

Evolutionary history of two rare endemic conifer species from the eastern Qinghai–Tibet Plateau

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Received: 20 August 2021 Returned for revision: 13 January 2021 Editorial decision: 31 August 2021 Accepted: 1 September 2021
Electronically published: 2 September 2021

- **Background and Aims** Understanding the population genetics and evolutionary history of endangered species is urgently needed in an era of accelerated biodiversity loss. This knowledge is most important for regions with high endemism that are ecologically vulnerable, such as the Qinghai–Tibet Plateau (QTP).
- **Methods** The genetic variation of 84 juniper trees from six populations of *Juniperus microsperma* and one population of *Juniperus erectopatens*, two narrow-endemic junipers from the QTP that are sister to each other, was surveyed using RNA-sequencing data. Coalescent-based analyses were used to test speciation, migration and demographic scenarios. Furthermore, positively selected and climate-associated genes were identified, and the genetic load was assessed for both species.
- **Key Results** Analyses of 149 052 single nucleotide polymorphisms showed that the two species are well differentiated and monophyletic. They diverged around the late Pliocene, but interspecific gene flow continued until the Last Glacial Maximum. Demographic reconstruction by Stairway Plot detected two severe bottlenecks for *J. microsperma* but only one for *J. erectopatens*. The identified positively selected genes and climate-associated genes revealed habitat adaptation of the two species. Furthermore, although *J. microsperma* had a much wider geographical distribution than *J. erectopatens*, the former possesses lower genetic diversity and a higher genetic load than the latter.
- **Conclusions** This study sheds light on the evolution of two endemic juniper species from the QTP and their responses to Quaternary climate fluctuations. Our findings emphasize the importance of speciation and demographic history reconstructions in understanding the current distribution pattern and genetic diversity of threatened species in mountainous regions.

Key words: Bottleneck event, conservation genomics, demographic history, effective population size, positively selected genes, habitat loss, *Juniperus microsperma*, *Juniperus erectopatens*, speciation history.

INTRODUCTION

As a result of global climate change and the anthropogenic alteration of natural habitats (Dirzo *et al.*, 2014; Ceballos *et al.*, 2015, 2017; Cronk, 2016; Miraldo *et al.*, 2016), numerous endangered species have experienced population declines (Allendorf *et al.*, 2010; Wang *et al.*, 2018) leading to higher than expected extinction rates (Barnosky *et al.*, 2011). Therefore, conservation genetic and ecological studies that aim to minimize the loss of rare species and maximize conservation efforts are urgently required (Segelbacher *et al.*, 2010; Casas-Marce *et al.*, 2013; Harrison *et al.*, 2014; Garner *et al.*, 2016; Hamabata *et al.*, 2019). Habitat loss is often the primary factor that causes fragmentation of rare species, resulting in small and isolated populations over short evolutionary time scales (Frankham, 2005; Hung *et al.*, 2014; Rogers and Slatkin, 2017). This may lead to

reduced genetic diversity due to the more pronounced effects of genetic drift in small and isolated populations (Keller and Waller, 2002; Spielman *et al.*, 2004; Jacquemyn *et al.*, 2009). In general, threatened species tend to have low genetic diversity (Spielman *et al.*, 2004; Allendorf *et al.*, 2010), which increases the extinction risk (Saccheri *et al.*, 1998; Frankham, 2005). Therefore, it is crucial to understand how past events have shaped the demographic history of a species if we want to make predictions about how populations may respond to future challenges (Ellegren and Galtier, 2016; Fan *et al.*, 2018). A key event in demographic histories is the fast reduction and subsequent increase of the effective population size (N_e), usually known as a genetic bottleneck (Tajima, 1989a). This often negatively impacts the viability of a species through the loss of genetic diversity and various stochastic demographic processes

(Frankham *et al.*, 1999; Frankham, 2005; Lima *et al.*, 2017). Therefore, knowledge of bottleneck events in the evolutionary history of a species, and of current levels of genetic diversity, are of critical importance when designing conservation and management strategies.

The Qinghai–Tibet Plateau (QTP) *sensu lato*, especially its eastern and southern regions, holds tremendous biodiversity due to past geological and climatic changes (Wang *et al.*, 2007; Favre *et al.*, 2015; Fu *et al.*, 2020; Spicer *et al.*, 2021). It is one of the most important alpine biodiversity hotspots in the world and a natural laboratory for evolutionary studies (Wen *et al.*, 2014; Huang *et al.*, 2018). Diverse habitats and ecological niches generated by the uplift of mountains (Rahbek *et al.*, 2019; Spicer *et al.*, 2021) have promoted speciation (Liu *et al.*, 2013; Rahbek *et al.*, 2019) via allopatric and ecological speciation. Furthermore, the extensive oscillations of the climate on the QTP during the Quaternary led to repeated cycles of climate-driven habitat change, which promoted hybrid speciation as well as extinction over a short period of time (Fjelds , 1994; Mosbrugger *et al.*, 2018; Nevado *et al.*, 2018; Muellner-Riehl, 2019; Rahbek *et al.*, 2019). The QTP contains about 9000–12 000 species of plants (in about 1500 genera), of which more than 20 % are endemic (Liu *et al.*, 2014; Wen *et al.*, 2014; Zhang *et al.*, 2016). Many of these endemic plant species are listed as rare and/or endangered (Duan and Liu, 2006; Liu *et al.*, 2011, 2013; Wang and Li, 2016; Fu *et al.*, 2019), and face a high risk of extinction (L pez-Pujol *et al.*, 2011; Liu *et al.*, 2014) due to global warming and increased human activities on the QTP (He *et al.*, 2005; Diffenbaugh and Giorgi, 2012).

Conservation genomics has been applied to a number of endangered species, and provided insight into the genetic diversity and evolutionary history of these species, leading to informed and effective conservation and management plans (O’Brien, 1994; Pautasso, 2009; Allendorf *et al.*, 2010; Ouborg *et al.*, 2010; Segelbacher *et al.*, 2010). Unfortunately, such studies are lacking for endangered conifers on the QTP, despite their ecological importance. Here, we aim to unravel the evolutionary history of two rare endemic conifers, *Juniperus microsperma* and *Juniperus erectopatens*, in the eastern QTP (Adams and Schwarzbach, 2013; Adams, 2014; Shang *et al.*, 2015; Xu *et al.*, 2019). *Juniperus microsperma* and *J. erectopatens* have slender leaves and irregular globose glaucous seed cones of similar size but differ in the number of seeds per cone [(1-)2-seeded vs. 1-seeded, respectively] and branchlet morphology (ascending vs. largely pendulous, respectively) (Adams, 2014). Although

J. microsperma is the closest relative of *J. erectopatens* (Adams and Schwarzbach, 2013), they occur in areas ~800 km apart (Adams, 2014; Xu *et al.*, 2019). According to our field surveys, these two species are very rare. *Juniperus microsperma* is currently found only in the Parlung Zangbo Valley, Bomi County, south-eastern QTP (Adams, 2014; Shang *et al.*, 2015), and *J. erectopatens* occurs only in one wooded area of ~2 km² at Anhong, Songpan, Sichuan, China (Adams, 2014; Xu *et al.*, 2019). Previous studies of these two species were limited to a small number of single nucleotide polymorphisms (SNPs) or indels from chloroplast and nuclear DNA markers (Shang *et al.*, 2015; Xu *et al.*, 2019), which might not reflect the genome-wide genetic variation. Due to recent advances in molecular biology technologies, genome-wide data can be generated rapidly by high-throughput sequencing (Ellegren, 2014; Goodwin *et al.*, 2016; Todd *et al.*, 2016; Fuentes-Pardo and Ruzzante, 2017) and analysed using various bioinformatic tools (Ouborg *et al.*, 2010; Hamabata *et al.*, 2019).

Here, we employed high-throughput sequencing to detect the evolutionary history and its influence on the genetic diversity of two rare and closely related juniper trees, *J. microsperma* and *J. erectopatens*. Specifically, we aimed to address the following questions: (1) What is the pattern of genetic diversity and genetic load in *J. microsperma* and *J. erectopatens*? (2) When did these two species diverge from their most recent ancestor and what biogeographical history did they experience? (3) Which model of demographic history best explains the current genetic diversity? (4) How did orogenic events and climatic changes affect the evolutionary history of *J. microsperma* and *J. erectopatens*? (5) Did habitat adaptation play a role during the evolution of the two species? Answering these questions will shed light on the conservation genomics and evolutionary history of QTP endemics as well as threatened plant species that occur in other mountainous regions worldwide.

