ORIGINAL RESEARCH

Impact of Socioeconomic Status on Adult Patients with Asthma: A Population-Based Cohort Study from UK Primary Care

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[1]Centre for Public Health, Queen's University Belfast, Belfast, Northern Ireland; [2]Optimum Patient Care, Cambridge, UK; [3]Observational and Pragmatic Research Institute, Singapore, Singapore; [4]Centre of Academic Primary Care, Division of Applied Health Sciences, University of Aberdeen, Aberdeen, UK; [5]Department of Pulmonology, King Fahad Medical City, Riyadh, Saudi Arabia; [6]Sydney Pharmacy School, Faculty of Medicine and Health, The University of Sydney, Sydney, Australia; [7]Woolcock Institute of Medical Research, The University of Sydney, Sydney, Australia; [8]Central Sydney Local Area Health District, Sydney, Australia; [9]Department of Clinical Pharmacy & Pharmacology, Groningen Research Institute for Asthma and COPD (GRIAC), University Medical Center Groningen, University of Groningen, Groningen, the Netherlands; [10]BioPharmaceuticals Medical, AstraZeneca, Gaithersburg, MD, USA; [11]Department of Medicine, The University of British Columbia, Vancouver, Canada; [12]7th Respiratory Medicine Department and Asthma Centre, Athens Chest Hospital, Athens, Greece; [13]Center for Clinical Research and Prevention, Bispebjerg and Frederiksberg Hospital, Copenhagen, Denmark; [14]Respiratory Research Unit, Bispebjerg University Hospital, Copenhagen, Denmark; [15]Allergy, Asthma & Clinical Immunology Service, Alfred Health, Melbourne, Australia; [16]Public Health and Preventive Medicine, Monash University, Melbourne, Australia; [17]Center for General Medical Education and Clinical Training, Kindai University Hospital, Osakasayama, Japan; [18]Directora Centro de Excelencia en Asma y Alergia, Hospital Médica Sur, Ciudad de México, Mexico; [19]College of

Introduction: Asthma morbidity and health-care utilization are known to exhibit a steep socioeconomic gradient. Further investigation into the modulators of this effect is required to identify potentially modifiable factors.

Methods: We identified a cohort of patients with asthma from the Optimum Patient Care Research Database (OPCRD). We compared demographics, clinical variables, and health-care utilization by quintile of the UK 2011 Indices of Multiple Deprivation based on the location of the patients' general practice. Multivariable analyses were conducted using generalized linear models adjusting for year, age, and sex. We conducted subgroup analyses and interaction tests to investigate the impact of deprivation by age, sex, ethnicity, and treatment step.

Results: Our analysis included 127,040 patients with asthma. Patients from the most deprived socio-economic status (SES) quintile were more likely to report uncontrolled disease (OR: 1.54, 95% CI: 1.16, 2.05) and to have an exacerbation during follow-up (OR: 1.27, 95% CI: 1.13, 1.42) than the least deprived quintile. They had higher blood eosinophils (ratio: 1.03; 95% CI: 1.00, 1.06) and decreased peak flow (ratio: 0.95, 95% CI: 0.94, 0.97) when compared to those in the least deprived quintile. The effect of deprivation on asthma control was greater among those aged over 75 years (OR = 1.81, 95% CI: 1.20, 2.73) compared to those aged less than 35 years (OR: 1.22, 95% CI: 0.85, 1.74; $p_{interaction}$=0.019). Similarly, socioeconomic disparities in exacerbations were larger among those from ethnic minority groups (OR: 1.94, 95% CI: 1.40, 2.68) than white patients (OR: 1.24, 95% CI: 1.10, 1.39; $p_{interaction}$=0.012).

Conclusion: We found worse disease control and increased exacerbation rates among patients with asthma from more deprived areas. There was evidence that the magnitude of socioeconomic disparities was elevated among older patients and those from ethnic minority groups. The drivers of these differences require further exploration.

Keywords: asthma, socioeconomic status, disparities

Introduction

Socioeconomic status (SES) is a multi-faced construct comprising elements including education, housing, and living environment. Within England, there is an almost two-decade gap in healthy life expectancy between those living in the least and most deprived areas, and socioeconomic inequalities continue to widen.[1] Asthma is the second most common chronic respiratory disease worldwide, affecting around 300 million patients and costing in excess of $80 billion annually in the United States (US) alone.[2,3] Although the disease is prevalent across society, it is known to disproportionally affect deprived populations,[4,5] and exploring the interaction between socioeconomic factors and asthma outcomes has recently been identified

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as a key research priority.[6] Several potential mechanisms for worse asthma outcomes among deprived populations have been suggested including higher smoking rates, poorer housing conditions, occupational exposures, less effective self-management, and an inability to afford medications.[7,8]

Much of the previous literature investigating the social determinants on asthma morbidity and mortality have focused on the US, perhaps due to the stark divide in health-care accessibility driven by variable health-care insurance coverage.[9] Although these studies are heterogeneous in their populations and methods, they have consistently reported higher rates of morbidity and unscheduled care use among more deprived populations.[10–12] Studies from outside the US are less common, however poorer asthma outcomes have been reported among deprived populations across several countries, including those with universal health-care coverage such as Sweden and Canada.[13,14] Studies from the UK are sparse, with most relevant evidence being drawn from research conducted more than ten years ago.[15–17] One recent ecological study did report substantially higher hospital admissions in more deprived areas while also noting a strong moderating effect of age on mortality.[18] This finding raises the question of whether other facets of asthma care, including symptom control and exacerbation frequency, are similarly modulated by patient demographics and clinical factors.

Here, we provide updated evidence on the magnitude of socioeconomic disparities within the United Kingdom (UK). We investigate factors that may modulate the SES effect and explore potential mechanisms driving disparities, which is necessary to design effective interventions.

