

# AMERICAN THORACIC SOCIETY DOCUMENTS

## Research Needs for Inpatient Management of Severe Alcohol Withdrawal Syndrome

### An Official American Thoracic Society Research Statement

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#### Abstract

**Background:** Severe alcohol withdrawal syndrome (SAWS) is highly morbid, costly, and common among hospitalized patients, yet minimal evidence exists to guide inpatient management. Research needs in this field are broad, spanning the translational science spectrum.

**Goals:** This research statement aims to describe what is known about SAWS, identify knowledge gaps, and offer recommendations for research in each domain of the Institute of Medicine T<sub>0</sub>–T<sub>4</sub> continuum to advance the care of hospitalized patients who experience SAWS.

**Methods:** Clinicians and researchers with unique and complementary expertise in basic, clinical, and implementation research related to unhealthy alcohol consumption and alcohol withdrawal were invited to participate in a workshop at the American Thoracic Society 2019 International Conference. The committee was subdivided into four groups on the basis of interest and expertise: T<sub>0</sub>–T<sub>1</sub> (basic science research with translation to humans), T<sub>2</sub> (research translating to patients), T<sub>3</sub> (research translating to clinical practice), and T<sub>4</sub> (research translating to communities). A medical librarian conducted a pragmatic literature search to facilitate this work, and committee members reviewed and supplemented the resulting evidence, identifying key knowledge gaps.

**Results:** The committee identified several investigative opportunities to advance the care of patients with SAWS in each domain of the translational science spectrum. Major themes included 1) the need to investigate non- $\gamma$ -aminobutyric acid pathways for alcohol withdrawal syndrome treatment; 2) harnessing retrospective and electronic health record data to identify risk factors and create objective severity scoring systems, particularly for acutely ill patients with SAWS; 3) the need for more robust comparative-effectiveness data to identify optimal SAWS treatment strategies; and 4) recommendations to accelerate implementation of effective treatments into practice.

**Conclusions:** The dearth of evidence supporting management decisions for hospitalized patients with SAWS, many of whom require critical care, represents both a call to action and an opportunity for the American Thoracic Society and larger scientific communities to improve care for a vulnerable patient population. This report highlights basic, clinical, and implementation research that diverse experts agree will have the greatest impact on improving care for hospitalized patients with SAWS.

**Keywords:** alcohol withdrawal delirium; critical care; translational medical research; clinical studies; quality improvement

#### Overview

Severe alcohol withdrawal syndrome (SAWS) is a highly morbid condition characterized by brain hyperexcitation that occurs among patients who discontinue

heavy alcohol use. The definition of heavy alcohol use varies. Daily consumption in the range of four or more drinks for men, or three or more drinks for women, has been used to define heavy alcohol use, as well as binge drinking on 5 or more days per month

(1). However, central nervous system alterations can occur at levels of alcohol consumption differing from these quantitative definitions, culminating in SAWS. SAWS is commonly encountered by inpatient providers of various disciplines but

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most patients with SAWS are managed in the ICU. Minimal evidence exists to guide inpatient management of SAWS, underscoring broad research questions that span the translational science spectrum. This research statement summarizes existing literature, identifies knowledge gaps, and offers recommendations for high-impact research related to SAWS in each domain of the Institute of Medicine (IOM) T<sub>0</sub>–T<sub>4</sub> research continuum, in which T<sub>0</sub>–T<sub>1</sub> includes basic science research with translation to humans, and T<sub>2</sub>, T<sub>3</sub>, and T<sub>4</sub> include research with translation to improved patient care, clinical practice, and community health, respectively (2).

**T<sub>0</sub>–T<sub>1</sub> SAWS Research**

- SAWS is predominantly driven by counterregulatory neuroadaptations in  $\gamma$ -aminobutyric acid (GABA) and glutamate signaling that develop with exposure to alcohol over time; however, multiple hormonal and neuromodulatory systems act as higher-level regulators of the excitatory and inhibitory neurosignaling that is relevant to SAWS. Examples of such regulators include CRF (corticotropin-

releasing factor), IL-6, CCL2 (chemokine ligand 2; also known as monocyte chemoattractant protein 1), ligand- and voltage-gated channels, and second messenger systems.

- Repeated cycles of intoxication and withdrawal directly damage cortical neurons (especially in the frontal cortex) contributing to progressively severe episodes of withdrawal and possible loss of executive function (i.e., “kindling”).
- The importance of identifying therapeutic targets beyond GABA agonism with benzodiazepines is underscored by evidence of cross-tolerance between benzodiazepines and alcohol at the GABA<sub>A</sub> receptor.
- **T<sub>0</sub>–T<sub>1</sub> Recommendation 1:** Neuromodulatory systems beyond GABA and glutamate should be explored to develop new diagnostic and therapeutic strategies for SAWS, including repurposing existing medications.
- **T<sub>0</sub>–T<sub>1</sub> Recommendation 2:** Preclinical animal models should be expanded and refined to recapitulate

the full symptomatology of patients with SAWS. Important areas include developing models of co-intoxication and/or concomitant withdrawal from other substances of abuse and modeling of SAWS cooccurring with common conditions such as sepsis, trauma, and organ failure.

**T<sub>2</sub> SAWS Research**

- Given the high prevalence, morbidity, and costs associated with alcohol-related conditions in hospitalized patients, universal screening for alcohol use and assessment of risk for development of SAWS should be standard practices. Unfortunately, few studies have prospectively evaluated risk factors for SAWS in hospitalized patients. Existing data from small retrospective studies (most lacking validation cohorts) suggest that alcohol use disorder (AUD), history of prior withdrawal, and heavy alcohol consumption before an alcohol-related hospitalization are strong risk factors for development of SAWS.

- The Clinical Institute Withdrawal Assessment for Alcohol (CIWA)–Revised (CIWA-Ar) is the most commonly used scale for grading severity of alcohol withdrawal; however, CIWA-Ar scores are heavily weighted by subjective patient-reported data that are often unreliable in acutely and critically ill patients.
- Electronic health record (EHR)-based phenotypes and direct alcohol biomarkers may be useful for proactively and objectively identifying hospitalized patients with unhealthy alcohol consumption who are at risk for SAWS.
- **T<sub>2</sub> Recommendation 1:** Readily available EHR data should be used to create computable phenotypes and an operational definition of SAWS. This committee proposes an operational definition supported by prior literature that has face validity but requires further evaluation in health systems with EHRs.
- **T<sub>2</sub> Recommendation 2:** Available and objective tools should be evaluated to risk stratify hospitalized patients for the likelihood of SAWS and to grade SAWS severity. Ethanol biomarkers should be evaluated for early identification of patients at risk for SAWS. The Richmond Agitation–Sedation Scale (RASS), and other commonly used ICU agitation–sedation scales, should be compared with existing alcohol-specific tools (e.g., the CIWA-Ar or the Brief Alcohol Withdrawal Scale [BAWS]) for grading SAWS severity and titrating medications.

**T<sub>3</sub> SAWS Research**

- No multicenter randomized controlled trials (RCTs) have evaluated the impact of different SAWS treatments on the clinical outcomes of hospitalized patients. Existing treatment strategies for SAWS are extrapolated and modified from small studies conducted predominantly in detoxification units that have

excluded patients with acute comorbidities and/or severe manifestations of alcohol withdrawal.

- There are insufficient data to guide the initial choice of pharmacotherapy in hospitalized patients with SAWS.
- Benzodiazepines are commonly used as the initial treatment for SAWS; however, no prospective comparisons of benzodiazepine dosing strategies in patients with acute or critical illness have been published. Preimplementation–postimplementation studies of protocols used in ICU settings support front-loading strategies and early adjunctive therapy with phenobarbital, but more rigorous study designs in diverse patient populations are needed to establish the safety and effectiveness of these approaches.
- Increasing recognition of benzodiazepine-resistant SAWS suggests the need for alternative first-line and/or adjunctive therapies. Data describing benzodiazepine-alternative treatments for patients with SAWS are limited. The majority of literature focuses on use of phenobarbital as monotherapy or adjunctive therapy to benzodiazepines.
- **T<sub>3</sub> Recommendation 1:** Short-term, long-term, and patient-centered outcomes for clinical trials of SAWS need to be defined with input from stakeholders.
- **T<sub>3</sub> Recommendation 2:** A clinical trial network should be established to create a platform for conducting hybrid efficacy–effectiveness trials that can address the inherent challenges of clinical research for SAWS.
- **T<sub>3</sub> Recommendation 3:** Three clinical questions should be prioritized for immediate study. 1) What is the optimal first-line medication for patients with SAWS to improve outcomes such as symptom progression and death?

2) What is the most effective medication administration strategy for SAWS (e.g., symptom-triggered vs. front-loading, enteral vs. intravenous)? 3) Is protocolized and/or bundled care superior to usual care for patients with SAWS?

**T<sub>4</sub> SAWS Research**

- High-quality evidence-based treatments for hospitalized patients with SAWS do not yet exist; thus, no published data are available regarding how to best implement guideline-recommended care or monitor outcomes at the population level. Early consideration of implementation frameworks (e.g., Reach, Effectiveness, Adoption, Implementation, and Maintenance) and outcomes will help minimize the gaps between efficacious therapies for SAWS and their effective delivery to patients.
- **T<sub>4</sub> Recommendation 1:** A wide array of stakeholders (e.g., patients, caregivers, advocacy groups, community members, interdisciplinary clinicians, purchasers, payers, administrators, policy makers, and researchers) should be engaged, and nontraditional partnerships (e.g., among critical care, medical toxicology, and addiction specialists) should be established before examining an innovation’s effectiveness to accelerate the transfer of innovations into practice.
- **T<sub>4</sub> Recommendation 2:** Knowledge and infrastructure from existing critical care research networks should be harnessed to establish systems for real-time data collection and feedback spanning multiple hospitals. Through describing processes of patient care, feedback regarding performance, and interventions such as guideline distribution and progress updates, best practices can be refined and reinforced alongside clinical research activities for SAWS to homogenize care.

## Introduction

Alcohol withdrawal syndrome (AWS) is common among hospitalized patients and can be fatal without appropriate pharmacologic management (3–5). Nevertheless, high-quality evidence to guide treatment decisions for inpatient AWS is lacking (6). Studies examining treatments for inpatient AWS are limited by small sample sizes and often exclude patients with serious medical and/or surgical comorbidities (6–10), although such conditions frequently coexist with AWS in acute care and especially critical care settings.

AWS is a continuum of neurophysiologic signs and symptoms, influenced by the severity of underlying AUD and other medical conditions that can alter brain signaling pathways (11–13). AWS has been associated with increased ICU and hospital lengths of stay, hospital-acquired infections, the risk of sepsis, and in-hospital mortality (14–17).

### A Syndrome Lacking Clear Definitions

SAWS is regularly encountered and managed by ICU providers but has been inconsistently defined (18). Most clinicians and researchers would agree that SAWS is a progressive manifestation of alcohol withdrawal that often requires admission to intensive care settings for close monitoring and frequent administration of medications to address hyperautonomia (including but not limited to pyrexia, tachycardia, and/or hypertension), agitation, and/or delirium. These clinical features represent the hallmarks of SAWS, also called alcohol withdrawal delirium, delirium tremens, and “DTs” in the literature (18–20). Clear metrics and goals for pharmacologic treatment, addressing the clinical features found in hospitalized patients with SAWS, do not exist. Instead, acute management of SAWS has generally emphasized short-term outcomes, including prevention of seizures, improvement of autonomic instability, and relief of agitation (21). Longer-term treatment outcomes after episodes of SAWS such as cognitive function, engagement in care for AUD, and abstinence from alcohol remain inadequately studied.