MATERIALS AND METHODS

Sampling and RNA sequencing

Leaf samples were collected from 84 mature individuals comprising 56 from *Juniperus microsperma* (Cheng & L. K. Fu) R. P. Adams and 28 from *Juniperus erectopatens* (Cheng & L. K. Fu) R. P. Adams. The distance between sampled trees was more than 50 m (Table 1; Supplementary Data

TABLE 1. Location details of the sampled populations of *Juniperus microsperma* and *J. erectopatens*

ID	Species	n ^a	Location	Latitude N	Longitude E	Altitude (m)
1	<i>J. microsperma</i>	8	Bomi, Xizang, China	29°36.98′	96°19.92′	3325
2	<i>J. microsperma</i>	12	Bomi, Xizang, China	29°37.12′	96°18.98′	3253
3	<i>J. microsperma</i>	5	Bomi, Xizang, China	29°37.29′	96°18.05′	3221
4	<i>J. microsperma</i>	5	Bomi, Xizang, China	29°38.94′	96°13.13′	3171
5	<i>J. microsperma</i>	17	Bomi, Xizang, China	29°39.94′	96°12.34′	3167
6	<i>J. microsperma</i>	9	Bomi, Xizang, China	29°40.78′	96°12.56′	3202
7	<i>J. erectopatens</i>	28	Songpan, Sichuan, China	32°27.94′	103°40.06′	2714

^aThe number of samples.

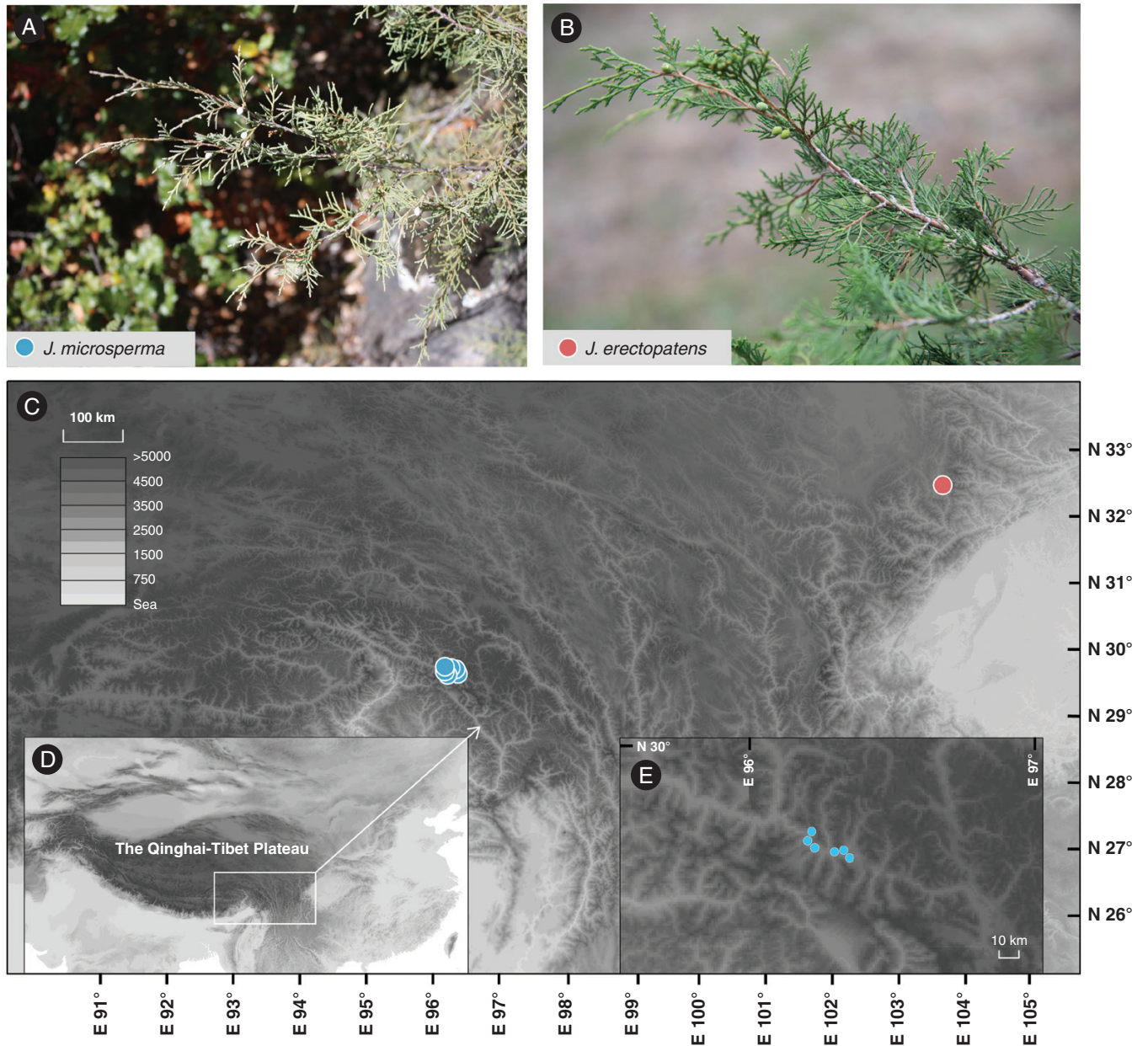


FIG. 1. (A) Photograph of *J. microsperma*. (B) Photograph of *J. erectopatens*. (C) Location of sampled populations of *J. microsperma* and *J. erectopatens*. See also [Supplementary Data Table S1](#). (D) Location of the Qinghai–Tibet Plateau. (E) Sampling sites of *J. microsperma* along the Parlong Zangpo river valley.

[Table S1](#); [Fig. 1C](#)). In addition, 15 trees of *J. sabina* L. and five trees of *J. saltuaria* Rehder & E.H. Wilson were sampled as outgroups for further analysis. Fresh leaves were frozen in liquid nitrogen immediately after picking and kept at -80°C prior to RNA extraction. Total RNA was extracted using the RNeasy Kit (Qiagen, Germany) and TRIzol reagent (Invitrogen, CA, USA). RNA purity was checked using a NanoPhotometer spectrophotometer (IMPLEN, CA, USA) and the RNA concentration was measured using a Qubit RNA Assay Kit in a Qubit 2.0 Fluorometer (Life Technologies, CA, USA). RNA integrity was assessed using the RNA Nano 6000 Assay Kit of the Agilent Bioanalyzer

2100 system (Agilent Technologies, CA, USA). Sequencing libraries were prepared using a NEBNext Ultra RNA Library Prep Kit for Illumina (NEB, MA, USA) following standard RNA-sequencing (RNA-seq) methodology. After the above steps, 150-bp paired-end raw reads were generated on an Illumina HiSeq PE150 platform (Novogene, China).

Read trimming and transcriptome de novo assembly

We used Trimmomatic ver.0.36 ([Bolger et al., 2014](#)) to trim and filter Illumina raw reads, during which adapter sequences,

and poly-N and low-quality reads ($Q < 30$) were discarded. Filtered reads from one *J. microsperma* individual were assembled into contigs using Trinity ver.2.8.4 (Grabherr et al., 2011) with default parameters. To obtain high-quality contigs, sequences were aligned to the microbial genome database (MBGD: <http://mbgd.genome.ad.jp/>; Uchiyama et al., 2010) via BLASTN ver.2.7.1 (Altschul et al., 1997) and sequences with more than 90 % similarity were discarded. CD-HIT ver.4.6.8 (Li and Godzik, 2006) was used to remove redundant sequences of assembled contigs with a threshold value of 0.99. Transcripts of <200 bp were removed and the longest transcripts were selected in case of alternative splicing. Finally, 61 700 contiguous expressed sequences were obtained as the reference transcriptome.

Read mapping and variant calling

High-quality reads of *J. microsperma* and *J. erectopatens* individuals were aligned to the reference transcriptome using BWA-MEM ver.0.7.17 (Li and Durbin, 2009) with default parameters. To identify the ancestral state of characters for these two species, and for the phylogenetic analysis, we mapped the reads of one *J. oxycedrus* L., one *J. phoenicea* L., 15 *J. sabina* and five *J. saltuaria* samples to the reference transcriptome. Transcriptome sequence data of *J. oxycedrus* and *J. phoenicea* were taken from Mao et al. (2019). SAMTOOLS ver.1.9 (Li et al., 2009) was used to convert Sequence Alignment/Map (SAM) files to Binary Alignment/Map (BAM) files, followed by sorting of the BAM files. Duplicate reads were marked and excluded from further analysis using PICARDTOOLS ver.2.18.11 (<https://github.com/broadinstitute/picard/>). The local regions around indels were realigned using the RealignerTargetCreator and IndelRealigner tools in GATK ver.3.8.1 (DePristo et al., 2011). Variant calling was conducted using the ‘mpileup’ command in SAMTOOLS ver.1.9 (Li et al., 2009) with parameters ‘-t AD,ADF,ADR,DP,SP -Q 20 -q 20’.

To obtain a high-quality SNP set, we filtered those sites with mapping quality <30 and removed indels within a 5-bp window. Sites with coverage depth (DP) <10 were considered as missing for each sample and SNPs with >50 % of missing bases within either species were excluded. In addition, we used VCFTOOLS ver. 0.1.15 (Danecek et al., 2011) to remove variant sites which differed significantly from Hardy–Weinberg equilibrium ($P < 0.001$) or which had a minimum allele frequency of <0.05 to reduce the false discovery rate. In addition, we calculated the number of shared and species-specific SNPs of *J. microsperma* and *J. erectopatens*.

