

HHS Public Access

Author manuscript Alzheimers Dement. Author manuscript; available in PMC 2022 January 14.

Published in final edited form as: Alzheimers Dement. 2021 December ; 17(12): 1905–1913. doi:10.1002/alz.12359.

Association of Life's Simple 7 with incident dementia and its modification by the apolipoprotein E genotype

Jing Guo, PhD1, **Adam M. Brickman, PhD**1,2,3, **Jennifer J. Manly, PhD**1,2,3, **Christiane Reitz, MD, PhD**1,2,3,4, **Nicole Schupf, PhD**1,2,4, **Richard P. Mayeux, MD**1,2,3,4, **Yian Gu, PhD**1,2,3,4

¹The Taub Institute for Research in Alzheimer's Disease and the Aging Brain, Columbia University, New York, NY.

²The Department of Neurology, Columbia University, New York, NY.

³The Gertrude H. Sergievsky Center, Columbia University, New York, NY.

⁴The Department of Epidemiology, Joseph P. Mailman School of Public Health, Columbia University, New York, NY.

Abstract

Introduction: There is limited and inconsistent reporting on the association between Life's Simple 7 (LS7) and dementia in the elderly population.

Methods: Based on Washington Heights-Inwood Columbia Aging Project (WHICAP) study, LS7 scores were estimated to assess cardiovascular health status. Associations between LS7 scores and incident dementia were investigated by Cox proportional hazards models.

Results: Among 1987 subjects, 291 incident cases of dementia were identified over a median follow-up of 5.84 years. Compared with subjects in the poor cardiovascular health group (scores 0 to 5), those in intermediate (6 to 9) and optimal (10 to 14) groups had lower dementia risk, with the hazard ratio (HR; 95% confidence interval [CI]) being 0.74 (0.54 to 1.00) and 0.59 (0.38 to 0.91), respectively. These results were significant in Apolipoprotein E genotype ε4 (APOE-ε4) allele noncarriers but not in carriers.

Discussion: Higher LS7 scores are protective for dementia, especially among the APOE-e4 noncarriers.

Keywords

dementia; cardiovascular health; Life's Simple 7; APOE-ε4; epidemiology

1. Introduction

With no effective medical treatments available, dementia remains a global challenge for health and social care [1]. Primary prevention through modifiable risk factors is thus an urgent priority to reduce the incidence of cognitive impairment and dementia [1, 2]. Much of

Corresponding author: Yian Gu, Ph.D., yg2121@cumc.columbia.edu, 630 West 168th Street, P&S Box 16, New York, NY 10032, Phone: 212-305-6684; Fax: 212-342-1838.

the evidence focuses on the individual lifestyle/cardiovascular risk factors [3–6]. However, recent evidence suggests that a multidomain intervention could be required for the optimum preventive effects on cognitive impairment and dementia [7–9].

The Life's Simple 7 (LS7), proposed by the American Heart Association (AHA) for primordial prevention of cardiovascular diseases, comprehensively defines ideal cardiovascular health as presence of four health behaviors (physical activity at moderate levels 150 min/wk , or at vigorous levels 75 min/wk , or at moderate and vigorous levels

150 min/wk, nonsmoking, body mass index $[BMI] < 25.0$ kg/m², and healthy diet habits including at least four of the following components: fruits and vegetables $\frac{4.5 \text{ servings/d}}{4.5 \text{ servings/d}}$, sodium <1500 mg/d, fish two 3.5 oz/wk, whole grains α servings/d, sugar-sweetened beverages <36 oz/wk) and three biological metrics (untreated total cholesterol <200 mg/dL, fasting blood glucose <100 mg/dL, and untreated blood pressure <120/<80 mm Hg) [10]. Emerging evidence indicates that the LS7 is inversely associated with the risks of dementia [11–15] and Alzheimer's disease (AD) [14]. However, nonsignificant associations of LS7 with cognition [16] and dementia [17] have also been reported. Results from a clusterrandomized controlled trial showed that a multidomain vascular care intervention did not lead to a reduction in incidence of all-cause dementia among an elderly population [18].

