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The role of *CDHR3* in susceptibility to otitis media

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Availability of Data and Material: Novel variants are being deposited in ClinVar.

Code Availability: Not Applicable

Ethics Approval: Human studies were approved by these institutional review boards (IRB): Bahauddin Zakariya University; Colorado Multiple IRB; Helsinki University Hospital; University of Maryland Baltimore; University of Minnesota; University of Texas Medical Branch; University of Virginia; University of Washington. Informed consent was obtained from all study participants. Mouse studies were approved by the Institutional Animal Care and Use Committees of the Veterans Affairs Medical Center, San Diego.

Web Resources

ANNOVAR, annovar.openbioinformatics.org

Burrows-Wheeler Aligner, bio-bwa.sourceforge.net

Combined Annotation Dependent Depletion, cadd.gs.washington.edu

dbNSFP, sites.google.com/site/jpopgen/dbNSFP

Explicitet, www.explicitet.org

Genetic Power Calculator, www.bwh.harvard.edu/gpc/

Genetic Variant Interpretation Tool, www.medschool.umaryland.edu/Genetic_Variant_Interpretation_Tool1.html/

Genome Aggregation Database, gnomad.broadinstitute.org

Genome Analysis Toolkit, gatk.broadinstitute.org

Genotype-Tissue Expression (GTEx) Portal, gtexportal.org

InterPro, www.ebi.ac.uk/intepro/

Likelihood Ratio Test, www.genetics.wustl.edu/jflab/lrt_query.html

MutationAssessor, mutationassessor.org/r3/

MutationTaster, www.mutationtaster.org

Online Mendelian Inheritance in Man, www.omim.org

PolyPhen-2, genetics.bwh.harvard.edu/pph2/

PROVEAN, provean.jcvi.org

silva, www.arb-silva.de

Seurat, satijalab.org/seurat/

UCSC Genome Browser, genome.ucsc.edu

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Abstract

Otitis media (OM) is common in young children and can cause hearing loss and speech, language and developmental delays. OM has high heritability, however little is known about OM-related molecular and genetic processes. *CDHR3* was previously identified as a locus for OM susceptibility, but to date studies have focused on how the *CDHR3* p.Cys529Tyr variant increases epithelial binding of rhinovirus-C and risk for lung or sinus pathology. In order to further delineate a role for *CDHR3* in OM, we performed: exome sequencing using DNA samples from OM-affected individuals from 257 multi-ethnic families; Sanger sequencing, logistic regression and transmission disequilibrium tests for 407 US trios or probands with OM; 16S rRNA sequencing and analysis for middle ear and nasopharyngeal samples; and single-cell RNA sequencing and differential expression analyses for mouse middle ear. From exome sequence data, we identified a novel pathogenic *CDHR3* splice variant that co-segregates with OM in US and Finnish families. Additionally, a frameshift and six missense rare or low-frequency variants were identified in Finnish probands. In US probands, the *CDHR3* p.Cys529Tyr variant was associated with absence of middle ear fluid at surgery, and also with increased relative abundance of *Lysobacter* in the nasopharynx and *Streptomyces* in the middle ear. Consistent with published data on airway epithelial cells and our RNA-sequence data from human middle ear tissues, *Cdhr3* expression is restricted to ciliated epithelial cells of the middle ear and is downregulated after acute OM. Overall these findings suggest a critical role for *CDHR3* in OM susceptibility.

Keywords

CDHR3; expression; microbiome; middle ear; otitis media; rs6967330

Introduction

Otitis media (OM), defined as inflammation of the middle ear (ME) that is usually due to viral or bacterial infection, affects 46% of children <1-year-old, with ~30% having 3 episodes by 3 years old [1,2]. OM can cause hearing loss in young children, leading to speech and learning difficulties during a sensitive time period for cognitive development [3]. Often the ME fluid (MEF) and hearing loss resolve spontaneously or after antibiotic treatment. However, 4-10% of children with OM require tympanostomy tube (TT) surgery due to more severe forms of recurrent acute (RA)OM or chronic OM with effusion (COME) [4,5]. These OM types and TT surgery are associated with at least 4× risk of permanent hearing loss [4]. OM is a multifactorial disease due to: (1) environmental factors -- secondhand smoke exposure, upper respiratory infections (URI), older siblings, daycare attendance, and lack of breastfeeding; (2) clinical factors -- craniofacial abnormalities, syndromes, and allergies; and (3) family history and genetic factors [2,6-8]. Several studies have delved into the genetic component of OM, with heritability estimates of 22-74% [9-11].

A genome-wide association study (GWAS) involving >120,000 European-descent individuals identified the synonymous variant rs114947103 within *CDHR3* (MIM 615610) as a protective locus against childhood ear infections [OR=0.93; 95%CI:0.91,0.96; p=5.4x10⁻⁹] [12,13]. This OM locus is in linkage disequilibrium (LD) with the known variant *CDHR3* c.1586G>A (p.Cys529Tyr; rs6967330), which increases risk of chronic rhinosinusitis and asthma exacerbations in childhood [12-15]. *CDHR3* encodes a protein

from the cadherin superfamily of transmembrane calcium-dependent adhesion proteins and is involved in cell adhesion, epithelial polarity, cell-cell interaction, and differentiation [16]. Unlike other cadherins which are diffusely expressed in many cell types, CDHR3 is limited to the apical membrane of ciliated epithelial cells in the respiratory tract, and is expressed primarily in cilia [15,17-19]. CDHR3 is the predominant receptor for rhinovirus-C (RV-C) [18]. After RV-C binds to CDHR3 and adheres to cilia, there is diffuse apical shedding of intact epithelial cells, which is hypothesized to be due to patchy cellular lysis within the epithelium, which in turn may lead to impaired barrier function and bacterial superinfection [18]. *In vitro*, CDHR3-knockout (KO) airway epithelial cells (AEC) of the trachea appeared normal and proliferated similarly to control basal cells [17]. However, CDHR3-KO AECs had reduced transepithelial electrical resistance, likely indicating an effect on junction barrier function [17]. Alternatively, in cells transfected with or derived from carriers of the p.Cys529Tyr variant, surface expression of CDHR3 was increased, and binding and replication of RV-C also increased [15,17,19-20]. Because the *CDHR3* p.Cys529Tyr variant facilitates binding and replication of RV-C, this variant has undergone balancing selection in human populations [21]. In chimpanzees with the ancestral CDHR3-Y₅₂₉ variant, infection with human RV-C caused an 8.9% mortality rate [22]. It is now established that the increased risk for hospitalization among p.Cys529Tyr carriers with early-onset asthma is due to acute exacerbations from RV-C infection in both children and adults [15,23].

