




The Development of Pharmacological Therapies for Alzheimer's Disease

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ABSTRACT

Alzheimer's disease (AD) is a progressive neurodegenerative disorder that currently has no cure. The aged population is growing globally, creating an urgent need for more promising therapies for this debilitating disease. Much effort has been made in recent decades, and the field is highly dynamic, with numerous trials. The main focus of these trials includes disease

modification and symptomatic treatment. Some have shown beneficial outcomes, while others have shown no significant benefits. Here, we cover the outcome of recently published AD clinical trials, as well as the mechanism of action of these therapeutical agents, to re-think drug development strategies and directions for future studies.

Keywords: Alzheimer's disease; Disease-modifying therapy; Symptomatic treatment; Clinical trial

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Key Summary Points

Current pharmacological clinical trials for Alzheimer's disease can be considered under two categories, namely disease-modifying therapies and symptomatic treatments. At present, no treatment has been proved sufficient to cure the disease.

Abnormal deposition of amyloid-beta (A β) and hyperphosphorylation of tau are characteristics of the disease and are considered contributors to disease mechanisms; thus, much effort has been focused on inhibiting or removing these abnormalities on the basis of the amyloid hypothesis and tau hypothesis.

Symptomatic treatment, which is based on a current understanding of the pathogenesis of the disease, may achieve short-term improvements or long-term stabilization, or slow the deterioration in one or more symptom domains.

The inhibition of A β or its related proteins/enzymes alone appears insufficient to battle the disease; therefore, future studies may be more fruitful by focusing on downstream events, such as tau hyperphosphorylation and neuroinflammation, as well as mitochondrial damage in presynaptic neurons.

INTRODUCTION

Alzheimer's disease (AD) is pathologically characterized by the accumulation of insoluble amyloid-beta (A β) protein in extracellular neuritic plaques and microtubule-associated protein (MAP) tau in intracellular neurofibrillary tangles (NFTs) associated with synaptic dysfunction and neuronal loss [1]. AD is the most common cause of dementia, accounting for approximately 60–80% of cases, thus placing a

heavy burden on society [2]. According to the World Alzheimer Report 2015, around 46.8 million people worldwide suffered from dementia in 2015, and this number is predicted to increase to 131.5 million by 2050.

Current pharmacological clinical trials for AD can be considered under two categories, namely disease-modifying therapies (DMTs) and symptomatic treatments [3]. Some agents have more than one mechanism of action, and in these cases, the dominant mechanism is noted and discussed. This review provides a comprehensive summary of clinical trials published in the last 5 years, as well as the mechanism of action/hypothesis behind these potential therapeutic strategies, aiming to highlight the compounds that are still under investigation and discuss the drug development directions that are still of potential therapeutic value.

This article is based on previously conducted studies and does not contain any studies with human participants or animals performed by any of the authors.

DISEASE-MODIFYING THERAPIES

DMTs are a collective group of therapies aimed at delaying or, ideally, reversing pathogenic mechanisms that precede symptoms and are considered to contribute significantly to the disease process. Since abnormal deposition of A β and hyperphosphorylation of tau are characteristics of the disease and are considered contributors to disease mechanisms, much effort has been aimed at inhibiting or removing these abnormalities.

Therapies Targeting Amyloid-Beta (A β) and Amyloid-Related Proteins

The abnormal deposition of A β has long been considered a core event in the initiation and development of AD [4]. A β peptides are derived from proteolytic cleavage of the N- or C-terminus of the amyloid precursor protein (APP) by β -secretases (BACE1 and BACE2) and the γ -secretase complex, respectively [4]. Two homologous proteins, presenilin 1/2 (PS1/2, encoded by

PSEN1/2), are essential for γ -secretase-mediated cleavage and mutations in genes encoding APP, and PS1/2 are also found in cases of early-onset familial AD [4]. Various drugs designed to decrease A β or amyloid-related proteins (APP, BACE and γ -secretase) have been developed to treat AD.

Active vaccination for A β removal was the first DMT to be developed. The first human clinical trial started in 2000, testing AN1792 (Janssen, Pfizer) and its surface-active saponin adjuvant QS21. However, unexpected meningoencephalitis occurred in AD patients, and the phase II trial was terminated [5, 6]. Improved active vaccination strategies were then developed using vanutide cridificar (also known as ACC-001, Elan/Wyeth) that linked the A β short fragments to a carrier which inactivated diphtheria toxin and minimized the inflammatory responses seen in AN1792 [7]. However, despite an acceptable safety profile, no significant cognitive improvement has been observed, and the clinical trial was therefore terminated [8].

More recently, passive vaccination, using either naturally occurring autoantibodies or humanized murine monoclonal antibodies to A β peptide as immunogens, have been developed and assessed in clinical trials. Intravenous immunoglobulin (IVIg) is derived from the plasma of healthy donors and used as an immunogen for passive vaccination. The presence of natural anti-A β antibodies, which have lower avidity for single A β molecules but higher affinity to oligomers and fibrils, have been reported in IVIg, and very importantly, the anti-A β antibodies generated in response to this antigen have been shown to be safe [9]. Compared with placebo (low-dose albumin), 18-month treatment with IVIg (0.2 or 0.4 g/kg every 2 weeks) reduced plasma A β 42 levels in patients with mild-to-moderate AD, but without cognitive or functional improvement [10]. A more recent study testing the effect on patients with very early versus entrenched mild cognitive impairment (MCI) showed that five infusions of IVIg (0.4 g/kg every 2 weeks) reduced both brain atrophy and cognitive decline, and slowed progression to AD. This beneficial effect was significant at 1 year of treatment, but not at 2 years [11]. In a small-

