

# Oral preexposure prophylaxis continuation, measurement and reporting

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**Objective:** The aim of this study was to appropriately plan for rollout and monitor impact of oral preexposure prophylaxis (PrEP). It is important to understand PrEP continuation and come to a consensus on how best to measure PrEP continuation. This study reviews data on PrEP continuation to document how it is reported, and to compare continuation over time and across populations.

**Design:** A systematic review and meta-analysis.

**Methods:** We searched MEDLINE, Embase and Global Health and reviewed abstracts from HIV conferences from 2017 to 2018 for studies reporting primary data on PrEP continuation. Findings were summarized along a PrEP cascade and continuation was presented by population at months 1, 6 and 12, with random-effects meta-analysis.

**Results:** Of 2578 articles and 596 abstracts identified, 41 studies were eligible covering 22 034 individuals. Continuation data were measured and reported inconsistently. Results showed high discontinuation at month 1 and persistent discontinuation at later time points in many studies. Pooled continuation estimates were 66% at month 1 [ $n = 5348$ ; 95% confidence interval (95% CI): 48–82], 63% at month 6 ( $n = 13\ 629$ ; 95% CI: 48–77) and 71% at month 12 ( $n = 14\ 933$ ; 95% CI: 60–81; higher estimate than previous timepoints due to inclusion of different studies). Adequate data were not available to reliably compare estimates across populations.

**Conclusion:** This review found that discontinuation at one month was high, suggesting PrEP initiations may be a poor measure of effectiveness. Continuation declined further over time in many studies, indicating existing cross-sectional indicators may not be adequate to understand PrEP use patterns. Studies do not measure continuation consistently, and consensus is needed.

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**Keywords:** HIV prevention, HIV/AIDS, oral preexposure prophylaxis, preexposure prophylaxis continuation

## Introduction

The effectiveness of oral preexposure prophylaxis (PrEP) is contingent upon continued use during periods of risk for HIV, which evidence shows is difficult for many clients [1–3]. Continual engagement in care via follow-

up visits is important not only for refills but also for ongoing continuation support, risk reduction counseling, screening for sexually transmitted infections (STIs) and management of side effects [4–6]. The WHO and national PrEP guidelines recommend that PrEP clients are tested for HIV at 1 and 3 months after initiation and

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every 3 months thereafter [7,8]. Despite increasing adoption of PrEP [9], there have been challenges in achieving continued engagement in follow-up visits.

As countries approve PrEP for HIV prevention and prepare for widespread provision, monitoring of continued follow-up and refill – or continuation – is essential to estimate the potential impact of the intervention and eventually measure the success of PrEP programs. Continuation data can inform costing of PrEP implementation by providing evidence of the likelihood that PrEP clients will return at each follow-up visit, helping to adequately project the cost of PrEP provision over time. Continuation data can also provide insight into whether some population groups are more or less likely to continue on PrEP and help ensure that strategies to promote PrEP continuation are designed to meet the requirements of specific populations.

Despite the importance of consistent measurement of PrEP continuation, there is little consensus on how to do so. Conversations about the appropriate indicators to measure PrEP programme success are ongoing, and approaches are rapidly evolving. Consensus is building that the word ‘retention’ is not appropriate for prevention, because unlike antiretroviral therapy (ART), PrEP is not taken for life; at times, clients may safely cycle on and off of PrEP, in consultation with their providers. However, in the literature, terms such as retention, adherence and continuation are often used interchangeably. Further complication arises with different dosing for different populations. WHO guidance supports intermittent, event-driven or ‘on demand’ dosing for MSM and provision of time-limited PrEP to HIV-negative people in serodiscordant relationships [7]. Existing PrEP indicators, including those endorsed by the WHO [7] and PEPFAR [10], do not account for differences in dosing schedules or well tolerated cycling.

This systematic review and meta-analysis will document reporting of continuation in published literature and compare continuation across diverse populations. The analysis will inform the ongoing conversation about how to measure PrEP programme success, support future modelling and costing studies, and highlight how PrEP continuation vary among target populations.

## Materials and methods

### Search strategy and selection criteria

The purpose of this systematic review and meta-analysis was to identify data from clinical trials, demonstration projects and real-world settings on participant continuation on oral PrEP. Due to the limited evidence base currently available on this topic, the search was intended to cover programmes run among any of the target groups

considered to be at risk of HIV infection and in any setting. Only those studies reporting on primary data were deemed eligible, with modelling studies and simulations excluded. Studies on programmes utilizing methods other than oral PrEP delivery (e.g. topical gels, vaginal rings) were also excluded. Where trials or studies included two modes of delivery (i.e. one oral PrEP and one other, or one oral PrEP and one placebo), only data from the oral PrEP arm were included. Studies reporting on eligibility and enrolment figures only, and not continuation data, were excluded. Grey literature was not included.