Except for the OM GWAS finding, to date there are no reports of additional OM-related *CDHR3* variants or studies. To further define the role of *CDHR3* in OM susceptibility, we examined our multi-omic data, including DNA sequence and microbiome data from families with OM, and transcriptomic data from mouse ME. Our goal was to determine if *CDHR3* variants are associated with OM susceptibility and microbiota shifts in the ME or nasopharynx (NP), and if *Cdhr3* is expressed in the ME mucosal epithelium.

Materials and Methods

Subject Ascertainment

The study was approved by the following institutional review boards (IRB): Bahaiddin Zakariya University; Colorado Multiple IRB; Helsinki University Hospital; University of Maryland Baltimore; University of Minnesota; University of Texas Medical Branch; University of Virginia; University of Washington. Informed consent was obtained from adult participants and parents of children enrolled in the study. Approval was also obtained from the Institutional Animal Care and Use Committee of the Veterans Affairs Medical Center, San Diego, California.

Families from different cohorts were first identified upon referral of a child or proband for OM surgery, except for the Pakistani families (Online Resource 1). DNA samples were obtained from two established family cohorts for OM, namely Minnesotan [24] and Finnish families [11]. In Minnesota, all family members were examined by an otolaryngologist and tested by tympanometry for ME function, then they were considered affected if 2 data sources -- whether otoscopy, tympanometry, medical records or personal history -- were OM-positive. For Finnish families, clinical data, including history, risk factors for OM and details from otolaryngologic surgery, were obtained. Finnish individuals were considered

OM-positive if they had TT surgery, COME with MEF >2 months, or RAOM, i.e. >3 episodes of acute infection in 6 months or >4 episodes in 12 months. Of the 245 Finnish and Minnesotan families with exome data, 116 (47%) were trios, 64 (26%) were proband-parent pairs, and the rest had >1 OM-affected child.

For this study, 316 Texan and 91 Coloradan trios were enrolled (Online Resource 1). Each trio included a child with OM and both biological parents who provided saliva samples using Oragene DNA collection kits (DNAgenotek, Ontario, Canada), and from which DNA was isolated. The Pakistani families were identified from communities in which families were known to have multiple children with discharging ears. Twelve families with OM were enrolled from the southern Punjab province of Pakistan (Online Resource 1). Age-at-onset and recurrence or chronicity of OM episodes were determined from medical history and OM status by otoscopy. DNA was extracted from blood samples provided by family members. For all cohorts, individuals with known genetic, craniofacial and immunodeficiency syndromes were excluded.

Human DNA Sequencing

DNA samples from OM-positive individuals from 217 Finnish, 28 Minnesotan, and 12 Pakistani families were submitted for exome sequencing as previously described [25]. In brief, sequence capture was performed using the Roche NimbleGen SeqCap EZ Human Exome Library v.2.0 (37Mb) for Finnish and Minnesotan families, while the Agilent SureSelect Human Expanded All Exon V5 (62Mb) was used for Pakistani families. Exome sequencing was performed to an average depth of ~60× using an Illumina HiSeq. Burrows-Wheeler Aligner [26] and the Genome Analysis Toolkit [27] were used to generate .bam and .vcf files, respectively.

Sanger sequencing for *CDHR3* (NM_152750.4) was performed for: (1) four common missense variants in 399 US trios; and (2) selected variants in Minnesotan, Finnish, and Pakistani families (Table 1, Table 2). Sanger sequencing was performed on Finnish and Minnesotan families with 2 OM-positive individuals to test whether the *CDHR3* variant co-segregated with OM (Figure 1, Online Resource 2, Online Resource 3).

Bioinformatic and Statistical Analyses

ANNOVAR [28] was used to annotate .vcf files, with data retrieved from hg19 databases refGene, gnomad_exome [29] and dbNSFP41a [30]. Frameshift, missense, and splice variants were selected for further examination (Table 1). In US trios, the transmission disequilibrium test (TDT) was performed for *CDHR3* variants (Table 2) [31]. The TDT is robust against population stratification, substructure and admixture, allowing the inclusion of multi-ethnic US trios in TDT analysis [31].

To define the OM sub-phenotype due to carriage of *CDHR3* variants, the clinical records of 91 Coloradan probands were reviewed for: demographic data -- age, sex, ethnicity, breastfeeding history, family history of OM, daycare attendance; clinical data -- comorbidities, antibiotic use, surgical findings, diagnoses of RAOM and/or COME; and genotypes for two OM-related variants *FUT2* p.Trp154* and *PLG* p.Asp472Asn (Table 3) [25,32]. Bivariate analysis using standard statistical methods (chi-square, t-test) was

conducted with each variable against presence of MEF. Multivariate logistic regression was performed using the glm function (family=binomial) from the MASS package in R with presence of MEF as outcome variable, and age, sex, ethnicity, daycare attendance, and the p.Cys529Tyr variant as determinant variables (Table 4).

