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Effects of serial ketamine infusions on cortico-limbic functional connectivity in major depression

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Abstract

Background: Ketamine is a highly effective antidepressant for patients with treatment-resistant major depressive disorder (MDD). Resting-state fMRI studies show disruptions of functional connectivity (FC) between limbic regions and resting-state networks (RSNs) including default mode (DMN), central executive (CEN), and salience networks (SN) in MDD. Here, we investigated whether serial ketamine treatments change FC between limbic structures and RSNs.

Methods: MDD patients (n=44) were scanned at baseline (T1), and 24 hours after the first (T2), and fourth infusion (T3) of ketamine. Healthy controls (n=50) were scanned at baseline with a subgroup (n=17) rescanned at two weeks. Limbic regions included the amygdala and hippocampus and RSNs included the DMN, CEN and SN.

Results: Ketamine increased right amygdala FC to the right CEN (p=0.05), decreased amygdala FC to the left CEN (p=0.005) at T2 versus T1 (p=0.015), which then increased at T3 versus T2 (p=0.002), and decreased left amygdala FC to the SN (p=0.016). Decreased left amygdala to SN FC at T2 predicted improvements in anxiety at T3 (p=0.006). Ketamine increased right hippocampus FC to left CEN (p=0.001) and this change at T2 predicted decreased anhedonia at T3 (p=0.005).

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Conclusions: Ketamine modulates FC between limbic regions and RSNs implicated in MDD. Increases in FC between limbic regions and the CEN suggest ketamine may be involved in restoring top-down control of emotion processing. FC decreases between the left amygdala and SN suggest ketamine may ameliorate MDD-related dysconnectivity in these circuits. Early FC changes between limbic regions and RSNs may be predictive of clinical improvements.

Keywords

Major depression; mood disorders; antidepressant treatment; ketamine; personalized medicine; functional connectivity

INTRODUCTION

Numerous effective pharmacotherapies are available to treat major depressive disorder (MDD), however, less than half of patients remit within the first three months of treatment (1) and ~30% remain unresponsive to 2 pharmacotherapies (2, 3). This may be explained by large heterogeneity of MDD (4). Ketamine, a NMDA receptor antagonist, is shown to induce fast-acting and robust antidepressant effects in 60–70% of treatment-resistant depression (TRD) patients (5). Growing evidence also suggests that multiple ketamine treatments may lead to more durable response (6–8). Depressive symptoms improve within hours to days post infusion suggesting that ketamine perturbs neural pathways mediating the regulation and expression of mood and emotion, thus playing a downstream role in therapeutic response.

While the cause of MDD still remains elusive, a large body of literature points to systems-level disruptions in cortico-limbic networks mediating mood, emotion, and cognition. Resting-state functional magnetic resonance imaging (rsfMRI) provides a powerful noninvasive means to examine disruptions in functional connectivity (FC) of these networks in MDD, (9–11) implicating several resting state networks (RSNs) (12–14). The default mode network (DMN), including the anterior cingulate cortex (ACC), posterior cingulate cortex (PCC), precuneus, angular gyrus, and medial prefrontal cortex (PFC), is widely implicated in MDD, specifically with features such as rumination, impaired attention and cognitive control (15–17). DMN is hyperactive in patients with MDD (18), and has been reported to normalize with standard antidepressant treatments (19, 20). The salience network (SN) is also hyperactive in MDD (21), and is comprised of the insula, dorsal ACC, and the frontopolar cortex. The SN is involved in detecting and filtering salient stimuli in order to contribute to functions, such as communication, social behavior, and self-awareness through the integration of sensory, emotional, and cognitive information (22, 23). The lateral parietal cortices and dorsolateral PFC (DLPFC) comprise the Central Executive Network (CEN) that is involved in goal-directed functions such as attention, decision-making, working memory, and executive control (24, 25) and has been reported to show decreased connectivity in depression (26, 27). Taken together, rsfMRI studies support the theory that MDD associates with an imbalance between hyperactive ventral and hypoactive dorsal cortico-limbic systems (28–30).

Numerous studies have also implicated the amygdala and hippocampus in the pathophysiology of MDD. In addition to its role in episodic memory, the hippocampus is involved in the regulation of motivation and emotion, responses to emotion, and regulation/susceptibility of stress, and the amygdala is involved in the autonomic responses to emotion, emotional memory, and emotion regulation (31–34). Several neuroimaging studies have reported dysfunction and treatment modulation of the hippocampus in MDD (35–38). Specifically, smaller hippocampal volume is reported in MDD compared to non-depressed individuals (39, 40) and lower nodal centralities of the left hippocampus are related to longer duration of disease (37). MRI investigations have also implicated the amygdala in MDD including disrupted connectivity with the dorsal cingulate (11) and insula (41, 42), increased amygdala activation to faces task that resolves post antidepressant treatment (43), and lower white matter integrity between the amygdala and regions of the CEN, SN, and DMN correlated to symptom severity (44). Notably, both the amygdala and hippocampus are thought to be nodes of the SN (amygdala) and DMN (amygdala and hippocampus); therefore, targeting connectivity between these limbic regions and cortex-dominant RSNs may help illuminate the mechanisms of antidepressant response to interventions like ketamine.

