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## Nicotine consumption is regulated by a human polymorphism in dopamine neurons

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### Abstract

Smoking is the most important preventable cause of morbidity and mortality worldwide. Recent genome-wide association studies highlighted a human haplotype on chromosome 15 underlying the risk for tobacco dependence and lung cancer. Several polymorphisms in the CHR3-CHR5-CHRN4 cluster coding for the nicotinic acetylcholine receptor (nAChR)  $\alpha 3$ ,  $\alpha 5$  and  $\beta 4$  subunits were implicated. In mouse models, we define a key role in the control of sensitivity to nicotine for the  $\alpha 5$  subunit in dopaminergic (DAergic) neurons of the ventral tegmental area (VTA). We first investigated the reinforcing effects of nicotine in drug-naïve  $\alpha 5^{-/-}$  mice using an acute intravenous nicotine self-administration task and *ex vivo* and *in vivo* electrophysiological recordings of nicotine-elicited DA cell activation. We designed lentiviral re-expression vectors to achieve targeted re-expression of wild-type or mutant  $\alpha 5$  in the VTA, in general, or in DA neurons exclusively. Our results establish a crucial role for  $\alpha 5^*$ -nAChRs in DAergic neurons.

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### CONFLICT OF INTEREST

The authors declare no conflict of interest.

These receptors are key regulators that determine the minimum nicotine dose necessary for DA cell activation and thus nicotine reinforcement. Finally, we demonstrate that a single-nucleotide polymorphism, the non-synonymous  $\alpha 5$  variant rs16969968, frequent in many human populations, exhibits a partial loss of function of the protein *in vivo*. This leads to increased nicotine consumption in the self-administration paradigm. We thus define a critical link between a human predisposition marker, its expression in DA neurons and nicotine intake.

### Keywords

dopamine system; human polymorphisms; *in vivo* electrophysiology; lentiviral vectors; mouse models; nicotinic receptor; nicotine self-administration; smoking

## INTRODUCTION

Nicotine addiction is the single most important preventable cause of morbidity and mortality worldwide (World Health Organization, [http://www.who.int/tobacco/statistics/tobacco\\_atlas/en/](http://www.who.int/tobacco/statistics/tobacco_atlas/en/)). Current smoking cessation medications are only moderately successful and novel drug targets need to be defined.<sup>1,2</sup> Genome-wide association studies have recently identified a strong link between increased vulnerability to tobacco addiction and risk of lung cancer in humans, and a haplotype on chromosome 15 encompassing the *CHRNA3/A5/B4* gene cluster coding for subunits of the nicotinic acetylcholine receptor (nAChR).<sup>1-5</sup>

These subunits are expressed in discrete regions of the mammalian central nervous system, including the cerebral cortex, cerebellum, thalamus, striatum, hippocampus, substantia nigra, interpeduncular nucleus, ventral tegmental area (VTA) and medial habenula (mHb).<sup>6-9</sup> Among these structures, VTA, mHb and interpeduncular nucleus are of particular interest.<sup>10</sup> Recent evidence indicates a critical involvement of the  $\alpha 5^*$ -nAChR subunit in neurons connecting the mHb to the interpeduncular nucleus (habenulo-interpeduncular pathway) in two rodent models of nicotine addiction. This pathway is thought to be implicated in signaling the aversive properties of nicotine.<sup>8,11</sup> It is well established that nicotine shares with other addictive drugs the ability to activate the dopaminergic (DAergic) neurons of the VTA. Those neurons project to, and release DA in the nucleus accumbens (mesolimbic DA neurons), an effect underlying their rewarding and addictive properties of drugs of abuse.<sup>1,12-14</sup> Although the  $\alpha 5$  subunit is expressed in 80% of DAergic neurons,<sup>7,9</sup> there are no data so far implicating  $\alpha 5$  in VTA DA neuron function or reinforcement.

## MATERIALS AND METHODS

Full Methods and any associated references are available in the Supplementary Information.

### Subjects

Male C57BL/6 J (Charles River, L'Arbresle, France),  $\alpha 5$  nAChR knockout<sup>15</sup> ( $\alpha 5^{-/-}$ ) mice and their corresponding wild-type (WT) controls were used, weighing 24–28 g.

## Drugs

For all experiments, (–)-nicotine bitartrate (Sigma, Milan, Italy) was freshly dissolved in 0.9% saline, pH adjusted to  $7.4 \pm 0.1$  (nicotine concentration,  $\mu\text{g kg}^{-1}$  per infusion free base). Dimethylphenylpiperazinium (DMPP, Sigma) was used at a concentration of 100  $\mu\text{M}$ .

## Nicotine self-administration task (intravenous self-administration task)

Nicotine-naïve mice were tested in pairs as previously described.<sup>16,17</sup>

## In vivo electrophysiological recordings of VTA DA neurons

Single-unit extracellular recordings and nicotine tartrate injection into the saphenous vein were performed as described.<sup>14,18,19</sup>

## Ex vivo electrophysiological recordings of VTA DA neurons

Slice recordings were performed as detailed in Supplementary Information.

## Lentiviral expression vectors

Mice aged 10–12 weeks were injected bilaterally into the VTA as detailed in Supplementary Information. The lentiviral expression vectors are derived from the pHR's expression vectors first described by Naldini *et al.*,<sup>20</sup> with several subsequent modifications.<sup>19,21,22</sup> To create the conditional lentivectors, a previously described sub-cloning strategy was used.<sup>14,19,21,22</sup>

## Data analysis

**Behavioral data.**—The number of nose pokes (NPs) for both A and P mice in each treatment group was analyzed, first with a Shapiro test, then with two-way analysis of variance to evaluate effects of the drug delivery mode, unit dose and interactions between group and drug dose. Student's *t*-tests were used for *post hoc* comparisons. The whole study was designed as a between-subjects (independent groups) experiment, because each treatment was performed on a single set of animals. Differences between the self-administration profiles of the  $\alpha 5^{-/-}$ -Lv- $\alpha 5$ WT and  $\alpha 5^{-/-}$ -Lv- $\alpha 5$ SNP (single-nucleotide polymorphism) mice were evaluated using the Student's *t*-test with repeated Bonferroni corrections.