16S rRNA Sequencing and Microbiome Analysis

Ninety-three ME samples (43 swabs, 50 aspirates) and 80 NP swabs from Coloradan probands were submitted for 16S ribosomal RNA gene sequencing and analysis, as previously described [25]. Each batch of 16S rRNA gene PCR and sequencing included 3 negative process controls in order to test for contaminating bacterial DNA in reagents. After sequence alignment and filtering, 37 ME and 40 NP samples were used for biodiversity and taxa abundance analyses. Essential details of the sequencing and analyses steps include: (a) generation of amplicons using primers targeting ~300bp of the V1-V2 variable regions of the 16S rRNA gene; (b) paired-end sequencing on an Illumina MiSeq; (c) exclusion of paired-end sequences that aligned to the human reference hg19 data; (d) assembly, trimming, chimera removal, and alignment and classification of paired reads with SINA (1.3.0-r23838) [33] using the bacterial sequences in Silva 115NR99 [34]; and (e) clustering of sequences with identical assignments of Silva taxonomy to generate operational taxonomic units (OTUs). PERMANOVA tests using Bray-Curtis dissimilarity scores with 10^6 - 10^7 permutations were performed according to carriage of the p.Cys529Tyr variant. Standard measures of alpha-diversity (Chao1, Shannon H/H_{\max} , Shannon diversity) were calculated according to carriage of the p.Cys529Tyr variant. For OTUs, data analysis and generation of figures were performed using R and Explicit v2.10.8 [35].

Expression of *Cdhr3* mRNA in Normal and Infected Mouse ME

Cdhr3 expression was analyzed using microarray mRNA data from ME tissues of 60-90-day-old wildtype C57/WB F1 hybrid mice (Jackson Lab, Bar Harbor, ME) that were inoculated with the human otopathogen non-typeable *Haemophilus influenzae* (NTHi) to induce AOM [36]. The ME bullae of control mice were inoculated with placebo. ME tissues were harvested at 0h (control), 3h, 6h, 1d, 2d, 3d, 5d and 7d after inoculation to obtain two independent biological replicates of the OM time course. The expression of *Cdhr3* was normalized and compared to that in the control (untreated) ME tissues.

Three single-cell samples were generated from C57-BL6 mice. For each sample, ME mucosal tissue was harvested from both ears of six young adult mice. The tissue was enzymatically digested and triturated into single-cell suspensions. Cell viability, assessed by trypan blue exclusion, was >95%. Single-cell libraries were generated using the 10X Genomics (Pleasanton, CA, USA) Chromium Single Cell 3' Reagent Kit V2. cDNA synthesis, barcoding, and library preparation were carried out on a 10X Genomics Chromium Controller. cDNA library quality was validated by electrophoresis and the libraries were sequenced on an Illumina HiSeq 2500 with a read length of 26 bp for read 1 (cell barcode and unique molecule identifier (UMI)), 8 bp i7 index read (sample barcode), and 98 bp for read 2 (actual RNA read). Reads were demultiplexed using 10X Genomics Cellranger 2.0.2 and mkfastq in conjunction with bcl2fastq 2.17.1.14 (Illumina) and aligned to the murine reference genome (mm10 with annotations from Ensembl, release 84). 10X

Genomics Cellranger aggr and Seurat [37] were used to generate principal components (PC) clustering. The expression of well-recognized marker genes identified 17 distinct cell types. Linearized relative expression levels for *Cdhr3* were log-transformed from single-cell mRNA copy numbers, normalized, and scaled for each cell type [38]. Clustering was visualized using 10X Genomics cLoupe, based on 10X Genomics CellRanger PC and expression level analysis.

Results

Identification of potential risk variants conferring OM susceptibility

From exome sequence data, we identified 17 *CDHR3* variants (Table 1), of which five were common (minor allele frequency or MAF>0.05 in any population in the Genome Aggregation Database or gnomAD), seven were low-frequency (MAF=0.01-0.05) and five were rare (MAF<0.01). Of the 17 variants, ten single nucleotide variants, including the common variant p.Val55Met and the low-frequency splice variant c.1653+3G>A, had scaled Combined Annotation Dependent Depletion (CADD) [39] scores >10 and/or were predicted to be deleterious by at least one bioinformatic prediction tool, suggesting that these are potential risk variants for OM.

Three putative loss-of-function (LOF) *CDHR3* variants were identified: the frameshift variant c.1310delC (p.(Pro439Leufs*12)) and non-canonical splice variants c.862+30G>A and c.1653+3G>A. Of these LOF variants, the c.1310delC and c.1653+3G>A variants were predicted to be pathogenic by MutationTaster [40]. The c.1310delC variant was identified in a family with one OM-affected child (Table 1). On the other hand, the c.1653+3G>A variant was heterozygous in all affected siblings in each of two families UMN123 and FIN142 (Figure 1) and in the affected probands of six additional Finnish families (Table 1). In one family UMN48, the c.1653+3G>A variant was present in only two out of three OM-affected siblings; however family UMN48 has a known *PLG* c.112A>G (p.Lys38Glu) variant that co-segregates with OM (Figure 1) [32].

The c.862+30G>A variant and missense variants p.Ala476Thr, p.Arg603Cys and p.Pro752Thr were Sanger-sequenced using DNA samples from Minnesotan and Pakistani families, but these variants did not co-segregate with OM, suggesting that they are not OM-related (Online Resource 2, Online Resource 3). In contrast, six rare or low-frequency, deleterious missense variants – p.Val208Met, p.Pro355Leu, p.Pro379Ser, p.Leu506Phe, p.Tyr729His and p.Ala798Ser – were identified, but only in 1-2 probands per variant (Table 1). Taken together, based on co-segregation with RAOM+COME in multiple families, the c.1653+3G>A variant is a novel, LOF variant that confers risk for OM susceptibility. This splice variant is classified as pathogenic by the American College of Medical Genetics and Genomics (ACMG) / Association for Molecular Pathology (AMP) Guidelines [41]. On the other hand, the c.1310delC and six missense variants were identified as variants of unknown significance (VUS).