The current neuroimaging literature investigating effects of ketamine in MDD is small; however, a few studies have suggested effects of single infusion of ketamine on the subgenual ACC, posterior parietal cortex, hippocampus, PFC, and DMN (18, 45, 46). In a task-based fMRI study, ketamine treatment associated with a significant increase in activity within the right caudate (47). It is important to note that the studies thus far are limited in finding neural correlates of clinical change with ketamine, and mostly have not addressed the more durable effects of multiple ketamine infusions. However, two recent studies from our group reported changes in cerebral blood flow in precuneus and occipital regions and changes in amygdala activity for emotional face processing after multiple ketamine infusions, though this remains an underrepresented area of research (48, 49).

To address how single and serial ketamine treatment perturbs functional connectivity and clinical correlates of ketamine-related clinical response, using rsfMRI we investigated whether intravenous ketamine leads to similar or distinct changes in connectivity between “limbic” regions (amygdala, hippocampus), and the cortical networks that might be involved in regulating the activity of those structures (CEN, DMN, SN). We hypothesized that FC between amygdala and/or hippocampus and cortical RSNs might be deficient in depression, and could be “restored” following a single and serial infusions of ketamine in patients with treatment-resistant MDD. If a significant change in FC with treatment was observed, post-hoc analyses investigated cross-sectional differences between patients and controls and clinical correlations with longitudinal change in FC to further understand the acute antidepressant effects of ketamine. Previous randomized placebo-controlled trials have clearly established the superiority of ketamine over placebo in improving depression (5, 7, 50); therefore, we chose an open-label design to minimize patient burden and to address our goal of understanding the effects of ketamine on cortico-limbic networks.

MATERIALS AND METHODS

Participants:

Participants included N=44 MDD patients and N=50 non-depressed healthy controls (HC). Handedness was not considered because 94% of participants were right-handed. MDD patients received a clinical evaluation battery at baseline (T1), 24 hours after a single sub-anesthetic dose of 0.5 mg/kg of intravenous ketamine (T2), and 24–72 hours post a fourth infusion of ketamine (Fig. 1). The study lasted 2–2.5 weeks dependent on the day the first infusion started. Data was not collected on weekends. These intervals were predetermined based on scheduling availabilities. Five MDD patients did not complete T3 due to scheduling conflicts. Thirty-three HCs were scanned once only while an additional seventeen HCs were scanned twice 2 weeks apart. HC did not receive ketamine. Patients were recruited from the Southern California region and were consented for participation as approved by the UCLA Institutional Review Board (Table 1). Eligibility criteria for all patients included a diagnosis of MDD by clinical consultation using DSM-V (SCID (51)) criteria, unsuccessful response to 2 prior antidepressant trials, 20–65 years of age, pre-treatment 17-item Hamilton Depression Rating Scale (HDRS (52)) of >16 (exhibiting moderate to severe depressive symptoms), and a referral letter from their treating physician. Exclusion criteria included neurological/physical/developmental disorders, substance abuse/dependence history within the preceding 3-months, current or past history of psychosis, schizoaffective disorder or schizophrenia, first episode or late onset of depression (>50 years), depression related to a medical condition, ketamine, ECT or other neuromodulation therapy within the previous 6 months, or suicidal attempt 1 month prior to study start.

Ketamine:

Patients were permitted to remain on stable antidepressant medications (unchanged for at least six weeks prior to treatment). Benzodiazepines that influence cortical excitability and other medications considered a contraindication to ketamine were discontinued 72 hours prior to the first infusion and throughout the treatment trial. Treatment included 40-minute IV infusions of a sub-anesthetic dose (0.5 mg/kg) of ketamine diluted in 60cc of saline with continuous clinical and hemodynamic monitoring (5). Psychotomimetic effects, blood pressure, blood oxygen saturation, heart rate, and respiratory rate were monitored during the infusion by a psychiatrist followed by additional monitoring for 3 hours by a trained nurse.

Clinical outcome measures:

The HDRS- 17 item questionnaire was used to track overall response with ketamine after the fourth infusion (T3). Patients were identified as responders if HDRS scores decreased by 50% at T3 from baseline (53). Snaith-Hamilton Pleasure Scale (SHAPs) (54), Depression Anxiety Stress Scale (DASS) (55, 56), and behavioral inhibition (BIS) scale (57), and a combined Rumination scale (58, 59) were administered at all three time points in order to evaluate the effect of ketamine on specific symptoms of MDD. These scales were chosen based on wide use in depression literature.