scale trial, IVIg treatment did not alter the levels of A β 40 or A β 42 in cerebrospinal fluid (CSF), but rather increased high-molecular-weight (HMW) oligomers, suggesting that HMW-A β might be a better post-IVIg treatment biomarker of target engagement in A β clinical trials [12]. Apart from IVIg, humanized immunoglobulin monoclonal antibodies against A β have also been designed to increase the clearance of A β in the brain. Bapineuzumab is aimed at disaggregating deposited amyloid in plaques by binding N-terminal 5 residues of A β [13]. This antibody has been tested in two phase III trials on mild-to-moderate AD cases, one in carriers of the apolipoprotein E (ApoE) ϵ 4 allele, and the other in non-carriers. Intravenous (IV) infusion with bapineuzumab significantly reduced accumulation of fibrillar A β ; however, a decrease in phosphorylated tau in CSF was only significant in ApoE ϵ 4 allele carriers, and neither had obvious effects on stabilizing brain volume or clinical outcomes [14–16]. Interestingly, AD patients treated with bapineuzumab had a larger ventricular volume compared with placebo, possibly due to A β clearance [17]. Safety and tolerability (up to 3 years) of this antibody was generally considered good [18]; however, the doses tested on ApoE ϵ 4 allele homozygous carriers were often lower than those tested on non-carriers because of amyloid-related imaging abnormalities (ARIA) in carriers [19]. ARIAs, including cerebral edema (ARIA-E) and cerebral microhaemorrhages (ARIA-H), are abnormal findings detected in the brain of AD patients via magnetic resonance imaging (MRI), which are associated with amyloid-modifying therapies. Because of the lack of efficacy of IV administration in phase III trials, subcutaneous (s/c) injection has been evaluated in a phase II trial. Though no significant change was observed in the cerebral amyloid signal assessed by positron emission tomography (PET) imaging, fewer ARIAs were detected when compared with IV administration [20], indicating that s/c injection is safer than IV infusion. Bapineuzumab failed, but it was found at pathology that 28% of patients did not have AD, which drove the further design of solanezumab. Solanezumab (Eli Lilly and Co.) targets soluble monomeric A β peptides at residues 16–26, and subsequently

inhibits aggregation of A β [21]. In the first two phase III trials (EXPEDITION and EXPEDITION2), solanezumab was tested in mild-to-moderate AD patients, with no significant reductions found in cognitive or functional decline [22]. However, reductions in these measures were detected in a subgroup of participants with mild AD [23]. To confirm this beneficial outcome, EXPEDITION3 was subsequently performed, recruiting only mild AD cases. Disappointingly, EXPEDITION3 failed to show a similar cognitive benefit [24]. One possible reason is that in 25% of mild AD patients recruited in EXPEDITION and EXPEDITION2, there was no evidence of amyloid-related changes detected with CSF biomarkers or amyloid PET scanning, suggesting a diverse underlying disease pathology. The lack of clinical efficacy of bapineuzumab and solanezumab could be explained by the following: (1) not being used early enough in the disease course; (2) not targeting the most critical A β species for AD pathogenesis (bapineuzumab targets all forms of A β , whereas solanezumab only targets monomeric A β); (3) the doses or durations tested in previous trials have not been sufficient.

Attempts to treat AD with novel monoclonal antibodies are still ongoing, and trials have been reported with results on their safety, tolerability, pharmacokinetics and biomarkers. MABT510A (also known as crenezumab, Genentech, Roche) is an IgG4 antibody that binds both monomeric and aggregated A β species to realize the clearance of A β [25], which was supported by the observations in the ABBY and BLAZE phase II trials, showing a significant reduction in CSF A β levels in crenezumab-treated patients compared with the placebo controls [26]. BIIB037 (also known as aducanumab, Biogen, Eisai) has been shown to remove A β plaques in AD patients by selectively reacting with soluble oligomers and insoluble fibrils [27]. However, phase III trials of these two antibodies for prodromal AD were terminated in 2019 due to failure to reach the primary and secondary endpoints. Surprisingly, a subsequent analysis of a larger data set showed that the primary endpoint was met in patients on the highest dose (10 mg/kg) of BIIB037, and the US Food and Drug Administration (FDA) recently

approved it under the agency's accelerated approval pathway after the marketing license was granted. Although the approval decision has engendered enthusiasm in the AD drug market, it has also sparked controversy from scientists due to the ambiguous results of clinical trials for the development of this medication (www.alzforum.org/therapeutics/aducanumab). AAB-003 (Janssen, Pfizer) is a modified bapineuzumab with a three-amino-acid mutation in the lower hinge region of bapineuzumab introduced to reduce Fc-receptor-mediated effector function and antibody-induced ARIA, allowing a higher dose (up to 8 mg/kg) to be used [28]. GSK933776 (GSK) is also an Fc-inactivated antibody designed to reduce ARIAs, so a maximal dosage of 6 mg/kg has been tested in a phase I trial [29]. Both AAB-003 and GSK933776 have shown good safety and tolerability, but they have not been further developed for AD treatment due to lack of significant changes in CSF biomarkers in the completed trials. BAN2401 (Biogen) specifically targets large, soluble A β protofibrils, and was developed based on increasing evidence that oligomers and protofibrils have higher toxicity than monomers or insoluble fibrils [30]. A phase III clinical trial of this antibody was initiated in 2019 (www.alzforum.org/therapeutics/ban2401). Donanemab (Eli Lilly and Co.) was shown in a phase II trial to slow decline on the Integrated Alzheimer's Disease Rating Scale (iADRS) by 32% compared to placebo, which is critical for the continued development of this type of therapy (Mintun et al. 31 Donanemab in early Alzheimer's disease. The New England Journal of Medicine, in preview. <https://doi.org/10.1056/NEJMoa2100708>).