Elucidation of the role of the *CDHR3* p.Cys529Tyr variant in OM susceptibility

In previous studies, the common variant p.Cys529Tyr was shown to increase susceptibility to RV-C in AECs [17,19-20], but is in LD with an intronic *CDHR3* variant that was deemed protective against OM in previous GWAS [12]. To potentially delineate the role of common missense *CDHR3* variants in OM susceptibility, four variants were Sanger-sequenced in 316 Texan and 83 Coloradan trios with OM (Table 1, Table 2). In these trios, 286 (81.5%) were diagnosed to have RAOM while the rest had COME. In the combined trio cohort, OM probands carrying the p.Cys529Tyr and/or p.Thr532Ser variants were more likely to have RAOM than COME ($p=0.02$). However, in these 399 trios, the TDT results were not significant (Table 2).

Although all the Coloradan children ($n=91$) had a history of RAOM or COME, 30 (33.0%) children did not have MEF at the time of surgery (Table 3). In patients with MEF, 36 (39.6%) had serous or mucoid and 15 (16.5%) had purulent MEF. Another 10 children had cholesteatoma and mixed type of MEF. Absence of MEF was associated with carriage of the p.Cys529Tyr variant ($p=0.04$) and RAOM diagnosis ($p=0.004$; Table 3), both of which remained significant after adjustment for multiple variables (Table 4).

In the ME and NP samples from the Colorado cohort, there were no differences in alpha- or beta-diversity according to *CDHR3* p.Cys529Tyr genotype (data not shown). Additionally, relative abundance of known otopathogens was not increased. However, the relative abundance of *Streptomyces* was greater in ME samples (nominal- $p=0.04$) and *Lysobacter* in the NP swabs from carriers of the p.Cys529Tyr variant (nominal- $p=0.01$; Figure 2).

To summarize, the p.Cys529Tyr variant was not significant in TDT and was not associated with increased biodiversity nor bacterial otopathogens in the ME or NP. On the contrary, this variant was associated with increased relative taxa abundance of commensals in the ME and NP and with lack of MEF at surgery.

Cdhr3 Expression in Mouse ME

Single-cell RNA sequencing of non-infected mouse ME revealed that *Cdhr3* expression is restricted to ciliated epithelial cells of mouse ME (Online Resource 4), a finding that is consistent with expression in lung [17] and other ciliated epithelial tissues [GTEX]. In wildtype mouse ME epithelium, *Cdhr3* is downregulated 3 hours after inoculation with NTHi (Figure 3; Table 5) [36], further supporting a role for *CDHR3* in OM pathology.

Discussion

CDHR3 was previously implicated in OM and other respiratory phenotypes through GWAS and cell transfection studies with mixed results, with the p.Cys529Tyr variant being implicated in RV-C infection thereby increasing risk of asthma and chronic sinusitis [14,15], while being in LD with an intronic variant that was deemed protective against OM in GWAS [12,13]. In this report, our mouse expression data strongly suggests a role for *Cdhr3* in OM, with selective expression in ciliated epithelial cells in the ME (Online Resource 4). Additionally, *Cdhr3* is significantly downregulated in NTHi-induced AOM (Figure 3,

Table 5), which is consistent with our previous finding of lower *CDHR3* expression in human cholesteatoma compared to ME mucosal tissue within the context of chronic OM (transcriptome-wide-adj-p=0.004) [42]. Because *CDHR3* is involved in epithelial integrity, reduced *CDHR3* levels could lead to increased permeability of the airway epithelium and therefore increased vulnerability to infection [17]. In *CDHR3*(+) human bronchial AECs, inoculation with RV-C decreased the intensity of *CDHR3* staining [18]. It was hypothesized that this reduced *CDHR3* staining upon RV-C infection is due to viral shutoff of host protein synthesis, down-regulation after internalization of the RV-C-*CDHR3* receptor complex, and/or lysis of infected cells [18].

Using exome sequence data, we identified novel *CDHR3* variants in families and probands with OM (Table 1), including a pathogenic splice variant c.1653+3G>A. Of the 17 *CDHR3* variants that we identified, only two variants p.Val55Met and p.Gln61His lie within the extracellular domain 1 (EC1) which is known to interface with RV-C; however these two variants do not affect amino acid residues that directly interact with RV-C [43,44], which might explain why these variants were not significant in TDT analyses (Table 2). Interestingly, LOF variants such as the frameshift variant c.1310delC and splice variant c.1653+3G>A are predicted to cause loss of the EC6 and transmembrane (TM) domains, which would affect protein folding and transcellular adhesion. Four missense VUS -- p.Val208Met, p.Pro355Leu, p.Pro379Ser and p.Leu506Phe -- lie within cadherin repeat domains EC2, EC4 or EC5, while two VUS – p.Tyr729His and p.Ala798Ser – respectively lie within the transmembrane domain and cytoplasmic tail, indicating the potential deleteriousness of these variants to *CDHR3* protein structure [15,43,44].

The p.Cys529Tyr variant occurs at the interface of the EC5 and EC6 domains, which help stabilize Ca⁺⁺ binding and protein folding, thereby enabling the *CDHR3* protein to be trafficked from the endoplasmic reticulum to the Golgi apparatus [43]. Remarkably while either cysteine or tyrosine at position 529 facilitates Golgi transport, the p.Cys529Tyr variant allows for longer cell surface expression of *CDHR3*, which in turn facilitates RV-C binding [15,43]. Previously the *CDHR3* p.Cys529Tyr variant was associated with risk for asthma exacerbations and rhinosinusitis [14,15]. However, based on our findings, the role of this common variant in OM is more nuanced. While the previous GWAS showed that the variant that is in LD with p.Cys529Tyr is protective against OM, we did not identify a significant association between p.Cys529Tyr variant with OM in our trios, whether as a risk or protective variant (Table 2). Subsetting by RAOM or COME diagnoses also did not lead to significant TDT results (data not shown). We did find associations between the p.Cys529Tyr variant and (a) lack of MEF at surgery (Table 4) and (b) non-otopathogenic taxa in the ME and NP (Figure 2). These findings fit best with a clinical profile of viral RAOM in children who carry the p.Cys529Tyr variant. Alternatively, because *Streptomyces* and *Lysobacter* are known to produce antibiotic metabolites that may help prevent infection by other opportunistic bacteria [45-47], our findings might also suggest the *CDHR3* p.Cys529Tyr variant may protect against bacterial rather than viral OM. This phenomenon, in which genetic variants may shift the microbiome by facilitating specific microbes while decreasing relative abundance of other taxa, has been demonstrated for *FUT2*-related OM [25]. If spontaneous resolution of MEF prior to OM surgery is observed in carriers of the

