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## The Association of Mitochondrial Copy Number With Sarcopenia in Adult Survivors of Childhood Cancer

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## Abstract

Background: Adult childhood cancer survivors are at risk for frailty, including low muscle mass and weakness (sarcopenia). Using peripheral blood mitochondrial DNA copy number (mtDNAcn) as a proxy for functional mitochondria, this study describes cross-sectional associations between mtDNAcn and sarcopenia among survivors. Methods: Among 1762 adult childhood cancer survivors (51.6% male; median age = 29.4 years, interquartile range [IQR] = 23.3-36.8), with a median of 20.6 years from diagnosis (IOR = 15.2-28.2), mtDNAcn estimates were derived from whole-genome sequencing. A subset was validated by quantitative polymerase chain reaction and evaluated cross-sectionally using multivariable logistic regression for their association with sarcopenia, defined by race-, age-, and sex-specific low lean muscle mass or weak grip strength. All statistical tests were 2-sided. Results: The prevalence of sarcopenia was 27.0%, higher among female than male survivors (31.5% vs 22.9%; P < .001) and associated with age at diagnosis; 51.7% of survivors with sarcopenia were diagnosed ages 4-13 years (P = .01). Sarcopenia was most prevalent (39.0%) among central nervous system tumor survivors. Cranial radiation (odds ratio [OR] = 1.84, 95% confidence interval [CI] = 1.32 to 2.59) and alkylating agents (OR = 1.34, 95% CI = 1.04 to 1.72) increased, whereas glucocorticoids decreased odds (OR = 0.72, 95% CI = 0.56 to 0.93) of sarcopenia. mtDNAcn decreased with age ( $\beta = -0.81$ , P = .002) and was higher among female survivors ( $\beta = 9.23$ , P = .01) and among survivors with a C allele at mt.204 ( $\beta = -17.9$ , P = .02). In adjusted models, every standard deviation decrease in mtDNAcn increased the odds of sarcopenia 20% (OR = 1.20, 95% CI = 1.07 to 1.34). Conclusions: A growing body of evidence supports peripheral blood mtDNAcn as a biomarker for adverse health outcomes; however, this study is the first to report an association between mtDNAcn and sarcopenia among childhood cancer survivors.

Five-year survival rates for pediatric cancers approach 85% (1). The estimated 500 000 childhood cancer survivors living in the United States today are at increased risk for an aging phenotype (2-4), with abnormal body composition and reduced strength conferring disproportionate risk for chronic disease and mortality (2,3,5,6). Reductions in lean muscle mass and strength observed among survivors (2,5,7) are similar to age-related loss of muscle mass and function characteristic of sarcopenia, a determinant of frailty in geriatric populations (5,6,8). Although primary cancer diagnosis and therapy are implicated as risk factors (2,4,9-11), molecular mechanisms involved in premature development of sarcopenia or frailty in childhood cancer survivors are not yet elucidated (9).

Dysfunctional mitochondria are implicated in sarcopenia pathogenesis (12-14). As the primary source of cellular energy, mitochondria produce adenosine triphosphate via oxidative phosphorylation (OXPHOS). Multiple copies of a circular, double-stranded, 16.5 kb mitochondrial genome encode 13

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polypeptides instrumental for cellular respiration and RNA machinery (2 rRNAs and 22 tRNAs) for translation (15,16). When mitochondrial DNA (mtDNA) integrity is compromised, either through environmental damage or replication error, OXPHOS capacity is impeded (17,18), and impaired cellular function and pathological states ensue. Skeletal muscles, highly metabolic and densely packed with mitochondria (19-21), are particularly vulnerable; neuromuscular dysfunction is a common feature of diseases caused by mtDNA mutations (22,23).

Age-related decline in mitochondrial function is described, with mitochondrial DNA copy number (mtDNAcn) used as a proxy for mitochondrial function and OXPHOS capacity (21,24), in skeletal muscle and peripheral blood (PB) samples from healthy individuals (25-28). Some data indicate that copy number losses begin in middle age (29,30), and associations between PB mtDNAcn and frailty are apparent among older adults (25,30,31).

To advance understanding about the relationship between mitochondrial function and physiological decline, we examined the association between mtDNAcn and sarcopenia among adult survivors of childhood cancer.

## Methods

## **Study Population**

Participants were enrolled onto the institutional review boardapproved St Jude Lifetime Cohort (SJLIFE) and assessed September 7, 2007, and June 30, 2015. SJLIFE assesses health outcomes among survivors of childhood cancer (32,33). Survivors aged 18 years and older at follow-up, and 10 or more years fromprimary diagnosis were eligible. Assessments were all completed 10 or more years from diagnosis. Informed consent was obtained prior to protocol-driven uniform clinical evaluation, physical performance testing, and collection of biological specimens. Of 1848 germline samples sequenced, analysis was restricted to 1762 (95.3%) survivorswith available muscle mass and grip strength measures who were not treated with allogeneic stem-cell transplantation.

#### Outcome

Sarcopenia, defined by low lean muscle mass or handgrip weakness (at least 1 component), was the primary outcome. Relative appendicular lean muscle mass was determined from dual x-ray absorptiometry and height; the sum of extremity lean mass divided by height in meters squared. Isometric handgrip strength (kilograms) was measured in sitting using a Jamar handheld dynamometer, with the shoulder at 0°-10°, elbow at 90° flexion, and forearm neutral (34,35). Individuals were classified with low mass when relative lean mass was less than -1.5 standard deviations below age-, sex-, and race-specific values (36). Muscle weakness was determined from body mass index-specific cut-points for strength (8).