The SNP dataset was used for population genetic and phylogenetic analyses, demographic reconstruction, gene flow estimation, and the detection of environment-associated loci. In parallel, we generated a gene sequence dataset to identify positively selected genes and the Gene Ontology (GO) annotation.

Population genetic and phylogenetic analysis

The genetic structure of the two species was examined using a Bayesian clustering (ADMIXTURE) and principal

component analysis (PCA). The SNP variant calling format was converted into binary ped format for downstream analysis using VCFTOOLS ver.0.1.15. We used PLINK ver.1.90 (Purcell et al., 2007) to remove sites in linkage disequilibrium (LD) with parameters ‘--indep-pairwise 50 10 0.4’. The software ADMIXTURE ver.1.3.0 (Alexander and Lange, 2011) was used to estimate the most likely number of distinct genetic clusters (K) by varying K from 1 to 10 and by computing the parameters’ maximum-likelihood (ML) estimates. Twenty independent replicates were run for each K to calculate the cross-validation errors. The optimal K was indicated by the lowest cross-validation error. In addition, PCA was performed via the SMARTPCA module in the software EIGENSOFT ver.7.2.1 (Price et al., 2006).

For phylogenetic analysis, we used a Perl script (Ru et al., 2018) to convert filtered SNPs to concatenated sequences for each individual. An ML phylogenetic tree for *J. microsperma* and *J. erectopatens* was reconstructed by RAxML ver.8.2.11 (Stamatakis, 2014) under the GTRGAMMA model using *J. oxycedrus* and *J. phoenicea* as outgroups. We ran 200 replicates to calculate bootstrap support values. The final phylogenetic tree was visualized using Figtree ver.1.4.3 (<http://tree.bio.ed.ac.uk/software/figtree/>).

To investigate the genetic differentiation between the two species, we calculated F_{ST} (Weir and Cockerham, 1984) using VCFTOOLS. The transcriptome-wide distribution of nucleotide diversity, θ_{π} (based on pairwise differences between sequences; Nei and Li, 1979) and θ_w (based on number of segregating sites between sequences; Watterson, 1975) were estimated using VCFTOOLS and the equations of Watterson (1975), respectively. Observed heterozygosity (H_o) and expected heterozygosity (H_e) were calculated for each population averaging across the individuals’ total heterozygosity using an unpublished in-house Python script. The estimation of θ_{π} , θ_w , H_o and H_e was performed on a subsample of seven individuals in Populations 1, 2, 5, 6 and 7, and five individuals in Populations 3 and 4 as there were only five individuals in these two populations (Table 1; Supplementary Data Table S1). Also, Tajima’s D (Tajima, 1989b) was calculated with VCFTOOLS for each of the two studied species. The mean value of genome-wide F_{ST} , (negative F_{ST} value for any locus was set to zero when calculating) and the average number of nucleotide substitutions d_{XY} (Foote et al., 2016) per locus was calculated using a Perl script (Ru et al., 2018).

Demographic history and gene flow

Gene flow between *J. microsperma* and *J. erectopatens* was estimated via the detection of shared haplotypes between individuals of both species using a refined identity-by-descent (IBD) blocks analysis which was performed in Refined IBD with the parameters ‘window = 0.001 lod = 3.0 length = 0.0001 trim = 0.00001’ (Browning and Browning, 2013).

We used the maximum-composite-likelihood approach based on the joint site frequency spectrum (SFS) implemented in *fastsimcoal2* (Excoffier et al., 2013) to assess the fit of various demographic models and to infer the final optimal demographic scenario for *J. microsperma* and

J. erectopatens. First, to minimize the effects of natural selection on demographic inference, we extracted a total of 1 050 559 four-fold degenerate synonymous (4DTV) sites from the SNP dataset, which contained no missing bases across all individuals. A folded two-dimensional site frequency spectrum (2D-SFS) for *J. microsperma* and *J. erectopatens* was inferred based on 4DTV sites, and the joint SFS of each species was also inferred. The scripts from Ru et al. (2018) were used for extracting 4DTV sites and generating 2D-SFS, which was subsequently used to infer divergence time, bottleneck size and gene flow size between the two studied species. The mutation rate per generation was set to 9.7×10^{-9} and the generation time to 50 years following the parameters for Cupressaceae species in Li et al. (2012). Global ML estimates were derived from 50 independent runs, with 100 000 coalescent simulations and 40 likelihood maximization algorithm cycles. The Akaike information criterion (AIC; Akaike, 1974) was used to assess the relative fit of each model and the best fit with the lowest AIC was chosen. Ninety-five per cent non-parametric bootstrap confidence intervals (CIs) were constructed by sampling the 2D-SFS based on parameters of the preferred demographic scenario (Excoffier et al., 2013).

In addition, we used Stairway Plot (Liu and Fu, 2015) to investigate the changes in effective population size over time for *J. microsperma* and *J. erectopatens* based on the joint SFS of each species. Two hundred subsamples of 67 % of all sites were created, the median value of the estimation was used as a final output and the 95 % CI of each value was produced (Liu and Fu, 2015).

Detection of positive selection and GO annotation

Coding and peptide sequences in the form of open reading frames (ORFs) were predicted for the reference transcriptome using TRANSDCODER ver.5.5 (<http://github.com/TransDecoder/TransDecoder/wiki>). To establish homology, the peptide sequences in the ORF were blasted to the Swiss-Prot protein sequences database (Bairoch and Apweiler, 2000) and the NR plant database (<https://ftp.ncbi.nlm.nih.gov/blast/db/FASTA/>) using BLASTP ver.2.7.1 (Altschul et al., 1997). We also used a Python script and idmapping_selected.tab.gz (<https://ftp.uniprot.org/pub/databases/uniprot/knowledgebase/idmapping>) to determine gene functions according to the GO terms (Ashburner et al., 2000). Then we merged the results of the Swiss-Prot database and the NR plant database as the final annotation.

The population branch statistic (PBS) analysis (Yi et al., 2010) and the Hudson–Kreitman–Aguadé (HKA) test were applied to detect candidate genes under positive selection within each of the two target species, *J. microsperma* and *J. erectopatens*. Fifteen individuals of *J. sabina* were used as the control group, and five individuals of *J. saltuaria* served as the outgroup. We calculated the population branch statistic for two triplets, *J. microsperma*–*J. sabina*–*J. saltuaria* and *J. erectopatens*–*J. sabina*–*J. saltuaria*, using the Perl script ‘PBS_test.pl’ from Ma et al. (2019) (<https://github.com/mayz11/cypress>). The F_{ST} value was calculated between the following population pairs: (1) the target population and the control population, (2)

the target population and outgroup, and (3) the control population and outgroup.

We also used the custom Perl script ‘HKA_test.pl’ from Ma et al. (2019) (<https://github.com/mayz11/cypress>) to carry out the HKA tests (Hudson et al., 1987). We considered the number of polymorphic sites in the target population (*J. microsperma* or *J. erectopatens*) as A, and the number of fixed differences between the target populations and both the control group (*J. sabina*) and the outgroup (*J. saltuaria*) as B. The ratio of A/B for each unigene was compared to the transcriptome-wide average, and the null hypothesis $A(\text{unigene})/B(\text{unigene}) = A(\text{transcriptome-wide})/B(\text{transcriptome-wide})$ was tested using Pearson’s chi-square test for the 2×2 contingency table.

Unigenes with the highest 10 % of the population branch statistic with a significant *P*-value (<0.05) for the HKA test were considered as candidate genes under positive selection in *J. microsperma* or *J. erectopatens*. GO enrichment analysis was conducted using TBtools (Chen et al., 2020). Fisher’s exact test was used to examine the significance of the GO enrichment in which the corrected *P*-values <0.05 were considered significant.

Detection of environment-associated loci

Latent factor mixed models (LFMMs) (Frichot et al., 2013) were used to measure the associations between SNPs and climatic gradients while accounting for underlying population structure. This method estimates allele–environment correlations between each SNP and each variable at a time. In LFMMs, environmental variables are tested separately and introduced into each model as fixed effects. The number of latent factors (*K*) is included in the model as a covariate and the environmental gradients were not considered in the analysis (Frichot et al., 2013).

A total of 19 climate variables (Supplementary Data Table S2; 1970–2000) were retrieved from WorldClim (<https://www.worldclim.org/>; Hijmans et al., 2005). We extracted the climate variables for each population location using the R package DISMO (Hijmans et al., 2015). To avoid multicollinearity, we discarded variables that were highly correlated (Pearson’s $r > 0.8$). The remaining subset of four uncorrelated BIOCLIM variables, BIO3, BIO10, BIO17, and BIO19, was retained for further analysis. For each of the four uncorrelated BIOCLIM variables, we ran five independent runs to simulate the correlation between SNPs and climate factors, using 100 000 iterations and 50 000 burn-in with a latent factor of $K = 2$, according to the results of ADMIXTURE, in the R package LEA (Frichot and François, 2015). The five separate runs had nearly similar $|z|$ -scores. Second, we calculated the mean $|z|$ -scores and considered a false discovery rate (FDR) of 0.05 to be significant. Finally, we conducted a GO enrichment analysis.