p.Cys529Tyr variant, the need for TT surgery or antibiotic treatments in the absence of bacterial superinfection after viral URI or AOM may be obviated.

The limitations of this study include: the finding of rare variants in 1-2 trios rather than in families with multiple OM-affected relatives, precluding testing of co-segregation of variants with OM; the inability to assess viruses due to microbial collection technique; and limited sample sizes for analysis which are likely the cause for failure to reach significance for some experiments (e.g. relative taxa abundance after correction for multiple testing using the Benjamini-Hochberg procedure for false discovery rate). Given MAF 0.07-0.20 for the common variants tested, our trio cohort has sufficient power to detect an association using TDT for genotypic relative risks 1.55 [48], but not for effect sizes 1.5. The increase in non-transmitted alleles for the p.Gln61His and p.Cys529Tyr in the Texan trios might suggest that these variants are protective against OM (Table 2), however the TDT for these variants were non-significant.

To summarize, we identified novel rare and low-frequency *CDHR3* variants that confer risk for OM in a multi-ethnic cohort of families, which is supported by restricted expression of *Cdhr3* in ciliated epithelial cells of the ME. Knowledge of these variants will help identify individuals who are at risk for RAOM plus COME. On the other hand, the p.Cys529Tyr variant was associated with absence of MEF even after adjustment for multiple covariates, suggesting that the clinical and microbial effects we observed were not due to OM diagnosis or antibiotic use. The unnecessary use of antibiotics may have effects on other organ systems and promote antibiotic resistance. By screening OM patients for the common *CDHR3* p.Cys529Tyr variant, we may be able to select patients who might have less benefit from standard treatments (TT insertion, antibiotics) due to spontaneous MEF resolution and may instead be targeted for rhinoviral or *CDHR3*-based therapies or vaccines.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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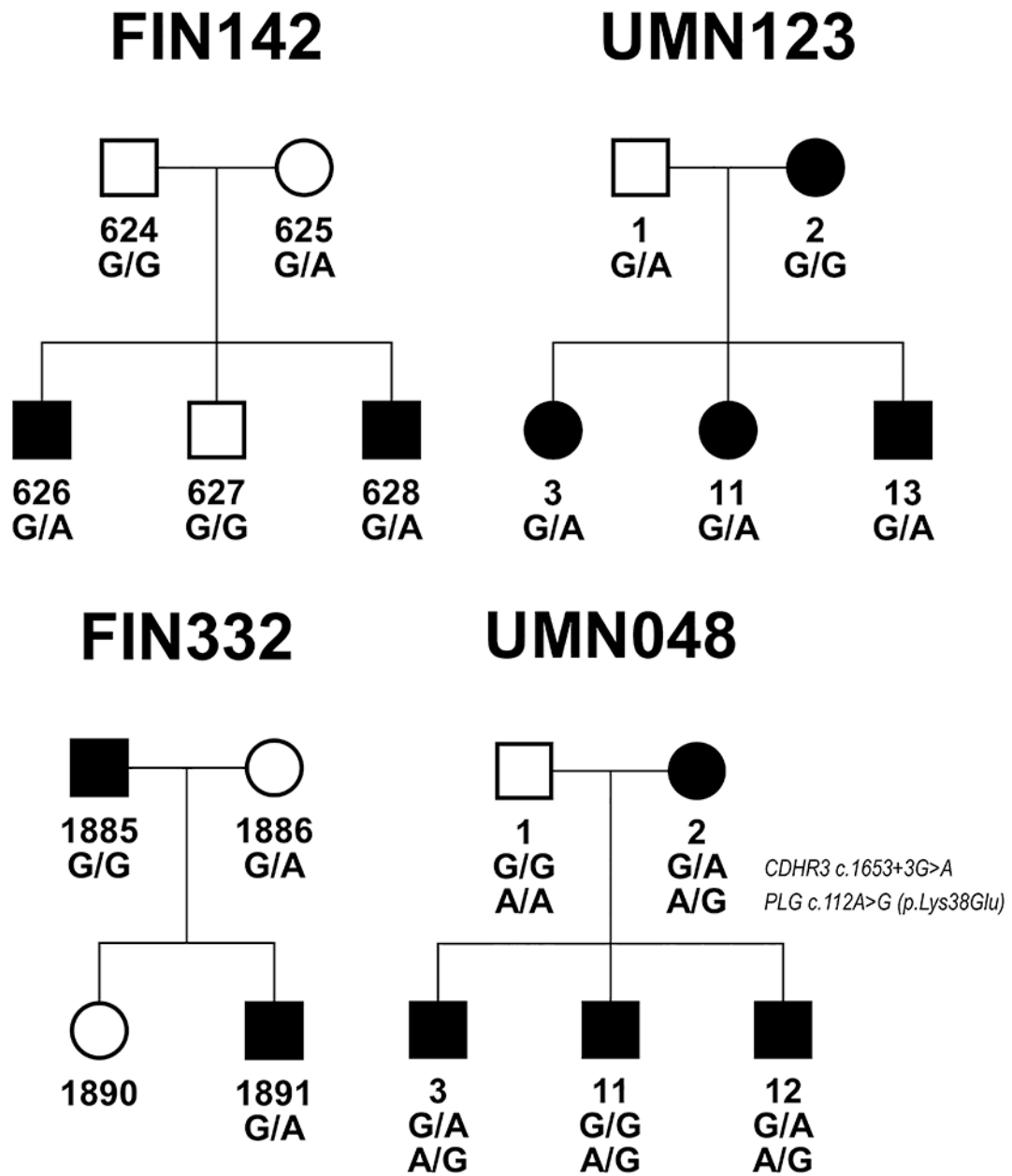
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Key Messages