Small molecules targeting A β have also been designed for the treatment of AD. A β peptides are initially derived from APP, with APP processing correlating with disease mechanisms; therefore, APP itself has become a target for therapy. A phase II clinical trial showed that 12-month treatment with phenserine (Axonyx) in patients with mild AD decreased the A β 42/40 ratio in the CSF and improved cognition [32], possibly by suppressing translation of APP mRNA. However, a larger-scale phase III trial failed to show similar cognitive improvement

(www.alzforum.org/new/detail.asp?id=1257).

APP is cleaved by β -secretase in an amyloidogenic processing pathway, with BACE1 the major β -secretase in the brain. The BACE1 inhibitor thalidomide has been tested in a phase II trial in patients with mild-to-moderate AD. Because of the poor safety and tolerability of this drug, the effective dosage for blocking BACE1 could not be achieved [33]. Although BACE2 is expressed mainly in peripheral tissues, it is also expressed to a lesser extent in the brain, and has been considered to contribute to the generation of A β , compensating for changes in BACE1 activity [34]. Therefore, inhibition of BACE1 alone may not be sufficient to block the formation of A β , and dual inhibition may be required. Such attempts have been tested in clinical trials, but none so far has been successful. AZD3293 (also known as lanabecestat, AstraZeneca) showed good tolerability and safety, even at the highest dose of 150 mg daily, with prolonged suppression of A β peptides in both plasma and CSF when given at most doses in a phase I trial [35]. Other BACE dual antagonists, including MK-8931 (also known as verubecestat, Merck) [36], JNJ-54861911 (also known as atabecestat, Janssen) [37], CNP520 (also known as umibecestat, Novartis) [38] and E2609 (also known as elenbecestat, Eisai/Biogen) [39], have all shown significant reductions in CSF biomarkers in both healthy subjects and AD patients, with good tolerability at multiple dosages in phase I trials. However, except for CNP520, the phase II/III trials of AZD3293, MK-8931, JNJ-54861911 and E2609 have all had early discontinuation due to lack of efficacy and/or safety concerns based on interim futility analyses. Further attempts combining the use of a BACE inhibitor (elenbecestat, Eisai/Biogen) with a monoclonal antibody (BAN2401) starting in early 2020 will be assessed in two upcoming clinical trials targeting primary and secondary prevention of the pre-symptomatic stage of AD (company press release: Eisai: Alzheimer's clinical trials consortium selects elenbecestat and BAN2401). In addition, a novel BACE inhibitor, NB-360 (Novartis), has been identified as having potent inhibitory effects in a variety of preclinical animal models and is likely to be developed for clinical trials [40, 41].

Small molecules targeting γ -secretase, either by its modulator BMS-932481 (Bristol Myers Squibb) [42] or by its inhibitor avagacestat (Bristol Myers Squibb) [43], have been assessed in phase I and phase II clinical trials, respectively. However, due to a lack of efficacy and safety, trials for both compounds were terminated. Next, the clearance of soluble A β peptides requires ApoE via a protein–protein interaction, and homozygosity for the ApoE gene allele ϵ 4 has been shown to be the strongest genetic risk factor for AD [44]. ApoE is predominantly synthesized in glial cells, and lipidated by ATP-binding cassette A1 (ABCA1) before binding and internalizing soluble A β for lysosomal clearance. ABCA1 production is under the control of nuclear retinoid X receptors (RXR); therefore, stimulating RXR by its agonist promotes lipidation of ApoE by increasing the expression of ApoE and ABCA1, and subsequently stimulating the clearance of A β 1-42 from the brain [45]. Bexarotene is an RXR agonist that has been tested in patients with moderate AD with amyloid-positive PET scans. However, bexarotene only reduced brain amyloid associated with increased serum A β 1-42 in ApoE ϵ 4 allele non-carriers, and not in carriers. Furthermore, the drug elevated blood triglyceride levels, which is considered a cardiovascular risk factor, and hence clinical trials for this drug were also terminated [46].

There is an equilibrium between A β levels in plasma and in the central nervous system (CNS); therefore, reducing plasma A β levels can be realized via plasma exchange (PE), in which antibodies that bind to soluble A β in plasma may prevent its transport back into the brain and thereby facilitate a net clearance of A β (peripheral sink hypothesis) [47]. A phase II trial demonstrated a borderline elevated CSF level and a decreased plasma level of A β 1-42 post-PE in association with improved cognition and memory [48]. However, behavioural and functional measurements in the PE group were worse than those in controls, probably due to psychiatric symptoms (especially anxiety) triggered by the sustained invasive procedure. This has limited further testing of this treatment in larger-scale clinical trials.

So far, though most of the drugs are safe and well tolerated at the dosages tested, the lack of clinical efficacy is a consistent problem facing clinical trials testing treatments for reducing A β . This could be due to the use of inappropriate biomarkers for outcome evaluations, or the interventions being started at an inappropriate stage of the disease. However, the number of failed trials also raises the question of whether A β alone is responsible for the pathogenesis of the disease, and thus a better understanding of disease mechanisms is urgently needed.