### Existing Evidence Does Not Generalize to Hospitalized Patients

Symptom-triggered dosing schedules, sometimes known as “CIWA protocols,” are widely used to guide administration of

benzodiazepines in patients with presumed SAWS (8, 22); however, these strategies are not always appropriately applied and may pose risks to acutely ill hospitalized patients (23, 24). For example, CIWA protocols can be implemented in hospitalized patients with symptoms mimicking alcohol withdrawal, such as other forms of delirium. Hospitalized patients are also inappropriate candidates for symptom-driven pharmacotherapy if unable to verbally communicate, including individuals who already have SAWS or another incapacitating illness or individuals who require intubation (25). Alternative strategies to CIWA protocols for treating SAWS include fixed-dose or front-loading benzodiazepines (7, 26) and/or other classes of medications such as antiepileptics and antisymphathomimetics (10, 27, 28). However, the safety and effectiveness of these different approaches to managing alcohol withdrawal in hospitalized patients are poorly understood.

Benzodiazepines are considered the first-line therapy for alcohol withdrawal but must be approached with caution in acutely ill hospitalized patients, given dose-dependent associations with somnolence, respiratory depression, delirium, and mortality (29–32). Patients with AWS may be particularly vulnerable to adverse effects from benzodiazepines, given an established relationship between chronic heavy alcohol use and delirium (33–37). Tolerance to benzodiazepines among patients with heavy alcohol use is also common (12, 38) and can reduce the effectiveness of benzodiazepines as treatment. In the setting of tolerance, attempts to use of benzodiazepines (i.e., GABA agonism) at high doses to overcome glutamate-mediated withdrawal physiology (i.e., brain hyperexcitation) can initiate a sequence of suprathreshold benzodiazepine dosing, treatment toxicity, increased ICU admissions, and prolonged hospitalizations (39). Although the benefits of benzodiazepine alternatives for alcohol withdrawal have not been established in RCTs, studies of ICU patients in general suggest better clinical outcomes with use of nonbenzodiazepine sedatives (40–45). Studies of alcohol withdrawal treatment protocols commonly use a reduction in benzodiazepine exposure as a primary outcome (46–48), and there is mounting interest in using adjuvant medications such as dexmedetomidine for benzodiazepine-sparing effects (49–52). Given the reports of patients with SAWS who appear “resistant”

to escalating doses of benzodiazepines (e.g., requiring  $\geq 40$  mg of diazepam-equivalent benzodiazepines in 1 h) (39, 53), medications such as phenobarbital and propofol have gained attention as possible alternatives (28, 54–57). However, comparative-effectiveness studies of these medications in hospital settings have not been performed.

In hospital settings, no universally accepted method exists for tailoring SAWS treatments to individual patients or patient populations. Strategies vary by treating provider and/or inpatient context (e.g., emergency department vs. ICU) and patient characteristics (e.g., mechanically ventilated vs. not). Such heterogeneity highlights the need for clinical practice guidelines to improve both recognition and management of SAWS in acutely ill patients. Previous efforts to guide best practices for SAWS, and updated guidelines from the American Society of Addiction Medicine, do not offer specific recommendations for treatment of *hospitalized patients with cooccurring medical diseases* (21, 58). Instead, a consultative, multidisciplinary approach is recommended for assistance in selecting medications and/or treatment protocols for alcohol withdrawal. Although such an approach can be helpful, it raises concerns for treatment delays and misapplication of therapies that may have adverse effects. Therefore, increased understanding of the unique needs of hospitalized patients with SAWS, together with successful implementation of evidence-based practices, requires additional research.

### Research Needs Span the Translational Science Spectrum

Gaps in SAWS research span the translational spectrum—from use of animal models to approximate the complexities of human disease and support biomarker development, to predictive and prognostic enrichment strategies, rigorous clinical trials to evaluate therapies, comparative-effectiveness research, and implementation studies. Recognizing a broad array of unanswered questions affecting clinical management of SAWS, the American Thoracic Society (ATS) formed an interdisciplinary working group to identify research priorities for SAWS in each domain of the IOM T<sub>0</sub>–T<sub>4</sub> translational science spectrum (2). This report aims to 1) summarize what is known about the pathophysiology and clinical management of patients with SAWS, 2) identify key research gaps, and 3) make recommendations for

high-impact research in each domain of the translational spectrum to advance the science and care of patients who experience SAWS. The overarching goal of this research statement is to propose a pragmatic research agenda that points the way forward for basic and clinical investigators of various disciplines to collaborate on investigations that will accelerate care and improve outcomes for patients with SAWS.

## Methods

ATS members initiated this project after determining the topic of study was important and relevant given the common requirement for critical care among many patients with SAWS. The project was approved by the ATS Program Review Subcommittee and cosponsored by the Critical Care, Behavioral Science and Health Services Research, and Nursing Assemblies of the ATS.

### Committee Composition

Two co-chairs (T.L.S. and E.L.B.), who are members of the ATS, organized the *ad hoc* committee. The co-chairs sought to bring together a committee with unique but complementary research expertise related to unhealthy alcohol consumption and alcohol withdrawal with research proficiency across the translational spectrum. Invitations to participate were based in part on the publication record of potential participants. Given the interdisciplinary nature of care for patients with SAWS, committee members with diverse laboratory and clinical backgrounds were invited, including basic

scientists, pulmonary and critical care physicians, psychiatrists, addiction medicine specialists, emergency medicine physicians, medical toxicologists, surgeons, anesthesiologists, nurses, and pharmacists from both U.S. and international research communities, including members and nonmembers of the ATS. Not all who were invited could ultimately participate, and the total number of committee members was limited by funding for the project. The co-chairs continued to extend invitations until a sufficiently diverse cohort had been assembled. The final assembled committee was charged with addressing specific questions posed *a priori* relating to management of SAWS (Box 1).

The committee was subdivided into four groups to address research gaps across the translational spectrum, using the IOM classification system: T<sub>0</sub>–T<sub>1</sub> (basic research with translation to humans), T<sub>2</sub> (research translating to patients), T<sub>3</sub> (research translating to clinical practice), and T<sub>4</sub> (research translating to communities) (2). The co-chairs also solicited input from the National Institute on Alcoholism and Alcohol Abuse (NIAAA). Participants disclosed potential conflicts of interest, which were vetted and managed in accordance with ATS policies and procedures.

### Conceptual Definition of SAWS

Given the diverse descriptions of SAWS in the literature, the committee first developed a *conceptual definition of SAWS* through consensus by using a modified Delphi approach (59). Committee members were queried regarding potential SAWS

definitions by using two rounds of anonymous, online surveys. Using a modified approach, without anonymity, survey responses were then summarized during a teleconference meeting in early spring of 2019 and discussed by the committee in a broad, open-ended fashion to reach verbal consensus on a *working* conceptual definition of SAWS. The *working* definition was voted on by committee members by electronic mail immediately after this meeting (approve, approve with suggested modifications, or disapprove). During a second teleconference meeting several weeks later, committee members reviewed and discussed the *revised* conceptual definition, followed again by electronic mail voting. Finally, T.L.S. and E.L.B. presented a summary of approved changes, facilitated additional committee discussion, and conducted a final vote regarding the conceptual definition at the in-person meeting during the ATS 2019 International Conference in Dallas, Texas.

The finalized conceptual definition (Box 2) was used to focus the content of the research statement and explicitly highlights the severity of symptoms, making the need for inpatient management likely among patients who meet the definition. The conceptual definition also stresses the pathologic mechanisms of severe withdrawal physiology (i.e., aberrant central nervous system signaling) and focuses on objective, quantifiable AWS manifestations (hyperautonomia and hyperactive delirium) rather than on patient-reported symptoms included in *Diagnostic and Statistical Manual* classifications, as per recommendations from the National Institute of Mental Health Research Domain Criteria (60). The literature review and committee discussions leading to recommendations in this report also emphasized acute care and ICU hospital settings.

### Literature Search and Evaluation

Existing systematic reviews did not fully address the *a priori* research questions. As such, a broad literature search strategy was used to identify studies that evaluated pathophysiology, diagnostics, and therapeutics for SAWS. This literature search was not intended to be a systematic review but was rather intended to be a comprehensive review to provide structure for the committee's subsequent activities. A research librarian at the University of Colorado Anschutz Medical Campus

### Box 1. *A priori* research questions.

What is the relevant pathophysiology underlying SAWS?  
 What clinical endpoints should be targeted through treatment?  
 What are the limitations of current strategies for diagnosing, grading, and treating SAWS?  
 What patient factors warrant consideration in the management of SAWS?  
 What are the methodological challenges of research involving patients with SAWS, and how can these challenges be addressed?  
 How can existing clinical, research, and community infrastructure and partnerships be harnessed for the advancement of SAWS care?  
 What strategies will ensure effective dissemination and implementation of important research findings for treatment of SAWS?

SAWS = severe alcohol withdrawal syndrome.

### Box 2. Conceptual definition of severe alcohol withdrawal syndrome.

A progressive state of central nervous system hyperexcitation due to reduction or cessation of alcohol use resulting in severe signs and symptoms of hyperautonomia and hyperactive delirium.

performed a detailed search for articles relevant to SAWS published from January 1960 to March 2019 in MEDLINE (Ovid interface) by using keywords and Medical Subject Heading terms developed in conjunction with the committee (Box 3; see Tables E1 and E2 in the online supplement). Articles were excluded if they were unavailable in English or if the full text was unavailable online, given cost and time constraints. Ultimately, 251 records were retrieved. The committee co-chairs reviewed all identified publications and subdivided them into the four translational groups (i.e., T<sub>0</sub>–T<sub>1</sub>, T<sub>2</sub>, T<sub>3</sub>, and T<sub>4</sub>) on the basis of content. These initial articles formed the foundation of SAWS literature for the committee to build on. Subsequently, committee members were tasked with identifying additional studies of relevance, adding 36 additional references pertaining to SAWS pathophysiology, rating scales, risk stratification, and health services in April 2019. The committee members reviewed all references pertaining to their unique section and supplemented these references with their own search strategies. Finally, additional references were added through the spring of 2021 as new articles were published over time (adding 23 additional references).

Each of the four sections, led by a section leader (S.E., M.A., S.E.J., and C.T.), met separately via teleconference in the

spring of 2019 to review and synthesize the literature regarding the *a priori* research questions for SAWS assigned to their translational domain. Each section generated a current “state of the research” for the four translational domains, delineating notable gaps in the literature for discussion at the in-person meeting.

#### Knowledge Gaps

At the in-person meeting in May 2019, each of the four section leaders briefly provided a synopsis of the available literature regarding research questions assigned to their section/translational domain. The section leaders identified preliminary knowledge gaps, which were vetted and expanded on by the full committee through discussion and consensus. The four sections then convened separately in breakout sessions to further define and delineate urgent research priorities. Finally, the entire committee reconvened to present refined concepts of needed research for SAWS. The meeting was recorded, and the co-chairs and section leaders took notes, which were later used in document development.