#### Whole-Genome Sequencing (WGS) mtDNAcn Estimates

During clinical evaluation, PB samples were obtained and cryopreserved. Germline DNA was extracted using the DNA Blood Mini kit (Qiagen, Valencia, CA); 1 ug of fluorometrically quantified material was subjected to whole-genome pair-end sequencing on a HiSeq X Ten System (Illumina Inc, San Diego, CA) at HudsonAlpha Institute for Biotechnology Genomic Services Laboratory (Huntsville, AL). Using Burrows-Wheeler Aligner v.0.7.12 (37), sequencing reads were aligned to human reference genome build 38 (GRCh38) and the revised human mitochondrial reference (NC\_012920) (37-39). Average coverages for genomic and mitochondrial DNA were called using the depth command in SAMtools v.1.2 (Source Forge, San Diego, CA; http://samtools.sourceforge.net/) (40) and were considered proportional to copy number. To validate the pipeline, DNA from a 143B osteosarcoma cell line lacking mitochondrial DNA ( $\rho$ 0) was sequenced as a negative control. Mitochondrial DNA copy number (mtDNAcn) was estimated by multiplying the ratio of mitochondrial to genomic coverage by 2 to account for the diploid nature of autosomal DNA (31).

# Quantitative Polymerase Chain Reaction (qPCR) mtDNAcn Estimates

Although WGS is considered a precise method of determining mtDNAcn (28), qPCR is often used to validate next-generation sequencing (30,31). WGS estimates of mtDNAcn were validated for a subset (n = 95) of survivors by qPCR using SsoAdvanced Universal SYBR Green Supermix reagent (Bio-Rad, Hercules, CA) and 10 ng of germline DNA from survivors and the ( $\rho$ 0) negative control. Primers against the mitochondrial-encoded NADH dehydrogenase 2 (MT-ND2) gene were designed using National Center for Biotechnology Information Primer Blast (Bethesda, MD) (forward: 5'-ACCAAACCCAGCTACGCAAA-3'; reverse: 5'-AGTAGTAGGGTCGTGGTGCT-3'), and primers used against the nuclear-encoded  $\beta$ 2-microglobulin ( $\beta$ 2M) gene (forward: 5'-TGCTGTCTCCATGTTTGATGTATCT-3'; 5'reverse: TCTCTGCTCCCACCTCTAAGT-3') were published previously (41). qPCR reaction and thermocycling conditions were conducted according to manufacturer protocol. The assay was performed on a QuantStudio 7 Flex Real-Time PCR System (Applied Biosystems, Foster City, CA). Relative mtDNAcn was determined by taking the difference in  $C_T$  values between  $\beta$ 2M and MT-ND2 genes and accounting for diploid nuclear DNA (2 x  $2^{\Delta CT}$ ) (41).

#### **Independent Variables**

Variables included sex; race and ethnicity; cancer diagnosis (hematological, central nervous system [CNS], solid [non-CNS] tumors, and other malignancies); smoking status; physical activity status; average daily dietary protein intake; age at diagnosis; age at assessment; time since diagnosis (in quartiles); type of chemotherapeutic agent (alkylating, anthracyclines, glucocorticoids); and radiation site exposures (any, chest, cranial, abdominal). Demographic characteristics and treatment exposures were extracted from medical records; smoking, physical activity status (42), and dietary intake (43) were self-reported. Single nucleotide polymorphisms (associated gene) and 1 mtDNA sequence transition associated with sarcopenia in other populations were identified by WGS and included rs464553 (NUDT3), rs1028883 (KLF5), rs3129753 (HLA-DQB1-AS1) (44), rs9991501 (HSD17B11), rs2287926 (VCAN), rs4842924 (ADAMTSL3), rs9936385 (FTO), rs2943656, (IRS1) (45), and mt.204C (46). For mtDNA haplogroup assignment, sequencing data was submitted through HaploFind (47) and categorized as "Ancestral," "Asian," "European," or "Reference," based on human mtDNA migratory patterns (48).

## **Statistical Analysis**

Descriptive statistics characterized the study population. Survivors without sarcopenia were compared with survivors

with 1 sarcopenia component and both sarcopenia components with  $\chi^2$  and Fisher exact tests for categorical measures and Wilcoxon rank-sum tests for median age at assessment and mtDNAcn. The association between WGS and qPCR was evaluated using Pearson correlation. Associations between sex, ancestry, age, treatment exposures, mt.204C, and mtDNAcn were evaluated in general linear models. Multivariable logistic regression was used to assess risk factors for sarcopenia with estimates reported as odds ratios (ORs) with 95% confidence intervals (CIs). Variable selection for model inclusion used 2 methods. Biologically important factors (sex, age at diagnosis, age at assessment, corticosteroid treatment, and radiation exposures) (2,49-52) along with factors identified from bivariate analyses (P < .05) were included in a multivariable model with mtDNAcn and 2- and 3-way interactions between treatment exposures. Elastic net was then used to select covariates for model inclusion to assess prediction accuracy and reduce prediction error (Supplementary Table 1, available online) (53). Variables from both methods were put into the final model and retained if statistically significant for 1 or both component outcomes. To determine if mtDNAcn mediated the association between host and treatment-related risk factors and sarcopenia, a separate model that retained all factors except mtDNAcn was constructed to evaluate potential changes in parameter estimates (54). Analyses were conducted with SAS version 9.4 (SAS Institute, Inc, Cary, NC). All statistical tests were 2-sided, and a P value less than .05 was considered statistically significant.

## Results

## Population

Of 1848 survivors sequenced, 95.3% (n = 1762) had performance data available. Participants and nonparticipants did not differ by sex or age at assessment. Nonparticipants were less likely to have exposures to chest and/or abdominal radiation, anthracyclines, or corticosteroids and more likely to be diagnosed at ages 9-13 years, have solid tumors, and be Black.

Participant characteristics are in Table 1. The prevalence of sarcopenia was 27.0%, with median mtDNAcn of 301 and 310 among survivors with and without sarcopenia, respectively (P = .004). Differences in haplogroup, race, and ethnicity were not statistically significant. Nearly half of participants survived 10-19 years, with no differences in median age between those with and without sarcopenia. The prevalence of sarcopenia varied by sex (female 31.5%, male 22.9%; P < .001) and was most prevalent (39.0%) among survivors of CNS malignancies and those diagnosed at ages 4-13 years (51.7%; P = .01).