**Electrophysiological data.**—DA cell firing was analyzed with respect to the average firing rate and the percentage of spikes within a burst.<sup>14,18,19,23,24</sup> To quantify nicotine effects, we determined the maximum of fluctuation on a 3-min period before and after injection. To study differences between WT and  $\alpha 5^{-/-}$  mouse dose-response curves, we used the Kruskal–Wallis non-parametric test. For  $\alpha 5^{-/-}$ -Lv- $\alpha 5$ WT and  $\alpha 5^{-/-}$ -Lv- $\alpha 5$ SNP analyses, we used a Wilcoxon non-paired test with Bonferroni corrections. For all analyses, statistical significance was set at  $P < 0.05$ .

## RESULTS

Nicotine is the principal psychoactive component in tobacco smoke that drives continued addiction.<sup>18,25</sup> It exerts its reinforcing effects through its action on neuronal nAChRs, a

family of pentameric ligand-gated ion channels.<sup>1,17,21,26</sup> Recent genome-wide association studies identified a series of polymorphisms composing a human haplotype. Among them, the rs16969968 SNP leads to a substitution of aspartic acid 398 by asparagine (D398N) in the human  $\alpha 5$  subunit.<sup>5</sup> It represents the only non-synonymous variant. In heterologous expression systems, the resulting protein exhibits diminished calcium permeability in high-affinity  $\alpha 4\alpha 5\beta 2^*$ -nAChRs.<sup>5,27</sup> Experimental evidence supports the potential role of  $\alpha 5^*$ -nAChRs in smoking behavior, as mice lacking functional  $\alpha 5^*$ -nAChRs ( $\alpha 5^{-/-}$ ) are less sensitive to nicotine-elicited behaviors.<sup>15,28,29</sup> They also exhibit increased self-administration of high nicotine doses in a chronic procedure that assesses the maintenance of drug taking.<sup>8</sup> We have used an acute intravenous self-administration task (IVSA), schematically presented in Figure 1a, to address the initiation of drug-taking behavior.<sup>17</sup> In this paradigm, both the 'active' (A) and the 'passive' (P) drug-naïve mice are exposed at the same time to the same amount of nicotine that is injected contingently on each NP of the A mouse. Thus, the A mouse is able to associate its NP activity with nicotine delivery, whereas the P mouse is not.

Under these conditions, a nicotine concentration of  $24 \mu\text{g kg}^{-1}$  per infusion significantly increased nicotine self-administration in the WT A mice. In contrast, the same behavior required  $65 \mu\text{g kg}^{-1}$  per infusion nicotine for A  $\alpha 5^{-/-}$  mice (Figure 1b). When we considered the cumulative amount of nicotine self-administered during the 30-min IVSA session, WT mice adjusted their NP activity rate according to the different nicotine concentrations tested. Although WT mice self-administered fairly constant amounts of the drug,  $\alpha 5^{-/-}$  mice did not (Figure 1c). We previously showed that systemic nicotine reinforcement in drug-naïve mice is under the control of high-affinity nicotinic receptors in the VTA.<sup>17,21</sup> The  $\alpha 5$  subunit is strongly expressed in this DAergic nucleus underlying nicotine reinforcement.<sup>7,9,14,30</sup>

In order to address the role of the  $\alpha 5$  subunit specifically in the VTA, we used a lentiviral re-expression vector to transduce the WT or polymorphic  $\alpha 5$  subunit in the VTA of  $\alpha 5^{-/-}$  mice (Figures 2a (top), b, c), designated respectively  $\alpha 5^{-/-}$ -Lv- $\alpha 5$ WT and  $\alpha 5^{-/-}$ -Lv- $\alpha 5$ SNP mice. As in WT mice, a nicotine concentration of  $24 \mu\text{g kg}^{-1}$  per infusion significantly increased nicotine IVSA in  $\alpha 5^{-/-}$ -Lv- $\alpha 5$ WT A mice (Figure 3a, Supplementary Figures S1a and b). We observed a rightward shift of the IVSA dose-response curve between the  $\alpha 5^{-/-}$ -Lv- $\alpha 5$ WT and the  $\alpha 5^{-/-}$ -Lv- $\alpha 5$ SNP mice, as  $\alpha 5^{-/-}$ -Lv- $\alpha 5$ SNP animals started to self-administer nicotine at the  $32 \mu\text{g kg}^{-1}$  per infusion dose, which is intermediate between the  $\alpha 5^{-/-}$ -Lv- $\alpha 5$ WT ( $24 \mu\text{g kg}^{-1}$  per infusion) and the  $\alpha 5^{-/-}$  mice ( $65 \mu\text{g kg}^{-1}$  per infusion) (Figure 3a). We calculated the total nicotine intake that remained once again fairly constant in  $\alpha 5^{-/-}$ -Lv- $\alpha 5$ WT mice, but not in  $\alpha 5^{-/-}$ -Lv- $\alpha 5$ SNP mice, with a peak at  $65 \mu\text{g kg}^{-1}$  per infusion as for knockout mice. As expected, re-expressing enhanced green fluorescent protein (eGFP) in the VTA of  $\alpha 5^{-/-}$  mice did not result in any modification of behaviour (Supplementary Figure S1c).

Thereafter, to address and ascertain the specific role of  $\alpha 5^*$ -nAChRs in VTA DA cells, a DA cell-specific expression system was generated similar to Tolu *et al.*<sup>14,22</sup>  $\alpha 5^{-/-}$ -DAT<sup>Cre</sup> mice were generated by crossing  $\alpha 5^{-/-}$  with DAT-Cre expressing transgenic mice. These mice were injected with a Cre recombinase-dependent conditional lentiviral expression vector

to drive  $\alpha 5$ WT or  $\alpha 5$ SNP exclusively in VTA DA neurons (Figure 2a, bottom). Selective re-expression of WT  $\alpha 5$  subunit in VTA DA neurons was sufficient to induce nicotine reinforcement for a low nicotine dose. We also observed a rightward shift of the IVSA dose-response curve between  $\alpha 5^{-/-}$ -DATCre-Lv- $\alpha 5$ WT and  $\alpha 5^{-/-}$ -DATCre-Lv- $\alpha 5$ SNP mice (Figure 3b). The first line self-administered nicotine at the 24  $\mu\text{g kg}^{-1}$  per infusion dose, but not the second. Conversely, the  $\alpha 5^{-/-}$ -DATCre-Lv- $\alpha 5$ SNP mice self-administered nicotine at the 65  $\mu\text{g kg}^{-1}$  per infusion dose, whereas  $\alpha 5^{-/-}$ -DATCre-Lv- $\alpha 5$ WT did not, similar to the results obtained with the generalized re-expression in the VTA (see above). When total nicotine intake was examined, mice expressing WT  $\alpha 5$  in DA cells self-administered constant amount of nicotine, whereas mice expressing the  $\alpha 5$  SNP did not. The amounts self-administered at the 24 and 65  $\mu\text{g kg}^{-1}$  per infusion doses were identical between mice with either generalized or DA-specific expression.