#### Document Development

One chairperson (T.L.S.) drafted an outline of the research statement and circulated the outline to section leaders, who were tasked with drafting their sections of the

manuscript. Notes from the in-person meeting held in May 2019 were available as needed. The co-chairs drafted all additional content with input and editing by committee members. The full committee reviewed the final draft of the manuscript and provided iterative feedback and revisions. After additional review and revision by the co-chairs and approval of the manuscript by committee members, a final draft was submitted to the ATS executive committee.

### Section 1: Pathophysiology of SAWS and Development of Novel Therapeutics (T<sub>0</sub>–T<sub>1</sub> Research)

Basic science research, classified within the T<sub>0</sub> and T<sub>1</sub> research domains, has driven numerous advances in the understanding of alcohol-related pathophysiology (61). Investigation of nervous system dysfunction after chronic alcohol consumption has grown exponentially in neuroscience research (62–65). Over the past decade, preclinical work has successfully promoted new translational strategies aimed at treatment of pathologic craving and escalated drinking patterns in patients with AUD (66–69). SAWS is a highly morbid manifestation of AUD that could be successfully examined by using a similar translational strategy.

At the preclinical level, the biological mediators and consequences of alcohol withdrawal have been delineated by using multiple approaches, ranging from *ex vivo* brain-slice recordings to whole-animal behavior (70–72). Fortunately, substantial construct and translational validity exists in animal modeling of SAWS for the human condition (73, 74). This is particularly true for objective symptoms like seizures and tachycardia (75, 76) but may also be true for subjective alterations in negative affective states such as increased irritability and anxiety-like behavior mimicking delirium, which manifest in later stages of SAWS (77, 78).

#### GABA and Glutamate Neuroadaptation

SAWS symptomatology is intricately tied to the neuropharmacologic effects of alcohol and opponent physiologic processes that manifest as withdrawal during periods of abstinence (72). Research in this area is

### Box 3. Features of the literature review used to construct the research statement.

**Inclusions:** General—alcohol, alcohol withdrawal, alcohol dependence, alcohol use disorder, delirium tremens, withdrawal delirium, withdrawal seizure, intensive care, critical care, hospital, inpatient, Clinical Institute Withdrawal Assessment for Alcohol, Richmond Agitation–Sedation Scale, delirium, detox\*, refractory, complicated, severe, resistant\*  
 Drugs—benzodiazepine, chlorthalidopoxide, lorazepam, diazepam, midazolam, phenobarbital, dexmedetomidine, ketamine, propofol, clonidine, carbamazepine  
 Neurobiology— $\gamma$ -aminobutyric acid, glutamate, *N*-methyl-D-aspartate, hyperexcit\*

**Exclusions:** Non-English, not full text, data prior to 1960, outpatient setting

Asterisks are truncation operators.

generally framed in terms of *allostatic adaptation to chronic alcohol consumption*, defined as a neuroadaptive process of maintaining stability in brain reward systems in the face of challenge by alcohol (79, 80). Alcohol functions as a dose-dependent central nervous system depressant through its ability to alter neurotransmission across multiple brain regions, including the amygdala, hippocampus, frontal cortex, and brain stem nuclei (81). Acute alcohol intoxication principally facilitates GABAergic (inhibitory) signaling and reduces glutamatergic (excitatory) activity, producing sedation, anxiolysis, and behavioral disinhibition (82). Among individuals with chronic and heavy alcohol use, counterregulatory neuroadaptations in GABA and glutamate signaling become manifest during periods of abstinence, driving SAWS-related clinical effects in an allostatic fashion (80, 83). Across several preclinical animal models, chronic alcohol exposure is associated with *increased N-methyl-D-aspartate receptor subunits and function* (84–89) as well as with complementary *decreases* in GABA receptor subunits and function (90, 91). These neuroadaptations generate brain hyperexcitability during alcohol withdrawal, measured in rodents via EEG and electrophysiologic recordings (75, 92), which can be mitigated by medications with GABAergic and/or ant glutamatergic activity (93–96).

### Kindling

Repeated cycles of intoxication and withdrawal directly damage frontal cortex neurons through glutamate-mediated excitotoxicity. The resulting brain injury is incompletely described but may result in loss of executive function and sensitization to further episodes of alcohol withdrawal (97). Ballenger and Post (98) called this phenomenon a “kindling effect.” Supporting their original hypothesis, animal studies have since demonstrated progressive EEG abnormalities in recurrent episodes of withdrawal, which are responsive to proactive treatment in the early stages but are later characterized by increasing resistance to pharmacotherapy (99, 100). This relationship may also explain continuous drinking patterns among individuals with AUD as a reinforcement mechanism and self-medication strategy (101).

### Other Neuromodulatory Systems

Despite a strong focus on GABA and glutamate systems in the existing literature, neuroadaptations beyond these neurotransmitters are essential to the pathophysiology of SAWS and warrant further investigation to improve on current treatment strategies, which largely rely on benzodiazepines (28). The importance of identifying therapeutic targets beyond benzodiazepines is underscored by adverse effects associated with use of benzodiazepines in hospitalized patients (29–32). In addition, tolerance may render this class of medication ineffective for SAWS in certain patients (102), mediated by alcohol’s interaction with the GABA<sub>A</sub>/benzodiazepine receptor complex (103).

Apart from mechanisms involving GABA and glutamate, multiple hormonal and neuromodulatory systems act as higher-level regulators of excitatory and inhibitory neurosignaling during SAWS (104–106). Alcohol dysregulates several major neuropeptide systems in the brain, including CRF (107). CRF receptor signaling mediates both increased pain sensitivity and irritability-like behavior observed during withdrawal in alcohol-dependent rodents (77, 108). CRF modulation of glutamatergic and GABAergic signaling is altered by chronic alcohol exposure and subsequent withdrawal (109, 110). CRF also potentiates neuroimmune signaling (111). Furthermore, the cytokine/chemokine factors IL-6 and CCL2 modify neuronal excitability during alcohol withdrawal (78, 112, 113). Therefore, mechanisms of neuropeptide and neuroimmune dysregulation during alcohol withdrawal remain worthy of additional investigation (114, 115).

Alcohol has significant effects on ligand- and voltage-gated channels in the brain (i.e., potassium, calcium, and hyperpolarization-activated cyclic nucleotide-gated channels) (116–119). Ongoing studies are determining how these channels are altered at both transcriptional and posttranslational levels during SAWS. Second messenger systems such as PKA (protein kinase A) and PKC (protein kinase C) play important roles in posttranslational modulation of ion channel proteins, affecting channel function and/or surface expression (120). The regulation of channel expression and function that potentiates neuronal hyperexcitability during SAWS likely depends on the regions of the brain where they are expressed (121–126). These region-specific alterations underscore

the need for high-resolution electrophysiologic and pharmacologic studies of neurocircuits vulnerable to SAWS-related hyperexcitation. Targeting diverse channel types and cellular messaging pathways affected by alcohol using specific pharmacologic strategies may complement existing therapeutics for SAWS and/or lead to the development of novel medications (126). Although long-term modulation of some molecular messengers implicated in SAWS may have deleterious effects, selective or short-term modulation during the vulnerable period of SAWS may ultimately prove safe and beneficial.

### Sex Differences in SAWS Pathophysiology

A body of literature derived primarily from rodent model studies suggests that sex is an important biological factor influencing disease manifestations in SAWS (127–129). Male rodents exhibit more severe symptoms of alcohol withdrawal than female rodents, including greater seizure susceptibility (i.e., kindling) and slower recovery from seizure (130–132). Sex differences in alcohol withdrawal-related anxiety-like behavior—greater in male rodents and more pronounced in adults than in adolescents—may relate to differences in steroid hormone signaling (120, 133), with increased cortisol levels during alcohol withdrawal demonstrated in male versus female rodents (134) and protective effects of progesterone and endogenous neurosteroid activity demonstrated in female versus male rodents (135, 136). Female rodents also display increased levels of glutamate transporters during alcohol withdrawal that confer protection against excitotoxicity (137). In contrast, male rodents exhibit persistently increased glutamate channel subunits during withdrawal that correlate with greater seizure susceptibility (90, 130). Research examining sex differences in the relationship between seizure liability and the neurotoxic and neurodegenerative effects of chronic alcohol exposure is ongoing (138). Sex as an effect modifier of SAWS pharmacotherapy requires further investigation to determine whether these rodent observations translate to human pathophysiology.

### Challenges

The primary obstacles to advancing T<sub>0</sub>–T<sub>1</sub> SAWS research include the lack of a precise definition of SAWS and delineation of time points associated with clinical progression of

SAWS. The distinct features of AUD, physical/somatic dependence, withdrawal, and SAWS do not have specific biological correlates or animal models that clearly recapitulate these conditions. In this regard, a particular challenge for basic scientists is the lack of readily accessible biomarkers to support early identification and prevention of SAWS. Greater progress and further insight might be achieved by following recommendations from the National Institute of Mental Health, which launched its Research Domain Criteria framework in 2009 to better organize diagnostic and research efforts toward valid objective criteria and away from homogeneous constructs and classifications associated with *Diagnostic and Statistical Manual* classifications (60).

Another challenge for application of preclinical research to patients with SAWS is the preponderance of comorbidities in this population, including acute medical and surgical illnesses and polysubstance use (139, 140). The common occurrence of polysubstance use in the setting of SAWS has been partially addressed by recent efforts from the Collaborative Research on Addiction at NIH, which aims to support integrative investigations across used substances. As a result, additional research funding is now available to understand how diverse substances such as nicotine and opioids interact with alcohol to modify SAWS vulnerability, including neurobiological mechanisms of tolerance across substances (141–143); however, animal models of other clinically relevant cooccurring conditions, such as sepsis, trauma, and organ failure, that are complicated by SAWS, have not been developed.

### Recommendations for Future Basic Science Research

Recommendations for research to advance understanding of SAWS at the basic science level ( $T_0$ – $T_1$  domains) include improving the pathophysiologic understanding of SAWS and the development of preclinical models to promote clinically relevant mechanistic research.

**1) Broaden the scope of SAWS pathophysiology** The first charge is to explore neuromodulatory systems beyond GABA and glutamate to improve diagnostic capabilities and to develop novel therapeutic options. In addition to GABA and glutamate, other factors are capable of regulating the balance of excitatory and inhibitory signaling in the context of alcohol dependence and

withdrawal, including neuropeptide, cytokine/chemokine, and alternative ion channel mechanisms (Figure 1). Future experimental strategies should focus on examining cellular communication within and between distinct brain regions dysregulated in SAWS, in a strategy similar to those used to investigate epilepsy (144, 145). As one recent example, Lee and colleagues (125) used Designer Receptors Exclusively Activated by Designer Drugs technology to activate and inhibit hippocampal cells, leading to increased and decreased epileptiform activity, respectively, during alcohol withdrawal. Similar circuit-based approaches are being used to examine hyperalgesia and anxiety-like behavior during alcohol withdrawal, which may generate novel circuit-based avenues for treatment (146, 147).