Therapies Targeting Tau

Neurofibrillary tangles are the other key pathological feature in AD, and it has been hypothesized that the hyperphosphorylation of tau triggers the pathogenic cascade of the disease, eventually causing neuronal cell dysfunction and death [49]. Therefore, tau protein, and especially its hyperphosphorylated form, is considered another potential therapeutic target for AD. Currently, only a few candidates have been identified to specifically suppress tau aggregation, by either immunotherapy or small molecule compounds. One possible reason for this is that tau is widely expressed in the brain, with crucial physiological function in neuronal cells, and therefore drugs can only be developed to specifically target the abnormal protein, leaving normal tau to maintain its functions.

AADvac1 (AXON), which is a tau vaccine directed against soluble extracellular forms, is a synthetic peptide derived from amino acids 294–305 of the tau sequence. A phase I trial showed that 29 out of 30 participants demonstrated positive IgG titres against tau after vaccination [50], suggesting excellent immunogenicity of this vaccine in humans, and thus warranting further clinical trials.

Small molecules have also been tested in clinical trials to assess their inhibition of tau aggregation. For instance, TRx0237 (also known as leuco-methylthioninium-bis(hydromethanesulfonate); LMTM, TauRx Therapeutics) underwent a phase III trial in 891 mild-to-moderate AD patients for a treatment period of 15 months. Unfortunately, the trial analysis was

negative in reaching any clinical outcomes [51]. Meanwhile, two phase II trials for VEL015 (sodium selenite, Royal Melbourne Hospital) [52] and methylthioninium (MT, TauRx Therapeutics) [53] have been conducted in patients with mild-to-moderate AD, mainly to collect data on safety and tolerability. Further studies will depend on the results of these trials.

Nerve Growth Factor (NGF)

NGF has been demonstrated to have neuroregenerative and neuroprotective effects on cholinergic neurons in the basal forebrain in animal studies [54]. In addition, declining NGF levels have been observed in brain regions critical to cognition and memory in AD patients [55]. On the basis of these findings, a gene therapy was developed using implanted encapsulated cells sustainably releasing NGF. A 12-month trial injecting these cells into the basal forebrain resulted in reduced brain atrophy in responders [56]. A subsequent observational study over 10 years showed reduced loss of cholinergic neurons in AD patients responding to NGF (as indicated by axonal sprouting, cell hypertrophy and existence of neuronal markers) [57]. Implantation of NGF cells positively regulated cholinergic activity (choline acetyltransferase [ChAT], acetylcholinesterase [AChE] and cortical nicotinic receptor expression) and improved scores on the Mini-Mental State Examination (MMSE) [58]. These trials collectively support NGF gene therapy as a symptomatic treatment or potentially a disease-modifying therapy for AD.

SYMPTOMATIC TREATMENTS

For patients with advanced AD, continuous loss of global function and cognition seems to be unavoidable. Symptomatic treatments may achieve short-term improvements or long-term stabilization, or slow deterioration in one or more symptom domains. The way these treatments work for symptom relief is based on a current understanding of the pathogenesis of the disease, as well as signalling pathway cross-talk during the development of the disease.

Symptomatic Treatments Based on the Cholinergic Hypothesis

Based on the findings of changes in metabolic levels of acetylcholine in post-mortem AD brains, the cholinergic hypothesis was proposed as one of the reasons for cognitive impairment and behavioural disturbance in AD patients. The cholinergic hypothesis is based on the continuous loss of cholinergic neurons in the progression of AD, leading to cortical deficiencies in cholinergic neurotransmission [59]. Therefore, the restoration of cholinergic function might be a potential symptomatic treatment to minimize the severity of cognitive loss for AD patients.

AChE is the primary enzyme responsible for the hydrolysis of acetylcholine (ACh) into choline and acetate. So far, three FDA-approved AChEIs, namely rivastigmine, donepezil and galantamine, have been tested via different administration routes (oral and transdermal patches) with different concomitant medications (memantine, solifenacin). Rivastigmine, which inhibits both AChE and butyrylcholinesterase (BuChE), should provide more benefits to patients with concurrent vascular disease, which was confirmed in a randomized clinical trial in AD patients with or without vascular risk factors [60]. However, the conclusions have been controversial, as another trial showed that 24-week treatment with a transdermal rivastigmine patch improved frontal lobe function in AD patients with mild, but not moderate, white matter hyperintensities (WMH), suggesting that less AD pathology and more vascular pathology may attenuate the response to AChEIs [61]. The transdermal patch may be able to replace oral capsules, with higher tolerability and fewer treatment-related adverse events including gastrointestinal (GI) side effects [62]. Currently, there are three approved doses for rivastigmine patches: 4.6 mg/24 h (5 cm²), 9.5 mg/24 h (10 cm²) and 13.3 mg/24 h (15 cm²). A higher dose or a combined treatment may have better clinical outcomes. For instance, in the ACTION trial, when comparing the effects of the lowest and highest doses in treating patients with severe AD for 24 weeks, the highest dose demonstrated better outcomes

