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## Intersectionality of Serious Psychological Distress, Cigarette Smoking, and Substance Use Disorders in the United States: 2008-2018

Maria A. Parker, PhD, MS, MPH<sup>a</sup>, Whitney S. Cordoba-Grueso, MD, MPH<sup>a</sup>, Joanna M. Streck, PhD<sup>b,c</sup>, Renee D. Goodwin, PhD, MPH<sup>d,e</sup>, Andrea H. Weinberger, PhD<sup>f,g</sup>

<sup>a</sup>Indiana University School of Public Health, Department of Epidemiology & Biostatistics, Bloomington, IN, USA

<sup>b</sup>Tobacco Research and Treatment Center, Division of General Internal Medicine Department of Medicine, Massachusetts General Hospital and Harvard Medical School, Boston, MA, USA

<sup>c</sup>Department of Psychiatry, Massachusetts General Hospital and Harvard Medical School, Boston, MA, USA

<sup>d</sup>Department of Epidemiology and Biostatistics, Graduate School of Public Health and Health Policy, The City University of New York School of Public Health, New York, NY, USA

<sup>e</sup>Department of Epidemiology, Mailman School of Public Health, Columbia University, New York, NY, USA

<sup>f</sup>Ferkauf Graduate School of Psychology, Yeshiva University, Bronx, NY, USA

<sup>g</sup>Department of Epidemiology & Population Health, Albert Einstein College of Medicine, Bronx, NY, USA

### Abstract

**Background:** Serious psychological distress (SPD) is common among adults who smoke cigarettes and among adults with substance use disorders (SUD). It is unknown whether the burden of SPD is even greater among individuals with both cigarette smoking and SUDs. This study examined the intersectionality of SPD, cigarette smoking, and SUD over time.

**Methods:** Data came from annual, cross-sectional, nationally representative samples of the United States (US) National Survey on Drug Use and Health (individuals age 12+). Past-month SPD prevalences were estimated each year from 2008-2018 for adults age 18+ with current daily,

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Correspondence: Dr. Maria A. Parker, Indiana University School of Public Health, 809 East 9<sup>th</sup>, St, Bloomington, IN 47405, United States, Tel.: +1 812 856 5950. map2@iu.edu.

CRedit authorshipContributors Statement

Author Parker designed the study. Authors Parker, Cordoba, and Weinberger conducted literature searches, and participated in all drafts of the paper. Author Parker performed the data analysis. Authors Streck and Goodwin provided meaningful contributions of content and revisions to drafts. All authors have approved the final article.

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current non-daily, former, and never cigarette smoking by SUD status (combined n=441,286). Logistic regression models examined linear time trends of SPD.

**Results:** In 2018, SPD was significantly more prevalent among adults in each smoking group with SUD versus those without SUD (daily 29.1% vs. 9.0%, non-daily 23.2% vs. 8.6%, former 19.5% vs. 3.2%, never 16.4% vs. 4.3%). After adjusting for sociodemographics, SPD prevalence increased over time across smoking statuses with a larger change for persons with SUD (AOR=1.07; 95% CI: 1.06, 1.09) vs. no SUD (AOR=1.03; 95% CI: 1.02, 1.04).

**Conclusions:** SPD was more than twice as common among adults with SUD who smoke cigarettes compared to those without SUD who do not smoke cigarettes, with the highest prevalence among adults with both SUD and daily smoking. While SPD has increased over time, differences depended on SUD status beyond the effect of cigarette smoking. These results provide further evidence for treating smoking and mental health problems together.

### Keywords

Epidemiology; Tobacco Use; Substance Use Disorders; Serious Psychological Distress; Intersectionality

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## 1. Introduction

Serious psychological distress (SPD), a proxy indicator of serious mental illness through the assessment of depression and anxiety symptoms (Kessler et al., 2002; Swartz and Jantz, 2014), is increasing in the United States (US) (McGinty et al., 2020; Zvolensky et al., 2018) and is associated with negative health consequences including premature mortality and chronic health conditions (Alhussain et al., 2017; Hamer et al., 2009; Lin et al., 2012; McGuire et al., 2009; Muhuri, 2013; Sung et al., 2011). Based on the increasing prevalence of mental health problems and negative consequences of poor mental health, it is critical to identify groups with higher prevalences of mental health problems that could be targeted with interventions. In 2018, 13.7% of US individuals (more than 34 million people) reported smoking cigarettes (Creamer, 2019) and 3% (more than 8 million people) reported substance use disorders (SUDs) (Substance Abuse and Mental Health Services Administration, 2019).