Imaging Protocol and Processing:

All imaging of participants was performed on a Siemens 3T Prisma MRI system at UCLA's Brain Mapping Center using a 32 channel head coil. Image acquisition sequences from the Human Connectome Project (HCP) Lifespan studies were utilized in this study. For resting-state scans, two runs of a multiband EPI sequence with inverse phase encoding were acquired: repetition time (TR)=800 ms, echo time (TE)=37 ms, flip angle=52°, 72 axial slices, 2×2×2 mm³ spatial resolution, multiband factor = 8, phase encoding direction=AP/PA, acquisition time (TA)=6:41 per run. The structural scans consisted of one T1 weighed (voxel size (VS)=0.8mm isotropic; TR=2500ms; TE=1.81:3.6:5.39:7.18ms; inversion time (TI)=1000ms; flip angle (FA)=8.0°; TA=8:22min) and one T2 weighted acquisition (VS=0.8mm isotropic; TR=3200ms; TE=564ms; TA=6:35min). All data was preprocessed using the Human Connectome Project minimal preprocessing pipeline (60). Independent components (ICs) representing artifacts were identified using ICA for each run and removed from voxel timecourses using FSL regfilt. To identify resting state networks (RSNs), group ICA was run using FSL MELODIC (50 components) on all MDD and HC volunteers, and dual regression extracted time courses for each IC for each volunteer. Three RSNs associated with MDD (DMN, CEN, and SN) were chosen to investigate changes in functional connectivity (FC) with anatomical ROIs selected a priori, including the hippocampus (right and left) and amygdala (right and left) (13). Group ICA identified five ICs that encompassed the three RSNs of interest: one DMN, two CENs (left and right CEN), and one salience network (SN). Time courses for the amygdala (right and left) and hippocampus (right and left) were extracted using ROI masks derived from the Harvard-Oxford subcortical structural atlases (61). Correlations were calculated between time courses of the networks and seeds (Fischer's z-scores).

Statistical Analyses:

Baseline demographic and clinical measures were evaluated using chi-square tests for categorical variables and independent 2 sample t-tests for continuous variables. Main effects of ketamine treatment on FC were investigated using linear mixed models (compound symmetry covariance) on Fischer's z-scores with time, run and hemisphere as repeated factors in MDD patients. These omnibus analyses were Bonferroni corrected for the two main hypotheses tested ($\alpha=0.05/2=0.025$): (1) FC of the amygdala to RSNs and (2) FC of the hippocampus to RSNs change with ketamine treatment in patients with MDD.

A number of follow-up analyses were considered. If a time by hemisphere effect was present ($p_{\text{corr}} < 0.05$), follow-up analyses investigated the main effect of treatment (time) separately for each hemisphere with time and run as repeated measures. If an effect of time was present, cross-sectional post-hoc analyses (independent samples t-test) were performed to study differences between healthy controls and MDD patients at baseline. In addition, to study clinical correlations 1) change in FC after a single infusion of ketamine and percent change in clinical scores (BIS, SHAPs, Rumination, and DASS) after full treatment and 2) change in FC after serial infusions of ketamine and percent change in clinical scores (BIS, SHAPs, Rumination, and DASS) after full treatment were investigated. These clinical correlations were only investigated if an effect of time was present in MDD patients. These analyses were Bonferroni corrected for 8 tests $\alpha=0.05/8=0.006$; 2 hemispheres and 4

clinical scales) within each metric (limbic structure to 3 RSNs). The approach for post-hoc cross-sectional and clinical correlation investigation was chosen to facilitate interpretation of the significant effects of ketamine and reduce multiple comparisons. In order to further investigate significant treatment effects, paired t-tests were performed to examine effects of time in HC (n=17).

RESULTS

Subject characteristics.

The MDD and HC groups did not differ significantly in gender ($\chi^2=1.6$, $p=0.205$) or education ($t(1,91)=1.58$, $p=0.12$). A significant difference in age ($t(1,92)=-2.52$, $p=0.013$) was observed; therefore, cross-sectional analysis included age as a covariate (Table 1). Overall there was a 54.9% decrease in HDRS for all patients at T3. A significant decrease during treatment was observed for the SHAPS, DASS, and rumination scales, while the BIS scale did not significantly change (Table 2).

Effects of ketamine on amygdala to RSN connectivity.

The omnibus mixed model used to investigate effects of time showed significant effects for FC between the amygdala and two RSNs of interest. Amygdala FC to the left CEN showed a significant effect of time ($F(2, 461.1)=5.33$, $p=0.005$), which was consistent across hemispheres (i.e., no significant time-by-hemisphere interaction; Fig. 2). Pairwise comparisons showed a drop in FC after a single infusion (T1 vs T2, $p=0.015$) and a subsequent increase after serial infusion (T2 vs T3, $p=0.002$). In healthy controls, FC between amygdala and left CEN did not differ from patients at baseline or change over time ($p>0.05$ for both).

FC between the amygdala to both the right CEN and the SN showed time by hemisphere effects ($F(2, 455.6)=3.98$, $p=0.019$; $F(2, 456.0)=4.24$, $p=0.015$ respectively), and therefore follow-up analysis were completed for the left and right amygdala separately. FC between the right amygdala and the right CEN showed significant changes with ketamine treatment ($F(2,207.2)=2.99$, $p=0.05$). Specifically, FC increased after serial ketamine infusion (T1 vs T3, $p=0.016$). Cross-sectional analysis showed HC had greater connectivity between the right amygdala and right CEN than MDD at baseline ($F=9.9$, $df=1$, $p=0.002$); therefore, serial ketamine could be considered to have a “normalizing” effect towards controls. No effect of time was observed between the right amygdala and right CEN for HC ($p>0.05$). FC between the left amygdala and the right CEN showed a decreasing trend but did not reach significance ($F(2, 206.2)=2.61$, $p=0.076$).

