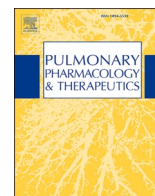




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## Review

# The immunomodulatory effects of macrolide antibiotics in respiratory disease

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## ARTICLE INFO

## Keywords:

COPD  
Bronchiectasis  
Cystic fibrosis  
Azithromycin  
Neutrophils

## ABSTRACT

Macrolide antibiotics are well known for their antibacterial properties, but extensive research in the context of inflammatory lung disease has revealed that they also have powerful immunomodulatory properties. It has been demonstrated that these drugs are therapeutically beneficial in various lung diseases, with evidence they significantly reduce exacerbations in patients with COPD, asthma, bronchiectasis and cystic fibrosis. The efficacy demonstrated in patients infected with macrolide tolerant organisms such as *Pseudomonas aeruginosa* supports the concept that their efficacy is at least partly related to immunomodulatory rather than antibacterial effects. Inconsistent data and an incomplete understanding of their mechanisms of action hampers the use of macrolide antibiotics as immunomodulatory therapies. Macrolides recently demonstrated no clinically relevant immunomodulatory effects in the context of COVID-19 infection. This review provides an overview of macrolide antibiotics and discusses their immunomodulatory effects and mechanisms of action in the context of inflammatory lung disease.

## 1. Introduction

### 1.1. Macrolide antibiotics

Macrolide antibiotics are a group of natural products produced by the genus *Streptomyces* [1]. They contain a macrocyclic lactone ring and are classified as 14-, 15- or 16-membered based on the number of carbon atoms within this structure [1] (Table 1). Macrolides are bacteriostatic, predominantly against Gram-positive bacteria, as they competitively bind the bacterial 50S ribosomal subunit thus reducing protein synthesis and preventing replication [2].

The immunomodulatory properties of macrolides were first described in 1987 by Kudoh and colleagues in a study of diffuse pan-bronchiolitis (DPB) [7], a rare, severe and progressive inflammatory lung disease driving irreversible lung damage [8] (Table 2). They found that long-term daily treatment with 400–600 mg of ERY suppressed DPB symptoms and increased patient life expectancy. Indeed, it is estimated that the 10-year mortality rate of DPB reduced from 90% to 10% after ERY became standard therapy. It was initially believed this was simply due to the antibiotic activity of the macrolides, but this was challenged by the observation that DPB patients are typically infected with the macrolide-resistant Gram-negative pathogen *Pseudomonas aeruginosa*,

and that patients experienced clinical benefits despite serum levels of ERY being substantially below the antibacterial threshold [9]. This unanticipated discovery led to the theory that low-dose macrolide therapy might have immunomodulatory properties beyond its antibacterial actions. Since then, clinical and experimental research has aimed to decipher these effects and reveal the potential mechanisms of action. However, there is much controversy regarding these effects and the underlying mechanisms have yet to be completely defined.

### 1.2. Clinical efficacy of macrolides in lung disease

Given the success of macrolides in DPB and their subsequent use in other lung diseases (Table 2), the immunomodulatory properties of macrolides have been most widely explored in lung conditions. Chronic airway diseases, including chronic obstructive pulmonary disease (COPD), asthma, cystic fibrosis (CF) and bronchiectasis (BE), are conditions where airway inflammation gives rise to symptoms including cough, dyspnoea and excessive mucus production. Such diseases are characterised by frequent exacerbations, a reduced quality of life (QoL) and premature mortality [20]. Inhaled corticosteroids are the mainstay of anti-inflammatory treatment for many of these diseases and effectively reduce the frequency of exacerbations in a subset of patients with eosinophil-dominant inflammation [21]. However, the use of

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**Abbreviation list**

AMR	Antimicrobial Resistance	LPS	Lipopolysaccharide
AZM	Azithromycin	M1-like	Pro-inflammatory Macrophages
BE	Bronchiectasis	M2-like	Anti-inflammatory Macrophages
CAM	Clarithromycin	mBMDCs	Murine bone marrow-derived DCs
CF	Cystic Fibrosis	mTOR	mammalian Target of Rapamycin
COPD	Chronic Obstructive Pulmonary Disease	NE	Neutrophil Elastase
COVID-19	Coronavirus Disease 2019	NETs	Neutrophil Extracellular Traps
DC	Dendritic Cells	NF- $\kappa$ B	Nuclear Factor kappa-light-chain-enhancer of activated B cells
DIR	Dirithromycin	PDK1	Phosphoinositide-dependent kinase 1
DPB	Diffuse panbronchiolitis	PI3K	Phosphoinositide 3-Kinase
ERY	Erythromycin	PMA	Phorbol 12-myristate 13-acetate
FEV1	Forced Expiratory Volume in 1 s	QoL	Quality of Life
FKBP12	FK506 binding protein 12	RA	Rheumatoid Arthritis
HCQ	Hydroxychloroquine	ROS	Reactive Oxygen Species
hMDCs	Human monocyte-derived DCs	ROX	Roxithromycin
ICAM-1	Intracellular Adhesion Molecule 1	S6RP	S6 Ribosomal Protein
IFN $\gamma$	Interferon $\gamma$	SARS-CoV-2	Severe Acute Respiratory Syndrome Coronavirus 2
I $\kappa$ B	Inhibitor of nuclear factor kappa B	SLE	Systemic Lupus Erythematosus
IKK	I $\kappa$ B Kinase	SPM	Spiramycin
JM	Josamycin	TNF	Tumour Necrosis Factor
		TUL	Tulathromycin

corticosteroids in neutrophil-dominant disease may exacerbate disease by delaying neutrophil apoptosis [22] and promoting the overgrowth of pathogenic bacteria, particularly *Streptococcus*, through suppression of cathelicidin antimicrobial peptides including LL-37 [23]. To date, there is no effective neutrophil-targeted anti-inflammatory therapy. Attempts to reduce neutrophilic inflammation, by, for example, reducing neutrophil numbers in the lung, have been unsuccessful due to increased incidence of infections. For example, blockade of CXCR2, a key receptor on neutrophils with high affinity for CXCL8 and other chemokines, resulted in increased rates of pneumonia due to bacterial overgrowth [24]. This is because CXCR2 mediates neutrophil chemotaxis and therefore its blockade likely reduces neutrophil numbers in the airway to impair bacterial clearance. Similar to CXCR2 ligands, leukotriene B4 is a key chemoattractant that aids neutrophil recruitment to the lung. Potent blockade of the leukotriene B4 receptor was associated with worse lung function and increased exacerbations of lung disease in adults and children with CF [25]. This illustrates the need to identify immunomodulatory treatments targeting neutrophilic lung diseases that are non-steroidal and do not reduce neutrophil recruitment or impair the antimicrobial functions of these cells. Macrolides have been suggested as a potential treatment particularly for neutrophilic lung diseases.

### 1.3. Clinical trials in chronic lung diseases

It is widely reported that long-term treatment with macrolide antibiotics, particularly 14- and 15-membered macrolides, improve clinical outcomes in several respiratory diseases (Table 2) including COPD, BE and CF which are primarily regarded as neutrophil-driven disorders. There are some apparently contradictory results between clinical trials with some trials reporting improvements in symptoms, lung function and QoL, with others reporting no clinical benefit in these areas (Table 2). However, differences in dosing and/or duration of treatment, as well as the use of different macrolides between studies, could explain these discrepancies.