Materials and Methods
Study Population
Data were extracted from the Optimum Patient Care Research Database (OPCRD; https://opcrd.co.uk/), which is a nationally representative pseudonymized dataset comprising information on patient demographics, clinical diagnoses, medication prescriptions, and referrals coded through the Read and SNOMED classification systems. At data extraction, OPCRD contained data for approximately 9.7 million patients registered at 700 general practices within the UK (8% of the UK population).[19] Read codes are a hierarchical clinical terminology used within UK general practice for over 20 years that have recently been replaced by SNOMED codes, which serve broadly the same purpose.[20] Patient data became eligible for analysis at the latest date of: 1) 1st April 2008 [as electronic prescription recording was less common before this date], 2) when the patient was diagnosed with asthma [using a validated list of read codes[21]], 3) when the patient turned 18 years old, 4) when three years of data were available for the patient [to allow time for potential confounder ascertainment]. Patient data were followed-up until the earliest date of: 1) when the patient left the practice, 2) when data were last collected from the practice, 3) when the patient's asthma was recorded as resolved (Read Code: 21262).

To prevent time-window bias,[22] we used a standard one-year window to assess outcomes for all patients. Those with less than one year of eligible follow-up time were excluded from the study. We randomly chose a one-year ascertainment period for patients with more than one year's eligible follow-up time. To increase the comparability of our cohort, those with an alternative respiratory diagnosis (chronic obstructive pulmonary disease, cystic fibrosis, idiopathic pulmonary fibrosis,pulmonary sarcoidosis, or interstitial pneumonia)

in the three years prior to inclusion, and those who were not receiving active treatment for their asthma in the last year (at least one prescription of short-acting β-agonist [SABA], inhaled corticosteroids [ICS], long-acting β-agonist [LABA], leukotriene receptor antagonists [LTRA], oral corticosteroids [OCS]), were excluded from the analysis.

Exposures

Socioeconomic status was determined using the UK 2011 Indices of Multiple Deprivation (IMD). The summary IMD score calculates the relative deprivation of small areas by taking a weighted average across seven domains (income, employment, health, education, housing, crime, and living environment).[23] Each patient's IMD score was based on the location of their general practice; we aimed to use this as a proxy measure for individual SES. Within the UK, almost all patients are registered with a general practice that provides primary care, including disease diagnosis, monitoring and prevention. We grouped patients into five deprivation quintiles ranging from least deprived (quintile 5) to most deprived (quintile 1).

Outcomes

We investigated differences in asthma presentation (blood eosinophils, peak flow), processes (treatment adherence, asthma reviews, respiratory referrals) and health-care outcomes (asthma control, exacerbations) using data measured during the yearlong ascertainment period described previously. Peak flow was calculated using raw measurements and the formula specified by Knudson et al.[24] This adjusted for age, sex and height, although did not account for ethnicity, and we are unaware of any ethnicity-adjusted peak flow reference values that can be appropriately applied to the UK population. We used a percent predicted peak flow value recorded directly in the medical records when no raw peak flow measure was available, or when the patient's height was unavailable. Medication adherence was assessed using the fixed medication possession ratio (MPR) of ICS during the ascertainment period. Good adherence was defined as an MPR of greater than or equal to 70%. Asthma reviews and respiratory referrals were identified using Read code lists.[25] Asthma control was measured using the Royal College of Physicians 3 questions.[26] Asthma exacerbations were identified using either a Read code indicating an "Asthma Exacerbation" or "Asthma Attack", prescription of acute oral corticosteroids, or a

lower respiratory infection requiring antibiotics. Full details of all outcomes are provided in Online Supplement 1.

Covariates

Patient sex and year of birth were supplied directly from the general practice for all patients. Other patient information including ethnicity (categorized using UK census definitions: White, Mixed, Asian, Black, Other), body mass index (BMI), and smoking status were derived using Read codes recorded prior to the start of follow-up. We also used Read codes to identify comorbidities (Allergic Rhinitis, Cancer, Cataract, Cerebrovascular Disease, Congestive Heart Disease, Depression/Anxiety, Diabetes, Eczema, Glaucoma, Hypertension, Insomnia, Liver Disease, Myocardial Infarction, Nasal Polyps, Oral Candidiasis, Osteoporosis, Renal Disease, Rheumatological Disease) using a list based on the Charlson comorbidity index,[27] depression[28] and those related to systemic corticosteroid exposure.[29] Asthma medications were identified using Read/SNOMED hierarchies, and patients were categorized according to Global Initiative for Asthma (GINA) 2018 treatment step[30] (Online Supplement 2). ICS dose was converted to a beclomethasone dipropionate (BDP) equivalent.[31] Step five was defined as more than 6 prescriptions of OCS in a year, spanning across at least two quarters.[32] In general, covariates were ascertained in the year prior to the outcome ascertainment period. Full details are provided in Online Supplement 1.

Statistical Analysis

Demographic and clinical outcomes were analyzed descriptively and comparisons across IMD quintiles were undertaken. Univariate analyses were conducted using t-tests, chi-square tests and Mann–Whitney U-tests where appropriate. Multivariable analyses were conducted adjusting for year, age (5-year categories) and sex to address potential cofounding by these factors. We chose this limited set of adjustment variables to prevent any overadjustment bias, whereby adjustment is made for variables that lie on the causal path between SES and outcomes, to ensure that we were capturing the full magnitude of any disparities.[33] Binary outcome variables were modelled using logistic regression, and continuous data was modelled using gamma generalized linear models with a log link function. Consequently, all multivariable results are shown as odds ratios or ratios. The clustering of patients

within GP practices was accounted for using cluster robust standard errors.

Subgroup and Sensitivity Analyses

We investigated the extent to which demographic factors (age, sex, and ethnicity) or treatment step (used as a marker of asthma severity[34]) modulated the effect of deprivation on peak flow, asthma disease control, and exacerbations. We repeated our regression analysis stratified for each subgroup (eg, male vs female) and plotted odds ratios comparing IMD quintiles. The ethnicity analysis combined all ethnic minority groups (EMGs; Asian, Black, mixed, or other) vs White patients to maximize statistical power. We performed formal hypothesis tests using interaction tests investigating whether the difference between the least and most deprived quintiles varied across subgroups. We did not conduct subgroup analysis for other outcomes that were related to intermediate factors (blood eosinophils, treatment adherence) or process measures (asthma review or respiratory referral).