in the prospective and retrospective analyses [63–65]. A 6-month combined treatment with a rivastigmine patch (9.5 mg/24 h) and a cognition-focused intervention in a cohort of a population with severe AD, on the other hand, demonstrated superior efficacy compared with rivastigmine treatment alone [66]. It is noteworthy that the clinical response to rivastigmine is reduced in the presence of the K variant of butyrylcholinesterase (BCHE-K), found in 17–40% of AD patients [67]. Unlike rivastigmine, donepezil and galantamine are AChE-selective and reversible inhibitors. For donepezil, cognitive improvement correlates with its plasma concentration but not its metabolites, with higher doses most effective. However, its clinical efficacy is usually limited by the use of smaller tolerable doses (5 or 10 mg/day) that inhibit on average only 19.1% of cortical AChE activity [68]. Higher doses that achieve greater inhibition of central AChE and improve cognitive function can be used, as shown in a study of 223 Asian patients with moderate to severe AD comparing effective doses of 23 mg/day versus 10 mg/day [69]. However, sustained release of 23 mg/day was not superior to instant release of 10 mg/day in a Japanese cohort [70]. To amplify the cholinergic function of donepezil in the CNS without inducing peripheral symptoms, a peripheral anticholinergic agent (CPC-201, also known as solifenacin) was co-administered to achieve an escalating donepezil dose of 40 mg/day, which was well tolerated by 88% of patients with moderate AD in a 26-week treatment [71]. The improvement in tolerability of donepezil is critical for patients with severe AD, because withdrawal of donepezil increases the risk of nursing home placement, as demonstrated in the DOMINO-AD trial, regardless of whether the *N*-methyl-*D*-aspartate (NMDA) receptor antagonist, memantine, was co-administered [72]. In another study conducted in institutionalized patients with moderate-to-severe AD, discontinuation of cholinesterase inhibitors led to a higher occurrence of hallucinations and delusions [73]. Donepezil has also been tested on prodromal cases at a dose of 10 mg/day for 1 year, showing decreased hippocampal atrophy [74] and cortical thinning [75]. In addition to its inhibition of AChE,

galantamine also positively modulates nicotinic receptors. A 2-year randomized study showed a clinical benefit of galantamine in mild-to-moderate dementia patients in terms of reducing cognitive or functional decline, mortality and nursing home placement [76]. Surprisingly, a post hoc analysis of the same study revealed that such benefits were diminished in patients additionally taking memantine [77].

The NMDA receptor (NMDAR) is a central modulator of synaptic plasticity and memory function. Pathologically, excessive NMDAR upregulation results in excitotoxicity by overloading intracellular calcium and triggering apoptosis. In AD, excessive NMDAR activation leads to increased production of pathological A β and hyperphosphorylated tau [78]. Memantine, a non-competitive NMDAR antagonist and an open-channel blocker for nicotinic receptors, has been approved for symptomatic treatment in AD, either as add-on therapy to AChEI in moderate-to-severe cases or as single therapy in less severe cases. On the basis of good clinical efficacy and tolerability as well, as increased medication persistence when co-prescribing memantine and donepezil, a fixed-dose combination capsule containing 28 mg memantine extended-release and 10 mg donepezil was successfully tested in two phase I studies [79]. When comparing these two drugs after a 24-week treatment period in a Chinese cohort of mild-to-moderate cases, memantine was more effective in alleviating agitation, whereas donepezil was superior in object-naming ability [80].

Several agents have been tested as concomitant drugs with AChEIs. Idalopirdine, a selective 5-hydroxytryptamine-6 receptor (5HT_{6R}) antagonist, was tested in AChEI-treated patients with mild-to-moderate AD, but showed no cognitive benefits over a 24-week treatment in three phase III studies [81]. Cilostazol, a selective cyclic nucleotide phosphodiesterase 3 (PDE3) inhibitor and an anti-platelet drug, was tested in a case–control study as add-on therapy to AChEIs, showing a reduction in cognitive decline potentially as a consequence of different vascular targets by these two drugs (AChEIs and cilostazol dilate vessels in an endothelial-dependent and independent manner,

respectively) [82]. A potent, selective α 7 nicotinic acetylcholine receptor (nAChR) agonist, ABT-126, has been tested in mild-to-moderate AD patients who were taking stable doses of AChEIs; however, this drug failed to show any add-on benefits [83]. The α 4 β 2 neuronal nicotinic receptor was selectively targeted in order to avoid the activation of α 3 or muscarinic subtypes, but the partial α 4 β 2 agonist, ABT-089, administered as an adjunctive agent to donepezil over a broad range of doses failed to show any add-on benefits [84]. The acetylcholine release agent, ST101, has demonstrated a dose–response cognitive benefit in patients with mild-to-moderate AD taking donepezil [85]. Tideglusib, the glycogen synthase kinase-3 (GSK-3) inhibitor, was evaluated in a phase II trial in AD patients treated with AChEI and/or memantine (NMDAR inhibitor [NMDARI]) for 26 weeks, but demonstrated no clinical benefits [86].

