





# Long Terminal Repeat Retrotransposon *Afut4* Promotes Azole Resistance of *Aspergillus fumigatus* by Enhancing the Expression of *sac1* Gene

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**ABSTRACT** *Aspergillus fumigatus* causes a series of invasive diseases, including the high-mortality invasive aspergillosis, and has been a serious global health threat because of its increased resistance to the first-line clinical triazoles. We analyzed the whole-genome sequence of 15 *A. fumigatus* strains from China and found that long terminal repeat retrotransposons (LTR-RTs), including *Afut1*, *Afut2*, *Afut3*, and *Afut4*, are most common and have the largest total nucleotide length among all transposable elements in *A. fumigatus*. Deleting one of the most enriched *Afut4*<sub>977-sac1</sub> in azole-resistant strains decreased azole resistance and downregulated its nearby gene, *sac1*, but it did not significantly affect the expression of genes of the ergosterol synthesis pathway. We then discovered that 5'LTR of *Afut4*<sub>977-sac1</sub> had promoter activity and enhanced the adjacent *sac1* gene expression. We found that *sac1* is important to *A. fumigatus*, and the upregulated *sac1* caused elevated resistance of *A. fumigatus* to azoles. Finally, we showed that *Afut4*<sub>977-sac1</sub> has an evolution pattern similar to that of the whole genome of azole-resistant strains due to azoles; phylogenetic analysis of both the whole genome and *Afut4*<sub>977-sac1</sub> suggests that the insertion of *Afut4*<sub>977-sac1</sub> might have preceded the emergence of azole-resistant strains. Taking these data together, we found that the *Afut4*<sub>977-sac1</sub> LTR-RT might be involved in the regulation of azole resistance of *A. fumigatus* by upregulating its nearby *sac1* gene.

**KEYWORDS** *Aspergillus fumigatus*, long terminal repeat retrotransposon, *Afut4*, azole resistance, *sac1*

**A** *Aspergillus fumigatus* is one of the most commonly encountered pathogenic fungi in the clinic. Its spores are ubiquitous, with 10 to 200 CFU/m<sup>3</sup> in the air, and are small enough (diameter, approximately 2 to 3 μm) to be spread by wind and to easily reach the host alveoli (1). *A. fumigatus* can cause serious infections and allergies (2), such as allergic bronchopulmonary aspergillosis, chronic pulmonary aspergillosis (CPA), and invasive aspergillosis. Moreover, invasive aspergillosis is considered the deadliest aspergillosis that occurs in the lungs, with a fatality rate of 30% to 95% (3, 4).

Antifungal therapy combined with immunomodulation is considered the most effective treatment option to improve aspergillosis's clinical prognosis (5). Moreover, as first-line drugs in the clinic, triazoles play an essential role in preventing and treating aspergillosis (6). However, since the first emergence of azole-resistant isolates in 1997 (7), the resistance of *A. fumigatus* to azoles has increased alarmingly and has become a significant public health problem recently (8–10).

Azoles can bind to the cytochrome P450 14α-sterol demethylase (Cyp51) to inhibit the conversion from lanosterol to ergosterol, which is an essential component of the *A. fumigatus* cell membrane (11, 12). To date, the molecular mechanisms of azole resistance of *A. fumigatus* are either *cyp51* mediated or non-*cyp51* mediated. Two paralogs of *cyp51*

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genes, *cyp51A* and *cyp51B*, have been reported in *A. fumigatus*. *cyp51A* contributes largely to azole resistance through point mutations and overexpression, whereas *cyp51B* is either functionally redundant or an alternative under particular conditions (13–15). Several point mutations in Cyp51A, such as G448S, G54A, G54W, P216L, and M220V/K/T, have been verified to be related to azole resistance by genetic reconstitution experiments (16, 17). Overexpression of *cyp51A* is usually caused by the tandem repeats (TR) in the promoter region, including TR34 and TR46 (18). Furthermore, the combinations of a TR with a specific mutation in Cyp51A, such as TR34/L98H and TR46/Y12F/T289A, were frequently found in azole-resistant *A. fumigatus* clinical samples (19). Alternatively, several non-*cyp51*-mediated azole resistance mechanisms are related to overexpression of multidrug efflux pumps, interference in ergosterol biosynthesis, stress adaptation, and biofilm formation (15, 20); however, some azole-resistance mechanisms remain to be further investigated in *A. fumigatus*.

Long terminal repeat retrotransposons (LTR-RTs) are RNA retrotransposons bound at the head and tail ends by a long terminal repeat (LTR). LTR-RTs use RNA as an intermediate in the “copy-paste” manner and have been identified as intracellular viruses (21). LTR-RTs exist throughout eukaryotic genomes, such as those of mammals, plants, and fungi, with scattered distribution and play an essential role in genome evolution (22), genomic stability (23), stress response (24), and gene regulation (25). The research on LTR-RTs of fungi mainly describe their function in response to environmental stresses in yeast, such as low oxygen and high temperature. The best-studied LTR-RT, *Ty1* in *Saccharomyces cerevisiae*, is arguably considered the best model to understand the activation of LTR-RTs by environmental stress and the impact of the activation on adjacent gene expression (26). Similar research has found that the transcription of *Tf1* in *Schizosaccharomyces pombe* was activated, and it was inserted near 32 genes under thermal stimulation, increasing the expression of six of these genes (27). Recently, the involvement of LTR-RTs in antifungal resistance was reported in several filamentous fungi. For example, a 519-bp LTR sequence was inserted into the promoter of the *mfs1* gene, leading to the multidrug resistance (MDR) phenotype in *Zygomycetia tritici* that causes wheat spot blotch (28). Similarly, LTR-RT-derived fragments induce a rearrangement in the promoter region of the superfamily transporter gene *mfsM2* in *Botrytis*, resulting in the overexpression of *mfsM2* and the MDR phenotype (29, 30).

To date, LTR-RTs of *A. fumigatus* have been found and grouped into *Afut1*, *Afut2*, *Afut3*, and *Afut4*, all of which belong to the *Ty3/Gypsy* family (31–33). Notably, the integration of *Afut4* was considered to be a recent event due to its integrity (intact open reading frames and two identical 184-bp LTRs) relative to that of *Afut1*, *Afut2*, and *Afut3* (33). However, the function of LTR-RTs is still poorly understood in *A. fumigatus*.

In this study, LTR-RTs in genomes of azole-resistant and azole-sensitive *A. fumigatus* strains were analyzed and characterized by whole-genome sequencing of 15 strains. Further, the function and regulation of LTR-RTs on azole resistance of *A. fumigatus*, especially *Afut4*, were investigated.

## RESULTS

**Loss of *Afut4*<sub>977-sac1</sub> in *A. fumigatus* STJ0105 reduced its resistance to voriconazole and posaconazole.** Whole-genome sequencing of 15 *A. fumigatus* strains from China, including 8 azole-resistant and 7 azole-sensitive strains, was performed (Table 1). In particular, all 8 azole-resistant *A. fumigatus* strains harbor the Cyp51A TR34/L98H mutation (34). Subsequently, the transposable elements (TEs) in all 15 genomes were identified and counted by RepeatModeler and classified into different families. It was shown that LTR-RTs have the greatest length of all kinds of TEs, significantly larger than the other TEs (see Fig. S1A in the supplemental material). It was found that several *Afut4* copies, one type of LTR-RTs, were significantly enriched in azole-resistant strains ( $P < 0.05$ ) (Table 2; Fig. S1B). Among them, an *Afut4* was found to be located 977 bp upstream of the *sac1* gene (phosphoinositide phosphatase *SacI*; AFUA\_4G08050), and it had the most significant enrichment with azole resistance ( $P < 0.01$ ); this specific *Afut4*<sub>977-sac1</sub> was subsequently studied. *Afut4*<sub>977-sac1</sub> is located inversely 977 bp upstream of *sac1* in

**TABLE 1** Genome features and MICs of 15 *A. fumigatus* strains

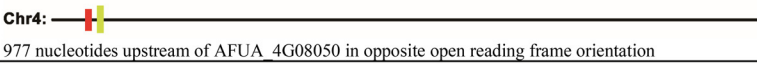
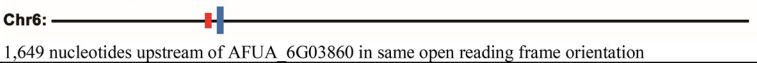
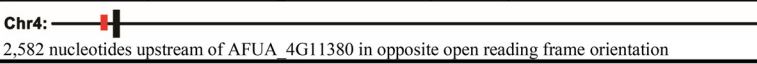
Strain	Size (bp)	No. of scaffolds	N <sub>50</sub> (bp)	No. of genes	Repeat region (%)	Cyp51A mutation(s)	MIC (mg/liter) <sup>a</sup>			Azole resistance
							ITC	VRC	POS	
C94	28,816,918	94	825,047	8,824	5.05	TR34/L98H	≥16	2	1	Resistant
C96	28,676,732	45	2,607,325	8,921	2.29	TR34/L98H/S297T/F495I	≥16	1	0.5	Resistant
C116	28,867,951	43	1,501,208	8,871	5.23	TR34/L98H	≥16	4	0.5	Resistant
XJ138	29,142,407	46	1,853,060	8,907	5.09	TR34/L98H	≥16	2	0.5	Resistant
E739	28,816,696	32	1,960,044	8,928	3.53	TR34/L98H/S297T/F495I	≥16	2	0.5	Resistant
C821	29,118,210	46	1,592,331	8,922	3.91	TR34/L98H	≥16	4	1	Resistant
C1664	28,994,183	66	1,169,047	8,859	4.30	TR34/L98H	≥16	8	1	Resistant
STJ0105	29,148,970	67	1,499,649	8,874	4.53	TR34/L98H	≥16	8	2	Resistant
C79	28,522,771	65	1,040,756	8,798	4.27	D262Y	0.25	0.5	0.06	Sensitive
C490	28,971,844	66	2,259,216	8,976	2.82	N248K	1	0.25–0.5	0.125	Sensitive
E509	28,966,977	63	2,394,484	8,984	2.99	N248K	0.5–1	0.25	0.06–0.125	Sensitive
E631	28,676,852	62	2,159,055	8,917	1.90	None	0.25	0.25–0.5	0.125	Sensitive
E691	28,758,967	88	1,247,161	8,965	1.87	None	0.25	0.5	0.25	Sensitive
E1069	28,786,365	36	3,185,189	8,917	3.24	A9T	0.25–0.5	0.5	0.125	Sensitive
E1109	28,073,344	37	2,455,631	8,772	3.16	None	0.25	0.5	0.06	Sensitive