Our primary multivariable analysis was based on complete cases (ie, only patients with complete data for all variables in the model) however we used multiple imputation with chained equations, which assumes that the data was missing at random, to assess the impact of missing data.[24] Ten imputation datasets were created, and imputation models including year, age, sex and ethnicity. To investigate if the effect of socioeconomic status was mediated by lifestyle factors, we reran our multivariable regression models investigating socioeconomic disparities in blood eosinophils, peak flow, treatment adherence, asthma reviews, respiratory referrals, asthma control and exacerbations additionally adjusting for smoking status and BMI category (<18.5, 18.5–25.0, 25.1–30.0, >30 kg/m^2). UK guidelines state that patients with frequent use of oral corticosteroid should be referred for specialist review.[35] Therefore, we additionally investigated the impact of SES on referral rates among patients who had at least two exacerbations in the previous year.

Results

Cohort Demographics and Univariate Comparison by IMD Quintiles

Our analyses included 127,040 patients with 28,215 and 16,534 from the least and most deprived quintiles, respectively. Mean patient age was 51.2 years, and a female predominance (59.1%) was evident across all SES groups (Table 1). There was a strong relationship between ethnicity and SES, with 97.5% of patients in the least deprived

quintile being White compared to 88.3% within the most deprived quintile (p < 0.001). Patients from the least deprived quintile were more likely to be never smokers (59.2% vs 50.8%, p < 0.001); however, they consumed more alcohol (4 vs 2 weekly units, p < 0.001).

In general, the patients in the lowest IMD quintile had higher rates of comorbidities including depression/anxiety (12.2% vs 9.3%, p < 0.001) and diabetes (8.4% vs 5.7%, p < 0.001), although rates of cancer were lower (8.3% vs 12.1%, p < 0.001) when compared to the least deprived quintile. Medication patterns were similar across IMD quintiles (Online Supplement 3). Patients from the most deprived areas had lower peak flow (84.9% vs 89.0% percent predicted, p < 0.001) and were more likely to report uncontrolled disease (44.7% vs 34.5%, p < 0.001), and a larger proportion experienced an exacerbation during follow-up (24.2% vs 20.3%, p <0.001). Most patients had data available on their age (100%), smoking status (96.4%), and medication records (81.5%); however, data were less commonly available for several other measures, including blood eosinophils (36.0%) and disease control (31.5%; Online Supplement 4).

Multivariate Comparison by IMD Quintiles

In multivariable analyses, there were substantial differences by IMD quintile for several measures that generally followed a dose–response pattern (Figure 1). Patients in the most deprived quintile had higher blood eosinophils (ratio: 1.03; 95% CI: 1.00, 1.06) and worse percent predicted peak flow (ratio: 0.95, 95% CI: 0.94, 0.97). The proportion achieving good medication adherence to ICS was higher among the most deprived group (odds-ratio [OR]: 1.43; 95% CI: 1.17, 1.76), although there was no evidence that asthma reviews (OR: 0.85; 95% CI: 0.55, 1.34) or respiratory referrals (OR: 1.02; 95% CI: 0.55, 1.90) varied by SES. Patients from the most deprived quintile were substantially more likely to report uncontrolled disease (OR: 1.54, 95% CI: 1.16, 2.05) and to have an exacerbation during the year-long follow-up period (OR: 1.27, 95% CI: 1.13, 1.42) when compared to the least deprived group.

Modulation of SES Effect by Age and Ethnicity

There was evidence that the magnitude of socioeconomic disparities varied within patient subgroups. Although increased deprivation was associated with uncontrolled disease across all age groups (Figure 2), it was more

Table 1 Comparison of Demographic and Clinical Information by Indices of Multiple Deprivation Quintile