#### mtDNAcn Validation

We found a positive, statistically significant linear relationship between WGS and qPCR estimates (Pearson correlation, r = 0.583; P < .001) (Figure 1). In adjusted models, mtDNAcn was associated with female sex ( $\beta = 9.23$ ; P = .04), age ( $\beta = -0.81$ ; P = .002), and with a C vs T allele at mt.204 ( $\beta = -17.9$ ; P = .02). The only treatment-related risk factor associated with mtDNAcn was age at diagnosis of 4-13 years ( $\beta = -14.2$ ; P = .01).

#### **Bivariate Models**

In bivariate models (Table 2), mtDNAcn, female sex, age at diagnosis, and radiation exposure were positively associated with

having at least 1 component of sarcopenia. Using blood malignancies as the reference, CNS (OR = 2.23, 95% CI = 1.64 to 3.03) and solid tumor (OR = 1.49, 95% CI = 1.18 to 1.89) survivors had higher odds of sarcopenia. Glucocorticoids were associated with decreased odds (OR = 0.65, 95% CI = 0.52 to 0.81). Additionally, although anthracyclines appeared to be associated with reduced odds of sarcopenia, the association was dependent on radiation exposure; survivors who received radiation were less likely to receive anthracyclines. Among survivors (n = 756) who never received radiation, anthracyclines were not associated with sarcopenia (OR = 0.81, 95% CI = 0.60 to 1.11). Inactivity (<150 minutes of moderate or vigorous physical activity per week) (OR = 1.86, 95% CI = 1.50 to 2.30) and AA genotype at rs2943656 (IRS1) (OR = 1.52, 95% CI = 1.11 to 2.08) were also associated with sarcopenia. Alternately, secondary analysis (both components) indicated that mtDNAcn, female sex, age at diagnosis, time since diagnosis, tumor type, cranial radiation, alkylating agent exposures, inactivity, Asian haplogroup, and presence of a T allele at rs9991501 (HSD17B11) were associated with sarcopenia. A TT genotype at rs4842924 (ADAMTSL3) appeared protective.

#### Multivariable Models

In multivariable models (Table 3), for every standard deviation decrease in mtDNAcn, the odds of having 1 component of sarcopenia increased by 20% (OR = 1.20, 95% CI = 1.07 to 1.34). Odds of sarcopenia were higher among female survivors (OR = 1.58, 95% CI = 1.27 to 1.99) and survivors treated with cranial radiation and not exposed to anthracyclines (OR = 1.84, 95% CI = 1.32to 2.59). Exposure to glucocorticoids was associated with reduced odds (OR = 0.72, 95% CI = 0.56 to 0.93), whereas alkylators were associated with increased odds (OR = 1.34, 95% CI = 1.04 to 1.72) of sarcopenia. Inactivity (OR = 1.68, 95% CI = 1.34 to 2.10) and AA genotype at rs2943656 (IRS1) (OR = 1.48, 95% CI = 1.10 to 2.01) also remained associated with increased odds of sarcopenia. In analysis adjusting for tumor type instead of treatment exposure (Figure 2), a 1 standard deviation decrease in mtDNAcn was associated with 1.49 (95% CI = 1.11 to 2.01) increased odds, with survivors of CNS tumors (OR = 4.05, 95% CI =2.15 to 7.65) having highest odds of sarcopenia. There was no evidence of mediation between treatment and odds of sarcopenia. Parameter estimates did not change appreciably when mtDNAcn was removed from models.

In secondary analysis (both low lean mass and weakness), sarcopenia prevalence was 3.5% (Table 1). In this context, the association between mtDNAcn and sarcopenia was stronger (OR = 1.61, 95% CI = 1.18 to 2.20) and emphasized the influence of cranial radiation (OR = 3.29, 95% CI = 1.38 to 7.83) and female sex (OR = 3.13, 95% CI = 1.74 to 5.62) (Table 3). Exposure to alkylators remained positively associated with sarcopenia (OR = 2.90, 95% CI = 1.46 to 5.77); treatment with corticosteroids did not (OR = 0.68, 95% CI = 0.36 to 1.30). Survivors of Asian ancestry (OR = 4.21, 95% CI = 1.33 to 13.30) had increased odds for both low lean mass and weakness.

## Discussion

Adult survivors of childhood cancer are at increased risk for physiological frailty, with concomitant reduction of muscle mass or function (3). Our study found a prevalence of sarcopenia among survivors of 27.0%, with female survivors disproportionately affected. By comparison, an evidence-based

Table 1. Characteristics of 1762 childhood cancer survivors with and without sarcopenia<sup>a</sup>

