

Asymmetric Structures and Conformational Plasticity of the Uncleaved Full-Length Human Immunodeficiency Virus Envelope Glycoprotein Trimer

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ABSTRACT The functional human immunodeficiency virus (HIV-1) envelope glycoprotein (Env) trimer [(gp120/gp41)₃] is produced by cleavage of a conformationally flexible gp160 precursor. gp160 cleavage or the binding of BMS-806, an entry inhibitor, stabilizes the pretriggered, "closed" (state 1) conformation recognized by rarely elicited broadly neutralizing antibodies. Poorly neutralizing antibodies (pNAbs) elicited at high titers during natural infection recognize more "open" Env conformations (states 2 and 3) induced by binding the receptor, CD4. We found that BMS-806 treatment and cross-linking decreased the exposure of pNAb epitopes on cell surface gp160; however, after detergent solubilization, cross-linked and BMS-806-treated gp160 sampled non-state-1 conformations that could be recognized by pNAbs. Cryo-electron microscopy of the purified BMS-806-bound gp160 revealed two hitherto unknown asymmetric trimer conformations, providing insights into the allosteric coupling between trimer opening and structural variation in the gp41 HR1 $_{\text{N}}$ region. The individual protomer structures in the asymmetric gp160 trimers resemble those of other genetically modified or antibody-bound cleaved HIV-1 Env trimers, which have been suggested to assume state-2-like conformations. Asymmetry of the uncleaved Env potentially exposes surfaces of the trimer to pNAbs. To evaluate the effect of stabilizing a state-1-like conformation of the membrane Env precursor, we treated cells expressing wild-type HIV-1 Env with BMS-806. BMS-806 treatment decreased both gp160 cleavage and the addition of complex glycans, implying that gp160 conformational flexibility contributes to the efficiency of these processes. Selective pressure to maintain flexibility in the precursor of functional Env allows the uncleaved Env to sample asymmetric conformations that potentially skew host antibody responses toward pNAbs.

IMPORTANCE The envelope glycoprotein (Env) trimers on the surface of human immunodeficiency virus (HIV-1) mediate the entry of the virus into host cells and serve as targets for neutralizing antibodies. The functional Env trimer is produced by cleavage of the gp160 precursor in the infected cell. We found that the HIV-1 Env precursor is highly plastic, allowing it to assume different asymmetric shapes. This conformational plasticity is potentially important for Env cleavage and proper modification by sugars. Having a flexible, asymmetric Env precursor that can misdirect host antibody responses without compromising virus infectivity would be an advantage for a persistent virus like HIV-1.

Citation Zhang S, Wang K, Wang WL, Nguyen HT, Chen S, Lu M, Go EP, Ding H, Steinbock RT, Desaire H, Kappes JC, Sodroski J, Mao Y. 2021. Asymmetric structures and conformational plasticity of the uncleaved full-length human immunodeficiency virus envelope glycoprotein trimer. J Virol 95:e00529-21. [https://doi.org/10.1128/JVI.00529-21.](https://doi.org/10.1128/JVI.00529-21)

Editor Viviana Simon, Icahn School of Medicine at Mount Sinai

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Received 25 March 2021 Accepted 6 September 2021

Accepted manuscript posted online 22 September 2021 Published 23 November 2021

KEYWORDS Env, cleavage, furin, processing, conformation, cryo-electron microscopy, structure, antibody, asymmetry

Human immunodeficiency virus (HIV-1), the etiologic agent of AIDS, utilizes a meta-stable envelope glycoprotein (Env) trimer to engage host receptors and enter target cells ([1](#page-26-0)). The functional Env trimer consists of three gp120 exterior subunits and three gp41 transmembrane subunits ([1](#page-26-0)[–](#page-26-1)[3](#page-26-2)). During virus entry, gp120 engages the receptors, CD4 and CCR5/CXCR4, and gp41 fuses the viral and cell membranes [\(4](#page-26-3)–[16](#page-27-0)). Env is the only virus-specific protein on the viral surface and is targeted by host antibodies [\(17](#page-27-1)–[20\)](#page-27-2).

In infected cells, the HIV-1 Env trimer is synthesized in the rough endoplasmic reticulum (ER), where signal peptide cleavage, folding, trimerization, and the addition of high-mannose glycans take place [\(21](#page-27-3)–[24](#page-27-4)). The resulting gp160 Env precursor is transported to the Golgi apparatus, where some of the glycans are modified to complex types and proteolytic cleavage by host furin-like proteases produces the gp120 and gp41 subunits ([25](#page-27-5)–[41\)](#page-27-6). The proteolytically processed, mature Env trimers are transported to the cell surface and incorporated into virions.

On the membrane of primary HIV-1, Env exists in a pretriggered, "closed" conformation (state 1) that resists the binding of commonly elicited antibodies [\(42](#page-27-7)–[47](#page-27-8)). Binding to the receptor, CD4, on the target cell releases the restraints that maintain Env in state 1, allowing transitions through a default intermediate conformation (state 2) to the prehairpin intermediate (state 3) [\(42,](#page-27-7) [48](#page-27-9), [49](#page-27-10)). In the more "open" state-3 Env conformation, a trimeric coiled coil composed of the gp41 heptad repeat (HR1) region is formed and exposed, as is the gp120 binding site for the second receptor, either CCR5 or CXCR4 [\(6](#page-26-4), [7,](#page-26-5) [50](#page-27-11)–[56\)](#page-28-0). Binding to these chemokine receptors is thought to promote the insertion of the hydrophobic gp41 fusion peptide into the target cell membrane and the formation of a highly stable six-helix bundle, which mediates virus-cell membrane fusion [\(14](#page-26-6)[–](#page-26-7)[16](#page-27-0), [57](#page-28-1)–[60](#page-28-2)).

The ability of HIV-1 to establish persistent infections in humans requires an Env trimer that minimally elicits neutralizing antibodies and resists the binding of antibodies generated during the course of natural infection. In addition to a heavy glycan shield and surface variability, the conformational flexibility and plasticity of Env may help HIV-1 avoid the host antibody response [\(45,](#page-27-12) [47,](#page-27-8) [61](#page-28-3)–[64](#page-28-4)). Flexible Envs could present epitopes that are not exposed on the state-1 Env trimer, misdirecting host antibodies away from the functional virus spike. The vast majority of antibodies elicited by Env during natural HIV-1 infection are unable to bind the functional state-1 Env trimer and instead recognize downstream conformations (states 2, 2A, and 3) [\(65](#page-28-5)–[69](#page-28-6)). These antibodies cannot access their epitopes once the virus has bound CD4 and therefore do not neutralize efficiently ([68](#page-28-7)). Uncleaved Envs that assume state-2/3 conformations are abundant on the surface of HIV-1-infected cells, in some cases reaching the cell surface by bypassing the Golgi apparatus [\(70\)](#page-28-8). Poorly neutralizing antibodies (pNAbs) with state-2/3 specificity typically recognize these uncleaved Envs more efficiently than cleaved Env [\(71](#page-28-9)–[77\)](#page-28-10). Cross-linking the uncleaved cell surface Env exerted effects on Env antigenicity similar to those resulting from gp120-gp41 cleavage, suggesting that the uncleaved Env might be more flexible than mature Env ([78](#page-28-11)). Indeed, recent single-molecule fluorescence resonance energy transfer (smFRET) analysis confirmed that, in contrast to the dominant state-1 conformation of the wild-type Env, an Env mutant unable to be proteolytically processed due to an alteration of the cleavage site occupies states 2 and 3 more frequently than state 1 [\(79](#page-28-12)). Thus, the abundant, cell surface-accessible and conformationally heterogeneous uncleaved Env could misdirect host immune responses away from the elicitation of broadly neutralizing antibodies, which generally recognize the state-1 Env conformation [\(42,](#page-27-7) [45](#page-27-12), [46,](#page-27-13) [48](#page-27-9), [79\)](#page-28-12). Broadly neutralizing antibodies (bNAbs) typically appear after several years of HIV-1 infection and only in a minority of HIV-1-infected individuals [\(80](#page-28-13)–[88](#page-29-0)).

Here, we investigate the conformation of the uncleaved HIV-1 Env trimer, both on the cell surface and purified from membranes. Cryo-electron microscopy (cryo-EM) reconstructions reveal that purified uncleaved Envs preferentially assume asymmetric trimer conformations, exposing epitopes for pNAbs. We identified a gp41 region in which structural changes are coupled to the asymmetric opening of the Env trimer. We tested the effect of

FIG 1 Antibody recognition of cleaved and uncleaved HIV-1 Envs on the cell surface. (A) HOS cells transiently expressing the wildtype HIV-1 $_{IB-F}$ Env, a fraction of which is cleaved in these cells, were incubated with the indicated broadly neutralizing antibodies or poorly neutralizing antibodies. After washing and lysis of the cells, the antibody-Env complexes were purified with protein A-Sepharose beads and analyzed by Western blotting with a goat anti-gp120 polyclonal serum. (B) The effect of cross-linking with BS3 and/or BMS-806 treatment on antibody binding to HIV-1 $_{JR+FL}$ Env($-$) on the surface of CHO cells was evaluated by cell-based ELISA. BMS-806 (10 μ M) was added to the CHO cells at the time of induction of Env(-) expression with doxycycline. All values were normalized against 2G12 antibody binding and were derived from at least three independent experiments. Note that the $HIV-1_{IB-EP}$ Env(-) glycoprotein is not recognized by the PGT145 V2 quaternary antibody, which serves as a negative control.

a state-1-stabilizing gp120 ligand, BMS-378806 (herein called BMS-806) on the cleavage and glycosylation of the wild-type Env. Our findings indicate the importance of conformational plasticity of the uncleaved HIV-1 Env trimer for efficient proteolytic maturation, complex glycan addition, and evasion of host antibody responses.

RESULTS

Analysis of the conformation of uncleaved HIV-1 Env on cell surfaces. Cleavage of the HIV-1 Env precursor affects its antigenicity [\(71](#page-28-9)–[77\)](#page-28-10). The recognition of the uncleaved and mature HIV-1 $_{IR-FL}$ Envs on the surface of transfected HOS cells exhibited distinct patterns for state 1-preferring bNAbs versus state 2/3-preferring pNAbs [\(Fig. 1A\)](#page-2-0). Whereas the uncleaved Env was bound by both types of antibodies, the mature Env was

TABLE 1 Summary of HIV-1_{JR-FL} conformational states^a

^aThe relative occupancies (%) of conformational states for the indicated sources and treatments of HIV-1 Envs were derived from smFRET histograms. The FRET histograms were compiled from individual smFRET traces. The state distributions in the FRET histograms were fitted to the sum of three Gaussian distributions by hidden Markov modeling, and the occupancy of each state was obtained from the area under each Gaussian curve.

bound only by the bNAbs. The uncleaved Env apparently samples multiple conformations, but the mature Env assumes a conformation that precludes the binding of pNAbs.