Apolipoprotein E genotype ε4 (APOE-ε4) allele is currently identified as the most important genetic risk factor for late-onset AD [19]. APOE-ε4 might lead to increased risk of AD through multiple mechanisms including interference with the clearance of amyloid-beta (Aβ), crosstalk with Aβ, lipid and glucose metabolism, and inflammation [19], many of which are the potential pathways through which LS7 or its components are linked with cognition or dementia [5, 20–22]. Only a few studies have investigated the associations between adherence to a healthy lifestyle clustering and risks of dementia by stratification of APOE-ε4 status [23–25], and the results were inconsistent, with both stronger [24] or weaker [23, 25] associations found in $APOE$ - $e4$ allele carriers than in noncarriers. To the best of our knowledge, no study has examined the effect modification of APOE-e4 carriage on the association between LS7 scores and dementia risk.

Due to the limited and inconsistent evidence, in the present study, we aimed to examine whether LS7 scores were associated with incident dementia risk and whether this association varied by APOE-ε4 allele status in a multi-ethnic elderly population.

2. Methods

2.1 Study design and population

The Washington Heights-Inwood Columbia Aging Project (WHICAP) is a multiethnic, community-based, prospective cohort study which is performed to explore risk factors for aging and dementia. Three waves of participants were recruited in 1992, 1999, and 2009 in WHICAP, all using similar study procedures [6, 26]. Briefly, participants were recruited from a probability sample of Medicare recipients who were 65 years and older, socioeconomically and racially diverse, and residing in northern Manhattan. At the study entry, each subject underwent a structured in-person interview of general health and function, followed by a comprehensive assessment including medical and neurological

histories, standardized physical, neurological and neuropsychological examinations. Participants were followed every 18–24 months, repeating similar baseline examinations.

The WHICAP study was approved by the Institutional Review Board at Columbia University Medical Center. Written informed consent was provided by all the participants.

Among all the 4945 subjects at baseline, cases with prevalent dementia were excluded ($n =$ 490) (Figure 1). Subjects were further excluded if they had no follow-up survey ($n = 1088$), had missing values on variables of interests (LS7 scores $[n = 1360]$, incident dementia $[n]$ = 10], education duration [n = 9], $APOE$ - $e4$ [n = 1]). Finally, a total of 1987 subjects were included in the present study.

2.2 Measurements of LS7 metrics

All the LS7 metrics were categorized into three grades of poor (coded as 0), intermediate (coed as 1), and optimal (coded as 2) according to the AHA criteria [10] with modifications in diet, physical activity and glucose in this study (Supplementary Table S1). Information about BMI, diet, smoking, physical activity, and blood pressure were collected from baseline interviews/examinations, and cholesterol and glucose levels were tested in the follow-up visit. For each LS7 component, the first available assessment at follow-up visit was used if the information is missing at baseline. BMI was calculated, using measured weight and height, as weight in kilograms divided by height in meters squared. Dietary information was collected using semi-quantitative food frequency questionnaire (SFFQ). Due to incomplete information of whole grain intake captured by this SFFQ, whole grain intake was not included in the construction of the diet metric. Leisure time physical activity (LTPA) was assessed using Godin physical activity form, and total LTPA dose was measured by metabolic equivalents (METs)-minutes/2-weeks. As in this older population the majority of subjects (nearly 85%) had the lowest levels of physical activity defined by the original AHA criteria, we modified the LTPA category cutoffs as low (no LTPA), middle and high levels, with the latter two levels based on median value of 1260 MET-minutes/2 weeks among those who reported non-zero LTPA. This method for LTPA categorization has a high capacity to predict incident AD in older adults [6]. After resting quietly in a seated position, blood pressure levels (mmHg) on the right arm were consecutively examined for three times every three minutes over nine minutes, and the third measurement was used [27]. Subjects who had ever smoked 1 cigarette per day for a period of one year or more were regarded as smokers [27], and were subsequently classified as past smokers when they had quit smoking, or current smokers when they were still smoking. Levels of total cholesterol, glucose, and HbA1c were measured according to standard research procedures [27]. Fasting plasma total cholesterol levels were tested using standard enzymatic techniques. HbA1c was quantified using boronate affinity chromatography with the Primus CLC 385 (Primus, Kansas City, MO). Glucose metric was preferentially assessed by the blood glucose, and was secondarily assessed by HbA1c levels if the glucose values were missing. HbA1c levels were categorized into poor, intermediate and ideal at the cut-off points of 6.5% and 5.7% [28].