These trials, however, all claim to have used doses below the reported antibacterial threshold (Table 2). For context, the commonly used daily doses of AZM and ERY for the treatment of acute respiratory infections are 500 mg and 2000–4000 mg, respectively [3]. Therefore, as macrolides show a positive effect in diseases where macrolide-resistant organisms are prominent, and at dosages below the

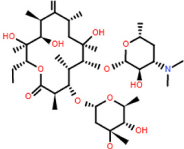
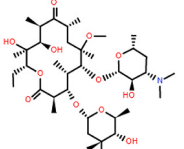
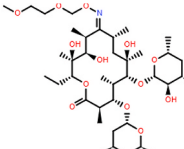
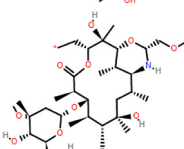
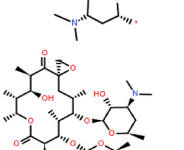
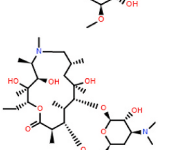
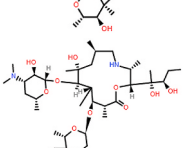
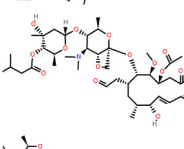
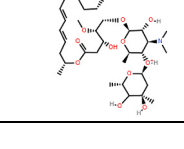
antibacterial threshold, this suggests that clinical outcomes are not likely to be the result of antibacterial activity. This is further highlighted by reports that airway bacterial load remains unchanged following long-term low-dose therapy in chronic lung disease [26]. However, it should be noted that there is potential for antibacterial effects to occur, especially since macrolides have great capacity to accumulate within body tissues and cells, particularly phagocytes [2,27], with tissue concentrations reportedly reaching 10–100 times that of those in serum [27]. For this reason, macrolides are often taken thrice weekly instead of daily dosing in chronic diseases. The concept of macrolide accumulation causes great uncertainty when deciphering physiologically relevant drug concentrations to be used for *in vitro* studies and whether antimicrobial effects are in fact a major player in the mechanism behind the clinical benefit of macrolides (discussed later).

Regardless, macrolides have been shown in large scale randomized trials to reduce exacerbations in COPD, asthma, CF and BE [15,28,29] (Table 2). The magnitude of effect in these studies is remarkably similar (30–60% reduction in exacerbation frequency) despite diversity in pathophysiology between these conditions, suggesting a degree of shared biology. The results of randomized trials in these diseases have been extensively reviewed elsewhere and so are not discussed here in detail [9,30]. Nevertheless, several aspects of these trials support the view that macrolides have effects beyond traditional antimicrobial effects. In bronchiectasis, an individual patient data meta-analysis of 3 randomized trials showed an overall reduction in exacerbations of 51% along with improvements in symptoms. In responder analysis, the group of patients with the greatest response were patients chronically infected with *Pseudomonas aeruginosa* (rate ratio 0.36 (0.18–0.72)), an organism that is not traditionally considered susceptible to macrolide antibiotics [28]. Similarly, in CF, randomized trials of macrolides in patients chronically infected with *P. aeruginosa* were clearly positive with improvements in FEV1 and prolonged time to first exacerbation (0.65; 95% CI, 0.44–0.95;  $P = 0.03$ ) [18] while no improvements in lung function in patients without *P. aeruginosa* were observed, although exacerbations were still reduced [19].

In asthma, a disease not typically associated with chronic bacterial infection, the efficacy of AZM in reducing asthma exacerbations further supports an immunomodulatory effect. In the AMAZES trial of 420 patients with asthma [10], exacerbations were reduced by 41% (0.59 [95% CI 0.47–0.74]) overall with similar results between eosinophilic and

**Table 1**

Classification and structure of commonly used macrolides. Information and reference images from cited sources [1,3–5] with chemical structures drawn using ChemSpider (Royal Society of Chemistry, 2021) [6].

Macrolide	Classification	Structure
Erythromycin (ERY)	14-membered	
Clarithromycin (CAM)	14-membered	
Roxithromycin (ROX)	14-membered	
Dirithromycin (DIR)	14-membered	
Oleandomycin (OLE) (Veterinary use)	14-membered	
Azithromycin (AZM)	15-membered	
Tulathromycin (TUL) (Veterinary use)	15-membered	
Josamycin (JM)	16-membered	
Spiramycin (SPM)	16-membered	

non-eosinophilic asthma (eosinophilic asthma rate ratio (0.66 (0.47–0.93)) vs non-eosinophilic (0.52 (0.29–0.94))). Together, these data support the idea that macrolides are having effects greater than would be expected from bacterial clearance alone, and their efficacy in patients without clear evidence of bacterial infection suggests an

immunomodulatory mechanism. Against this, a Post-hoc analyses of the AMAZES trial did suggest a greater effect in patients with increasing *H. influenzae* load, using a qPCR assay with greater sensitivity than culture (incidence rate ratio 0.40, 95% CI 0.23, 0.69;  $p = 0.001$ ) [31].

#### 1.4. Macrolides in COVID-19

Given the success of macrolides in various respiratory conditions, their potent immunomodulatory effects and their possible anti-viral effects [32,33], macrolides were investigated for their efficacy in treating the Coronavirus Disease 2019 (COVID-19) pandemic which was deemed a public health emergency in March 2020.

COVID-19 is a respiratory disease caused by the Severe Acute Respiratory Syndrome Coronavirus 2 (SARS-CoV-2) virus and causes symptoms of fever, shortness of breath, a chronic continuous cough and, in severe cases, pneumonia. With the number of SARS-CoV-2 infections and COVID-19 deaths increasing daily and at a time where no viable vaccine or pharmacological treatments were available, treatment options were in high demand. Indeed, various clinical trials investigating the efficacy of macrolides in treating COVID-19 were conducted. In particular, AZM, in conjunction with hydroxychloroquine (HCQ), an anti-malarial drug also used as an effective immune modifying treatment for autoimmune diseases Rheumatoid Arthritis (RA) and Systemic Lupus Erythematosus (SLE) [34], were the main focus of said trials. While predominantly observational studies reported combination therapy with AZM and HCQ promoted recovery, reduced disease symptoms, viral load and risk of hospitalisation [35–39], a number of studies reported no clinical benefit or improvement in mortality of those prescribed AZM/HCQ combination therapy compared with controls on standard therapy [40–45], with some reporting the occurrence of adverse events and safety risks [41,42]. The large RECOVERY trial found no benefit of AZM on outcomes in hospitalised COVID-19 patients [44]. 7763 patients were randomised to AZM or standard care providing definitive evidence of this lack of benefit in this group.

#### 1.5. Safety concerns and limitations

Clinical studies investigating the long-term effects of macrolides in chronic lung diseases have reported adverse events including hearing impairment and gastrointestinal complications. Furthermore, while rare, cardiotoxicity has been associated with macrolide therapy [9]. Macrolides can prolong the cardiac QT interval and inhibit metabolism of proarrhythmic drugs, leading to syncope and sudden death. Prolongation of the QT interval was reported in a number of COVID-19 trials of AZM and led to participants being withdrawn from the study [41,42]. Extra care must therefore be taken when prescribing macrolides, and a baseline electrocardiogram and strict surveillance for drug-drug interactions has been recommended to prevent severe cardiac events [46]. Another major concern is the rising prevalence of antimicrobial resistance (AMR). A recent meta-analysis shows significant association between long-term macrolide therapy and AMR [47]. Similarly, a retrospective study reported AMR in pneumococcal species in CF patients 4 years after macrolide treatment [48]. Therefore, a major question should be whether long-term low-dose macrolide therapy risks increasing antibiotic resistance long term.

In summary from a clinical perspective, it is perceived that macrolides have therapeutic activity extending beyond their role as antibiotics. By understanding the basis for this unexpected finding, it might be possible to improve or enhance the non-antibiotic functions of macrolides, apply them to other inflammatory diseases, avoid side effects, and limit AMR.