The HIV-1 entry inhibitor, BMS-806, hinders transitions from state 1 and modestly increases the occupancy of state 1 by the mature, wild-type HIV-1 Env (see Table 1) [\(42](#page-27-7), [53](#page-28-14), [54,](#page-28-15) [77,](#page-28-10) [79\)](#page-28-12). BMS-806 treatment or glutaraldehyde cross-linking has been shown to shift the antigenic profile of uncleaved HIV-1 Env closer to that of the cleaved Env [\(77](#page-28-10), [78\)](#page-28-11). Incubating virions containing uncleaved Env with BMS-806 significantly enriched the low-FRET state-1 conformation, resulting in a conformational profile closer to that of the unliganded mature HIV-1 Env [\(Table 1](#page-3-0)) [\(79\)](#page-28-12). We tested the effects of BMS-806 and the lysine-specific cross-linker bis(sulfosuccinimidyl)suberate (BS3) on the antigenic profile of cleavage-defective HIV-1 $_{JRFL}$ Env(-) expressed on the surface of CHO cells [\(Fig. 1B\)](#page-2-0). Even without treatment, HIV-1 $_{R-FL}$ $Env(-)$ was inefficiently recognized by several pNAbs (902090, 39F, 17b, A32, C11, and E51) and by bNAbs like 35O22 and VRC34 that exhibit a strong preference for cleaved Env. The PGT145 bNAb does not recognize the HIV-1 $_{IRFL}$ Env and is used as a negative control in this experiment. Treatment with BMS-806 and BS3 additively decreased $Env(-)$ recognition by pNAbs (19b, b6, F105, and F240) and CD4-Ig, which preferentially bind Env conformations other than state 1 [\(45,](#page-27-12) [48,](#page-27-9) [54,](#page-28-15) [76,](#page-28-16) [79\)](#page-28-12). In comparison, for the bNAbs 2G12, b12, and VRC01, the BMS-806/BS3-treated $Env(-)$ was recognized at more than 40% the level observed for the untreated $Env(-)$. The proximity of the gp120 binding sites for BMS-806 and some bNAbs against the CD4-binding site (b12, VRC01) may explain the modest level of inhibition observed [\(75](#page-28-17)). These results are consistent with previous studies suggesting that BMS-806 can decrease the state-2/3 occupancy of uncleaved HIV-1 Envs anchored in the viral or cell membranes [\(Table 1\)](#page-3-0) [\(77](#page-28-10), [79\)](#page-28-12).

Purification and characterization of Env($-$ **) trimers.** To investigate further the range of conformations sampled by the uncleaved HIV-1 Env, we purified full-length HIV- 1_{JRFL} Env(-) trimers from the membranes of inducibly expressing CHO cells ([Fig. 2A](#page-4-0) and [B\)](#page-4-0). The CHO cells were incubated with BMS-806 during $Env(-)$ synthesis in an attempt to shift occupancy from states $2/3$ to state 1. BMS-806 treatment of the Env($-$)-expressing cells reduced the synthesis of sialidase-sensitive and endoglycosidase H-resistant glycoforms that are relatively enriched in complex carbohydrates [\(Fig. 2C](#page-4-0)). Glycosylation analysis revealed that BMS-806 treatment led to decreased complex sugar addition to the glycans modifying gp120 asparagine residues 88, 156, 160, 241, 362, and 463 [\(Fig. 2D](#page-4-0) to [F](#page-4-0)). The effects of BMS-806 on $Env(-)$ conformation apparently influence the conversion of particular high-mannose glycans to complex carbohydrates in the Golgi apparatus.

To purify the $Env(-)$ trimer complexes, membranes from BMS-806-treated CHO cells were incubated with saturating concentrations of BMS-806, cross-linked with BS3, and solubilized in Cymal-5. The Cymal-5 detergent in the purified $Env(-)$ glycoprotein solution was exchanged to a mixture of 4.5 mg/ml amphipol A8-35 and 0.005% Cymal-6 prior to cryo-plunging the samples in preparation for eventual cryo-electron microscopy (cryo-EM) imaging. BMS-806 was used in these experiments to enrich the state-1 conformation, potentially reducing the disruptive effect of detergent solubilization on

FIG 2 Characterization of the full-length HIV-1_{JR-FL} Env(-) glycoprotein in CHO cell lysates and in detergent-solubilized purified forms. (A) Purified HIV-1_{JR-FL} Env(-) without and with cross-linking by BS3 was run on a NUPAGE 4-to-12% BT gel stained by Coomassie blue. (B) Purified HIV-1 $_{J\text{R+L}}$ Env(-) cross-linked by BS3 was run on a native PAGE 4-to-16% Bis-Tris gel and subjected to Western blotting with an HRP-conjugated anti-HIV-1 gp120 antibody. (C to E) To evaluate the effect of BMS-806 on the glycosylation of the synthesized Env(-) glycoprotein, BMS-806 (10 μ M) was added to the CHO cells at the time of doxycycline induction. (C) The effect of BMS-806 on HIV-1_{JR-FL} (Continued on next page)

this metastable state. However, parallel smFRET studies estimated that despite BMS-806 treatment and BS3 cross-linking, only 26% of detergent-solubilized $Env(-)$ was in a low-FRET conformation consistent with state 1 [\(Fig. 3A](#page-6-0)). The majority (74%) of the solubilized $Env(-)$ glycoproteins assumed high- and intermediate-FRET conformations consistent with states 2 and 3, respectively. Thus, compared with BMS-806-treated $Env(-)$ on virions, the $Env(-)$ glycoproteins solubilized and purified from CHO cells exhibited less state-1 and more state-2/3 conformations [\(Table 1](#page-3-0)). Nonetheless, the conformational profile of the purified $Env(-)$ preparation resembled that of the uncleaved $Env(-)$ in virion membranes without BMS-806 treatment [\(Table 1\)](#page-3-0).

The increased exposure of the gp120 V3 loop is a sensitive indicator of HIV-1 Envs that have undergone transitions from a state-1 conformation [\(48,](#page-27-9) [54,](#page-28-15) [89](#page-29-1)[–](#page-29-2)[91](#page-29-3)). We tested the ability of the 19b anti-V3 antibody, which does not neutralize most primary HIV-1 strains, to precipitate the BMS-806-treated, BS3-cross-linked Env(-) trimers solubilized in Cymal-5 detergent ([Fig. 3B\)](#page-6-0). After successive precipitations with the 19b antibody, approximately 85% of the $Env(-)$ glycoprotein was removed from the CHO cell lysate. Therefore, even in the presence of BMS-806 and after BS3 cross-linking, most of the $Env(-)$ trimers solubilized in Cymal-5 detergent apparently sample non-state-1 conformations. Together with the above cell-based ELISA and smFRET results, these experiments suggest that detergent solubilization destabilizes the uncleaved Env, even after BMS-806 and BS3 treatment. Therefore, anchorage in the cell membrane and lipid-protein interactions may be important for maintaining the stability of the $Env(-)$ state-1 conformation.

 $Env(-)$ structure determination by cryo-EM. The BMS-806-treated, BS3-crosslinked HIV-1 $_{\text{JR-FL}}$ Env(-) trimers, purified in Cymal-5 and exchanged into amphipol A8-35 and Cymal-6, were analyzed by cryo-EM. We collected cryo-EM data from both a 200-kV FEI Tecnai Arctica microscope without an energy filter and a 300-kV FEI Titan Krios microscope with a Gatan BioQuantum energy filter in video frames of a super-resolution counting mode with the Gatan K2 Summit direct electron detector [\(Fig. 4A](#page-7-0) to [F](#page-7-0)). While both 200-kV and 300-kV cryo-EM data sets gave rise to consistent results, the final reconstructions at the highest resolution were achieved using the 300-kV cryo-EM data set; the 300-kV data set incorporates single-particle data collected at a high tilt angle of the sample stage to alleviate the effect of the strong orientation preference of the $Env(-)$ particles ([Fig. 4G](#page-7-0) and [H](#page-7-0)). In contrast, the 200-kV cryo-EM data set, which lacks tilted data, fell short of achieving a comparable level of resolution and suffered from the orientation preference of the particle images. However, despite the modest level of resolution (5.5 to 8 Å), extensive three-dimensional (3D) classification of the 200-kV data set, as detailed in a bioRxiv preprint ([92\)](#page-29-4), indicated the existence of multiple $Env(-)$ conformations, some of which are consistent with the higher-resolution reconstructions obtained from the 300-kV data set. This paper focuses on interpreting two higher-resolution maps of the uncleaved $Env(-)$ trimer derived from the 300-kV data set.

Analysis of the 300-kV data resulted in two major 3D classes, herein designated state U_1 and state U_2 , respectively comprising 38% and 17% of the imaged particles after re-moval of junk particles [\(Fig. 5](#page-8-0)). The state- U_1 and state- U_2 maps were refined to 4.1 and 4.7 Å, respectively, without imposing any symmetry during refinement and reconstruction [\(Fig. 6\)](#page-9-0). The map quality allowed atomic modeling and refinement with accuracy to the level of the $C\alpha$ backbone trace. In contrast, imposing C3 symmetry during refinement and reconstruction resulted in lower resolution and poorer structural features in the refined

FIG 2 Legend (Continued)

Env(-) glycosylation was evaluated by Western blotting after digestion with glycosidases (sialidase, peptide-N-glycosidase F [PNGase F], and endoglycosidase H [Endo H]). The purified HIV-1_{JRFL} Env(-) glycoproteins were digested with the indicated glycosidase, run on a NUPAGE 4-to-12% Bis-Tris gel, and subjected to Western blotting with a goat anti-HIV-1 gp120 antiserum. The results shown are representative of those obtained in three independent experiments. Note that BMS-806 treatment decreases Env(-) heterogeneity by reducing the levels of sialidase-sensitive and Endo H-resistant glycoforms. (D and E) The bar graphs show the glycan profiles at each glycosylation site of HIV-1 R-FL Env(-) purified from untreated CHO cells (D) or CHO cells treated with 10 μ M BMS-806 (E), as determined by mass spectrometry. The glycan composition (in percent) was broadly characterized as high-mannose (red bars) or processed (complex plus hybrid) glycans (blue bars). (F) The results in panels D and E were used to calculate the change in the percentage of processed glycans after BMS-806 treatment, which is shown for each N-linked glycosylation site.

FIG 3 Conformations of purified HIV-1_{JR-FL} Env(-) treated with BMS-806 and cross-linked with BS3. (A) HIV-1_{JR-FL} Env(-) with V1 and V4 labeling tags was purified from 293T cell membranes using a protocol identical to that used for preparation of Env(-) for cryo-EM imaging. The purified Env(-) was labeled and analyzed by smFRET. FRET trajectories were compiled into a population FRET histogram and fit to the Gaussian distributions associated with each conformational state, according to a hidden Markov model ([42](#page-27-7)). The percentage of the population that occupies each state as well as the number of molecules analyzed (N) is shown. The error bars represent the standard deviation from three independent data sets. (B) Membranes from BMS-806-treated CHO cells expressing HIV-1 $_{J_R,F_L}$ Env(-) were cross-linked with BS3 and then solubilized in Cymal-5 detergent. The lysate was successively incubated with the 19b anti-gp120 (V3) antibody and protein A-Sepharose beads. The Env($-$) glycoproteins precipitated by the 19b antibody or by the negative-control protein A-Sepharose beads during the indicated rounds of immunoprecipitation were analyzed by SDS-PAGE and Western blotting (upper left panel). The Env(-) glycoproteins in the initial cell membrane lysate (input) and those glycoproteins remaining after four rounds of 19b counterselection were precipitated with Ni-NTA beads or the indicated antibodies; the precipitated Env(-) glycoproteins were analyzed by SDS-PAGE and Western blotting (upper right panel). The total amounts of Env(-) glycoprotein in the input and after 19b counterselection, normalized to the input Env(-) glycoprotein amount precipitated by the Ni-NTA beads, are shown in the bar graph (lower panel). Means and standard deviations derived from two independent experiments are shown.

FIG 4 Cryo-EM analysis of the full-length HIV-1 $_{\text{JR-FL}}$ Env(-) trimer. (A) A typical cryo-EM micrograph of Env(-) trimers taken with a Gatan K2 direct electron detector at 0 degrees of tilt. (B) Fourier transform of the image in panel A. Left panel, simulated logarithmic amplitude spectra in Gctf ([134](#page-30-0)); upper right panel, background-subtracted logarithmic amplitude spectra; lower right panel, equiphase average in Gctf. (C) Unsupervised 2D class averages for nontilt particles. (D) A typical cryo-EM micrograph of Env(2) trimers taken with a Gatan K2 direct electron detector at 45 degrees of tilt. (E) Fourier transform of the image in panel D. Left panel, simulated logarithmic amplitude spectra in Gctf [\(134\)](#page-30-0); upper right panel, background-subtracted logarithmic amplitude spectra; lower right panel, equiphase average in Gctf. (F) Unsupervised 2D class averages for tilted particles. (G) Final refined cryo-EM density map for the state-U₁ Env($-$) trimers. Left, side view, with gp120 at the bottom of the figure and gp41 at the top. Middle, top view from the gp120 side. The right inset shows the orientation distribution of the particles used for reconstruction of the final state- U_1 map. (H) Final refined cryo-EM density map for the state-U₂ Env(-) trimers. Left, side view, with gp120 at the bottom of the figure and gp41 at the top. Middle, top view from the gp120 side. The right inset shows the orientation distribution of the particles used for reconstruction of the final state- U_2 map.

density maps of both state U_1 and state U_2 , suggesting that both conformations indeed lack rigorous 3-fold symmetry. Other 3D classes derived from the HIV- 1_{JRFL} data set were not able to be refined to comparable levels of resolution and thus are not further analyzed and discussed herein. Curiously, no major 3D classes with rigorous 3-fold symmetry were

FIG 5 Cryo-EM classification workflow. The diagram illustrates the major steps of our classification strategy for the 300kV data set. Iterated steps with the same parameters were omitted for clarity.