These clear-cut behavioral data made us aware that the  $\alpha 5$  would have a major role specifically in DA neurons, and that the human polymorphism alters this function. Therefore, DA cell responses to nicotine were assessed by *in vivo* electrophysiological recordings. We first analyzed the spontaneous activity of VTA DA cells in WT and mutant mice, and found no differences in the spontaneous firing rate or percentage of spike within bursts (Supplementary Figures S2a and b). Systemic administration of nicotine resulted in a rapid and pronounced increase in firing rate in both WT and  $\alpha 5^{-/-}$  mice (Figure 4a). In both cases, the nicotine-elicited mean firing rate increased with nicotine concentration (Figure 4b). However, while 15  $\mu\text{g kg}^{-1}$  nicotine was sufficient to trigger an increase of WT DA neuron firing frequency, 120  $\mu\text{g kg}^{-1}$  nicotine was necessary to activate  $\alpha 5^{-/-}$  DA cells (Figure 4c). This result correlates with the shift observed in the nicotine IVSA task. Similar results were obtained when analyzing nicotine-elicited modification of bursting activities (Supplementary Figures S2c and d). Thus,  $\alpha 5^*$ -nAChRs are key in defining the minimal nicotine dose initiating a DA response and thus reinforcement.

We then analyzed the mice with targeted re-expression of WT and SNP  $\alpha 5$  subunit. First, to exclude any potential effect of the SNP in the translation, trafficking, surface expression or affinity to nicotine of the 'mutant' subunit, we carried out slice electro-physiology on vectorized mice. As expected,  $\alpha 5^{-/-}$ -Lv- $\alpha 5$ WT mice displayed a WT profile in response to a saturating dose of DMPP (100  $\mu\text{M}$ ) (Figure 5a). At a saturating dose of DMPP (100  $\mu\text{M}$ ), no differences in amplitude of current were observed between WT,  $\alpha 5^{-/-}$ -Lv- $\alpha 5$ WT and  $\alpha 5^{-/-}$ -Lv- $\alpha 5$ SNP (Figure 5a) mice. This serves as a control to confirm comparable amounts of  $\alpha 5$  protein expression between each lentivector used.

We then analyzed the mice with generalized VTA expression. First of all, re-expressing eGFP in the VTA of  $\alpha 5^{-/-}$  mice did not result in any modification of the nicotine-evoked response (Supplementary Figure S3a). Similar to WT mice,  $\alpha 5^{-/-}$ -Lv- $\alpha 5$ WT mice exhibited an increase in firing (Figure 5b) and bursting (Supplementary Figure S3b) in response to a 15  $\mu\text{g kg}^{-1}$  nicotine dose. Confirming this phenotype,  $\alpha 5^{-/-}$ -Lv- $\alpha 5$ SNP DA cells required twice the nicotine dose to exhibit activation in response to an injection compared with cells from  $\alpha 5^{-/-}$ -Lv- $\alpha 5$ WT mice (Figure 5b and Supplementary Figure S3b for bursting analysis). Thus, the D398N SNP, a risk factor for nicotine dependence and lung cancer, induces a partial loss of function in the DA system. We then confirmed that DA neuron-

specific expression of WT and mutant  $\alpha 5$  is sufficient to confer the same response profiles. *In vivo* recordings of DA cells showed that twice the dose of nicotine is required to elicit DA cell activation for  $\alpha 5^{-/-}$ -DAT<sup>Cre</sup>-Lv- $\alpha 5$ SNP as compared with  $\alpha 5^{-/-}$ -DAT<sup>Cre</sup>-Lv- $\alpha 5$ WT mice, similar to the results obtained using ubiquitous expression in the VTA (Figure 5c and Supplementary Figure S3c for burst analysis).

These observations support our general conclusion that  $\alpha 5^*$ -nAChRs specifically in VTA DA cells drive sensitivity to nicotine reward. In addition,  $\alpha 5$  SNP expression leads to a partial loss of function, thereby increasing the dose of nicotine that animals perceive as rewarding. Our data establish that  $\alpha 5^*$ -nAChRs located in the VTA are crucial determinant of the minimal dose for nicotine reinforcement, and hence nicotine intake.

## DISCUSSION

We have comprehensively analyzed the role of the  $\alpha 5$  nAChR subunit in the DA system. Using *ex vivo* and *in vivo* models for electrophysiological recordings and nicotine self-administration behavior, we demonstrate that the  $\alpha 5$  subunit has a critical role in defining the sensitivity of the VTA DA system to nicotine. This has a direct and immediate consequence for nicotine reinforcement. Furthermore, we demonstrate a direct link between the  $\alpha 5$ SNP, which induces a partial loss of function and increased nicotine intake.

### An unexpected role for the $\alpha 5$ subunit

We have defined novel major, largely unexpected roles for  $\alpha 5$  nAChR subunit. Although it does not contribute to the nicotine binding site,<sup>26,31,32</sup> as it can function only as an accessory subunit, its deletion leads to a dramatic shift in several nicotine-elicited functions in the brain. This loss of function can be demonstrated by changes in slice and *in vivo* electrophysiological responses to nicotine or nicotinic agonist exposure. A dramatic shift to high nicotine doses in an IVSA paradigm is also observed. These outcomes are entirely dependent on the presence of  $\alpha 5$  in VTA DA cells. Normal responses are restored by targeted, generalized lentiviral re-expression in all VTA cells, but also in VTA DA cells, specifically. These data are in accordance with the finding that in the VTA,  $\alpha 5$  mRNA is significantly more prevalent in DA cells than in GABA cells.<sup>7</sup> They also clearly demonstrate that, surprisingly,  $\alpha 4\beta 2$  nAChRs that do not comprise  $\alpha 5$  have a minor role in the nicotine-evoked response of VTA DA cells, and that the functional contribution of  $\alpha 5$  is critical. This is a novel, specific role for a nicotinic receptor subunit. Previous analyses of nAChR genes, for example  $\beta 2$ ,  $\alpha 4$  or  $\alpha 6$ , indicated an all-or-none phenotype associated with a receptor subunit, that is, the function was entirely lost.<sup>14,17,19,33</sup> Alpha5 is different in the sense that, while not essential for receptor function, it can powerfully ‘modulate’ the nicotine sensitivity of the DAergic system.