## 2. The effect of macrolides on leukocyte migration into the airspace

Clinical studies report that macrolides reduce immune cell

infiltration into the lungs of asthmatics and BE patients [49,50]. Reduced lung leukocyte counts have also been reported in murine models of *P. aeruginosa* endobronchial infection and pulmonary fibrosis following administration of AZM and 14-membered macrolides, respectively, compared with untreated controls [51,52]. It is suggested that macrolides attenuate leukocyte migration into the lung by reducing chemokine and adhesion molecule production by airway epithelial cells. It is unclear why this does not result in similar harmful effects as CXCR2 or potent leukotriene B4 antagonism, but may be because macrolides do not entirely inhibit neutrophil migration into the lung, or that macrolides have less of a direct impact on neutrophils and alter migration of other leukocytes also.

Neutrophil chemotaxis and adhesion to bronchial epithelial cells is reported to be impaired *in vitro* by ERY treatment, likely because of reduced adhesion molecule expression and chemokine secretion by cultured epithelial cells [53]. ERY blocked the release of key neutrophil chemoattractants, CXCL8 and IL-6 [53]. Similarly, CAM decreased CXCL8 and IL-6 secretion by a human epithelial cell line *in vitro* [54]. Clinical trials report decreased levels of CXCL8 in human airways in response to macrolide treatment [49,50], and murine models of sepsis treated with macrolides show decreased inflammatory cytokine levels, including IL-6, in the airways and blood [55]. Additionally, AZM, CAM and ROX were reported to inhibit *in vitro* spontaneous production of soluble mediators including CXCL8 and IL-6 in COPD sputum samples [56]. In this study, ERY was described as “very weakly active” and no statistically significant changes in inflammatory molecule secretion were observed. It has also been reported that AZM does not reduce expression of CXCL1 and CXCL2, the murine chemokines involved in neutrophil chemotaxis [57], although it is important to note that this study used cultured epithelial cells from wildtype and CF murine models. Thus, although there are some inconsistencies between studies

and variation between macrolides, it appears that macrolides can, in some circumstances, reduce chemoattractant production.

Macrolides are also reported to influence integrin expression. ERY inhibited the release of soluble ICAM-1, an integrin adhesion molecule, from human bronchial epithelial cells *in vitro* [53], and 14-membered macrolides inhibit ICAM-1 and vascular cell adhesion molecule 1 expression at the mRNA level in lung tissue and A549 cells, a human alveolar epithelial cell line [52,54]. Given the inhibitory effect of macrolides on ICAM-1 expression and clinical data showing reduced immune cell infiltration into the lung of macrolide-treated patients, this may confirm a mechanism for observed clinical findings as well as confirm discrepancies within the literature regarding ICAM-1's role as a key protein in the initial stage of transepithelial migration into the lung [58,59].

One study found that macrolides did not alter the induction of other adhesion molecule genes, such as selectins, in mice [52], despite these genes also being regulated by Nuclear Factor kappa-light-chain-enhancer of activated B cells (NF- $\kappa$ B) similar to integrins [60]. The reasons for these apparent discrepancies are not yet clear but may be due to these molecules not being key to transepithelial migration [61].

### 3. The effect of macrolides on innate immunity

#### 3.1. Macrophages

Multiple potential effects of macrolide antibiotics on macrophage function have been described (summarised in Fig. 1).

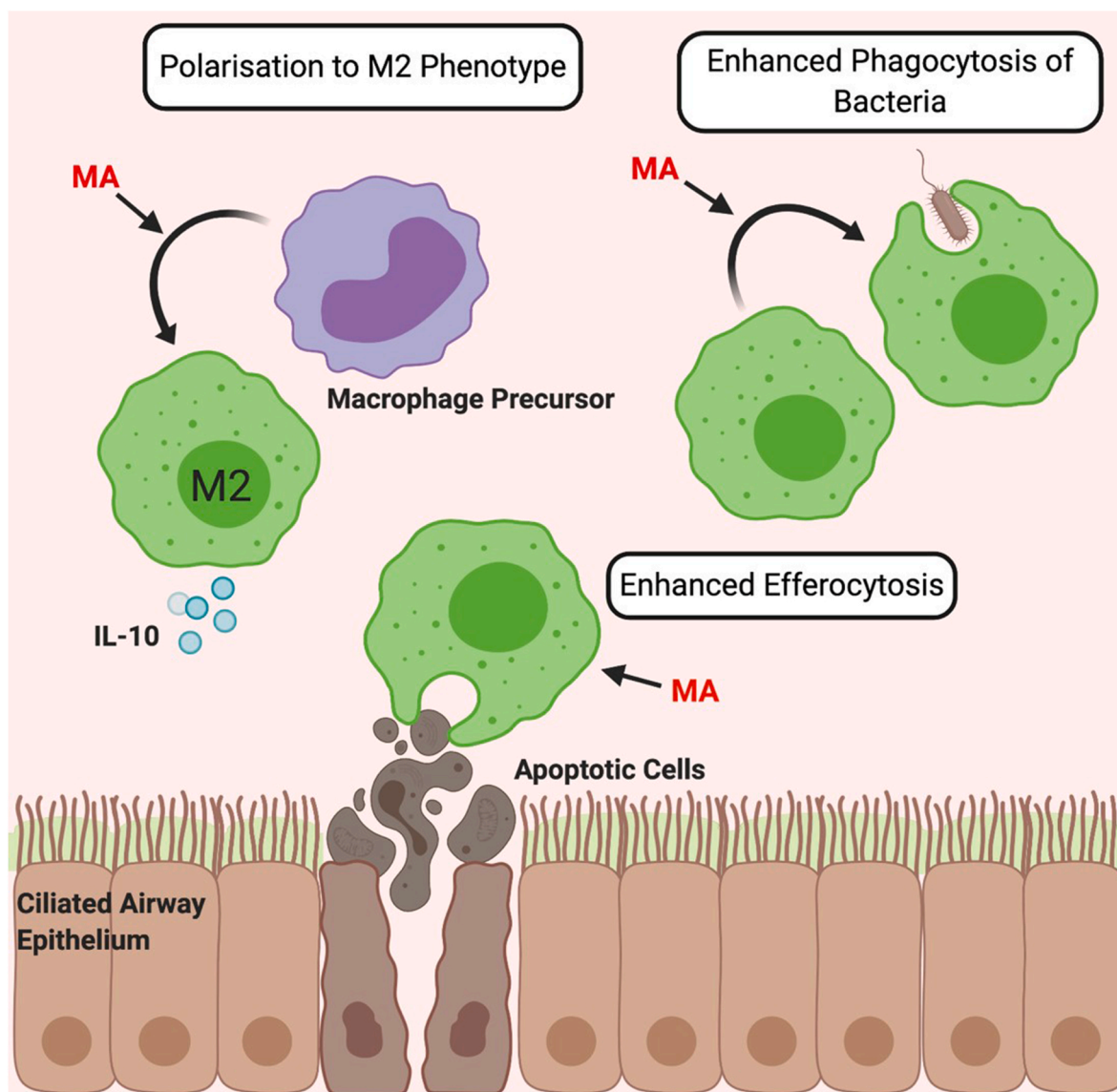
##### 3.1.1. Polarisation

Macrophage phenotype depends largely on the cytokine environment and is simplified to pro-inflammatory (M1-like) and anti-

**Table 2**

A summary of key clinical studies of macrolides in respiratory disease. The listed clinical trials were conducted to establish the clinical, non-antibiotic effects seen following low-dose macrolide therapy in various respiratory diseases. Abbreviations: BE, Bronchiectasis; CF, Cystic Fibrosis; COPD, Chronic Obstructive Pulmonary Disease; QoL, Quality of Life.