A literature search was run on three databases (MEDLINE, Embase and Global Health) to identify articles written in English and published in 2010 or later. Search terms used were (‘preexposure prophylaxis’ OR ‘PrEP’) AND (‘HIV’ OR ‘HIV/AIDS’) AND (‘implementation’ OR ‘demonstration’ OR ‘observation’ OR ‘trial’ OR ‘open label extension’). The search was completed on 6 November 2018. Titles and abstracts were screened initially, followed by review of full text articles deemed potentially eligible for inclusion. In addition to the database searches, abstracts from the Conference on Retroviruses and Opportunistic Infections (CROI) in 2017 and 2018, the 22nd International AIDS Conference (AIDS 2018) and the 2017 International AIDS Society (IAS) Conference were also screened on the basis of their titles, and full abstracts were reviewed when they were deemed potentially eligible for inclusion.

### Study screening and extraction

The primary outcome for this review is continuation in PrEP services at various time points, which are represented along a simplified PrEP cascade in Fig. 1 [11]. Continuation data were extracted from the literature and mapped to the timepoints in this cascade. It is

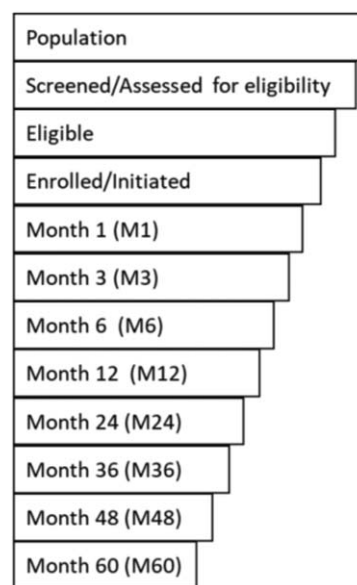


Fig. 1. PrEP services cascade.

important to note that studies reporting on continuation in PrEP services cover PrEP programmes of varying structures, with study visits occurring and continuation reported at different intervals. The cascade is not an accurate reflection of each study visit in every study included but affords the benefit of allowing for comparison between studies. When continuation was reported between time points, the continuation value was presented for the closest preceding timepoint. Note that many proposed PrEP services cascades also include adherence, or effective use [11–13]; however, this important indicator of PrEP programme success is beyond the scope of this review.

For the purpose of this analysis, continuation at each point in the PrEP cascade is defined as the number or proportion of enrolled or initiated study participants who returned for a follow-up visit at the relevant time point. This definition does not account for true duration of use among clients who discontinue as these data are not available; instead, clients who do not return to the study visit are considered to have discontinued use at the time of the visit. We also do not account for use of PrEP during periods of risk and discontinuation when no longer at risk, which is sometimes referred to as prevention-effective use or prevention-effective adherence [14–16]. Limiting our interpretation of effective continuation to only continual use over time could underestimate the impact of PrEP, ignoring the risk averted by those with other use patterns. However, none of the studies in this review tracked prevention-effective use, so we report on PrEP continuation only as continual use time.

Data were extracted and entered into Excel. Four weeks were considered 1 month for continuation reported at weekly intervals. If no specific population group was targeted in the study, the population was deemed ‘All at risk’. When continuation at different points in the cascade was reported separately by study population or study site, the continuation data were extracted separately for each population or site to allow for comparison.

Two independent reviewers (JL and KS) completed the full review process to determine studies for inclusion. Both reviewers then screened the full text of each study and extracted data, and discrepancies were discussed and resolved.

### Data analysis

Study quality was assessed using the Joanna Briggs Institute Checklist for Prevalence Studies [17]. This tool allows assessment of studies based on study design, implementation and analysis. No papers were excluded, as all were deemed to be of sufficient quality (score of 5 or more).

All statistical and meta-analyses were completed using Stata 15 (StataCorp LLC, College Station, Texas, USA) [18]. We summarized reporting of continuation by calculating the percentage of studies reporting on each

aspect of the cascade. We calculated percentage discontinuation between time periods by taking the difference in continuation between the time periods. PrEP continuation cascades were developed presenting continuation from studies reporting continuation from at least three of the four time points from month 1 to month 12. We created forest plots of continuation at month 1, month 6 and month 12, grouped by population, using random-effects meta-analysis with Freeman–Tukey double arcsine transformation. The analysis was done using Metaprop, a program specifically designed for binomial data that calculates confidence intervals (CIs) within the admissible values of 0–1 [19]. We estimated pooled continuation and 95% CIs overall, and not by population, because of high heterogeneity ( $I^2$  statistic) within groups.

### Role of the funding source

This work was funded by the US Agency for International Development and led by the OPTIONS Consortium. The funders did not play any role in the study design, data collection and analysis, decision to publish or preparation of the manuscript.

## Results

### Search results

The search yielded 2578 articles and 596 abstracts, of which 249 were retained for full text review (As depicted in Appendix S1; see Supplemental Digital Content, <http://links.lww.com/QAD/B770>). Fifty records met the inclusion criteria for this review, covering 41 individual trials, demonstration projects or routine implementation/clinical programmes.