**2) Tailor preclinical models to the patient experience** The second major recommendation is to refine preclinical animal models to recapitulate the full symptomatology of patients with SAWS by using stratification by sex to understand the contexts in which sex differences matter most. The search for reliable methods to produce blood alcohol concentrations that are sufficient to mimic intoxication and relevant comorbidities observed in AUD and SAWS has been challenging. One potentially valuable method is the chronic intermittent alcohol vapor procedure (148, 149), an exposure protocol that can be paired with complementary investigative measures, including volitional alcohol self-administration (150), somatic withdrawal (151), autonomic system potentiation (76, 152), traumatic brain injury and neuroinflammation (153), and cognitive deficits (154). In the future, such exposure paradigms could be used to model comorbid conditions (e.g., sepsis, trauma, organ failure) and important clinical outcomes (e.g., long-term cognitive function) in the setting of SAWS (155). Although the vapor model may not completely recapitulate all organ-specific alterations accompanying oral alcohol intake (e.g., gastrointestinal effects) (156–159), it allows for cyclic periods of intoxication interspersed with various lengths of forced abstinence and, as such, can experimentally recreate human drinking patterns that increase SAWS susceptibility (160). Automated vapor exposures are also relatively straightforward to sustain over a period of weeks or months and may therefore support investigations to inform

the timing and efficacy of prophylactic interventions for SAWS. The potential for experiments using extended alcohol exposure is notable because early detection and treatment of SAWS can reduce morbidity and mortality (19, 161).

## Section 2: Translation of Research to Patients ( $T_2$ Research)

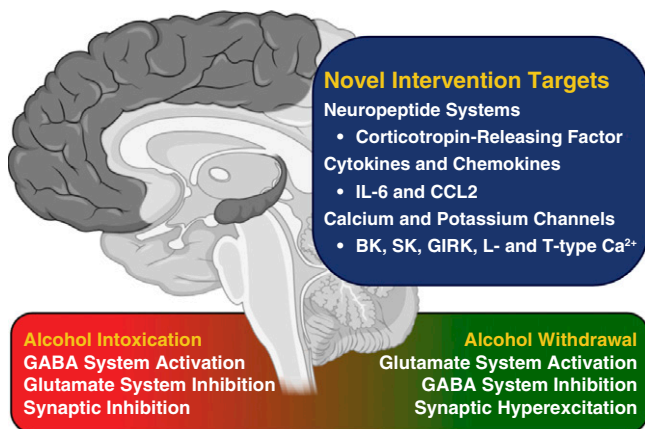
Experts agree that early identification and treatment of patients at risk for SAWS would improve patient outcomes, but there is little consensus regarding the optimal approach for risk stratifying hospitalized patients according to their likelihood of developing SAWS. An *operational definition* could support early identification of patients at risk for SAWS for both clinical and research purposes. Internally and externally valid operational definitions created for a variety of syndromes in critically ill patients (e.g., sepsis, acute respiratory distress syndrome) have facilitated research and advanced patient care (162–164). SAWS investigations would similarly benefit from an operational definition that could be used to identify cases with high interrater reliability, reduce study heterogeneity, and allow clinical trials to build off one another, ultimately improving care for patients.

Numerous studies have sought to understand and develop reliable predictors and assessment tools for SAWS (18, 53, 165–179). Unfortunately, few have been validated and subsequently employed in clinical studies. Interpretation of study outcomes remains limited by varying definitions of SAWS, as well as small sample sizes and single-center study designs (18, 179). To date, no diagnostic tools for SAWS have been validated in general hospital and/or ICU settings. Although early identification and prediction of disease manifestations are being increasingly applied to other ICU conditions (180–182) and are likely applicable to SAWS, appropriate resource allocation and management strategies for SAWS remain challenging without reliable algorithms to predict which patients are at risk for symptom progression.

### Risk Factors and Predictors of SAWS

Between 15% and 30% of hospitalized patients have an alcohol-related condition (183–185). For many, treatment of the





**Figure 1.** Novel intervention targets for severe alcohol withdrawal syndrome beyond GABA and glutamate. BK = large conductance calcium-activated potassium channel, CCL2 = C-C motif chemokine ligand 2; GABA =  $\gamma$ -aminobutyric acid; GIRK = G protein-coupled inwardly-rectifying potassium channel; L-type Ca<sup>2+</sup> = high voltage-activated calcium channel; SK = small conductance calcium-activated potassium channel; T-type Ca<sup>2+</sup> = low voltage-activated calcium channel.

primary diagnosis necessitating hospitalization (e.g., infection, trauma, organ failure, etc.) becomes the focus of inpatient care, whereas addressing the underlying AUD is not prioritized. Comprehensive validated screening and triage tools are needed to identify patients with AUD who are at risk for SAWS and are likely to require high levels of care (e.g., ICU care). Current literature indicates that patients with a known AUD, patients with a history of prior AWS, or those with heavy alcohol consumption before an alcohol-related hospitalization are at highest risk for SAWS (186, 187).

Few studies have prospectively evaluated risk factors for SAWS, and heterogeneous inclusion criteria across published studies likely contribute to their inconsistent findings (18, 179). A recent meta-analysis assessing predictors of SAWS highlighted the Prediction of Alcohol Withdrawal Severity Scale (PAWSS) as a useful screening tool (179). The PAWSS is the only alcohol withdrawal prediction tool that has been developed and tested in hospitalized medical and surgical patients (177). In a cohort of hospitalized patients with a 5% prevalence of AWS, the PAWSS demonstrated positive and negative predictive values above 90% for alcohol withdrawal requiring pharmacotherapy (178). However, prospective validation of the PAWSS excluded patients with relatively severe alcohol withdrawal, including those

with a revised CIWA-Ar score  $\geq 20$  and patients who were unable to communicate, representing many if not most ICU patients with SAWS. In addition, predictive metrics of the PAWSS may have been biased because the reference group of those with true-positive results included patients with alcohol withdrawal severe enough for providers to treat.

Other risk factors for SAWS have been inferred from small, retrospective studies lacking separate validation cohorts. Importantly, the strongest identified predictors of SAWS include previous hospitalizations complicated by SAWS (e.g., prior episodes of severe withdrawal), diagnosis of AUD, and heavy alcohol consumption as measured by using the Alcohol Use Disorders Identification Test (AUDIT) (170, 172, 176, 188–190). In one meta-analysis, a history of delirium tremens had a likelihood ratio of 2.9 for the development of SAWS and was a stronger predictor than a history of withdrawal seizures (179). Other variables, including demographic characteristics, vital signs, laboratory values, and comorbidities, have been reported, but findings were mainly from small retrospective studies. Among this list of reported variables are an elevated systolic blood pressure, a blood alcohol concentration above 200 mg/dl, elevated blood urea nitrogen, hypokalemia, and thrombocytopenia (18, 170, 172, 175, 191–194). Few studies have examined how

differences in patient characteristics (e.g., demographic data, laboratory values, vital signs, mental status, medical history) influence the utility of existing tools for predicting SAWS. Measurable inpatient variables may be confounded by the effects of comorbid conditions that commonly coexist in acutely ill patients, diminishing their potential utility for the assessment of SAWS risk. The potential for misclassification should also be considered a limitation in applying these findings to critically ill patients, and further studies are needed to demonstrate their added value.

### Diagnosis and Disease Severity

Early and aggressive titration of pharmacotherapy guided by clinical effects is necessary to improve treatment outcomes, but among hospitalized patients, psychometric evaluation of SAWS is complicated. Hospitalized patients often have physical ailments and/or barriers precluding verbal communication (e.g., mechanical ventilation). To achieve the clinical benefits of symptom-triggered management, objective scales must be used that do not rely on patient-reported symptoms (183).

The CIWA-Ar is the most commonly described tool for grading the severity of AWS. The original CIWA scale was designed for alcohol withdrawal research (as opposed to clinical practice) and was validated in select cohorts of patients with mild-to-moderate alcohol withdrawal and no acute comorbidities (including seizures) (195). The CIWA was not designed to diagnose or grade disease severity in hospitalized patients with SAWS. Nevertheless, the CIWA-Ar scale has been used in ICU patients and continues to rely on patient self-report of gastrointestinal symptoms, tactile and auditory disturbances, anxiety, and headache (22, 25, 186, 196, 197).

Treatment studies use various CIWA-Ar thresholds (of 8–20) to initiate pharmacotherapy and monitoring for patients at evaluation intervals ranging from every 10 minutes to four times daily (177, 178, 185). No study has documented a relationship between the frequency of assessments and patient outcomes. Patients receiving mechanical ventilation have been excluded from clinical investigations apart from three studies: two included patients intubated after the onset of SAWS (7, 172), and a third study considered mechanical ventilation a complication of SAWS pharmacotherapy (198).

The Sedation–Agitation Scale (SAS) is an alternative tool for grading alcohol withdrawal severity that is not reliant on patient self-report and has been used in ICU settings (199–201). Two studies used the SAS to titrate pharmacologic therapy as part of an alcohol withdrawal prevention protocol (7, 202). A score  $\geq 5$  triggered pharmacologic intervention with a goal therapeutic score of 3–4. The Alcohol Withdrawal Scale, adapted from the SAS for use in medical ICU patients, contains six physical examination findings on a 0–3 scale (186). The BAWs further modified the Alcohol Withdrawal Scale for brevity and improved objectivity (203, 204), removing pulse and adapting the definition of agitation from the RASS (205). A BAWs score of 3 or more predicted a CIWA-Ar score  $\geq 8$  with a sensitivity and specificity of 85% and 66%, respectively (203). Like other assessment tools, the BAWs was mainly developed and tested in patients with mild-to-moderate alcohol withdrawal (only 2.1% of the study sample had SAWS) (204), although a recent treatment study used a BAWs score  $\geq 6$  to define cases of SAWS (206). Other withdrawal scales have been developed and reported but remain unvalidated in patients with SAWS (173, 189, 201, 207, 208).

### Challenges

Several challenges that preclude accurate and reliable identification of patients with SAWS exist. In over 95% of cases, alcohol withdrawal is a secondary reason for hospitalization (177, 178, 209), resulting in possible misclassification, and vital signs, laboratory findings, and other objective data that are potentially confounded by concurrent illness. For example, delirium is common among hospitalized patients. Distinguishing SAWS-related delirium from other etiologies (including multifactorial delirium) is difficult. This complicates traditional teaching and understanding regarding descriptions of delirium tremens as the *sine qua non* of SAWS. In the context of delirium, the patient history and subjective data can be unreliable, limiting the utility of predictors and rating scales that are reliant on patient self-report (e.g., PAWSS and CIWA-Ar). Within cohorts of patients with SAWS, different phenotypes may benefit from alternative treatment pathways. For example, a younger patient with concurrent use of opioids may require unique pharmacotherapy compared with an older

patient with decompensated cirrhosis and hepatic encephalopathy.

### Recommendations for Research to Improve Patient Care

Reliable identification of hospitalized patients at risk for SAWS is an important precursor to both proactive medical management and clinical research. Clinical tools for SAWS should achieve the following: 1) reliable diagnosis, 2) anticipation of escalating care needs, and 3) guidance for tailoring pharmacotherapy. Prior experience in critical care applications of triage tools like the quick Sepsis-related Organ Failure Assessment (qSOFA) can be informative. The qSOFA was derived from retrospective data and underperformed in external validation studies, highlighting the need for independent validation of prediction models for SAWS (210). Diagnostic and prognostic tools should follow the guidelines set forth by the Transparent Reporting of a Multivariable Prediction Model for Individual Prognosis or Diagnosis Initiative before dissemination and implementation (211). Because the prevalence of at-risk patients may vary greatly across clinical settings, future studies should focus on hospitalized populations in which the risk of SAWS is measurably high. Researchers should also test and validate predictive models of SAWS in multicenter clinical trials and observational studies, emphasizing inclusion of patients with diverse demographic characteristics to enhance generalizability. Overall, research that clarifies the following two areas of inquiry will provide an important foundation for subsequent clinical trials.