### Relation to previous work

Our work complements and considerably extends previous studies that begun to dissect the frequent human haplotype on chromosome 15. Fowler *et al.*,<sup>8,34</sup> observed that  $\alpha 5^{-/-}$  mice exhibit an increase in IVSA for high nicotine doses, but no modifications at low doses, and found no strong evidence for a role in reward. They proposed that  $\alpha 5^*$ -nAChRs in

the mHb trigger an inhibitory motivational signal limiting nicotine intake of high nicotine doses. Together with our previous work,<sup>35</sup> those results potentially identify the habenular receptors as  $\alpha 3\alpha 5\beta 4^*$ -nAChRs, although careful immunoprecipitation studies only found low amounts of  $\alpha 5$  in this nucleus.<sup>36</sup> Here, we analyzed a different receptor combination in VTA. We demonstrate, using different approaches, that  $\alpha 5^{-/-}$  mice exhibit a decreased sensitivity of the DAergic system to acute nicotine injection. Therefore,  $\alpha 5^*$ -nAChRs expressed in VTA DA neurons are crucial for the control of the minimum nicotine dose necessary for DAergic activation, and thus nicotine reinforcement in nicotine-naive mice. This implies that the  $\alpha 5$  subunit has convergent roles in two different brain structures. It defines high-affinity responses to nicotine in both the mHb and the VTA DAergic system. The human SNP 'linked' to nicotine intake thus has a dual role. First, its presence in the DA system increases the minimum nicotine concentration necessary for the activation of DA neurons that underlies the reinforcing effects associated with smoking. At the same time, the partial loss of function in mHb neurons reduces the aversive properties of high nicotine doses and promotes continued use once dependence is established.

Deletion of the  $\alpha 5$  subunit resulted in a 'loss of control' of nicotine consumption at high doses, a behavior restored by selective re-expression of  $\alpha 5$  in VTA DA cells. Our recordings of VTA DA cell activity evoked by nicotine do not reveal a population responding with an inverted U-shaped curve, that is, an excitation by low doses and an inhibition by high doses of the drug. Specific populations that drive or specifically code for high doses of nicotine have thus not been identified. This is, however, not the only possible mechanism. Indeed, DA neuron firing is not the sole determinant of reinforcing or aversive effects of a drug. One quintessential feature is the actual amount of DA that is released. For nicotine, this is not alone determined by DA neuron firing but also by presynaptic nicotinic receptors in DA terminals,<sup>19</sup> and in particular  $\alpha 5^*$  nAChRs in DA terminals of the dorsal striatum.<sup>37</sup> Finally, the effects of DA are also determined by its action on the postsynaptic cell, the medium spiny neurons of the striatum or other cells in different target regions. Low or high release of DA would differentially recruit D1- and D2-type postsynaptic receptors, thus eliciting different behaviors.<sup>38</sup>

### Dissecting the role of a human non-synonymous variant

Beirut *et al.*<sup>5</sup> provided the initial analysis of the functional consequences potentially associated with the  $\alpha 5$ SNP on  $\alpha 4\alpha 5\beta 2^*$ -nAChRs. The incorporation of  $\alpha 5$ SNP into HEK293T cells transfected with  $\alpha 4\beta 2$  cDNA reduces the maximal response to a nicotinic agonist without altered  $\alpha 4\alpha 5\beta 2^*$ -nAChRs surface expression.<sup>5</sup> Also, Kuryatov *et al.*<sup>27</sup> reported that the  $\alpha 5$ SNP lowers  $\text{Ca}^{2+}$  permeability and increases acute desensitization in  $(\alpha 4\beta 2)_2\alpha 5$  nAChRs expressed in *Xenopus* oocytes. We have previously elucidated the roles of  $\alpha 4$  and  $\beta 2$  nAChRs, the main partners of  $\alpha 5$  within the VTA, in nicotine reinforcement.<sup>14,19,21</sup> Numerous studies in slices from the DAergic system, such as Tsuneki *et al.*,<sup>31</sup> describe how nicotinic receptors, particularly  $\alpha 4\beta 2^*$ -nAChRs and thus  $\alpha 4\alpha 5\beta 2$  nAChRs, mobilize extracellular and intracellular calcium in DA cells in response to nicotine exposure. Kitai and collaborators<sup>39</sup> have long demonstrated a crucial role for calcium and sodium in the slow oscillation potential and firing excitability of DA neurons. In accordance with all these evidences, our expression of the SNP in the VTA results in a

partial loss of  $\alpha 4\beta 2\alpha 5^*$  nicotine-evoked function, and yields intermediate behavioral and electrophysiological phenotypes compared with those of the  $\alpha 5^{-/-}$  mice.

Extending our findings to humans, it is known that smokers manipulate their dose of nicotine on a puff-by-puff basis to reach an optimal blood concentration that produces the desired reinforcing effect and satisfactory experience.<sup>25</sup> Our results reveal that, despite a similar response at saturating doses in slices, we could observe a partial loss of function generated by expression of polymorphic  $\alpha 5^*$ -nAChRs in  $\alpha 5^{-/-}$  mice rather than a complete inactivation. Overall, it suggests that humans expressing the D398N risk allele may smoke more because the optimal nicotine concentration required to activate the DAergic system is higher.

### Implications for drug design

When analyzing nicotine dependence, experimental research has been extensively dedicated to  $\beta 2^*$ -nAChRs and its main partners,  $\alpha 6$  and  $\alpha 4$ , and the homopentameric  $\alpha 7^*$ -nAChRs in the DAergic system.<sup>9,14,17,19,30,33,40</sup> Here the  $\alpha 5$  subunit emerges as a key determinant for sensitivity to nicotine in DA neurons. This means that the crucial pentamer responsible for nicotine effects in the cell bodies of the VTA does contain the  $\alpha 5$  subunit in addition to previously described partners. Our work shows for the first time that a nicotinic subunit is clearly associated with a shift in the sensibility to nicotine-evoked activity. This underlies the mechanism for the critical impact of SNPs on this functional modulatory subunit. This finding should pave the way for ‘personalized’ smoking cessation medication targeting the polymorphic  $\alpha 5$  subunit, for example, with a positive allosteric modulator. This strategy could restore the partial loss of function of  $\alpha 5$  in the reward system in heterozygous or homozygous carriers.

### Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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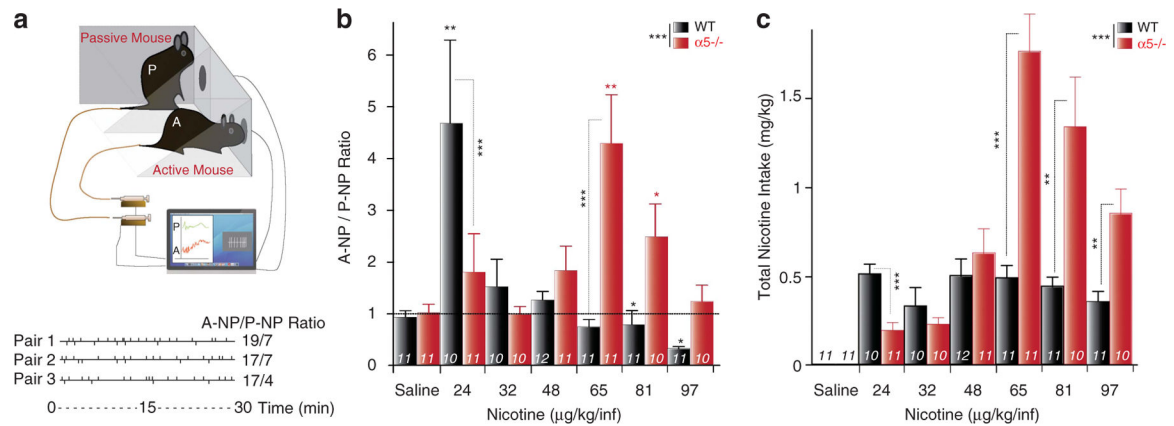
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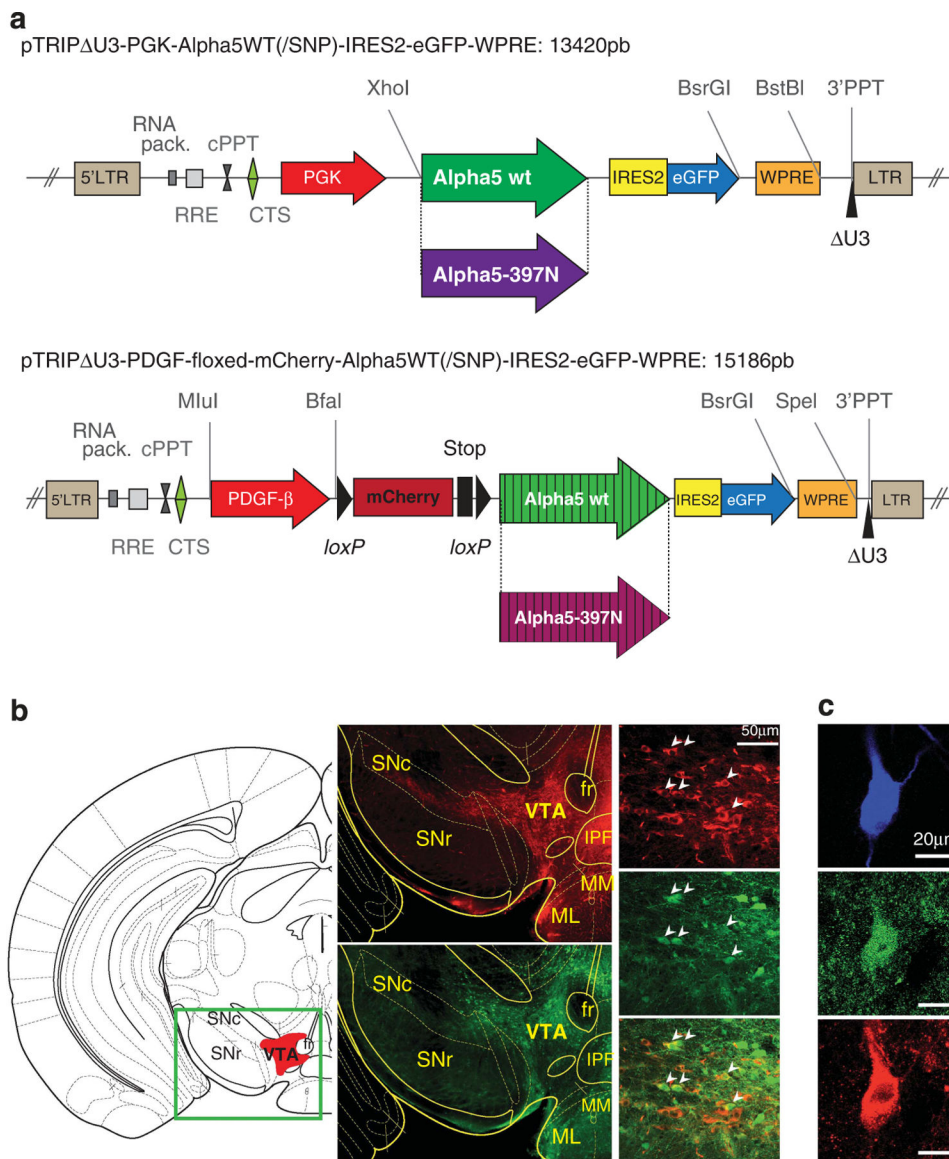
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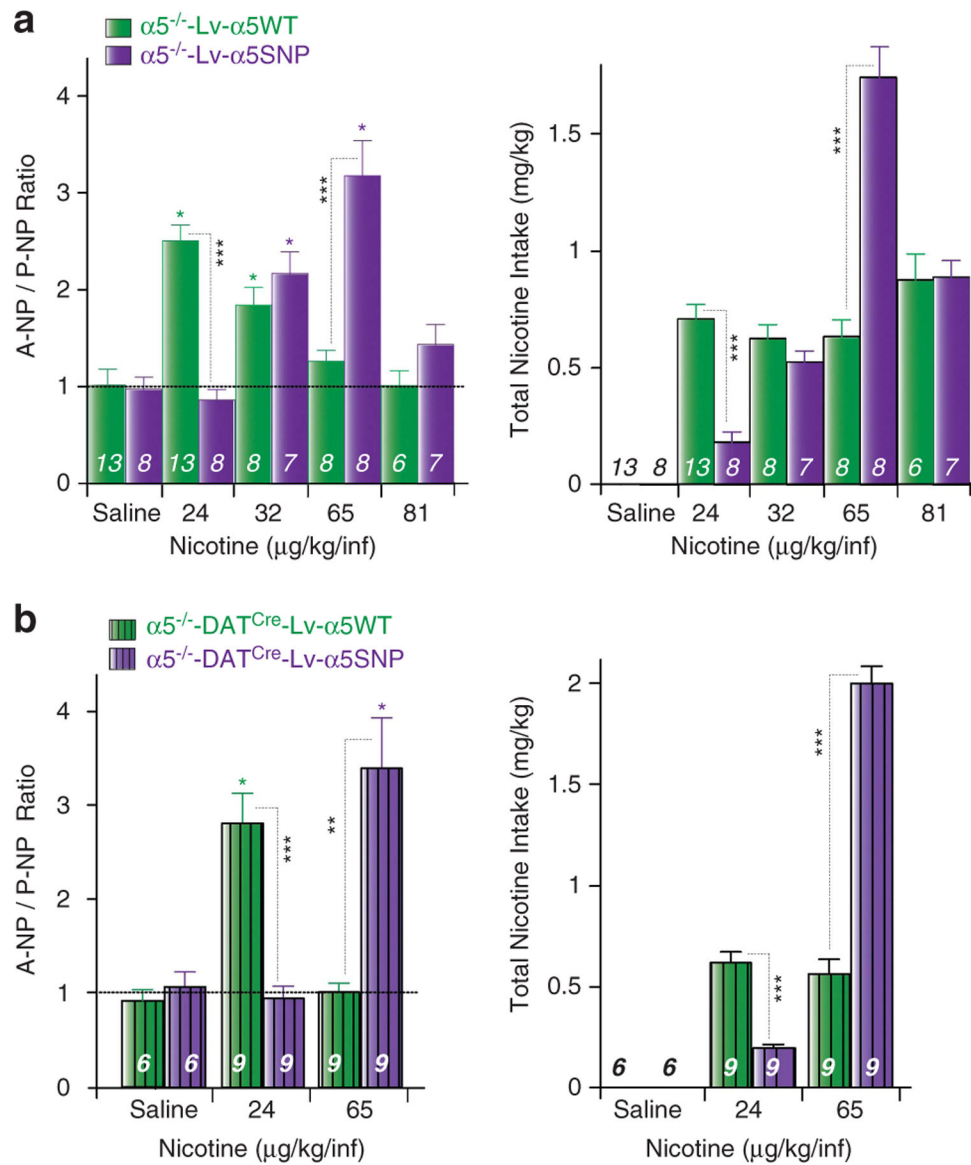