Mental health problems are a significant contributor to the US and global burden of disease (Vos et al., 2017; Whiteford et al., 2013). Independently, research has found that SPD is more common among adults who smoke cigarettes versus those who do not smoke cigarettes (McGinty et al., 2020; Zvolensky et al., 2018), with SPD becoming more common among smokers over time (Zvolensky et al., 2018), and that SPD is more common among adults with substance use disorders (SUDs), compared to adults without SUDs (Danielsson et al., 2016; Kelly et al., 2015; Lasser et al., 2000; Weinberger et al., 2017). While cigarette smoking has been decreasing over time (U.S. Department of Health and Human Services et al., 2020), the prevalence of SUDs has remained generally stable (Substance Abuse and Mental Health Services Administration, 2019). Cigarette smoking is highly comorbid with both SPD and SUD; it is of interest whether SPD is decreasing in conjunction with declines in cigarette smoking for persons with SUD and persons without SUD.

Researchers are increasing their focus on intersectionality, or how multiple vulnerabilities may intersect in their relationship to health behaviors and psychological symptoms (Higgins et al., 2015; López and Gadsden, 2016). Studies have separately shown that SPD is more common among people who smoke cigarettes and among people who have SUDs (Kessler et al., 2005). Further, because mental disorders, cigarette smoking, and SUD often co-occur, smoking and SUD might have a synergistic or multiplicative relationship with regard to SPD (Lasser et al., 2000; Weinberger et al., 2017; Zvolensky et al., 2018). However, to date, the intersectionality of cigarette smoking and SUD in relation to SPD (i.e., whether the prevalence of SPD is greater for those with both cigarette smoking and SUDs, compared with those with either or neither cigarette smoking or SUDs) has not yet been empirically examined.

This study examined the relationship of cigarette smoking and SUD in relation to SPD in US adults. The first aim was to estimate the prevalence of SPD in 2018 (i.e., the most recent data year) by both cigarette smoking and SUD status. We hypothesized that those with both smoking and SUD would report the highest prevalence of SPD compared to smoking alone, SUD alone, or neither smoking or SUD. The prevalence of SPD by smoking and SUD was examined overall and by sociodemographics (Dube et al., 2009; Kiviniemi et al., 2011). The second aim was to investigate time trends in SPD by cigarette smoking status, stratified by SUD status, from 2008 to 2018. We hypothesized that SPD would decrease over time and that those declines in SPD would be less prominent for persons with SUD compared to those without SUD.

## 2. Methods

### 2.1 Data Source & Sample

Data came from annual, cross-sectional, nationally representative samples of the US National Survey on Drug Use and Health (NSDUH; individuals age 12+). The NSDUH has multiple modules on health and drug use, and employs multistage probability sampling and audio computer-assisted self-interviewing (Center for Behavioral Health Statistics and Quality, 2018). The current analyses included adults age 18+ who reported current daily, current non-daily, former, and never cigarette smoking (total sample 2018 n=42,963, total sample 2008-2018 combined n=441,286).

### 2.2 Measures

**2.2.1 Outcome: Serious Psychological Distress (SPD)**—Past-month SPD was measured using the 6-item Kessler Psychological Distress Scale (K6). The K6 measures nonspecific psychological distress and has shown high sensitivity and specificity for Diagnostic and Statistical Manual-4th edition (DSM-IV) diagnoses of serious mental illness other than SUD (e.g., mood, anxiety, psychotic disorders) (Kessler et al., 2002). In six questions, adult respondents were asked how often they experienced symptoms of psychological distress during the past 30 days (i.e., feeling nervous, hopeless, restless or fidgety, so sad or depressed that nothing could cheer you up, that everything was an effort, and feeling down on yourself, no good, or worthless). Each question was scored on a 5-point

Likert scale (0=none of the time and 4=all the time); total scores of  $\geq 13$  were considered positive for SPD (Streck et al., 2020).