Other Symptomatic Drug Candidates to Improve Cognition

There are some other symptomatic drug candidates tested to improve cognition in AD patients. Bryostatin 1, a protein kinase C epsilon (PKC ϵ) agonist which crosses the blood–brain barrier (BBB), showed good tolerability and improved cognitive function in nine AD patients in a phase II trial [87], although larger-scale clinical trials are required to determine efficacy. BI 409306 (Boehringer Ingelheim), a cGMP-specific selective phosphodiesterase 9A (PDE9A) antagonist, suppresses PDE9A-mediated cGMP hydrolysis, leading to reduced signal transduction. When tested in healthy volunteers, BI 409306 was increased in plasma and CSF and subsequently crossed the BBB, resulting in elevated levels of cGMP in the CSF [88, 89]. UE2343, an 11 β -hydroxysteroid dehydrogenase type 1 (11 β -HSD1) inhibitor penetrating the brain and specifically blocking intracellular cortisol levels without interfering with the plasma level, has shown good tolerability and safety in a phase I study, and its peak concentration in CSF was nine-fold greater than its half-maximal inhibitory concentration (IC₅₀)

[90]. The clinical benefits await to be tested. Sembragiline (also known as RO4602522, RG1577 and EVT302) is a potent, selective and reversible monoamine oxidase B (MAO-B) inhibitor developed to treat AD. A PET study revealed almost complete inhibition of central MAO-B at an oral dose of 1 mg per day in AD patients; however, no cognitive benefits were detected after treatment [91].

Symptomatic Drug Candidates for Neuropsychiatric Symptoms

In a large proportion of AD patients, the neuropsychiatric symptoms (NPS) and behavioural and psychological symptoms of dementia (BPSD) are often treated with antipsychotic drugs (e.g. risperidone, olanzapine, quetiapine) [92]. Of interest, risperidone, but not

galantamine, has been found to alter CSF biomarkers (total tau, p-tau, Aβ1-42 and Aβ42/40 ratio) in AD, and some antipsychotics may reduce brain atrophy, suggesting an impact on the disease processes [93, 94]. For sleep disorders, trazodone improved circadian rhythm disturbance [95], whereas low-dose risperidone improved and stabilized sleep patterns in the long term [96]. For severe AD cases with BPSD, the anticonvulsant and mood stabilizer lamotrigine has demonstrated efficacy in a clinical trial [97]. It was also recently reported that nabilone, a synthetic oral tetrahydrocannabinol analogue, may have potential for the treatment of agitation in patients with moderate-to-severe AD [98]. Selective serotonin reuptake inhibitors (SSRIs) have been approved for decades to treat depression. As mature medications, SSRIs have been tested in clinical trials to determine their efficacy in AD-related depression and other