### Study characteristics

Key features of the studies, including type of study, site and study population are included in Appendix 2 (see Supplemental Digital Content, <http://links.lww.com/QAD/B770>). Although some trials published multiple papers, they all contributed to a single record if they reported on the same data. On the contrary, a single paper is considered to contribute to multiple studies if it reported data along the cascade separately by population or location. The 41 programmes covered by the studies in this review included 24 open-label or demonstration projects, nine routine implementation/clinical programmes and eight randomized controlled trials (RCTs). Studies were most commonly conducted in Africa (16, 39%) and North America (12, 29%). Populations most commonly reported were MSM and transgender women (TGW) (18, 44%), all people at risk (9, 22%) and women (6, 15%).

### Reporting of preexposure prophylaxis cascade components

Table 1 [20–56] presents the number of clients screened, eligible and enrolled/initiated for each study, along with

**Table 1. Percentage of participants/clients who continued on PrEP over time.**

Study code	Priority populations	Screened/ assessed for eligibility	Eligible	Enrolled/ Initiated	Percentage who continued PrEP (%)								
					M1	M3	M6	M12	M24	M36	M48	M60	
Swaziland [20]	All at risk	438	333	108	63								
Providence, RI [21]	All at risk		80	61		90	70						
Jackson, MS [21]	All at risk		61	52		82	73						
St. Louis, MO [21]	All at risk		30	26		100	80						
Los Angeles, CA [22]	All at risk			1764		67	54						
One-step PrEP [23]	All at risk	251		245				75					
Pluspills [24]	All at risk	244		147		82	58						
Swaziland demo [1]	All at risk			217	59								
SEARCH (subanalysis) [26]	All at risk		701	272	16								
Kenya demo FSW [27]	FSW			528	40	27	14						
TAPS demo [28,29]	FSW	241	224	219	53	43	30	22					
India demo [30]	FSW	707	652	647				95					
BTS OLE [31]	IDU	1348	1315	793		72	59						
BTS [32,33]	IDU			1204				88	81	77	71	63	
Atlanta, GA [34]	MSM		184	63			39						
Paris clinic [35]	MSM		1069	1049		71	46	16					
San Francisco PrEP clinic [36]	MSM	344		268				53					
ATN 113 [37]	MSM	2864	260	78				60					
PRELUDE [38]	MSM			321				81	81				
Kenya demo MSM [27]	MSM			438	33	22	15						
Project PrEPare [39]	MSM	753	241	68			91						
Project PrEPare 2 [39]	MSM	2186	400	200				71	71				
PROUD [40,41]	MSM		544	541				92	82	76			
Life-Steps [42]	MSM	58		50		82	78						
Intermittent PrEP in Africa [43]	MSM, FSW	107		48		91							
Princess PrEP [44]	MSM, TGW			1083	72	61	52						
AMPrEP [45]	MSM, TGW			376			89						
ATN 082 OLE [46]	MSM, TGW	2846	1603	1225	87	84	77	66					
Be-PrEP-ared [47]	MSM, TGW	219		200				97					
IPERGAY OLE [48]	MSM, TGW		369	361	98	95	93	86					
Brasil demo [49,50]	MSM, TGW	1270	753	450				83					
US PrEP demo [51]	MSM, TGW	1069		557		88		78					
IPERGAY [52]	MSM, TGW	445	414	199		78	60	42	22				
Partners demo project [53]	SDC	1694		985		95	86	51					
Partners PrEP Study [53,55]	SDC	7856	4758	3179			98	86	47	33			
Mozambique [56]	Women	97	74	72	91								
MP3 youth [57]	Women	40		28	78	60	42	17					
Kenya demo women [27]	Women			619	26	17	10						
FEM-PrEP [1]	Women			1062				80					
HPTN 067/ADAPT [58]	Women	294		178	99	95	92						
VOICE [2]	Women		2010	1915				94					

\*FSW, female sex workers; SDC, serodiscordant couple; TGW, transgender women.

the percentage of those enrolled/initiated still retained in care (continuation) at each time point of the PrEP services cascade. No studies reported prevention-effective use and none discussed a continuation definition that suggested continued need for PrEP was considered in the statistics presented. Reported components of the PrEP services cascade varied by study, as shown in Fig. 2.

Just under half of the studies reported the numbers of clients screened and the number of clients determined eligible for PrEP. All studies reported number of clients enrolled/initiated (a requirement for inclusion) but reported this information in different ways. Some studies reported the number enrolled, while others also reported those who were prescribed PrEP or those who started taking PrEP, and sometimes these numbers differed from

those enrolled [21]. We reported the number who started taking PrEP as enrolled/initiated when such information was provided.