FC between the left amygdala and SN showed significant decreases with ketamine treatment ($F(2,206.8)=4.25$, $p=0.016$) (Fig. 2). After a single infusion, a trend towards lower FC was apparent (T1 vs. T2, $p=0.065$). After serial infusions, FC decreased significantly (T1 vs T3, $p=0.005$). In healthy controls, FC between left amygdala and SN did not differ from MDD patients at baseline or change over time ($p>0.05$ for both). FC between the right amygdala and SN did not change significantly with ketamine treatment ($F(2, 206.7)=2.07$, $p=0.13$) (Fig. 2).

Effects of ketamine on hippocampus to RSN connectivity.

The omnibus mixed model used to investigate effects of time showed significant effects for the hippocampus FC to one RSN of interest. Hippocampal FC to left CEN showed a time by hemisphere effect ($F(2, 455.9)=3.9, p=0.022$) and therefore follow-up analysis were completed for the left and right hippocampus separately. FC of the right hippocampus to the left CEN went from no connectivity to negative connectivity (“anticorrelated”) after ketamine treatment ($F(2,206.6)=7.75, p=0.001$) (Fig. 3). Pairwise comparisons for left CEN showed increased negative connectivity after both single (T1 vs T2, $p=0.001$) and serial ketamine (T1 vs T3, $p=0.001$). In healthy controls, FC between the right hippocampus and left CEN did not differ from MDD patients or change over time ($p>0.05$ for both). FC between the left hippocampus and left CEN showed no significant change with ketamine treatment ($F(2, 206.6)=0.77, p=0.46$) (Fig. 3).

Clinical correlations

Amygdala.—Correlations between acute change in FC between the left amygdala and SN after a single infusion was correlated with post-treatment improvement in BIS (Pearson’s $r=0.44, p=0.006$) after all infusions (Fig. 4a). BIS improvement was also correlated with change in FC between left amygdala and SN at the end of treatment (Pearson’s $r=0.46, p=0.004$) (Fig. 4c). No other correlations were significant for amygdala FC.

Hippocampus.—Acute change in FC between the hippocampus and right CEN after a single infusion of ketamine correlated with SHAPs (Pearson’s $r=0.45, p=0.004$) (Fig. 4b). No other correlations with hippocampal FC reached our criterion for significance.

DISCUSSION

Experimental models informed by current evidence suggest MDD is a brain-network disorder affecting several regions and networks within the brain (13) that can be mediated with antidepressant treatments (14, 62). Here, we studied the acute effects of single and serial ketamine infusions in patients with treatment-resistant MDD on the modulation of functional connectivity between two limbic regions (amygdala and hippocampus) and three target RSNs (SN, CEN, and DMN). The regions and RSNs were selected a-priori based on their implications in MDD (12–14, 37, 49). Investigations included longitudinal analysis, cross-sectional analysis, and clinical correlations of longitudinal changes in FC. Our results showed that connectivity of the amygdala and hippocampus to RSNs changed with treatment and these changes related to improvements in features of depression such as anxiety and anhedonia. FC also did not change over time in controls, providing further evidence that these effects in MDD are related to ketamine and not due to poor test-retest reliability.

Longitudinal changes with ketamine treatment

Effects of treatment on FC between amygdala and RSNs.—FC of the amygdala to the left CEN showed a significant decrease after a single infusion of ketamine which then stabilized after serial ketamine infusions, increasing towards FC observed in healthy controls. While this change in FC between the amygdala and left CEN was higher at T3 than T1 it did not reach significance. Similarly, amygdala connectivity to the right CEN increased

with treatment in the direction of healthy controls implying normalization, though this effect did not reach significance for the left amygdala. This is in line with previous literature implicating CEN and prefrontal cortex hypoconnectivity in MDD (26, 63). An exploratory investigation reported decreased amygdala connectivity with regions involved in cognition and executive control such as the dorsolateral prefrontal cortex and the inferior frontal gyrus (both regions are a part of the CEN) in women with MDD (64). Cognitive behavioral therapy is also shown increases in CEN connectivity (65) and increases in FC between amygdala and the cognitive control network (66). Jenkins et al. also reported recently that retention of FC between the amygdala and CEN is important in the cognitive control of emotion, which may improve performance during emotional faces recognition tasks (67). Therefore, ketamine may be involved in increasing connectivity between the amygdala and CEN leading to increased top-down control of emotion processing frequently observed as disturbed in MDD patients (10, 27, 68, 69).

FC of the left amygdala to the SN was decreased in MDD at baseline, and further decreased with ketamine treatment in the MDD group. This is consistent with a previous study reporting that a single infusion of ketamine reduced FC between the insula (part of the SN) and the DMN, which was also decreased in MDD compared to healthy controls (45). However, prior findings have also reported increased SN FC in MDD compared to healthy controls. An analysis of causal connectivity showed significantly higher effective connectivity of amygdala to the anterior insula (a node of the SN) in MDD (41), a rsfMRI analysis demonstrated increased insula FC with the amygdala (42), and another study showed increased SN connectivity in MDD (21). With the role of the amygdala and SN in emotion processing and perception, disruptions in this network may be the cause of decreased ability to process emotions (70). Our results show ketamine may resolve disrupted connectivity and lead to more normalized FC potentially underlying emotion regulation.