Author (Date)	Respiratory Disease	Type of Study	Macrolide	Dosage/Duration of Treatment	Clinical Outcomes
Kudoh et al. (1987) [7]	DPB	Observational cohort study	ERY	400 mg–600mg daily; NK	Decrease in DPB symptoms, improved survival rate and QoL
Gibson et al. (2017) [10]	Asthma	Randomised, Placebo controlled, Double-blind Trial	AZM	500 mg thrice weekly; 48 weeks	Reduced exacerbation number, improved QoL
Wong et al. (2012) [11]	BE	Randomised, Placebo-controlled, Double-blind Trial	AZM	500 mg thrice weekly; 26 weeks	Reduced exacerbation number, no effect on lung function, no improved QoL
Altenburg et al. (2013) [12]	BE	Randomised, Placebo controlled, Double-blind Trial	AZM	250 mg daily; 52 weeks	Reduced exacerbation number, improved lung function, improved symptoms and QoL
Serisier et al. (2013) [13]	BE	Randomised, Placebo controlled, Double-blind Trial	ERY	400 mg twice daily; 52 weeks	Reduced exacerbation number, improved lung function, no improved QoL, increase in macrolide-resistant bacteria, no effect on symptoms
Seemungal et al. (2008) [14]	COPD	Randomised, Placebo controlled, Double-blind Trial	ERY	250 mg twice daily; 52 weeks	Reduced number, duration and severity of exacerbations, no change in lung function
Albert et al. (2011) [15]	COPD	Randomised, Placebo controlled Trial	AZM	250 mg daily; 52 weeks	Reduced exacerbation number, prolonged time until first exacerbation, improved QoL
Uzun et al. (2014) [16]	COPD	Randomised, Placebo controlled, Double-blind Trial	AZM	500 mg thrice weekly; 52 weeks	Reduced exacerbation frequency
Wolter et al. (2002) [17]	CF	Randomised, Placebo controlled, Double-blind Trial	AZM	250 mg daily; 12 weeks	Maintained lung function, improved QoL, reduced exacerbation number and inflammation
Saiman et al. (2003) [18]	CF	Randomised, Placebo controlled, Double-blind Trial	AZM	250 mg (<40 kg) or 500 mg (>40 kg) thrice weekly; 24 weeks	Improved lung function, less risk of exacerbation, increased weight gain, reduced hospitalisations and antibiotic courses, improvement in physical functioning but not overall QoL
Saiman et al. (2010) [19]	CF	Multicenter Randomised, Placebo controlled, Double-blind Trial	AZM	250 mg (18–35.9 kg) or 500 mg (>or = 36 kg) thrice weekly; 24 weeks	No improvement in lung function, reduction in exacerbations, increased body weight, improvement of symptoms i.e. less cough and less productive cough



**Fig. 1.** The Immunomodulatory Effects of Macrolides on Macrophages. Macrolide antibiotics (MA) polarise macrophage precursors towards an anti-inflammatory M2 phenotype characterised by increased levels of M2-associated molecules such as collagen, arginase and anti-inflammatory cytokine expression such as IL-10 [62–64]. Macrolides also enhance the phagocytic capacity of macrophages [65–67] and enhance efferocytosis of apoptotic cells [68].

inflammatory (M2-like). Macrolides are consistently reported to direct macrophage precursors and existing M1 cells towards an M2 phenotype *in vitro* and subsequently alter macrophage cytokine production [62–64]. Thus, macrolides increase expression of M2-associated molecules including arginase and anti-inflammatory cytokine IL-10 *in vitro*, while decreasing levels of IL-12 and other pro-inflammatory molecules [62–64].

### 3.1.2. Phagocytic capacity

Macrolides also enhance the phagocytic capacity of macrophages. *In vitro* studies using a murine macrophage cell line and alveolar macrophages from pigs and cattle, show significantly enhanced phagocytosis of beads and *Salmonella*, respectively, following macrolide treatment [65,66]. *In vitro* human studies similarly report that macrolides enhance phagocytosis of bacteria [67]. Moreover, macrolides enhance efferocytosis, the phagocytic clearance of dead cells, as AZM increased the ability of alveolar macrophages from healthy individuals and COPD patients to phagocytose apoptotic bronchial epithelial cells and neutrophils [68]. This may be partially responsible for macrolide efficacy in

COPD, where impaired clearance of apoptotic bronchial epithelial cells is considered a key part of the pathophysiology [68].