Continuation was most commonly reported at month 6, followed by months 3 and 12, and then month 1. Continuation past one year was rarely reported and was not reported in any of the routine implementation studies included in this review. Other time points at which continuation was reported were months 4, 9, 15, 16, 18 and 20 [30,34,42], and weeks 6, 10, 14, 18, 22, 26, 30, 34 [53,56].

Some studies only reported continuation as total or average length of follow-up (in days, months, or years). As it was not possible to fit these data into the PrEP cascade,

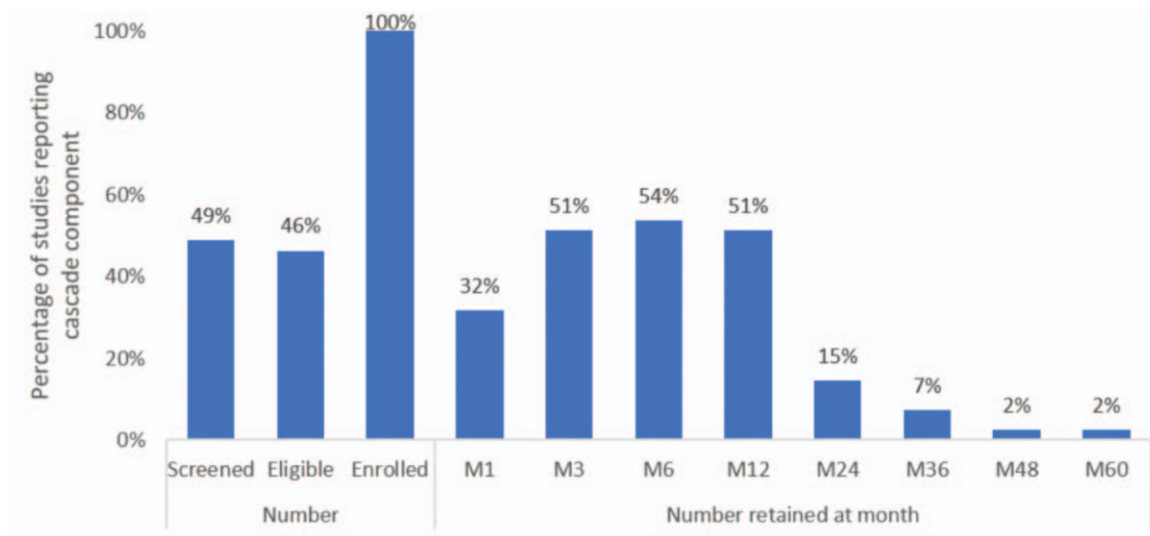


Fig. 2. Components of the PrEP services cascade reported in literature review.

those studies were excluded. Other methods for reporting continuation included using the number who ‘opted out’ at certain time points [24] or the percentage retained among those still remaining in the study at the previous time point [48].

### Continuation up to 1 year

All studies reported continuation at a minimum of one time point within 1 year of initiation. Continuation at each time point varied greatly across studies, with some studies maintaining relatively high continuation over time and others with an immediate drop-off. To compare continuation within studies over time, we looked at

continuation among studies reporting three or more of the four timepoints up to month 12 in Fig. 3. Average continuation among these studies was: 65% (M1), 62% (M3), 51% (M6) and 43% (M12). Percentage discontinuation between time periods varied by study, with discontinuation ranging from 2 to 18% from months 1–3, from 1 to 25% from months 3–6, and from 7 to 35% from months 6–12.

### Continuation after 1 year

Few studies reported continuation after month 12. Six of the studies reported continuation at month 24, which ranged from 22 to 82%. At 36 months, continuation