Characteristic	Sarcopenia (both components) A No. (%)	Sarcopenia (at least one component) B No. (%)	No sarcopenia (no components) C No. (%)	P <sup>b</sup> A vs C	P <sup>b</sup> B vs C
	CO (O E)	476 (07.0)	4005 (70.0)		
Total Modian ago at accomment (IOP) y	62 (3.5) 26 4 (21 4 24 7)	4/6 (2/.0)	1286 (73.0) 20 6 (22 5 26 6)	04	45
Modian mtDNA cn (IOP)	20.4 (21.4-54.7)	20.9 (22.7-37.3)	29.0 (23.3-30.0)	.04	.45
mtDNAcn standard doviation (IOP)	204.2 (233.0-342.1)	24 (29 4 0)	25 (200.3-378.9)	.008	.004
Sev	5.2 (2.7-5.6)	5.4 (2.9-4.0)	5.5 (2.9-4.5)	.008	.004
Female	41 (66 1)	268 (56 3)	584 (45 4)	001	< 001
Male	21 (33 9)	208 (43 7)	702 (54 6)	.001	<.001
Race	21 (33.3)	200 (15.7)	, 02 (5 1.0)		
White	57 (91.9)	394 (82.8)	1088 (84.6)	.28	.62
Black	5 (8.1)	79 (16.6)	192 (14.9)		
Other	0 (0.0)	3 (0.6)	6 (0.5)		
Ethnicity					
Non-Hispanic	59 (95.2)	468 (98.3)	1262 (98.1)	.10	.80
Hispanic	3 (4.8)	8 (1.7)	24 (1.9)		
Age at diagnosis, y					
0-3	15 (24.2)	137 (28.8)	386 (30.0)	.02	.04
4-8	26 (41.9)	136 (28.6)	312 (24.3)		
9-13	11 (17.7)	110 (23.1)	265 (20.6)		
14-24	10 (16.1)	93 (19.5)	323 (25.1)		
Age at assessment, y					
18-24	28 (45.2)	167 (35.1)	404 (31.4)	.16	.18
25-30	12 (19.4)	107 (22.5)	303 (23.6)		
31-37	12 (19.4)	93 (19.5)	306 (23.8)		
38-64	10 (16.1)	109 (22.9)	273 (21.2)		
Time since diagnosis, y					
10-19	36 (58.1)	234 (49.2)	604 (47.0)	.13	.08
20-29	19 (30.7)	130 (27.3)	420 (32.7)		
30-49	7 (11.3)	112 (23.5)	262 (20.4)		
Diagnostic group					
Hematological	24 (38.7)	206 (43.3)	720 (56.0)	<.001	<.001
CNS	20 (32.3)	90 (18.9)	141 (11.0)		
Solid, non-CNS	16 (25.8)	178 (37.4)	417 (32.4)		
Other malignancies	2 (3.2)	2 (0.4)	8 (0.6)		
Any radiation				00	004
Yes	42 (67.7)	313 (65.8)	693 (53.9)	.03	<.001
NO	20 (32.3)	163 (34.2)	593 (46.1)		
Abdominal radiation	00 (00 0)	145 (20 5)	050 (00 1)	00	. 001
res	20 (32.3)	145 (30.5) 221 (CO F)	258 (20.1)	.02	<.001
INO Creanial rediction	42 (67.7)	331 (69.5)	1028 (79.9)		
Vac	22 (52.2)	178 (27.4)	240 (27.1)	- 001	< 001
i es	20 (46 8)	1/8 (57.4)	249 (27.1) 027 (72.0)	<.001	<.001
Chest radiation	25 (40.0)	238 (02.0)	557 (72.5)		
Vec	23 (37 1)	183 (38 5)	371 (28 9)	16	001
No	39 (62 9)	293 (61 6)	915 (71 2)	.10	.001
Anthracycline	55 (02.5)	233 (01.0)	515 (71.2)		
Ves	31 (50 0)	237 (49.8)	811 (63 1)	04	< 001
No	31 (50.0)	239 (50.2)	475 (36 9)	.01	<.001
Alkylating agents	51 (5010)	200 (0012)	1, 5 (5615)		
Yes	45 (72 6)	285 (59 9)	753 (58 6)	03	62
No	17 (27.4)	191 (40.1)	533 (41.4)		
Glucocorticoids	(				
Yes	24 (38.7)	174 (36.6)	604 (47.0)	.20	<.001
No	38 (61.3)	302 (63.4)	682 (53.0)		
Smoking	<u> </u>		<u> </u>		
Never	45 (73.8)	313 (67.0)	848 (66.7)	.48	.44
Current	10 (16.4)	97 (20.8)	242 (19.0)		
Former	6 (9.8)	57 (12.2)	182 (14.3)		
Physical activity		` '	· · /		
≥150 min MVPA/wk	25 (40.3)	203 (42.6)	746 (58.0)	.006	<.001
			•		(continued)

#### Table 1. (continued)

	Sarcopenia (both components) A	Sarcopenia (at least one component) B	No sarcopenia (no components) C	P <sup>b</sup>	$P^{\mathrm{b}}$
Characteristic	No. (%)	No. (%)	No. (%)	A vs C	B vs C
<150 min MVPA/wk	37 (59.7)	273 (57.4)	540 (42.0)		
Dietary protein intake	. ,	. ,			
<15.9% male/<15.5% female	37 (59.7)	276 (58.0)	685 (53.3)	.32	.15
$\geq$ 15.9% male/ $\geq$ 15.5% female	25 (40.3)	200 (42.0)	601 (46.7)		
Haplogroup	. ,	. ,	. ,		
Ancestral	6 (9.7)	85 (17.9)	210 (16.4)	.05	.38
Asian	5 (8.1)	10 (2.1)	36 (2.8)		
European	28 (45.2)	200 (42.1)	503 (39.2)		
Reference	23 (37.1)	180 (37.9)	534 (41.6)		
Genotypes					
rs464553					
GG	43 (69 4)	264 (55.8)	713 (55 8)	10	96
GT	15 (24.2)	172(36.4)	461 (36.0)	110	.50
тт	4 (6 4)	37(7.8)	105 (8 2)		
rs1028883	1 (0.1)	57 (7:0)	105 (0.2)		
66	24 (38 7)	166 (35 1)	412 (32 2)	41	36
GT	25 (40.3)	229 (48 4)	624 (48 8)	.71	.50
TT	12 (21 0)	79 (16 5)	242 (19.0)		
rc2120752	13 (21.0)	78 (10.5)	243 (19.0)		
153129/33	2 (2 2)	20 (4 2)	27 (2 0)	EC	27
	2 (3.2)	20 (4.2)	37 (2.9) 255 (27.8)	.50	.57
66	21 (33.9)	132 (27.9)	333 (27.8)		
66	39 (62.9)	321 (67.9)	887 (69.4)		
rs9991501		110 (00 0)		10	05
	55 (88.7)	440 (93.0)	1214 (94.9)	.10	.05
	7 (11.3)	30 (6.4)	64 (5.0)		
11	0 (0.0)	3 (0.6)	1 (0.1)		
rs2287926			()		
AA	0 (0.0)	13 (2.8)	29 (2.3)	.48	.52
AG	16 (25.8)	103 (21.8)	308 (24.1)		
GG	46 (74.2)	357 (75.5)	942 (73.6)		
rs4842924					
CC	19 (30.7)	107 (22.6)	248 (19.4)	.006	.25
CT	34 (54.8)	211 (44.6)	618 (48.3)		
TT	9 (14.5)	155 (32.8)	413 (32.3)		
rs9936385					
CC	5 (8.1)	74 (15.6)	220 (17.2)	.13	.51
CT	31 (50.0)	227 (48.0)	629 (49.2)		
TT	26 (41.9)	172 (36.4)	430 (33.6)		
rs2943656					
AA	11 (17.7)	82 (17.3)	159 (12.4)	.63	.03
AG	28 (45.1)	212 (44.8)	594 (44.9)		
GG	23 (37.1)	179 (37.9)	526 (41.1)		
mt204.C transition					
Yes	7 (11.3)	47 (9.9)	104 (8.1)	.38	.24
No	55 (88.7)	427 (90.1)	1174 (92.9)		