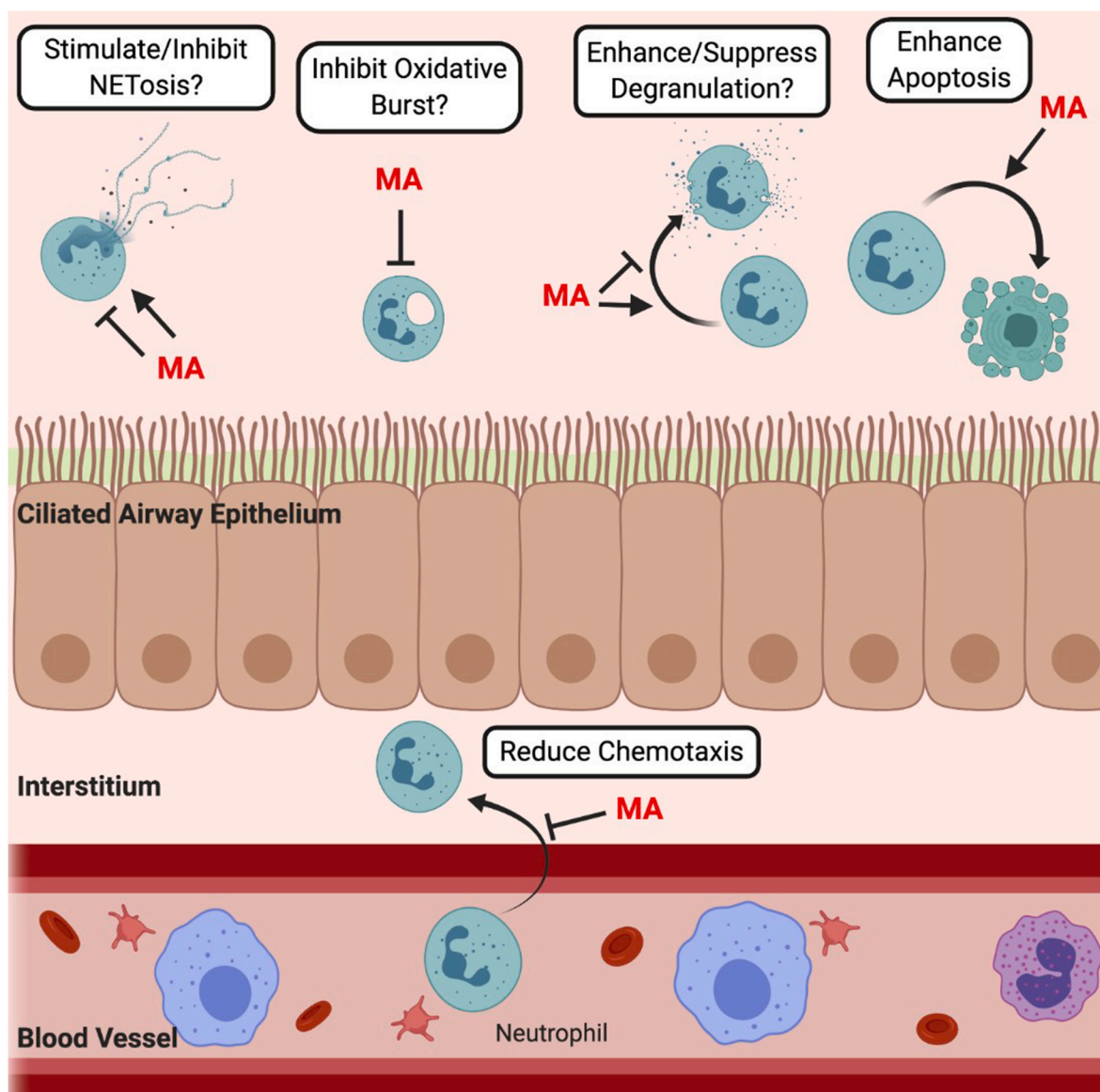
## 3.2. Neutrophils

Neutrophils eliminate infection in a variety of ways including phagocytosis, degranulation and the formation of neutrophil extracellular traps (NETs). It is thought that a fundamental mechanism behind the positive clinical outcomes of macrolide treatment is the attenuation of neutrophil responses [69] (Fig. 2).

### 3.2.1. Apoptosis

Prolonged neutrophil lifespan caused by delayed apoptosis is thought to be prominent in many chronic diseases [79,80]. It is thought that macrolides shorten neutrophil lifespan by inducing apoptosis, which may be one possible explanation for the reduced neutrophil numbers seen in clinical trials of macrolides [49,50] and the observed therapeutic benefits.

Initial *in vitro* data showed that 14- and 15-membered macrolides



**Fig. 2.** The Proposed Immunomodulatory Effects of Macrolide Antibiotics on Neutrophil Function. Macrolides antibiotics (MA) might affect various neutrophil functions. They have been reported to enhance apoptosis and reduce chemotaxis. Conflicting findings regarding the effect of macrolides on neutrophil degranulation [70–74], NETosis [74–77] and the oxidative burst [74,78] highlight the lack of understanding of the impact these drugs have on neutrophil processes. Question marks indicate proposed effects of macrolides that require further experimental support.

decrease neutrophil survival and increase apoptosis [69]. A variety of different techniques were used in this study to confirm these results, including Western blot, transmission electron microscopy and cell viability assays, highlighting a compelling case for macrolide-induced neutrophil apoptosis. Moreover, others report increased neutrophil apoptosis in healthy human volunteers following three-day treatment with AZM [73]. This study used microscopy to identify apoptosis-associated morphological changes in neutrophils and revealed prolonged effects of AZM, with increased levels of apoptotic cells being detected 28 days after the last administration of the drug. In addition, increased apoptosis of isolated blood neutrophils has been reported in calves, pigs and mice following macrolide treatment [81–83]. These studies strongly support the idea that macrolides induce neutrophil apoptosis.

Importantly, the benefits of neutrophil apoptosis may go deeper than simply reducing neutrophil lifespan. Promoting neutrophil apoptosis reduces the likelihood of cells undergoing necrosis and releasing inflammatory mediators into the local lung environment. In turn,

enhanced neutrophil apoptosis alongside the macrolide-induced enhanced efferocytic capacity of macrophages (Section 3.1.2) may contribute to the beneficial effects of macrolides, given that efferocytosis promotes an anti-inflammatory environment [84].

### 3.2.2. NETosis

Macrolides are reported to modulate NETosis, the process where web-like structures composed of chromatin, histones and granule proteins are released to entrap bacteria. NET release can cause significant tissue damage in lung disease and is therefore being investigated as a potential therapeutic target [85].

Bystrzycka and colleagues (2017) demonstrated that AZM can suppress human neutrophil production of NETs induced by phorbol 12-myristate 13-acetate (PMA) [74]. Moreover, ERY suppressed NET release from human and murine neutrophils exposed *in vitro* to cigarette smoke, a known trigger of NETosis and an inflammatory stimulus in COPD, and reduced the number of NETs in the bronchoalveolar fluid of cigarette smoke-exposed mice [77]. In addition, a recent observational,

multicohort study investigating the role of NETs in BE disease severity, and the potential of macrolide antibiotics to reduce NETs, showed that long-term low-dose AZM therapy significantly reduced NETs in sputum of both bronchiectasis and asthmatic patients, highlighting macrolides as a potential therapy for these diseases [86]. Over the course of one year, UK-based BE patients with active *Pseudomonas* infection were treated with 250 mg of AZM thrice weekly, and asthmatic patients enrolled in the AMAZES study [10] were treated with 500 mg AZM thrice weekly. Analysis of sputum samples obtained at baseline and following therapy showed that AZM significantly reduced NET concentration compared with either a matched cohort not receiving macrolide therapy or those receiving placebo. Of note, in comparison to asthmatics with neutrophil dominant inflammation, those with eosinophil-dominant inflammation (characterised by >3% sputum eosinophils) saw no significant reduction in NET concentration following macrolide therapy, highlighting the overall effect seen was driven by a marked reduction in NET concentration in neutrophilic disease patients. Some studies, however, report conflicting findings regarding the effect of macrolides on NETs [75,76]. AZM and CAM alone were reported to induce NET formation *in vitro* [75]. This study also found that neutrophils from patients with *Helicobacter pylori* infection undergoing combination therapy with CAM, amoxicillin and the anti-acid medication omeprazole, experienced increased levels of *ex vivo* NET formation compared to (i) neutrophils of healthy individuals, (ii) neutrophils before CAM treatment or (iii) neutrophils of patients undergoing alternative therapy excluding CAM. In addition, CAM, AZM and JM caused a dose-dependent increase in NET formation in cells from chronic rhinosinusitis patients [76]. Differences in study design, including neutrophil isolation methods and NETosis detection, in addition to differences in disease plus the concentrations/antibiotics used could all account for differences in results. Furthermore, NETosis is a heterogeneous process and macrolides may differentially affect these distinct NETosis pathways. Therefore, understanding the exact role macrolides play is difficult, and more research is needed to decipher exactly how macrolides influence NETosis.

### 3.2.3. Oxidative burst

The oxidative burst defines intracellular ROS production and is vital for efficient killing of ingested pathogens. Early *in vitro* evidence suggested that macrolides might impair the oxidative burst [78]. However, out of the macrolides tested, including ROX, AZM, ERY, SPM, JM and OLE, only ROX had this activity. Recent data using human neutrophils reported macrolides alone did not affect ROS production *in vitro*, but cells treated with the highest concentration of AZM did significantly inhibit the production of ROS [74]. Other studies report macrolides having no effect on neutrophil ROS [87] or having differential effects between different stimuli *ex vivo* [73]. Given the limited research on how macrolides affect oxidative burst, and that existing literature reports variable conclusions, the results should be taken with caution.

### 3.2.4. Degranulation

While debated, macrolides have been reported to enhance neutrophil degranulation and, if true, this could benefit patients via enhanced bacterial killing but may simultaneously increase tissue injury.

Abdelghaffar and colleagues provided evidence that macrolides directly induce neutrophil degranulation *in vitro* by showing that cultured primary human neutrophils released lysozyme, lactoferrin and beta-glucuronidase in a time and concentration-dependent manner after treatment with DIR, ERY and Erythromyclamine (a macrolide and metabolite of DIR) [70]. They later compared the effect of various macrolides, including ERY, ROX, AZM and CAM, on neutrophil degranulation and confirmed that macrolides could promote neutrophil degranulation [71]. Other *in vitro* studies demonstrate that human neutrophils experience enhanced degranulation after treatment with 14- and 15-membered macrolides [72,73]. However, one recent paper contradicts the preceding studies and proposes that macrolides have an

inhibitory effect on human neutrophil degranulation [74]. This was based on the observation that AZM alone did not cause release of granular proteins, and even protected neutrophils from PMA-induced degranulation, *in vitro* [74]. As previously discussed, differences in study design and neutrophil preparation/handling may be behind the conflicting evidence. For example, the anticoagulant used during the isolation of blood neutrophils can activate cells, altering morphology and function [88].

