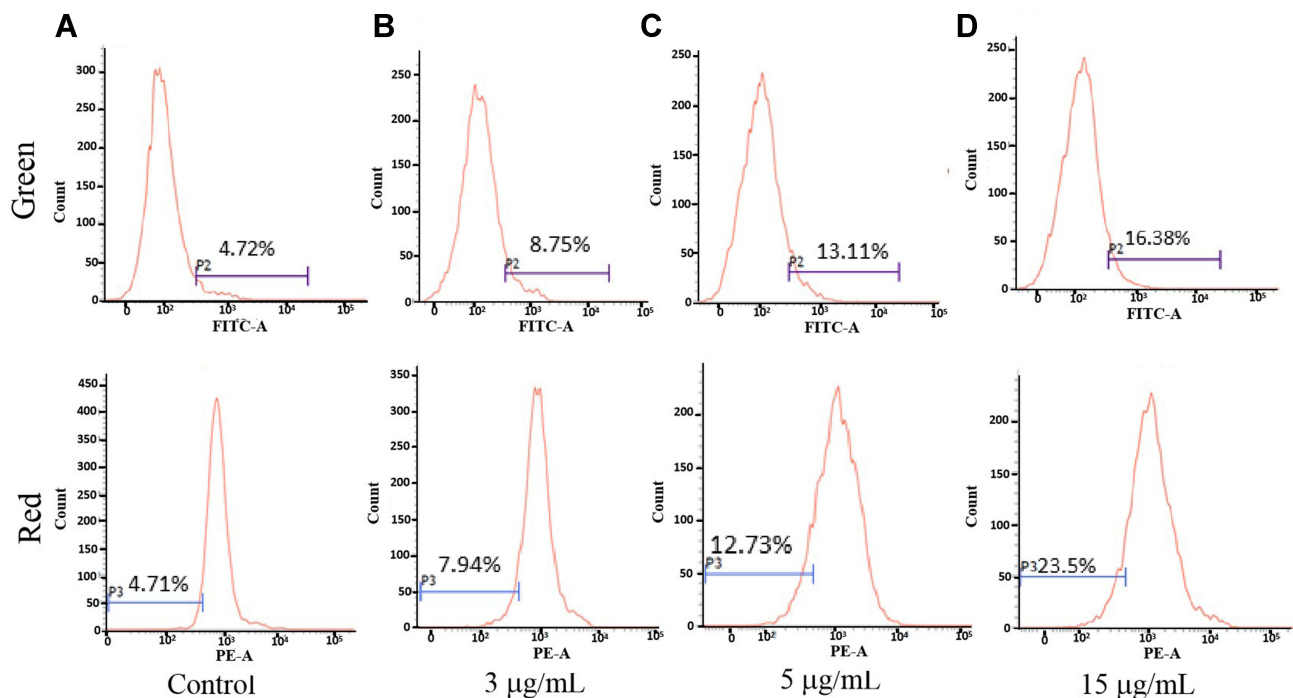


**Figure 5** Apoptosis analysis in HeLa cells treated with Au@MPA-PEG-FA-PTX and PTX by AO/EB staining. (A) Control; (B–E) Au@MPA-PEG-FA-PTX (3, 5, 10, 15 µg/mL); (F) PTX: 15 µg/mL.

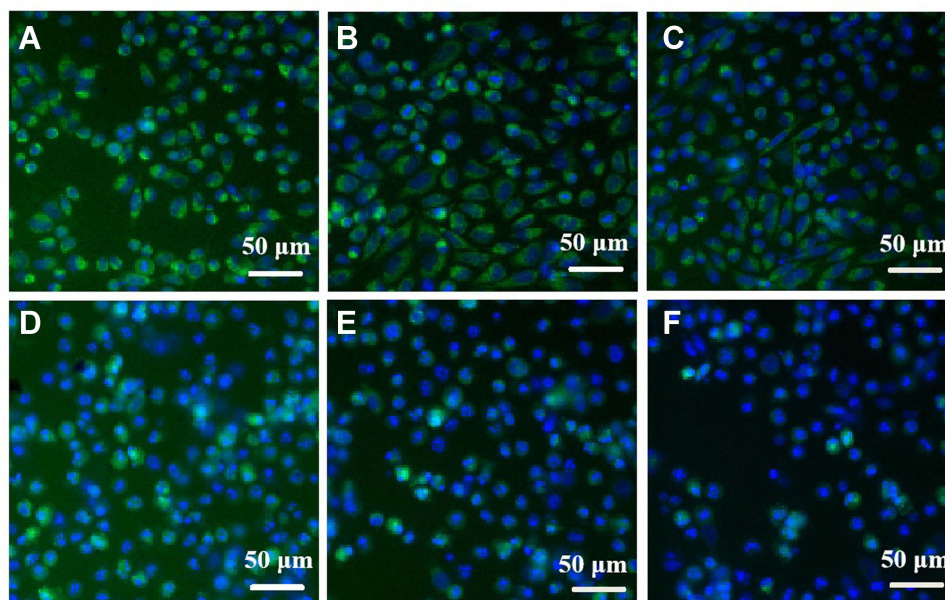
## Effect on Mitochondrial Membrane Potential (MMP)