<sup>a</sup>VRC, voriconazole; POS, posaconazole; ITC, itraconazole.

chromosome 4. In addition, the sequence between *Afut4*<sub>977-sac1</sub> and *sac1* includes the 5' untranslated region (UTR) of *sac1* and a 579-bp fragment that inserts upstream of *sac1* together with *Afut4*<sub>977-sac1</sub> (Fig. 1A and B; Fig. S3A). This *Afut4*<sub>977-sac1</sub>-flanking 579-bp fragment was found to be somewhat similar to *A. fumigatus* transposon *Taf1*, with a lot of gaps and mismatches by the BLAST algorithm optimized for somewhat similar sequences. *Afut4*<sub>977-sac1</sub> and the flanking 579-bp fragment were located together in the genome of all eight azole-resistant strains and one azole-sensitive strain but not in the other azole-sensitive strains, including Af293 and CEA17Δ*ku80* (Table 1).

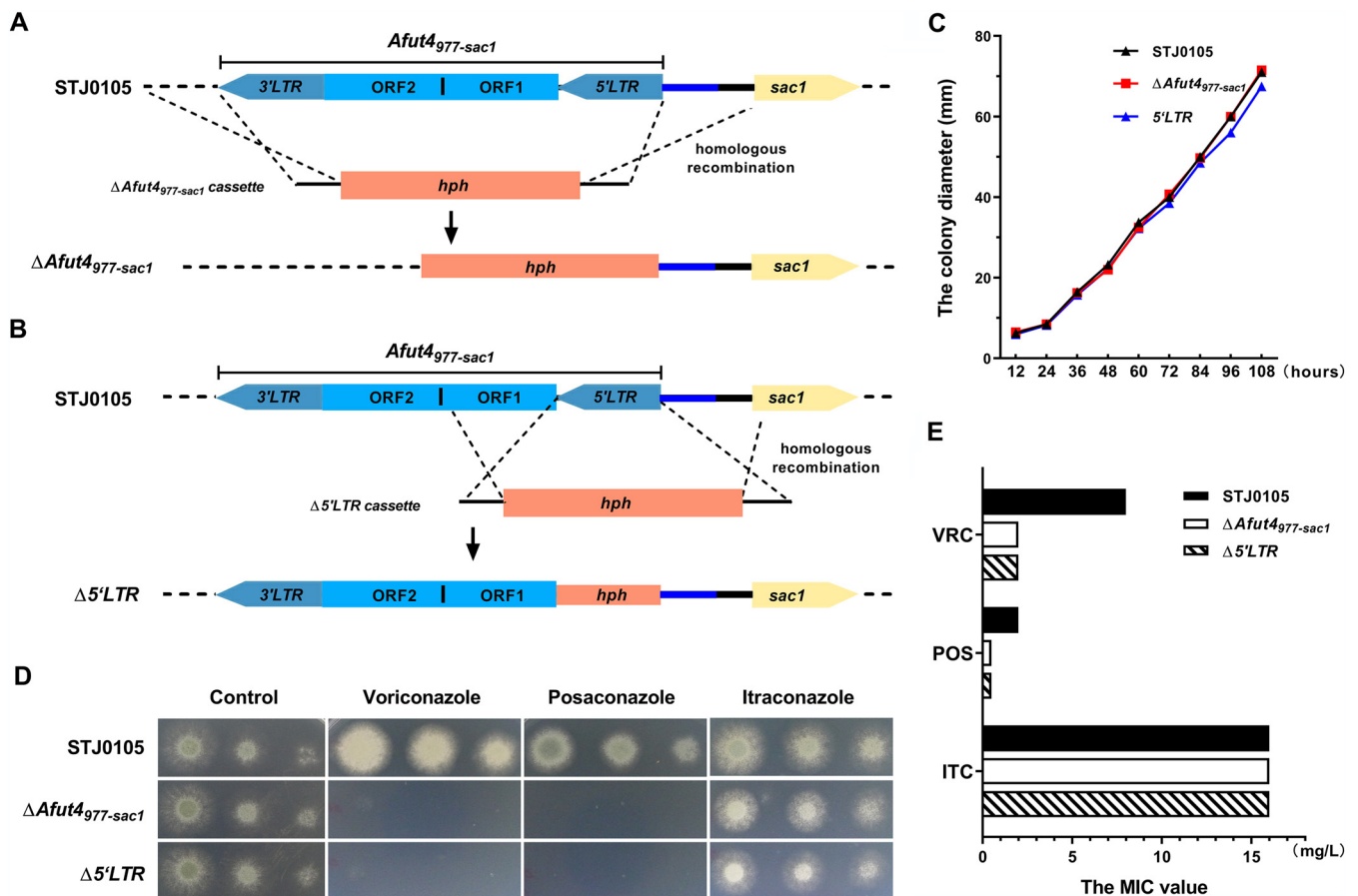
Because STJ0105 had a higher MIC value to voriconazole (VRC) and posaconazole (POS), it was chosen as a research model to explore the function of *Afut4*<sub>977-sac1</sub> insertion and its role in azole resistance (Table 1). Two mutants, Δ*Afut4*<sub>977-sac1</sub> and Δ*5'LTR* were constructed by homologous recombination. The full-length *Afut4*<sub>977-sac1</sub> was completely knocked out in Δ*Afut4*<sub>977-sac1</sub>, while in Δ*5'LTR*, the 5'LTR adjacent to *sac1* was knocked out but 3'LTR and two open reading frames (ORFs) of *Afut4*<sub>977-sac1</sub> remained untouched (Fig. 1A and B; Fig. S2A and S2B). The additional 579-bp flanking fragment between *Afut4*<sub>977-sac1</sub> and *sac1* was still kept in both Δ*Afut4*<sub>977-sac1</sub> and Δ*5'LTR*. The three strains' growth characteristics were not significantly different, and two of the mutants showed radial growth and conidiation similar to their parental strain (Fig. 1C; Fig. S2C). Intriguingly, as depicted in Fig. 1D, compared to their parental strain STJ0105, the resistance of Δ*Afut4*<sub>977-sac1</sub> and Δ*5'LTR* to VRC and POS decreased dramatically; however, no change was detected in the susceptibility of either Δ*Afut4*<sub>977-sac1</sub> or Δ*5'LTR* to itraconazole (ITC) in comparison with STJ0105. Furthermore, the MIC value of VRC for

**TABLE 2** Enrichments of different specified *Afut4* copies in azole-resistant strains

LTR-RT	Enriched strains		P value of enrichment <sup>a</sup>	Genome location <sup>b</sup>
	Resistant	Sensitive		
<i>Afut4</i> <sub>977-sac1</sub>	8/8	1/7	0.001399	<b>Chr4:</b>  977 nucleotides upstream of AFUA_4G08050 in opposite open reading frame orientation
<i>Afut4</i> <sub>1649</sub> AFUA_6G03860	5/8	0/7	0.02564	<b>Chr6:</b>  1,649 nucleotides upstream of AFUA_6G03860 in same open reading frame orientation
<i>Afut4</i> <sub>2582</sub> AFUA_4G11380	5/8	0/7	0.02564	<b>Chr4:</b>  2,582 nucleotides upstream of AFUA_4G11380 in opposite open reading frame orientation

<sup>a</sup>The enrichment of each *Afut4* insertion was based on Fisher's exact test.

<sup>b</sup>The yellow rectangle represents *sac1* (AFUA\_4G08050) in chromosome 4 (Chr4). The blue rectangle represents AFUA\_6G03860 in chromosome 6 (Chr6). The black rectangle represents AFUA\_4G11380 in chromosome 6 (Chr6). The red rectangles represent different *Afut4* copies at a different genome locus.



**FIG 1** Azole resistance of STJ0105, *ΔAfut4*<sub>977-sac1</sub>, and *Δ5'LTR*. (A and B) Schematic depiction of *ΔAfut4*<sub>977-sac1</sub> and *Δ5'LTR* by homologous recombination from STJ0105. *Afut4*<sub>977-sac1</sub> is located inversely 977 bp upstream of *sac1* in chromosome 4. The 5'LTR and 3'LTR are similar long repeats at both ends, starting at 5'-TG and ending in CA-3'. Both ORF1 and ORF2 are open reading frames of *Afut4*. The blue line is a 579-bp sequence that is inserted upstream of the *sac1* gene with *Afut4*<sub>977-sac1</sub>. The black line contains the probable 5'UTR of the *sac1* gene. (C) Colony diameters of STJ0105, *ΔAfut4*<sub>977-sac1</sub>, and *Δ5'LTR*. A total of  $5 \times 10^5$  conidia were inoculated centrally in AMM and cultured at 37°C. The colony diameter was measured every 12 h. (D) Drug plate point assay. Colony growth of STJ0105, *ΔAfut4*<sub>977-sac1</sub>, and *Δ5'LTR* in the presence of VRC (2 mg/liter), POS (0.5 mg/liter), and ITC (8 mg/liter). For the plate point assay, a 5- $\mu$ l slurry of the indicated spores from the stock suspensions ( $10^7$ ,  $10^6$ , and  $10^5$  CFU/ml) was spotted onto AMM. All plates were incubated at 37°C for 2 to 5 days. (E) MIC values for VRC, POS, and ITC, as determined by the ESCMID European Committee for Antimicrobial Susceptibility Testing (EUCAST).