	5 (Least Deprived)	4	3	2	1 (Most Deprived)	P-value
Number Patients	28,215	26,900	24,332	31,059	16,534	
Age (years)	51.8 (16.7)	51.1 (17.0)	52.1 (17.1)	50.8 (17.0)	50.0 (16.9)	<0.001
<35	4911 (17.4%)	5234 (19.5%)	4453 (18.3%)	6330 (20.4%)	3708 (22.4%)	
35–54	11,553 (40.9%)	10,795 (40.1%)	9369 (38.5%)	12,350 (39.8%)	6487 (39.2%)	
55–74	8981 (31.8%)	8286 (30.8%)	7994 (32.9%)	9556 (30.8%)	4911 (29.7%)	
75+	2770 (9.8%)	2585 (9.6%)	2516 (10.3%)	2823 (9.1%)	1428 (8.6%)	
Sex						<0.001
Female	16,362 (58.0%)	15,780 (58.7%)	14,388 (59.1%)	18,617 (59.9%)	9961 (60.2%)	
Male	11,853 (42.0%)	11,120 (41.3%)	9944 (40.9%)	12,442 (40.1%)	6573 (39.8%)	
Ethnicity						<0.001
White	18,268 (97.5%)	16,960 (94.7%)	15,777 (96.2%)	20,517 (95.3%)	9737 (88.3%)	
Asian	304 (1.6%)	710 (4.0%)	449 (2.7%)	769 (3.6%)	933 (8.5%)	
Black	45 (0.2%)	104 (0.6%)	61 (0.4%)	143 (0.7%)	185 (1.7%)	
Mixed	48 (0.3%)	78 (0.4%)	59 (0.4%)	57 (0.3%)	55 (0.5%)	
Other	79 (0.4%)	66 (0.4%)	50 (0.3%)	47 (0.2%)	115 (1.0%)	
BMI (kg/m²)	27.8 (5.8)	27.9 (5.9)	28.2 (6.1)	28.6 (6.1)	28.9 (6.4)	<0.001
Alcohol Consumption (Weekly Units)	4.0 (0.0, 10.0)	3.0 (0.0,10.0)	2.0 (0.0,10.0)	2.0 (0.0,10.0)	2.0 (0.0,10.0)	<0.001
Smoking Status						<0.001
Never-Smoker	16,376 (59.2%)	14,977 (57.3%)	12,492 (55.0%)	16,135 (53.1%)	7929 (50.8%)	
Ex-Smoker	7672 (27.7%)	7483 (28.6%)	6549 (28.9%)	8744 (28.8%)	4206 (26.9%)	
Current Smoker	3633 (13.1%)	3690 (14.1%)	3657 (16.1%)	5511 (18.1%)	3473 (22.3%)	
Comorbidities						
Allergic Rhinitis	2311 (8.2%)	2534 (9.4%)	2346 (9.6%)	3495 (11.3%)	2057 (12.4%)	<0.001
Cancer	3420 (12.1%)	2861 (10.6%)	2360 (9.7%)	3104 (10.0%)	1376 (8.3%)	<0.001
Cataract	347 (1.2%)	358 (1.3%)	374 (1.5%)	441 (1.4%)	259 (1.6%)	0.009
Cerebrovascular Disease	427 (1.5%)	390 (1.4%)	369 (1.5%)	480 (1.5%)	231 (1.4%)	0.713
Congestive Heart Disease	158 (0.6%)	132 (0.5%)	157 (0.6%)	197 (0.6%)	106 (0.6%)	0.092
Depression/Anxiety	2615 (9.3%)	2440 (9.1%)	2181 (9.0%)	3441 (11.1%)	2021 (12.2%)	<0.001
Diabetes	1615 (5.7%)	1609 (6.0%)	1587 (6.5%)	2318 (7.5%)	1396 (8.4%)	<0.001
Eczema	3286 (11.6%)	2789 (10.4%)	2447 (10.1%)	3310 (10.7%)	1718 (10.4%)	<0.001
Glaucoma	264 (0.9%)	250 (0.9%)	269 (1.1%)	381 (1.2%)	195 (1.2%)	<0.001
Hypertension	3362 (11.9%)	2881 (10.7%)	2342 (9.6%)	3904 (12.6%)	2065 (12.5%)	<0.001
Insomnia	599 (2.1%)	659 (2.4%)	533 (2.2%)	669 (2.2%)	421 (2.5%)	0.005
Liver Disease	40 (0.1%)	32 (0.1%)	47 (0.2%)	51 (0.2%)	28 (0.2%)	0.265
Myocardial Infarction	167 (0.6%)	106 (0.4%)	123 (0.5%)	155 (0.5%)	86 (0.5%)	0.027
Nasal Polyps	304 (1.1%)	239 (0.9%)	218 (0.9%)	279 (0.9%)	134 (0.8%)	0.034
Oral Candidiasis	443 (1.6%)	436 (1.6%)	387 (1.6%)	630 (2.0%)	325 (2.0%)	<0.001
Osteoporosis	367 (1.3%)	324 (1.2%)	271 (1.1%)	339 (1.1%)	195 (1.2%)	0.154
Renal Disease	856 (3.0%)	837 (3.1%)	741 (3.0%)	1155 (3.7%)	628 (3.8%)	<0.001
Rheumatological Disease	430 (1.5%)	368 (1.4%)	341 (1.4%)	425 (1.4%)	217 (1.3%)	0.345
Atopic Disease	4258 (15.1%)	4105 (15.3%)	3938 (16.2%)	4919 (15.8%)	2673 (16.2%)	<0.001
ICS Dose (BDP Equivalent)	500 (400,1000)	500 (400,1000)	500 (400,1000)	500 (400,1000)	500 (400,1000)	<0.001
LTRA	1480 (5.2%)	1517 (5.6%)	1320 (5.4%)	1633 (5.3%)	922 (5.6%)	0.160
SABA	23,707 (84.0%)	22,673 (84.3%)	20,093 (82.6%)	26,198 (84.3%)	13,777 (83.3%)	<0.001

(Continued)

Table 1 (Continued).

	5 (Least Deprived)	4	3	2	1 (Most Deprived)	P-value
Treatment Step (GINA 2018)						<0.001
1	4699 (16.7%)	4579 (17.0%)	3784 (15.6%)	4972 (16.0%)	2806 (17.0%)	
2	8847 (31.4%)	8425 (31.3%)	7782 (32.0%)	9592 (30.9%)	5273 (31.9%)	
3	6425 (22.8%)	6069 (22.6%)	5653 (23.2%)	6862 (22.1%)	3759 (22.7%)	
4	7828 (27.7%)	7373 (27.4%)	6779 (27.9%)	9191 (29.6%)	4446 (26.9%)	
5	416 (1.5%)	454 (1.7%)	334 (1.4%)	442 (1.4%)	250 (1.5%)	
Blood Eosinophils (10^9/L)	0.20 (0.11,0.31)	0.20 (0.11,0.30)	0.20 (0.10,0.30)	0.20 (0.11,0.31)	0.20 (0.11,0.31)	0.001
Peak Flow (%)	89.0 (76.1,100.8)	88.9 (75.8,100.8)	88.2 (74.6,100.2)	86.9 (73.6,99.4)	84.9 (70.9,97.3)	<0.001
Treatment Adherent (MPR≥70%)	5920 (25.6%)	5674 (26.2%)	5185 (26.4%)	6886 (27.5%)	4173 (31.6%)	<0.001
Asthma Review	13,479 (47.8%)	11,852 (44.1%)	9764 (40.1%)	12842 (41.3%)	7114 (43.0%)	<0.001
Uncontrolled Disease	3228 (34.5%)	3034 (35.8%)	2761 (38.2%)	4130 (40.1%)	2121 (44.7%)	<0.001
Any Exacerbations	5732 (20.3%)	5734 (21.3%)	5024 (20.6%)	7261 (23.4%)	3996 (24.2%)	<0.001
Respiratory Referral	1226 (4.3%)	619 (2.3%)	767 (3.2%)	1094 (3.5%)	665 (4.0%)	<0.001

pronounced among those aged >75 years (OR = 1.81, 95% CI: 1.20, 2.73) compared to those aged <35 years (OR: 1.22, 95% CI: 0.85, 1.74; p = 0.019). We also observed a stronger effect of SES on patients from ethnic minority groups (Figure 3). For EMGs, those in the most deprived quintile were more likely to have an exacerbation during follow-up (OR: 1.94, 95% CI: 1.40, 2.68) when compared to those in the least deprived quintile. This was greater than the disparity for White patients (OR: 1.24, 95% CI: 1.10, 1.39; p = 0.012). There was a trend for a greater effect of deprivation on asthma disease control among EMGs (OR: 1.74, 95% CI: 1.16, 2.63) when compared to White patients (OR: 1.35, 95% CI: 1.00, 1.82), although this did not reach statistical significance (p = 0.294). We also found a greater effect of deprivation on peak flow among EMGs (ratio: 0.91, 95% CI: 0.87, 0.96) when compared to White patients (ratio: 0.96, 95% CI: 0.94, 0.98; p = 0.030).