To examine the effect of Au@MPA-PEG-FA-PTX on mitochondrial dysfunction, HeLa cells were stained with JC-1 dye and analyzed by flow cytometry and HCS (Figure 6). We found that with an increase in

Au@MPA-PEG-FA-PTX concentration, the strong green fluorescence shifted to the right, and the red fluorescence shifted to the left, indicating a decrease in MMP. Figure 7 shows the Rh 123 and Hoechst 33342 stained cells. As the Au@MPA-PEG-FA-PTX concentration increased, the green fluorescence in the cells



**Figure 6** Flow cytometry analysis of Au@MPA-PEG-FA-PTX effect on MMP in HeLa cells. (A) Control, (B) 3 µg/mL, (C) 5 µg/mL, (D) 15 µg/mL.



**Figure 7** High content imaging of Au@MPA-PEG-FA-PTX effect on MMP in HeLa cells. (A) Control, (B–F) Au@MPA-PEG-FA-PTX (1, 3, 5, 10, 15 µg/mL).

gradually decreased, while the cell morphology in the control and the low-concentration group remained relatively undamaged. However, Au@MPA-PEG-FA-PTX decreased the cell MMP, thereby inducing apoptosis.

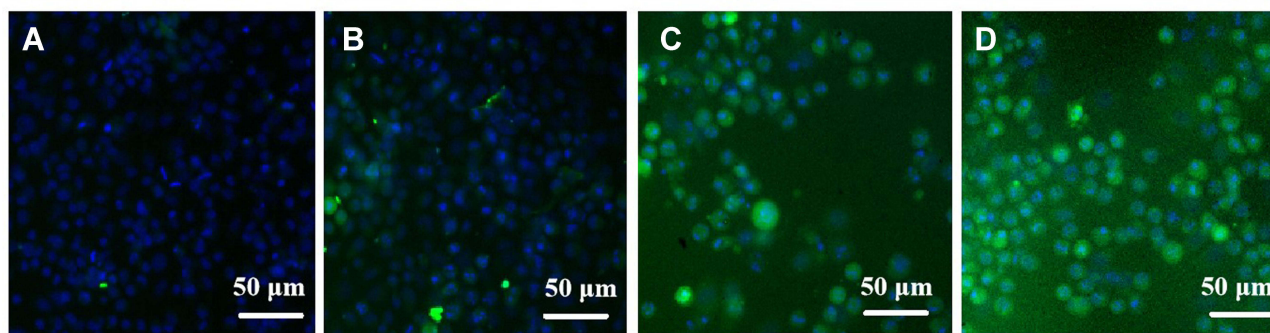
### Effect on Intracellular Reactive Oxygen Species Changes Levels

To investigate whether Au@MPA-PEG-FA-PTX induced mitochondrial membrane damage in the HeLa cells was due to the excessive accumulation of intracellular ROS, HCS imaging was employed with H<sub>2</sub>DCF-DA reagent (Figure 8). We found that the intensity of green fluorescence (H<sub>2</sub>DCF-DA staining) in AuNPs drug-loading system-treated cells gradually increased with the concentration increase of AuNPs concentration,

indicating elevated levels of intracellular ROS.<sup>52,53</sup> This suggests that the Au@MPA-PEG-FA-PTX system promotes apoptosis via increasing the intracellular ROS amounts.<sup>54</sup>

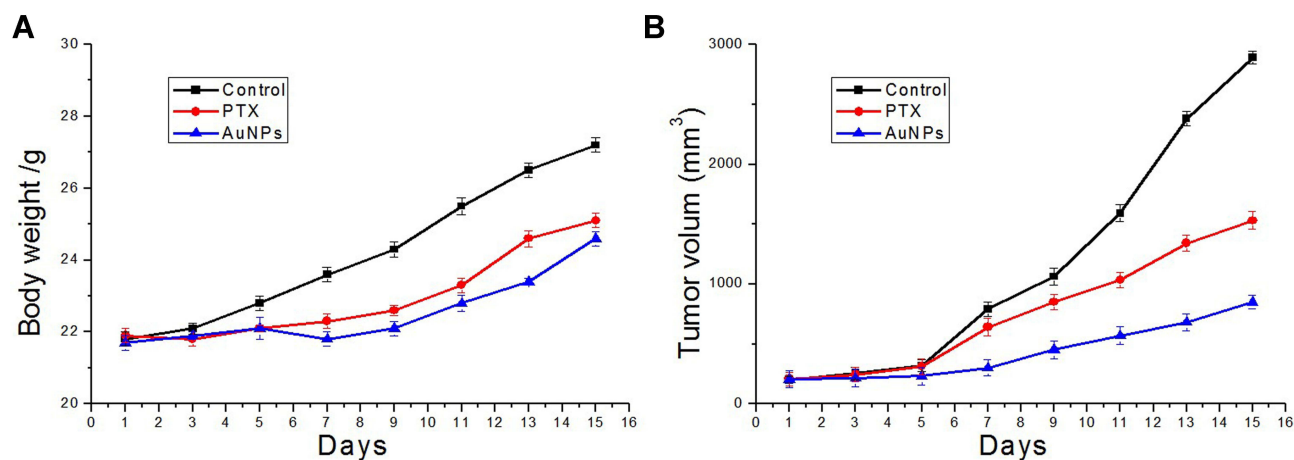
### The Antitumor Efficacy of Drug Delivery System in vivo