Assessment of genetic load

Nucleotide diversity (θ_{π}) of zero-fold and four-fold degenerate sites, as well as the ratio between the two indices, were calculated within coding regions based on the

annotation of *J. microsperma* (Petit *et al.*, 2008; Marsden *et al.*, 2016; Wang *et al.*, 2021). The zero-fold and four-fold degenerate sites were identified by iterating across all four possible bases at each site along a transcript and recording the changes in the resulting amino acid using a Perl script (https://github.com/wk8910/bio_tools/blob/master/00_scripts/get_0fold-4fold_sites.pl). Sites were classified as zero-fold degenerate when the four different bases resulted in four different amino acids. However, sites were considered as four-fold degenerate when no changes in amino acids were detected. We also estimated the θ_π (0-fold)/ θ_π (4-fold) ratios for each species to test the accumulation of missense mutations (Wang *et al.*, 2021).

RESULTS

Reference transcriptome assembly and SNP calling

The assembled reference transcriptome of *J. microsperma* contained 61 700 unigenes with an average length of 907 bp and a contig N50 of 1793 bp. After removing low-quality Illumina sequences, 3.6 Gb of reads remained for the assayed 84 samples (56 from *J. microsperma* and 28 from *J. erectopatens*). Following the alignment of the transcriptome reads from all individuals to the reference transcriptome and undertaking stringent quality filtering with 50 % missing data (SNP) per species, we identified 149 052 high-quality SNPs across all studied individuals. A total of 81 775 SNPs were shared between *J. microsperma* and *J. erectopatens*, and the number of species-specific SNPs were 20 758 and 46 519, respectively (Supplementary Data Fig. S1).

Population genetic structure and genetic diversity

Our phylogenetic analysis based on 149 052 SNPs showed that samples belonging to each species, *J. microsperma* and *J. erectopatens*, were clustered into a separate monophyletic clade (Fig. 2B). PCA based on SNPs also distinguished the two species along PC1 (variance explained = 15.8 %; Fig. 2C, D). Furthermore, analysis of population genetic structure clearly assigned the individuals of *J. microsperma* and *J. erectopatens* into a species-specific group when $K = 2$, which was estimated to be the optimal value of K (Fig. 1C; Supplementary Data Figs S2 and S3). In addition, the two species were well differentiated based on F_{ST} (0.233) and d_{XY} (0.319) values (Table 2; Fig. S4).

The transcriptome-wide average values for the two indicators of nucleotide diversity, θ_π and θ_w , were higher for *J. erectopatens* ($\theta_\pi = 0.00222$, $\theta_w = 0.00139$) than for *J. microsperma* ($\theta_\pi = 0.00129$, $\theta_w = 0.00099$) (Table 2). Observed (H_o) and expected (H_e) heterozygosity were lower in *J. microsperma* ($H_o = 0.198$, $H_e = 0.169$) compared to *J. erectopatens* ($H_o = 0.369$, $H_e = 0.289$; Table 2). To avoid a potential bias due to different sample numbers per population, we selected a small subset of individuals (seven from five populations, and five from two populations) for measurements of nucleotide diversity parameters. All six populations of *J. microsperma* presented values of θ_π , θ_w , H_o and H_e lower than for the single population of *J. erectopatens* (Supplementary

Data Table S3). Tajima's D indicated that a large number of alleles with medium frequency were retained in *J. microsperma* ($D = 0.68151$) and *J. erectopatens* ($D = 1.50340$, Table 2), suggesting that both species may have experienced population bottleneck(s) and/or balanced selection in their population evolutionary history.

Juniperus microsperma had relatively lower θ_π compared with *J. erectopatens* in both zero- and four-fold sites (Fig. 3). The θ_π (0-fold degeneration variants)/ θ_π (4-fold degeneration variants) ratio for each species was higher for *J. microsperma* than for *J. erectopatens* (Fig. 3C), suggesting that *J. microsperma* has accumulated more missense mutations and possesses a higher genetic load.

Gene flow and demographic history

To detect whether gene flow existed between *J. microsperma* and *J. erectopatens*, we identified shared haplotypes between these two species using the refined IBD approach (Browning and Browning, 2013). Pairwise comparisons between individuals showed that haplotype sharing was common between *J. microsperma* and *J. erectopatens* (131 out of 1568 pairwise comparisons indicated haplotype sharing; Fig. 4). All shared haplotypes were short in length, due to the relatively short length of unigenes in the transcriptome dataset. However, they were of sufficient length to reveal intra- and inter-specific gene flow (Ma *et al.*, 2019). In summary, these results indicated that historical gene flow has occurred between *J. microsperma* and *J. erectopatens*.

The timing of speciation, population contraction(s) and expansion(s), and levels of gene flow between the two species were estimated using coalescent-based simulations in *fastsimcoal2* (Excoffier *et al.*, 2013). First, we established six demographic models for *J. microsperma* and *J. erectopatens* covering a large range of demographic possibilities (Supplementary Data Fig. S5, Model-A to Model-F). These six demographic models were identified as representative scenarios based on the current population size and distribution of *J. microsperma* and *J. erectopatens*. These models were also representative of the demographic histories of QTP's rare conifers in previous studies (Shang *et al.*, 2015; Xu *et al.*, 2019). AIC results favoured for *J. microsperma* the model where two sequential bottleneck events occurred, whereas for *J. erectopatens*, they favoured the model in which one bottleneck event took place (Supplementary Data Fig. S5). Based on these preferred models, we merged the best suggested demographic scenarios to form the optimal model for the demographic relationship between the two species. Because the bottleneck events detected in the two species may have occurred in their common ancestor, we included in the models further bottleneck events and divergence times. After comparing four models (Fig. S5, Model-1 to Model-4), Model-3 was shown to be the optimal one. This model suggested that *J. microsperma* and *J. erectopatens* diverged from their common ancestor after the first bottleneck event (Fig. S5).

The best-fitting model (with minimum Akaike's weight value) containing 17 parameters (Supplementary Data Tables S4 and S5; Fig. 5A) indicated that *J. microsperma* and *J. erectopatens* diverged from each other ~3.31 million years