*ΔAfut4*<sub>977-sac1</sub> and *Δ5'LTR* was 2 mg/liter, 4-fold lower than the MIC of 8 mg/liter for STJ0105; similarly, the MIC value of POS for *ΔAfut4*<sub>977-sac1</sub> and *Δ5'LTR* (0.4 mg/liter) was also dramatically lower than that for STJ0105 (2 mg/liter). In contrast, the MIC value of ITC for *ΔAfut4*<sub>977-sac1</sub> and *Δ5'LTR* ( $\geq 16$  mg/liter) was equal to that for STJ0105 ( $\geq 16$  mg/liter) (Fig. 1E and Table 3). It could be speculated that the MIC of itraconazole for STJ0105 caused by the TR34/L98H mutation is so high ( $\geq 16$  mg/liter), even saturated, that it might mask the change of susceptibility to itraconazole caused by the deletion of *Afut4*<sub>977-sac1</sub> or its 5'LTR. Collectively, the aforementioned data demonstrated that the lack of *Afut4*<sub>977-sac1</sub> or its 5'LTR might not affect the general growth characteristics of *A. fumigatus* but reduces the azole resistance of *A. fumigatus* to VRC and POS.

**Transcriptomic profiling of STJ0105, *ΔAfut4*<sub>977-sac1</sub> and *Δ5'LTR*.** Given the remarkable reduction of azole resistance of *ΔAfut4*<sub>977-sac1</sub> and *Δ5'LTR*, transcriptomic sequencing analyses (RNA-seq) of STJ0105, *ΔAfut4*<sub>977-sac1</sub>, and *Δ5'LTR* were performed. Compared with parental strain STJ0105, in *ΔAfut4*<sub>977-sac1</sub>, 254 genes were upregulated ( $\log_2$  fold change  $\geq 1$ ;  $Q < 0.05$ ) and 490 genes were downregulated ( $\log_2$  fold change  $\leq -1$ ;  $Q < 0.05$ ), while 88 genes were upregulated ( $\log_2$  fold change  $\geq 1$ ;  $Q < 0.05$ ) and 90 genes were downregulated ( $\log_2$  fold change  $\leq -1$ ;  $Q < 0.05$ ) in *Δ5'LTR* (Fig. 2A; Table S2). This revealed that the knockout of the shorter 5'LTR instead of full-length *Afut4*<sub>977-sac1</sub> resulted in fewer differentially expressed genes (DEGs). As shown

**TABLE 3** *A. fumigatus* constructions used in this study

Strain	Genotype	MIC (mg/liter) <sup>a</sup>			Reference or source
		ITC	VRC	POS	
$\Delta Afut4$	STJ0105 $\Delta Afut4::hph$	$\geq 16$	2	0.5	This study
$\Delta 5'LTR$	STJ0105 $\Delta 5'LTR::hph$	$\geq 16$	2	0.5	This study
CEA17 $\Delta ku80$	$\Delta ku80::pyrG^+$	0.5	0.5	0.125	da Silva Ferreira et al., 2006 (39)
<i>sac1</i> OE	$\Delta ku80 prtA::gpdA(p)::sac1$	0.25	0.25	0.0625	This study
<i>sac1</i> <sub>tetOn</sub>	$\Delta ku80 sac1(p)::ptrA-tetOn::sac1$	2	2	0.5	This study

<sup>a</sup>VRC, voriconazole; POS, posaconazole; ITC, itraconazole.

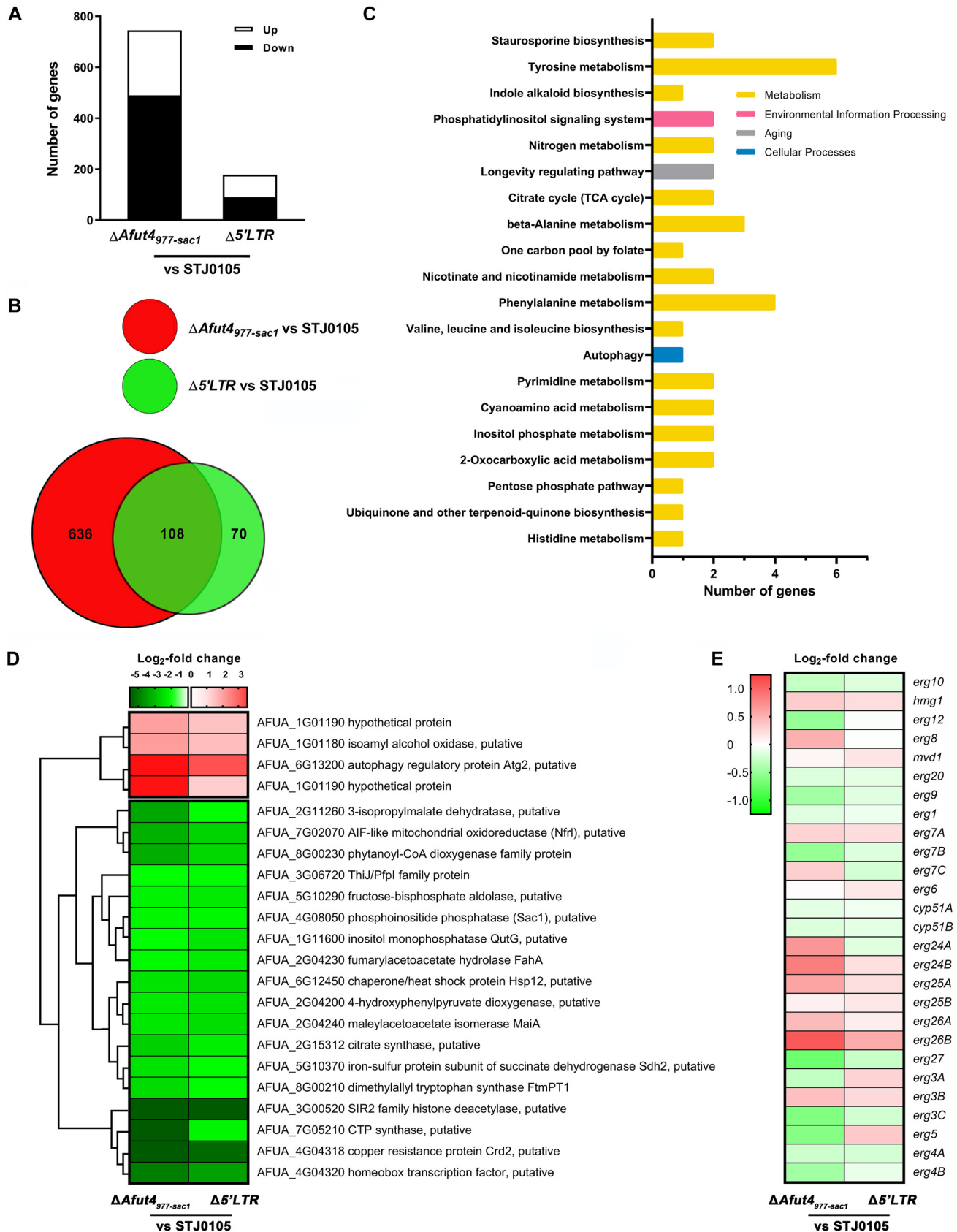
in the Venn diagram (Fig. 2B), 108 DEGs ( $|\log_2$  fold change|  $\geq 1$ ;  $Q < 0.05$ ) were shared in both  $\Delta Afut4_{977-sac1}$  and  $\Delta 5'LTR$  analyzed by KEGG classification and enrichment. Twenty-two genes involved in the 20 most enriched pathways were accordingly selected for further hierarchical cluster analysis to narrow the scope of candidate genes (Fig. 2C). The transcription of the *sac1* gene (phosphoinositide phosphatase Sac1; AFUA\_4G08050) located near  $\Delta Afut4_{977-sac1}$  declined significantly in both  $\Delta Afut4_{977-sac1}$  and  $\Delta 5'LTR$  relative to STJ0105 (Fig. 2D). We hypothesized that deletion of either *Afut4*<sub>977-sac1</sub> or its 5'LTR might decrease *sac1* expression and subsequently play some role in the decline of azole resistance in  $\Delta Afut4_{977-sac1}$  and  $\Delta 5'LTR$ , considering that the mutation of Sac1 might affect the sensitivity of *S. cerevisiae* to azoles (35).

However, none of these 22 genes were directly linked to ergosterol biosynthesis, which is the main targeted pathway for the azole drug (Fig. 2D). The expression value of genes involved in ergosterol biosynthesis of  $\Delta Afut4_{977-sac1}$  and  $\Delta 5'LTR$  was compared with that of parental strain STJ0105 to confirm the role of deletion of either *Afut4*<sub>977-sac1</sub> or its 5'LTR in ergosterol biosynthesis (Fig. 2E). It was shown that most of the genes involved in ergosterol biosynthesis were similarly upregulated or downregulated in both  $\Delta Afut4_{977-sac1}$  and  $\Delta 5'LTR$ . However, almost all of the genes showed no significant difference ( $|\log_2$  fold change|  $< 1$ ;  $Q < 0.05$ ) among STJ0105,  $\Delta Afut4_{977-sac1}$ , and  $\Delta 5'LTR$ , except that expression of *erg26B* in  $\Delta Afut4_{977-sac1}$  is more than 2-fold greater than that of STJ0105 ( $\log_2$  fold change = 1.09;  $Q < 0.05$ ). However, there is no report that *erg26* can affect azole resistance, although its expression is upregulated in response to azole (36). These results indicate that the knockout of either *Afut4*<sub>977-sac1</sub> or its 5'LTR may not significantly change the ergosterol synthesis pathway.