1) **Use the EHR to create an operational definition of SAWS** EHR-based phenotypes offer a way to overcome the challenges associated with early identification of patients at risk for SAWS (212). A “computable phenotype” is a clinical condition that can be determined solely by using EHR data. With validated computable phenotypes, health systems could have an unprecedented ability to monitor and surveil patients at risk for SAWS in real time. Furthermore, researchers could identify representative samples of patients for inclusion in clinical trials.

As of 2017, over 95% of hospitals in the United States had adopted an EHR and over 80% had incorporated electronic clinical notes (213–215). Clinical decision support and intelligent data-driven alerts are now part of federal incentive programs promoting

interoperability (216), although the quality and practice of EHR coding for AUD and AWS continue to vary (5). With increasing capacity for EHR data and financial incentives to improve the quality of care, health care is entering a digital age with more advanced computational methods to improve case identification and care throughput for SAWS. Further exploration of baseline (outpatient) characteristics available in the EHR that predict SAWS should be prioritized. Giving inpatient providers easy access to existing information (e.g., drinking history documented by primary care providers) could facilitate comprehensive and efficient inpatient care.

There are no existing recommendations regarding how to examine and prioritize SAWS phenotypes derived from readily available data within the EHR. Box 4 contains two rule-based, consensus-derived computable phenotypes generated by the committee to support identification of 1) patients at risk for SAWS and 2) patients in whom SAWS is likely present. These operational definitions incorporate clinical and therapeutic data that are readily available in the EHR and previously studied in the literature, offering face validity. Testing, refinement, and external validation are important next steps (38, 39, 217).

The ability to accurately and efficiently identify patients at risk for or presenting with SAWS represents a critical need in screening and enrollment for clinical investigations. In retrospective observational studies, patients with an initial CIWA-Ar score  $> 10$  had a five- to sixfold increased risk of developing SAWS (171, 218). In a small prospective study of 19 hospitalized patients who were at risk for alcohol withdrawal, 10 developed delirium as measured by using the Confusion Assessment Method for the ICU (CAM-ICU), and CIWA-Ar scores were between 10 and 15 by the second day of hospitalization (219, 220).

Importantly, evidence-based guidelines supporting the best strategy for identifying patients with SAWS do not exist. Review of the available literature by this committee suggests that a threshold of  $> 15$  on the CIWA-Ar scale is appropriate. Nevertheless, employing CIWA-Ar scoring or other strategies for proactive SAWS identification will benefit from further research to refine and optimize their use. Box 4 highlights comparable scores across a selection of alcohol withdrawal severity scales for further consideration. In addition to available

scoring systems, a 40-mg diazepam-equivalent cumulative dose of benzodiazepines in 1 hour is included in the operational definition of SAWS on the basis of a multicenter study in hospitalized patients (39). Other studies suggest that higher diazepam-equivalent doses may indicate severe withdrawal physiology; however, the operational definition is intended to be sensitive rather than specific. Further research will be critical to validate this approach.

**2) Establish simple and objective tools for risk stratifying and grading the severity of alcohol withdrawal** A growing body of literature suggests that the use of ethanol biomarkers could be expanded for early identification of patients at risk for SAWS. Direct ethanol biomarkers such as phosphatidylethanol (PEth), ethyl glucuronide (EtG), and ethyl sulfate (EtS) demonstrate better testing characteristics than previously studied indirect biomarkers such as CDT (carbohydrate-deficient transferrin), GGT ( $\gamma$ -glutamyltransferase), the mean corpuscular volume, and liver aminotransferases (AST/ALT) (221–226). PEth, in particular, has been shown to identify patients with heavy alcohol use (221, 223, 227) and can be used to discriminate between severe and nonsevere AUD in ICU patients (224). There is limited research examining the use of PEth, EtG, and EtS to identify AUD in hospital settings, where patients at risk for SAWS are relatively common. The predictive validity of direct ethanol biomarkers for defining the risk of SAWS has not been reported, and evidence for using indirect biomarkers for risk stratification is sparse (228). Therefore, additional research to expand the use of direct ethanol biomarkers for SAWS identification is recommended. PEth, EtG, and EtS should be examined alone and in combination with other serum biomarkers. Direct ethanol biomarkers could facilitate point-of-care triage if proven to reliably identify patients who will require higher levels of care (e.g., ICU care), ultimately circumventing the need for patient self-reporting.

A simple and objective assessment tool for grading the severity of SAWS in hospitalized patients represents a critical need for future research and clinical advancement. The RASS may be a particularly advantageous option given its bidirectionality (i.e., ability to identify under- and overtreatment) and established

widespread use in ICU settings for titrating sedating medications (229). Other agitation–sedation scales used in the ICU, such as the Riker scale, have been used in alcohol withdrawal treatment studies but have not been directly examined as part of an operational definition of SAWS (230). Future studies should compare the utility of commonly used ICU agitation–sedation scales to existing tools that are specific for alcohol withdrawal. Consideration of novel strategies that can adequately address the complex comorbidities of acutely ill hospitalized patients will also be important.

Universally accepted diagnostic and prognostic tools are needed to facilitate comparisons between new and existing pharmacotherapies for SAWS. Tools that facilitate consistent and accurate measurement of treatment responses would enable clinicians to tailor medications to individual patients. In most clinical studies of SAWS, research has focused on treatment modalities rather than on clinical assessment tools. If measures of SAWS are to improve, they must be subjected to more rigorous research that is designed specifically to assess their reliability and validity in studies that are sufficiently powered. Meeting these scientific standards will lend necessary rigor to future therapeutic trials.

### Section 3: Establishing Best Practices to Improve Clinical Outcomes (T<sub>3</sub> Research)

No multicenter RCTs have evaluated the impact of different treatments for SAWS on clinical outcomes in hospitalized patients. Commonly used treatment strategies are extrapolated from small studies of patients admitted to detoxification units without acute comorbidities or severe manifestations of alcohol withdrawal. In this section, the existing literature on clinical therapies for SAWS is examined, methodologic challenges of performing clinical trials in patients with SAWS are described, and recommendations for T<sub>3</sub> research are outlined with the goal of establishing evidence-based practices for management of SAWS in hospitalized patients.

#### Choice of Medication for Treatment of SAWS

Insufficient data exist to guide the initial choice of medication for treatment of SAWS

(6). Studies of patients with uncomplicated alcohol withdrawal suggest that multiple classes of medications, including benzodiazepines and barbiturates, may be reasonable first-line strategies (21). Extrapolation of data derived from patients enrolled in detoxification units provides insufficient guidance for treating hospitalized patients with more severe clinical signs and symptoms and/or active comorbid illnesses. Efficacy trials are therefore needed to clarify the preferred first-line therapy within different clinical phenotypes commonly represented among hospitalized patients with SAWS (e.g., differing illness trajectories and acute organ dysfunctions).

#### Benzodiazepine Dosing Strategies

Benzodiazepine dosing strategies for treatment of alcohol withdrawal include fixed-dose, symptom-triggered, and front-loading regimens (7, 8, 26, 46, 58, 231–233). Fixed-dose regimens consist of a predetermined dose of a benzodiazepine administered at regular intervals and gradually tapered over a period of days. Symptom-triggered dosing employs a more reactive approach, with the dose and frequency of benzodiazepine administration tailored to the severity of withdrawal as determined by using an alcohol withdrawal clinical assessment tool (e.g., the CIWA-Ar). Front-loading regimens use a proactive, concentrated dosing strategy, including several escalating doses or continuous infusion of a benzodiazepine (often with adjunctive medications like phenobarbital) over a short period of time.

Fixed-dose and symptom-triggered regimens have been studied in patients with AUD enrolled from detoxification units. In this specific setting, randomization to symptom-triggered therapy rather than to fixed-dose therapy resulted in shorter treatment duration and reduced cumulative exposure to benzodiazepines (8, 46); however, prospective comparisons of benzodiazepine dosing strategies have not been examined in patients with acute or critical illness. In ICU settings, the majority of evidence guiding treatment derives from preimplementation–postimplementation studies of front-loading protocols for management of SAWS. These studies suggest that front-loading strategies are associated with faster control of alcohol withdrawal symptoms, shorter ICU stays, and lower rates of intubation than usual care (7, 234, 235).

#### Box 4. SAWS Operational Definitions: At Risk for SAWS and SAWS Is Likely.

**Definition of patient at risk for SAWS:** (+) Blood alcohol concentration OR ICD code for alcohol-related conditions (prehospital or at admission) OR evident heavy alcohol use in the past 30 days OR EHR order for CIWA-Ar/withdrawal assessment tool/order for similar scale indicating provider concern for alcohol withdrawal\* OR PAWSS  $\geq 4$ .

**Definition of patient in whom SAWS is likely:** At risk for SAWS AND CIWA-Ar  $\geq 15^{\dagger}$  OR i.v. diazepam-equivalent benzodiazepine  $\geq 40$  mg in 1 hour<sup>‡</sup> AND exclusion of encephalopathy due solely to other causes (cirrhosis, sepsis, metabolic derangement, etc.).

\*An order for patient monitoring using an alcohol withdrawal severity scale suggests a heightened clinical concern for alcohol withdrawal but is not a diagnostic criterion for SAWS. <sup>†</sup>Brief Alcohol Withdrawal Scale score of 6 OR Alcohol Withdrawal Scale score of 10 OR Richmond Agitation–Sedation Scale score of +2. Other scales also exist. <sup>‡</sup>Forty milligrams of diazepam-equivalent benzodiazepine in 1 hour is considered a reasonable threshold to detect SAWS. The optimal dose threshold requires additional validation. CIWA-Ar = Clinical Institute Withdrawal Assessment for Alcohol–Revised; EHR = electronic health record; ICD = International Classification of Diseases; PAWSS = Prediction of Alcohol Withdrawal Severity Scale; SAWS = severe alcohol withdrawal syndrome.

Three single-center retrospective studies have addressed outcomes related to standardized benzodiazepine protocol implementation in ICU patients with SAWS (7, 234, 235). Two studies employed escalating doses of benzodiazepines and used phenobarbital as an adjunctive therapy (7, 235). The third study evaluated outcomes after transitioning from continuous infusion of benzodiazepines (usual care at the study site) to a front-loading protocol tailored to the severity of withdrawal (234). All three studies observed clear advantages in the protocol intervention group, including improved withdrawal management and reduced cumulative benzodiazepine exposure. In the two studies that used phenobarbital adjuvant therapy, patients had a reduced need for mechanical ventilation (7, 235). Overall, these studies support the use of benzodiazepine front-loading strategies guided by withdrawal severity and early adjunctive therapy with phenobarbital for management of SAWS. However, more rigorous study designs in diverse patient populations are needed to establish the safety, and effectiveness of these approaches.

Benzodiazepines differ in terms of onset and duration of action, dosing, metabolism,

and available formulations, all of which should be considered when selecting a benzodiazepine for the treatment of SAWS in a given patient. Diazepam is a commonly used and studied benzodiazepine for treating alcohol withdrawal, having both enteral and intravenous formulations and a rapid onset of action. However, some patients with AUD have underlying liver dysfunction. Diazepam oxidation and metabolism of active metabolites occurs via the liver, and thus liver dysfunction may prolong drug effects. An alternative medication is lorazepam, which is also well studied, metabolized via hepatic glucuronidation (less commonly impaired), and does not have active metabolites. Chlordiazepoxide, a long-acting benzodiazepine commonly used and studied in specialized addiction settings, allows patients to “self-taper” during the course of treatment, but its use is limited in hospital settings by the lack of an intravenous formulation.