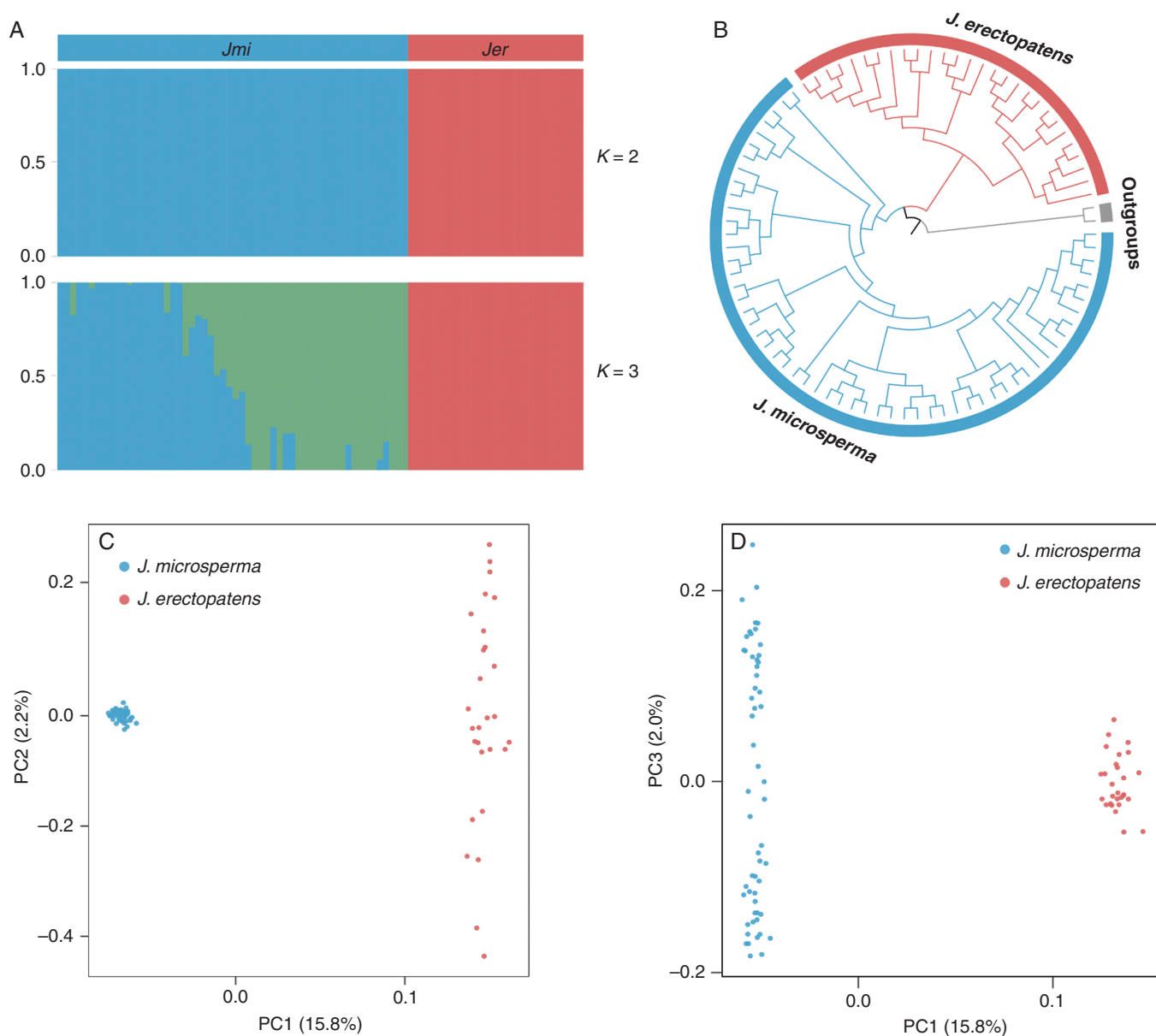


FIG. 2. Population genetic and phylogenetic analyses of *J. microsperma* and *J. erectopatens* based on 149 052 SNPs. (A) ADMIXTURE plots for $K=2$ and 3. The x-axis shows the individuals of *J. microsperma* (*Jmi*) and *J. erectopatens* (*Jer*) with each vertical bar representing an individual; the y-axis quantifies the proportion of an individual's inferred ancestry. See also [Supplementary Data Figs S2 and S3](#). (B) Maximum-likelihood phylogenetic tree. (C, D) Principal component analysis (PCA) plots of the two studied species showing PC1 vs. PC2 and PC1 vs. PC3, respectively.

TABLE 2. Population genetic statistics of *J. microsperma* and *J. erectopatens*

Population	θ_{π}^a	θ_w^b	H_o^c	H_e^d	Tajima's D	F_{ST}^e	
						<i>J. erectopatens</i>	<i>J. erectopatens</i>
<i>J. microsperma</i>	0.00129	0.00099	0.19768	0.16934	0.68151	0.23363	0.31924
<i>J. erectopatens</i>	0.00222	0.00139	0.36917	0.28872	1.50340	-	-

^aNucleotide diversity calculated by pairwise differences between sequences.

^bNucleotide diversity calculated by numbers of segregating sites between sequences.

^cObserved heterozygosity.

^dExpected heterozygosity.

^ePairwise mean relative divergence.

^fPairwise mean absolute sequence divergence.

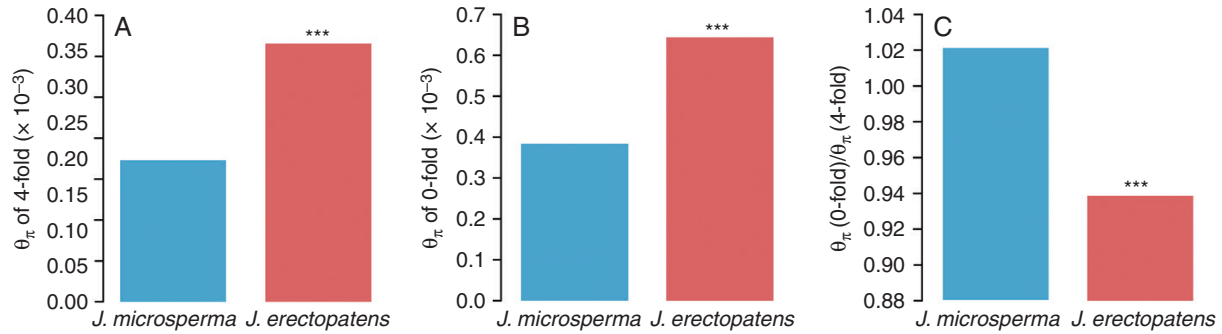


FIG. 3. Population genetics statistics. (A) Nucleotide diversity (θ_{π}) on four-fold degeneration sites. (B) Nucleotide diversity (θ_{π}) on zero-fold degeneration sites. (C) Nucleotide diversity (θ_{π}) of zero-fold degeneration sites over nucleotide diversity (θ_{π}) of four-fold degeneration sites. For each statistic, *Juniperus erectopatens* populations were compared with the *J. microsperma* population. ***Non-adjusted $P < 0.001$.

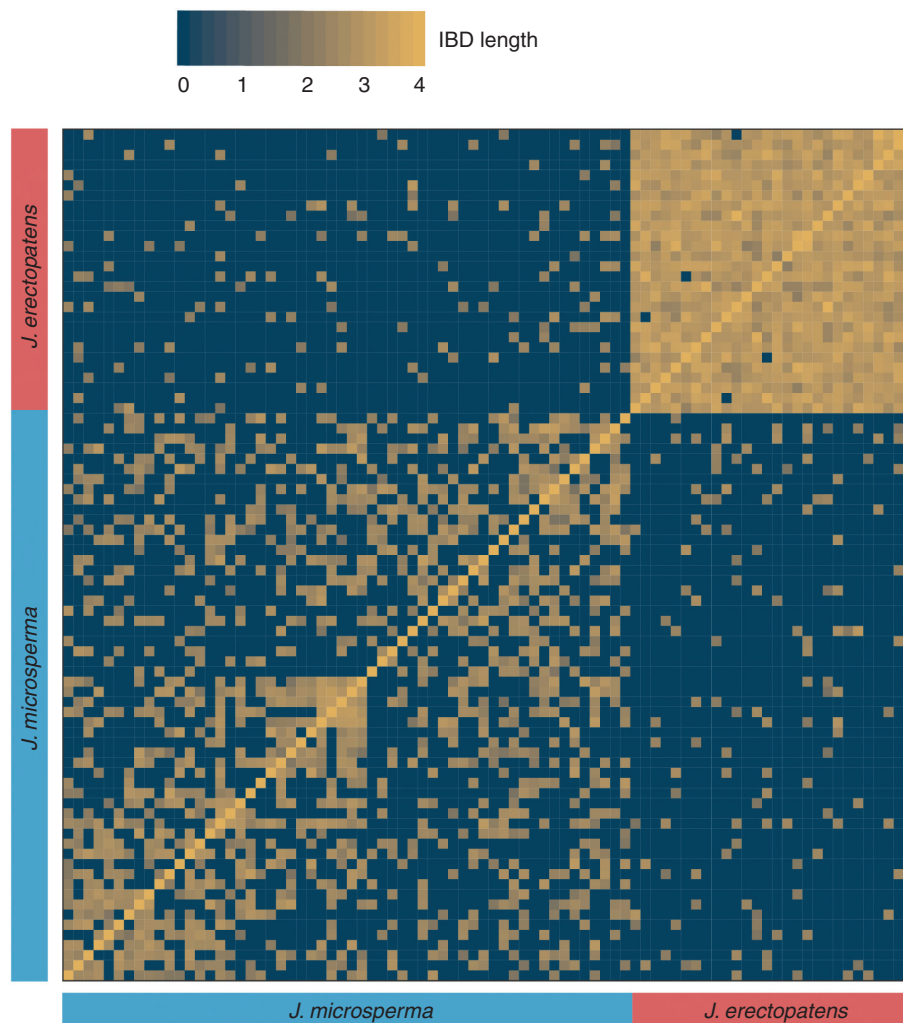


FIG. 4. Estimation of shared haplotypes between individuals of *J. microsperma* and *J. erectopatens*. Heatmap colours represent the local length of IBD blocks for each pairwise comparison.

ago (Mya) (95 % CI: 2.62–3.74 Mya; Table S5; Fig. 5A). After divergence, *J. microsperma* experienced one bottleneck event between 0.90 Mya (CI: 0.67–1.34 Mya) and 0.43 Mya (CI: 0.31–0.48 Mya) with a decrease in effective population

size (N_e) from 62 831 (CI: 33 345–85 507) to 435 (CI: 124–2533). Later, the population size of *J. microsperma* increased to 12 920 (CI: 12 157–16 570) but failed to recover to the pre-bottleneck size (Fig. 5A; Table S5). In contrast, *J. erectopatens*