Effects of treatment on FC between hippocampus and RSNs.—The right hippocampus showed greater negative FC (“anti-correlation”) to the left CEN with treatment. Our results showing an anticorrelation effect after a single infusion and then sustained anticorrelation after serial infusion shows that ketamine may be restoring negative connectivity. Negative FC between the hippocampus and regions of the CEN including bilateral PFC, bilateral parietal lobe have been reported in healthy controls (71). These results are consistent with the limbic-cortical dysregulation model of MDD as proposed by Mayberg (27, 69, 72). The cortical compartment, inferior parietal cortex and DLPFC, is associated with depressive symptoms including apathy, anhedonia, and cognitive performance while the limbic compartment, including the hippocampus, mediate vegetative and somatic aspects of MDD. Depressive symptoms can be linked to decreases in activity in cortical regions and increases in limbic areas (27, 33, 69, 72, 73) implicating different and interacting roles of cortical and limbic areas in regulation of emotion and cognition as well as in the pathology of MDD. Therefore, we propose, weaker or absence of this negative FC in MDD may relate to dysfunction of the functional segregation, perhaps leading to cognitive and emotional symptoms in MDD. Notably, our findings show ketamine restored the functional segregation between the right hippocampus and the left CEN, which is compatible with the idea that ketamine restores top-down regulation of ventral limbic

structures and may be related to symptom remission. This interpretation is consistent with previous neuroimaging studies indicating that single infusions of ketamine can induce treatment effects in similar regions, such as subgenual ACC, posterior parietal cortex, hippocampus, PFC, caudate, and DMN (18, 45–47). Our results provide further evidence of single ketamine induced BOLD changes and provide the first evidence of changes due to serial ketamine treatment.

Neural correlates of clinical change

Previous investigations have reported relationships between changes in global depression score and fMRI changes after a single infusion of ketamine. For example, a recent study demonstrated increased global connectivity in the PFC, insula and caudate in responders to a single ketamine infusion, suggesting baseline prefrontal and striatal circuitry may be relevant to successful clinical outcomes (46). Another recent study reported that FC increases between the lateral PFC and subgenual ACC were associated with symptom reduction and that lower baseline FC predicted response (74). A pilot study also showed differences in diffusion metrics in fronto-limbic pathways between responders and nonresponders after a single infusion of ketamine (75). As a follow-up analyses to further understand the changes in FC observed, we targeted several different symptom dimensions (inhibition/avoidance, anxiety, rumination, anhedonia). We investigated potential associations of these clinical scales with FC changes after a single and multiple ketamine infusions.

The BIS scale, a measure of inhibition and avoidance that is typically elevated in depression (76,77), did not change after ketamine treatment on average. However, posttreatment decreases (improvements) in the BIS scale were significantly correlated with acute and posttreatment decreases in FC between the left amygdala and SN. Critically, this initial decrease in FC may show plasticity of this network that relates to improvements in anxiety symptoms elevated in MDD (78). We also report that improvement in the SHAPs, a measure of anhedonia that is increased in depression (79), was correlated with acute decreases in FC between the hippocampus and right CEN. Taken together, these results indicate that acute FC change after a single infusion of ketamine predicts improved anxious avoidance and anhedonia after serial ketamine infusions.

Limitations

Several limitations with this investigation need to be considered. First, the results of this study should be validated in a larger sample, particularly with respect to less powered cross-sectional effects. Second, we designed this mechanistic trial as open-label, both because the primary goal targeted longitudinal effects of ketamine on brain connectivity (i.e., not efficacy of ketamine to treat depression) and because of the ethical implications of including a placebo group in TRD volunteers. We felt it unnecessarily burdensome for suffering patients to receive a placebo treatment in this multi-visit study. Given that previous randomized placebo-controlled trials have clearly established the superiority of ketamine to improve the symptoms of depression compared to placebo, it is very unlikely that the changes in connectivity we report here can be explained by the placebo effect. Nevertheless, the neurobiological basis of the placebo effect in depression in an underrepresented area

of research, and could be addressed by larger multi-site mechanistic studies. Our MDD participants had a limited range of symptoms with a mean of 19.3 on the 17-item HDRS. This may affect their baseline FC, however, we show no correlation between baseline FC and HDRS scores and ketamine is also most likely to be used in a population exhibiting moderate to severe depressive symptoms (5, 50, 80).

Conclusion

This is the first paper investigating imaging effects of serial ketamine infusions on functional connectivity. Findings from the current analysis support previous findings and demonstrate that ketamine therapy leads to neuroplasticity between limbic regions (amygdala and hippocampus) and RSNs that are essential for emotion regulation, executive function, goal-orientated behavior, self-awareness, and social behavior. A restoration of FC is observed between the amygdala and SN, amygdala and the right CEN, and between the hippocampus and the left CEN with ketamine treatment. Neuroplasticity of these networks also related to clinical improvements in anxiety and anhedonia. Further, results suggest early neuroplasticity may serve as a biomarker for clinical outcomes. Although ketamine did not appear to influence DMN FC in our study, future studies targeting other aspects of DMN connectivity beyond the amygdala and hippocampus may be more informative given the importance of the DMN to the neurobiology of depression. Overall, findings support repeated ketamine therapy leads to regulation of limbic regions by large scale RSNs and this reestablished regulation may be a neural correlate of symptom reduction.