FIG 6 Cryo-EM density maps of the state-U₁ and state-U₂ Env($-$) trimers. (A) Masks used for the FSC calculation. Masks for state-U₁ and state-U₂ maps were both generated in RELION 3.0 ([136](#page-30-1)), using unmasked maps low-pass filtered to 10 Å. (B) Local resolution measurement of the state-U₁ and state-U₂ maps, as measured by ResMap ([142](#page-30-2)). The maps are colored according to the local resolution, indicated by the color gradient (units in angstroms). Side views of the Env(-) maps are shown, with gp120 at the bottom of the figure and gp41 at the top. (C) Gold standard FSC plots of the state-U₁ and state-U₂ cryo-EM maps. The "map vs model" FSC curve was calculated with protein models without glycans.

found when extensive 3D classification was conducted using the maximum-likelihood method without imposing C3 symmetry ([93](#page-29-5)). Asymmetric trimers apparently constitute a major fraction of the imaged particles, likely reflecting the intrinsic conformational plasticity of the $Env(-)$ glycoprotein.

We considered the contribution of preparation-dependent variables, such as damage at the air-water interface, to the observed $Env(-)$ asymmetry. Although such contributing influences cannot be totally ruled out, several considerations, as follows, suggest that asymmetry is a relevant property of uncleaved Env trimers. (i) The high level of occupancy and relative degree of homogeneity of the U_1 and U_2 classes suggest that these trimers are in energetically favorable conformations, unexpected for the consequences of random damaging influences. (ii) The efficiency with which Env trimers form interprotomer cross-links can provide clues to the symmetry of the trimers. Cross-linkable pairs of lysine residues on adjacent protomers will be available on all three protomers of a symmetric structure; therefore, cross-links between protomers are more likely to involve all three protomers when the trimer is symmetrical. The proportion of cross-linked $Env(-)$ trimers, dimers, and monomers that are stable on SDS-polyacrylamide gels is a function of the interprotomer cross-links.

We studied the formation of interprotomer cross-links in $Env(-)$ trimers in the follow-ing two contexts. (a) First, in the experiments shown in [Fig. 3B](#page-6-0), membrane $Env(-)$ trimers were cross-linked with BS3 in the presence of BMS-806, solubilized in detergent, and then repeatedly precipitated by the 19b pNAb. Notably, the 19b-reactive $Env(-)$ subset did not cross-link efficiently into gel-stable trimers and formed mostly monomers [\(Fig. 3B](#page-6-0)). The high occupancy of the U_1 class indicates that these asymmetric trimers must fall into the large subset of the purified $Env(-)$ preparation (\sim 85%) that is 19b reactive and therefore is not in a state-1 conformation. In contrast, the \sim 15% of the

FIG 7 Cross-linking solubilized and cell-surface Env(-) glycoproteins with BS3. (A) The percentage of solubilized BMS-806-treated Env(-) that cross-linked with BS3 into gel-stable trimers was calculated from the experiments shown in [Fig. 3B.](#page-6-0) The values are reported for the Env(-) subset that was precipitated by the 19b pNAb and for the 19b-counterselected Env(-) subset precipitated by Ni-NTA beads or the indicated bNAbs. Differences between the values associated with the Env(-) subsets were evaluated by Student's t test (*, P < 0.05; **, P < 0.01). (B) BMS-806-treated CHO cells were induced to express HIV-1_{JR-FL} Env(-) and incubated with BS3 cross-linker. The cells were washed and incubated with the indicated pNAbs or bNAbs. After washing, the cells were lysed. Cell lysates were incubated with protein A-agarose beads, and the precipitated Envs were analyzed by SDS-PAGE and Western blotting with a goat anti-gp120 antibody. In the lower left panel, the results of a typical experiment are shown. The Env(-) monomers (m), dimers (d), and trimers (t) are indicated. The percentage of monomers, dimers, and trimers recognized by each antibody was calculated from the results of two independent experiments (lower right panel). Means and standard deviations are shown. In the upper panel, the percentage of the total Env(-) that was cross-linked into gel-stable trimers is shown for each antibody. Statistical comparisons were made using Student's t test (*, $P < 0.05$; **, $P < 0.01$; ns, not significant).

 $Env(-)$ preparation that was not precipitated by the 19b antibody cross-linked efficiently into gel-stable trimers and dimers [\(Fig. 3B](#page-6-0)). The different patterns of cross-linking in these two $Env(-)$ subsets are quantitated in [Fig. 7A.](#page-10-0) The 19b-reactive (non-state-1) subset of Env(-), which includes the U₁ cryo-EM class, cross-linked into gel-stable trimers

less efficiently than the 19b-counterselected $Env(-)$ subset, which can be recognized by bNAbs. This is consistent with a greater degree of asymmetry in the 19b-reactive subset and implies that differences in symmetry among the purified $Env(-)$ trimers exist prior to cryo-plunging.

(b) Second, we examined the relevance of the above observations to uncleaved Env on the surface of expressing cells. Cells expressing $Env(-)$ were treated with BMS-806 at the time of induction. The cell-surface $Env(-)$ was cross-linked with BS3. The Env (2)-expressing cells were incubated with individual pNAbs and bNAbs, washed, and lysed. The antibody-Env complexes in the cell lysates were captured on protein A-agarose beads and Western blotted. The 19b and F105 pNAbs recognized $Env(-)$ complexes that cross-linked mostly into gel-stable monomers and a smaller percentage of dimers [\(Fig. 7B](#page-10-0)). Less than 5% of the cell surface $Env(+)$ recognized by these pNAbs cross-linked into gel-stable trimers. In contrast, the bNAbs (2G12, PGT121, VRC01, and 3BNC117) recognized $Env(-)$ complexes that cross-linked more efficiently into gel-stable trimers. These patterns of pNAb and bNAb recognition of cell surface $Env(-)$ were similar to those observed for antibody recognition of solubilized $Env(-)$ in [Fig. 3B](#page-6-0) (compare [Fig.](#page-10-0) [7A](#page-10-0) and [B\)](#page-10-0). These results are consistent with bNAb-reactive cell surface $Env(-)$ trimers possessing a higher level of symmetry than $pNAb$ -reactive $Env(-)$ complexes.

Together, the observations summarized above support the relevance of the asymmetric Env($-$) trimers in the U₁ and U₂ cryo-EM classes to the pNAb-reactive uncleaved HIV-1 Env in membranes.

Key structural features of the asymmetric uncleaved HIV-1 Env trimers. The U_1 and U_2 Env($-$) trimers share an overall topology with existing structures of soluble and membrane HIV-1 Env trimers [\(94](#page-29-6)–[105\)](#page-29-7) [\(Fig. 8A](#page-12-0)). A central feature of all these structures is a 3-helix bundle (3-HB_c) formed by the C-terminal portion of the gp41 HR1 region $(HR1_c)$; the gp120 subunits project outward from this central helical coiled coil. These common features allowed us to use existing symmetric and asymmetric HIV-1 Env trimer structures as references to build structural models of states U_1 and U_2 . All three individual protomers in the U₁ and U₂ trimers exhibit similar folds, with C α root mean square deviation (RMSD) values of \sim 2 Å ([Fig. 8B](#page-12-0) and [C\)](#page-12-0). While both the U₁ and U₂ conformations of $Env(-)$ are asymmetric, they exhibit different degrees of such asymmetry in terms of the relative rotation of the individual protomers with respect to the trimer axis. The protomers are differentially translated and rotated with respect to each other in unique ways in the U₁ and U₂ trimers ([Fig. 8D\)](#page-12-0), generating \sim 3- to 4-Å movement overall in the gp120 outer domain (OD). When one of the protomers is used to align both conformations, the other two protomers of U_2 are notably rotated by 2.8 and 4 degrees relative to the corresponding protomers of U_1 ([Fig. 8A\)](#page-12-0). This creates the smallest and largest openings between two adjacent protomers in U_2 , the more asymmetric of the two $Env(-)$ conformations. Alignment of all three protomer structures in each conformation indicates that the asymmetric conformations are facilitated by local structural rearrangements of residues 546 to 568 at the interprotomer interface. This gp41 segment (HR1_N) is immediately N-terminal to the central 3-HB_c and exhibits the greatest local structural variation among the protomers. Notably, the overall structural variation of gp41 among the U_1 and U_2 protomers is greater than that of the gp120 core structure, presumably because gp41 contributes more interactions to the interprotomer interface. Consistently, the gp120 trimer association domain (TAD), which includes the V1/V2 and V3 regions, exhibits greater conformational variation in U_2 than in U_1 , leading to an overall greater extent of asymmetry in U_2 [\(Fig. 8B](#page-12-0) and [C\)](#page-12-0). There is similarly greater gp41 structural variation among the protomers in U_2 than in U_1 .

Comparison with structures of cleaved HIV-1 Env trimers. We compared the U_1 and U_2 HIV-1_{JR-FL} Env(-) structures to those of mature (cleaved) HIV-1 Env trimers. The structure of the unliganded HIV-1_{BG505} sgp140 SOSIP.664 glycoprotein (PDB ID [4ZMJ](https://doi.org/10.2210/pdb4ZMJ/pdb)) provides an example of a stabilized soluble Env trimer with C3 symmetry ([101\)](#page-29-8). Structures of cytoplasmic tail-deleted, detergent-solubilized HIV-1 $_{JRFEL}$ and HIV-1 $_{AMCO11}$ Env Δ CT trimers have been solved in complex with Fab fragments of the PGT151 neutralizing antibody (PDB ID [5FUU](https://doi.org/10.2210/pdb5FUU/pdb) and [6OLP,](https://doi.org/10.2210/pdb6OLP/pdb) respectively) ([99,](#page-29-9) [102](#page-29-10)). Binding of the

FIG 8 Comparison of U₁ and U₂ Env(-) structures. (A) Protomer 2 of the state-U₁ and state-U₂ models are superposed, showing that protomer 1 and protomer 3 are rotated 4.0° and 2.8°, respectively. (B) Three protomers of the state- U_1 model are superposed. (C) Three protomers of the state-U₂ model are superposed. (D) With protomer 2 of the state-U₁ and state- U_2 models superposed, the C α distances between the same residues on the U₁ and U₂ structures are measured for four residues (from i to iv, T90, D230, S481, and N392). In the side views of Env(-) shown in panels B to D, gp120 is at the bottom of the figure and gp41 is at the top.

PGT151 Fabs introduces asymmetry into the Env trimer, limiting the binding stoichiometry to two Fabs per trimer.