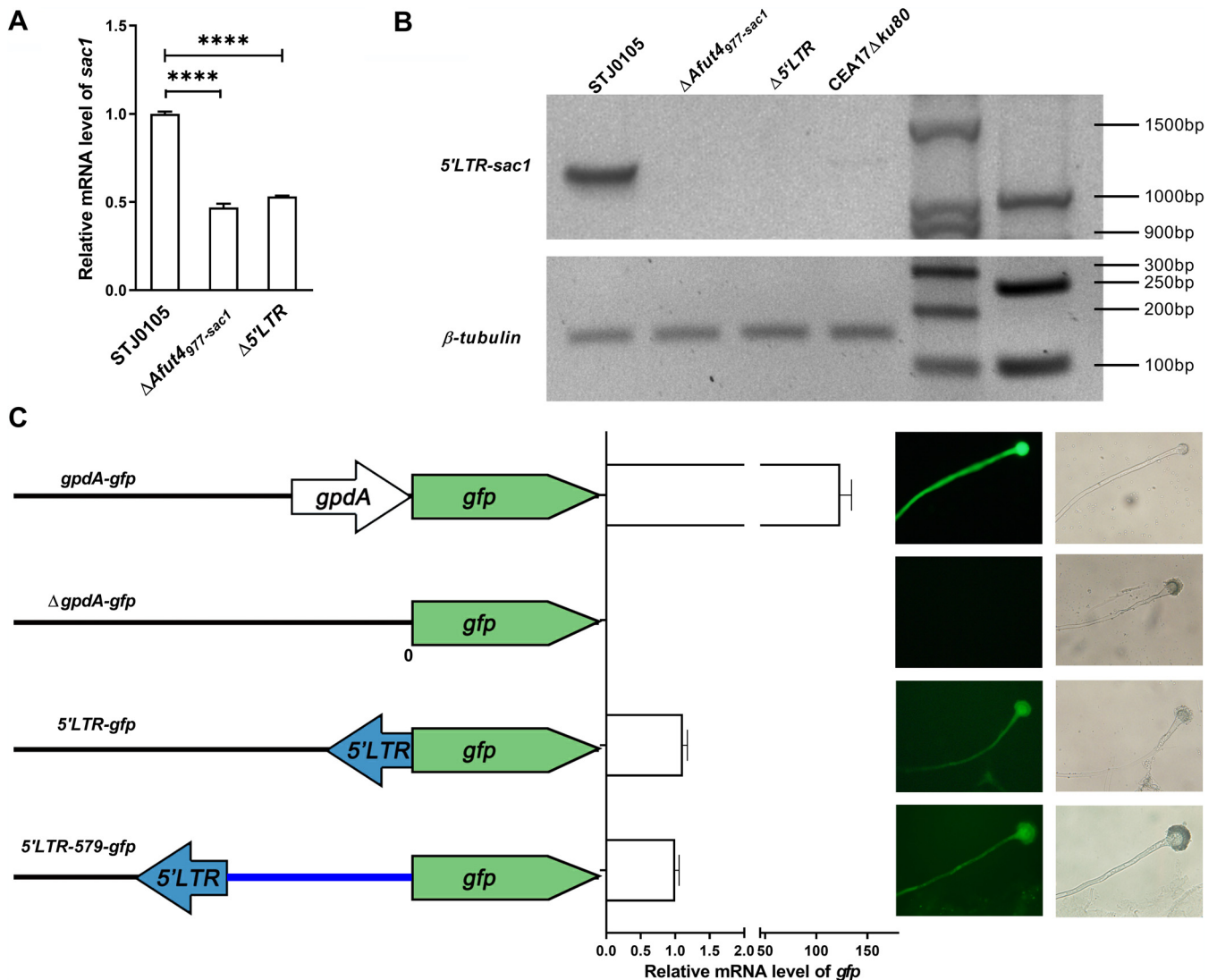
***Afut4*<sub>977-sac1</sub> enhanced transcription of its adjacent gene, *sac1*.** To study the possible regulation by *Afut4*<sub>977-sac1</sub> of *sac1* transcription, the mRNA levels of *sac1* in STJ0105,  $\Delta Afut4_{977-sac1}$ , and  $\Delta 5'LTR$  were detected again by quantitative real-time PCR (qPCR). As shown in Fig. 3A, the mRNA level of *sac1* in both  $\Delta Afut4_{977-sac1}$  and  $\Delta 5'LTR$  was lower than in STJ0105. These data suggested that either full-length *Afut4*<sub>977-sac1</sub> or its 5'LTR upstream of *sac1* might upregulate the expression of *sac1*. As the 5'LTR may harbor transcriptional regulatory elements or regions, we hypothesized that 5'LTR might act as a promoter or enhancer that boosts *sac1* transcription. Indeed, a chimeric transcript from 5'LTR to *sac1* was found only in STJ0105, not in  $\Delta Afut4_{977-sac1}$ ,  $\Delta 5'LTR$ , or CEA17 $\Delta ku80$ , a control strain that has no *Afut4*<sub>977-sac1</sub> insertion upstream of *sac1* (Fig. 3B). These data indicated that the 5'LTR might have a cryptic promoter activity toward its nearby gene.

Three plasmids were constructed from backbone plasmid pJW103, which carries a strong promoter, *gpdA*, followed by a reporter gene encoding a green fluorescent protein (*gdpA-gfp*) to verify the regulation by 5'LTR of the transcription of its downstream gene. pJW103 is an integrative *A. fumigatus* expression plasmid that can specifically integrate after the histone 2A locus of the *A. fumigatus* genome via single crossover (37, 38). All strains carrying only one copy of pJW103 or other derived plasmids were confirmed by Southern blotting (Fig. S4B and S4C). As shown in Fig. 3C, in the 5'LTR-*gfp* plasmid, the promoter *gpdA* was replaced with 5'LTR. Similarly, in the 5'LTR-579-*gfp*





**FIG 2** RNA sequencing analysis of STJ0105,  $\Delta Afut4_{977-sac1}$ , and  $\Delta 5'LTR$ . (A) A summary of the differentially expressed genes (DEGs) in  $\Delta Afut4_{977-sac1}$  relative to STJ0105 ( $\Delta Afut4_{977-sac1}$  vs STJ0105) and  $\Delta 5'LTR$  relative to STJ0105 ( $\Delta 5'LTR$  vs STJ0105) ( $|\log_2$  fold change  $\geq 1$ ;  $Q < 0.05$ ). (B) Venn diagram showing (Continued on next page)