When restricted to EMG patients, a comparison of IMD quintiles did not reveal any large differences in demographic presentation (Online Supplement 5). A larger proportion of patients in the most deprived quintile were Black or Asian (86.8% vs 73.4%, p < 0.001), and patients were less likely to be treated at GINA treatment step 3 or 4 (44.4% vs 52.5%, p < 0.001), compared to those in the least deprived quintile. We also observed substantial

differences in percent predicted peak flow measurements (78.5% vs 85.5%, p < 0.001), proportion experiencing an exacerbation (25.9% vs 16.8%, p < 0.001), and proportion uncontrolled (54.8% vs 40.1%, p < 0.001) when comparing the most and least deprived quintiles. We found little evidence of any moderating influence of sex or asthma treatment step on the effect of SES (data not shown).

Sensitivity Analyses

Our conclusions were robust when using multiple imputation with chained equations to account for missing data, and when additionally adjusting for lifestyle factors (BMI and smoking status) in the multivariable regression models (Online Supplement 6). Respiratory referrals were more common among patients with at least two exacerbations in the previous year (7.3% vs 3.4% in the entire cohort); however, we did not detect any difference by socioeconomic status with similar proportion referred in the lowest and highest IMD quintile (8.0% vs 7.6%; p = 0.915).

Discussion

In a large population-based cohort comprising 127,040 patients with asthma from UK primary care, we identified that more deprived patients had worse disease control, lower peak flow, and increased odds of an asthma exacerbation; however, there was no evidence of poorer
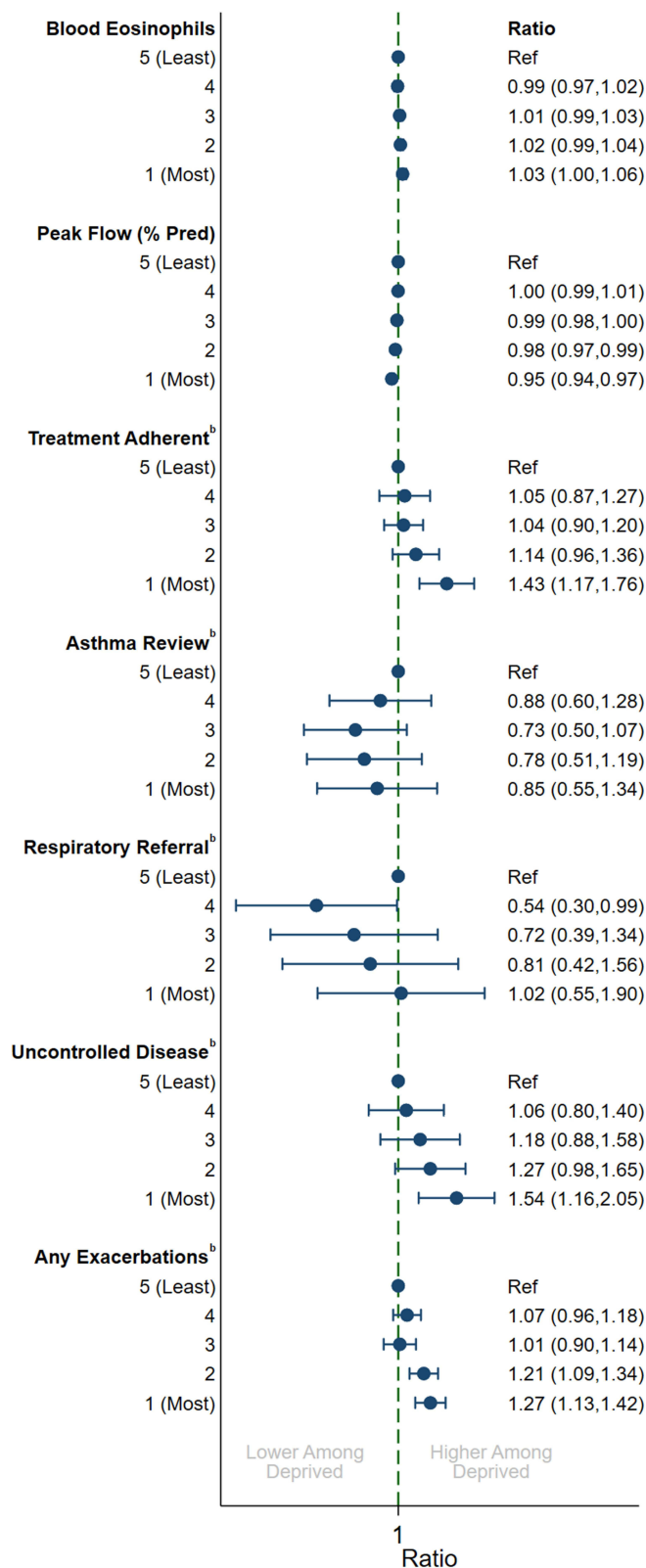
Figure 1 Multivariable association between indices of multiple deprivation quintile and clinical variables[a]. [a]Adjusted for year, age (5-year groups) and sex, [b]Odds ratio.

medication adherence. There was evidence that the impact of deprivation was modulated in specific patient subgroups. In particular, socioeconomic disparities were greater among older patients (aged >75 years) and those from EMGs (Asian, Black, mixed, or other ethnicity). We did not find any evidence that these disparities were related to differences in medication adherence or in lifestyle factors such as BMI and smoking status.