The folds of the U_1 and U_2 Env(-) protomers resemble those of the sgp140 SOSIP.664 and PGT151-bound Env Δ CT protomers ([Fig. 9](#page-13-0)). The largest structural difference is localized in HR1_N residues 534 to 570 leading to the central 3-HB_c of gp41. When the U₁ and sgp140 SOSIP.664 trimer structures are aligned using one of the protomers, the other two protomers of U_1 exhibit rotations in opposite directions relative to the symmetric sgp140 SOSIP.664 trimer structure, causing a prominent narrowing of the opening angle between these two protomers in the U_1 trimer structure ([Fig. 9A](#page-13-0)). In contrast, when the U_1 structure is aligned to the PGT151-bound Env Δ CT trimer using the protomer free of the antibody, both the other two protomers exhibit rotations in the same direction; this results in two smaller opening angles and one notably larger opening angle in comparison with those seen in the symmetric sgp140 trimer [\(Fig. 9B](#page-13-0)). In addition to relative rotation, the gp120 components of the U_1 protomers also exhibit outward movement in both comparisons [\(Fig. 9A](#page-13-0) and [B\)](#page-13-0), giving rise to a slightly wider trimer footprint [\(Fig. 10A\)](#page-14-0). Some local divergence in the gp120 V1/V2 region and gp41 α 8 helix between HIV-1_{JR-FL} Env(-) and HIV-1_{BG505} sgp140 SOSIP.664 likely results from strain-dependent differences in primary sequence. Consistent with this explanation, the protomer structures of the $Env(-)$ and Env Δ CT trimers, both derived from the HIV-1_{JR-FL} strain, align well in these regions. As is

FIG 9 Comparison of Env($-$) structures with those of cleaved HIV-1 Envs. (A) Left, protomer 1 of the state-U₁ trimer is superposed on the unliganded HIV-1_{BG505} sgp140 SOSIP.664 trimer (PDB ID [4ZMJ\)](https://doi.org/10.2210/pdb4ZMJ/pdb) [\(101\)](#page-29-8), demonstrating how the other two protomers in state U_1 are rotated toward each other. Right, side views of the superposed protomers, with red parts representing the major areas of difference between the two protomers. (B) Left, protomer 1 of the state- U_1 trimer is superposed on the HIV-1_{JR-FL} Env Δ CT trimer complexed with PGT151 Fabs (PDB ID [5FUU\)](https://doi.org/10.2210/pdb5FUU/pdb) [\(102](#page-29-10)), indicating that binding of the PGT151 antibodies introduces asymmetry into the Env trimer that differs from that of U₁. Right, side views of the superposed protomers, with red parts representing the major areas of difference between the two protomers. In the side views of the Env protomers shown on the right in panels A and B, gp120 is at the bottom of the figure and gp41 at the top.

the case for all current HIV-1 Env trimer structures, the gp41 membrane-proximal external region (MPER) and transmembrane region are disordered in the U_1 and U_2 maps.

We next compared the topology of the $Env(-)$ trimers to that of cleaved Env trimers. The interprotomer distances between arbitrarily chosen atoms on the outer surface of gp120 and gp41 provide a measure of trimer geometry [\(Fig. 10A\)](#page-14-0). Of the trimers that we compared, the symmetric HIV- 1_{BGS05} sgp140 SOSIP.664 trimer is the most tightly packed, with the respective gp120 and gp41 sides 77.3 and 39.3 Å in length. The two sides of the Env Δ CT trimers bound to the PGT151 antibody Fabs are similar in length (gp120, 75.4 and 77.1 Å/gp41, 37.4 and 37.4 Å, and gp120, 75.5 and 76.0 Å/gp41, 37.5 and 37.8 Å, in the HIV-1_{JR-FL} and HIV-1_{AMC011} Env Δ CT trimers, respectively); these Fab-bound sides are shorter than the "opened" unliganded side (gp120, 83.6 Å/gp41, 46.2 Å, and gp120, 84.8 Å/gp41, 46.6 Å, in the HIV-1_{JR-FL} and HIV-1_{AMC011} Env Δ CT trimers, respectively). The asymmetry of the U₁ Env(-) trimer is qualitatively similar to that of the U_2 trimer; the asymmetry of the Env($-$) trimers is distinguished by three sides of different lengths and therefore differs from the asymmetry in the

FIG 10 Comparison of Env trimer geometry among Env(-) trimers and mature Env trimers. (A) The interprotomer distances (in \AA) between selected atoms of gp120 and gp41 are shown for different Env structures: the smaller, inner triangle depicts distances measured between gp41 residues W628 and I635; the larger, outer triangle depicts distances measured between gp120 residues A336 and Q352. The U_1 and U_2 structures are compared with those of the unliganded sgp140 SOSIP.664 trimer (PDB ID [4ZMJ](https://doi.org/10.2210/pdb4ZMJ/pdb)) [\(101\)](#page-29-8) and the PGT151-bound HIV-1_{JR-FL} and HIV-1_{AMC011} Env Δ CT trimers (PDB IDs [5FUU](https://doi.org/10.2210/pdb5FUU/pdb) and [6OLP](https://doi.org/10.2210/pdb6OLP/pdb), respectively) [\(102,](#page-29-10) [105](#page-29-7)). For 5FUU and [6OLP,](https://doi.org/10.2210/pdb6OLP/pdb) the sides of the Env trimer that are bound by the PGT151 Fabs are marked. (B) The three gp120 subunits of four Env trimer atomic structures were superposed with the gp120 subunits of the state-U₁ Env($-$) trimer. Each protomer was aligned separately. After gp120 alignment, the relative positions of the gp41 HR1_c helixes are jointly shown here. In each case, the U₁ HR1_c helices are colored cyan. With gp120 aligned, the gp41 in state U₁ is displaced compared with the other structures. Upper row, top views of 3helix bundles; bottom row, side views of 3-helix bundles. 5FYK is the structure of an HIV-1_{JR-FL} sgp140 SOSIP.664 trimer complexed with several neutralizing antibody Fabs [\(63](#page-28-18)).

 $Env\Delta CT$ trimers induced by the PGT151 antibody. Notably, the average lengths of the $qp120/qp41$ sides of the Env($-$) trimers are greater than those of the unliganded sgp140 SOSIP.664 or PGT151-bound Env Δ CT trimers, indicating that the uncleaved Env $(-)$ trimers are packed less tightly than the cleaved Env trimers.

To evaluate the basis for the increased "openness" of the uncleaved $Env(-)$ trimers, we compared the structures of the gp41 3-HB_c coiled coil and HR1_N region in the Env $(-)$ and cleaved Env trimers. Changes in the packing or orientation of the 3-HB_C coiled coil could potentially influence trimer topology. Although it appears that the crossing angles between two adjacent helices in the gp41 3-HB_c coiled coil are very similar in

the U_1 and U_2 trimers, these 3-HB_C helices exhibit differential packing and asymmetric features in U_1 and U_2 that are amplified into a greater degree of overall trimeric asym-metry. Compared to the PGT151-bound cleaved Env structures (PDB ID [5FUU](https://doi.org/10.2210/pdb5FUU/pdb) and [6OLP\)](https://doi.org/10.2210/pdb6OLP/pdb), the U₁ conformation has clearly larger crossing angles and thus a greater 3-HB_C coiled-coil footprint ([Fig. 10B\)](#page-14-0). In contrast, the crossing angles in U_1 are nearly identical to those of the sgp140 SOSIP.664 trimers, but the U_1 3-HB_C helices exhibit marked translation in opposite directions that breaks the trimer symmetry seen in the crystal structures of the sgp140 SOSIP.664 trimers (PDB ID [5FYK](https://doi.org/10.2210/pdb5FYK/pdb) and [4ZMJ](https://doi.org/10.2210/pdb4ZMJ/pdb)). Being able to sustain structural rearrangements involving both of the orthogonal degrees of freedom demonstrates that the Env trimer metastability and lability is potentially rooted in the conformational plasticity and flexibility of the central $3-HB_c$ structure.

Despite a high degree of primary sequence conservation among HIV-1 strains, the $gp41$ HR1_N region (residues 541 to 570) exhibits significant conformational polymorphism among current HIV-1 Env trimer structures. In the pretriggered (state-1) Env conformation, the gp41 HR1 $_N$ region has been implicated in the noncovalent association with gp120; in the prehairpin intermediate (state 3), the HR1 $_{\text{N}}$ region forms part of the extended HR1 helical coiled coil ([14](#page-26-6)[–](#page-29-12)[16,](#page-27-0) [106](#page-29-11)–[108](#page-29-13)). Therefore, HR1 $_{\text{N}}$ may transition from an as-yet-unknown state-1 structure to a helical coiled coil (state 3) as Env "opens" upon binding CD4. The HR1_N region is relatively disordered in most sgp140 SOSIP.664 structures, probably as a result of the I559P change used to stabilize these soluble Env trimers [\(109](#page-29-14)–[112\)](#page-29-15). Even in asymmetric structures of sgp140 SOSIP.664 trimers bound to soluble CD4 and the E51 CD4-induced antibody ([113\)](#page-29-16), $HR1_N$ disorder precludes analysis. We therefore limited our comparison to asymmetric Env trimers for which $HR1_N$ structural information is available. Comparison of the HR1 $_{N}$ conformation in the asymmetric Env trimers suggested that the helicity of the HR1 $_N$ region is related to the</sub> degree of "openness" of the corresponding protomer [\(Fig. 11](#page-16-0)). Lower helicity of the $HR1_N$ region leads to a somewhat collapsed conformation that is correlated with a smaller interprotomer opening angle. This is consistent with the notion that a nonhelical, loop-like, and more collapsed HRI_{N} , which is located in the crevice formed by the protomer arms, would not have sufficient structural strength to sustain a wider opening angle. These observations support the proposition that the HR1 $_N$ conformation is</sub> allosterically coupled with asymmetric features of the $3-HB_c$ and the overall asymmetry of the entire trimer.

 $Env(-)$ glycosylation. Most of the peptide-proximal density associated with Nlinked glycosylation is preserved in the U_1 map and was modeled [\(Fig. 12](#page-17-0)). Most distal glycan residues are not well resolved, reflecting their dynamic nature and heterogeneity. As has been previously shown, the high-mannose glycans are clustered in a patch on the surface of the gp120 outer domain [\(39,](#page-27-14) [40](#page-27-15), [63](#page-28-18), [114](#page-30-3)). No glycan-associated density on Asn 297 is detectable, and the glycan signal on Asn 448 is weak. The signals associated with the complex glycans on gp41 residues Asn 611 and Asn 637 are buried in noise. The most membrane-proximal gp41 glycan on Asn 616 is largely modified by high-mannose glycans.

BMS-806 treatment of $Env(-)$ -expressing cells led to a reduction in the modification of glycans on Asn 88, 156, 160, 241, 362, and 463. Asn 88 and Asn 241 are located at the gp120-gp41 interface, and Asn 156 and Asn 160 are located at the trimer apex [\(Fig. 12\)](#page-17-0). Previous studies have suggested that BMS-806 can strengthen intersubunit and interprotomer interactions in the Env trimer, increasing the binding of neutralizing antibodies that recognize the gp120-gp41 interface and trimer apex ([77\)](#page-28-10). Strengthening these interactions may render the carbohydrates in these regions less available for modification to complex carbohydrates. Consistent with this, two other BMS-806-sensitive glycans (on Asn 362 and Asn 463) reside on the perimeter of the gp120 outer domain that, in a more closed trimer, might be sterically limited by interprotomer effects.