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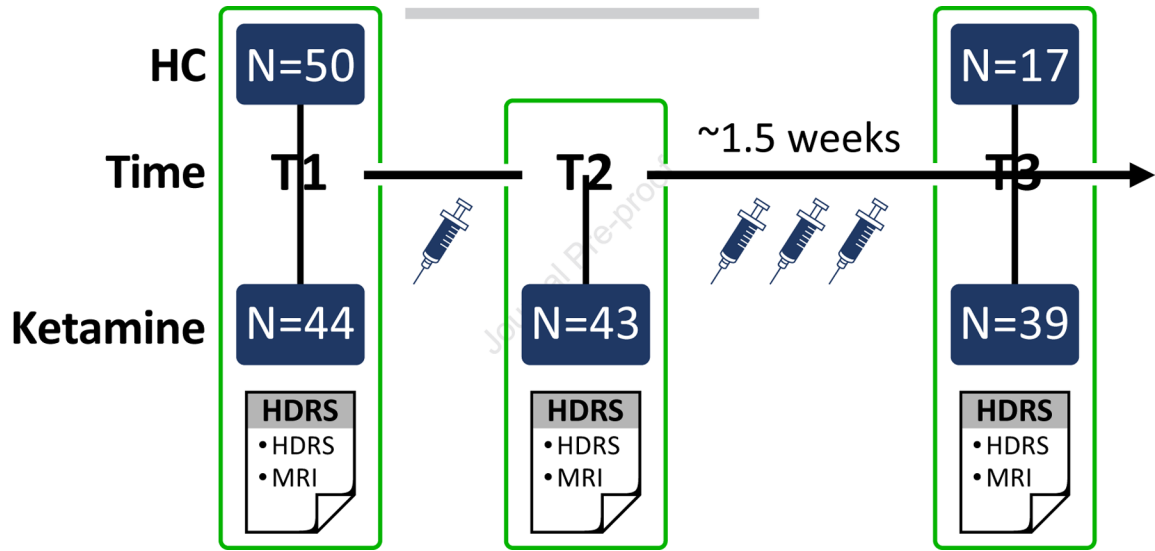


Figure 1. Study design.

Ketamine group was administered clinical scales and received an MRI at three time points (T1: pre-treatment/baseline, T2: 24 hours post-first infusion of ketamine, and T3: 24–72 hours post fourth infusion of ketamine). The study length varied from 2–2.5 weeks depending on which day the first infusion started on. Fifty non-depressed healthy controls (HC) received an MRI at baseline (T1) and a subset of the fifty (n=17) HCs received a repeat assessment 2 weeks after T1.