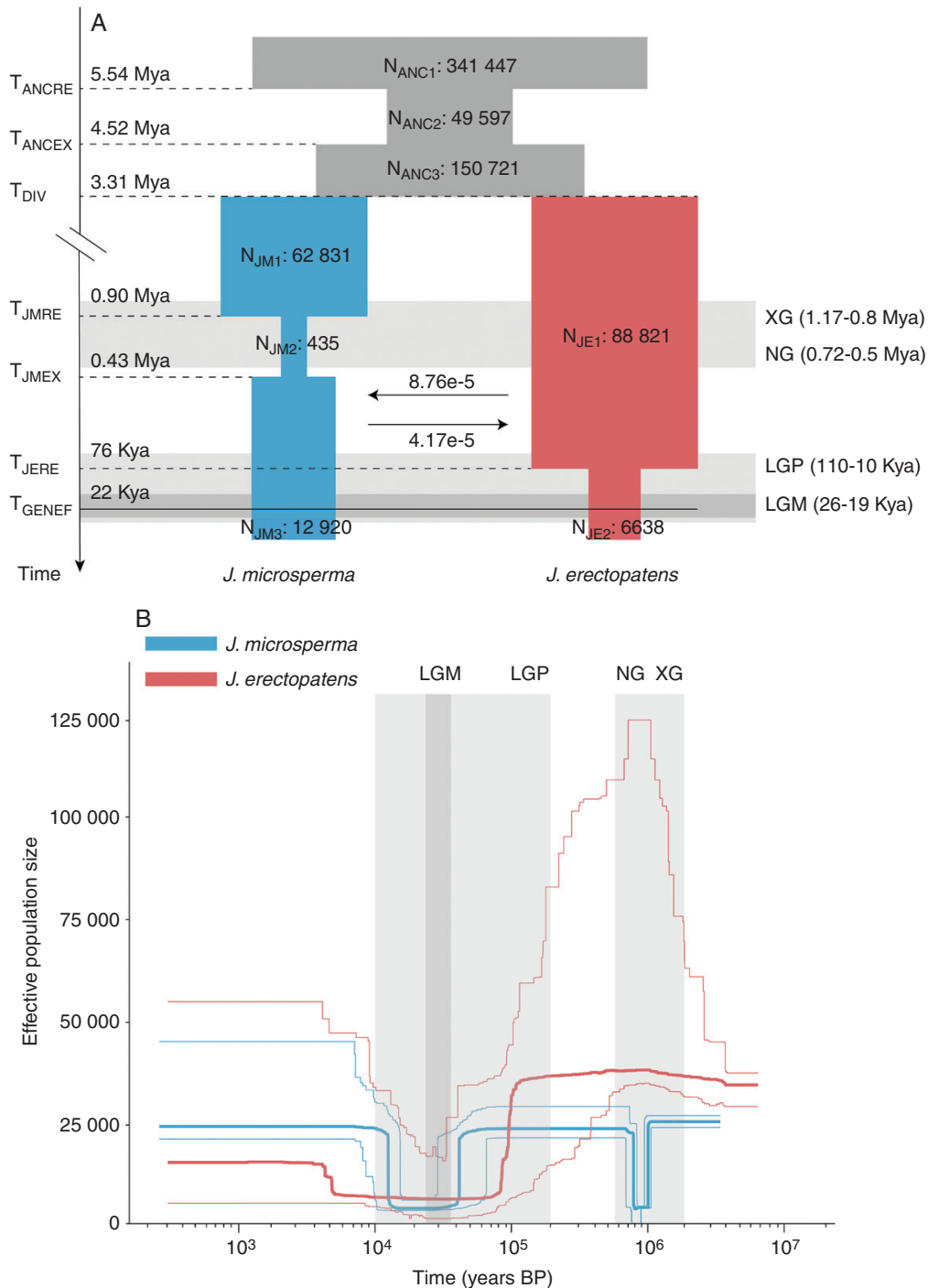


FIG. 5. Demographic history of *J. microsperma* and *J. erectopatens*. The light grey areas represent different glaciation events during the Pleistocene (XG, Xixiabangma Glaciation; NG, Naynayxungla Glaciation; LGP, Last Glaciation Period; LGM, Last Glaciation Maximum). (A) Schematic illustration of the best demographic scenario using *fastsimcoal2*. Estimated effective population sizes (N_e), divergence time, bottleneck time and phased gene flow are indicated. The number next to the horizontal arrows represents the per-generation migration rate between populations. The full line indicates the time when gene flow stopped. See also [Supplementary Data Table S5](#). (B) Changes in N_e over time in *J. microsperma* and *J. erectopatens* inferred by the Stairway Plot method. Thick and thin light lines represent the median and the 95% pseudo-95% CI defined by the 2.5% and 97.5% estimations, respectively, using the site frequency spectrum analysis.

only experienced one population contraction at ~76 kya (CI: 51–124 kya), with a decrease in N_e from 88 821 (CI: 63 250–95 861) to 6638 (CI: 6207–9243) (Table S5; Fig. 5A). After divergence, the gene flow between the two species persisted until ~22 kya (CI: 16–35 kya) and was slightly higher from

J. erectopatens to *J. microsperma* than in the opposite direction (8.76×10^{-5} vs. 4.17×10^{-5}) (Table S5; Fig. 5A).

To obtain more robust interpretations of the demographic history of these two species, we used a Stairway Plot analysis. This analysis showed that the effective population size of

J. microsperma declined sharply at ~1.0 Mya, and then quickly arose about 0.8 Mya (Fig. 5B). After this, N_e declined again around 40 kya and increased to its current level at about 13 kya (Fig. 5B), suggesting that *J. microsperma* experienced two severe population bottleneck events. The N_e of *J. erectopatens* displayed a slow decline around ~70–100 kya and then expanded rapidly around 3–5 kya (Fig. 5B). This indicates that *J. erectopatens* experienced a single bottleneck event that lasted longer, yet was less severe and less recovered than the (roughly) contemporary bottleneck experienced by *J. microsperma*.

Detection of positive selection genes

We found a total of 24 847 coding peptide sequences from the reference transcriptome. Of these, 17 883 and 18 056 peptide sequences were annotated using the Swiss-prot and the NR plant database, respectively. After merging the outputs from both databases, a total of 19 786 peptide sequences were annotated.

To explore the genetic basis of possible adaptation in *J. microsperma* and *J. erectopatens*, PBS and HKA tests were conducted to identify genes under positive selection within the two species. In total, 183 and 85 positively selected candidate genes were identified within *J. microsperma* and *J. erectopatens*, respectively. The over-represented GO terms identified by GO enrichment analysis for positively selected candidate genes within *J. microsperma* included aerenchyma formation, positive regulation of salicylic acid-mediated signalling pathway, response to decreased oxygen levels, and the terpenoid biosynthetic process (Supplementary Data Table S6). In contrast, no significant GO enrichment category was detected for the positively selected candidate genes within *J. erectopatens* (Table S7). Nevertheless, four marginally significant GO enrichment categories were detected, including glycosyl and organonitrogen compound biosynthetic process, antiporter and secondary active transmembrane transporter activity ($0.07 < P < 0.08$; Table S7).

Detection of environment-associated loci

We found that 18 723, 7883, 10 118 and 9799 SNPs were associated with the climate variables BIO3, BIO10, BIO17 and BIO19 (described above), respectively (FDR-corrected $P < 0.05$). From the 2127 SNPs associated with all four variables, we identified 49 categories of genes of various function detailed in Supplementary Data Table S8. Furthermore, six of

these gene categories are involved in response to abiotic and biotic stimuli such as the immune system process, ethylene biosynthetic process, response to oxygen-containing compounds, defence response to insect, response to stress and response to hormone (Table 3).

DISCUSSION

Genetic differentiation and diversity of *J. microsperma* and *J. erectopatens*

All our population genomic analyses suggested that *J. microsperma* and *J. erectopatens* are well differentiated (Fig. 2A, C, D). Similarly, the phylogenetic tree showed that all individuals of *J. microsperma* and *J. erectopatens* formed a monophyletic clade (Fig. 2B). This is consistent with the most recent phylogenetic study for *Juniperus* based on ITS and four chloroplast genes (Adams and Schwarzbach, 2013). Furthermore, the pairwise F_{ST} and d_{XY} values indicated moderate to high levels of genetic differentiation between these two species (Table 2; Supplementary Data Fig. S4).