BMS-806 binding site. The binding site of BMS-806 in sgp140 SOSIP.664 complexes (PDB ID [5U7M](https://doi.org/10.2210/pdb5U7M/pdb)) has been previously characterized ([115](#page-30-4), [116](#page-30-5)). In these studies, BMS-806 was soaked into crystals of cleaved sgp140 SOSIP.664 trimers complexed with antibody fragments. In our study, BMS-806 was added to cells induced to express the

FIG 11 Relationship between HR1_N helicity and the opening angle of the trimer. (A) Sequences of the gp41 HR1_N region from three U₁ protomers are shown, with residues in α -helices highlighted in red. (B) The relationship between HR1_N helicity and the opening angle of three asymmetric HIV-1 Env trimers (U₁ and two PGT151-Fab-bound Env Δ CT trimers (PDB ID [5FUU](https://doi.org/10.2210/pdb5FUU/pdb) and [6OLP](https://doi.org/10.2210/pdb6OLP/pdb)]) is shown. The x axis represents the opening angle for each of three sides, measured using the "angle_between_domains" command in PyMOL ([141](#page-30-7)). The y axis represents the number of residues in an α -helical conformation for the HR1_N region of that side. (C) The HR1_N and HR1_c regions from the three indicated atomic models are superposed. (D) The HR1_N regions from the three protomers in state U_1 are shown.

uncleaved full-length $Env(-)$ trimers. In the $Env(-)$ maps, density corresponding to the location of BMS-806 in the sgp140 SOSIP.664 complexes is evident. In the $Env(-)$ complexes, BMS-806 is located in the gp120 Phe 43 cavity and the adjacent water-filled channel, sandwiched between Trp 427 and Trp 112. Although the level of resolution does not allow unambiguous definition of the binding mode, the position and orientation of BMS-806 are consistent with those in the sgp140 SOSIP.664 complexes [\(115](#page-30-4), [116\)](#page-30-5) ([Fig. 13\)](#page-17-1). In the U_1 Env(-)–BMS-806 structure, as in the unliganded and BMS-806bound sgp140 SOSIP.664 structures ([101,](#page-29-8) [115,](#page-30-4) [116](#page-30-5)), layer 1 of the gp120 inner domain appears to be stabilized by the insertion of Trp 69 into the back end of the Phe 43 cavity, where it interacts orthogonally with Trp 112. During the course of Env binding to CD4, layer 1 is thought to undergo rearrangement to decrease the off-rate of CD4 ([117](#page-30-6)); fixation of layer 1 by BMS-806 could help to inhibit Env conformational transitions to the CD4-bound state 3.

Effect of BMS-806 on processing of wild-type HIV-1 Env. BMS-806 and its analogues block transitions from the pretriggered Env conformation; thus, addition of these compounds to cleaved and uncleaved Envs on virions enriches state 1 [\(Table 1](#page-3-0)) ([42,](#page-27-7) [53,](#page-28-14) [54](#page-28-15), [77](#page-28-10), [79\)](#page-28-12). The studies shown in [Fig. 2D](#page-4-0) to [F](#page-4-0) suggest that limiting the conformational flexibility of the cleavage-defective $Env(-)$ by exposing $Env(-)$ -expressing cells to BMS-806 can influence the processing of carbohydrate structures. To evaluate more thoroughly how Env conformation influences its processing, we used A549-Gag/ Env cells, which produce virus-like particles (VLPs) containing Env ([70\)](#page-28-8). The wild-type HIV- 1_{AD8} Env in the A549-Gag/Env cells is proteolytically processed and the VLPs

FIG 12 HIV-1 $_{JRFL}$ Env(-) glycan structure. Glycans on state-U₁ trimers are colored according to the following scheme: glycans that exhibit significant decreases in the addition of processed glycans as a result of BMS-806 treatment are colored purple, high-mannose glycans are colored yellow, and the remaining mixed or processed glycans that are not affected by BMS-806 binding are colored green.

contain mostly cleaved Env, as is the case for authentic HIV-1 virions ([70](#page-28-8)). Therefore, the use of A549-Gag/Env cells allowed us to evaluate the effects of BMS-806 on the cleavage and glycosylation of wild-type HIV-1 Env in cells and on VLPs.

We incubated A549-Gag/Env cells with BMS-806 and studied Env in cell lysates and VLPs. BMS-806 treatment during Env expression resulted in a decrease in the efficiency of Env cleavage ([Fig. 14A](#page-18-0)). The uncleaved Env produced in the presence of BMS-806 was efficiently incorporated into VLPs [\(Fig. 14B](#page-18-0)). This contrasts with the relative exclusion of uncleaved Env from VLPs produced in the absence of BMS-806 ([Fig. 14B](#page-18-0)) [\(70\)](#page-28-8). In the untreated cells, some of the glycans on the uncleaved Env are endoglycosidase Hf resistant and therefore are complex carbohydrates ([Fig. 14A\)](#page-18-0). The endoglycosidase Hf-resistant fraction of the uncleaved Env migrated faster on SDS-polyacrylamide gels following BMS-806 treatment, indicating that fewer complex sugars are added to Env produced in A549-Gag/Env cells treated with BMS-806 ([Fig. 14A](#page-18-0)). Nonetheless, in the BMS-806-treated cells, the uncleaved Env that is modified by complex glycans (and therefore has passed through the Golgi apparatus) is incorporated into VLPs ([Fig. 14B\)](#page-18-0). These results suggest that the BMS-806-induced reduction in the conformational flexibility of the Env precursor decreases the efficiency of gp160 cleavage and addition of some complex glycans, without significantly affecting Env transport through the Golgi apparatus or incorporation into VLPs.

DISCUSSION

The uncleaved HIV-1 Env serves as a precursor to the cleaved functional Env and, by eliciting poorly neutralizing antibodies, as a potential decoy to the host immune

FIG 13 BMS-806 binding site. The BMS-806 binding sites within three protomers of the state-U, structure (cyan) are compared with those in the BMS-806-bound sgp140 SOSIP.664 trimer (PDB [5U70](https://doi.org/10.2210/pdb5U70/pdb)) (green) ([115\)](#page-30-4).

FIG 14 Effect of BMS-806 on the synthesis, processing, and glycosylation of wild-type HIV-1_{AD8} Env. A549-Gag/Env cells were treated with BMS-806 (10 μ M) or mock treated during doxycycline induction of Gag/Env expression. Lysates were prepared from cells (A) and supernatants containing virus-like particles (VLPs) (B) and were treated with peptide-Nglycosidase F (PNGase F) or endoglycosidase Hf (Endo Hf) or were mock treated (no Rx). The Envs were run on reducing SDS-polyacrylamide gels and analyzed by Western blotting. The deglycosylated gp160, gp120, and gp41 proteins (dgp160, dgp120, and dgp41, respectively) are indicated by arrows (red, PNGase F-treated sample; green, Endo Hf-treated sample). Data in this figure are representative of those obtained in two independent experiments.

system. Antibody or ligand binding and smFRET analyses indicate that the Env precursor can sample multiple conformations that resemble states 1, 2, and 3 of the mature viral Env spike ([71](#page-28-9)–[79\)](#page-28-12). The conformational plasticity of the Env precursor contrasts with the behavior of the mature Env, which in the absence of ligands largely resides in state 1 ([42](#page-27-7), [79](#page-28-12)). Therefore, proteolytic cleavage stabilizes state-1 Env, which is highly resistant to neutralization by antibodies recognizing other Env conformations. Although proteolytic maturation also primes the membrane-fusing potential of other class I viral membrane fusion proteins, the effects of cleavage on HIV-1 Env conformational plasticity are unusual. For example, crystal structures comparing the influenza virus hemagglutinin precursor, HA0, with the cleaved HA1/HA2 trimer showed differences only in the immediate vicinity of the cleavage site [\(118](#page-30-8)). Uncleaved HIV-1 Envs can be transported from the endoplasmic reticulum to the cell surface by bypassing the Golgi apparatus or, when trafficking through the classical secretory pathway, by escaping furin cleavage in the Golgi apparatus ([70\)](#page-28-8). Both subsets of uncleaved Envs on the surface of expressing cells can be recognized by pNAbs and therefore represent a potentially abundant source of Env conformations other than state 1 [\(70](#page-28-8), [77\)](#page-28-10). Moreover, the death of infected cells through viral cytopathic effects or immune-mediated cytolysis would result in the presentation of significant quantities of uncleaved Env to the host immune system. The resulting diversion of host antibody responses away from state-1 Env, the major target for neutralizing antibodies, would have considerable advantages for a persistent virus like HIV-1.

BMS-806 can enrich state 1 in the uncleaved membrane-anchored Env ([77](#page-28-10), [79\)](#page-28-12), and BS3 cross-linking could hypothetically help to stabilize this conformation. Nonetheless, once $Env(-)$ glycoproteins were solubilized in detergent, these treatments did not prevent $Env(-)$ from assuming non-state-1 conformations. The loss of membrane interactions [\(119](#page-30-9)), the effects of detergents, or other manipulations during purification may have contributed to diminished state-1 occupancy in this case. Although the level of state 1 in our preparation is lower than that seen in BMS-806-treated virion Envs, the conformational profile of the purified $Env(+)$ is quite similar to that of a cleavage-defective membrane Env in the absence of BMS-806 [\(Table 1\)](#page-3-0) [\(79](#page-28-12)).

Our structural and biophysical analyses indicate that the cleaved Env conformation seen in the sgp140 SOSIP.664 trimers is also sampled by the uncleaved Env but, notably, in an asymmetric fashion. Thus, although the asymmetry of the U_1 and U_2 uncleaved Env trimers alters the quaternary relationships among the Env protomers, the fold of the individual Env(-) protomers resembles those of sgp140 SOSIP.664 and PGT151-bound $Env\Delta CT$ trimers. Analysis by smFRET has suggested that these Envs are predominantly in a state-2-like conformation [\(120](#page-30-10)). By analogy, we deduce that U_1 and U_2 represent state-2-like conformations. State 2 has been suggested to represent a default intermediate conformation favored by Envs that experience a destabilization of state 1 [\(48](#page-27-9), [49,](#page-27-10) [54](#page-28-15), [120,](#page-30-10) [121](#page-30-11)). CD4 binding to the wild-type HIV-1 Env trimer sequentially induces state-2 and state-3 conformations in the bound protomer, whereas the other, ligand-free protomers in the Env trimer assume state-2 conformations [\(49](#page-27-10)). Although PGT151 is a broadly neutralizing antibody and can presumably interact with state-1 Envs, it induces asymmetry in the Env trimer, causing the Env protomers to assume state-2-like conformations [\(120](#page-30-10)). Thus, breaking symmetry in the HIV-1 Env trimer often results in the adoption of a state-2 conformation, consistent with the proposed default nature of this intermediate.

Asymmetry of both uncleaved and cleaved Env trimers appears to be related to the structural plasticity and flexibility of the $qp41$ HR1_N region, which is directly situated in the interprotomer interface and is allosterically coupled with the quaternary Env conformation. On the one hand, the HR1_N structure can directly affect the packing of the central 3-HB_c coiled coils; on the other hand, the HR1_N rigidity can allosterically regulate the interprotomer opening angle. Mutagenesis studies have suggested that in the pretriggered (state-1) Env conformation, the $HR1_N$ region contributes to the noncovalent association of gp120 with gp41 [\(106](#page-29-11)[–](#page-29-12)[108\)](#page-29-13). We observed a relationship between the interprotomer opening angle of asymmetric Env trimers and HRI_N helicity. As initial CD4 binding to the Env trimer occurs asymmetrically, with state-2 conformations assumed by the unbound protomers [\(49](#page-27-10)), the HR1_N regions presumably transition from as-yet-unknown state-1 conformations to predominantly helical conformations. Subsequent assembly of three HR1_N helices into the extended gp41 coiled coil [(HR1_{N+C})₃] projects the fusion peptide toward the target membrane.

The symmetry of the mature, pretriggered (state-1) HIV-1 Env trimer likely contributes to its ability to evade pNAbs. Clues to the symmetry of Env trimers can be provided by the efficiency with which interprotomer cross-links form, resulting in trimers that withstand SDS-PAGE. We previously observed that the fraction of cell surface wildtype HIV-1 Env recognized by bNAbs cross-links into gel-stable trimers, whereas the cell surface Env recognized by pNAbs cross-links into dimers and monomers [\(70\)](#page-28-8). Likewise, in the present study ([Fig. 7](#page-10-0)), the pNAb-reactive (non-state-1) subset of crosslinked $Env(-)$ trimers on cell surfaces or in detergent lysates contained fewer gel-stable trimers than the bNAb-reactive subset that includes state-1 Env($-$). These patterns of cross-linking are consistent with a greater occupancy of asymmetric states by the p NAb-reactive, non-state-1 Env $(-)$ population, which by deduction is associated with the U₁ cryo-EM class. The asymmetry observed for the uncleaved Env(-) U₁ and U₂ trimers potentially allows pNAbs to access their epitopes with minimal steric hindrance. Indeed, pNAbs directed against the gp120 V3 region or CD4-binding site can be docked into the open face of the U_1 . Env trimer with only minimal readjustment of surrounding structures to remove steric clashes (data not shown). Maintaining C3 symmetry may be one prerequisite for preserving an antibody-resistant state-1 Env conformation. Our study implicates the conformationally labile gp41 HR1_N segment in maintaining trimer symmetry, and the high-resolution structure of this functionally important region in a state-1-compatible Env conformation is a future goal.