Our findings of increased morbidity among more deprived patients with asthma is concerning and reflects potential disparities within the UK health-care system. Exploring the interaction between asthma health-care outcomes and socioeconomic status has been identified as a key research priority, and reducing disparities is a key aim of several health-care systems worldwide.[36] Within the UK, health-care commissioners have a legal duty to consider the need to reduce inequalities.[37] However, despite this obligation, our findings of poorer outcomes among deprived patients are consistent with a large body of previous research in asthma. Previous studies have consistently reported higher secondary health-care utilization (including emergency department [ED] attendances and hospitalizations) and morbidity (including exacerbations and mortality) among more deprived populations.[10–12] Although much of this evidence is drawn from the US, these findings were consistent in countries with universally funded health-care systems such as the UK, Canada, and Australia.[38]

We have investigated the mediating effect of lifestyle factors such as smoking and BMI but did not find these to be a substantial driver of worse outcomes. Poorer medication adherence is frequently cited as a potential contributor to poorer asthma outcomes among disadvantaged groups, although evidence on the link between adherence and SES is inconsistent.[39] We found better adherence among the most deprived quintile of patients; however, it should be noted that we used the MPR to estimate adherence, which accurately captures ICS prescriptions, but may not correspond to the collection and effective use of these medications by patients.[40] Medications are inexpensive within the UK; they are free of charge to those receiving state benefits (including pensions) and require only a small (<£10 [$14]) co-payment for other patients, so substantial financial barriers to medication adherence are unlikely to exist among more deprived populations. In that context, it may be the case that higher medication use reflects the poorer symptom control observed within the lower
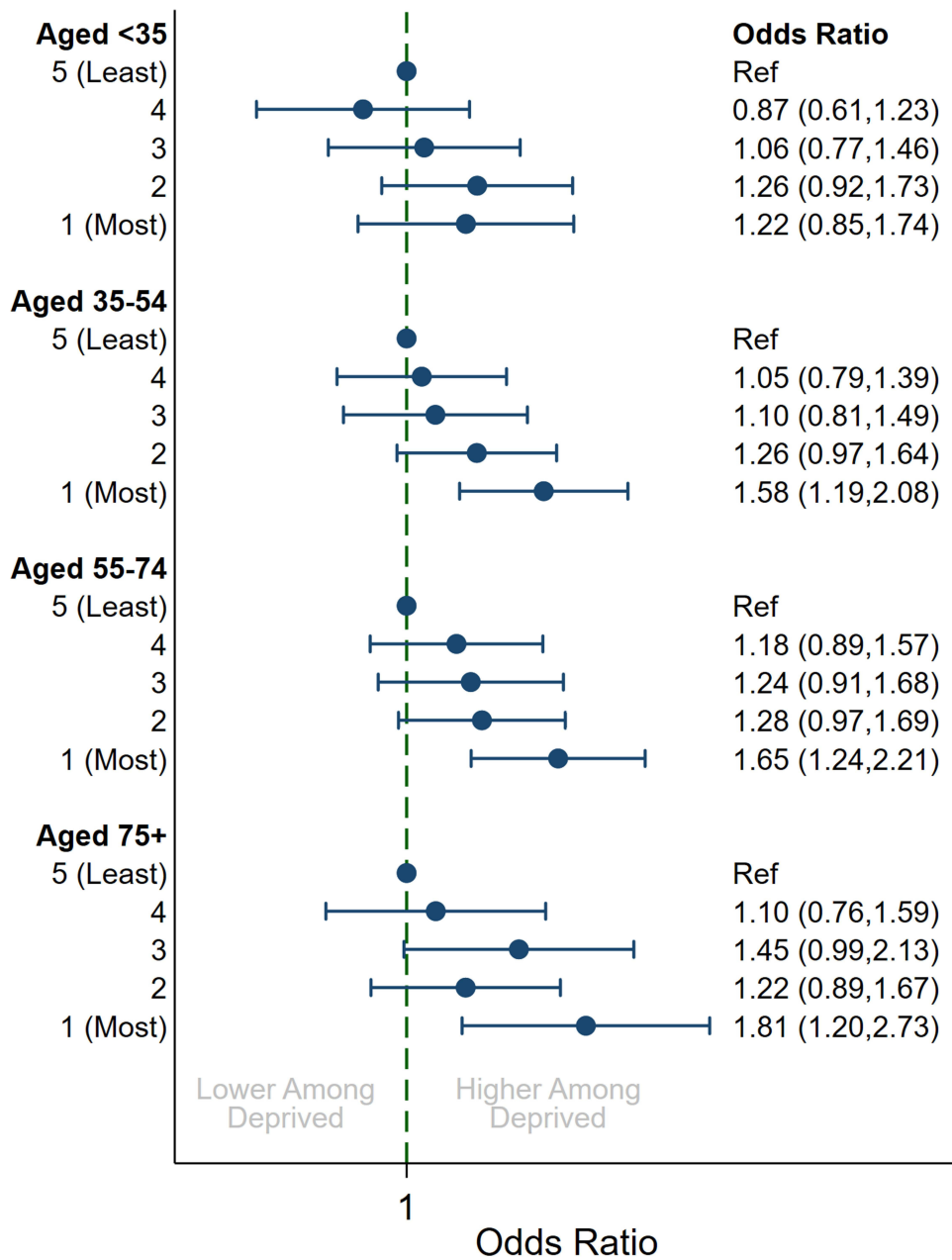
Figure 2 Multivariable association between indices of multiple deprivation quintile and uncontrolled disease by age group[a]. [a]Adjusted for year, age (5-year groups) and sex.

SES patients in our study as reported elsewhere.[41] We were unable to explore the impact of several potentially important mechanisms in our study, including the mediating role of poorer living conditions, increased propensity to access unscheduled care, and poorer health literacy, which has previously been reported among lower SES groups.[42–44]