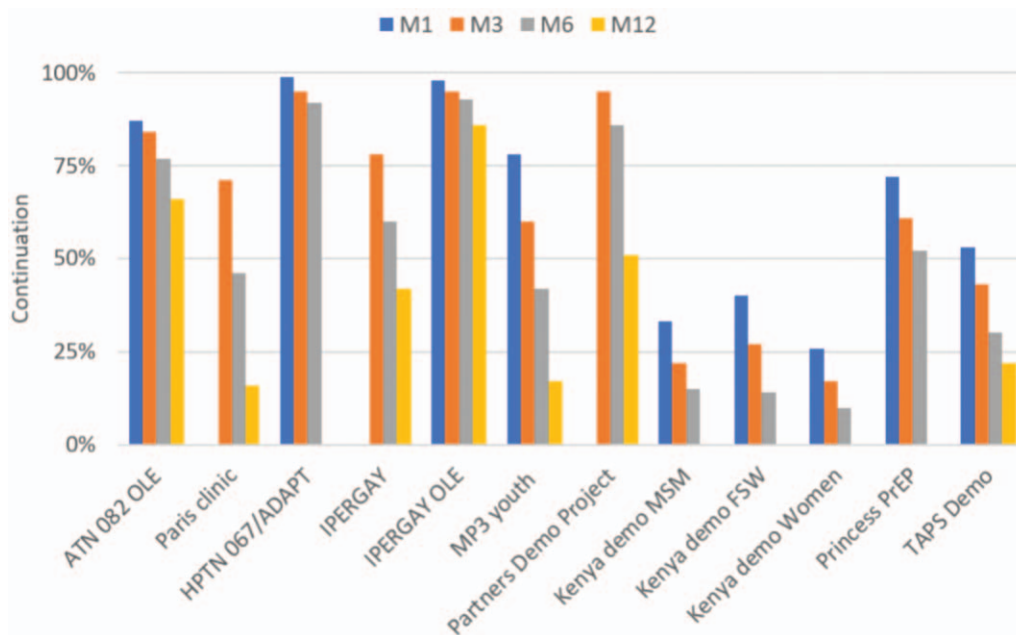


Fig. 3. PrEP continuation cascade among studies reporting on three or more time periods up to month 12.

ranged from 33 to 77%, as reported by three studies. The only study providing continuation data past 36 months, the Bangkok Tenofovir Study (BTS), reported continuation on PrEP among IDU as 71% at 48 months and 63% at 60 months [31].

### Continuation by population

We examined continuation by population visually over time using forest plots. Data from 3107 MSM, 897 women, 597 all at risk and 747 female sex workers (FSWs) were available for the meta-analysis of continuation at month 1 (Fig. 4a) [57,58].

Considerable variation was observed across studies and populations. Significant inter-group heterogeneity was observed ( $P < 0.001$  and high  $I^2$  statistic in each group), so pooled estimates by population were omitted. Heterogeneity among groups was also significant, suggesting the pooling of all studies may not be appropriate.

At 6 months, a total of 5050 MSM, 4164 serodiscordant couples (SDCs), 2050 all at risk, 825 women, 793 IDUs (from one study) and 747 FSW were available for the meta-analysis (Fig. 4b). Again, heterogeneity was high among studies and across population groups.

At month 12, data on 5449 MSM, 4164 SDC, 3005 women, 1204 IDU (from one study), 866 FSW and 245 members of the general population (from one study) were available for the meta-analysis (Fig. 4c). Again, inter-studies and intergroup heterogeneity were high.

### Discussion

This systematic review synthesizes the growing body of literature on PrEP continuation. The results show that the metric by which oral PrEP continuation is measured and reported are not consistent. Continuation varies widely