**2.2.2 Exposure: Cigarette Smoking**—Four cigarette smoking status groups were included in these analyses: never smoking, former smoking, current daily smoking, and current nondaily smoking. Lifetime smoking was defined as smoking  $\geq 100$  lifetime cigarettes and never smoking was defined as smoking  $<100$  lifetime cigarettes consistent with the Centers of Disease Control and Prevention's definitions (Creamer, 2019). For respondents with lifetime cigarette use, current smoking was classified as smoking  $\geq 1$  cigarette in the past month and former smoking was classified as not smoking any cigarettes in the past 12 months. Respondents who smoked within the past 12 months, but  $<1$  cigarette in the past month were included in the never smoking group. For respondents with current smoking, daily smoking was defined as smoking 30 days in the past month; non-daily smoking was defined as smoking cigarettes less than 30 days in the past month consistent with past literature (e.g., Parker and Weinberger, 2020; Zvolensky et al., 2018). The median number of cigarettes per day for persons with daily smoking was 10.5 and non-daily smoking was 3.5.

**2.2.3 Moderator: Substance Use Disorder**—Respondents were classified as having a past-year SUD if they met criteria for one or more SUDs (i.e., substance abuse and/or dependence) assessed according to DSM-IV criteria (American Psychiatric Association, 1994). The NSDUH assesses SUDs for cannabis, hallucinogens, inhalants, methamphetamine, tranquilizers, cocaine, heroin, prescription pain relievers, stimulants, sedatives, and alcohol. Respondents with no past-year SUDs were classified as not having SUDs.

**2.2.4 Sociodemographics**—Sociodemographics included: sex (male/female), age (18-25 years, 26-34 years, 35-49, 50+ years), race/ethnicity (Non-Hispanic (NH) White, NH Black, Hispanic, NH Other [NH Native American/Alaskan Native, NH Hawaiian/Pacific Islander, NH Asian, NH more than one race]), annual income ( $< \$20,000$ ,  $\$20,000$ - $\$49,999$ ,  $\$50,000$ - $\$74,999$ ,  $\geq \$75,000$ ), and marital status (married, widowed/divorced/separated, never married).

**2.2.5 Statistical Analyses**—For Aim 1, past-month SPD prevalence in 2018 was estimated for individuals with current daily, current non-daily, former, and never cigarette smoking by SUD status (SUD, No SUD) for the overall sample and by sociodemographic subgroups. For Aim 2, logistic regression models tested the association between cigarette smoking status and past-month SPD from 2008-2018. Additional logistic regression models examined linear time trends of SPD with 1) continuous year as the predictor that were stratified by SUD status (presence vs. absence) and 2) a two-way interaction between year and SUD status adjusted by cigarette status. Models with year-by-cigarette smoking status assessed differential time trends among smoking statuses, stratified by SUD status. Finally, in postestimation exploratory analysis, a three-way interaction was estimated to test whether the rapidity of SPD changes over time differed by cigarette smoking and/or SUD status. These analyses began with unadjusted models followed by models that adjusted for

sociodemographics found in Table 1; they were conducted in Stata version 16 to account for complex sampling (Stata Corp, 2019).

### 3. Results

In 2018, SPD was significantly more prevalent among persons with vs. without SUD among those with daily (29.1% vs. 9.0%), non-daily (23.2% vs. 8.6%), former (19.5% vs. 3.2%), and never (16.4% vs. 4.3%) cigarette smoking ( $p$ -values $<0.001$ ) (Table 1). Generally, SPD was greater in adults with SUD versus no SUD overall (21.1% vs. 4.8%;  $p<0.001$ ) and across most sociodemographics (Table 1). That is, SPD was more than twice as prevalent among persons with SUD compared to persons without SUD for all cigarette smoking statuses. Patterns also demonstrated that SPD was highest for persons with current smoking (daily or non-daily) compared to persons with former or never smoking ( $p$ -value $<0.001$ ) (Table 1).