Resolving socioeconomic disparities is unlikely to be straightforward. Interventions explored to improve health literacy have demonstrated promise as a means to reduce disparities, however, further consideration of the costs of providing these interventions and an exploration of their impact on health-care outcomes is required.[45] Other issues, such as poorer housing conditions, are likely to require far-reaching and costly public interventions to resolve. Recent evidence from the US suggests that the childhood SES status of a parent had an effect on their children's asthma outcomes independent of their current economic circumstances,[46] suggesting that the time scales required to fully address inequalities are likely to be generational.
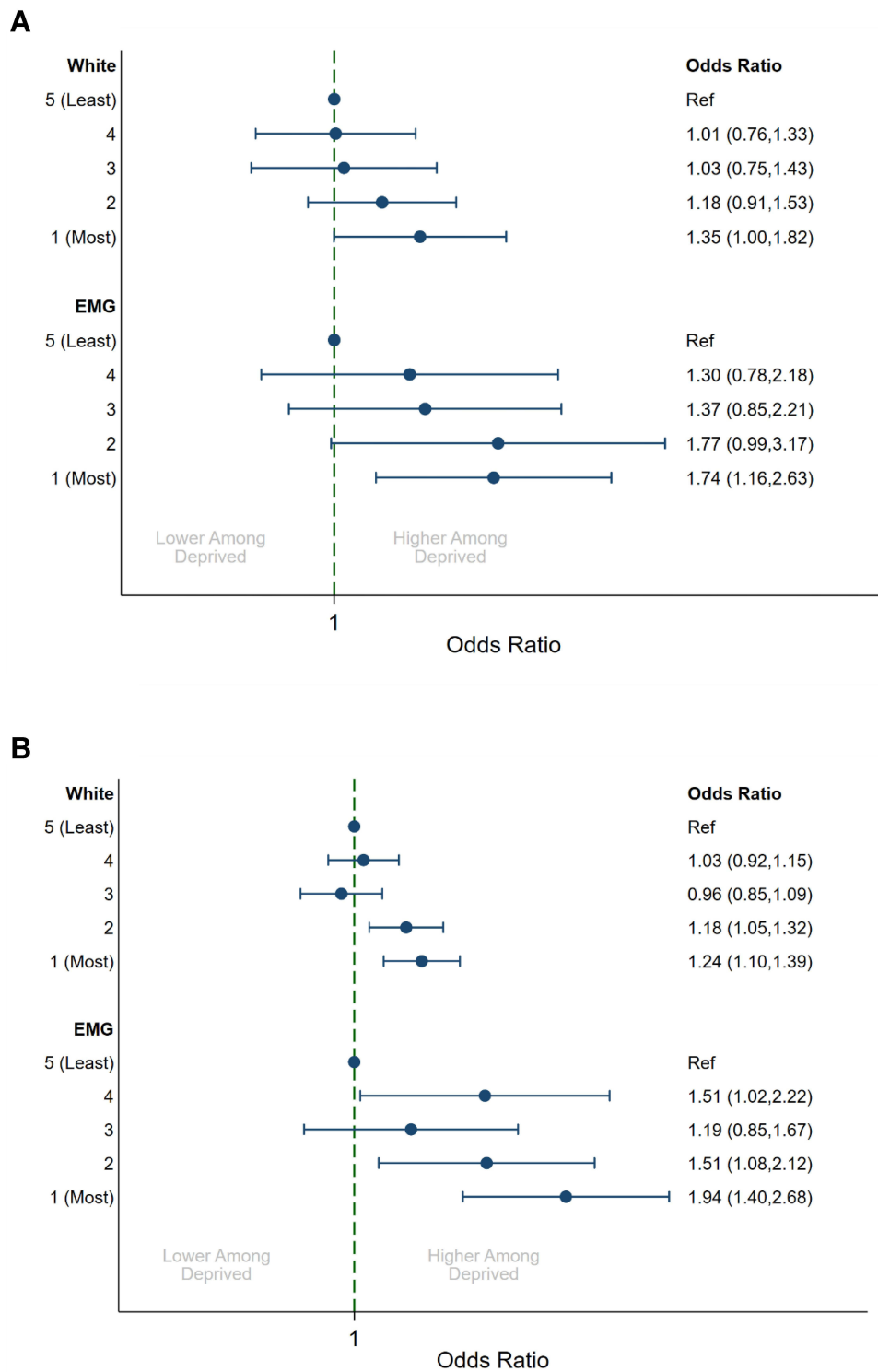
A

B

Figure 3 Multivariable association between indices of multiple deprivation quintile with uncontrolled disease and exacerbations by ethnicity[a]. (**A**) Uncontrolled disease (**B**) any exacerbations. [a]Adjusted for year, age (5-year groups) and sex.

Our finding of increased socioeconomic disparities among specific patient subgroups suggests that targeted interventions are required to reduce these disparities. Prior studies have demonstrated markedly poorer

outcomes among patients from EMGs,[47–49] and this study provides evidence that considerable socioeconomic disparities also exist within this subgroup. We were unable to fully explore the reasons for this; however, it may be

that issues relating to health literacy or poorer living conditions (including increased allergen exposure, air pollution, and overcrowding) are exacerbated among deprived EMG patients who are more likely to face language barriers or structural inequality in housing provision.[50,51] It is notable that the most deprived patients from EMGs received lower doses of ICS despite substantially poorer disease control, which may reflect under-treatment in this patient group. Medication adherence is frequently lower among EMG patients;[50,51] however, we found slightly higher adherence among the most deprived EMG patients when compared to the least deprived EMG patients, suggesting this did not play in an important role in driving poorer outcomes. The interaction between ethnicity and deprivation is an important area for future research, and it is likely to require tailored and culturally appropriate interventions to reduce inequalities.[52,53]

Our finding of a larger disparity among older aged patients is in concordance with a recent UK-based study of asthma mortality,[18] and could be related to long-term effects of poorer disease control, smoking or occupational exposures during their lifetime.

The main strength of our study lies in its use of real-world population-based data that is reflective of asthma treatment in the UK. The large size of the dataset enabled the robust investigation of the socioeconomic differences within specific patient subgroups such as EMGs. Our study does have several potential limitations. It is observational and hence open to confounding due to unmeasured or poorly measured factors. Data were frequently missing for some of the variables included in our analysis; however, we believe it is unlikely that this would act differentially by socioeconomic status and, reassuringly, our conclusions were unchanged when using multiple imputation with chained equations to account for missing data. The Indices of Multiple Deprivation is an area-based measure derived from the postcode of the patient's general practice, which may not accurately reflect the circumstances of the individual patients included in our analysis.[54,55] However, this potential misallocation should manifest in an underestimate of SES disparities due to regression dilution bias, meaning our main conclusions are not affected. Finally, there were a relatively low number of patients from EMGs, which did not facilitate a comparison of whether SES disparities differed by specific ethnicity (eg, Asian, Black). It is likely that differences will exist between ethnic minority subgroups within the UK as shown elsewhere.[48]

Conclusions

Patients from more deprived areas had poorer asthma disease control, lower peak flow, and increased exacerbations. There was evidence that the magnitude of socioeconomic disparities were elevated among older patients and those from ethnic minority groups. We did not find any evidence that poorer medication adherence or differences in smoking rates played an important role in driving disparities; however, the drivers of these differences require further exploration.