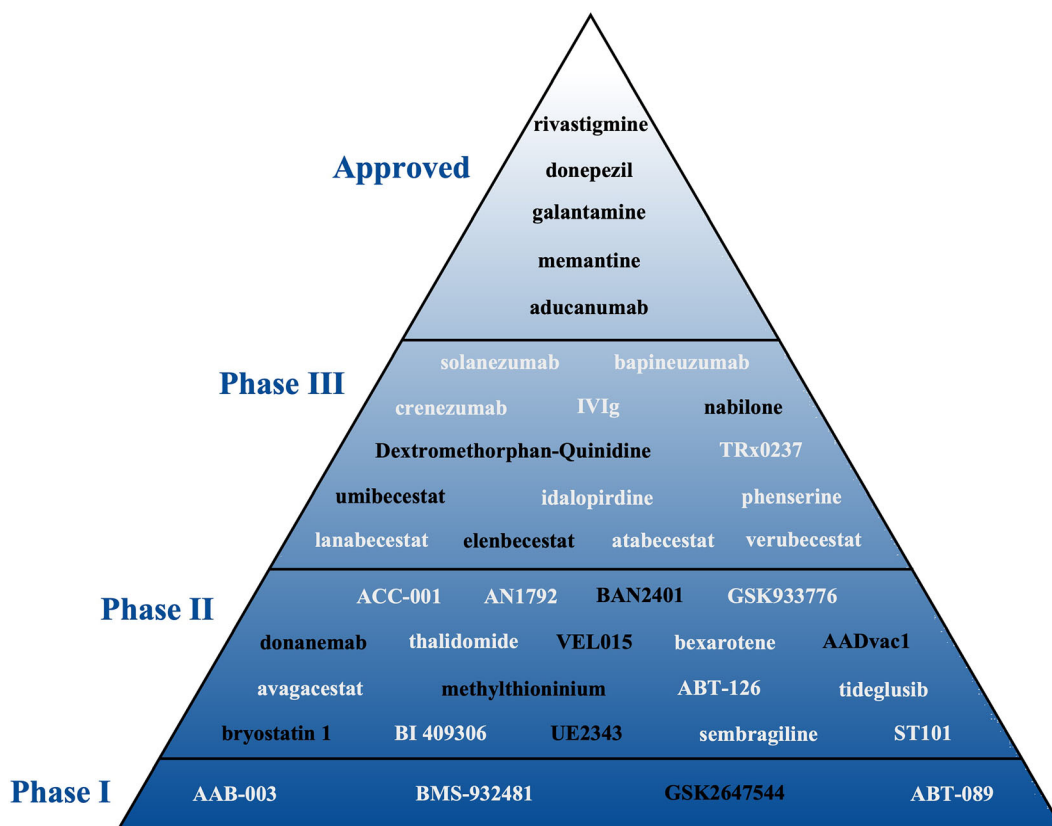
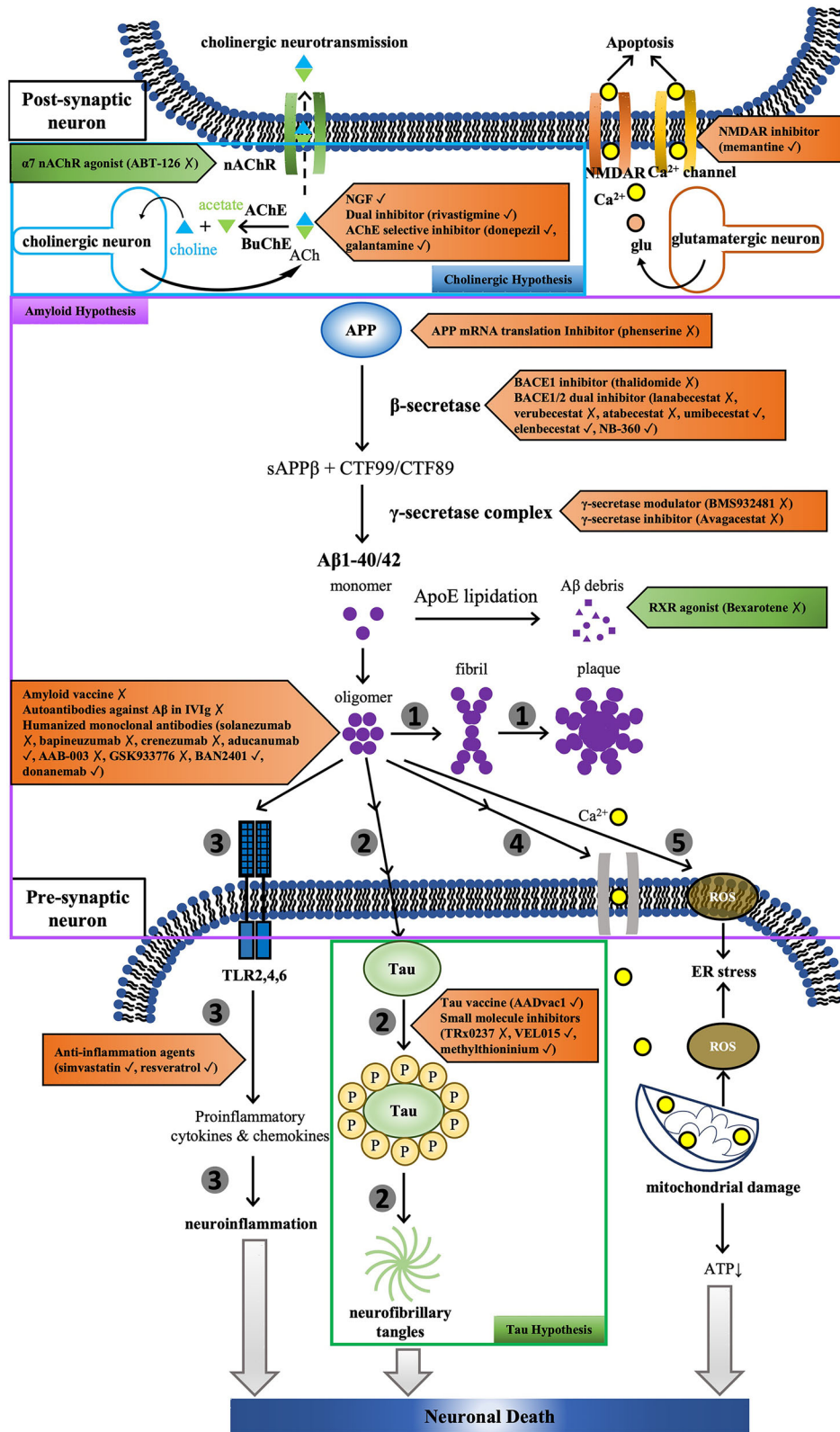


Fig. 1 Drugs tested at different clinical trial phases. Drugs in black indicates ongoing development, while those in grey indicate discontinued drugs



◀ **Fig. 2** Mechanisms behind the drugs tested in clinical trials. A β peptides are derived from proteolytic cleavage of the N- or C-terminus of the amyloid precursor protein (APP) by β -secretases (BACE1 and BACE2) and γ -secretase complex [presenilins (PS1/2), nicastrin (Nct), anterior pharynx defective 1 (Aph1), presenilin enhancer 2 (PEN-2)], respectively. ApoE is lipidated by ATP-binding cassette A1 (ABCA1) before binding and internalizing soluble A β for lysosomal clearance. ABCA1 production is under the control of nuclear retinoid X receptors (RXR); therefore, stimulating RXR by its agonist promotes lipidation of ApoE and subsequent removal of A β 1–42 from the brain. The oligomerization of monomeric A β protein is supposed to be attenuated by amyloid vaccine, autoantibodies or humanized monoclonal antibodies against A β , resulting in the reduced formation of insoluble fibrils and plaques in the outer space between neurons (1). The amyloid pathology is associated with tau hyperphosphorylation, which initiates the formation of neurofibrillary tangles, the other key pathological feature in AD, and eventually causes neuronal cell dysfunction and death. Tau aggregation may be suppressed by either immunotherapy (tau vaccine) or small molecule compounds (2). It has been reported that A β oligomers are capable of triggering neuroinflammation in a TLR-dependent manner, and anti-inflammation treatment may provide therapeutic benefits in AD (3). The self-aggregation of A β on neuronal membranes leads to lipid peroxidation, subsequently depolarizing the synaptic membrane and causing excessive calcium influx and mitochondrial damage, all of which affect the physiological functions of neuronal cells (4). The aggregation of A β also stimulates the production of reactive oxygen species (ROS) to generate toxic oxidized proteins and peroxidized lipids, leading to endoplasmic reticulum (ER) stress (5). Meanwhile, the cholinergic hypothesis has pointed out a continuous loss of cholinergic neurons in AD progression, leading to cortical deficiencies in cholinergic neurotransmission. Acetylcholinesterase (AChE) and butyrylcholinesterase (BuChE) are the primary enzymes responsible for the hydrolysis of neurotransmitter acetylcholine (ACh) into choline and acetate. Thus, the main strategies for maintaining cholinergic neurotransmission are either through the inhibition of AChE and/or BuChE or through the promotion of ACh transport into the post-synaptic neurons. Finally, in AD progression, the neurotransmitter glutamate (glu) released from glutamatergic neurons induces the influx of calcium (Ca²⁺) into responsive neurons through NMDAR. Meanwhile, Ca²⁺ also enters through voltage-gated Ca²⁺ channels. The intracellular calcium overload results in neuronal apoptosis, which may be prevented by the use of NMDAR antagonists or calcium channel blockers. Antagonist/antibodies (in red arrow boxes) and agonists (in green arrow boxes) are listed beside targets, with ✓ (checkmark) and X (cross mark) indicating ongoing or terminated drug development, respectively