<sup>a</sup>Missing: haplogroup data for 1 survivor with sarcopenia and 3 survivors without sarcopenia, smoking data for 9 survivors with sarcopenia, and 14 survivors without sarcopenia, genotype data for 3 survivors with and 7 survivors without sarcopenia, mt204 data for 2 survivors with sarcopenia and 8 without sarcopenia. CNS = central nervous system; IQR = interquartile range; mtDNAcn = mitochondrial DNA copy number; MVPA = moderate and vigorous physical activity.  $b_{\chi}^2$  test used to calculate 2-sided P values.

evaluation of 9 studies comprising 10 063 general population participants reported that 21.3% of men and 28.0% of women aged 65 years and older were sarcopenic (55). Given the median age of participants was 29.4 years, our data highlight early appearance of sarcopenia among survivors.

Loss of mitochondrial capacity occurs with aging, where mtDNA oxidative damage and impaired adenosine triphosphate production are associated with decreased mtDNA content and reduced skeletal muscle aerobic performance and function (27,56). In addition, decreases in PB mtDNAcn are associated with poor health (30) and frailty (25). We hypothesized that mitochondrial alterations could account for sarcopenia in our population with changes manifesting in reduced PB mtDNAcn. Indeed, we found that the odds of having sarcopenia increased 20.0% for each standard deviation decrease in PB mtDNAcn.

By contrast, prior studies in the general population have not detected associations between mtDNAcn and components of frailty, including grip strength (31). Thus, the association



Figure 1. qPCR validation of whole-genome sequencing mtDNAcn estimates. MtDNAcn estimates from 95 randomly selected whole-genome sequenced samples are plotted along the x-axis vs mtDNAcn estimates derived from qPCR of the same samples plotted along the y-axis. Pearson correlation test P value is 2-sided. mtDNAcn = mitochondrial DNA copy number; qPCR = quantitative polymerase chain reaction; qRT-PCR = real-time quantitative PCR.

between mtDNAcn and sarcopenia among adult survivors of childhood cancer suggests potential differences between the pathobiology of sarcopenia in the geriatric population and among childhood cancer survivors.

Survivors in SJLIFE were exposed to antineoplastic and or cytotoxicmodalities capable of damaging mitochondria (57) and skeletal muscle. Although other data suggest that anthracyclines cause dose-related cardiac/skeletal muscle dysfunction through reactive oxygen species-mediated impairment or deletion of mtDNA (58-62), our study failed to find an association, likely because our cohort received fairly low anthracycline doses. Treatment with alkylating agents, however, was associated with sarcopenia and is congruent with evidence of disruption of the mitochondrial electron transport system by acrolein, an end product of cyclophosphamide metabolism (63,64). We also report an association between sarcopenia and radiation exposure, a modality capable of generating unrepairable DNA double strand breaks (DSBs) (38). Radiation is exquisitely detrimental for the mitochondrial genome, which cannot avail itself of protective histones and repair mechanisms afforded to nuclear DNA (65,66). In mice, mitochondrial damage persists for months after radiation exposure (67,68).

Whereas glucocorticoids (GC) are implicated in deterioration of muscle mass and function during processes intended to counter stress or inflammation (69), counterintuitively, we found GC protective against early appearance of sarcopenia. One possible hypothesis is that peroxisome proliferatoractivated  $\gamma$  coactivator 1-a, a master regulator of mitochondrial biogenesis and dynamic sensor of physiological and metabolic signaling (70,71), responds to conformational changes in GC receptors (72), suppressing transcription factors involved in catabolic responses. Promotion of fast-to-slow muscle fiber conversion may protect skeletal muscle from atrophic processes (73,74). Functional studies are required to confirm this hypothesis.

Evidence that mtDNA damage is causative in the pathobiology of sarcopenia comes from 2 murine models: 1) mtDNAmutator mice, which harbor a mutation that impairs the proofreading function of mitochondrial polymerase PolG (75-77); and 2) mito-Pst1 mice, which express a mitochondria-targeted form of endonuclease Pst1 under an inducible promoter (78). The proofreading defect in mtDNA mutator mice leads to accumulation of somatic mtDNA mutations, disruption of mitochondrial respiratory complexes, and reductions in mitochondrial respiratory capacity (75-77). These mice have an aging phenotype that includes sarcopenia and premature death (75-77). Similarly, the short-term induction of DSBs that exclusively target mitochondria in mito-Pst1 mice results in mtDNA depletion, loss of muscle mass, and reduction of myosatellite cell populations (78). Thus, even transient mitochondrial damage may be sufficient to provoke sarcopenia.

The prospect of delayed skeletal muscle dysfunction from short-term mitochondrial damage to muscle satellite cells (MSCs) is intriguing, as 51.7% of survivors with sarcopenia in our cohort were treated for cancer at ages 4-13 years, a time when MSCs are actively proliferating and contributing to skeletal muscle mass (79). During postnatal development, MSCs proliferate and contribute to a burgeoning population of myonuclei supporting muscle fiber growth (80). Activity stops during puberty, where the total number of fibers is maintained until middle age (11,81). After puberty, MSCs are relegated to quiescent niches until muscle injury elicits reentry into the cell cycle to repair muscle fibers or self-renew and return to the niche (82). Because MSCs are sensitive to chemotherapy-induced oxidative stress or mitochondrial DSBs (83), the finite supply of MSCs may become prematurely exhausted or undergo early senescence,