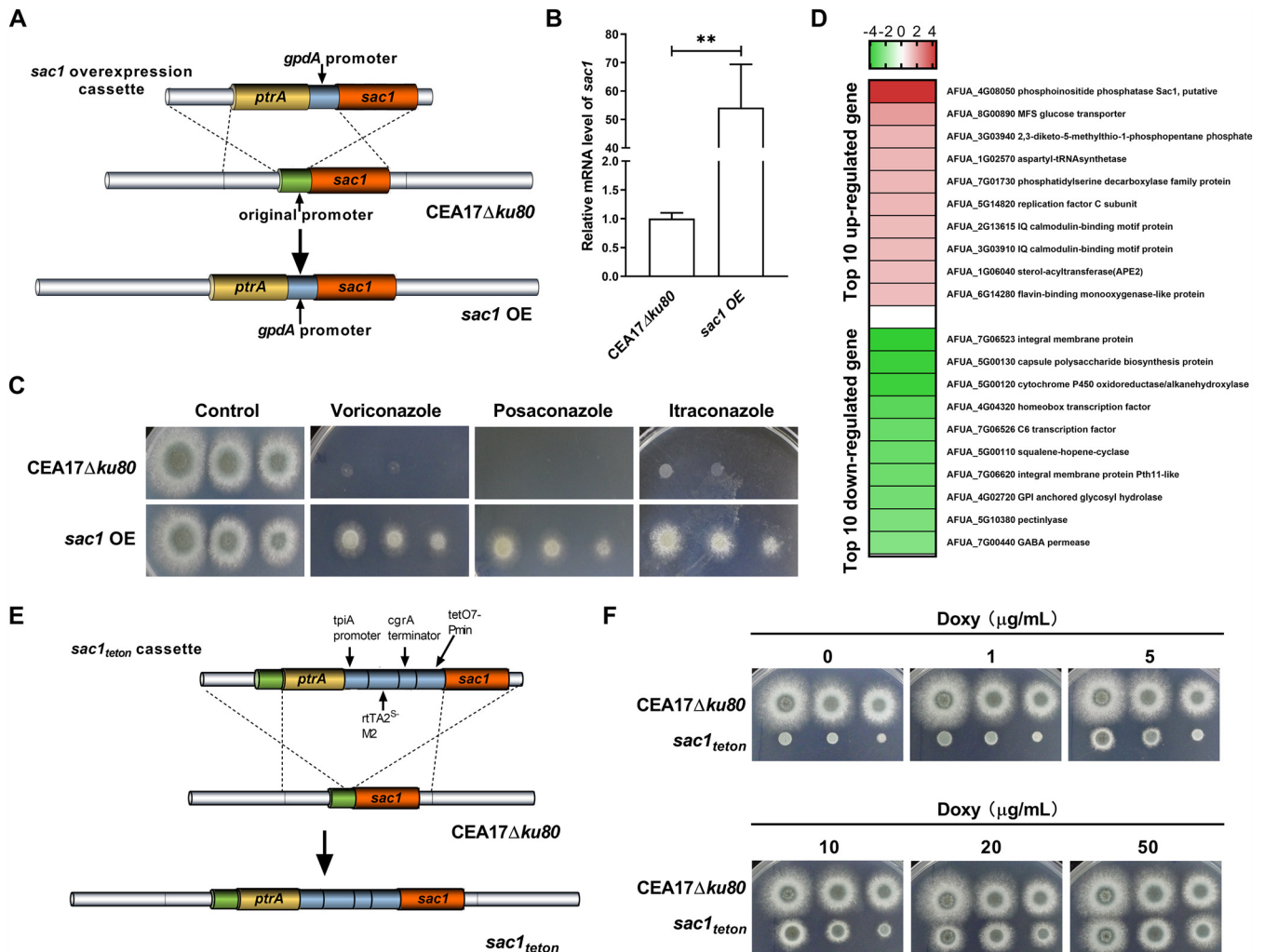


**FIG 3** Elevated transcription of the adjacent *sac1* by *Afut4*<sub>977-*sac1*</sub> in STJ0105. (A) Expression level of *sac1* in STJ0105,  $\Delta Afut4_{977-sac1}$ , and  $\Delta 5'LTR$ . Total RNA was prepared from the culture of each strain. The levels of the indicated mRNAs were determined by qPCR. *P* values were calculated using unpaired Student's *t* tests: \*\*\*\*, *P* < 0.0001. Error bars represent the standard error of the mean. (B) Reverse transcription PCR detection of *5'LTR-sac1* transcript in STJ0105,  $\Delta Afut4_{977-sac1}$ ,  $\Delta 5'LTR$ , and CEA17 $\Delta ku80$ . The 5' primer *5'LTR-sac1-F* was located in *5'LTR* of *Afut4* and the 3' primer *5'LTR-sac1-R* was located in *sac1* (Table S1). (C) Promoter activity assay of *5'LTR*. Left, schematic diagram of the plasmid used in the assay. Only one copy of each plasmid was integrated after the histone 2A locus of the transformant genome via a single crossover. Middle, expression level of the reporter *gfp* gene under the control of *5'LTR* or *5'LTR-579* (the *5'LTR* of *Afut4*<sub>977-*sac1*</sub> and the flanking 579-bp sequence) relative to the positive control (*gpdA-gfp*) and negative control ( $\Delta gpdA-gfp$ ), respectively. Total RNA was prepared from the culture of STJ0105 carrying the corresponding plasmid. Levels of the *gfp* mRNAs were determined by qPCR. The error bars represent the standard error of the mean. Right, fluorescence images of STJ0105 carrying the corresponding plasmid.

plasmid, the promoter was replaced with *5'LTR* and its flanking 579-bp fragment. In the  $\Delta gpdA-gfp$  plasmid, the promoter *gpdA* was deleted. These plasmids were transformed into STJ0105, the mRNA level was measured, and green fluorescence of green fluorescent protein (GFP) was detected in the strains. As shown in Fig. 3C, STJ0105 transformed with the *gpdA-gfp* plasmid displayed strong GFP RNA and protein levels in the hyphae and conidiophore, whereas deletion of the *gpdA* promoter resulted in full

**FIG 2** Legend (Continued)

the differentially and commonly shared DEGs ( $|\log_2$  fold change  $\geq 1$ ; *Q* < 0.05) in  $\Delta Afut4_{977-sac1}$  versus STJ0105 and  $\Delta 5'LTR$  versus STJ0105. (C) The top 20 enriched pathways of DEGs ( $|\log_2$  fold change  $\geq 1$ ; *Q* < 0.05) from the 108 shared DEGs in both  $\Delta Afut4_{977-sac1}$  versus STJ0105 and  $\Delta 5'LTR$  versus STJ0105. According to KEGG annotations and classifications, the 58 significant DEGs were classified into different biological pathways. KEGG enrichment analysis was carried out by using the phyper function in R software. The top 20 enriched pathways were screened from all the biological pathways. (D) Hierarchical cluster analysis of the  $\log_2$  fold change of 22 significant DEGs in the top 20 enriched pathways. (E)  $\log_2$  fold change of genes involved in ergosterol biosynthesis in  $\Delta Afut4_{977-sac1}$  and  $\Delta 5'LTR$  versus STJ0105.



**FIG 4** Azoles resistance of *sac1* OE. (A) Schematic depiction of the construction of *sac1* OE. The original promoter of *sac1* was replaced with the promoter replacement cassette consisting of a 5' fragment located approximately 1 kb upstream of the start codon, a pyrithiamine resistance cassette, the *gpdA* promoter, and the 3' fragment which encompasses the transcribed region beginning with the start codon by homologous recombination. (B) The mRNA level of *sac1* in CEA17Δ*ku80* and *sac1* OE. Levels of the *sac1* mRNAs were determined by qPCR. *P* values were calculated using unpaired Student's *t* tests: \*\*, *P* < 0.01. Error bars represent the standard error of the mean. (C) Drug plate point assay. Colony growth of CEA17Δ*ku80* and *sac1* OE in the presence of VRC (0.5 mg/liter), POS (0.2 mg/liter), and ITC (0.8 mg/liter). For the plate point assay, a 5-μl slurry of the indicated spores from the stock suspensions (10<sup>7</sup>, 10<sup>6</sup>, and 10<sup>5</sup> CFU/ml) was spotted onto AMM with the indicated drugs. All plates were incubated at 37°C for 2 to 5 days. (D) Top 10 upregulated and downregulated genes in *sac1* OE relative to CEA17Δ*ku80* according to the transcriptome data. (E) Schematic depiction of the construction of *sac1*<sub>tet on</sub>. The original promoter of *sac1* was replaced with the promoter replacement cassette consisting of a 5' fragment located approximately 1 kb upstream of the start codon, a pyrithiamine resistance cassette, the *tet on* promoter, and the 3' fragment which encompasses the transcribed region beginning with the start codon by homologous recombination. (F) Colonies of *sac1*<sub>tet on</sub> and CEA17Δ*ku80* under different concentrations of exogenous doxycycline (Doxy).

deterioration of the GFP fluorescence in the hyphae and conidiophore. The addition of 5'LTR upstream of *gfp* could significantly promote the transcription of *gfp*; similar *gfp* transcription and green fluorescence were also observed in the strain with the 5'LTR-579-*gfp* plasmid. Multiple transformants carrying the same plasmid show similar corresponding phenotypes. These results demonstrated that 5'LTR of *Afut4*<sub>977-sac1</sub> could upregulate the transcription of its adjacent gene, *sac1*, in STJ0105.

**Overexpression of *sac1* promotes the azole resistance of *A. fumigatus*.** A mutant named *sac1* OE with overexpression of *sac1* was constructed from CEA17Δ*ku80* by replacing endogenous promoters of *sac1* with the strong promoter *gpdA* to clarify whether higher expression of *sac1* is correlated with elevated azole resistance of *A. fumigatus* (Fig. 4A; Fig. S5A and S5B). CEA17Δ*ku80* is a nonhomologous end-joining-deficient *pyrG*<sup>+</sup> *A. fumigatus* strain with a high frequency of homologous recombination (Table 3) (39). The mRNA level of *sac1* in *sac1* OE was significantly higher than in



CEA17 $\Delta$ ku80 (Fig. 4B). When cultured in solid *Aspergillus* minimal medium (AMM), *sac1* OE displayed a colony and conidiophore morphology similar to that of CEA17 $\Delta$ ku80 (Fig. S5C). Furthermore, the sensitivity of *sac1* OE to triazoles was tested. As depicted in Fig. 4C, under treatment with 0.5 mg/liter VRC, 0.2 mg/liter POS, and 0.8 mg/liter ITC, *sac1* OE showed higher resistance to the triazoles than CEA17 $\Delta$ ku80. The MIC values of VRC, POS, and ITC for *sac1* OE were significantly higher than those for CEA17 $\Delta$ ku80 (2 mg/liter versus 0.5 mg/liter, 0.5 mg/liter versus 0.125 mg/liter, and 2 mg/liter versus 0.5 mg/liter, respectively) (Table 3). Collectively, the above results indicated that overexpression of *sac1* could promote the resistance of *A. fumigatus* to triazoles. Then, transcriptomic (RNA-seq) analysis of *sac1* OE and its parental strain, CEA17 $\Delta$ ku80, was also performed and results were compared. The top 20 genes with the largest difference, including 10 upregulated and 10 downregulated, are listed in Fig. 4D. Remarkably, both 2.73-fold upregulated AFUA\_1G06040 (sterol *O*-acyltransferase) and 8.12-fold downregulated AFUA\_5G00110 (squalene-hopene-cyclase) in *sac1* OE relative to CEA17 $\Delta$ ku80 are closely involved in steroid biosynthesis according to KEGG annotation. In addition, the ergosterol content of *sac1* OE analyzed by liquid chromatography (LC)/mass spectrometry (MS) assays was about 1.22-fold greater than that of the parental strain, CEA17 $\Delta$ ku80 (Fig. S5D).