#### Benzodiazepine Resistance

Despite receiving escalating doses of benzodiazepines, some patients with SAWS do not experience adequate symptom control. Mechanisms of cross-tolerance with alcohol at the GABA<sub>A</sub> receptor (see OTHER NEUROMODULATORY SYSTEMS in SECTION 1)

have been implicated to explain this observation (38). The suboptimal response is often termed “benzodiazepine resistance.” In this context, administration of alternative medications as a first-line or adjunctive therapy may achieve faster symptom control than further escalation of benzodiazepine dosing (236, 237). Although there is no consistent definition of benzodiazepine-resistant SAWS, data suggest a high cumulative dose of a benzodiazepine administered over a short period of time (e.g., 40 mg diazepam-equivalents within 1 h) without resolution of SAWS symptoms characterizes benzodiazepine-resistant physiology (38, 39). Treatment choices for patients with SAWS that is refractory to benzodiazepines vary widely (238). Evaluation of benzodiazepine-alternative medications for benzodiazepine-resistant SAWS will be critical to establish whether morbidity associated with standard management approaches (e.g., intubation, prolonged ICU care) can be improved. Specific alternative therapies are detailed in Table 1, including the advantages and disadvantages of each medication and studied doses. Of note, antipsychotics are not included in Table 1, as they have been shown to precipitate or exacerbate seizures and are not considered an alternative therapy (58).

#### Benzodiazepine-Alternative GABAergic and Antiglutamatergic Medications

Nonbenzodiazepine medications targeting the main pathophysiologic mechanisms of alcohol withdrawal (GABAergic and/or antiglutamatergic effects) include ethanol, ketamine, propofol, and phenobarbital. These medications could theoretically serve as monotherapy alternatives to benzodiazepines for the initial management of SAWS (7, 238–243). Treatment of alcohol withdrawal by using ethanol is controversial. Data comparing its use (enteral or intravenous) to benzodiazepines in early/mild withdrawal have shown no clear differences in short-term clinical outcomes (202, 244, 245). The American Society of Addiction Medicine specifically recommends *against* the use of ethanol for the treatment of alcohol withdrawal in any context (58). There are few studies of ketamine for management of SAWS. Existing data suggest an association between the use of ketamine and decreased ICU and hospital length of stay (241). Data regarding propofol therapy for SAWS are limited to retrospective cohort

studies. One study suggested that propofol may be effective for SAWS when used as a monotherapy (246). Multiple case reports describe successful use of propofol for management of benzodiazepine-resistant SAWS (55, 237). In other studies, however, when propofol was used as an adjuvant to benzodiazepines, the time to resolution of symptoms, duration of mechanical ventilation, and hospital and ICU length of stay were all increased in comparison with when benzodiazepine was used as a monotherapy (7, 238, 239). Importantly, these findings may be confounded by the severity of illness in patients who require propofol during management of SAWS, and may not be a direct consequence of the medication. Unlike patients receiving other pharmacologic therapies for SAWS, patients receiving propofol generally require mechanical ventilation.

A growing body of research supports phenobarbital as a monotherapy or adjunctive therapy to benzodiazepines for management of SAWS (247, 248). In addition to being a GABA agonist, phenobarbital is an *N*-methyl-D-aspartate receptor antagonist, which may overcome the pathophysiology of benzodiazepine resistance (249, 250). Studies conducted in emergency department settings suggest that phenobarbital used as a monotherapy improved the control of withdrawal and resulted in an equal or reduced need for inpatient and/or ICU admission compared with use of benzodiazepines (54, 240, 251). In the surgical–trauma ICU setting, phenobarbital-based protocols appear to be effective in preventing withdrawal-related complications, including delirium and clinically significant respiratory depression (252, 253). One study in patients with trauma reported statistically significant decreases in the rates of progression to SAWS and medication adverse effects with phenobarbital compared to a fixed-dose benzodiazepine protocol (252). A retrospective study of general medical patients treated with phenobarbital demonstrated equivalent outcomes to a fixed-dose benzodiazepine protocol (i.e., no difference in the incidence of seizures, hallucinosis and/or delirium, ICU transfer, leaving against medical advice, mortality, length of stay, or medical adverse events), despite a more prevalent history of complicated alcohol withdrawal in the phenobarbital group (254). A retrospective study in medical

ICU patients that compared fixed-dose phenobarbital monotherapy to symptom-triggered lorazepam using the CIWA-Ar showed that patients treated with phenobarbital had significantly shorter ICU and hospital stays, lower incidence of mechanical ventilation, and reduced need for adjunctive medications (243). Studies of phenobarbital coupled with benzodiazepine front-loading strategies in ICU settings suggest that adjunctive phenobarbital results in lower rates of mechanical ventilation, fewer ventilator days, decreased ICU and hospital length of stay, and a variable impact on benzodiazepine requirements (7, 235).

As with benzodiazepine therapies discussed above, the quality of data describing benzodiazepine-alternative medications (including phenobarbital) for the treatment of SAWS is limited. Practical barriers may also constrain the utility of benzodiazepine-alternative pharmacotherapies; for example, ketamine infusions often require ICU admission, and propofol generally requires mechanical ventilation.

### Antiepileptic Medications as Treatment for SAWS

Meta-analyses examining the effects of antiepileptics in aggregate (e.g., carbamazepine/oxcarbazepine, levetiracetam, valproic acid) for SAWS have reported no differences in clinical outcomes compared with benzodiazepines (6, 10); however, given their various pharmacologic mechanisms, evaluating antiepileptics as a single class of medication may bias the findings of these analyses toward the null. Although not specifically examined for use in SAWS, valproic acid is used for management of inpatient alcohol withdrawal at some centers based on extrapolation of data from detoxification units (28). Small studies suggest that use of valproic acid during alcohol withdrawal is associated with improved symptom management compared with benzodiazepines, but these data are limited by a lack of blinding and/or retrospective cohort designs (255, 256). Other studies suggest that use of valproic acid for alcohol withdrawal has effectiveness similar to use of phenobarbital (257) and results in reduced symptom duration, lower incidence of ICU transfer, and fewer withdrawal seizures and side effects than use of carbamazepine (198).

### Additional Pharmacologic Mechanisms Targeting SAWS

Medications that do not directly target GABA and/or glutamate signaling may attenuate certain signs and symptoms of SAWS (e.g., abnormal hemodynamics or behavioral aggression) that are used to gauge disease severity. As a result, these medications can mask SAWS while having unclear effects on brain hyperexcitation. One such medication is dexmedetomidine, which has been proposed as an adjunctive agent for alcohol withdrawal. In small studies, dexmedetomidine treatment is associated with improved symptom management and reduced need for benzodiazepines and mechanical ventilation (49, 239, 258–263). Safety data, however, raise concern for increased risk of bradycardia and hypotension with use of dexmedetomidine, especially compared with benzodiazepine monotherapy (49, 258, 263). Furthermore, dexmedetomidine may be associated with alcohol withdrawal seizures, as it does not address the primary pathophysiology of alcohol withdrawal (259, 264).

Small studies of other medications in hospitalized patients with milder alcohol withdrawal highlight the need for careful evaluation of medication benefits, weighed against the risks of polypharmacy (265, 266). For example, the use of baclofen may reduce the incidence of alcohol craving when compared with placebo treatment (267), but its utility for SAWS is uncertain. Similarly, studies evaluating gabapentin as a monotherapy or adjunctive therapy to benzodiazepines have shown inconsistent benefits (268–270). In one retrospective study, the use of high-dose gabapentin was associated with reduced hospital length of stay compared to standard-of-care treatment (269). Data on the use of pregabalin suggest no difference in clinical outcomes compared to placebo (271).

### Challenges

Multicenter RCTs are needed to improve treatment for SAWS, but challenges related to study design must first be addressed. Given the documented harms of placebo therapy for SAWS, it is unethical to conduct placebo-controlled trials to evaluate treatment efficacy (272). Clinical trials for SAWS will require innovative methods that can test and implement models of care in a setting where patients are often unable to provide consent. Prior experience from rigorous RCTs performed in well-established

**Table 1.** Benzodiazepine-Alternative Therapies for Management of SAWS

Medication	Mechanism	Studied Doses	Studied in ICU Patients	Adjunct or Primary	Advantages	Disadvantages
Medications targeting GABA and glutamate						
Ethanol (187, 223, 224)	<ul style="list-style-type: none"> <li>GABA<sub>A</sub> agonist</li> <li>NMDA antagonist</li> </ul>	<ul style="list-style-type: none"> <li>200 ml of 100% alcohol (half oral, half i.v.), titrated to maximum of 600 ml</li> <li>10% ethanol infusion initiated at 50 ml/h, titrated to maximum of 30% ethanol at 50 ml/h × 48 h</li> </ul>	No	Primary	<ul style="list-style-type: none"> <li>Replaces cause of AWS</li> </ul>	<ul style="list-style-type: none"> <li>Poorly tolerated</li> <li>Highly variable kinetics</li> <li>Difficult titration to effect with risk of oversedation</li> <li>Studies in prevention rather than treatment</li> <li>Lack of efficacy in treatment of SAWS</li> </ul>
Ketamine (220, 282, 283)	<ul style="list-style-type: none"> <li>NMDA antagonist</li> </ul>	<ul style="list-style-type: none"> <li>0.3–1.6 mg/kg/h with optional loading dose</li> </ul>	Yes	Adjunct	<ul style="list-style-type: none"> <li>Targets alternative to GABA</li> <li>Does not result in prolonged sedation</li> <li>Low potential for respiratory depression and does not require mechanical ventilation</li> </ul>	<ul style="list-style-type: none"> <li>Side effects of ketamine (hypertension, tachycardia) mimic SAWS</li> <li>Administered as continuous infusion (often requiring ICU care)</li> </ul>
Phenobarbital (6, 51, 222, 226, 227, 241)	<ul style="list-style-type: none"> <li>GABA<sub>A</sub> agonist</li> <li>NMDA antagonist</li> </ul>	<ul style="list-style-type: none"> <li>Loading with 6–15 mg/kg i.v. infusion</li> <li>Escalating i.v. bolus doses of 65 mg, 130 mg, and 260 mg</li> </ul>	Yes	Both	<ul style="list-style-type: none"> <li>Targets glutamate in addition to GABA</li> <li>Synergistic effects with BZDs</li> <li>Data suggest decreased need for ICU admission and mechanical ventilation, reduced BZD requirements, and shorter ICU LOS</li> </ul>	<ul style="list-style-type: none"> <li>Inconsistent effects on respiratory depression</li> <li>Drug interactions due to induction of CYP metabolism</li> </ul>
Propofol (217, 218, 221, 225, 233)	<ul style="list-style-type: none"> <li>GABA<sub>A</sub> agonist</li> <li>NMDA antagonist</li> </ul>	<ul style="list-style-type: none"> <li>10–100 µg/kg/min</li> </ul>	Yes	Both	<ul style="list-style-type: none"> <li>Targets alternative to GABA</li> <li>Fast onset and short duration of action</li> <li>Has been shown to reduce BZD requirements</li> </ul>	<ul style="list-style-type: none"> <li>Bradycardia and tachycardia</li> <li>Propofol-induced hypertriglyceridemia</li> <li>Propofol-related infusion syndrome</li> <li>Respiratory depression requiring mechanical ventilation</li> <li>Administered as continuous infusion</li> </ul>