In adjusted models, across all cigarette smoking statuses, the prevalences of SPD significantly increased between 2008 and 2018 for all adults, especially those with SUD (AOR=1.07; 95% CI: 1.06, 1.09; adults with no SUD AOR=1.03; 95% CI: 1.02, 1.04). Increases in SPD over time were higher for those with SUD versus no SUD ( $p$ -value $<0.001$ ). SPD prevalence increased over time for those with both SUD and daily smoking (AOR=1.08; 95% CI: 1.06, 1.11) and SUD and non-daily smoking (AOR=1.07; 95% CI: 1.05, 1.10), as well as those with SUD and either former smoking (AOR=1.08; 95% CI: 1.04, 1.12) or never smoking (AOR=1.06; 95% CI: 1.04, 1.09). The increase in SPD over time was more rapid among those with non-daily smoking than daily smoking for persons *without* SUD ( $p$ -value=0.05), but not for those with SUD ( $p$ -value=0.79; Figure 1). In the three-way interaction models, increases in SPD over time were not significantly different for persons by cigarette smoking and SUD status ( $p$ -value=0.52). Still, in the two-way interaction, SPD estimates for persons with SUD were significantly different than those for those with no SUD ( $p$ -value $<0.001$ ), beyond the effect of cigarette status.

### 4. Discussion

SPD varied significantly by SUD status and cigarette smoking status such that SPD was between three and five times higher for those with SUD compared to those without SUD across all smoking statuses. These patterns were found for the full sample as well as nearly every sex, age, race/ethnicity, income, and marital status subgroup. While SPD increased from 2008 to 2018 among US adults, the prevalence of SPD was highest for those with SUD and daily cigarette smoking with a quarter or more these adults reporting SPD. SPD increased over time for all smoking statuses. Increases in SPD over time were higher for persons with SUD than persons without SUD. For those without SUD, the fastest increases in SPD prevalence across years were observed among those with non-daily smoking compared to daily smoking.

These results extend our knowledge from the limited prior studies of SPD and cigarette smoking. There has been an increase in the proportion of people who smoke who use cigarettes on a non-daily basis (Hassmiller et al., 2003) and non-daily smoking is increasing

among people with mental health and substance use in the US (Weinberger et al., 2018). A prior report evaluating SPD by smoking status over a 6-year period in the US found that the increase in SPD over time was more rapid among persons with non-daily smoking than daily smoking (Zvolensky et al., 2018); we found this to be the case only among persons without SUD.

Our findings also suggest more elevated SPD among smokers versus non-smokers, consistent with studies conducted among adults, pregnant individuals, and members of the LGBTQ+ community (Leung et al., 2011; Sivadon et al., 2014; Varescon et al., 2013), and that SPD increased across survey years with greater increases among those with SUDs. The prevalence of SPD being significantly higher among people with vs without SUD is consistent with two cohort studies which have demonstrated a unidirectional relationship between SUD and SPD, including risk for long term psychological distress (Danielsson et al., 2016; Green et al., 2012). While there are correlates of SPD (e.g., health and medical co-morbidities; (Weissman et al., 2015)) and environmental factors (e.g., increases in stress over time; (American Psychological Association, 2019)) that may play a role in increasing SPD, the reasons for the increase in SPD over time, and higher prevalence of SPD among those with SUD are still not clear and an important area for future research. This study also extends previous work to examine the intersection of SPD, cigarette smoking, *and* SUD over an 11-year period. Adding more recent years, we found that SUD is driving the differences in SPD over time for all smoking statuses. In other words, increases over time were similar for daily and non-daily smokers compared to former or never smokers within each SUD category (i.e., SUD vs. non-SUD), but different between each SUD category. These findings suggest the benefits of continuing to examine the relationship of multiple risk factors in relation to mental health variables like SPD to identify groups in most need of intervention efforts.

Among the strengths of this study is the generalizability of its findings, given that data are nationally representative of US adults. However, the NSDUH does not include some subgroups such as those in prisons, hospitals, and treatment centers and the relationship of cigarette smoking and SUD to SPD would need to be examined in these subgroups. Importantly, this was a cross-sectional rather than a longitudinal study, so results limit our knowledge regarding the timing and directionality of SPD, cigarette smoking, and SUD (e.g., changes in SPD following changes in cigarette and/or substance use). In addition, due to the data available, cigarette smoking and SPD were measured for the past month, while SUD was measured for the past year. It should also be noted that this study focused on cigarettes and did not examine other tobacco products that might be related to SPD (Weinberger et al., 2020).