Abbreviations

BMI, body mass index; ED, emergency department; EMG, ethnic minority group; GINA, Global Initiative for Asthma; ICS, inhaled corticosteroids; IMD, indices of multiple deprivation; LABA, long-acting β-agonist; LAMA, long-acting muscarinic antagonist; LTRA, leukotriene receptor antagonists; OCS, oral corticosteroids; OPCRD, Optimum Patient Care Research Database; OR, odds-ratio; SABA, short-acting β-agonist; SES, socioeconomic status.

Data Sharing Statement

The dataset supporting the conclusions of this article was derived from the Optimum Patient Care Research Database (www.opcrd.co.uk). The OPCRD has ethical approval from the National Health Service (NHS) Research Authority to hold and process anonymised research data (Research Ethics Committee reference: 15/EM/0150). This study was approved by the Anonymised Data Ethics Protocols and Transparency (ADEPT) committee (ADEPT approval reference: ADEPT0120) – the independent scientific advisory committee for the OPCRD. The authors do not have permission to give public access to the study dataset; researchers may request access to OPCRD data for their own purposes. Access to OCPRD can be made via the OCPRD website (https://opcrd.co.uk/our-database/data-requests/) or via the enquiries email info@opcrd.co.uk.

Ethical Approval

OPCRD has been reviewed and ethically approved by the NHS Health Research Authority to hold and process anonymized data as part of service delivery (Research Ethics Committee reference: 15/EM/0150). Specific approval for this research study was granted by the Anonymised Data Ethics Protocols and Transparency committee (ADEPT

approval reference: ADEPT0120). This study was designed, implemented, and registered in accordance with the criteria of the European Network of Centres for Pharmacoepidemiology and Pharmacovigilance (EUPAS32482).

Disclosure

John Busby declares no relevant conflicts of interest. David Price has board membership with AstraZeneca, Boehringer Ingelheim, Chiesi, Mylan, Novartis, Regeneron Pharmaceuticals, Sanofi Genzyme, Thermofisher; consultancy agreements with Airway Vista Secretariat, AstraZeneca, Boehringer Ingelheim, Chiesi, EPG Communication Holdings Ltd, FIECON Ltd, Fieldwork International, GlaxoSmithKline, Mylan, Mundipharma, Novartis, OM Pharma SA, PeerVoice, Phadia AB, Spirosure Inc, Strategic North Limited, Synapse Research Management Partners S.L., Talos Health Solutions, Theravance and WebMD Global LLC; grants and unrestricted funding for investigator-initiated studies (conducted through Observational and Pragmatic Research Institute Pte Ltd) from AstraZeneca, Boehringer Ingelheim, Chiesi, Mylan, Novartis, Regeneron Pharmaceuticals, Respiratory Effectiveness Group, Sanofi Genzyme, Theravance and UK National Health Service; payment for lectures/speaking engagements from AstraZeneca, Boehringer Ingelheim, Chiesi, Cipla, GlaxoSmithKline, Kyorin, Mylan, Mundipharma, Novartis, Regeneron Pharmaceuticals and Sanofi Genzyme; payment for travel/accommodation/meeting expenses from AstraZeneca, Boehringer Ingelheim, Mundipharma, Mylan, Novartis, Thermofisher; stock/ stock options from AKL Research and Development Ltd

which produces phytopharmaceuticals; owns 74% of the social enterprise Optimum Patient Care Ltd (Australia and UK) and 92.61% of Observational and Pragmatic Research Institute Pte Ltd (Singapore); 5% shareholding in Timestamp which develops adherence monitoring technology; is peer reviewer for grant committees of the UK Efficacy and Mechanism Evaluation programme, and Health Technology Assessment; and was an expert witness for GlaxoSmithKline. Riyad Al-Lehebi has given lectures at meetings supported by AstraZeneca, Boehringer Ingelheim, GlaxoSmithKline, Novartis, and Sanofi and participated in advisory board fees from GlaxoSmithKline. Sinthia Bosnic-Anticevich has received honorarium for participation in expert advisory boards and given lectures for Teva Pharmaceuticals, AstraZeneca, Boehringer Ingelheim, GSK, Meda, Mundipharma, Sanofi, Mylan and received unrestricted research grants from Mylan, AstraZeneca, Teva and Mundipharma International. Job F.M. van Boven and/or his institution received grants and consultancy fees from AstraZeneca, Chiesi, GSK, Boehringer Ingelheim, Pill Connect, TEVA, Novartis, Trudell Medical, Menarini, European Commission (COST Action 19132), and Aardex. Benjamin Emmanuel is an employee of AstraZeneca, a co-funder of the International Severe Asthma Registry. J. Mark FitzGerald reports grants from AstraZeneca, GSK, Sanofi Regeneron, Novartis paid directly to UBC. Personal fees for lectures and attending advisory boards: Astra Zeneca, GSK, Sanofi Regeneron, Novartis, TEVA. Mina Gaga reports grants and personal fees from Novartis, BMS, and Menarini; grants from Galapagos and Elpen; and personal fees from MSD and Roche outside the submitted work. Susanne Hansen reports no conflict of interest. Mark Hew declares grants and other advisory board fees (made to his institutional employer) from AstraZeneca, GlaxoSmithKline, Novartis, Sanofi, and Seqirus, Teva, for unrelated projects. Takashi Iwanaga declares grants from Astellas, Boehringer Ingelheim, Daiichi-Sankyo, Kyorin, MeijiSeika Pharma, Novartis, Teijin Pharma, Ono, Otsuka Pharmaceutical Factory, and Taiho, and lecture fees from Kyorin, GlaxoSmithKline, and AstraZeneca.

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