(Continued)

Table 1. (Continued)

Medication	Mechanism	Studied Doses	Studied in ICU Patients	Adjunct or Primary	Advantages	Disadvantages
Medications not targeting GABA and glutamate						
Baclofen (240, 284, 285)	<ul style="list-style-type: none"> <li>GABA<sub>B</sub> agonist</li> </ul>	<ul style="list-style-type: none"> <li>10 mg orally three times daily</li> </ul>	No	Adjunct	<ul style="list-style-type: none"> <li>Short duration of action</li> </ul>	<ul style="list-style-type: none"> <li>Only available in enteral formulation</li> <li>Requires multiple daily dosing (compliance)</li> </ul>
Carbamazepine (183, 286–291)	<ul style="list-style-type: none"> <li>Stabilizes neuronal membranes by inhibiting voltage-sensitive sodium channels and/or calcium channels</li> </ul>	<ul style="list-style-type: none"> <li>400 mg/d, up to 4,725 mg/d orally</li> <li>Sustained release form: 200 mg three times daily; 400 mg twice daily</li> </ul>	No	Both	<ul style="list-style-type: none"> <li>Small studies suggest similar symptom control to BZD and barbiturates</li> </ul>	<ul style="list-style-type: none"> <li>Drug interactions due to induction of CYP metabolism</li> </ul>
Clonidine (182, 183, 292, 293)	<ul style="list-style-type: none"> <li><math>\alpha_2</math>-agonist</li> </ul>	<ul style="list-style-type: none"> <li>i.v.: 0.5–2.8 <math>\mu</math>g/kg/h</li> <li>Enteral: up to 0.6 mg daily</li> </ul>	Yes	Adjunct	<ul style="list-style-type: none"> <li>Available in multiple formulations (enteral, i.v., transdermal)</li> <li>May be beneficial in patients withdrawing from substances other than alcohol</li> </ul>	<ul style="list-style-type: none"> <li>Studied formulation (i.v.) not commercially available in United States</li> <li>Bradycardia</li> <li>Hypotension</li> <li>Masks autonomic abnormalities but does not address primary pathophysiology</li> </ul>
Dexmedetomidine (47, 218, 231–237)	<ul style="list-style-type: none"> <li>Selective <math>\alpha_2</math>-agonist</li> </ul>	<ul style="list-style-type: none"> <li>0.2–1.5 <math>\mu</math>g/kg/h, with optional loading dose</li> </ul>	Yes	Both	<ul style="list-style-type: none"> <li>Has been shown to reduce BZD requirements</li> <li>May result in shorter hospital/ICU LOS</li> <li>May be beneficial in patients withdrawing from substances other than alcohol</li> </ul>	<ul style="list-style-type: none"> <li>Risk of hemodynamic instability, especially with loading dose (i.e., bradycardia, hypotension)</li> <li>Masks autonomic abnormalities but does not address primary pathophysiology</li> <li>Seizures reported in patients who did not receive GABA agonism</li> <li>Administered as continuous infusion (often requiring ICU care)</li> </ul>
Gabapentin (241–243, 294, 295)	<ul style="list-style-type: none"> <li>Stabilizes neuronal membranes by inhibiting voltage-gated calcium channels</li> <li>Structurally related to GABA but does not appear to bind receptor</li> </ul>	<ul style="list-style-type: none"> <li>600 mg every 8 h, with optional loading dose (800–1,200 mg <math>\times</math> 1), with potential taper over 5 d</li> </ul>	Yes	Both	<ul style="list-style-type: none"> <li>May reduce BZD requirements and decrease ICU/hospital LOS</li> </ul>	<ul style="list-style-type: none"> <li>Only available in enteral formulation</li> <li>Potential drug of abuse</li> <li>Oversedation</li> <li>Accumulation in renal impairment</li> </ul>

(Continued)

Table 1. (Continued)

Medication	Mechanism	Studied Doses	Studied in ICU Patients	Adjunct or Primary	Advantages	Disadvantages
Levetiracetam (296)	<ul style="list-style-type: none"> <li>Unclear</li> <li>May indirectly modulate GABA signaling</li> </ul>	<ul style="list-style-type: none"> <li>500 mg twice daily</li> </ul>	No	Adjunct	<ul style="list-style-type: none"> <li>Generally well tolerated</li> </ul>	<ul style="list-style-type: none"> <li>Has not shown clinical benefit (e.g., reduced BZD requirement)</li> </ul>
Oxcarbazepine (297)	<ul style="list-style-type: none"> <li>Stabilizes neuronal membranes by inhibiting voltage-sensitive sodium channels and/or calcium channels</li> </ul>	<ul style="list-style-type: none"> <li>600 mg daily × 72 h (divided into three daily doses), then 300 mg daily</li> </ul>	No	Adjunct	<ul style="list-style-type: none"> <li>Appears to be equally as effective as clonethiazole (when used in conjunction with tiapride)</li> <li>Fewer drug interactions than carbamazepine</li> </ul>	<ul style="list-style-type: none"> <li>Only available in enteral formulation</li> <li>Higher incidence of hyponatremia than carbamazepine</li> </ul>
Pregabalin (244)	<ul style="list-style-type: none"> <li>Stabilizes neuronal membranes by inhibiting voltage-gated calcium channels</li> </ul>	<ul style="list-style-type: none"> <li>300 mg daily × 48 h (divided into two daily doses), then tapered 100 mg every other day</li> </ul>	No	Primary	<ul style="list-style-type: none"> <li>No hepatic metabolism</li> </ul>	<ul style="list-style-type: none"> <li>Only available in enteral formulation</li> <li>Has not shown clinical benefit (e.g., BZD requirement reduction)</li> </ul>
Valproic acid (26, 179, 228, 230, 290, 298, 299)	<ul style="list-style-type: none"> <li>Stabilizes neuronal membranes by inhibiting voltage-gated sodium channels</li> <li>May bind to presynaptic GABA<sub>B</sub> receptors, increasing release of GABA</li> <li>Increases GABA synthesis by activating glutamic acid decarboxylase</li> </ul>	<ul style="list-style-type: none"> <li>Sustained release form, 300 mg three to four times daily</li> <li>Immediate release, 400 mg every 8 h</li> <li>Sustained release form, 500 mg three times daily</li> </ul>	Yes	Both	<ul style="list-style-type: none"> <li>Associated with decreased duration of AWS treatment and hospital LOS</li> </ul>	<ul style="list-style-type: none"> <li>Caution in patients with hepatic impairment</li> <li>Hyperammonemia</li> <li>Thrombocytopenia</li> <li>Transaminitis (typically asymptomatic)</li> <li>Cannot be used concurrently with carbapenems</li> </ul>

Definition of abbreviations: AWS = alcohol withdrawal syndrome; BZD = benzodiazepine; CYP = cytochrome P450 enzymes; GABA = γ-aminobutyric acid; LOS = length of stay; NMDA = N-methyl-D-aspartate; SAWS = severe AWS.

\*Commercially available only as 125-, 250-, and 500-mg strength.

†Dosing commercially available only as oral solution.



trial networks, including the National Institute on Drug Abuse Clinical Trials Network, should be employed to inform methods for ensuring trial enrollment, retention, and fidelity. The capacity of potential research participants to participate in the informed consent process should be assessed carefully, recognizing that active withdrawal can result in reduced judgment while respecting patient autonomy regarding participation in research (273, 274). A thoughtful approach to the design of clinical trials is needed, to reduce the likelihood that study participation contributes to further social marginalization or stigma (275–278) while ensuring opportunities to participate in research. Assuming that wide-ranging practice variation for SAWS exists, various existing “standards of care” could theoretically be tested against one another by using pragmatic effectiveness trial designs with a waiver of consent; however, data delineating this practice variation (e.g., describing the relative prevalence of different treatment strategies) do not yet exist.

Inpatient populations at risk for SAWS are characterized by unique factors that complicate trial participation and fidelity. Beyond impaired decision-making capacity, such factors include a wide range of underlying medical comorbidities, a high frequency of leaving against medical advice, the absence of surrogates or legally authorized representatives, and social instability (279). Creative strategies for facilitating equitable access to clinical studies among patients with SAWS will be required to move the needle in this area of research. Many patients at risk for SAWS are socioeconomically disadvantaged (280). Significant research personnel investment (e.g., social workers, peer recovery mentors, clinical research coordinators) may be needed to overcome these complex barriers. Ultimately, understanding whether patient diversity (e.g., across the socioeconomic spectrum) contributes to variability in treatment (e.g., due to disparate access to care) and/or the effectiveness of specific treatments (e.g., housing vouchers) will only be achieved through intentional enrollment of subjects reflective of all patients at risk for SAWS.

### **Recommendations for Research to Improve Clinical Practice and Patient Outcomes**

Quality RCTs to evaluate treatment practices for SAWS will first depend on the T<sub>2</sub>

research recommendations described in SECTION 2—a validated operational definition and objective tools for risk stratifying and grading the severity of SAWS in potential study participants. Subsequent high-priority research aims are to delineate and standardize appropriate clinical outcomes for assessment in patients with SAWS (i.e., RCT endpoints) and to build a multicenter research collaborative to take on the challenges inherent to this patient population. This upfront research and creation of infrastructure will ultimately enable important clinical questions to be addressed regarding which medication, dosing strategy, and/or bundle of care should be prioritized for management of SAWS.

**1) Determine optimal short-term, long-term, and patient- and provider-centered outcomes for clinical trials** Defining appropriate outcomes to include in RCTs for SAWS will require dedicated research. Self-reported patient measures are unreliable in patients with SAWS and are frequently inapplicable to hospitalized patients with acute and critical illness (e.g., due to communication barriers such as altered cognition or mechanical ventilation). Standard physiologic endpoints may be confounded by concurrent medical conditions (e.g., pain, cardiac disease, sepsis) and are thus potentially less robust for evaluation of SAWS. Although short-term measures of delirium, agitation, and/or coma obtained by using the CAM-ICU and RASS are well-established measures of acute brain dysfunction (219, 229, 281), these measures have yet to be explored in RCTs for SAWS. Long-term outcomes that may be more meaningful to patients (e.g., cognitive function) are complicated by the challenge of establishing a “normal baseline” and by the potential for loss to follow-up after hospital discharge.

Traditionally, studies of SAWS have focused on short-term clinical outcomes (e.g., the need for intubation, hospital length of stay, and cumulative benzodiazepine exposure) (7, 46, 235). Such outcomes are of interest to clinicians, researchers, and hospital administrators but are not necessarily patient-centric, unless associated with acute discomfort or morbidity. However, the ability of short-term clinical outcomes to predict long-term psychologic and cognitive effects or functioning could be explored, including their relationship with subsequent alcohol cravings, persistent sobriety, and cognition. Simultaneous

collection of data on short-term and long-term outcomes allows early events (e.g., delirium) to be evaluated as predictors of later events (e.g., cognitive impairment at 3 mo after hospital discharge). If strongly predictive, future investigations could rely on short-term endpoints as proxies for long-term endpoints, answering important clinical questions that are meaningful to patients in shorter-duration, more cost-effective clinical trials.