- Novel rare or low-frequency *CDHR3* variants putatively confer risk for otitis media
- Pathogenic variant *CDHR3* c.1653+3G>A was found in nine families with otitis media
- *CDHR3* p.Cys529Tyr was associated with lack of effusion and bacterial otopathogens
- *Cdhr3* expression was limited to ciliated epithelial cells in mouse middle ear
- *Cdhr3* was downregulated 3 hours after infection of mouse middle ear

**Figure 1.**

Three European-descent families with co-segregation of the same *CDHR3* splice variant c.1653+3G>A (rs117797654) variant with otitis media (OM). *Black symbols*, OM-affected; *clear symbols*, no diagnosis of OM. Note that parents were ascertained for OM as adults, which does not completely rule out OM in early childhood. Alternatively, the variant may be inherited in an autosomal dominant pattern with reduced penetrance. Five additional Finnish probands (from two trios and three parent-proband pairs) are heterozygous for this splice variant (Table 1). A Minnesotan family UMN48 has three out of four OM-affected relatives that are heterozygous for the *CDHR3* splice variant, however family UMN48 also has the known *PLG* variant c.112A>G (p.Lys38Glu, rs73015965) co-segregating with otitis media

[32]. Probands from eight of the nine families with the splice variant, including the four families in the figure, have both RAOM and COME diagnoses

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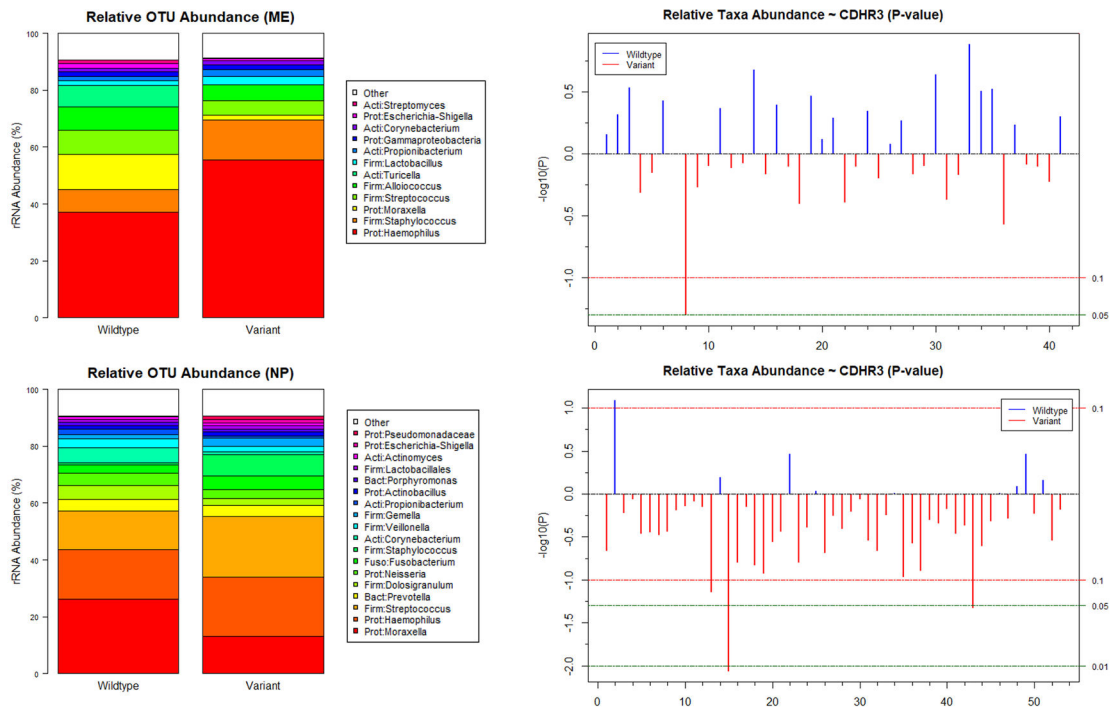


Figure 2.

Relative taxa abundance in the middle ear (ME) and nasopharynx (NP). *Left panels* summarize average relative abundances (RA) of predominant bacterial genera (taxa with RA <0.5% were collapsed into the “Other” group). *Right panels* show the results of non-parametric Wilcoxon rank sum tests, which plot $-\log(\text{nominal-p-values})$ for each genus along the x-axes. *Blue lines* indicate taxa that were of greater RA in the microbial samples from wildtype individuals vs. carriers of the *CDHR3* p.Cys529Tyr variant, whereas *red lines* indicate taxa that were of greater RA in carriers of the p.Cys529Tyr variant compared with wildtype. *Horizontal lines* mark p-values equal to 0.1, 0.05, or 0.01. The *CDHR3* p.Cys529Tyr variant was nominally associated with increased relative abundance of *Streptomyces* ($p=0.04$) in the ME and *Lysobacter* ($p=0.01$) in the NP