**Figure 1.**

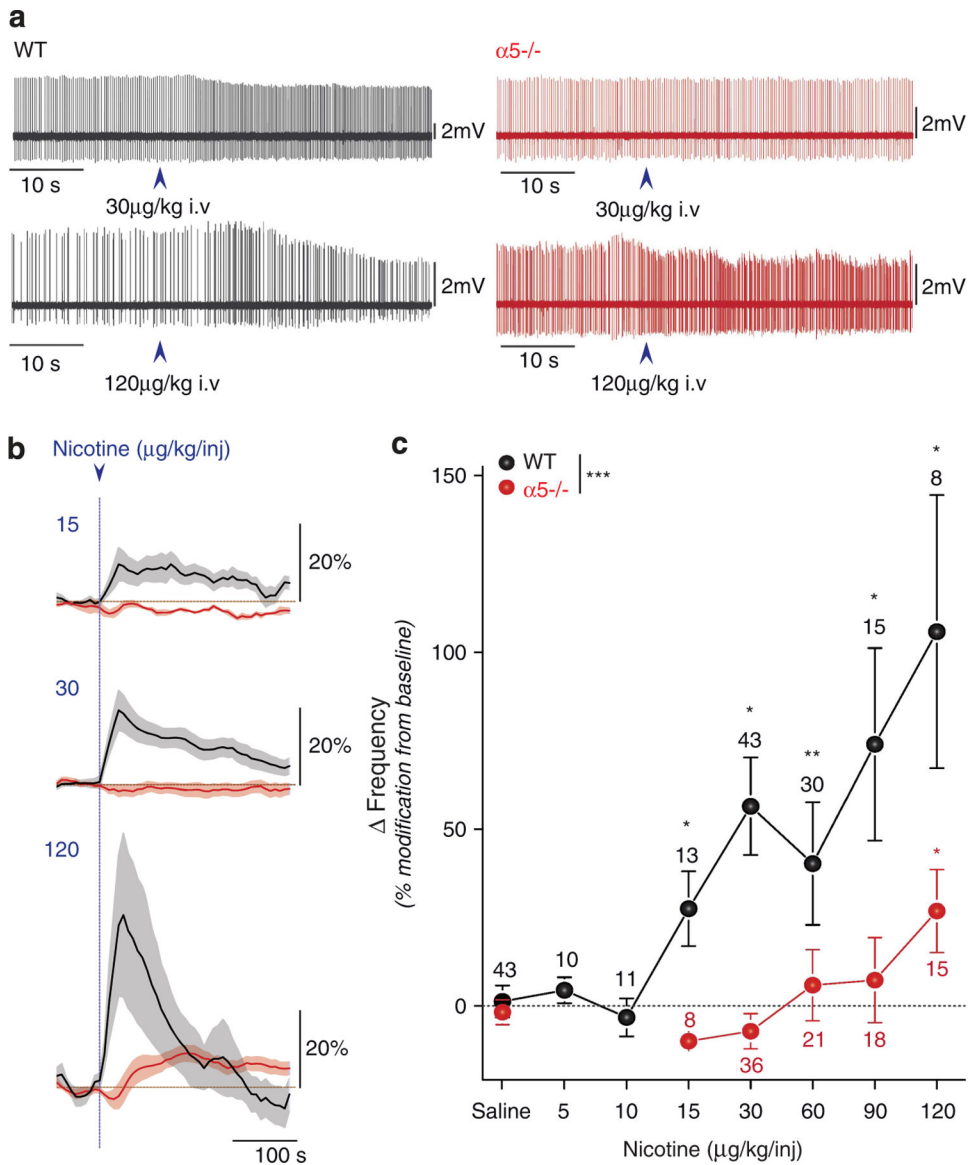
Critical role of the  $\alpha 5$  subunit in intravenous self-administration task (IVSA). **(a)** IVSA set-up. Top: Scheme of the set-up for the intravenous self-administration task (IVSA). Mice are tested in pairs. The active mouse (A) is tested for nicotine reinforcement, the passive mouse (P) is used as a control mouse. Each nose poke (NP) of the A mouse activates a computer-operated syringe pump that delivers a nicotine injection into the tail vein of both the A and the yoked P mouse. Bottom: Event records from three representative paired mice during nicotine IVSA sessions. The vertical deflections above the horizontal line mark the time of each individual NP of the active mouse (A-NP), whereas each deflection below the line represents NP of the passive mouse (P-NP), over the 30-min experimental session. **(b, c)**  $\alpha 5^{-/-}$  mice shift to higher doses in IVSA. **(b)** Mean  $\pm$  s.e.m. A-NP/P-NP ratio for wild-type (WT; black) and  $\alpha 5^{-/-}$  (red) mice self-administering different nicotine concentrations ( $\mu\text{g kg}^{-1}$  per infusion). **(c)** Mean  $\pm$  s.e.m of total nicotine intake ( $\text{mg kg}^{-1}$ ) by A mice. \*\*\* $P < 0.001$  WT vs  $\alpha 5^{-/-}$ , analysis of variance (ANOVA). \* $P < 0.05$ , \*\* $P < 0.01$  vs yoked P mice, Student *t*-test. Number of mice tested is indicated for each group.