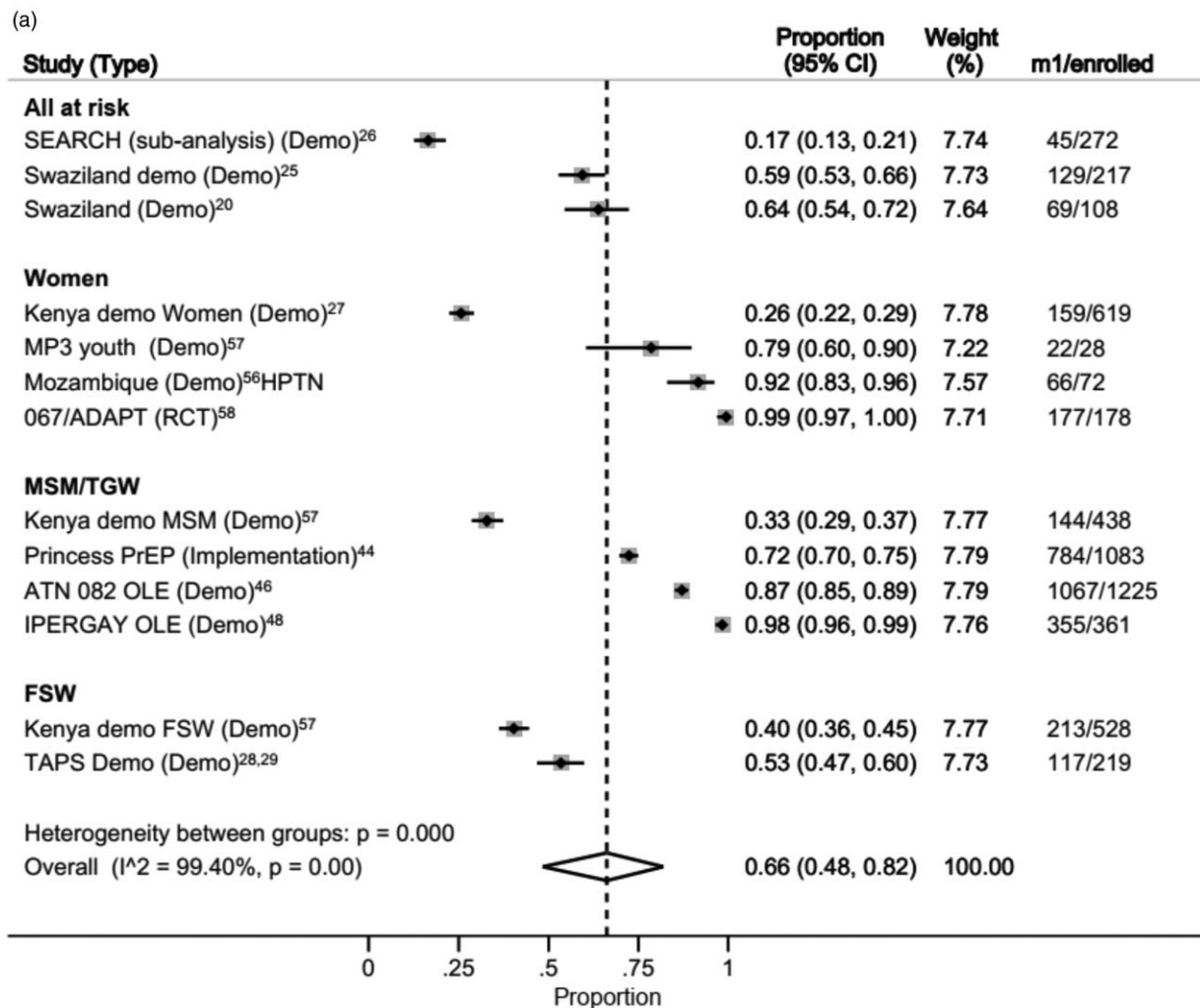


Fig. 4. (a) Forest plot of continuation by subpopulation at 1 month after initiation. (b) Forest plot of continuation by subpopulation at 6 months after initiation. (c) Forest plot of continuation by subpopulation at 12 months after initiation.

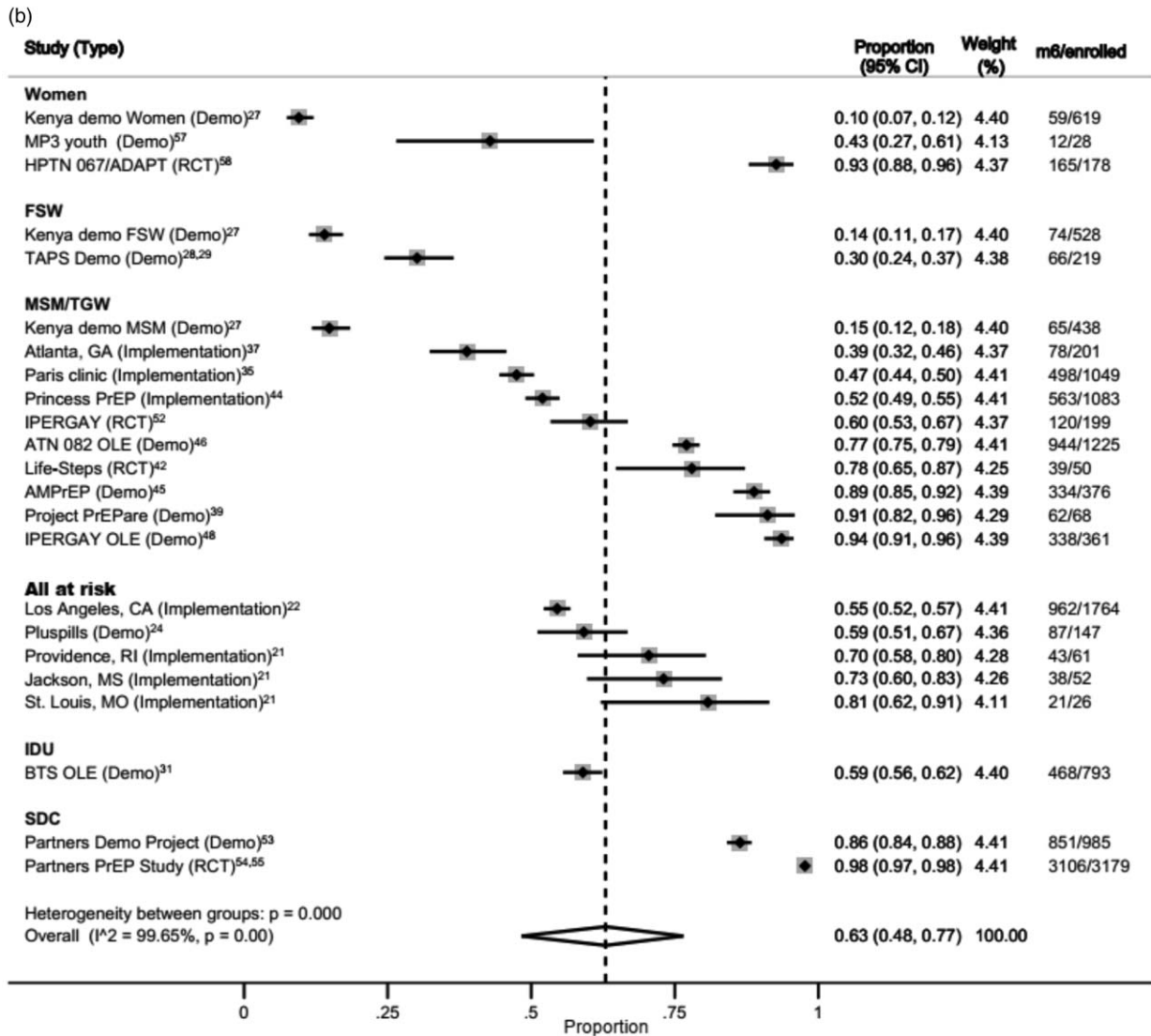


Fig. 4. (Continued).

across studies and target populations, and continues to decline over time.