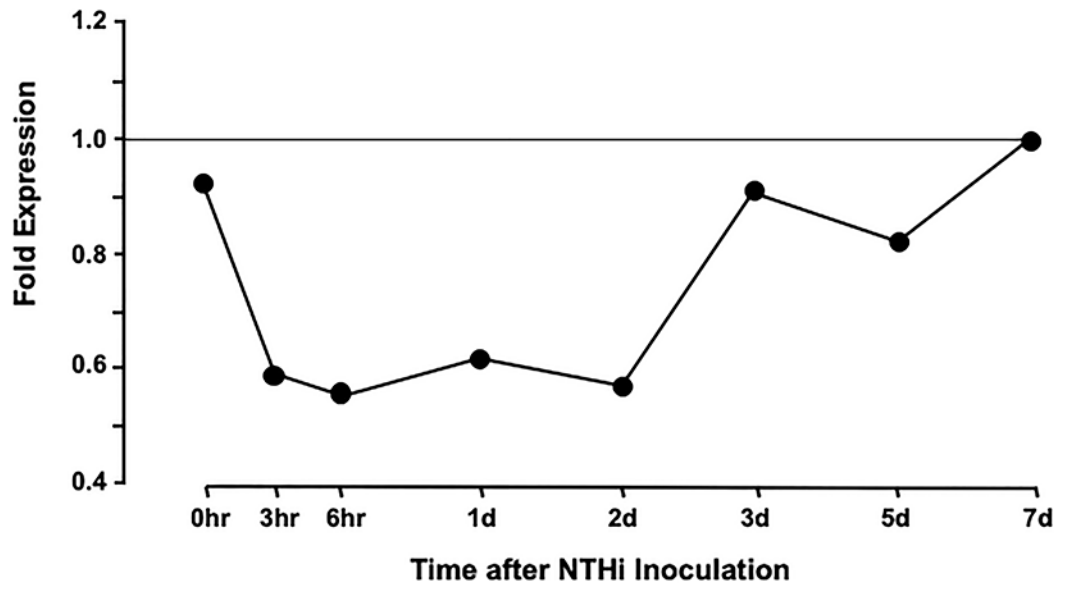


Figure 3. Relative *Cdhr3* expression after inoculation of wildtype mouse middle ears with non-typeable *Haemophilus influenzae* (NTHi). *Cdhr3* is significantly downregulated three hours post-infection (Table 5)

Table 1.*CDHR3* (NM_152750.4) variants identified in exome data from Finnish, Minnesotan and Pakistani families

Family ID	hg19 Position	cDNA Variant	Amino Acid Variant	rsID	Damaging Prediction ^a	CADD	gnomAD NFE MAF	gnomAD FIN MAF	gnomAD SAS MAF
72 FIN families, 16 UMN families	105615406	c.163G>A	p.Val55Met	rs35008315	LRT,MA,PP2,SI	23.0	0.20	0.18	0.14
72 FIN families, 16 UMN families	105615426	c.183G>C	p.Gln61His	rs34426483	--	12.1	0.20	0.18	0.14
FIN182, FIN250	105636709	c.622G>A	p.Val208Met	rs200019471	MA,mLR,mSVM,MT,PP2,SI	23.4	0.0003	0.002	0
PKOM3, PKOM10	105642086	c.862+30A>C	Intronic-near-splice	rs10229260	--	5.0	0.01	0.002	0.18
FIN204	105653317	C.1064C>T	p.Pro355Leu	rs202204966	MA,MT,PR,SI	15.9	0.00001	0.001	0
FIN100	105653388	C.1135C>T	p.Pro379Ser	rs200102793	LRT,MA,MT,PP2,PR,SI	23.2	0.0001	0.013	0
FIN352	105655642	c.1310delC	p.Pro439Leufs*12	rs778071363	MT (NMD)	--	0.0001	0.002	0
FIN85, FIN307, UMN233, UMN9338	105656471	c.1426G>A	p.Ala476Thr	rs143495908 ^b	PP2,SI	23.0	0.005	0.002	0.001
FIN152, FIN342	105658381	C.1516C>T	p.Leu506Phe	rs76067797^b	FA,PP2,SI	14.2	0.006	0.004	0.0008
120 FIN families, 14 UMN families, PKOM14	105658451	c.1586G>A	p.Cys529Tyr	rs6967330	--	14.3	0.17	0.30	0.20
26 FIN families, 9 UMN families, PKOM14	105658460	c.1595C>G	p.Thr532Ser	rs73195662	--	5.4	0.07	0.08	0.14
UMN123, FIN142, FIN332, 2 FIN trios, 3 FIN PPP, UMN48^c	105658521	c.1653+3G>A	Splice	rs117797654	MT	19.5	0.03	0.009	0.005
UMN8014	105660972	C.1807C>T	p.Arg603Cys	rs144905888	LRT,MA,MT,PP2,PR,SI	28.4	0.004	0.001	0.0006
FIN261, FIN636	105664935	c.2185T>C	p.Tyr729His	rs201969268	SI	16.2	0.0003	0.005	0
FIN7, FIN280, FIN321, UMN9161	105665004	c.2254C>A	p.Pro752Thr	rs117406926 ^b	SI	6.1	0.02	0.004	0.004
FIN258	105672877	c.2392G>T	p.Ala798Ser	rs140818720^b	LRT,MA,MT,SI	18.8	0.008	0.008	0.002
FIN278, FIN300, FIN329	105672985	c.2500A>G	p.Arg834Gly	rs184921567	--	10.4	0.0003	0.01	0

Abbreviations: CADD, scaled Combined Annotation-Dependent Depletion score; FA, FATHMM; FIN, Finnish; gnomAD, genome aggregation database v3.1.1; LRT, likelihood ratio test; MAF, population-matched minor allele frequency; mLR, MetaLR; mSVM, MetaSVM; MT,

MutationTaster; NFE, gnomAD non-Finnish European; NMD, predicted nonsense-mediated decay; PKOM, Pakistani family; PP2, PolyPhen2 HVAR; PPP, parent-proband pair; PR, PROVEAN; SAS, gnomAD South Asian; SI, SIFT; UMN, Minnesotan family. MAF estimates were rechecked in gnomAD v.3.1.1.