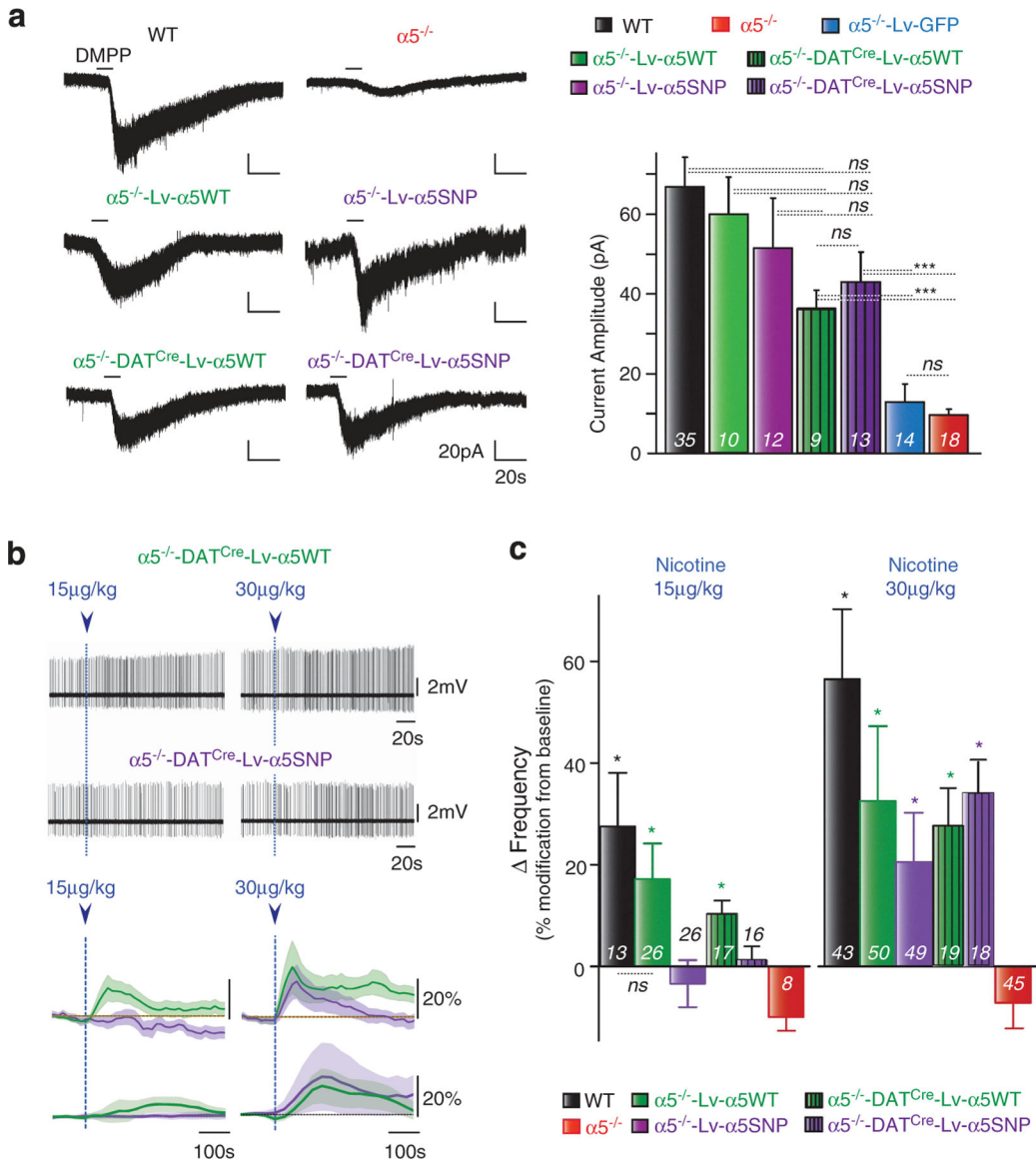
**Figure 2.** Re-expression of wild-type (WT;  $\alpha 5^{-/-}$ -Lv- $\alpha 5$ WT) and polymorphic ( $\alpha 5^{-/-}$ -Lv- $\alpha 5$ SNP)  $\alpha 5$  in the ventral tegmental area (VTA) of  $\alpha 5^{-/-}$  mice. **(a)** Scheme of lentiviral vectors, see Supplementary Information for details. **(b)** Localization of lentivirus reporter gene eGFP expression in VTA. Arrowheads indicate tyrosine hydroxylase (red) and eGFP (green) co-expression by DA cells. **(c)** Example of a recorded neuron (single plane): tyrosine hydroxylase, eGFP and biocytine identify, respectively, DA cells (red), the neuron re-expressing the  $\alpha 5$  subunit (green), and a recorded cell (blue). eGFP, enhanced green fluorescent protein.