## Table 2. Potential risk factors for sarcopenia—bivariate models<sup>a</sup>

	Sarcopenia (bo	oth components) (n $=$ 62)	Sarcopenia (at least one component) (n = 476)		
Risk factor	No. (%)	OR (95% CI)	No. (%)	OR (95% CI)	
mtDNAcn, 1 SD (91.44) decrease Sex		1.44 (1.08 to 1.92)		1.19 (1.06 to 1.32)	
Female	41 (66.1)	2.14 (1.25 to 3.66)	268 (56.3)	1.55 (1.25 to 1.91)	
Male	21 (33.9)	1.00 (Referent)	208 (43.7)	1.00 (Referent)	
Race					
White	57 (91.9)	1.00 (Referent)	394 (82.8)	1.00 (Referent)	
Black	5 (8.1)	NE	79 (16.6)	1.13 (0.58 to 1.51)	
Other	0 (0.0)	NE	3 (0.6)	1.38 (0.34 to 5.55)	
Ethnicity					
Non-Hispanic	59 (95.2)	1.00 (Referent)	468 (98.3)	1.00 (Referent)	
Hispanic	3 (4.8)	2.93 (0.87 to 9.89)	8 (1.7)	0.90 (0.40 to 2.01)	
Age at diagnosis, y					
0-3	15 (24.2)	1.20 (0.53 to 2.70)	137 (28.8)	1.23 (0.91 to 1.67)	
4-8	26 (41.9)	2.50 (1.19 to 5.25)	136 (28.6)	1.51 (1.12 to 2.06)	
9-13	11 (17.7)	1.23 (0.51 to 2.92)	110 (23.1)	1.44 (1.05 to 1.99)	
14-24	10 (16.1)	1.00 (Referent)	93 (19.5)	1.00 (Referent)	
Age at assessment, y	28 (45 2)	1 00 (Peferent)		1.00 (Deferent)	
10-24 25 20	20 (45.2)	1.00 (Reference)	107 (33.1) 107 (32 E)	$0.85(0.64 \pm 0.14)$	
25-50	12 (19.4)	0.59(0.29 to 1.18)	107 (22.5) 93 (19 5)	0.83 (0.64 (0 1.14)) 0.74 (0.55 to 0.99)	
38-64	10 (16 1)	0.50(0.50 to 1.20)	109 (22 9)	0.97 (0.33 to 1.29)	
Time since diagnosis v	10 (10.1)	0.52 (0.25 to 1.05)	105 (22.5)	0.57 (0.75 to 1.25)	
10-19	36 (58 1)	1.00 (Referent)	234 (49 2)	1.00 (Referent)	
20-29	19 (30.7)	0.80 (0.45  to  1.40)	130 (27.3)	0.80 (0.62  to  1.02)	
30-49	7 (11.3)	0.42 (0.19 to 0.96)	112 (23.5)	1.10 (0.84 to 1.44)	
Diagnostic group	- ()		()		
Hematological	24 (38.7)	1.00 (Referent)	206 (43.3)	1.00 (Referent)	
Central nervous system (CNS)	20 (32.3)	3.56 (1.93 to 6.57)	90 (18.9)	2.23 (1.64 to 3.03)	
Solid, non-CNS	16 (25.8)	1.04 (0.55 to 1.97)	178 (37.4)	1.49 (1.18 to 1.89)	
Other malignancies	2 (3.2)	9.40 (1.89 to 46.61)	2 (0.4)	0.87 (0.18 to 4.15)	
Any radiation					
Yes	42 (67.7)	1.60 (0.93 to 2.75)	313 (65.8)	1.64 (1.32 to 2.05)	
No	20 (32.3)	1.00 (Referent)	163 (34.2)	1.00 (Referent)	
Abdominal radiation					
Yes	20 (32.3)	1.64 (0.95 to 2.82)	145 (30.5)	1.75 (1.38 to 2.21)	
No	42 (67.7)	1.00 (Referent)	331 (69.5)	1.00 (Referent)	
Cranial radiation					
Yes	33 (53.2)	2.78 (1.67 to 4.63)	178 (37.4)	1.60 (1.28 to 2.00)	
No Chastan disting	29 (46.8)	1.00 (Referent)	298 (62.6)	1.00 (Referent)	
Chest radiation	02 (27 1)	$1.20(0.77 \pm 0.20)$	100 (20 F)	1 [4 (1 ) 4 + 1 )	
i es No	23 (37.1)	1.30 (0.77 to 2.20)	183 (38.5)	1.54 (1.24 (0 1.92))	
Anthracycline	39 (02.9)	1.00 (Referency	293 (01.0)	1.00 (Referency	
Vec	31 (50 0)	0.67 (0.40  to  1.12)	237 (49 8)	0.58 (0.47 to 0.72)	
No	31 (50.0)	1.00 (Referent)	239 (50.2)	1.00 (Referent)	
Alkylating agents	51 (5010)	1.00 (	200 (0012)	100 (Referency	
Yes	45 (72.6)	1.88 (1.07 to 3.32)	285 (59.9)	1.06 (0.85 to 1.31)	
No	17 (27.4)	1.00 (Referent)	191 (40.1)	1.00 (Referent)	
Glucocorticoids		, , , , , , , , , , , , , , , , , , ,		, , , , , , , , , , , , , , , , , , ,	
Yes	24 (38.7)	0.79 (0.47 to 1.33)	174 (36.6)	0.65 (0.52 to 0.81)	
No	38 (61.3)	1.00 (Referent)	302 (63.4)	1.00 (Referent)	
Smoking					
Never	45 (73.8)	1.00 (Referent)	313 (67.0)	1.00 (Referent)	
Current	10 (16.4)	0.75 (0.38 to 1.51)	97 (20.8)	1.09 (0.83 to 1.42)	
Former	6 (9.8)	0.64 (0.27 to 1.51)	57 (12.2)	0.85 (0.61 to 1.17)	
Physical activity					
≥150 min MVPA/wk	25 (40.3)	1.00 (Referent)	203 (42.6)	1.00 (Referent)	
<150 min MVPA/wk	37 (59.7)	1.76 (1.05 to 2.95)	273 (57.4)	1.86 (1.50 to 2.30)	
Dietary protein intake			0		
<15.9% male/<15.5% female	37 (58.1)	1.24 (0.74 to 2.08)	276 (56.9)	1.21 (0.98 to 1.50)	
$\geq$ 15.9% male/ $\geq$ 15.5% female	25 (41.9)	1.00 (Referent)	200 (43.1)	1.00 (Referent)	