The LS7 scores were calculated as the sum of seven components, ranging from 0 to 14 with higher scores meaning better cardiovascular health. LS7 scores were then categorized

as poor for scores ranging from 0 to 5 (< mean - standard deviation [SD]), intermediate for scores ranging from 6 to 9 (\equiv mean - SD and \lt mean + SD), and optimal for scores ranging from 10 to 14 (\equiv mean + SD) as suggested in the literature [15].

2.3 Clinical diagnosis of dementia

The primary outcome was all-cause dementia which was determined based on *Diagnostic* and Statistical Manual of Mental Disorders, 4th Edition criteria [29]. At each WHICAP visit, participants underwent a standardized neuropsychological battery including measures of memory, language, orientation, abstract reasoning, and visuospatial ability [30]. Dementia was diagnosed through diagnostic consensus conferences attended by a panel of neurologists, psychiatrists, and neuropsychologists, using results from the neuropsychological battery and evidence of impairment in social or occupational function. Incident dementia was identified when the subjects were firstly clinically diagnosed with dementia during the follow-up study among those with a previous diagnosis with no dementia.

2.4 Covariates

Demographics including age (years), sex (male, female), ethnicity (White, Black, Hispanic, and others) and education duration (years) were collected from baseline interviews. Daily calories intake (kcal) was obtained from the SFFQ administered at baseline. Depression status (yes, no) was assessed via the 10-item Centre for Epidemiological Studies Depression Scale (CES-D), and a cut-off score of 4 was used to identify individuals with major depression [31]. APOE-ε4 genotype was defined based on absence or presence (either 1 or 2) of ε4 alleles.

2.5 Statistical analysis

Characteristics of subjects across the categories of LS7 scores were compared using the analysis of variance and Chi-square test for the continuous and categorical variables, respectively. Associations of LS7 scores with risks of incident dementia were examined by the Cox regression models. The survival-time metrics were years of follow-up from the first survey through the last visit or diagnosis of incident dementia (whichever came first). Model 1 was adjusted for age, sex, and ethnicity, and Model 2 was further adjusted for education duration, cohort wave, daily calories intake, depression, and APOE-ε4 possession. Both categorical (setting the poor as reference) and continuous LS7 scores were used to fit Cox regression models. The method of scaled Schoenfeld residuals was used to check the validity of proportional hazards (PH) assumption for Cox regression models.

Interactions were examined by the product term between LS7 (continuous) and APOE-ε4 allele status (dichotomous) in the fully adjusted model, followed by stratified analyses by APOE-ε4 allele status. Analyses on the associations between individual LS7 components and dementia were performed with similar methods.

Sensitivity analyses were conducted when removing subjects with follow-up time less than two years $(n = 1831)$; when additionally adjusting for history of comorbidities including

hypertension, diabetes, and heart diseases at baseline. The association analysis was also performed by using the Weibull regression model.

All the data analyses were performed with R (version 3.6.1). Two-sided p values less than 0.05 were statistically significant.

3. Results

3.1 Characteristics of the study participants

The 1987 eligible subjects included in the present study were followed up over a median of 5.84 (ranging from 0.94 to 17.68) years, for a total of 13555.64 person-years. Among them, a total of 291 incident dementia cases were identified.

As shown in Table 1, the mean age was 75.33 (SD = 5.98) years, and about one third of the participants were males. About one quarter of the subjects were carriers of an APOE-e4 allele. Subjects with higher levels of LS7 scores were more likely to be males, to be White, to have a higher degree of education, to have lower prevalence of depression, hypertension, diabetes, and heart diseases, and to have a lower proportion of incident dementia.