In summary, the effects of macrolides on macrophages are well understood, but their precise impact on neutrophils remains to be fully defined. Macrolides are reported to favour the generation of anti-inflammatory M2 macrophages, enhance macrophage phagocytic capacity and induce neutrophil apoptosis. However, there are conflicting data on their effects on neutrophil NETosis, degranulation and the oxidative burst and further studies are required.

## 4. The effect of macrolides on adaptive immunity

### 4.1. Dendritic cells

Dendritic cell (DC) phenotypic plasticity allows for regulation of immune responses and is often perturbed in inflammatory disease where DCs perpetuate chronic inflammation and tissue damage. Macrolides seemingly polarise DCs to a tolerogenic phenotype but there are conflicting reports.

#### 4.1.1. Surface marker expression

Three papers have reported that macrolides shift human and murine DCs towards a tolerogenic phenotype *in vitro* [89–91], evidenced by AZM treatment downregulating expression of MHC and costimulatory molecules CD40, CD86 and CD83. However, a 2007 study reported that AZM and CAM significantly enhanced expression of CD80, but not CD86 and CD40 [92]. Notably, some studies used murine bone marrow-derived DCs (mBMDCs) [88,92], which are generated *in vitro* in the presence of granulocyte-macrophage colony-stimulating factor and are not an ideal substitute for primary DCs. Other studies used human monocyte-derived DCs (hMDCs) [90,91], which have similar limitations, so it should be noted that results may not accurately reflect *in vivo* effects.

Confusingly, Polancec and colleagues showed that immature hMDCs generated in the presence of AZM have high CD86 and MHC expression compared to immature hMDCs differentiated without AZM [90]. On maturation with lipopolysaccharide (LPS), CD86 expression remained unchanged in AZM-treated hMDCs, yet CD40 and CD83 expression decreased, although decreased levels of CD86 in macrolide-treated, LPS-stimulated hMDCs are reported [89–91]. Thus, despite some variation between studies, it appears macrolides likely downregulate co-stimulatory molecules, at least on hMDCs, although further studies are required to determine the impact on DC phenotype *in vivo*.

#### 4.1.2. Cytokine production

Macrolide-treated mBMDCs and hMDCs are reported to have enhanced IL-10 and decreased inflammatory cytokine expression including IL-6 and IL-12, providing further evidence that macrolides drive a tolerogenic DC phenotype [89–91]. In one study, AZM and CAM both significantly increased IL-10 production from mBMDCs but only CAM significantly reduced inflammatory IL-6 production [92]. Another study reported that AZM treatment decreased levels of both pro-inflammatory and anti-inflammatory cytokines [91]. Differences in experimental design could again account for these discrepancies but collectively data suggest macrolides increase anti-inflammatory cytokine production *in vitro*, suggesting they induce a tolerogenic DC phenotype. However, their impact on primary DCs *in vivo* has yet to be investigated.



4.2. Lymphocytes

Macrolides reportedly modulate T-cell function both directly and indirectly (Fig. 3). Research relating to macrolides and B-cell function is lacking, with the exception of a preliminary human study indicating that *in vivo* antibody production was unaffected by macrolides [93].

4.2.1. Impact of macrolide modulation of DC function on T-cells

Macrolide induction of a tolerogenic-like DC phenotype is likely to suppress T-cell activation/proliferation or induce formation of anti-inflammatory Treg cells. AZM-treated mBMDCs have decreased T-cell stimulatory capacity compared to untreated DCs *in vitro* and AZM decreased IFN $\gamma$  and increased IL-10 expression during a mixed lymphocyte reaction (MLR) [89], suggesting AZM-treated DCs may promote Treg differentiation. In addition, murine T-cells cultured with AZM-treated mBMDCs showed increased IL-10 production but no alteration in inflammatory cytokine production [92]. In contrast, an *in vitro* human study reports that AZM decreases IL-10, TNF $\alpha$ , and IFN $\gamma$  production by T-cells during a MLR [91]. Besides differences in cell origin and experimental design, it is also important to note that in

studies where cytokine levels were measured while cells were co-cultured, the source of the cytokine was not determined. When macrolides were added to both T-cells and DCs compared to DCs alone, a previously large drop in inflammatory cytokines was not observed [94]. Therefore, macrolides possibly influence T-cell cytokine secretion directly rather than indirectly via DCs. The other factors discussed below are also likely to impinge on the outcome of experiments where macrolides are added to mixed cultures containing T-cells.

4.2.2. Apoptosis

Since it was noted that macrolides reduce lymphocyte numbers in the lung of DPB patients *in vivo* [95], much research has examined if macrolides increase T-cell apoptosis. Macrolides increase apoptosis of activated T-cells and a Jurkat T-cell line *in vitro* [96,97], but this only occurred at high macrolide concentrations, i.e.  $\geq 100\mu\text{g/ml}$ . It is possible, given that macrolides accumulate within cells over time, that these immunomodulatory effects may only be seen at high concentrations. However, it is reported that these concentrations are well above those found in human tissues [98]. Other *in vitro* studies similarly found lower doses of AZM and CAM did not induce T-cell apoptosis [91,98].