The intrinsic conformational heterogeneity of the uncleaved HIV-1 Env trimer and the low occupancy of certain conformational states present significant challenges to their structural characterization. Previous studies of detergent-solubilized uncleaved HIV-1 Envs with truncated cytoplasmic tails were performed without extensive 3D classification and with C3 symmetry imposed, resulting in lower-resolution structures [\(122](#page-30-12), [123\)](#page-30-13). Our current study takes advantage of subsequent advances in 3D classification in cryo-EM technology and data processing to identify two major classes of $Env(-)$ trimers, both asymmetric. Cryo-EM and smFRET analyses support the existence of other conformations in the $Env(-)$ preparation, but high-resolution reconstruction of these conformers was unsuccessful [\(92\)](#page-29-4). Current 3D hierarchical classification methods are prone to ignore or completely miss lowly populated conformational states or experience difficulties in precisely classifying these low-population conformations, which then leads to insufficient resolution for structure determination and functional interpretation [\(124](#page-30-14)). A more complete characterization of the multiple conformations assumed by the uncleaved HIV-1 Env may require approaches better able to deal with a high degree of structural heterogeneity than maximum-likelihood-based 3D classification [\(124](#page-30-14), [125](#page-30-15)).

BMS-806 inhibits HIV-1 entry, blocking CD4-induced transitions of the mature Env from a pretriggered (state-1) conformation to downstream states [\(42](#page-27-7), [53,](#page-28-14) [54,](#page-28-15) [77](#page-28-10), [79\)](#page-28-12). On the cell or viral membrane, uncleaved Env can respond to treatment with BMS-806 by increasing the occupancy of state 1 ([77,](#page-28-10) [79\)](#page-28-12). Consequently, BMS-806 decreases recognition of uncleaved Env by pNAbs, whereas recognition by most bNAbs is maintained or increased ([55,](#page-28-19) [77\)](#page-28-10). We found that BMS-806 also exerts a significant effect on Env during its maturation. BMS-806 treatment of cells expressing wild-type HIV-1 Env resulted in decreases in both gp160 cleavage and modification by complex carbohydrate structures; transport through the Golgi apparatus and incorporation into VLPs were not apparently blocked by BMS-806. These observations imply that gp160 conformational flexibility contributes to the efficiency with which the Env precursor is acted upon by furin and glycosylation enzymes. The requirement that functional Env is cleaved [\(25,](#page-27-5) [126](#page-30-16)) therefore provides selective pressure to maintain flexibility in the HIV-1 Env precursor. The resulting conformational heterogeneity of the Env precursor represents a potential advantage for a persistent virus like HIV-1 by skewing host antibody responses away from state 1. For immunization strategies employing membraneanchored HIV-1 Env or during natural HIV-1 infection, treatment with BMS-806 analogues could potentially increase the presentation of the state-1 Env conformation to the immune system. BMS-806 analogues ([77\)](#page-28-10) could also assist future investigation of state-1-like conformations of uncleaved and cleaved HIV-1 Env trimers.

MATERIALS AND METHODS

Protein expression and purification. For expression of the uncleaved full-length membrane-anchored HIV-1 $_{IR-F1}$ Env(-) glycoprotein, the env cDNA was codon optimized and cloned into an HIV-1-based lentiviral vector. These Env sequences contain a heterologous signal sequence from CD5 in place of that of wild-type HIV-1 Env. The proteolytic cleavage site between gp120 and gp41 was altered, with two serine residues substituted for Arg 508 and Arg 511. In the HIV-1 $_{JR+FL}$ Env(-) glycoprotein, the amino acid sequence LVPRGS-(His)₆ was added to the C terminus of the cytoplasmic tail. For Env($-$) expression, the env coding sequences were cloned immediately downstream of the tetracycline (Tet)-responsive element (TRE). Our expression strategy further incorporated an internal ribosomal entry site (IRES) and a contiguous puromycin (puro) T2A enhanced green fluorescent protein (EGFP) open reading frame

downstream of env (TRE-env-IRES-puro.T2A.EGFP). Uncleaved membrane-anchored Env(-) was produced by exogenous expression in CHO cells. Briefly, the HIV-1-based lentiviral vector encoding HIV-1_{JR-FL} $Env(-)$ was packaged, pseudotyped with the vesicular stomatitis virus (VSV) G protein, and used to transduce CHO cells (Invitrogen) constitutively expressing the reverse Tet transactivator (rtTA). High-producer clonal cell lines were derived using a FACSAria cell sorter (BD Biosciences) to isolate individual cells expressing high levels of EGFP. The integrity of the recombinant env sequence in the clonal lines was confirmed by sequence analysis of PCR amplicons. Clonal cultures were adapted for growth in a serum-free suspension culture medium (CDM4CHO; Thermo Fisher).

For the exogenous production of the $Env(+)$ glycoprotein, cells were expanded in a suspension culture using a roller bottle system (Thermo) and were treated with 1 μ g/ml of doxycycline and 10 μ M BMS-378806 (herein referred to as BMS-806) (Selleckchem) after reaching a density of $>4 \times 10^6$ cells per ml. After 18 to 24 h of culture with doxycycline and BMS-806, the cells were harvested by centrifugation. During the remainder of the purification procedure, 10 μ M BMS-806 was added to all buffers. The cell pellets were homogenized in a homogenization buffer (250 mM sucrose, 10 mM Tris-HCl [pH 7.4], and a cocktail of protease inhibitors [Roche Complete EDTA-free tablets]). Membranes were then extracted from the homogenates by ultracentrifugation. The extracted crude membrane pellet was collected, resuspended in $1 \times$ phosphate-buffered saline (PBS) to a final concentration of 5 mg of wet membrane per ml of $1 \times$ PBS, and cross-linked with 5 mM BS3 (Proteochem), followed by solubilization with a solubilization buffer containing 100 mM (NH₄)₂SO₄, 20 mM Tris-HCl (pH 8.0), 300 mM NaCl, 20 mM imidazole, 1% (wt/vol) Cymal-5 (Anatrace), and a cocktail of protease inhibitors (Roche Complete EDTA-free tablets). The suspension was ultracentrifuged for 30 min at 100,000 \times g and 4°C. The supernatant was collected and mixed with a small volume of preequilibrated Ni-nitrilotriacetic acid (NTA) beads (Qiagen) for 2 h on a rocking platform at 4°C. The mixture was then injected into a small column and washed with a buffer containing 20 mM Tris-HCl (pH 8.0), 100 mM (NH_a)₂SO₄, 1 M NaCl, 30 mM imidazole, and 0.5% Cymal-5. The beads were resuspended in a buffer containing 20 mM Tris-HCl (pH 8.0), 100 mM (NH₄)₂SO₄, 250 mM NaCl, 4.5 mg/ml amphipol A8-35 (Anatrace), 0.006% decyl maltose neopentyl glycol (DMNG) (Anatrace), and a cocktail of protease inhibitors (Roche Complete EDTA-free tablets) and incubated for 2 h on a rocking platform. The mixture was applied to a column, and the buffer was allowed to flow through. The beads were then resuspended in a buffer containing 20 mM Tris-HCl (pH 8.0), 100 mM (NH_4) ₂SO₄, 250 mM NaCl, 4.5 mg/ml amphipol A8-35 (Anatrace), and a cocktail of protease inhibitors (Roche Complete EDTA-free tablets) and incubated for 2 h on a rocking platform. The mixture was added to a column, and the buffer was allowed to flow through, followed by washing with 10 bed volumes of a buffer containing 20 mM Tris-HCl (pH 8.0), 100 mM (NH_a)₂SO₄, and 250 mM NaCl. Proteins were eluted from the bead-filled column with a buffer containing 20 mM Tris-HCl (pH 8.0), 100 mM (NH₄)₂SO₄, 250 mM NaCl, and 250 mM imidazole. The buffer of the eluted Env(-) glycoprotein solution was exchanged with imaging buffer containing 20 mM Tris-HCl (pH 8.0), 100 mM (NH₄)₂SO₄, and 250 mM NaCl with a centrifugal filter (Millipore) and was concentrated. Before cryo-plunging, Cymal-6 (Anatrace) was added to the $Env(-)$ glycoprotein solution at a final concentration of 0.005%.

Expression of wild-type HIV-1 Env and VLPs. Human A549 lung epithelial cells (ATCC) inducibly expressing Env and an HIV-1 Gag-mCherry fusion protein under the control of a tetracycline-regulated promoter were established as described previously [\(70\)](#page-28-8). Briefly, A549-rtTA cells constitutively expressing the reverse tet transactivator were transduced with an HIV-1-based lentivirus vector expressing Rev and Env from HIV-1_{AD8}, a primary HIV-1 strain [\(127\)](#page-30-17). These A549-Env cells were transduced with a lentivirus vector expressing the HIV-1 Gag precursor fused with mCherry ([70\)](#page-28-8). The doxycycline-regulated expression of the Gag-mCherry fusion protein resulted in the release of Env-containing VLPs into the medium. Herein, we designate these cells A549-Gag/Env. The A549-Gag/Env cells were grown in Dulbecco's modified Eagle medium (DMEM)/F12 supplemented with 10% fetal bovine serum (FBS), L-glutamine, and penicillin-streptomycin.

Antibodies. Antibodies against HIV-1 Env were kindly supplied by Dennis Burton (Scripps), Peter Kwong and John Mascola (Vaccine Research Center, NIH), Barton Haynes (Duke), Hermann Katinger (Polymun), James Robinson (Tulane), and Marshall Posner (Mount Sinai Medical Center). In some cases, anti-Env antibodies were obtained through the NIH AIDS Reagent Program. Antibodies for Western blotting include goat anti-gp120 polyclonal antibody (ThermoFisher) and the 4E10 human anti-gp41 antibody (Polymun). A horseradish peroxidase (HRP)-conjugated goat anti-human IgG (Santa Cruz) and an HRP-conjugated rabbit anti-goat antibody (ThermoFisher) were used as secondary antibodies for Western blotting.

Single-molecule FRET: sample preparation, data acquisition, and analysis. Analysis of the conformational dynamics of HIV-1 Env was performed after enzymatic labeling of the V1 and V4 regions of gp120 on the purified (His)₆-tagged HIV-1_{JR-FL} Env(-) glycoprotein with Cy3 and Cy5 fluorophores, respectively, as previously described [\(42](#page-27-7)). A transfection ratio of 20:1 of nontagged to V1/V4-tagged HIV- $1_{R,FI}$ Env(-) was used to ensure that only one protomer within a trimer carries enzymatic tags for sitespecific labeling. The HIV-1 $_{J_R,F_L}$ Env(-) glycoprotein was purified from transiently expressing 293T cells that had been treated with BMS-806 and cross-linked with BS3, as described above. The purified HIV-1 $_{IR-FL}$ Env(-) glycoprotein in buffer [20 mM Tris-HCl (pH 8.0), 10 mM MgCl₂, 10 mM CaCl₂, 100 mM (NH4)₂SO₄, 250 mM NaCl, 0.005% Cymal-6, 10 μ M BMS-806] was labeled with Cy3B(3S)-cadaverine (0.5 μ M), transglutaminase (0.65 μ M; Sigma-Aldrich), LD650-CoA (0.5 μ M) (Lumidyne Technologies), and AcpS (5 μ M) at room temperature overnight. After labeling, Env(-) trimers were purified using Zeba spin desalting columns (ThermoFisher) to remove free dyes. Finally, prior to imaging, fluorescence-labeled HIV-1_{JR-FL} Env(-) carrying the (His)₆ epitope tag was incubated with biotin-conjugated anti-(His)₆ tag antibody (HIS.H8; Invitrogen) at 4°C for 2 h.