In general, species that occupy a larger distribution range tend to possess higher levels of genetic variation than those with a smaller range and population size (O'Brien, 1994; Frankham, 1997). However, we detected a contrasting pattern for these two rare juniper species, because *J. erectopatens* occurs in a much smaller geographical area than *J. microsperma* (Fig. 1) and has twice the number of species-specific SNPs compared to *J. microsperma* (Supplementary Data Fig. S1). Furthermore, the nucleotide (θ) and gene diversity (H_e) of *J. erectopatens* were higher than those of *J. microsperma* (Table 2; Table S3), suggesting higher levels of diversity in *J. erectopatens*. Interestingly, the nucleotide diversities of both *J. microsperma* ($\theta_\pi = 0.00129$, $\theta_w = 0.00099$) and *J. erectopatens* ($\theta_\pi = 0.00222$, $\theta_w = 0.00139$) found in this study were lower than those previously published for other conifer species: for example, $\theta_\pi = 0.0029$ for *Cupressus gigantea* W.C.Cheng & L.K.Fu., $\theta_\pi = 0.0031$ for *C. duclouxiana* Hickel (Ma et al., 2019), $\theta_\pi = 0.00770$ for *C. chengiana* S.Y.Hu (Li et al., 2020b), $\theta_\pi = 0.00411$ for *Picea likiangensis* (Franch) E.Pritz., $\theta_\pi = 0.00392$ for *P. purpurea* Mast. and $\theta_\pi = 0.00392$ for *P. wilsonii* Mast. (Ru et al., 2018), whereas $\theta_w = 0.0144$ for *C. gigantea* and $\theta_w = 0.0151$ for *C. duclouxiana* (Ma et al., 2019). Different factors may have contributed to the relatively low levels of genetic diversity of *J. microsperma* and *J. erectopatens*, such as the evolutionary history and the current small population sizes as indicated by the narrow geographical distribution of

TABLE 3. GO enrichment of environment-associated genes (LFMM) within *J. microsperma* and *J. erectopatens*

GO.ID	Term	Annotated	Significant	Expected	P-value
GO:0002376	Immune system process	39	4	1.33	4.10E-06
GO:0009693	Ethylene biosynthetic process	26	3	0.88	5.00E-05
GO:1901700	Response to oxygen-containing compound	99	2	3.37	0.03501
GO:0002213	Defence response to insect	14	1	0.48	0.03798
GO:0006950	Response to stress	291	6	9.9	0.04289
GO:0009725	Response to hormone	17	1	0.58	0.04593

P-VALUE was calculated by Classic Fisher.

the two species. This is supported by Tajima's $D < 0$ (Table 2), which suggests that the two junipers have experienced bottlenecks or balancing selection in their demographic history. Rare alleles are lost during such events, leading to reduced diversity (Frankham *et al.*, 1999; Spielman *et al.*, 2004; Ellegren and Galtier, 2016). Furthermore, the loss of rare alleles due to genetic drift is more pronounced when N_e is small, further decreasing genetic diversity (Stoffel *et al.*, 2018; Hahn, 2019; Freeland, 2020). Hence, the relatively small population sizes in both *J. microsperma* and *J. erectopatens* would also have contributed to the low genetic diversity within these two species.

Contrasting range and diversity pattern reflects different demographic history

Our demographic models using *fastsimcoal2* and Stairway Plot indicated that the two species went through bottlenecks in the past. However, the results for each species were somewhat contradictory between the two approaches as *J. microsperma* experienced one bottleneck according to the *fastsimcoal2* analysis (Supplementary Data Table S5; Fig. 5A) and two according to Stairway Plot (Fig. 5B). Similarly, although *J. erectopatens* had one bottleneck event in both simulations, a modest population expansion was detected using Stairway Plot which was not apparent using *fastsimcoal2* (Fig. 5B). Both methods rely on the (SNP) SFS to infer the demographic history of species, although the internal algorithm of each software is different (Excoffier *et al.*, 2013; Liu and Fu, 2015). *Fastsimcoal2* uses a series of pre-defined demographic models and an ML approach to estimate the best-fitting model (Excoffier *et al.*, 2013), whereas Stairway Plot is a model-independent method that uses the expected composite likelihood method (Liu and Fu, 2015). In our analyses, both methods detected demographic events around or before the Last Glacial Period (LGP), yet Stairway Plot detected recent demographic events that *fastsimcoal2* did not (Fig. 5). Hence, the resolution of *fastsimcoal2* to recent demographic events may be less sensitive compared to Stairway Plot. However, it has been shown that despite these shortcomings a combination of the two approaches can reveal deeper insights into the demographic history of a species (Hansen *et al.*, 2018).

The key points which emerge from the demographic analyses are that during the Quaternary *J. microsperma* experienced considerable population bottleneck(s), which probably caused the current low levels of genetic diversity. One bottleneck occurred between 0.90 and 0.43 Mya (Supplementary Data Table S5; Fig. 5A), which corresponds to two of the most intense periods of glaciation on the QTP, namely the Xixiabangma Glaciation (1.2–0.8 Mya) and the Naynayxungla Glaciation (0.72–0.5 Mya) (Zheng *et al.*, 2002; Zhou *et al.*, 2011). During this bottleneck event, the N_e of *J. microsperma* shrank severely, from 62 831 to 435 and only recovered to about one-fifth of the pre-bottleneck size (12 920; Table S5; Fig. 5A), which emphasizes the severity of this event. Another bottleneck might have occurred more recently between 13 and 40 kya (Table S5;

Fig. 5B) which coincides with the Last Glacial Maximum (LGM; Yokoyama *et al.*, 2000; Clark *et al.*, 2009), in which the population shrank and then expanded rapidly to nearly 100 % of pre-bottleneck levels. These periods coincided with the Xixiabangma Glaciation and the LGM (Yokoyama *et al.*, 2000; Clark *et al.*, 2009). The effective population size N_e of *J. microsperma* was reduced by 80–99 % but recovered substantially after the event(s) to somewhere between 25 % and nearly 100 % of pre-bottleneck levels, depending on the demographic approach (Fig. 5). Despite the substantially different estimates of the effective population sizes between the two approaches, the results are consistent in that *J. microsperma* experienced major population losses but was able to increase population size significantly after the events. This is also consistent with previous studies which showed that the periods with the most extensive glaciation and the LGM have caused significant changes to the distribution and N_e of plants on the QTP (Sun *et al.*, 2014; Ren *et al.*, 2017; Chen *et al.*, 2019; Ma *et al.*, 2019; Feng *et al.*, 2020; Li *et al.*, 2020a, b).

Unlike for *J. microsperma*, both methods indicated only a single population contraction event for *J. erectopatens*. This occurred around 100–70 kya (depending on the method used) with a reduction in N_e of between 81% and 93 % (Supplementary Data Table S5; Fig. 5A). This event coincided with the beginning of the LGP around 110 kya (Thompson *et al.*, 1997) and is consistent with previous studies that also indicated that this glacial period affected the distribution of plants on the QTP (Wang *et al.*, 2009; Shimono *et al.*, 2010). After a considerable period of population size depression, *J. erectopatens* may have experienced a population expansion around 5–3 kya when the climate became more stable (Yu *et al.*, 1997; Hou *et al.*, 2017) but this is only evident from the Stairway Plot analysis (Fig. 5B).

One unexpected result of this study was the lower level of (whole transcriptome-wide) genetic diversity in *J. microsperma* compared to *J. erectopatens*, despite the currently larger population size and distribution range of *J. microsperma*. The results from both demographic analyses suggested that *J. microsperma* had about a 30 % smaller ancestral effective population size (N_{JE1} , Fig. 5) than *J. erectopatens* (N_{JE1} , Fig. 5). Therefore, it is likely that this also corresponds to an initially lower level of genetic diversity, which was further reduced during one or even two bottleneck events (Fig. 5).

The contrasting demographic history of the two junipers may also be a reflection of the heterogeneity of changes in the local climate on the eastern QTP. Previous studies have shown that climate changes on the QTP affected the distribution and effective population size of plants (Ru *et al.*, 2016; Chen *et al.*, 2019; Ma *et al.*, 2019; Zhang *et al.*, 2019; Li *et al.*, 2020b). For example, species which occur in different regions on the QTP may have experienced a distinct demographic history due to different local climates and geographical factors (Owen and Dortch, 2014). *Juniperus microsperma* occurs in the deep river valley of the Parlung Zangpo around 3150–3350 m (Adams, 2014; Shang *et al.*, 2015), which is surrounded by numerous mountain peaks (>5000 m) and modern glaciers (Zheng and Rutter, 1998; Yang *et al.*, 2010). In contrast, *J. erectopatens*

occurs in the upper Minjiang river valley (Adams, 2014), where the local terrain is less rugged and is covered by fewer glaciers (Wang et al., 2017). Hence, the expansion of local glaciers during colder periods (Zhou et al., 2010) might have affected *J. microsperma* more severely, reducing its population size but permitting rapid expansion during warmer periods. This could be the reason why Stairway Plot identified two bottleneck events for *J. microsperma*, which coincide with two glacial periods on the QTP. Our results are in agreement with the geological evidence suggesting that the palaeoclimatic changes in different regions on the QTP and the Himalayas may be driven by different factors. Indeed, while glaciers in some regions are likely to respond to the climate oscillations of the Northern Hemisphere, other regions may be more influenced by the southern Asian monsoon (Owen and Dortch, 2014).