Rapid increases in SPD among those with non-daily smoking but no SUD, and the highest prevalences of SPD existing among those with both cigarette smoking and SUD, may reflect an opportunity for targeted outreach to improve mental health. Since SPD, cigarette smoking, and SUD all confer increased risk of negative health consequences, it may be beneficial to target persons with mental health problems by addressing their cigarette smoking and SUD in mental health treatment. One way would be incorporating a broad psychiatric symptom assessment into cigarette smoking cessation or SUD treatment

programs as SPD is associated with psychological diagnoses and is considered a proxy for serious mental illness (Kessler et al., 2010, 2003; Payton, 2009). Alternatively, when assessing and treating individuals for cigarette smoking and SUD, providers might also screen for psychiatric symptoms.

While SPD and SUD have been associated with poorer cigarette smoking quit ratios (Parker et al., 2020; Streck et al., 2020), those who use drugs (e.g., cannabis) and achieve smoking cessation evidence decreases in mental health symptoms (Hser et al., 2017). It will be useful to prospectively examine whether treatment for cigarette smoking and/or SUD leads to improvements in mental health outcomes or whether integrating mental health treatment into smoking cessation and SUD programs would improve mental health outcomes. Future research in clinical samples should explore whether treatments targeting serious mental illness have differential outcomes by demographic subgroups. Public health interventions to reduce the prevalence and consequences of SPD may benefit from targeting individuals who use of tobacco and other substances and integrating efforts into tobacco and substance use treatment settings.

In conclusion, SPD prevalence has increased over time, and adults with a comorbid SUD vs. no SUD exhibited the steepest increases after accounting for the effect of cigarette status. The highest prevalence of SPD was among persons with daily cigarette smoking and SUD, which indicates that disparities in SPD have not decreased and remain for persons with smoking, especially for those with SUD. Future studies that can more closely examine the reasons or mechanisms by which SPD is increasing in this population may help to provide targets for treatment and prevention.

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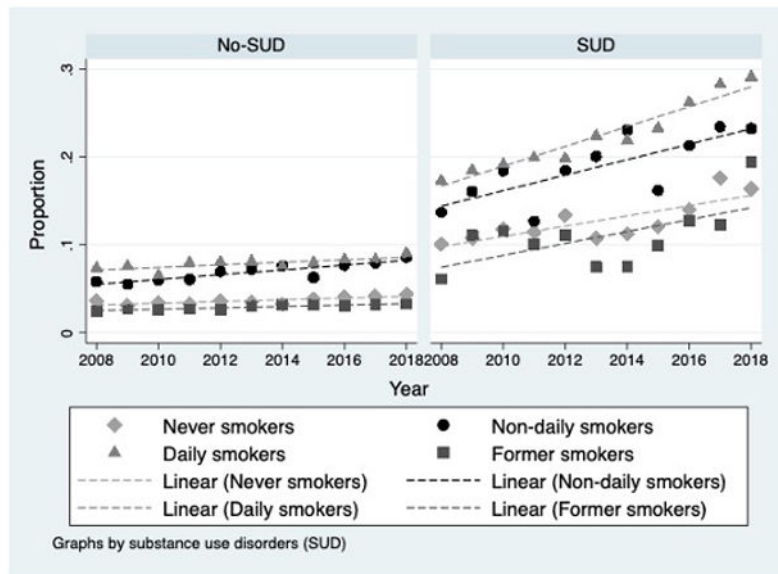
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**HIGHLIGHTS**

- We examined the intersectionality of SPD, cigarette smoking, and SUD for US adults.
- SPD was more prevalent among adults in each smoking group with SUD vs without SUD.
- SPD increased over time for all smoking statuses, especially individuals with SUD.



**Figure 1.** Prevalence of serious psychological distress by cigarette smoking status, stratified by substance use disorders from 2008 to 2018. Data from the National Survey on Drug Use and Health (adults age 18+, n=441,286).

Prevalence of serious psychological distress<sup>a</sup> by cigarette smoking status and substance use disorders (SUD; weighted %, standard error).<sup>b</sup> Data from the 2018 National Survey on Drug Use and Health (adults age 18+, n=42,963).