All smFRET data were acquired on a home-built total internal reflection fluorescence (TIRF) micro-scope, as previously described ([42,](#page-27-7) [128\)](#page-30-18). Fluorescently labeled HIV-1 $_{J_R,F_L}$ Env(-) trimers were immobilized on passivated streptavidin-coated quartz microscopy slides and washed with preimaging buffer specifically made for this experiment. The preimaging buffer consisted of 20 mM Tris HCl (pH 8.0), 100 mM (NH4)₂SO₄, 250 mM NaCl, 0.005% Cymal-6, and 10 μ M BMS-806. For smFRET analysis, a cocktail of triplet-state quenchers and 2 mM protocatechuic acid (PCA) with 8 nM protocatechuic 3,4-dioxygenase (PCD) was added to the above preimaging buffer to remove molecular oxygen. Cy3 and Cy5 fluorescence was detected with a $60 \times$ water-immersion objective (Nikon), split by a diachronic mirror (Chroma), and imaged on two synchronized ORCA-Flash4.0v2 sCMOS cameras (Hamamatsu) at 40 frames/s for 80 s.

smFRET data analysis was performed on the customized Matlab (Mathworks) program SPARTAN [\(127\)](#page-30-17). Fluorescence intensity trajectories were extracted from recorded movies, and FRET efficiency (FRET) was calculated based on FRET = $I_A/(\gamma_D + I_A)$, where I_D and I_A are the fluorescence intensities of do-
nor (D) and accoptor (A), respectively, and wis the correlation coefficient which incorporates the differnor (D) and acceptor (A), respectively, and γ is the correlation coefficient, which incorporates the difference in quantum yields of donor and acceptor and detection efficiencies of the donor and acceptor channels. FRET trajectories were further compiled into a FRET histogram, which provides information about the distribution of $Env(-)$ molecules among the conformational states. The state distributions in the FRET histogram were then fitted to the sum of three Gaussian distributions (based on previously identified FRET trajectories) ([42](#page-27-7), [79](#page-28-12), [120](#page-30-10)) in Matlab, and the occupancy of each state was further obtained from the area under each Gaussian distribution.

Immunoprecipitation of cell surface Env. Immunoprecipitation of wild-type HIV-1_{JR-FL} Env from the surface of expressing cells, as shown in [Fig. 1A](#page-2-0), was performed as follows. One day prior to transfection, HOS cells were seeded in 6-well plates (6 \times 10⁵ cells/well). The cells were transfected the next day with 0.4 μ g of the pSVIIIenv plasmid expressing the wild-type HIV-1_{JR-FL} Env and 0.05 μ g of a Tat-expressing plasmid. Two days later, the cells were washed twice with blocking buffer (1 \times PBS with 5% FBS) and then incubated for 1 h at 4°C with 6 μ g/ μ l anti-gp120 monoclonal antibody. Cells were then washed four times with blocking buffer and four times with washing buffer (140 mM NaCl, 1.8 mM CaCl₂, 1 mM MgCl₂, and 20 mM Tris, pH 7.5) and lysed in NP-40 buffer (0.5% NP-40, 0.5 M NaCl, and 10 mM Tris, pH 7.5) for 5 min at 4°C with gentle agitation. Lysates were cleared by centrifugation at 15,000 \times g for 30 min at 4°C. Antibody-bound Env was precipitated using protein A-Sepharose beads and analyzed by SDS-PAGE and Western blotting with an HRP-conjugated rabbit anti-gp120 polyclonal serum.

The cell surface immunoprecipitation of the uncleaved HIV-1 $_{JRFL}$ Env(-) from the surface of expressing cells, as shown in [Fig. 7B](#page-10-0), was performed as follows. Twenty milliliters of CHO cells expressing HIV-1 JR-FL Env(-) (10⁶ cells/ml) was treated with 1 μ g/ml doxycycline and 10 μ M BMS-806 for 24 h. The cells were pelleted at 900 \times g for 3 min, washed with 1 \times PBS containing 10 μ M BMS-806, and cross-linked with 1 mM BS3 for 45 min. The cells were pelleted at 900 \times g for 3 min and washed with 1 \times PBS–5% FBS. After resuspension in 1.4 ml of $1 \times PBS$ –5% FBS, 200-µl aliquots of the cell suspension were incubated with 10 μ g/ml antibodies for 1 h at 4°C. The cells were washed three times with 1 ml 1 \times PBS–5% FBS and then lysed in 250 μ l lysis buffer (1 × PBS, 1% CA-630, and 1 × protease inhibitor cocktail) on ice for 5 min. The cell lysates were cleared by centrifugation at 16,100 \times g for 10 min. The cleaved lysates were incubated with 10 μ l of protein A-agarose beads for 1 h at room temperature. The precipitates were analyzed by SDS-PAGE and Western blotting with an HRP-conjugated goat anti-gp120 polyclonal serum.

Cell-based enzyme-linked immunosorbent assay (ELISA). CHO cells expressing HIV-1_{JR-FL} Env(-) were induced with 1 μ g/ml doxycycline with or without 10 μ M BMS-806. Fifteen to 24 h later, the cells were washed twice with washing buffer 1 (20 mM HEPES, pH 7.5, 1.8 mM CaCl₂, 1 mM MgCl₂, 140 mM NaCl) and cross-linked with 5 mM BS3 or incubated in buffer without cross-linker. At 45 min later, the cells were quenched with quench buffer (50 mM Tris, pH 8.0, 1.8 mM CaCl₂, 1 mM MgCl₂, 140 mM NaCl). The cells were blocked with a blocking buffer (35 mg/ml BSA, 10 mg/ml nonfat dry milk, 1.8 mM CaCl₂, 1 mM MgCl₂, 25 mM Tris, pH 7.5, and 140 mM NaCl) and incubated with the indicated primary antibody in blocking buffer for 30 min at 37°C. Cells were then washed three times with blocking buffer and three times with washing buffer 2 (140 mM NaCl, 1.8 mM CaCl₂, 1 mM MgCl₂, and 20 mM Tris, pH 7.5) and reblocked with the blocking buffer. An HRP-conjugated antibody specific for the Fc region of human IgG was then incubated with the samples for 45 min at room temperature. Cells were washed three times with blocking buffer and three times with washing buffer 2. HRP enzyme activity was determined after the addition of 35 μ l per well of a 1:1 mix of Western Lightning oxidizing and luminal reagents (Perkin Elmer Life Sciences) supplemented with 150 mM NaCl. Light emission was measured with a Mithras LB940 luminometer (Berthold Technologies).

Analysis of Env(-) glycoforms in BMS-806-treated cells. CHO cells expressing HIV-1 $_{IR-FI}$ Env(-) were treated with 1 μ M BMS-806 or an equivalent volume of the carrier, dimethyl sulfoxide (DMSO). After 18 to 24 h of culture, the cells were harvested and lysed in homogenization buffer (see above) and treated with different glycosidases in accordance with the manufacturer's instructions. The lysates were analyzed by Western blotting with an HRP-conjugated anti-HIV-1 gp120 antibody, as described above.

Analysis of Env glycopeptides. The sample preparation and mass spectrometric analysis of $Env(-)$ glycopeptides has been described previously ([39](#page-27-14), [40\)](#page-27-15), and no changes were made to the procedure for the current analysis. Briefly, the Env(-) glycoprotein was denatured with urea, reduced with TCEP [Tris (2-carboxyethyl)phosphine hydrochloride], alkylated with iodoacetamide, and quenched with dithiothreitol. The protein was then buffer exchanged and digested with trypsin alone or with a combination of trypsin and chymotrypsin, generating glycopeptides.

The glycopeptides were analyzed by liquid chromatography-mass spectrometry (LC-MS) on an LTQ-Orbitrap Velos Pro (Thermo Scientific) mass spectrometer equipped with ETD (electron transfer

TABLE 2 Cryo-EM data collection, refinement, and validation statistics

dissociation) that was coupled to an Acquity ultra performance liquid chromatography (UPLC) system (Waters). About 35 μ mol of digest was separated by reverse-phase HPLC using a multistep gradient, on a C_{18} PepMap 300 column. The mass spectrometric analysis was performed using data-dependent scanning, alternating with a high-resolution scan (30,000 at m/z 400), followed by ETD and collision-induced dissociation (CID) data of the five most intense ions. The glycopeptides were identified in the raw data files using a combination of freely available glycopeptide analysis software and expert identification, as described previously [\(39\)](#page-27-14).

Analysis of A549-Gag/Env cells and VLPs treated with BMS-806. To analyze the effect of BMS-806 on the processing of the wild-type HIV-1_{AD8} Env, 150-mm dishes of 30 to 40% confluent A549-Gag/Env cells were seeded and, on the following day, treated with 2 μ g/ml doxycycline. At the same time, 10 μ M BMS-806 was added. Approximately 72 h after induction, cell lysates and medium were harvested. To prepare VLPs, the culture medium was cleared by low-speed centrifugation (500 \times q for 15 min at 4°C) and 0.45- μ m filtration. VLPs were pelleted by centrifugation at 100,000 \times q for 1 h at 4°C. The resuspended VLP preparation was clarified by low-speed centrifugation.

Env solubilized from cell lysates and VLPs was denatured by boiling in denaturing buffer (New England Biolabs) for 10 min. Samples were mock treated or treated with peptide N-glycosidase F (PNGase F) or Endo Hf (New England Biolabs) for 1.5 h according to the manufacturer's protocol. The treated samples were then analyzed by reducing SDS-PAGE and Western blotting.

Cryo-EM sample preparation. A 3- μ l drop of 0.3 mg/ml Env(-) protein solution was applied to a glow-discharged C-flat grid (R1/1 and R1.2/1.3, 400 mesh; Protochips, CA, USA), blotted for 2 s, and then plunged into liquid ethane and flash-frozen using an FEI Vitrobot Mark IV.

Cryo-EM data collection. Cryo-EM grids were first visually screened on a Tecnai Arctica transmission electron microscope (FEI) operating at 200 kV. Qualified grids were then imaged in a 200-kV FEI Tecnai

Arctica microscope, equipped with an Autoloader, at a nominal magnification of 210,000 times and in a 300-kV Titan Krios electron microscope (FEI) equipped with a Gatan BioQuantum energy filter, at a nominal magnification of 105,000 times, operating at 300 kV ([Table 2\)](#page-23-0). Coma-free alignment and astigmatism were manually optimized prior to data collection. Cryo-EM data from the 200-kV Arctica microscope were collected semiautomatically by Leginon version 3.1 [\(129,](#page-30-19) [130\)](#page-30-20) on the Gatan K2 Summit direct electron detector camera (Gatan Inc., CA, USA) in a super-resolution counting mode, with a dose rate of 8 electrons/pixel/s and an accumulated dose of 50 electrons/ \AA^2 over 38 frames per movie. The calibrated physical pixel size and the super-resolution pixel size were 1.52 Å and 0.76 Å, respectively. The defocus for data collection was set in the range of -1.0 to -3.0 μ m. A total of 12,440 movies were collected on the 200-kV Arctica microscope without tilting the stage, from which 10,299 movies were selected for further data analysis after screening and inspection of data quality.

Cryo-EM data from the 300-kV Krios microscope, including both zero-tilted and 45°-tilted images, were collected on the K2 Summit direct electron detector (Gatan) at a pixel size of 0.685 Å in a super-resolution counting mode, with an accumulated dose of \sim 53 electrons/Å² across 40 frames per movie [\(Table 2\)](#page-23-0). With defocus ranging from -1.0 to -2.7μ m, a total of 10,929 movies were acquired across three sessions.

Zero-tilted and 45°-tilted images were collected by a semiautomatic process set up in Serial EM [\(131\)](#page-30-21), which is compatible with customized scripts. For the collection of zero-tilted movies, the process normally involved the following steps: square selection and focusing, hole selection, serial local focusing, and data acquisition. In the final step, precise adjustment of the defocus was conducted each time before recording movies for a new group of holes. However, for the collection of tilted movies, precise adjustment of the defocus was performed for all holes in the first place, followed by an extra coordinate transformation for the x axis and y axis. Tilted movies were then recorded serially with the new defocus and coordinates.