3.2 Associations between LS7 scores and incident dementia

Decreased incidence rate of dementia was observed with improved levels of LS7 metrics (Table 2). After full adjustments, compared with subjects with poor cardiovascular health, decreased risks of incident dementia was found in the intermediate (hazard ratio [HR] = 0.74, 95% CI = 0.54 to 1.00) and optimal (HR = 0.59, 95% CI = 0.38 to 0.91) groups (p_{trend}) $= 0.020$). An increase of one point in LS7 scores was significantly related with a decreased risk of dementia of 8% (HR = 0.92 , 95% CI = 0.86 to 0.98). The PH assumption was not significantly violated for continuous ($p = 0.101$) and categorical ($p = 0.086$) LS7 scores in the fully adjusted Cox regression models.

3.3 Examination of effect modification by APOE-ε**4 allele status**

The interaction between LS7 and *APOE*-e4 allele status was not statistically significant $(p_{\text{interaction}} = 0.249)$. The association between LS7 and dementia risk was significant in APOE-ε4 noncarriers but not in carriers (Table 2).

3.4 Associations between LS7 components and incident dementia

Among all the subjects, with improved levels of physical activity, the risks of dementia significantly decreased. Other factors were not associated with dementia risk.

The interactions between smoking scores and *APOE*-e4 allele status were statistically significant ($p_{interaction} = 0.020$), while no interaction was found for *APOE* with other LS7 components. In *APOE*-e4 allele carriers, LS7 components, except for physical activity, were not associated with dementia risk. Among subjects who had no APOE-e4 allele, those at the optimal levels of physical activity, smoking, and glucose had significantly lower dementia risk.

3.5 Sensitivity analyses

Similar associations of LS7 scores with risks of dementia were observed when excluding subjects whose follow-up duration was less than two years (Supplementary Table S2), and when the history of comorbidities at baseline were additionally adjusted (Supplementary Table S3). Robust results were found by using the Weibull regression models for association analysis (Supplementary Table S4).

4. Discussion

Results in the current study indicated that ideal LS7 cardiovascular health was associated with decreased risks of all-cause dementia in the elderly population. In addition, improved levels of LS7 scores and the LS7 components of smoking and blood glucose were significantly associated with reduced dementia risk in the *APOE*-e4 allele noncarriers but not in carriers. Decreased risks of dementia associated with physical activity were found regardless of APOE-ε4 status.

Lifestyle factors may affect the dementia risk through cardiovascular and neurodegenerative brain pathologies including vascular, oxidative stress, inflammatory, and neurotoxic processes [8]. Due to the complex and heterogeneous nature of dementia, multidomain intervention targeting different risk factors and mechanisms simultaneously is recommended to achieve optimal preventive effects [7]. Higher LS7 scores at midlife was reported to be related with higher volumes of grey matter and whole brain [15], and with reduced cognitive decline in late life [32]. Consistent with previous studies [11, 15], the current study demonstrated that higher LS7 scores were associated with decreased risks of dementia. However, evidence from other observational studies indicated nonsignificant associations among mid-aged adults in Germany [17], and with cognitive function in the elderly population in Chile [16]. Besides, results from randomized controlled trials demonstrated that a 2-year multidomain intervention (diet, cognitive training, exercise, and vascular risk monitoring) could maintain or improve the cognitive function for elderly population in Finland [7], but a 6-year multidomain vascular care intervention (diet, physical activity, weight, smoking, and blood pressure) did not reduce the incidence of dementia for older people in Netherlands [18]. Overall, it seems that inconsistent associations of cardiovascular health with dementia risk can be due to multiple reasons, including difference in measuring outcomes and LS7, length of follow up time, and population characteristics such as age, race/ethnicity, and genetic background.

We found that associations of LS7 scores with dementia were significant among APOE-e4 noncarriers, but was nonsignificant among carriers. Our findings were in line with the evidence from other studies [23, 25]. Among the Japanese-American men (mean age = 52 years), the composite effects of lifestyles including BMI, smoking, diet, and physical activity on dementia risk were statistically significant for APOE-ε4 allele noncarriers, but not for the carriers [23]. A clustering of healthy lifestyle factors was also found to be related to decreased dementia risk among the APOE-ε4 negative participants of the Rotterdam study only (mean age = about 69 years), but not in $APOE$ -e4 carriers [25]. However, inconsistent results were observed that APOE-ε4 allele carriers, rather than noncarriers, in the Finnish adults (mean age = 50.6 years) had lower risks of dementia associated with a

combination of healthy lifestyle factors [24]. A retrospective cohort study concluded that a favorable lifestyle pattern was related to lower dementia risk among Europeans (mean age $= 64.1$ years) with high polygenic risk scores [33]. In a randomized clinical trial study, the effects of multidomain intervention on cognitive change was not significantly different among APOE-ε4 allele carriers and noncarriers [34].