High-priority short-term outcomes of treatment for SAWS include: the time to control of agitation, duration of delirium, and prevention of adverse events (e.g., the need for mechanical ventilation). During SAWS, time to control of agitation is believed to be associated with clinically important adverse events (21), although this relationship needs further elaboration to affirm its importance for treatment trials. In survivors of critical illness, duration of delirium is associated with short- and long-term mortality, long-term cognition, and functional outcomes (29, 37, 282, 283). These effects of delirium are expected to exist in patients with SAWS (35, 37, 284); thus, studies that include delirium as an outcome of treatment in this population are indicated.

Patient- and provider-centered outcomes require further exploration with stakeholders, including patients, patient advocates, and providers who care for patients with SAWS (285). Potentially important outcomes for patients include 1) cognitive function (both acutely and over time), 2) alcohol craving, 3) engagement in AUD treatment, 4) abstinence from alcohol, 5) readmission to the hospital for SAWS, 6) readmission to the hospital for other illnesses, and 7) the financial impacts of SAWS and AUD (e.g., money spent on treatment, including rehospitalizations over time and lost wages).

The concept of provider-centered outcomes in clinical research has received attention in the form of user-centered design and system approaches to promote safe and effective clinical workflows (i.e., avoiding human error) (286, 287). However, attention to provider-centered outcomes may augment the acceptability and sustainability of treatment strategies found to be of benefit in clinical trials. The impact of SAWS treatment protocols on healthcare provider mental health and well-being should be explored. Caring for patients with SAWS can be stressful, time-intensive, and exhausting, contributing to compassion fatigue, a

common symptom among individuals with burnout syndrome (BOS). Importantly, studies of healthcare provider well-being and ICU patient outcomes have demonstrated a link between provider BOS and reduced quality of care, lower patient satisfaction, increased medical errors, higher rates of health care–associated infections, and even 30-day mortality (288–290). Lessons learned from evaluation of provider-centered outcomes in SAWS research could potentially inform other opportunities to address BOS in critical care settings at large.

### 2) Establish a clinical trial network to conduct clinical efficacy–effectiveness trials

As discussed in SECTION 2, foundational epidemiologic work is needed to define the ideal at-risk population for large-scale clinical trials in SAWS. A multicenter clinical trial network should be established to convene participating centers. Working groups should be assigned to establish study criteria and define clinical outcomes that are mindful of the challenges of conducting clinical research in hospitalized patients with SAWS (e.g., inadequate sample size). A network of centers with high prevalence of SAWS could facilitate successful patient recruitment, optimize statistical power, and promote sharing of biological specimens and clinical data to accelerate epidemiologic, mechanistic, genetic, and biomarker studies that clarify disease heterogeneity and the effect modification of various SAWS treatments (291–293). Financial support from funding agencies such as the NIAAA, Department of Veterans Affairs, and Patient-centered Outcomes Research Institute will be vital to establishing such a network, given the associated complexities and costs. Patient advocates will also be essential partners, ensuring that research methods and outcomes remain patient centered in this stigmatized population (276–278).

Within the structure of a clinical trial network for SAWS, pragmatic and adaptive trial designs offer important strategies for maximizing the recruitment and retention of patients, as well as providing opportunities for efficiently testing multiple interventions (294, 295). Pragmatic designs—in which comparator treatment strategies are provided to patients in “real-world” settings (without the extensive inclusion/exclusion criteria typical of traditional RCTs)—could be considered under a waiver of consent, particularly in settings where consent is impeded by patients lacking capacity because of comorbid illnesses (296, 297). Studies

focused on protocol implementation may benefit from unit-level, cluster-randomized designs (i.e., each hospital ward or unit using a specific protocol for all patients) rather than from traditional patient-level randomization (i.e., patients within a given ward or unit receiving different protocols). Adaptive platform trials that incorporate periodic assessments for safety and effectiveness and protocol modifications could allow continuous comparison of treatments with prognostic enrichment strategies across different patient phenotypes (e.g., stratified by comorbid conditions—trauma, sepsis, neurosurgery, etc.), testing multiple interventions within a single hospitalized cohort (293, 295, 298). The proposed multicenter trial network could thus create a foundation for answering iterative questions regarding treatment strategies that have unclear benefit in current practice and testing new interventions that emerge amid an evolving understanding of the basic science and pharmacology of SAWS.

### 3) Prioritize three clinical questions for immediate study

Three clinical questions should be top priorities for clinical research focused on SAWS. First, what is the optimal first-line medication for SAWS (e.g., benzodiazepines vs. nonbenzodiazepines)? Second, what is the ideal medication dosing strategy for SAWS (e.g., symptom-triggered vs. front-loading)? The answers to these first two questions would have an immediate impact on patient care and clinical practice. Third, is protocolized and/or bundled care superior to usual care for SAWS? Testing the impact of a standardized best practice “bundle,” including items such as electrolyte replacement, intravenous thiamine, addiction counseling, and medications to treat AUD, could be specifically explored. Implementation research including protocolized and/or bundled care should be conducted simultaneously with medication trials by using the broader infrastructure of the proposed clinical trial network to expedite the transfer of knowledge into practice.

## Section 4: Implementation of Research Findings for SAWS (T<sub>4</sub> Research)

The T<sub>4</sub> research domain involves the translation of research findings to

communities, including population-level outcomes research and monitoring (e.g., morbidity, mortality, and impacts of policy changes). As previously discussed, evidence-based, validated treatment approaches for *hospitalized patients* with SAWS do not exist. As such approaches become available, the knowledge gap regarding how best to implement guideline-recommended care will likely become more evident. The dissemination of guidelines for treatment of SAWS will almost certainly face the same challenges as the dissemination of critical care guidelines more broadly. Concerningly, a growing body of literature suggests that even when critical care guidelines are available, patients often do not receive care consistent with the recommendations (299, 300). This section explores research strategies for anticipating and mitigating the gaps that may develop between establishing efficacious therapies for SAWS (through clinical trials) and successful delivery of these treatments to patients in real-world clinical settings.

### Recommendations to Promote Implementation of Evidence-based Practices

1) *Engage stakeholders* Stakeholder involvement will be important for successful implementation of best practices for SAWS. In the area of addiction, one study of stakeholders found that a lack of interprogram cooperation and communication was a deterrent to addiction treatment after detoxification (301). Barriers to effective care can be identified and modified through broad stakeholder engagement that includes a wide range of individuals: patients, their caregivers, advocacy groups, community members, providers (e.g., nurses and clinicians across disciplines and care delivery systems), purchasers, payers, administrators, policy makers, and researchers. Although implementation and stakeholder engagement are often viewed as later steps in the research translation process, incorporating these elements before establishing a treatment’s efficacy will accelerate the transfer of innovations into practice (302). Input from patients recovering from SAWS will be particularly important in delineating the needs and realities of those living with AUD. To this end, interdisciplinary collaboration will be essential. Although many existing

relationships can be harnessed to improve the workflow of caring for patients with SAWS (e.g., natural collaborations among clinicians, nurses, pharmacists, and therapists working in the ICU), less traditional partnerships are also needed (e.g., bridging critical care with addiction-related specialties and ambulatory services).

**2) Harness the knowledge and infrastructure of existing critical care networks**  
Implementation of best practices within critical care has proven challenging and requires dedicated attention and resources (303). Critical illness and organ failure often result from heterogenous syndromes rather than from distinct disease entities with well-defined pathologic boundaries, including sepsis and acute respiratory distress syndrome in addition to SAWS. Diagnosis of these conditions is complex, and treatment requires multimodal therapy more often than it requires a single targeted medication. In this context, treatment practices in ICU settings vary widely (304–306); however, in recent years, collaborative critical care networks have successfully worked to address this variation in clinical practice to improve patient outcomes (294).

The backbone of this work has involved real-time data collection and feedback—describing processes of patient care, providing feedback to centers regarding their performance benchmarked against other ICUs, and subsequent interventions, including guideline distribution and progress updates to homogenize care (307). By using lessons learned from these past experiences and the infrastructure of existing trial networks (e.g., the International Forum for Acute Care Trialists), the clinical research network proposed in SECTION 3 could serve a pivotal role in building a foundation for clinical trials and also in implementing treatment guidelines for SAWS (294). An exemplary model of collaborative multiinstitutional (and multinational) clinical research supporting simultaneous implementation of best practices is the REMAP-CAP (Randomized, Embedded, Multifactorial, Adaptive Platform Trial for Community-acquired Pneumonia) program (308). This

hybrid model of clinical research and quality improvement (i.e., platform trial) is evaluating multiple interventions (treatments and treatment implementation strategies) for severe community-acquired pneumonia by using a continuously learning healthcare network focused on a disease rather than on testing a single intervention for that disease.

In the coming era of research to improve the management of SAWS, implementation frameworks such as Reach, Effectiveness, Adoption, Implementation, and Maintenance and outcomes such as those proposed by Proctor and colleagues (309) (i.e., treatment acceptability, adoption, appropriateness, feasibility, cost, penetration, and sustainability) will enable rigorous comparative-effectiveness work and enhance the efficiency of research translation to clinical practice (310). Treatments for SAWS will not be effective if not well implemented. Likewise, treatment “failures” must be understood in terms of intervention failure, implementation failure, or both. These distinctions can only be identified through early consideration and measurement of implementation outcomes, which are therefore essential to the advancement of care for patients with SAWS.

## Discussion

Amid impressive research collaborations and noteworthy advances in critical care medicine, certain conditions have received less attention than others. This inequality in the application of science to practice has not clearly tracked with disease prevalence, morbidity, or mortality. Addiction research and treatment is underresourced compared with other medical conditions (275, 311, 312). Although the overarching reasons for these inequalities are likely multifactorial, in the case of SAWS, stigma and stereotypes pose challenges that have arguably blunted the standards of research and acceptable practices in this field (275–278). At the same time that opioid use disorder and overdose have captured the public’s attention and are garnering appropriate research support

(313), treatment standards for the more common manifestations of AUD, including alcohol withdrawal, remain underdeveloped. This report takes a step toward addressing this imbalance by identifying several investigative opportunities for improving management of SAWS. However, subsequent steps will be the true catalysts for change. Scientists from various disciplines will need to direct their attention to the problem of SAWS, pool their energies and expertise, and convince funding agencies to support the research efforts described in this report.

Providers from various disciplines care for patients with SAWS, making it a broadly relevant problem, but this diversity may also contribute to a historical lack of ownership. Through this report, the ATS and its critical care community assume responsibility for elevating the standards of care and research affecting the vulnerable group of patients who experience SAWS. The ATS community is in fact uniquely positioned to address the gaps that currently exist between existing research on alcohol withdrawal and the complex realities of inpatient practice. As an interdisciplinary body whose members perform both clinical management and research in patients with the most extreme manifestations of SAWS—often amid organ failure, sepsis, and other forms of critical illness—the ATS provides a natural forum for diverse content experts to identify and contextualize the full range of considerations brought forward by this topic. A future goal will be to partner these efforts with other national and international specialty groups with diverse backgrounds and expertise. These groups could include the Society of Critical Care Medicine, the American College of Medical Toxicology, the American College of Emergency Physicians, the American Society of Addiction Medicine, the American Society of Health System Pharmacists, the American Academy of Clinical Toxicologists, and the Research Society on Alcoholism, although this list is by no means exhaustive. Soliciting input from individuals across the spectrum of healthcare and biomedical research will undoubtedly broaden the impact and scope of this important work. ■

This official research statement was prepared by an *ad hoc* subcommittee on severe alcohol withdrawal syndrome of the ATS Assembly on Critical Care, Assembly on Behavioral Science and Health Services Research, and Assembly on Nursing.

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