psychological symptoms. Firstly, escitalopram was well tolerated by AD dementia patients with depression; however, this drug did not show any clinical benefits in the reported studies [99, 100]. Secondly, citalopram has been shown to control agitation/aggression as well as irritability, anxiety, delusion and hallucination, and the minimal effective duration of treatment was shown to be 9 weeks [101]. However, its side effect profile, especially its impact on sleep, must be considered when determining the dosage used in AD patients [102]. The authors further suggested that those with moderate agitation but less cognitive impairment were more likely to obtain benefits from citalopram, whereas more severe cases, especially dementia patients, could be at higher risk of sleep disturbances [103]. In a secondary analysis, the benefits of citalopram were only partially (11%) due

to sedation, and largely due to unknown mechanisms [104].

In addition, AChEIs and NMDARIs have also been tested in the treatment of AD-related neuropsychiatric symptoms. A higher level of acetylcholine obtained by a combined treatment with donepezil and choline alphoscerate displayed less behavioural disturbance in patients with mild-to-moderate AD as compared to treatment with donepezil alone [105]. A further analysis for this study revealed that the combined treatment was particularly effective in improving apathy [106]. Rivastigmine patch monotherapy has been demonstrated as an effective treatment in controlling agitation in mild-to-moderate AD, pointing out another indication of this cognition-improving drug. Such benefits were not observed when combined with memantine [107], although memantine monotherapy showed significant

benefits in controlling agitation/aggression symptoms [108]. Memantine at a dose of 20 mg/day was also reported to improve sleep in AD patients [109]. However, memantine used in combination with antipsychotics had no benefits either in the prevention of neuropsychiatric relapse or in cognitive improvement [110].

Dextromethorphan-quinidine has been approved to treat pseudobulbar affect (PBA) in the USA and Europe. Dextromethorphan is the active component, while quinidine increases the bioavailability of dextromethorphan by slowing its oxidation. Dextromethorphan is a weak NMDARI, an σ_1 receptor agonist, a serotonin and norepinephrine reuptake inhibitor, and a neuronal $\alpha_3\beta_4$ receptor antagonist. Due to the observations in previous clinical trials showing the anti-agitation effect of this drug, dextromethorphan-quinidine was tested in moderate-to-severe AD patients with agitation, showing strong effects in the 10-week phase II randomized trial [111].

Symptomatic Drug Candidates for Gait Disorder

Gait disorders are the consequence of cortical lesions that affect critical motor areas, as well as cognitive deficits that disturb gait control. Impaired gait is frequently seen in patients with both AD-related and non-related dementia, which significantly elevates the risk of falls and affects future mobility [112]. A phase II trial using donepezil showed gait improvement in 43 patients with mild AD [113], which will now progress to phase III trials. In another open-label trial, the results showed that AChEIs and NMDARIs improved high-level gait control rather than gait performance itself because of the improvements in executive function [114].

CONCLUSIONS AND FURTHER PROSPECTS

This review has provided a comprehensive summary of human pharmacological clinical trials for the pharmacological treatment of AD published between January 2015 and November

2020, including DMTs and symptomatic treatments (summarized in Fig. 1), as well as the potential mechanism of action (summarized in Fig. 2). Currently, the dominant disease-modifying strategy is the targeting of A β or its related proteins at various stages of AD and in people with different risk profiles, and treatments targeting tau protein are also being attempted. To date no DMT has shown strong evidence of significantly delaying or reversing the progression of the disease, although clinical trials are still ongoing with BACE inhibitors (umibecestat, elenbecestat), monoclonal antibodies against A β (BAN2401, aducanumab and donanemab), tau vaccines (AADvac1) and small molecule inhibitors against tau (VELO15 and methylthioninium), whereas the BACE 1/2 dual inhibitor NB-360 is still in the preclinical phase. Symptomatic treatments have been more effective in achieving short-term improvements or longer-term stabilization, with treatments targeting the cholinergic system already approved for use. Treatments still under investigation include dextromethorphan/quinidine and nabilone for agitated AD, as well as bryostatins 1 and UE2343 for cognitive impairment. The sole inhibition of A β or its related proteins/enzymes appears insufficient for battling the disease; therefore, future studies may be more fruitful if focusing on downstream events, such as tau hyperphosphorylation and neuroinflammation, as well as mitochondrial damage in presynaptic neurons.

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