Collation of data along the PrEP cascade revealed that the time points at which continuation is reported vary widely. This is not surprising, given the abundance of proposed PrEP cascades in published literature and the lack of consensus on which components are most important to track [11–13]. In studies that reported multiple time points, we found that discontinuation often persisted over time, with discontinuation as high as 25 and 35% from months 3 to 6 and from months 6 to 12, respectively.

These results have implications for existing monitoring and evaluation guidelines, which focus heavily on cross-sectional indicators over client-level longitudinal indicators. The WHO PrEP M&E guidelines suggest a core indicator of ‘Continuation on PrEP’, defined as the

‘Percentage of PrEP users who continued on oral PrEP for three consecutive months after having initiated PrEP in the last 12 months [7]’. The decision to limit this indicator to 3 months was justified based on early data from demonstration projects suggesting that many users who discontinue oral PrEP do so during the first few months. This review contradicts those early results, given it has shown that discontinuation in the studies currently under review was common even after month 3.

The PEPFAR oral PrEP indicators also do not promote longitudinal monitoring, rather they parallel existing treatment indicators, which give a snapshot of changes in the total number in care over time, rather than allowing for an understanding of duration of continuation [10]. Although M&E indicators of client-level continuation may not be feasible, organizations should promote evaluation studies to understand this important

(c)