To evaluate the antitumor efficacy of drug delivery system in vivo, Balb/c mice bearing CT-26 tumors with initial volumes of 200 mm<sup>3</sup> were randomly divided into 3 groups with 5 mice in each group (n = 5). The mice were administered with physiological saline, free drug PTX (5 mg/kg), and Au@MPA-PEG-FA-PTX (5 mg/kg). Total seven doses were administered intravenously during the study period. On 15th days, all the mice were sacrificed and



**Figure 8** ROS accumulation by Au@MPA-PEG-FA-PTX. (A) Control; (B–D) Au@MPA-PEG-FA-PTX (3, 5, 15 µg/mL).





**Figure 9** Anti-tumor efficacy of physiological saline, free PTX (5 mg/kg), and Au@MPA-PEG-FA-PTX (5 mg/kg) investigated following seven intravenous. (A) Body weight changes during the course of treatment; (B) changes in CT-26 tumor volumes.

the tumors as well as vital organs of each group were collected for weight measurements. During the treatment, physiological saline, free drug PTX and Au@MPA-PEG-FA-PTX did not have an obvious effect on the mice body weight (Figure 9A). It was found that the size of the tumor in mice administered with Au@MPA-PEG-FA-PTX was only 850 mm<sup>3</sup>, which was much lower than that of control (2890 mm<sup>3</sup>) and PTX (1532 mm<sup>3</sup>) groups (Figure 9B). Altogether, these results suggest that the drug delivery system Au@MPA-PEG-FA-PTX displayed efficient anti-tumor efficacy in terms of inhibition of tumor growth, indicating its great potential for future biomedical applications.

## Conclusions

In conclusion, we synthesized the FA and PEG-modified AuNPs drug delivery system Au@MPA-PEG-FA-PTX, which was characterized by a series of tests including TEM, Zeta potential, UV-vis, and FTIR. AuNPs showed good morphology. MTT assay showed that FA-modified PTX loaded AuNPs were more effective than PTX in isolation. Also, FA, PEG-modified Au@MPA-PEG-FA-PTX showed very high activity against the HCT-116, Hela, and SMMC-7721 cells. Furthermore, competition with free FA revealed that Au@MPA-PEG-FA-PTX had good target selectivity. Analysis of apoptosis, MMP, and intracellular ROS levels showed that drug-loaded AuNPs induced apoptosis by reducing MMP and increasing levels of intracellular ROS. Thus, the AuNPs drug delivery system, with selective targeting of the folate-receptor

overexpressing cancer cells, can be utilized as a potential theranostic agent as in cancer therapy.

## Abbreviations

AuNPs, gold nanoparticles; PTX, paclitaxel; FA, folic acid; PEG, polyethylene glycol; FTIR, Fourier transform infrared spectroscopy; UV-Vis, Ultraviolet-visible spectroscopy; MTT, 3-(4,5-Dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide; MMP, mitochondrial membrane potential; ROS, reactive oxygen species; MPA, mercaptopropionic acid; TEA, triethylamine; NHS, N-hydroxysuccinimide; MES, ethanesulfonic acid; EDC, ethylcarbodiimide hydrochloride; PI, propidium iodide; AO/EB, acridine orange/ethidium bromide; H<sub>2</sub>DCF-DA, 2',7'-dichlorofluorescein diacetate; Rh123, rhodamine 123; JC-1, 5,5',6,6'-tetrachloro-1,1',3,3'-tetraethyl-imidacarbocyanine iodide; TEM, Transmission electron microscope; FTIR, Fourier transform infrared; RT, room temperature; DMSO, dimethyl sulfoxide; DCC, dicyclohexylcarbodiimide; PBS, phosphate buffered saline; HCS, high content screening.

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## Disclosure

The authors report no conflicts of interest in this work.

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