Speciation history of the two junipers

The divergence time of *J. microsperma* and *J. erectopatens* was estimated to have occurred during the Pliocene, at around 3.13 Mya (CI: 2.62–3.74 Mya) (Supplementary Data Table S5; Fig. 5A). A possible trigger for this speciation might have been the uplift events on the eastern QTP, although the timing of these events is controversial (Wang et al., 2008; Deng and Ding, 2015; Renner, 2016; Su et al., 2019). The QTP has experienced phased uplifts since the Eocene (Wang et al., 2014; Favre et al., 2015), but these events were heterogeneous both spatially and temporally. Indeed, different parts of the QTP have different uplift histories as well as climatic histories (Mulch and Chamberlain, 2006; Deng and Ding, 2015; Favre et al., 2015; Muellner-Riehl, 2019). By the late Pliocene, mountain uplifts on the eastern QTP (including the Hengduan Mountains, HDM) were completed (~3.6 Mya; Sun et al., 2011; Xing and Ree, 2017), and vegetation reconstruction based on macrofossil floras suggested that the south-eastern QTP had probably reached roughly its current elevation by around the same period (Sun et al., 2011). The rugged terrain of the HDM may have acted as a natural geographical barrier to pollen flow and seed dispersal for many taxa in this region (Feng et al., 2016; Shahzad et al., 2017; Ru et al., 2018; Zhang et al., 2018; Li et al., 2020b). The *fastsimcoal2* analysis suggested that the common ancestor of *J. microsperma* and *J. erectopatens* experienced a population expansion about 4.52 Mya (Fig. 5B). The geological changes during the late Pliocene with the uplift of the HDM might have fragmented these populations which ultimately diverged under the influence of genetic drift and adapted to different habitats giving rise to the lineages of *J. microsperma* and *J. erectopatens*. The divergence of several conifer lineages which are endemic to the QTP and the HDM have also been associated with the uplift of the HDM in the late Pliocene (Sun et al., 2018; Ma et al., 2019; Li et al., 2020b).

Adaptation of the two junipers to different habitats

Elucidating the genetic basis of local adaptation to environmental factors is crucial for our understanding of plant evolution. In the long term, local adaptation helps plants to face selection pressures due to climate change and environmental heterogeneity. We found genetic

evidence that *J. microsperma* and *J. erectopatens* may have adapted to different environmental stressors. A total of 183 and 85 loci were identified as positively selected candidate genes in *J. microsperma* and *J. erectopatens*, respectively, but only in *J. microsperma* were significant GO enrichment categories detected (Supplementary Data Tables S6 and S7). Enriched categories included response to decreased oxygen levels, aerenchyma formation and response to external stimulus (Table S6). Aerenchyma is a cavity-filled parenchymatous tissue that can be found in the roots, stems and leaves, especially from plants growing in oxygen-deficient environments (Schussler and Longstreth, 1996; Watkin et al., 1998). The presence of *J. microsperma* at high altitudes (3150–3350 m), which are characterized by lower oxygen levels and stronger UV radiation compared to lower altitudes, might have contributed to the positive selection of the related genes to adapt to these environmental stressors. Furthermore, genes related to the regulation of the salicylic acid biosynthetic process, the positive regulation of the salicylic acid-mediated signalling pathway, and the response to trehalose were also enriched (Table S6). Salicylic acid, a phenolic compound, and trehalose, a disaccharide, play a role in the resistance of plants to stress (Ding et al., 2002; Kaplan et al., 2004). These positively selected genes related to stress resistance might have resulted from the climate oscillation and constant environmental changes that occurred during the evolutionary history of *J. microsperma*.

Although no significant GO enrichment categories were detected in *J. erectopatens*, four were close to being significant ($0.07 < P < 0.08$), including the glycosyl and organonitrogen compound biosynthetic process as well as the antiporter and secondary active transmembrane transporter activity (Supplementary Data Table S7). The GO enrichment categories found in *J. erectopatens* do not overlap with those detected in *J. microsperma*, which suggests that the evolution of the species pair may have responded to different environmental stressors.

Furthermore, our results suggest that based on the local climate data for the period between 1970 and 2000, thousands of SNPs are associated with the climate variables BIO3, BIO10, BIO17 and BIO19, of which 2127 SNPs were shared between all four variables. In addition, we identified 49 categories of genes associated with the local environment (Supplementary Data Table S8). Six of these gene categories are involved in the response to abiotic and biotic stimuli, such as the immune system process, the ethylene biosynthetic process, the response to oxygen-containing compounds, the defence against insects, the response to stress and the response to hormones (Table 3). We also found several gene categories related to habitat adaptation, such as the carbohydrate metabolic process, embryo development and reproduction (Table S8). There was also an overlap between significantly enriched gene categories of positively selected genes and climate-associated SNPs (Tables S6 and S8). This indicates that the two studied juniper species have the capacity to adapt to climatic and environmental fluctuations, suggesting that this ability might have been an important factor in their survival and adaptation to historical abiotic and biotic stressors.

Conservation implications

Narrow endemics such as *J. microsperma* and *J. erectopatens* are very vulnerable to extinction, especially in a rapidly

changing climate and during increased habitat fragmentation. However, many of the remaining trees in fragmented populations may serve as reproductively viable individuals and would therefore be very important for the long-term recovery of populations and genetic conservation programmes (Ralls *et al.*, 2020). Thus, studying the genetic diversity and demographic history of threatened species may inform conservation programmes of these species.

Based on our recent field surveys, it is likely that the two studied juniper species would be evaluated as ‘Endangered’ according to IUCN Red List criteria (IUCN, 2012) (see also Shang *et al.*, 2015; Tso *et al.*, 2019; Xu *et al.*, 2019). Therefore, conservation measures should be taken to minimize the risk of extinction. Based on the relatively low genetic diversity and high θ_π (0-fold)/ θ_π (4-fold) ratio of *J. microsperma* compared to *J. erectopatens*, this species is likely to have a higher genetic load than *J. erectopatens*. This suggests lower fitness at the population level, which might increase the probability of extinction (Klekowski, 1988; Glémin *et al.*, 2003; Stewart *et al.*, 2017). Moreover, our field surveys from 2011 to 2018 indicated that the area of occupancy and habitat quality of this rare juniper is declining due to human activities (Shang *et al.*, 2015; Tso *et al.*, 2019). It is therefore important to raise awareness in the local community of the importance of protecting *J. microsperma*.

Although *J. erectopatens* has higher genetic diversity and probably a lower genetic load than *J. microsperma*, only about 100 mature individuals occur in an area of around 2 km² at Anhong, Songpan, Sichuan (Xu *et al.*, 2019). No natural regeneration of *J. erectopatens* was evident during our field surveys, which might indicate that this species has a higher risk of extinction compared to *J. microsperma*. In this case, seed collection for *ex situ* conservation and artificial breeding programmes using seeds and cuttings should be considered as top priority conservation actions. Educating the local community on the ecological importance of *J. erectopatens* is an equally important measure to maintain and improve the long-term chances of its survival.

CONCLUSION

Here we have presented evidence that uplift of the eastern QTP triggered the speciation of two well-differentiated, narrow-endemic juniper species, and that climatic changes in the last million years have shaped their demographic histories differently. Our study highlights the importance of speciation and demographic history reconstruction to understand the current distribution pattern and genetic diversity level of threatened species and to help implement conservation and management strategies.

SUPPLEMENTARY DATA

Supplementary data are available online at <https://academic.oup.com/aob> and consist of the following. Table S1. Location information for sampled individual of *J. microsperma* and *J. erectopatens*. Table S2. Environmental variables used in this study. Table S3. Genetic diversity of *J. microsperma* and

J. erectopatens populations based on seven randomly selected individuals per population apart from populations 3 and 4 where only five individuals per population were available. Table S4. Summary of parameters for the five final candidate models tested using *fastsimcoal2*. Table S5. Inferred parameters and confidence intervals for the best-fitting demographic model presented in Fig. 5A. Table S6. GO enrichment of positively selected genes within *J. microsperma*. Table S7. GO enrichment of positively selected genes within *J. erectopatens*. Table S8. GO enrichment of environment-associated genes (LFMM) within *J. microsperma* and *J. erectopatens*. Figure S1. Number of shared and species-specific SNPs in *J. microsperma* and *J. erectopatens*. Figure S2. The cross-validation (CV) error for each *K* value that was estimated using ADMIXTURE. Figure S3. Population structure plots for *K* = 2 to *K* = 4. Figure S4. Genetic differentiation between *J. microsperma* and *J. erectopatens*. Figure S5. Schematic illustration of candidate demographic models that were simulated and tested in *fastsimcoal2*; the value below each model is the AIC result of that model.

AUTHOR CONTRIBUTIONS

K.M. designed and supervised this study. J.M., W.W., J.L. and J.X. managed fieldwork and collected the materials. J.M., J.L. and H.Y. analysed the data. J.M. and K.M. wrote the manuscript. P.F., R.M., M.R., G.M. and L.O. revised the manuscript. J.M., P.F., W.W., M.R., H.Y. and K.M. finalized the manuscript.

FUNDING

This work was supported by the National Natural Science Foundation of China (grant numbers U20A2080, 31622015), the National Basic Research Program of China (grant number 2014CB954100) and Sichuan University (Fundamental Research Funds for the Central Universities, SCU2021D006, SCU2020D003). The Royal Botanic Garden Edinburgh is supported by the Scottish Government’s Rural and Environment Science and Analytical Services Division.

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