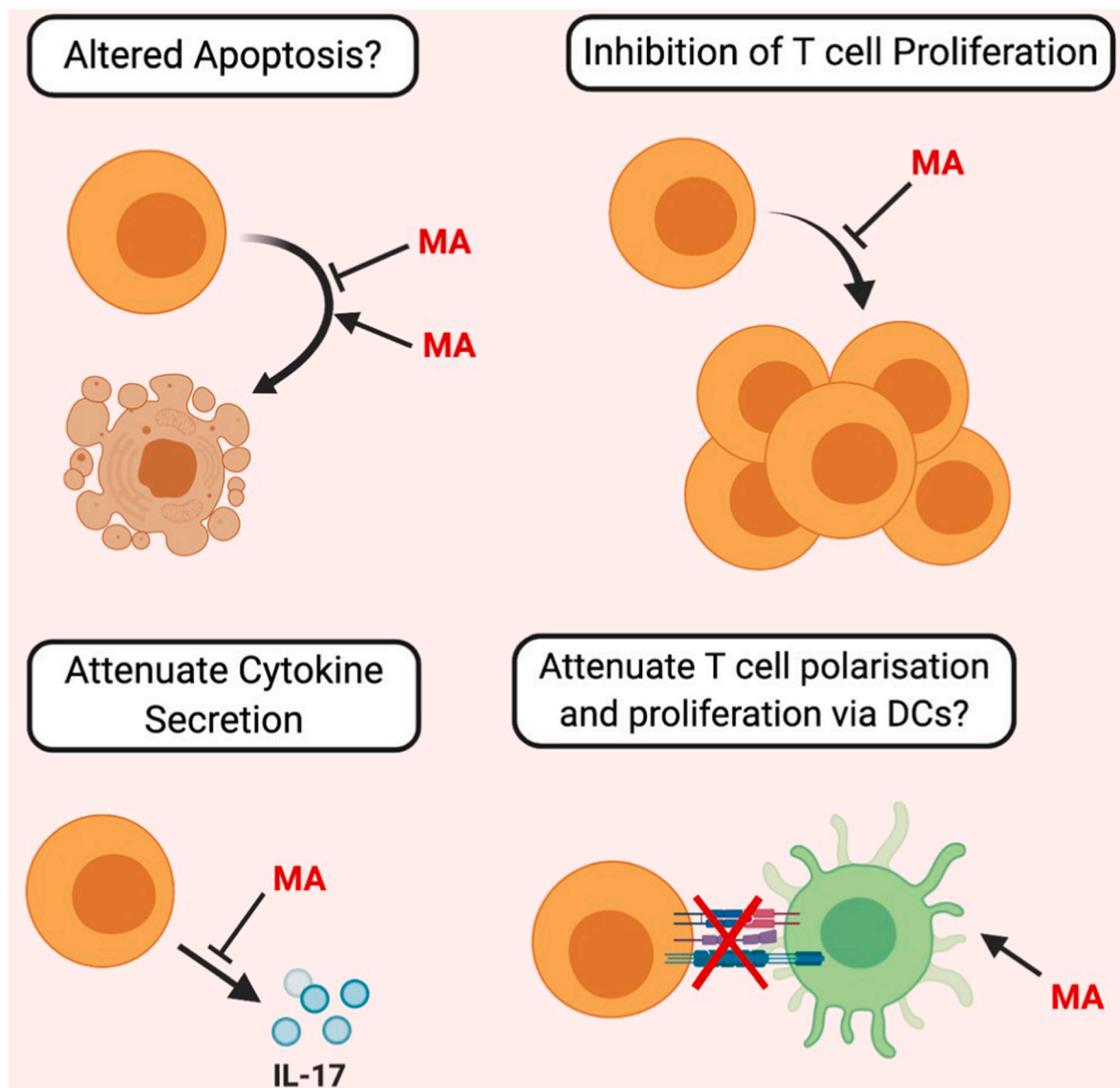


Fig. 3. The Proposed Immunomodulatory Effects of Macrolides on T-Cell function. Macrolide antibiotics (MA) directly influence T-cell function by attenuating cytokine secretion. Contradictory evidence (indicated by question marks) exists regarding the effect of macrolides on T-cell apoptosis, proliferation, and if, via DC attenuation, Macrolides can indirectly affect T-cell function.

Also, while some studies looked at lymphocytes as a single population (and showed macrolides increased apoptosis) [96], others looked specifically at CD4<sup>+</sup> T cells and found no effect of macrolides on apoptosis [91,97,98]. Therefore, low-dose macrolide therapy might increase apoptosis of specific T-cell subsets, highlighted by decreased CD8<sup>+</sup> T-cells numbers and unchanged CD4<sup>+</sup> T-cell numbers in macrolide-treated patients [95].

#### 4.2.3. Proliferation

*In vitro* studies found human CD4<sup>+</sup> T-cell proliferation was significantly inhibited by low-dose AZM therapy [91,94]. However, some report that macrolide-induced inhibition of T-cell proliferative responses was only apparent at high macrolide concentrations [91,97,98], similar to effects seen for apoptosis. This suggests the decrease in T-cell numbers seen in macrolide-treated patients may be a consequence of the reduction in pro-inflammatory cytokines involved in recruitment and proliferation, as previously discussed, rather than a direct effect of macrolides on T-cell proliferation and/or apoptosis.

#### 4.2.4. Cytokine secretion

Lastly, research suggests macrolides directly suppress T-cell cytokine production. AZM decreased IL-17 production by human and murine Th17 cells in a dose-dependent manner highlighting a direct inhibitory effect of macrolides on T-cell cytokine production [97–99]. This is interesting given that Th17 responses are particularly important in providing protection from bacterial infections, a common feature of many inflammatory lung diseases, but also contributes to clinical exacerbations. Therefore, reduced IL-17 may, on the one hand, contribute to chronic bacterial infections by hampering vital immune responses but on the other, and in line with clinical data (Table 2), may benefit the patient by reducing exacerbations.

Therefore, while macrolides likely affect aspects of adaptive immunity, open questions remain. While evidence for macrolides inducing tolerogenic DCs seems largely consistent, inconclusive and conflicting studies on T-cell function highlight a need for further research.

## 5. Molecular mechanisms of action

### 5.1. PI3K/Akt/mTOR pathway

An *in vitro* study using a murine macrophage-like cell line showed that AZM treatment polarised M2 macrophages by stimulating the phosphorylation and activation of the Akt molecule, a serine/threonine kinase downstream of Phosphoinositide 3-Kinase (PI3K) [63]. When Akt phosphorylation was inhibited, no M2 polarisation occurred suggesting AZM acts on this pathway to polarise macrophage phenotype and possibly mediate other immunomodulatory effects. The precise mechanisms are unclear, but macrolides might upregulate the Phosphoinositide-dependent kinase 1 (PDK1) molecule, thus enhancing phosphorylation/activation of Akt, or directly influence the upstream molecule PI3K, leading to Akt recruitment and activation.

Rapamycin, a non-antibiotic macrolide, indirectly inhibits the serine/threonine kinase mammalian Target of Rapamycin (mTOR) by forming a complex with FK506 Binding Protein 12 (FKBP12) [98]. Due to structural similarity and similar immunomodulatory effects of macrolides and rapamycin, research was conducted into if and how macrolides affect mTOR. Two studies show that macrolides directly modulate mTOR and attenuate T-cell responses [97,98]. Both studies found decreased levels of phosphorylated S6 Ribosomal Protein (S6RP), a protein downstream of mTOR. Interestingly, mTOR inhibition was independent of FKBP12 [98]. Therefore, macrolides likely have a different mechanism of mTOR modulation than Rapamycin, possibly by directly binding mTOR without the need for a co-factor.

Phosphorylation by Akt can stimulate or inhibit different target proteins. Therefore, macrolide-induced immunomodulatory effects may be the result of differential effects on different proteins in the pathway i.

e. Akt activation may cause some proteins to be upregulated and others to be downregulated to ultimately give rise to the effects seen.