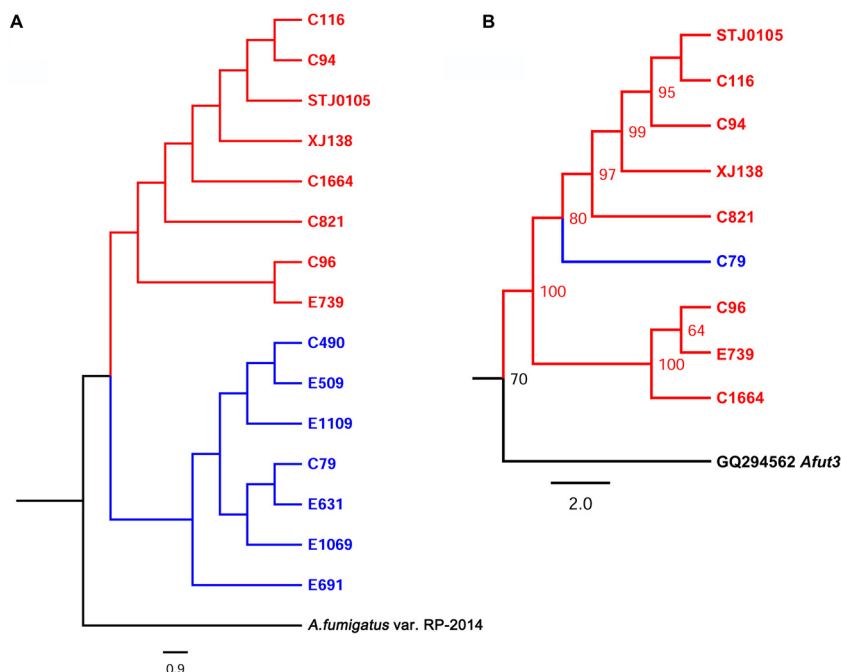
To further study the function of *sac1*, a conditional knockout strain named *sac1*<sub>tet<sup>on</sup></sub> was successfully constructed (Fig. 4E; Fig. S6A and S6B), because of failure of the complete knockout of the *sac1* gene. The native promoter of *sac1* was replaced by a doxycycline-dependent *tet<sup>on</sup>* promoter by homologous recombination (40). *sac1*<sub>tet<sup>on</sup></sub> showed defective polar growth when *sac1* could not be expressed without exogenous doxycycline (Fig. 4F). As the concentration of doxycycline increased, *sac1* began to be expressed and the polar growth of *sac1*<sub>tet<sup>on</sup></sub> was restored. However, the function of *sac1* and the azole resistance caused by the upregulated *sac1* expression need further research.

**Afut4<sub>977-sac1</sub> evolution pattern converges with whole-genome evolution under azole stress.** As LTR-RTs can perform autonomous transposition in the whole genome and the eventual prevalence of LTR-RTs in different genomic regions depends on selection processes and “host control,” the phylogenetic relationship between *Afut4*<sub>977-sac1</sub> and the whole genome in *A. fumigatus* was analyzed. In the whole genome (Fig. 5A), azole-resistant *A. fumigatus* strains, which all carry the Cyp51A TR34/L98H mutation (34), and azole-sensitive strains were completely divided into two evolutionary branches that might experience different evolutionary processes. The *Afut4*<sub>977-sac1</sub> phylogenetic tree has an evolutionary pattern similar to that of the whole genome. One exception was that an azole-sensitive strain, C79, was grouped into the evolutionary branch of all resistant strains in the phylogenetic tree of *Afut4*<sub>977-sac1</sub> (Fig. 5B), which suggested that the *Afut4*<sub>977-sac1</sub> insertion might happen before the evolutionary divergence of azole-resistant and azole-sensitive strains. Moreover, C1664 was clustered with C96 and E739 in the phylogenetic tree of *Afut4*<sub>977-sac1</sub> instead of with C116, C94, STJ0105, and C821 in the phylogenetic tree of the whole genome. In summary, the *Afut4*<sub>977-sac1</sub> evolution pattern might converge with whole-genome evolution under azole stress in *A. fumigatus*.

## DISCUSSION

*A. fumigatus* is a life-threatening pathogenic fungus and causes aspergillosis ranging from disseminated invasive aspergillosis in immunocompromised patients to chronic infections and allergic syndromes (41). Azole resistance has become a thorny incident that hinders clinical treatment and increases the mortality rate of *A. fumigatus*-infected patients. The *cyp51A*-related mechanisms of azole resistance have been studied extensively in *A. fumigatus*. However, it was recently highlighted that around 20% to 50% of clinical azole-resistant isolates have unknown mechanisms of azole resistance (8, 42, 43), and non-*cyp51A*-mediated mechanisms have been increasingly reported (15, 20).

In this study, we used whole-genome sequencing and bioinformatics analysis to find that LTR-RTs, especially *Afut4*<sub>977-sac1</sub> upstream of *sac1*, were specifically enriched in azole-resistant strains. *Afut4*<sub>977-sac1</sub> insertion caused a higher resistance to azole drugs, VRC, and



**FIG 5** Phylogenetic analysis of the whole genome and *Afut4*<sub>977-sac1</sub>. (A) Whole-genome phylogenetic analysis. A strain of *A. fumigatus* var. RP-2014 was chosen as the outgroup. (B) *Afut4*<sub>977-sac1</sub> phylogenetic analysis. Sequences of *Afut4*<sub>977-sac1</sub> upstream of *sac1* of 15 strains were aligned, and SNP sites were determined for phylogenetic analysis. The nucleotide sequence of *Afut3* was chosen as the outgroup.

POS in an *A. fumigatus* strain, STJ0105. Deletion of either full-length *Afut4*<sub>977-sac1</sub> ( $\Delta$ *Afut4*<sub>977-sac1</sub>) or the 5'LTR region of *Afut4*<sub>977-sac1</sub> ( $\Delta$ 5'LTR) destroyed the azole-resistance of STJ0105 but did not affect the resistance of STJ0105 to ITC. STJ0105 is a clinical isolate with the TR34/L98H mutation that is a combination of a 34-bp tandem repeat (TR) in the promoter region and a leucine-to-histidine substitution at codon 98 (L98H) in *cyp51A* (18, 34). In line with the well-known pan-azole resistance characteristics of *A. fumigatus* strains with the TR34/L98H mutation (44–47), STJ0105 exhibited high resistance to ITC (MIC  $\geq$  16 mg/liter) and relatively mild resistance to VRC and POS. Thereby, it could be deduced that the decrease in resistance to azole drugs caused by deletion of *Afut4*<sub>977-sac1</sub> might be nondetectable due to the strong resistance to ITC (MIC  $\geq$  16 mg/liter) caused by the TR34/L98H mutation in STJ0105; in contrast, this decrease was obvious in resistance to VRC and POS by deletion of *Afut4*<sub>977-sac1</sub> in STJ0105. In addition, STJ0105 can even grow at an ITC concentration of 32 mg/liter (data not shown). Any potential change in resistance to itraconazole may be masked by itraconazole saturation. In addition, the enriched *Afut4*, especially *Afut4*<sub>977-sac1</sub>, might function as the additional genetic background that caused a higher resistance to azoles in STJ0105. Certainly, the possibility of differing regulation by *Afut4*<sub>977-sac1</sub> of resistance to ITC, VRC, and POS could not be excluded, and further investigations are necessary.

Another novel finding was that full-length *Afut4*<sub>977-sac1</sub>, especially its 5'LTR in STJ0105, conferred a higher expression of its proximal gene, *sac1*. This finding indicated that *Afut4*<sub>977-sac1</sub>, especially its 5'LTR, might function as a promoter. It is known that LTR, as the regulatory element of an LTR-RT, is capable of regulating the transcription of its neighboring genes (26, 48–52). In mammalian cells, LTR is known to function as a promoter in several cases (53–55). For example, LTR-driven transcription was shown in the heritable Opitz syndrome-related gene produce Mid1 (56), endothelin B receptor (57), and insulin-like growth factor INSL4 (58). Likewise, in plants, an LTR-RT *Renovator* served as a promoter to its downstream rice blast resistance gene, *Pit*, leading to upregulation of *Pit* and disease resistance in Nipponbare (59). Similar

upregulation of LTR-RTs to its downstream gene was also demonstrated in *S. pombe*, a fungus, in which six genes were activated by a *Tf1* insertion (a type of LTR-RT) (27). Interestingly, in most studies of LTR-RTs, the *LTR* was usually found to be inserted upstream of a gene in the same ORF orientation to promote its downstream genes. Interestingly, in STJ0105, although the *Afut4*<sub>977-*sac1*</sub> insertion is in opposite orientation to the nearby *sac1* gene, it still greatly enhanced the expression of *sac1*. Such orientation-opposed regulation by LTR-RTs is rare but has been reported. The solitary LTR of an LTR-RT called human endogenous retrovirus K (HERV-K) could direct transcription in both orientations relative to the downstream reporter gene (60). In *S. cerevisiae*, the reversed insertion of *Ty1* (an active LTR-RT) drives the vicinal reporter gene (61). Furthermore, in Jingxian blood orange, *Tcs2*, an active LTR-RT, was inserted at just 450 bp upstream of ATG of the *Ruby* gene in the opposite orientation to the *Ruby* gene and upregulated the expression of *Ruby* in the same manner as the regulation by *Afut4*<sub>977-*sac1*</sub> of *sac1* in this study (62).