(continued)

#### Table 2. (continued)

	Sarcopenia (bo	Sarcopenia (both components) (n $=$ 62)		Sarcopenia (at least one component) (n = 476)		
Risk factor	No. (%)	OR (95% CI)	No. (%)	OR (95% CI)		
Haplogroup						
Ancestral	6 (9.7)	0.62 (0.25 to 1.55)	85 (17.9)	1.20 (0.89 to 1.63)		
Asian	5 (8.1)	3.66 (1.33 to 10.13)	10 (2.1)	0.82 (0.40 to 1.69)		
European	28 (45.2)	1.25 (0.71 to 2.19)	200 (42.1)	1.18 (0.93 to 1.49)		
Reference	23 (37.1)	1.00 (Referent)	180 (37.9)	1.00 (Referent)		
Genotype						
rs464553						
GG	43 (69.4)	1.00 (Referent)	264 (55.8)	1.00 (Referent)		
GT	15 (24.2)	0.53 (0.29 to 0.96)	172(36.4)	1.01 (0.81 to 1.26)		
TT	4 (6.4)	0.63 (0.22 to 1.78)	37(7.8)	0.95 (0.64 to 1.42)		
rs1028883	( )					
GG	24 (38.7)	1.00 (Referent)	166 (35.1)	1.00 (Referent)		
GT	25 (40.3)	0.70 (0.39 to 1.23)	229 (48.4)	0.91 (0.72 to 1.15)		
TT	13 (21.0)	0.97 (0.49 to 1.94)	78 (16.5)	0.80 (0.58 to 1.09)		
rs3129753						
CC	2 (3.2)	1.09 (0.26 to 4.63)	20 (4.2)	1.50 (0.85 to 2.61)		
CG	21 (33.9)	1.35 (0.79 to 2.32)	132 (27.9)	1.03 (0.81 to 1.30)		
GG	39 (62.9)	1.00 (Referent)	321 (67.9)	1.00 (Referent)		
rs9991501	( )	, , , , , , , , , , , , , , , , , , ,		, , , , , , , , , , , , , , , , , , ,		
CC	55 (88.7)	1.00 (Referent)	440 (93.0)	1.00 (Referent)		
СТ	7 (11.3)	2.34 (1.04 to 5.29)	30 (6.4)	1.29 (0.83 to 2.02)		
ТТ	0 (0.0)	NE	3 (0.6)	8.27 (0.86 to 79.74)		
rs2287926				, , , , , , , , , , , , , , , , , , ,		
AA	0 (0.0)	NE	13 (2.8)	1.18 (0.61 to 2.30)		
AG	16 (25.8)	1.10 (0.62 to 1.97)	103 (21.8)	0.88 (0.68 to 1.13)		
GG	46 (74.2)	1.00 (Referent)	357 (75.5)	1.00 (Referent)		
rs4842924	( )	, , , , , , , , , , , , , , , , , , ,		, , , , , , , , , , , , , , , , , , ,		
CC	19 (30.7)	1.00 (Referent)	107 (22.6)	1.00 (Referent)		
СТ	34 (54.8)	0.76 (0.43 to 1.34)	211 (44.6)	0.79 (0.60 to 1.04)		
TT	9 (14.5)	0.29 (0.13 to 0.64)	155 (32.8)	0.87 (0.65 to 1.17)		
rs9936385	( )					
CC	5 (8.1)	0.38 (0.15 to 1.01)	74 (15.6)	0.84 (0.61 to 1.16)		
СТ	31 (50.0)	0.83 (0.49 to 1.41)	227 (48.0)	0.90 (0.72 to 1.14)		
TT	26 (41.9)	1.00 (Referent)	172 (36.4)	1.00 (Referent)		
rs2943656						
AA	11 (17.7)	1.42 (0.68 to 2.95)	82 (17.3)	1.52 (1.11 to 2.08)		
AG	28 (45.1)	1.07 (0.61 to 1.87)	212 (44.8)	1.05 (0.83 to 1.32)		
GG	23 (37.1)	1.00 (Referent)	179 (37.9)	1.00 (Referent)		
mt.204C			(3,13)			
Yes	7 (11.3)	1.36 (0.61 to 3.06)	47 (9.9)	1.24 (0.87 to 1.78)		
No	55 (88.7)	1.00 (Referent)	427 (90.1)	1.00 (Referent)		
	55 (55.7)			1.00 (1.0101010)		

<sup>a</sup>Missing: haplogroup data for 1 survivor with sarcopenia and 3 survivors without sarcopenia, smoking data for 9 survivors with sarcopenia and 14 survivors without sarcopenia. CI = confidence interval; mtDNAcn = mitochondrial DNA copy number; MVPA = moderate or vigorous physical activity; NE = not estimable; OR = odds ratio.

perpetuating an early sarcopenic phenotype in susceptible survivors.

The primary aim of this study was to evaluate the association between PB mtDNAcn and sarcopenia. However, we did investigate associations between several sequence variants and sarcopenia. First, mt.204C, a mtDNA single nucleotide variant present in 6.3% of the population and previously associated with reduced grip strength in elderly individuals (46), showed an association with mtDNAcn in our study. We also found an association between an AA genotype in rs2943656 (IRS1) and sarcopenia. This SNP is intergenic but located within histone mark-identified promoters or enhancers in skeletal muscle samples and thought to alter regulatory motifs associated with body composition and longevity (45). Because all but 13 of roughly 800-1500 gene products required to sustain mitochondria function are encoded by the nuclear genome (84-86), additional studies investigating associations between nuclear and mtDNA variants and sarcopenia are warranted. Such variants may better explain the observed reduction in mtDNAcn and identify at-risk survivors for interventions.