### 5.2. NF-κB and AP-1

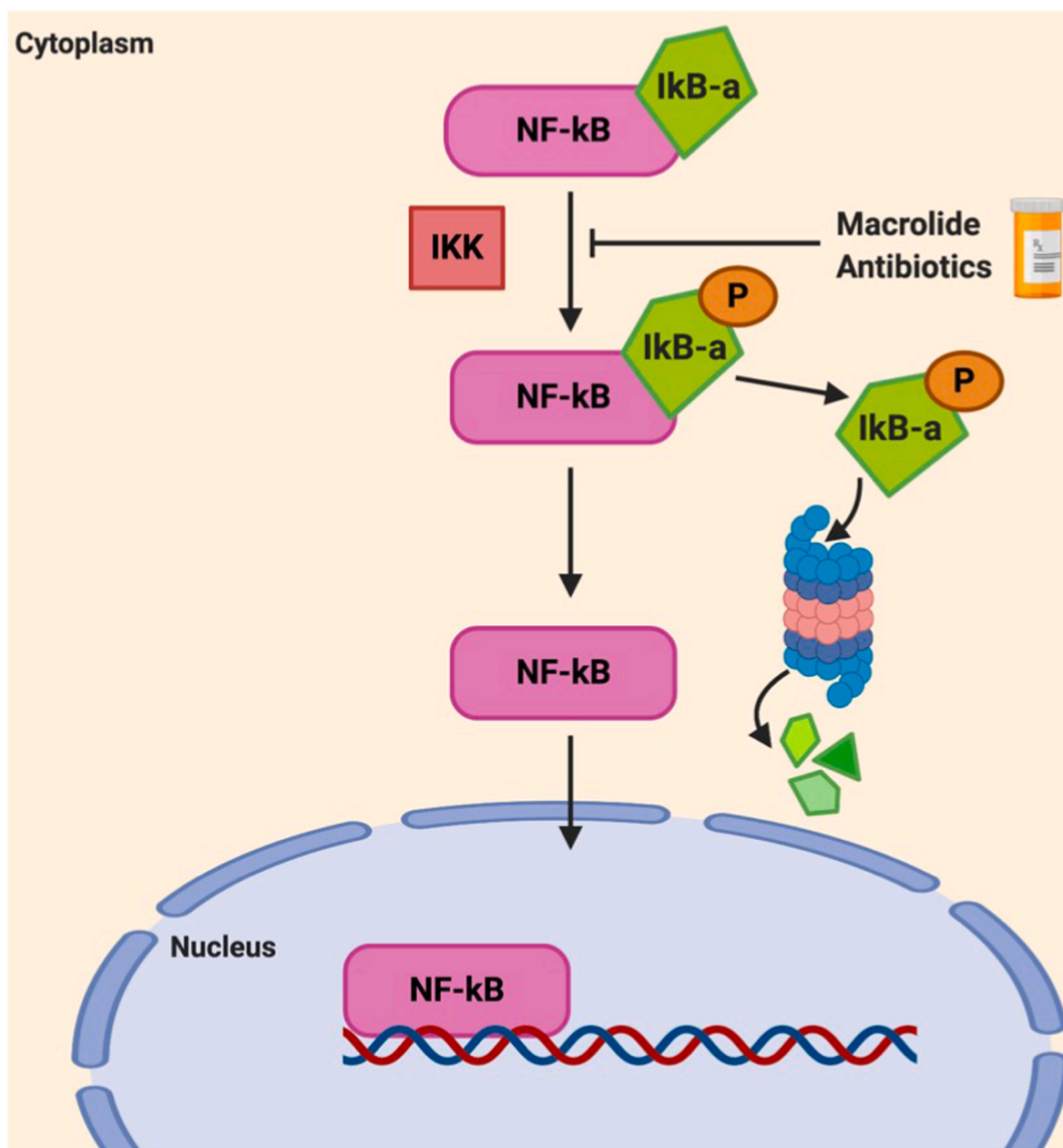
Initial research found that various 14-membered macrolides inhibit NF-κB activation in bronchial epithelial cells and peripheral blood mononuclear cells [100–102]. As NF-κB governs chemokine and cytokine expression, it was the proposed mechanism for macrolide-induced cytokine attenuation and further hinted that inhibition of these transcription factors may cause other anti-inflammatory effects. Macrolide treatment was found to specifically affect inhibitor of nuclear factor kappa B (IκB) proteins [81,103] (Fig. 4).

Using human tracheal cells, AZM treatment inhibited IκB-α degradation [103]. Likewise, TUL significantly decreased phosphorylated IκB-α levels in LPS-stimulated bovine neutrophils, therefore decreasing IκB-α degradation [81]. This suggests macrolides may inhibit IKK, the enzyme responsible for the phosphorylation and breakdown of IκB-α (Fig. 4). Therefore, it is likely macrolides have inhibitory effects on NF-κB.

## 6. Discussion

In summary, macrolides possess immunomodulatory properties that likely contribute to their observed efficacy in the treatment of inflammatory respiratory diseases. Their efficacy in clinical scenarios where antibiotic effects are less likely, such as eosinophilic asthma, BE and CF with *Pseudomonas*, further hint that immunomodulatory effects are a key mechanism behind their therapeutic action. However, much controversy exists surrounding a definitive mechanism of action for these drugs and whether antimicrobial effects are possibly a significant driver of the clinical benefits of macrolide therapy. Including the ability of macrolides to accumulate within tissues to concentrations above the antibacterial threshold, the intimate relationship between infection and host immune response alludes to the idea that targeting infection through antibacterial mechanisms may inadvertently reduce the inflammatory processes implicated in disease, and those supposedly dampened by macrolides. Multiple other findings further hint at the potential importance of antimicrobial effects. One of which is the discovery that macrolide-resistant pathogens, namely *Pseudomonas*, may be macrolide-sensitive in the context of the lung. This was highlighted by evidence showing that *P. aeruginosa* harbours increased susceptibility to macrolides when cultured in bronchoalveolar lavage fluid compared to standard cell culture media [105], findings potentially more representative of the *in vivo* effects occurring in the macrolide-treated lung. Also, evidence highlights *Pseudomonas* isolates from CF patients acquire resistance to macrolides [106], a process that would not occur in naturally macrolide-resistant organisms. This data, alongside observations that chronically infected *Pseudomonas* patients often show greater clinical benefit when treated with macrolides [18] (data previously overviewed in Section 1.3), highlights a compelling case for additional antibiotic effects. While outwith the scope of this review, macrolides reportedly possess additional antiviral effects [107] and these antiviral effects/improvements in host viral defense may similarly contribute to the therapeutic effects of macrolides given that a common clinical outcome of long-term macrolide therapy is reduced exacerbations, which are often of viral origin. Therefore, it may be more accurate to look at the immune modulation provided by macrolides as an interplay between improved host response to infection, dampening of dysregulated inflammation and the targeted elimination of several relevant and susceptible airway pathogens.

Regardless, the evidence throughout this review shows macrolides possess more than just antimicrobial properties. As such, macrolides may have potential in diseases where immune response dysregulation contributes to disease pathogenesis, such as in cancer and RA. This is supported by clinical data showing cancer patients, including lung



**Fig. 4.** The Effect of Macrolides on NF- $\kappa$ B. In resting state, NF- $\kappa$ B is inactive in the cytoplasm due to inhibition by the I $\kappa$ B- $\alpha$  inhibitory protein. During inflammation, I $\kappa$ B- $\alpha$  becomes phosphorylated by I $\kappa$ B Kinase (IKK), which mediates degradation of I $\kappa$ B- $\alpha$  by the proteasome. The removal of I $\kappa$ B- $\alpha$  allows NF- $\kappa$ B to translocate into the nucleus to regulate inflammatory gene expression. Macrolides decreased the phosphorylation, and therefore degradation, of I $\kappa$ B- $\alpha$  thereby keeping NF- $\kappa$ B in an inactive state. (Adapted from Beinke and Ley., 2004) [104].

cancer patients, respond better to cancer therapy when given in combination with CAM [108], and an improvement in RA symptoms after macrolide therapy [109,110]. However, as macrolide therapy comes with disadvantages (Section 1.5), it must be carefully evaluated whether the advantages of macrolides outweigh the safety concerns and future research is needed to answer questions regarding long-term safety of macrolides. Finally, by further understanding the immunological pathways targeted by macrolides and the magnitude to which their immunomodulatory effects drive clinical outcomes opposed to their antimicrobial effects, the development of non-antibiotic macrolide-like drugs could see the benefits of macrolide therapy optimised and the drawbacks addressed.

## 7. Conclusions

In conclusion, macrolide antibiotics have shown potent immunomodulatory properties in aspects of innate and adaptive immunity and these effects likely contribute to their therapeutic benefit in the context of inflammatory respiratory diseases alongside their well-established antimicrobial properties.

## Funding

JDC is supported by the GSK/British Lung Foundation Chair of Respiratory Research and a Scottish Senior Fellowship from the Chief Scientist Office.

## Acknowledgements

Figures were created using [BioRender.com](https://www.bio-render.com/) (2020). Macrolide structures (Table 1) were created using ChemSpider, Royal Society of Chemistry (2021).

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