In this study, a novel azole resistance mechanism was attributable to the *sac1* gene, a phosphoinositol metabolism-regulating gene. Overexpression of *sac1* not only elevated the resistance of *A. fumigatus* to VRC (from 0.5 mg/liter to 2 mg/liter) and POS (from 0.125 mg/liter to 0.5 mg/liter) but also raised the resistance to ITC (from 0.5 mg/liter to 2 mg/liter). It was reported that mutation of *Sac1* altered the drug sensitivity of *S. cerevisiae* to azoles (35). However, the function of *Sac1* in *A. fumigatus* is still unknown and is predicted to be involved in inositol phosphate metabolism and the phosphatidylinositol signaling system according to KEGG classification. During the study, the mutant with a complete knockout of the *sac1* gene in *A. fumigatus* could not be successfully constructed, so a conditional knockout strain named *sac1*<sub>teton</sub> was successfully constructed (see Fig. S6A and S6B in the supplemental material), in which the expression of the *sac1* gene is controlled by the *teton* promoter. In the presence of exogenous doxycycline, the *teton* promoter can be activated and the targeted gene starts to be expressed; otherwise, it is not expressed. As shown in Fig. 4F, there was a serious growth defect of *sac1*<sub>teton</sub> in the absence of doxycycline, which corroborated the unsuccessful screen of the null mutant. With an increase in the doxycycline concentration, growth was restored by the increase of expression of *sac1*. These results were understandable, since no or little expression of *sac1* could result in deterioration of the key conversion from phosphatidylinositol 4-phosphate (PI4P) to phosphatidylinositol (PI), which is critical for trafficking along the early secretory pathway (63), leading to a serious growth defect in *A. fumigatus*.

To our knowledge, the mutation of *Sac1* protein in *S. cerevisiae* led to the accumulation of PI4P and delayed endocytosis and vacuolar protein sorting in combination with cold sensitivity and high sensitivity to multiple drugs (64). PI4P, as an important ligand, assists the *Osh4* protein in transporting sterols between the Golgi apparatus and the plasma membrane with vesicular trafficking to maintain the normal functions of sterols (65). *Sac1* protein can interact with oxidized sterol binding protein to promote intracellular lipid and sterol transport independent of vesicles (66). *Sac1* protein is also an important factor in efficient ATP uptake into the endoplasmic reticulum (ER) (67). In addition, as a suppressor of actin, *Sac1* protein is also essential for actin organization, hyphal development, cell wall integrity, and pathogenicity (68).

RNA sequencing of *sac1* OE and its parental strain, CEA17Δ*ku80*, was then performed and the results were compared further to explore the potential role of *Sac1* in azole resistance. Among the top 20 genes with the largest difference, AFUA\_1G06040 (sterol *O*-acyltransferase APE2) was significantly upregulated, while AFUA\_5G00110 (squalene-hopene-cyclase) was significantly downregulated in *sac1* OE compared with its parent strain. It is well known that azole resistance in fungi is highly related to *cyp51A*-mediated ergosterol biosynthesis, which is one part of the steroid biosynthesis pathway. These data implied that *sac1* might also affect azole resistance by regulating the steroid biosynthesis pathway. Predictably, a 20% higher ergosterol level was detected in *sac1* OE. However, this seems to imply that the massive change in azole

susceptibility caused by high *sac1* expression may also be relevant to the toxic sterol level, the changed ATP uptake, and the cell wall integrity. The exact mechanism needs to be further clarified.

Because of their widespread and abundant insertions, LTR-RTs can cause the rearrangement of genomes and lead to DNA damage (69), directly disrupt gene function or produce harmful mutations, and even endanger the survival of host fungi. Therefore, the wide distribution of LTR-RTs is ultimately controlled, selected, and eventually silent during the host's long-term evolutionary process. Hence, we hypothesized that the active *Afut4*<sub>977-*sac1*</sub> in azole-resistant strains might improve the potential adaptation of its host *A. fumigatus* to azole stress. *Afut4*<sub>977-*sac1*</sub> was much more prevalent in azole-resistant strains than in sensitive strains in quantity (Fig. 1B), which means that the *Afut4*<sub>977-*sac1*</sub> in azole-resistant strains was once activated, transposed to different positions in the genome, and left many copies. Several lines of evidence show that LTR-RTs can be activated by environmental stress. *Tnt1*, the first known plant retrotransposon, could be activated by pathogens, tissue culture, compounds related to plant defense, wounding, freezing, and other abiotic stresses (49). Under hypoxic stress, solo LTRs of *Tf2*, widely distributed throughout the genome of *S. pombe*, could be activated to regulate the expression of adjacent coding or noncoding sequences (70). Similarly, the transposition of the transposon *impala* in *A. fumigatus* could also be activated by prolonged exposure to low temperatures (71). And the transposon integration upstream of the start codon of the *cyp51A* gene might also be related to the elevated azole resistance (72).

The phylogenetic tree of *Afut4*<sub>977-*sac1*</sub> is similar to that of the whole genome of azole-resistant *A. fumigatus*. In addition to the proven *Afut4*<sub>977-*sac1*</sub>-related azole resistance, it could be deduced that during the process of azole resistance formation, *Afut4*<sub>977-*sac1*</sub> was activated by azole stress and transposed to leave a large number of copies; some of these copies were retained due to the associated survival advantage of resisting azole stress, resulting in an evolutionary model that is similar to the evolution of the whole genome under azole stress. However, the retrotransposition of LTR-RTs is a very low probability event, usually less than 1%, even under laboratory-induced culture conditions (51). Besides, the insertion site and the flanking sequence brought by *Afut4*<sub>977-*sac1*</sub> insertion were quite similar among different azole-resistant strains (Fig. S3A). Thereby, it could not be excluded that *Afut4*<sub>977-*sac1*</sub> insertion might spread in different azole-resistant strains through sexual reproduction (73). The mix of one exceptional azole-sensitive strain, C79, in the *Afut4*<sub>977-*sac1*</sub>-based phylogenetic tree cluster suggested that either an earlier *Afut4*<sub>977-*sac1*</sub> insertion event occurred before the evolutionary divergence between azole-resistant and azole-sensitive strains or a probable genetic exchange occurred through meiotic recombination between C79 and an *Afut4*<sub>977-*sac1*</sub>-insertion-carried strain. Nevertheless, this hypothesis should be further investigated with more sequencing data and bioinformatics analysis.

This study unraveled a novel azole resistance mechanism in *A. fumigatus*. LTR-RTs, especially *Afut4*<sub>977-*sac1*</sub>, were enriched in azole-resistant *A. fumigatus* and might play a role in azole resistance by modulating the expression of its downstream gene, *sac1*.

## MATERIALS AND METHODS

**Strains and culture conditions.** The 15 strains of *A. fumigatus* used for whole-genome sequencing in this work are listed in Table 1, and the constructed strains in this work are listed in Table 3. *A. fumigatus* strain STJ0105 was the parental strain for *Afut4* and 5'LTR deletion and also served as a plasmid transformation strain. The nonhomologous end-joining-deficient *A. fumigatus* strain CEA17Δ*ku80* (a generous gift from Jean Paul Latgé) served as the parental strain for *sac1* overexpression.

The conidia of *A. fumigatus* were propagated on *Aspergillus* minimal medium (AMM) for 5 to 8 days at 37°C and were collected with a phosphate buffer solution containing 0.1% Tween 20 (0.1% PBST). The conidia were passed through a filter (40 μm) to remove hyphal fragments and enumerated using a hemocytometer.

**DNA, RNA extraction, and cDNA preparation.** Conidia (3 × 10<sup>8</sup> CFU/ml) were cultured in AMM liquid medium at 37°C, 200 rpm, for 18 h. Mycelia were ground with liquid nitrogen with a mortar and pestle for the next DNA and RNA extractions. DNA extraction followed the instructions of the Biospin fungus genomic DNA extraction kit (BSC14s1; BioFlux). For RNA extraction, total RNA was isolated from the



mycelia using TransZol Up (ET111-01; Transgene) according to the manufacturer's instructions. First-strand cDNA synthesis was performed with an anchored oligo(dT)<sub>18</sub> primer using the TransScript one-step genomic DNA (gDNA) removal and cDNA synthesis supermix (AT311; Transgene) according to the manufacturer's instructions.

**Whole-genome sequencing and analysis.** Genomic DNA was extracted using a MagPure plant DNA kit (catalog no. MD5118-05F; Magen, China) according to the manufacturer's protocol. DNA concentration and purity were determined with a Qubit fluorometer and a Nanodrop 2000 spectrophotometer (Thermo Fisher Scientific, Carlsbad, CA, USA). DNA integrity was assessed by 0.5% agarose gel electrophoresis. Whole-genome sequencing was performed on the MGISEQ-2000 platform at BGI (Shenzhen, China). The raw sequencing data were processed using the following steps: (i) removal of reads containing sequencing adapter; (ii) removal of reads whose low-quality base ratio (base quality  $\leq 5$ ) is more than 50%; (iii) removal of reads whose unknown base ("N" base) ratio is more than 10%. Clean data were aligned to the human reference genome using Burrows-Wheeler Aligner (BWA) (68). Picard was used to removing duplicated sequence reads. Realignment was performed with the Genome Analysis Toolkit (GATK) (74). Single-nucleotide polymorphisms (SNPs) were called using HaplotypeCaller of GATK.

**Identification and annotation of LTR-RTs.** Identification of LTR-RTs and TEs was performed by RepeatModeler (70) based on the repeat databases (Repbase Update) copyrighted by the Genetic Information Research Institute (GIRI). Classification of *Afut1*~*Afut4* LTR-RTs was based on BLASTn similarity alignment against known *Afut1*~*Afut4* sequences from GenBank. The presence or absence of a functional gene was analyzed 3,000 bp upstream or downstream of the intact LTR-RTs. For the intact LTR-RTs inserted near the gene, the statistical difference of insertion frequency between azole-resistant and -sensitive strains was based on Fisher's exact test.