Cryo-EM data processing and analysis. The raw movie frames of each data set were first corrected for their gain reference, and each movie was used to generate a micrograph that was corrected for sample movement and drift with the MotionCor2 program ([132](#page-30-22)) at a super-resolution pixel size (0.76 Å for the 200-kV data set, 0.685 Å for the 300-kV data set); the first two frames with high drift were discarded before drift correction. These drift-corrected micrographs were used for the determination of the actual defocus of each micrograph with the CTFFind4 ([133](#page-30-23)) and Gctf [\(134\)](#page-30-0) programs. Icy or damaged micrographs were removed through manual per-image screening.

For the 200-kV data set, using DeepEM, a deep learning-based particle extraction program that we developed [\(135\)](#page-30-24), 1,436,424 particles of $Env(-)$ were automatically selected in a template-free fashion. All 2D and 3D classifications were done at a pixel size of 1.52 Å. After the first round of reference-free 2D classification, bad particles were rejected upon inspection of class-average quality, which left 1,366,095 particles. The initial model, low-pass filtered to 60 Å, was used as the input reference to conduct unsupervised 3D classification into 5 classes with C3 symmetry, using an angular sampling of 7.5° and a regu-larization parameter T of 4. Iterative 3D classification in RELION [\(136\)](#page-30-1) and ROME [\(137\)](#page-30-25) resulted in a 3D class of 121,979 particles that reached a resolution of 5.5 Å (gold standard Fourier shell correlation [FSC] at a 0.143 cutoff) after refinement, with imposition of C3 symmetry. More details of this preliminary, intermediate analysis were described in an online bioRxiv preprint [\(92\)](#page-29-4).

After screening, 8,031 300-kV micrographs were left for further processing. For the zero-tilt 300-kV data set, micrographs without dose weighting were used by Gctf ([134](#page-30-0)) to estimate the global contrast transfer function (CTF) parameters; for the 45°-tilt data set, particles were first picked by a program based on a VGG deep neural network improved from the DeepEM algorithm design ([135](#page-30-24)). The coordinates were then applied for local CTF estimation in Gctf ([134](#page-30-0)). We found that for most of 45°-tilted micrographs, confining the resolution range used for CTF determination in Fourier space improved the accuracy of the results. In our work, this was realized by setting the variable "local_resL" to 20 Å and the variable "local_resH" to 8 Å in the Gctf ([134](#page-30-0)) command. Automatic picking followed by manual examination yielded 1,941,541 particles of the HIV-1 $_{\text{IR-FL}}$ Env(-) trimers, with 785,844 zero-tilted and 1,155,697 tilted particles.

All 2D and 3D classifications of the particles from the 300-kV data sets were conducted with doseweighted micrographs generated by MotionCor2 [\(132\)](#page-30-22). Particles were stacked at 2.74 Å/pixel using a box size of 84 \times 84 for initial sorting. Two rounds of reference-free 2D classification were performed in RELION 3.0 [\(136\)](#page-30-1), followed by one round in ROME ([137](#page-30-25)), which combines maximum likelihood-based image alignment and statistical manifold learning-based classification. Bad particles were rejected upon inspection of the class average's quality after each round of 2D classification, leaving 572,205 particles for 3D refinement. The initial model for refinement was generated ab initio in RELION 3.0 ([136](#page-30-1)) using particles from diversely oriented 2D classes and was low-pass filtered to 60 Å.

3D classification and refinement of the 300-kV data set were performed in RELION 3.0 [\(136\)](#page-30-1), as summarized in [Fig. 5](#page-8-0) and [Table 3](#page-25-0). In the first round of unsupervised 3D classification, the Healpix order was enhanced from 2 to 3 at the 20th iteration. To prevent tilted particles from being separated as a sole 3D class, the resolution limit to restrict the probability calculation was set at 15 Å in the preceding 20 iterations and 10 Å in the posterior iterations. The second round of 3D classification retained the same parameters, except that K (the number of classes) was changed to 6. The third round of 3D classification was performed by local searching ($\sigma = 4$, meaning that the standard deviation of the Euler angles equals 4 times the Healpix order) to discard amorphous particles. Particles with the correct size and detailed secondary structures were selected and binned 2-fold into 1.37 Å/pixel for further refinement. The selected 278,582 particles were first aligned together by autorefinement and then were classified into 12 classes within a soft, global mask without alignment. Particles from 5 classes with complete domain

Iteration no.	K	Healpix order	Global searching or local searching	No. of particles left for next round
	4	283	Global	479,120
2	6	283	Global	362,017
3	8	4	Local, σ = 4	278,582
4	12			271,277
5	8	4	Local, σ = 4	243,313
	Retrieve and combine			
6	4	283	Global	284,664
	3	2	Global	269,801
8	4	2	Global	265,901
9	8	4	Local, σ = 4	229,246
10	6	4	Local, σ = 4	223,613
11	6	4	Local, σ = 8	211,023
12	6	4	Local, σ = 4	164,789
13	5	5	Local, σ = 4	156,714
14	5	5	Local, σ = 4	

TABLE 3 Summary of 3D classification parameters (300-kV data set)

constitution were sorted out and used for per-particle CTF refinement in RELION 3.0 ([136](#page-30-1)). Imposed with updated CTF correction, the sorted stacks were classified with local searching into two major classes.

As observed in Chimera [\(138\)](#page-30-26), the distribution of particles concentrated in the top-view orientation for both maps, leading to anisotropy of the final resolution. Therefore, we retrieved the tilt-view particles excluded by previous rounds of 3D classification and combined them with particles from the two classes. This was accomplished by several rounds of screening satisfying classes from the results of deep 2D classification in ROME ([137](#page-30-25)). The new particle data set, containing 171,342 zero-tilted particles and 157,607 45°-tilted particles, was used for one round of 3D classification under global searching with Healpix order 2. Particles from 3 of the 4 classes were identified as HIV-1 $_{\text{IR-FL}}$ Env(-) trimers with improved isotropic resolution; these 284,664 particles were combined for the next round of 3D classification. A third round of 3D classification using the same parameters except for $K = 4$ was performed to exclude particles with poor quality. The principal class consisting of 96% of this round's particles was reserved.

For elaborate 3D classification, we adopted a hierarchical enhancement of Healpix order in the next 6 rounds ([Table 3](#page-25-0)): sorted particles from the previous round of 3D classification were used for autorefinement, followed by classification into multiple classes with local searching under a Healpix order of 4. In every round, this process produced a major class consistent with the structure of the conventional Env trimer and consisting of more than 80% of the input particles, while the other classes appeared in incomplete form. Therefore, this major class of particles was used for autorefinement and was chosen as input for the next round of 3D classification. This classification-selection-refinement-classification process was iterated four times, using different K (class number) values and the same Healpix order 4, until the result demonstrated more than one principal class. C1 symmetry was imposed throughout all these unsupervised 3D classifications. In the last two rounds, we enhanced the Healpix order to 5 to perform local-searching 3D classification again and finally obtained five classes. Four of these classes, consisting of 97% of the input particles, exhibited different degrees of asymmetry. By carefully comparing their features, two classes with similar topology were designated state U_1 while the other two classes were designated state U_{2} , containing 123,372 and 55,571 particles, respectively. The last round of autorefinement for the U_1 and U_2 data sets was done in RELION 3.0 ([136](#page-30-1)), applied with a soft-edged global mask when it fell into local searching. According to the in-plane shift and Euler angles of each particle from the final refinement, we reconstructed the two half-maps of each state at a super-resolution counting mode with a pixel size of 0.685 Å. The overall masked resolutions for the reconstructed maps of state U_1 and state U_2 were 4.1 Å and 4.7 Å, respectively, measured by the gold standard FSC at a 0.143 cutoff.

Atomic model building and refinement. The symmetric structure of the HIV-1 $_{\text{BGS05}}$ sgp140 SOSIP.664 trimer with three BMS-806 molecules bound (PDB ID [6MTJ](https://doi.org/10.2210/pdb6MTJ/pdb)) ([115](#page-30-4)) and the asymmetric structure of the HIV-1_{JR-FL} Env Δ CT glycoprotein bound to PGT151 Fabs (PDB ID [5FUU\)](https://doi.org/10.2210/pdb5FUU/pdb) [\(102\)](#page-29-10) were used as reference models to build a U_1 structure. The template structures were docked in Coot [\(139\)](#page-30-27), and then main chain and side chain fitting was improved manually to generate the starting coordinate file. The fitting of the U_1 model was further improved by real_space_refinement with secondary structure restraints in Phenix [\(140](#page-30-28)). Glycans of U_1 were manually refined in Coot ([139](#page-30-27)) with "Glycan" model, using PDB ID [5FUU](https://doi.org/10.2210/pdb5FUU/pdb) as a reference. The U_1 model was used as a whole to perform rigid-body fitting into the U_2 density. Structural comparison was conducted in PyMOL [\(141\)](#page-30-7) and Chimera ([138](#page-30-26)). All figures of the structures were produced in PyMOL ([141](#page-30-7)).

Data availability. The cryo-EM reconstructions of states U_1 and U_2 reported in this paper have been deposited in the Electron Microscopy Data Bank under accession numbers EMD-23860 and EMD-23861, respectively. The models of U_1 and U_2 have been deposited in the Protein Data Bank under ID codes [7N6U](https://doi.org/10.2210/pdb7N6U/pdb) and [7N6W.](https://doi.org/10.2210/pdb7N6W/pdb) The cryo-EM raw data, including the motion-corrected micrographs and the particle stacks of U_1 and U_2 used for final refinement, have been deposited into the Electron Microscopy Pilot Image Archive [\(https://www.ebi.ac.uk/empiar\)](https://www.ebi.ac.uk/empiar) under accession no. EMPIAR-10163.

ACKNOWLEDGMENTS

This work was funded in part by NIH grants AI125093 (H. Desaire), AI93256, AI100645, AI125093, AI145547, AI127767, AI150471/GM56550, and AI124982 (J.S.), by an Intel academic grant (Y.M.), by grants from the Natural Science Foundation of Beijing Municipality (grant no. Z180016/Z18J008) and the National Natural Science Foundation of China (grant no. 11774012) (Y.M.), and by a gift from the late William F. McCarty-Cooper. M.L. was supported by a grant (109998-67-RKVA) from the American Foundation for AIDS Research (amfAR). The research was also supported by the Basic Research Core of the University of Alabama, Birmingham Center for AIDS Research (NIH grant AI027767). The cryo-EM experiments were performed in part at the Cryo-EM Core Facility Platform and the Laboratory of Electron Microscopy at Peking University and at the Center for Nanoscale Systems at Harvard University, a member of the National Nanotechnology Coordinated Infrastructure Network (NNCI), which is supported by the National Science Foundation under NSF award no. ECCS-2025158. The cryo-EM facility was funded through NIH grant AI100645, Center for HIV/AIDS Vaccine Immunology and Immunogen Design (CHAVI-ID). The data processing was performed in part in the Weiming No. 1 and Life Science No. 1 High-Performance Computing Platform at Peking University and in the Sullivan cluster, which is supported by a gift from Mr. and Mrs. Daniel J. Sullivan, Jr.

J.S. and Y.M. conceived this study. H. Ding and J.C.K. prepared the Env(-)-expressing CHO cells and the A549-Gag/Env cells. S.Z. and R.T.S. analyzed $Env(-)$ antigenicity and established a purification scheme for the $Env(+)$ protein. S.Z. and W.L.W. screened the samples for optimization of cryo-EM imaging. W.L.W. conducted cryo-electron microscopy, collected all data, and preprocessed the data. K.W. and S.C. performed data analysis and refined the maps. K.W., S.Z., S.C. and Y.M. built the structural models. E.P.G., S.Z., and H. Desaire analyzed the $Env(-)$ glycans. M.L. and S.Z. conducted smFRET experiments. H.T.N. studied the effect of BMS-806 on the processing of wild-type Env. Y.M. and J.S. wrote the manuscript. All authors contributed to data analysis and manuscript preparation.

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