**Table 1.**

	Never cigarette smoking (n = 28,108)		Former cigarette smoking (n = 6,703)		Non-daily cigarette smoking (n = 3,195)		Daily cigarette smoking (n = 4,957)	
	SUD	No-SUD	SUD	No-SUD	SUD	No-SUD	SUD	No-SUD
Total sample	16.4 (1.1)	4.3 (0.1)	19.5 (2.0)	3.2 (0.3)	23.2 (2.0)	8.6 (0.9)	29.1 (2.3)	9.0 (0.7)
Sex/Gender								
Female	24.9 (2.3)	4.8 (0.2)	29.4 (4.2)	4.5 (0.5)	17.5 (2.2)	11.9 (1.3)	35.0 (3.8)	11.8 (1.1)
Male	10.3 (1.1)	3.7 (0.2)	15.2 (2.3)	2.2 (0.3)	33.9 (4.3)	5.9 (1.1)	25.9 (2.8)	6.1 (0.6)
Age, years								
18-24	23.0 (1.4)	11.0 (0.4)	25.2 (4.3)	12.8 (1.4)	30.4 (2.4)	16.5 (2.0)	35.6 (3.7)	15.1 (1.8)
25-34	16.4 (2.7)	4.7 (0.3)	20.2 (4.1)	5.6 (0.7)	25.0 (4.4)	8.9 (1.6)	32.8 (3.8)	14.1 (1.4)
35-49	11.3 (2.4)	3.2 (0.3)	20.0 (3.8)	3.3 (0.5)	15.4 (3.4)	7.0 (1.1)	29.7 (3.9)	8.6 (0.9)
50+	7.3 (3.1)	2.3 (0.3)	17.0 (4.0)	2.5 (0.4)	19.8 (6.2)	6.7 (1.1)	20.3 (5.8)	6.1 (1.0)
Race/ethnicity								
White, non-Hispanic	15.5 (1.4)	4.2 (0.2)	17.2 (2.6)	3.3 (0.3)	23.5 (2.5)	10.5 (1.4)	29.6 (2.6)	9.0 (0.8)
Black, non-Hispanic	21.6 (3.4)	4.5 (0.4)	31.0 (12.0)	2.2 (0.8)	22.7 (5.0)	6.0 (1.6)	21.0 (6.0)	8.0 (1.9)
Other, non-Hispanic	16.6 (3.8)	4.2 (0.6)	19.1 (7.0)	1.7 (0.7)	18.1 (5.3)	7.7 (2.4)	29.8 (6.9)	7.0 (1.8)
Hispanic	15.7 (2.8)	4.8 (0.3)	25.3 (7.0)	4.1 (0.9)	24.9 (5.5)	4.8 (1.2)	32.5 (7.1)	11.7 (2.1)
Annual Income								
<\$20,000	24.6 (3.0)	7.8 (0.5)	29.3 (8.6)	8.3 (1.2)	31.5 (4.2)	11.8 (2.0)	32.1 (4.0)	16.7 (2.0)
\$20,000-\$49,999	20.7 (2.4)	5.0 (0.3)	28.7 (4.6)	3.2 (0.4)	28.8 (5.1)	7.9 (1.4)	30.5 (3.5)	8.4 (1.0)
\$50,000-\$74,999	15.7 (2.6)	3.7 (0.4)	14.3 (6.0)	3.3 (0.8)	17.5 (4.6)	7.4 (1.9)	28.7 (6.9)	5.4 (1.2)
\$75,000+	9.8 (1.3)	2.9 (0.2)	13.2 (3.2)	2.0 (0.4)	14.0 (2.8)	6.8 (1.3)	22.9 (3.6)	5.3 (0.8)
Marital Status								
Married	15.9 (2.7)	28.3 (1.9)	12.1 (3.4)	2.2 (0.4)	8.1 (2.1)	5.5 (1.3)	25.0 (4.0)	7.3 (1.0)
Widowed/Divorced/Separated	12.1 (3.0)	12.5 (1.5)	27.6 (5.8)	4.2 (0.7)	28.5 (5.0)	9.6 (1.9)	27.3 (5.5)	8.9 (1.2)
Never married	71.9 (3.8)	59.1 (2.2)	23.5 (3.2)	6.1 (1.0)	27.7 (2.8)	10.7 (1.3)	32.0 (3.1)	11.6 (1.2)

<sup>a</sup>Serious Psychological Distress was assessed using the Kessler Psychological Distress Scale (K6) screening instrument and defined as a score of 13 or higher.

<sup>b</sup>Percentages may not sum to 100% due to rounding.