Subjects in our study (mean age at baseline $= 75.33$ years) were older than some of the abovementioned epidemiological studies. APOE-ε4 allele contributes to neuronal degeneration through the acceleration of Aβ deposition and neurotoxicity [35]. The effects of APOE-ε4 allele on dementia vary at different age stages [36] and accumulate with advancing age, ultimately showing more detrimental effects in older individuals. The health benefits of favorable lifestyle factors may be offset and masked by the accumulated detrimental effects in older APOE-e4 allele carriers, which may explain the significantly protective associations of LS7 with dementia in *APOE*-e4 allele noncarriers but not in carriers in the present study. Besides, because APOE-e4 allele are related with earlier onset of dementia and premature mortality [19], and the mean of LS7 scores was not statistically different between APOE- ϵ 4 carriers and noncarriers in this study ($p = 0.816$), the dementia risk in surviving, nondemented, and older APOE-ε4 carriers is likely to be less affected by the lifestyle factors later in life [25]. Additionally, we used LS7 scores which contains both health behaviors and biological metrics to assess the cardiovascular health comprehensively, while the biological components of LS7 such as hypertension, glucose, and cholesterol were not included in previous studies [23–25, 33]. As mechanisms underlying the modification effects of *APOE*-e4 allele are not yet fully understood, more biological measurements may help better understand the relevant mechanisms.

Similar to our findings, only three LS7 components, including physical activity, smoking status, and glucose, were identified to be significantly associated with dementia risk in German adults [17]. Subjects with higher levels of physical activity had reduced risks of dementia regardless of APOE-ε4 allele status in our study [6]. Physical activity can ameliorate the metabolic and vascular factors and psychological stress, and can favor amyloid clearance and improve cognitive reserve [8]. Our results are consistent with several large epidemiological studies reporting benefits of LTPA and lack of interaction between LTPA and APOE on cognitive function [37, 38]. A recent study did find AD patients who were APOE e4 carriers benefitted more from the exercise intervention by preservation of cognitive performance [39]. However, the study was over-represented by *APOE*-e4 carriers (72% of all subjects) and included only 55 noncarriers. Thus, the null results in noncarriers may be limited by small sample size. Overall, current evidence suggests that LTPA might be an important intervention target for dementia prevention among APOE-ε4 carriers.

Our data demonstrated significant associations of favorable smoking status (never smoking vs. current smoking) with reduced dementia risk in APOE-e4 noncarriers but not in carriers. Similarly, in previous studies from WHICAP as well as other large population-based studies, current smokers had lower cognitive function [40, 41] and elevated AD risk [3, 21] compared with nonsmokers, and the association was stronger among elderly APOE-ε4 allele noncarriers than carriers. However, significant results of smoking have also been found in

APOE-ε4 allele carriers rather than noncarriers in other previous studies with limited sample size [42] or younger participants [20].

Higher levels of glucose have been reported to be related with elevated risks of dementia [43]. In the current study we found similar association between glucose and dementia risk in APOE-ε4 allele noncarriers only. Levels of insulin, glucose, and Homeostatic Model Assessment-Insulin Resistance were related with increased AD risks or lower cognitive function among APOE-ε4 negative but not ε4 positive subjects based on data from prospective cohort [5, 44] and cross-sectional studies [45], which were consistent with our findings.

The present study did not find blood pressure to be related with risk of dementia in the elderly population. Hypertension in midlife but not late life has been proposed to be associated with increased risk of cognitive impairment and dementia [46]. Consistent with our findings, a previous WHICAP study reported that hypertension after age 65 years is not associated with the risk of cognitive decline and AD [47]. Results from other cohort studies also demonstrated that elevated blood pressure levels in late life are not significantly associated with risk of all-cause dementia [48] and cognitive decline [49].