**Figure 3.**

Intravenous self-administration task (IVSA) is restored by  $\alpha 5$ WT, but not  $\alpha 5$ SNP re-expression in DA neurons. **(a)** (Left) Mean  $\pm$  s.e.m A-NP/P-NP ratio for  $\alpha 5^{-/-}$ -Lv- $\alpha 5$ WT (green) and  $\alpha 5^{-/-}$ -Lv- $\alpha 5$ SNP (purple) mice acutely self-administering nicotine ( $\mu\text{g kg}^{-1}$  per infusion). (Right) Mean  $\pm$  s.e.m of total nicotine intake ( $\text{mg kg}^{-1}$ ) by A mice. **(b)** (Left) Mean  $\pm$  s.e.m A-NP/P-NP ratio for  $\alpha 5^{-/-}$ -DAT<sup>Cre</sup>-Lv- $\alpha 5$ WT (green) and  $\alpha 5^{-/-}$ -Lv- $\alpha 5$ SNP (purple) mice acutely self-administering nicotine ( $\mu\text{g kg}^{-1}$  per infusion). (Right) Mean  $\pm$  s.e.m of total nicotine intake ( $\text{mg kg}^{-1}$ ) by A mice. \*\*\* $P < 0.001$ , \*\* $P < 0.01$ , \* $P < 0.05$ , Student's *t*-test. *n*, number of recorded mice tested.



**Figure 4.** Nicotine-elicited increase in ventral tegmental area (VTA) DA cell firing is shifted to higher doses in  $\alpha 5^{-/-}$  mice. **(a)** Typical electrophysiological recording depicting the changes in firing pattern elicited by 30  $\mu$ g kg<sup>-1</sup> or 120  $\mu$ g kg<sup>-1</sup> intravenous (i.v.) nicotine injection (arrow) in wild-type (WT) and  $\alpha 5^{-/-}$  mice. **(b)** Mean  $\pm$  s.e.m DA cell firing frequency increase after injection of the indicated nicotine concentration, in WT and  $\alpha 5^{-/-}$  mice. **(c)** Rightward shift in the dose-response curve of nicotine-elicited DA cell activation in  $\alpha 5^{-/-}$  mice. Mean  $\pm$  s.e.m of increased variation from baseline in firing frequency for WT and  $\alpha 5^{-/-}$  mice injected with the indicated nicotine concentrations. WT: black;  $\alpha 5^{-/-}$ : red. \*\*\* $P < 0.001$ , Kruskal–Wallis test; \* $P < 0.05$ , \*\* $P < 0.01$  Wilcoxon test.  $n$ , number of recorded neurons.



**Figure 5.** Specific re-expression of  $\alpha 5^*$ -nAChRs in ventral tegmental area (VTA) cells. (a) (Left) DMPP-evoked currents in DA cells. (Right) DA cell mean  $\pm$  s.e.m of current amplitude in response to dimethylphenylpiperazinium (DMPP; 100  $\mu$ M) in wild-type (WT),  $\alpha 5^{-/-}$ -Lv- $\alpha 5$ WT,  $\alpha 5^{-/-}$ -Lv- $\alpha 5$ SNP,  $\alpha 5^{-/-}$ -Lv- $\alpha 5$ GFP,  $\alpha 5^{-/-}$ -DAT<sup>Cre</sup>-Lv- $\alpha 5$ WT,  $\alpha 5^{-/-}$ -DAT<sup>Cre</sup>-Lv- $\alpha 5$ SNP and  $\alpha 5^{-/-}$  mice. (b) (Top) Electrophysiological recording depicting the changes in firing pattern elicited by 15 and 30  $\mu$ g kg<sup>-1</sup> intravenous (i.v.) nicotine injection (arrow) in  $\alpha 5^{-/-}$ -DAT<sup>Cre</sup>-Lv- $\alpha 5$ WT and  $\alpha 5^{-/-}$ -DAT<sup>Cre</sup>-Lv- $\alpha 5$ SNP mice. (Middle) Mean  $\pm$  s.e.m DA cell firing frequency increase after injection of the indicated nicotine concentration in  $\alpha 5^{-/-}$ -Lv- $\alpha 5$ SNP,  $\alpha 5^{-/-}$ -DAT<sup>Cre</sup>-Lv- $\alpha 5$ WT and (bottom)  $\alpha 5^{-/-}$ -DAT<sup>Cre</sup>-Lv- $\alpha 5$ WT and  $\alpha 5^{-/-}$ -DAT<sup>Cre</sup>-Lv- $\alpha 5$ SNP mice. (c) Mean  $\pm$  s.e.m of maximum DA cell firing rate elicited by the indicated nicotine concentration in WT,  $\alpha 5^{-/-}$ -Lv- $\alpha 5$ WT,  $\alpha 5^{-/-}$ -Lv- $\alpha 5$ SNP,  $\alpha 5^{-/-}$ -Lv- $\alpha 5$ SNP,  $\alpha 5^{-/-}$ -DAT<sup>Cre</sup>-Lv- $\alpha 5$ WT,  $\alpha 5^{-/-}$ -DAT<sup>Cre</sup>-Lv- $\alpha 5$ SNP and  $\alpha 5^{-/-}$  mice. WT: black;

$\alpha 5^{-/-}$ -Lv- $\alpha 5$ WT: green;  $\alpha 5^{-/-}$ -Lv- $\alpha 5$ SNP: purple;  $\alpha 5^{-/-}$ -Lv- $\alpha 5$ GFP: blue;  $\alpha 5^{-/-}$ -DAT<sup>Cre</sup>-Lv- $\alpha 5$ WT: striped-green;  $\alpha 5^{-/-}$ -DAT<sup>Cre</sup>-Lv- $\alpha 5$ SNP: striped-purple;  $\alpha 5^{-/-}$ : red. \* $P < 0.05$ , Wilcoxon test, \*\*\* $P < 0.001$ , Wilcoxon test with Bonferroni corrections.  $n$ , number of recorded neurons.

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