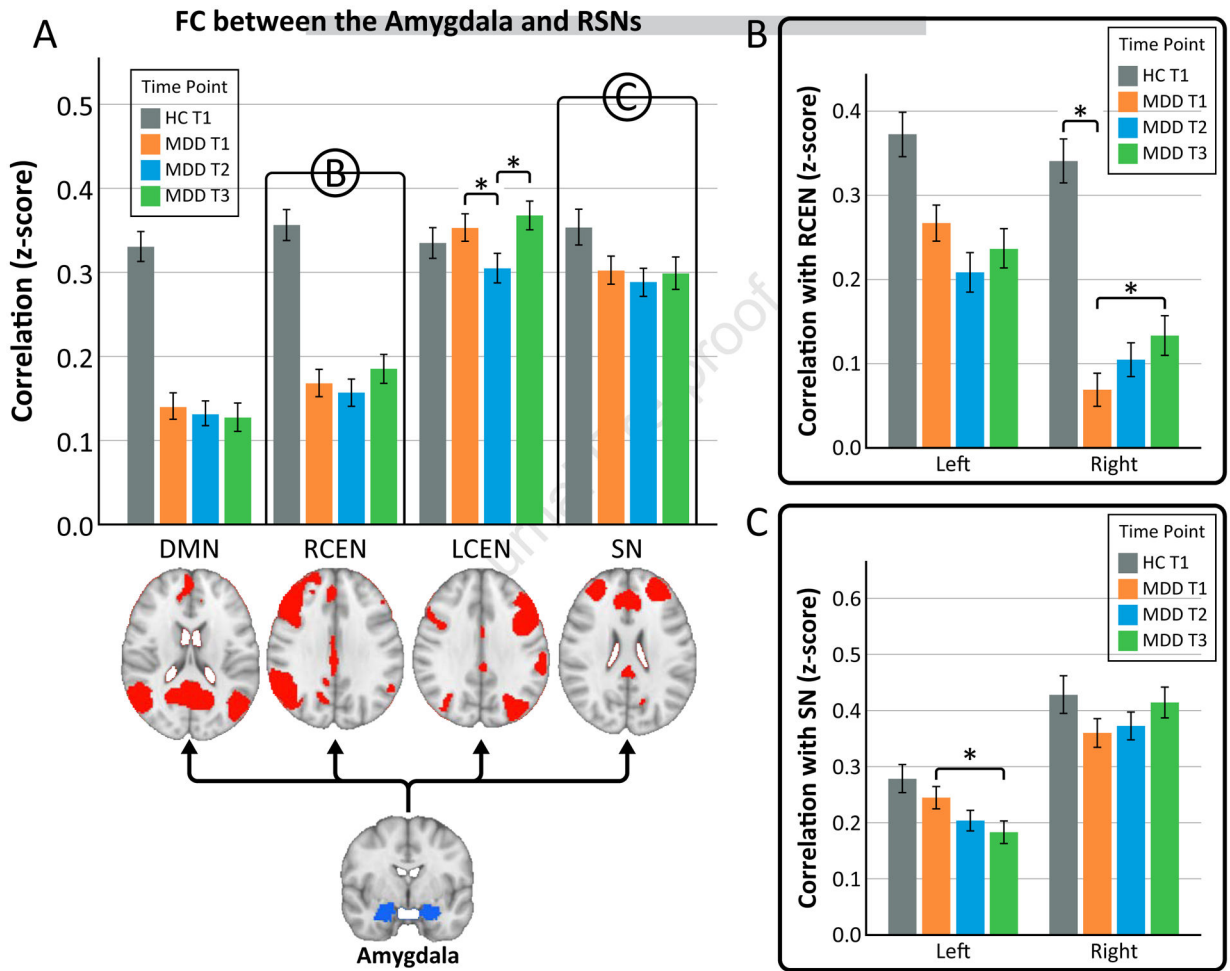


Figure 2. Amygdala connectivity to resting-state networks (RSNs).

A) Functional connectivity between the bilateral amygdala and RSNs (default mode network (DMN), right central executive network (RCEN), left central executive network (LCEN), and salience network (SN)). Connectivity between the amygdala and the RCEN and SN showed a time by hemisphere effect, therefore, the right and left amygdala were looked at separately in B and C. B) Functional connectivity between the left and right amygdala and RCEN. C) Functional connectivity between the left and right amygdala and SN.

* $p < 0.05$

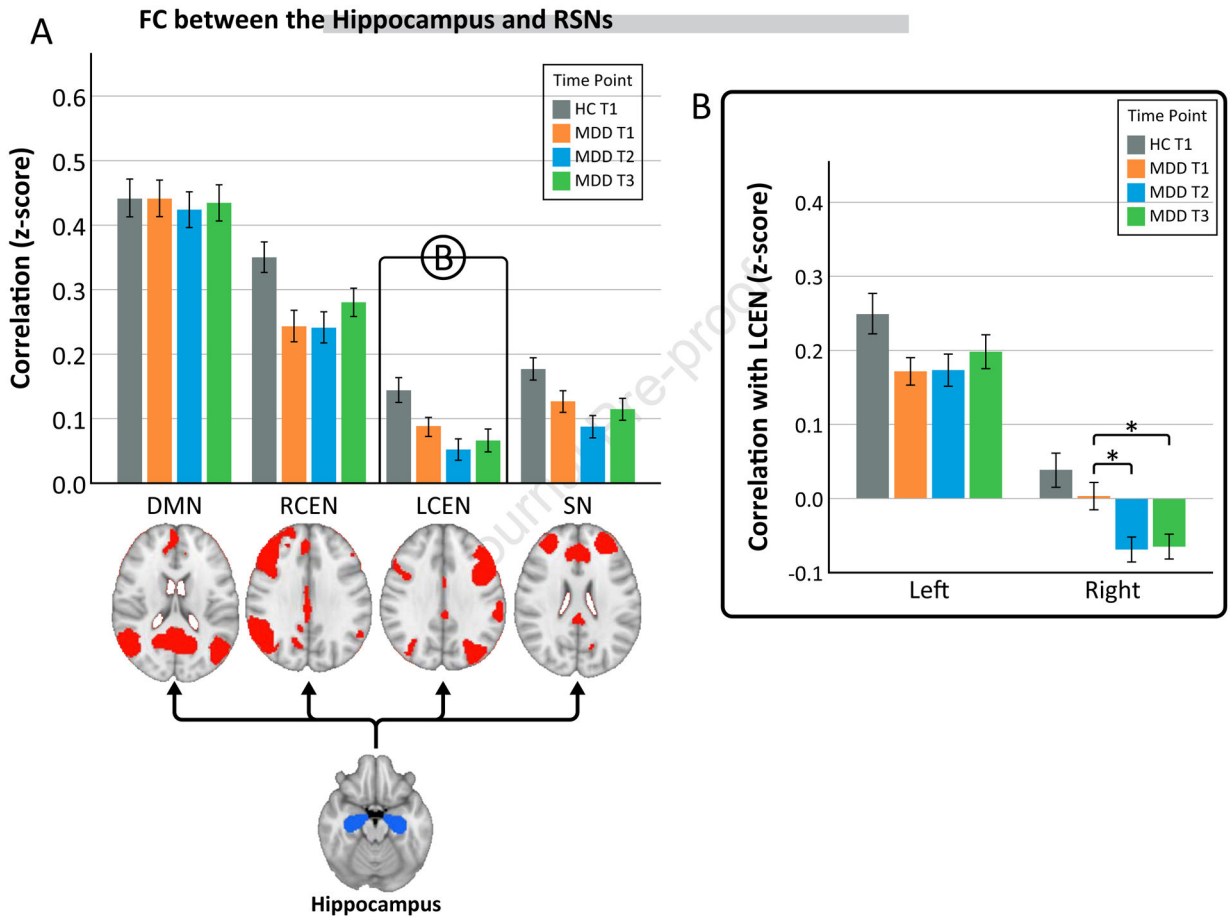


Figure 3. Hippocampal connectivity to resting-state networks (RSNs).