We found moderate correlation between qPCR and WGS estimation of mtDNAcn, which suggests the possibility of measurement error. However, a recent study of the Atherosclerosis Risk in Communities study and the Multi-Ethnic Study of Table 3. Multivariable associations between treatment and presence of sarcopenia<sup>a</sup>

	Sarcopenia (both components) (n $=$ 62)		Sarcopenia (at least one component) (n $=$ 476)	
Risk factor	Row %	OR (95% CI)	Row %	OR (95% CI)
mtDNAcn, 1 SD decrease		1.61 (1.18 to 2.20)		1.20 (1.07 to 1.34)
Sex				
Female	4.8	3.13 (1.74 to 5.62)	31.5	1.58 (1.27 to 1.99)
Male	2.3	1.00 (Referent)	22.9	1.00 (Referent)
Age at diagnosis, y				
0-3	2.9	0.83 (0.35 to 1.95)	26.2	0.88 (0.65 to 1.21)
4-8	5.8	1.00 (0.39 to 2.57)	30.4	0.70 (0.50 to 1.00)
9-13	2.9	1.96 (0.90 to 4.27)	29.3	1.04 (0.75 to 1.42)
14-24	2.4	1.00 (Referent)	22.4	1.00 (Referent)
Chest radiation				
Yes	4.2	1.57 (0.85 to 2.89)	33.0	1.61 (1.26 to 2.04)
No	3.2	1.00 (Referent)	24.3	1.00 (Referent)
Cranial radiation/anthracyclines				
Neither	2.2	1.00 (Referent)	27.2	1.00 (Referent)
Anthracyclines/no cranial radiation	2.4	0.61 (0.24 to 1.54)	22.5	0.77 (0.56 to 1.07)
Cranial radiation/no anthracyclines	7.1	3.29 (1.38 to 7.83)	41.8	1.84(1.32 to 2.59)
Both cranial radiation and anthracyclines	5.1	1.55 (0.53 to 4.55)	22.7	0.89 (0.57 to 1.39)
Alkylating agents				
Yes	4.3	2.90 (1.46 to 5.77)	27.5	1.34 (1.04 to 1.72)
No	2.4	1.00 (Referent)	26.4	1.00 (Referent)
Glucocorticoids				
Yes	3.1	0.68 (0.36 to 1.30)	22.4	0.72 (0.56 to 0.93)
No	3.9	1.00 (Referent)	30.7	1.00 (Referent)
Physical Activity				
≥150 min MVPA/wk	2.6	1.00 (Referent)	21.4	1.00 (Referent)
<150 min MVPA/wk	4.6	1.71 (0.97 to 3.01)	33.6	1.68 (1.34 to 2.10)
Haplogroup				
Ancestral	2.0	0.70 (0.26 to 1.90)	28.8	1.07 (0.77 to 1.49)
Asian	10.9	4.21 (1.33 to 13.30)	21.7	1.00 (0.47 to 2.11)
European	4.0	1.32 (0.72 to 2.41)	28.5	1.25 (0.98 to 1.60)
Reference	3.2	1.00 (Referent)	25.2	1.00 (Referent)
Genotype				
rs2943656				
AA	4.6	1.40 (0.67 to 2.93)	34.0	1.48 (1.10 to 2.01)
AG/GG	3.4	1.00 (Referent)	25.9	1.00 (Referent)
rs4842924		· ·		
TT	1.6	0.35 (0.16 to 0.76)	27.3	1.00 (0.78 to 1.28)
CT/CC	4.6	1.00 (Referent)	26.9	1.00 (Referent)

<sup>a</sup>Missing: Haplogroup data for 1 survivor with sarcopenia and 3 survivors without sarcopenia. Models are adjusted for age at assessment. CI = confidence interval; mtCN = mitochondrial DNA copy number; MVPA = moderate or vigorous physical activity; OR = odds ratio.



Figure 2. Relative odds of sarcopenia by mtDNAcn, tumor type, sex, and age at diagnosis. Models were additionally adjusted for age at assessment and haplotype. The error bars represent the 95% confidence intervals for the odds ratios (ORs). CNS = central nervous system; mtDNA = mitochondrial DNA.

Atherosclerosis found that mtDNAcn measured from WGS is more statistically significantly associated with mtDNAcn correlates (age, sex, white blood cell count, Duffy locus genotype, incident cardiovascular disease) compared with all other methods, including aPCR (87). Another potential limitation of our study is sampling bias. Although only 5.0% of eligible survivors did not have performance data, they did differ from those with performance data by several diagnostic and treatment variables. This may have biased our results. A third limitation is the study's cross-sectional design; our primary independent variable, mtDNAcn, and our phenotype, sarcopenia, were measured concomitantly, limiting our ability to infer causality. We did not have measures prior to or immediately following cancer therapy; our findings provide support for prospective studies to determine if there is a causal relationship. Finally, despite widespread use of PB mtDNAcn as a biomarker in health outcome studies, there is discordance as to degree of correlation in mtDNAcn among tissues (eg, PB and muscle) within an individual (28). Additional studies are required to determine if decreased PB mtDNAcn observed in childhood cancer survivors with sarcopenia is also associated with a reduction in mtDNAcn in muscle. It is also possible that PB mtDNAcn, rather than being a surrogate for mtDNAcn in other tissues, is an indicator of global oxidative stress-induced damage (88).

In conclusion, we demonstrate that decreased mtDNAcn in childhood cancer survivors is associated with sarcopenia. This association is statistically significant even when both reduced mass and function are required criteria for sarcopenia. Our data identify potential roles for cranial radiotherapy, alkylators, sex, and genetics in the pathobiology of sarcopenia and suggest a compelling role for mitochondrial dysfunction and/or oxidative damage as agents of pathological change among childhood cancer survivors.

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#### Notes

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Author contributions: KM, CEW, ZW, JZ, MK, KKN conceptualized the study. KM, CLW, HLM, JE, KKN curated the data and did the experiments. KM, CRH, CEW, ZW, and KKN analyzed the data. MMH, KKN, and MK obtained funding, YY, AM, MMH, LLR, JZ supervised the project, KM, CRH, and KKN wrote the original draft of the manuscript. All authors reviewed and edited the manuscript.

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## **Data Availability**

The data are available from the corresponding author upon request.

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