**Strain construction.** All primers used in this work are shown in Table 3. For the construction of the *Afut4* and *5'LTR* deletion cassette as well as *sac1* overexpression cassette, fusion PCR was used as described previously (75). Briefly, approximately 1.5 kb of the upstream and downstream flanking sequences of the *Afut4* or *5'LTR* was amplified from STJ0105 genomic DNA (gDNA) using primers  $\Delta Afut4$ -up and  $\Delta Afut4$ -dw and primers  $\Delta 5'LTR$ -up and  $5'LTR$ -dw, respectively. The selection marker *hph* (approximately 3 kb in length) from plasmid pdht-hph-hdIII-sacI donated by K. J. Kwon-Chung (National Institutes of Health, USA) was amplified with primers  $\Delta Afut4$ -hph and  $\Delta 5'LTR$ -hph, respectively. Next, the three aforementioned PCR products were combined into the *Afut4* or *5'LTR* deletion cassette with the primers  $\Delta Afut4$ -up-F/ $\Delta Afut4$ -dw-R and  $\Delta 5'LTR$ -up-F/ $\Delta 5'LTR$ -dw-R, respectively. Then, the *Afut4* or *5'LTR* deletion cassette was transformed into STJ0105. The promoter replacement cassette of *sac1* overexpression consisted of a 5' fragment located approximately 1 kb upstream of the start codon of *sac1*, a pyrithiamine resistance cassette, the *gpdA* promoter, and the 3' fragment which encompasses the transcribed region beginning with the start codon. The 5' fragment and the 3' fragment were amplified from CEA17 $\Delta ku80$  gDNA with primers *sac1*OE-up and *sac1*OE-dw, respectively. The fragment including the pyrithiamine resistance cassette and *gpdA* was amplified from pJW103 (76) with primer *sac1*OE-ptrA. The *sac1* overexpression cassette was constructed by fusion PCR and purified for transformation. Similarly, the promoter replacement cassette of *sac1*<sub>tet<sup>on</sup></sub> consisted of a 5' fragment located approximately 1 kb upstream of the start codon of *sac1*, a pyrithiamine resistance cassette, the *tet<sup>on</sup>* promoter, and the 3' fragment which encompasses the transcribed region beginning with the start codon. The 5' fragment and the 3' fragment were amplified from CEA17 $\Delta ku80$  gDNA with primers *sac1*<sub>tet<sup>on</sup></sub>-up and *sac1*<sub>tet<sup>on</sup></sub>-dw, respectively. The fragment including the pyrithiamine resistance cassette and *tet<sup>on</sup>* promoter was amplified from pCH008 (donated by Johannes Wagener, University of Munich, Germany) with the primer *sac1*<sub>tet<sup>on</sup></sub>-ptrA. The *sac1*<sub>tet<sup>on</sup></sub> cassette was constructed by fusion PCR and purified for transformation. *A. fumigatus* protoplasts were generated and transformed essentially as described previously (75). The resulting protoplasts were transferred to AMM plates containing 1.2 M sorbitol and 0.1  $\mu$ g/ml pyrithiamine (P0256; Sigma) or 200  $\mu$ g/ml hygromycin B (H8080; Solarbio). Trans5 $\alpha$  (CD201; Transgene) was used for the construction of plasmids and was propagated in Luria-Bertani (LB) broth at 37°C.

**Plasmid construction.** The strong promoter *gpdA* was removed from pJW103 by digestion with restriction enzymes PstI and PmeI (MssI). The *gpdA*-deleted linear plasmid pJW103 was linked to the sequence fragments amplified with the primer pair Blank-F/R to form the  $\Delta gpdA$ -*gfp* plasmid. The *5'LTR* and *5'LTR*-579 (*5'LTR* and the downstream 579-bp sequence) fragment were amplified from the STJ0105 gDNA with primer pairs *5'LTR*-up-F/R and *5'LTR*-579-up-F/R, respectively. The plasmids *5'LTR*-*gfp* and *5'LTR*-579-*gfp* were constructed by cloning the corresponding PCR products into the *gpdA*-deleted linear plasmid pJW103 using the pEASY-Uni seamless cloning and assembly kit (CU101; Transgene). All plasmids were transformed into STJ0105 according to the method described above. The strains carrying pJW103 or derived plasmids were checked by PCR (data not shown) and Southern blotting (Fig. S4B and S4C) (37). To visualize the promoter activity of *5'LTR*, the strains with the indicated plasmids were cultured and observed. Images were captured using an Olympus BX51 microscope (Olympus, Japan).

**Drug spot assay and MIC value test.** To test the sensitivity of *A. fumigatus* to azoles, POS, VRC, and ITC were supplemented in AMM. For the plate point assay, a 5- $\mu$ l slurry of the indicated spores from the stock suspensions ( $10^7$ ,  $10^6$ , and  $10^5$  CFU/ml) was spotted onto the AMM. All plates were incubated at 37°C for 2 to 5 days. MIC values were determined by the method for the ESCMID European Committee for Antimicrobial Susceptibility Testing (EUCAST) (77).

**RNA sequencing and analysis.** *A. fumigatus* conidia ( $3 \times 10^8$ ) of strains, including STJ0105,  $\Delta Afut4$ <sub>977</sub>-*sac1*,  $\Delta 5'LTR$ , CEA17 $\Delta ku80$ , and *sac1* OE, were inoculated in triplicate into AMM liquid medium and cultured at 37°C, 200 rpm, for 18 h. Total RNA was extracted using TransZol Up (ET111-01; Transgene) according to the manufacturer's instructions. The concentration of the extracted RNA samples was determined using a Nanodrop system (NanoDrop, Madison, WI, USA), and the integrity of the RNA was examined by the RNA

integrity number (RIN) using an Agilent 2100 bioanalyzer (Agilent, Santa Clara, USA). The sequencing data were filtered with SOAPnuke (v1.5.2) (78) by removing reads containing sequencing adapter, removing reads whose low-quality base ratio (base quality less than or equal to is more than 20%), and removing reads whose unknown base ("N" base) ratio is more than 5%; afterward, clean reads were obtained and stored in FASTQ format. The clean reads were mapped to the reference genome using HISAT2 (v2.0.4) (79). Bowtie2 (v2.2.5) (80) was applied to align the clean reads to the reference coding gene set, and then the expression level of genes was calculated by RSEM (v1.2.12) (81). The heat map was drawn by pheatmap (v1.0.8) (82) according to the gene expression in different samples. Essentially, differential expression analysis was performed using DESeq2 (v1.4.5) (83) with a  $Q$  value of  $\leq 0.05$ . To gain insight into the change of phenotype, KEGG (<https://www.kegg.jp/>) enrichment analysis of annotated differentially expressed genes was performed by Phyper based on the hypergeometric test. The significant levels of terms and pathways were corrected by  $Q$  value with a rigorous threshold ( $Q$  value  $\leq 0.05$ ) by Bonferroni.

**Quantitative real-time PCR (qPCR).** For quantitative gene expression, a TransStart top green qPCR supermix (AQ131; Transgene) and a Roche LightCycler 96 system were used in accordance with the manufacturers' instructions. Primer pairs used for *Afut1*, *Afut4*, *sac1*, *gfp*, and  $\beta$ -*tubulin* are shown in Table S1. Cycle conditions include two sections according to the manufacturer's instructions. Relative quantification relates the PCR signal of the target transcript in a sample to a control based on the  $2^{-\Delta\Delta C_T}$  method (84).  $\beta$ -*tubulin* was used as a reference gene for *A. fumigatus*. Relative expression ratios were calculated by first calculating the cycle threshold ( $C_T$ ) changes in sample and control as  $\Delta C_T^{\text{sample}} = C_{T(\text{target})} - C_{T(\text{reference})}$  and  $\Delta C_T^{\text{control}} = C_{T(\text{target})} - C_{T(\text{reference})}$ , followed by calculating  $\Delta\Delta C_T = \Delta C_T^{\text{sample}} - \Delta C_T^{\text{control}}$  and relative fold change =  $2^{-\Delta\Delta C_T}$ .

**Phylogenetic analysis of whole genome and *Afut4*<sub>977-sac1</sub>.** For whole-genome phylogenetic analysis, all the SNP sites in the whole genome were determined. Model selection and phylogenetic analysis were performed by IQTree v1.6.8 with 1,000 bootstrap replicates to assess confidence in tree topologies (85). *A. fumigatus* var. RP-2014 was chosen as the outgroup. For *Afut4* phylogenetic analysis, all the *Afut4*<sub>977-sac1</sub> LTR-RTs in different *A. fumigatus* strains were identified by BLASTN alignment, and the nucleotide sequences were determined according to the coordinates in BLASTN results. All the *Afut4*<sub>977-sac1</sub> LTR-RTs in different *A. fumigatus* strains were aligned by MAFFT v7.273 (86), and SNP sites were determined for phylogenetic analysis by IQTree with 1,000 bootstrap replicates. The nucleotide sequence of *Afut3* from GenBank accession no. [GQ294562](https://www.ncbi.nlm.nih.gov/nuccore/GQ294562) was chosen as the outgroup.

**Statistical analysis.** Data shown in the figures either are from a representative experiment in triplicate or are presented as the mean  $\pm$  standard error (SE) of results of three independent experiments. The significance of differences between the two groups was assessed by unpaired Student's  $t$  tests with a 95% confidence interval, using GraphPad Prism software (\*,  $P < 0.05$ ; \*\*,  $P < 0.01$ ; \*\*\*,  $P < 0.001$ ; \*\*\*\*,  $P < 0.0001$ ).

## SUPPLEMENTAL MATERIAL

Supplemental material is available online only.

**SUPPLEMENTAL FILE 1**, PDF file, 1.4 MB.

**SUPPLEMENTAL FILE 2**, XLSX file, 0.1 MB.

**SUPPLEMENTAL FILE 3**, XLSX file, 0.03 MB.

**SUPPLEMENTAL FILE 4**, XLSX file, 0.1 MB.

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