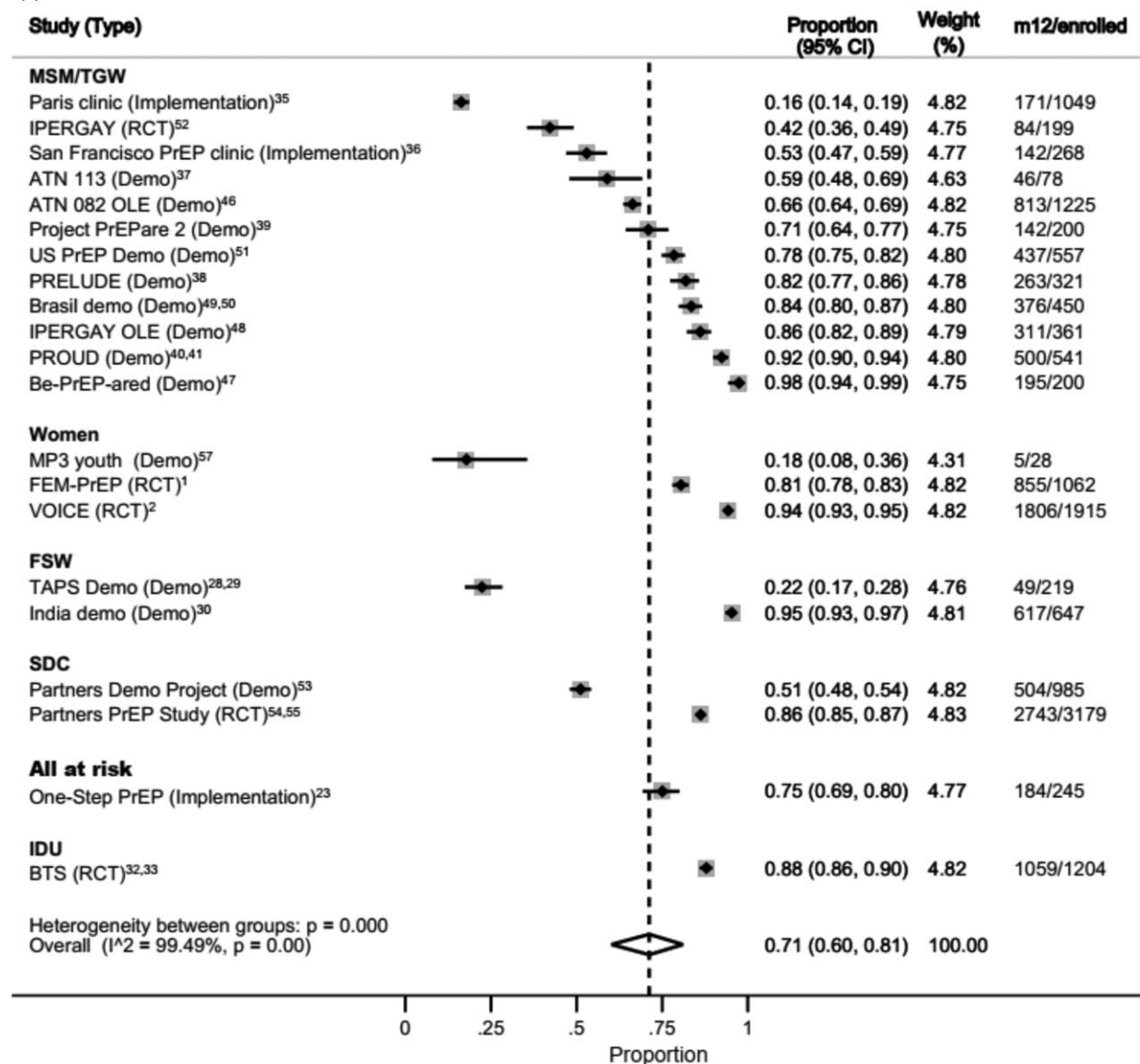


Fig. 4. (Continued).

dimension of PrEP rollout, without which impact and cost-effectiveness cannot be assessed.

Just under one-third of studies reported continuation at month 1; among those, discontinuation was high, averaging 37.3%. High discontinuation at month 1 indicates a large percentage of PrEP clients are not returning for the first follow-up visit and has important implications for PrEP effectiveness. Although discontinuation at subsequent time points could be due to periods of low risk, discontinuation at 1 month likely indicates other reasons for stopping. These findings suggest that when assessing whether a client should initiate PrEP, attention should be paid to not only PrEP eligibility, but also the client's readiness to take PrEP consistently over time. Initiations are costly [59], and no prevention impact can be

assumed without at least one return visit. This finding suggests that the number of PrEP initiations may not be a very useful indicator in estimating PrEP effectiveness.

Recent studies show that side effects, stigma, influence of partners, difficulty accessing services and reduced HIV-risk perception have contributed to discontinuation in some PrEP users [27,60,61]. Discontinuation due to lack of risk is an important concept for continuation measurement, as discussed previously, which we were unable to account for in our analysis due to lack of data. More research is needed to determine the reasons for high early and ongoing discontinuation.

In designing this review, we felt it was important to make the distinction between continuation of all clients who



initiated and continuation among just those still at risk or indicated for PrEP, known as prevention-effective use 16. No studies in this review reported prevention-effective use or stopping and restarting of clients on PrEP. Some studies reported planned cycling or dosing schedules, such as studies among serodiscordant couples that promoted PrEP as a bridge to ART and the Gaza miners study, which offered PrEP during periods of high risk [52,54,62]. Future research is needed to examine cycling among PrEP users and how to appropriately monitor prevention-effective use.

This review found that continuation varied by population and across time. Pooled estimates at 12 months were actually higher than previous timepoints. This is likely due to different studies reporting at the different timepoints, and some studies with particularly low continuation reporting at only months 1 and 6 [26]. Continuation also varied within populations. Some of this variation can likely be attributed to differences in study types, intervention models, and mechanisms for client support.

This systematic review has limitations. Studies had various designs, populations and geographic locations. Given the paucity of data on combinations of population, study type and geography, it is not currently possible to examine pooled continuation by just one population, study type and region. As PrEP delivery progresses, programmes should be encouraged to publish data on continuation across time so that these analyses can be completed and shed further light on this important topic. To better understand PrEP continuation, researchers should consider longitudinal studies that account for prevention effective adherence (time at-risk) and explore probabilities of continuation via survival analysis or other more robust methods.

Some studies had to be excluded because the reported continuation data did not align with the cascade used in the study design. We could not distinguish in this review participants who were lost to follow-up versus those who went off the product and stayed in the study. Finally, this study did not assess the influence of potential confounders. Further research is needed to examine the predictors of PrEP continuation and discontinuation to more fully understand this important component of PrEP programme effectiveness and efficiency.

Despite these limitations, the findings have implications for the evolving discussion on how to monitor PrEP programmes and provide valuable information for decision makers. Our analysis of continuation suggests that PrEP initiations may not be a good measure of effectiveness and that longitudinal monitoring of continuation may be important for understanding long-term use patterns. Research should examine methods of ensuring PrEP-readiness prior to initiation

and reasons for early and later discontinuation. Guidance is needed on how best to measure prevention-effective use, which was not reported by any studies in this review.

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## Conflicts of interest

K.S. conducted the systematic review, data validation, data analysis, data interpretation and led writing. H.G. contributed to study design, data interpretation and review. J.L. conducted the systematic review and supported study design, data analysis, data interpretation and writing. G.G. contributed to study design, data analysis, data interpretation and review. K.K. contributed to study design, data interpretation and review. K.T. contributed to study design, data interpretation and review. J.O. contributed to study design, data validation, data interpretation and review. F.T.P. contributed to study design, data interpretation and review.

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