Several limitations need to be noted in our study. A single measurement of LS7 scores may not capture the average levels of cardiovascular health during the whole follow-up period. Self-reported information on lifestyle may lead to a bias in LS7 scores. The lifestyle may also be affected by the preclinical dementia and other chronic conditions. However, when we excluded subjects with a short follow-up time below two years and additionally adjusted for other comorbidities to reduce potential reserve causality, we found robust results. Some subjects were excluded due to missing data or lack of follow-up visits, which might also induce selection bias. Influence of missing data on LS7 scores was likely to be limited because no significant differences in LS7 scores between the subjects excluded and included were found ($p > 0.05$). The weak association of LS7 scores with dementia among APOE-ε4 carriers should be interpreted with cautious due to a relatively small sample size of APOE-ε4 carriers and small numbers of incident dementia cases among them.

There are many advantages in this study. Our study makes timely contributions as the field of dementia prevention now moves toward multifactorial interventions. Guided by potential biological mechanisms and increasing interest in precision prevention, we a priori decided to perform stratified analyses by APOE status so our results can be valuable for future studies looking for prevention measures for at-risk populations. The generalizability of our findings is improved by the multiethnic and community-based participants. The incident dementia was identified based on the standard criteria and consensus diagnosis.

5. Conclusions

In conclusion, a favorable cardiovascular health was related to lower risks of dementia in the elderly population, especially for the APOE-ε4 allele noncarriers. Continued search for protective factors among APOE-ε4 carriers for dementia prevention is highly warranted.

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Acknowledgements

Data collection and sharing for this project was supported by the Washington Heights-Inwood Columbia Aging Project (WHICAP, PO1AG007232, R01AG037212, RF1AG054023, R01AG059013, R56AG060156, AG061008) funded by the National Institute on Aging (NIA) and by the National Center for Advancing Translational Sciences, National Institutes of Health, through Grant Number UL1TR001873. C.R. was funded by NIH grants RF1AG054080, P30AG066462, R01AG064614, and U01AG052410.

This manuscript has been reviewed by WHICAP investigators for scientific content and consistency of data interpretation with previous WHICAP Study publications. We acknowledge the WHICAP study participants and the WHICAP research and support staff for their contributions to this study.

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Figure 1.

Flow chart of subject selection.

Table 1.

Characteristics of subjects across LS7 categories.

Abbreviations: APOE-ε4, apolipoprotein E genotype ε4; LS7, Life's Simple 7; SD, standard deviation; %, proportion.

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Table 2.

Associations between LS7 scores and incident dementia. Associations between LS7 scores and incident dementia.

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Abbreviations: LS7, Life's Simple 7; HR, hazard ratio; CI, confidence interval; APOE-ε4, apolipoprotein E genotype ε4.

 $^{\rm 2}$ Model 1 was adjusted for age, sex and race. Model 1 was adjusted for age, sex and race.

Model 2 was adjusted for terms in Model 1 plus education, cohort wave, calories intake, depression and APOE-e4. APOE-e4 allele status was not adjusted within each subgroup of APOE-e4 noncarriers Model 2 was adjusted for terms in Model 1 plus education, cohort wave, calories intake, depression and APOE-e4. APOE-e4 allele status was not adjusted within each subgroup of APOE-e4 noncarriers and carriers. and carriers.

Values in bold mean statistically significant ($p < 0.05$). Values in bold mean statistically significant ($p < 0.05$).

Table 3.

HR (95% CI) for incident dementia associated with LS7 components.

Abbreviations: LS7, Life's Simple 7; HR, hazard ratio; CI, confidence interval; APOE-ε4, apolipoprotein E genotype ε4.

a
Interactions were tested by adding a product term between scores of LS7 component (continuous) and APOE-ε4 allele status (dichotomous) in the adjusted Cox regression model.

 b Cox regression models were adjusted for age, sex, race, education, cohort wave, calories intake, depression and APOE-e4 allele status.

 c Cox regression models were adjusted for age, sex, race, education, cohort wave, calories intake and depression.

Values in bold mean statistically significant ($p < 0.05$).