^aDamaging prediction from bioinformatics tools in dbNSFP41a. Variants in *bold* have additional supporting information on a role in OM susceptibility. Variants in *bold italics* are of unknown significance due to occurrence in 1-2 trio probands with no additional co-segregation data. **Note that 81% of probands with pathogenic variants or variants of unknown significance have both RAOM and COME diagnoses, while the rest have RAOM only.**

^bThe p.Ala476Thr and p.Leu506Phe variants have low-frequency Middle Eastern MAF=0.019. The p.Pro752Thr and p.Ala798Ser variants have low-frequency Amish MAF=0.03.

^cThe c.1653+3G>A splice variant is carried by only 3 out of 4 OM-affected relatives in family UMN48 (Figure 1). However the family also co-segregates a known *PLG* c.112A>G (p.Lys38Glu) variant [32], indicating multiple alleles conferring OM susceptibility within the same family.

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Table 2.Transmission disequilibrium tests for *CDHR3* variants in 399 US trios

Variant	White	Hispanic	Mixed/ Other	T	NT	TDT <i>p</i>
<i>Texas</i>						
p.Val55Met (rs35008315)	197	65	36	125	122	0.85
p.Gln61His (rs34426483)	195	65	36	115	118	0.84
p.Cys529Tyr (rs6967330)	208	68	40	86	107	0.16
p.Thr532Ser (rs73195662)	207	68	40	41	39	0.82
<i>Colorado</i>						
p.Cys529Tyr	62	9	12	26	24	0.78
p.Thr532Ser	62	9	12	10	12	0.67
<i>Combined</i>						
p.Cys529Tyr	270	77	52	117	135	0.26
p.Thr532Ser	269	77	52	53	52	0.92

All four variants are in Hardy-Weinberg equilibrium (total cohort or per ethnicity).

T, transmitted; NT, non-transmitted; TDT, transmission disequilibrium test.

Table 3.Study variables for Coloradan children with otitis media by occurrence of middle ear fluid (MEF) at surgery^a

Variable ^b	(+)MEF (n=61) ^c	(-)MEF (n=30) ^c	p-value ^d
<i>CDHR3</i> p.Cys529Tyr	14 (23.0)	15 (50.0)	0.035*
<i>FUT2</i> p.Trp154*	42 (68.9)	22 (73.3)	1
<i>PLG</i> p.Asp472Asn	31 (50.8)	16 (53.3)	0.82
Age	3.4 (3.7)	3.3 (2.6)	0.86
Male	42 (68.9)	18 (60.0)	0.55
Not White non-Hispanic	16 (26.2)	7 (23.3)	0.97
Family history of OM	36 (59.0)	22 (73.3)	0.27
Breastfed	55 (90.2)	26 (86.7)	0.88
Attended daycare	47 (77.0)	18 (60.0)	0.15
Exposure to cigarette smoke	7 (11.5)	5 (16.7)	0.74
History of allergies	13 (21.3)	11 (36.7)	0.21
History of asthma	4 (6.6)	3 (10.0)	0.87
History of allergic rhinitis	21 (34.4)	16 (53.3)	0.13
History of sinusitis	9 (14.8)	8 (26.7)	0.28
Antibiotic use	53 (86.9)	28 (93.3)	0.57
RAOM	38 (62.3)	28 (93.3)	0.004*

^aAll tests were performed with chi-square analyses except for age, which was performed with student's t-test.

^bAll three variants tested are in Hardy-Weinberg equilibrium within the Coloradan cohort. Previous antibiotic use was also associated with the *CDHR3* p.Cys529Tyr variant (p=0.03). RAOM, recurrent acute otitis media.

^cNumbers indicate counts (%), except for age which denotes average age in years (SD).

^dStatistically significant variables are in *bold font*.

Table 4.

Multivariate logistic regression analyses for presence of middle ear fluid (MEF) in Coloradan children with otitis media

Variables	n (%)	Bivariate OR (95%CI) ^a	p-value	Multivariate OR (95%CI) ^b	p-value
<i>CDHR3</i> p.Cys529Tyr (rs6967330)	29 (31.9)	0.33 (0.12,0.84)	0.02*	0.32 (0.10,0.94)	0.04*
Age (years)	91 (100)	1.01 (0.89,1.17)	0.87	0.97 (0.81,1.16)	0.74
Male	60 (65.9)	1.47 (0.59,3.66)	0.40	1.25 (0.41,3.74)	0.69
Not White non-Hispanic	23(25.3)	1.17 (0.43,3.40)	0.77	--	--
Attended daycare	65 (71.4)	2.24 (0.87,5.80)	0.09	1.73 (0.52,5.86)	0.37
Allergic rhinitis (AR)	37 (40.7)	0.46 (0.19,1.11)	0.09	0.46 (0.15,1.31)	0.15
RAOM	66 (72.5)	0.12 (0.02,0.44)	0.006**	0.10 (0.01,0.44)	0.007**

^aBivariate analyses using presence of MEF at surgery as outcome variable and each listed variable as independent determinant. Average age is 3.4 years. RAOM, recurrent acute otitis media.

^bModel for multivariate analysis: MEF at surgery ~ rs6967330 + age + sex + daycare + AR + RAOM. For ethnicity, White non-Hispanic is the reference group in logistic regression, while RAOM was compared to chronic otitis media.

* 0.05

** 0.01

Table 5.

Expression of *Cdhr3* (1110049B09Rik, Probe ID:1439147_at) during acute otitis media induced by middle ear inoculation of non-typeable *Haemophilus influenzae*

Time	Fold Change (range)	<i>p</i> -value
0h	0.92 (0.61 to 1.39)	0.87
3h	0.59 (0.59 to 0.60)	0.009
6h	0.57 (0.40 to 0.83)	0.38
1d	0.61 (0.58 to 0.65)	0.07
2d	0.58 (0.46 to 0.72)	0.24
3d	0.90 (0.73 to 1.11)	0.70
5d	0.79 (0.74 to 0.86)	0.20
7d	0.99 (0.95 to 1.02)	0.73

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