A) Functional connectivity between the bilateral hippocampus and RSNs (default mode network (DMN), right central executive network (RCEN), left central executive network (LCEN), and salience network (SN)). Connectivity between the hippocampus and the LCEN showed a time by hemisphere effect therefore the right and left hippocampus were looked at separately in B. B) Functional connectivity between the left and right hippocampus and LCEN.

* $p < 0.05$

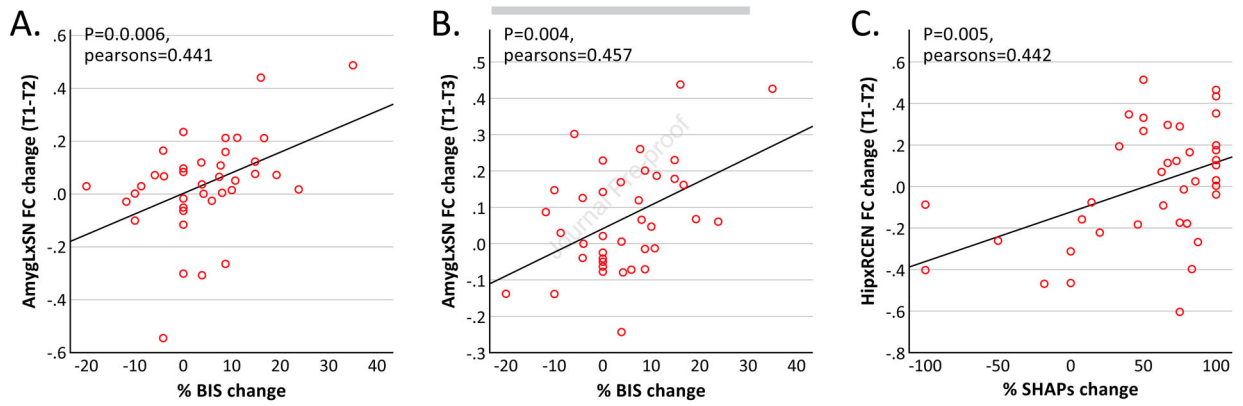


Figure 4. Correlations between measures of clinical improvement and reductions in FC between limbic regions and resting-state networks.

(A) Change in FC between the left amygdala (AmygL) and salience network (SN) after a single infusion of ketamine correlated with change in BIS at the end of treatment. (B) Change in FC between the AmygL and SN at the end of treatment correlated with change in BIS at the end of treatment. (C) Change in FC between the hippocampus (Hip) and right central executive network (RCEN) after a single infusion of ketamine correlated with change in SHAPs at the end of treatment. BIS, behavioral inhibition system scale; SHAPs, Snaith-Hamilton Pleasure Scale; T1, time 1 (baseline); T2, time 2 (24 hours after first infusion of ketamine); T3, time 3 (24 hours after fourth infusion of ketamine).

Table 1.

Demographics and clinical characteristics

	Ketamine (n= 44)	HC (n=50)	HC with repeat (n=17)^b
Gender (F/M)	18/26	27/23	9/8
Age (years)	38.2±10.9	32.3±11.9	28.2±6.9
Education	10.1 ±2.4	10.8±1.9	11.5±1.5
Lifetime Illness (years)	20.2±12.1	--	
Current episode (years)	5.3±6.6	--	
Response ^a	23/39 (59%)	--	

^aResponse was defined as 50% improvement in Hamilton Depression Rating Scales (HDRS) 24–72 hours after the fourth ketamine infusion (T3).

^bHC with repeat (n=17) are a subset of the total cohort of HC (n=50)

Table 2:

Clinical Measures for patients at baseline (TP1); 24 hours after the first infusion (TP2) and 24–72 hours after fourth infusion (TP3).

	TP1	TP2	TP3	ANOVA	
	Mean (SD)	Mean (SD)	Mean (SD)	F	p
HDRS	19.3 (5.2)	13.0 (4.6)	8.3 (4.2)	57.8	<0.0001
Rumination	13.0 (3.1)	11.7 (3.2)	10.3 (2.2)	9.4	<0.0001
BIS	23.8 (3.2)	23.0 (4.0)	22.5 (3.4)	1.3	0.27
SHAPS	7.7 (4.0)	6.4 (4.4)	3.2 (3.7)	13.4	<0.0001
DASS	5.1 (4.7)	3.7 (3.7)	1.6 (2.0)	9.2	<0.0001

HDRS: Hamilton Depression Rating Scale- 17 item, BIS: behavioral inhibition scale, SHAPS: Snaith-Hamilton Pleasure Scale, DASS: Depression Anxiety Stress Scale